

*Pacific
Journal of
Mathematics*

VIRTUAL HOMOLOGY OF SURGERED TORUS BUNDLES

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Volume 195 No. 1

September 2000

VIRTUAL HOMOLOGY OF SURGERED TORUS BUNDLES

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Let M be a once-punctured torus bundle over S^1 with monodromy h . We show that, under certain hypotheses on h , “most” Dehn-fillings of M (in some cases all but finitely many) are virtually \mathbb{Z} -representable. We apply our results to show that even surgeries on the figure eight knot are virtually \mathbb{Z} -representable.

1. Introduction.

Embedded incompressible surfaces are fundamental in the study of 3-manifolds. Accordingly, the following conjecture of Waldhausen and Thurston has attracted much attention:

Conjecture 1.1. *Let M be a closed, irreducible 3-manifold with infinite π_1 . Then M has a finite cover which is Haken.*

The focus of this paper is the following, stronger, conjecture:

Conjecture 1.2. *Let M be as above. Then M has a finite cover \tilde{M} with $H_1(\tilde{M}, \mathbb{Z})$ infinite.*

If M is a compact 3-manifold, we say that M is \mathbb{Z} -representable if $H_1(M, \mathbb{Z})$ is infinite. If M satisfies the conclusion of Conjecture 1.2, we say that M is *virtually \mathbb{Z} -representable*.

We shall give what appear to be the first examples of 3-manifolds with torus boundary for which all but finitely many fillings are virtually \mathbb{Z} -representable, but not \mathbb{Z} -representable (in fact non-Haken). Boyer and Zhang have independently given examples of knot complements for which all but finitely many fillings are virtually Haken, but non-Haken [BZ].

Before we can state our results, we must establish some notation. Let F be a once-punctured torus with $\pi_1(F) = \langle [x], [y] \rangle$, and basepoint $x_0 \in \partial F$ (see Fig. 1).

Any orientation-preserving homeomorphism $h : F \rightarrow F$ is isotopic to one of the form $h = D_x^{r_1} D_y^{s_1} \cdots D_x^{r_k} D_y^{s_k}$. Here D_x and D_y are Dehn twists along simple closed curves homologous to x and y , respectively. The twists D_x

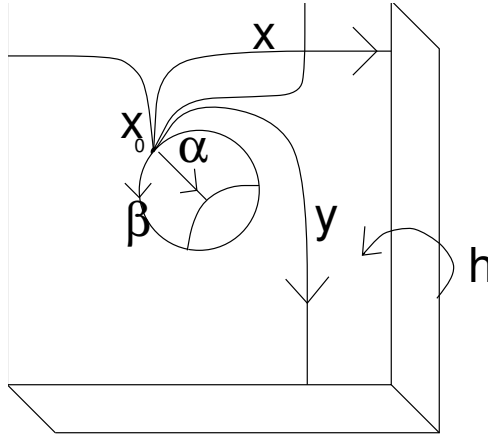


Figure 1. Notation for the once-punctured torus bundle M .

and D_y induce the following actions on $\pi_1(F)$:

$$\begin{aligned} D_{x\sharp}(x) &= x \\ D_{x\sharp}(y) &= yx \\ D_{y\sharp}(x) &= yx \\ D_{y\sharp}(y) &= y. \end{aligned}$$

We may assume h fixes ∂F . Let $M_h = (F \times I)/h$ be the once-punctured torus bundle with monodromy h . We specify a framing for $H_1(\partial M_h, \mathbb{Z})$ by setting the longitude $\beta = \partial F$ oriented counter-clockwise, and the meridian $\alpha = (x_0 \times I)/h$, where x_0 is some point on ∂F , and α is oriented as in Fig. 1. Then, for coprime integers (μ, λ) , $M_h(\mu, \lambda)$ denotes the manifold obtained by gluing a solid torus to M_h in such a way that the curve $\alpha^\mu \beta^\lambda$ becomes homotopically trivial.

We shall prove:

Theorem 1.3. *Let M_h be a once-punctured torus bundle over S^1 , with monodromy $h = D_x^{r_1} D_y^{s_1} \dots D_x^{r_k} D_y^{s_k}$, and let $n = \text{g.c.d}\{s_1, \dots, s_k\}$, $R = r_1 + \dots + r_k$.*

- (i) *If n is divisible by some m such that $m \geq 6$ and m is even or $m = 7$, and if $|\lambda| > 1$, then all but finitely many Dehn-fillings $M_h(\mu, \lambda)$ are virtually \mathbb{Z} -representable.*
- (ii) *If n is divisible by some m such that $m \geq 5$, m is odd, and $m \neq 7$, and if $1/|R\mu - \lambda| + 1/|R\mu - 2\lambda| + 1/|\lambda| < 1$, then $M_h(\mu, \lambda)$ is virtually \mathbb{Z} -representable.*
- (iii) *If n is divisible by 4, and if $2/|R\mu - 2\lambda| + 1/|\lambda| < 1$, then $M_h(\mu, \lambda)$ is virtually \mathbb{Z} -representable.*

Remarks. 1. Analogous results hold if we replace n by $\gcd\{r_1, \dots, r_k\}$ and R by $s_1 + \dots + s_k$.

2. It was shown in [B1] that if $m \geq 2$, $n \geq 2$ and $mn \geq 8$ but $mn \neq 9$, then all non-integral surgeries are virtually \mathbb{Z} -representable. In [B2] it was shown that if $4|n$, then for each μ , $M_h(\mu, \lambda)$ is virtually \mathbb{Z} -representable for all but finitely many λ coprime to μ .

3. From [CJR] and [FH], all but finitely many surgeries on a once-punctured torus bundle over S^1 yield non-Haken manifolds.

Theorem 1.3 may be used to show that, for certain choices of f , all but finitely many surgeries on M_f are virtually \mathbb{Z} -representable. For example:

Theorem 1.4. *Let $f = (D_x D_y)^{18}$. Then every surgery on M_f is virtually \mathbb{Z} -representable.*

The proof of Theorem 1.4 appears in Section 3.

In order to state the next theorem, we require some notation. Let $-1 = (D_x D_y^{-1} D_x)^2$, the central involution on the punctured torus. If h is a homeomorphism of the punctured torus, $-h$ stands for $(-1)h$.

Theorem 1.5. *Let $N = M_{-D_x D_y}$ (also known as “the figure eight knot’s sister”). Then if $1/|\mu - \lambda| + 1/|\mu - 2\lambda| + 1/|\lambda| < 1$, $N(\mu, \lambda)$ is virtually \mathbb{Z} -representable.*

Theorem 1.6. *Let K denote the figure-eight knot and let M denote $S^3 - K$. Then, with respect to the canonical framing of knots in S^3 , any surgery of the form $M(2\mu, \lambda)$ is virtually \mathbb{Z} -representable.*

Other results on virtually \mathbb{Z} -representable figure-eight knot surgeries may be found in [M], [KL], [H], [N] and [B3]. In particular, it was shown in [KL] and [B3] that surgeries of the form $M(4\mu, \lambda)$ are virtually \mathbb{Z} -representable. It was also shown in [B3] that surgeries of the form $M(2\mu, \lambda)$ are virtually \mathbb{Z} -representable if $\lambda = \pm 7\mu \pmod{15}$. Finally, it was shown in [Bart] that every non-trivial surgery of M contains an immersed incompressible surface.

Our techniques are extensions of Baker’s. The main new ingredient is the use of group theory to encode the combinatorics of cutting and pasting.

I would like to thank Professor Alan Reid for his help and patience.

2. Construction of covers.

We begin by recalling Baker’s construction of covering spaces of $M_h(\mu, \lambda)$ (see [B1], [B2]). Let n be as in the statement of Theorem 1.3, and let \hat{F} be the kn -fold cover of F associated to the kernel of the map $\phi : \pi_1(F) \rightarrow \mathbb{Z}_k \times \mathbb{Z}_n$, with $\phi([x]) = (1, 0)$ and $\phi([y]) = (0, 1)$ (see Fig. 2).

Now create a new cover, \tilde{F} , of F by making vertical cuts in each row of \hat{F} , and gluing the left side of each cut to the right side of another cut in the

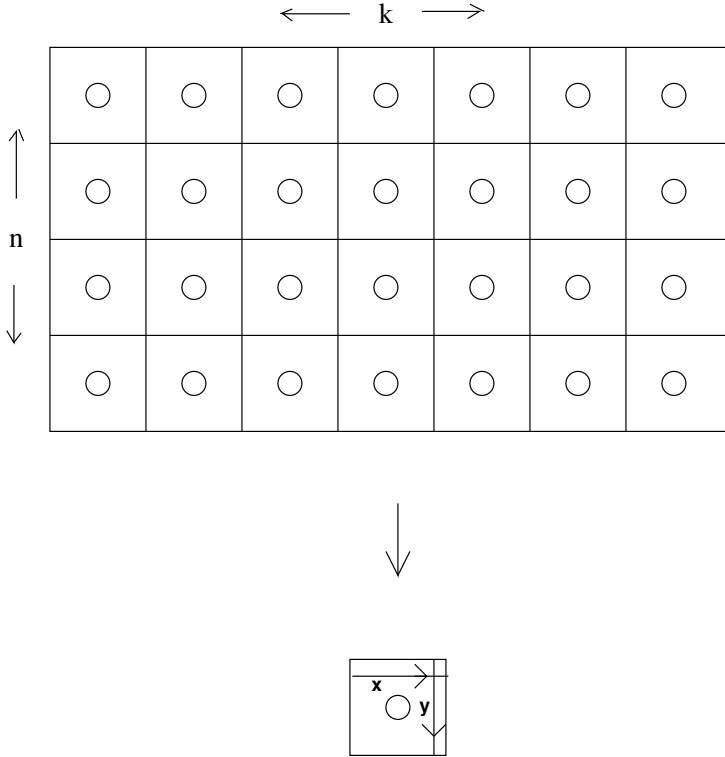


Figure 2. The cover \hat{F} of F .

same row. An example is pictured in Figure 3, where the numbers in each row indicate how the edges are glued.

If h lifts to a map $\tilde{h} : \tilde{F} \rightarrow \tilde{F}$, then the mapping cylinder $\tilde{M}_h = \tilde{F}/\tilde{h}$ is a cover of M_h . Furthermore, if the loop $\alpha^\mu\beta^\lambda$ lifts to loops in \tilde{M}_h , then the cover extends to a cover $\tilde{M}_h(\mu, \lambda)$ of $M_h(\mu, \lambda)$.

If the cover \tilde{M}_h exists, then we may compute its first Betti number with the formula $b_1(\tilde{M}_h) = \text{rank}(fix(\tilde{h}_*))$, where \tilde{h}_* is the map on $H_1(\tilde{M}, \mathbb{Z})$ induced by \tilde{h} , and $fix(\tilde{h}_*)$ is the subgroup of $H_1(\tilde{M}, \mathbb{Z})$ fixed by \tilde{h}_* (see [H] for a proof). We shall use this formula to prove that, in some cases, $b_1(\tilde{M})$ is greater than the number of boundary components of \tilde{M} , which ensures that $b_1(\tilde{M}(\mu, \lambda)) > 0$.

We now introduce some notation to describe the cuts of \tilde{F} (see Fig. 3). \tilde{F} is naturally divided into rows, which we label $1, \dots, n$. The cuts divide each row into pieces, each of which is a square minus two half-disks; we number them $1, \dots, k$. If we slide a point in the top half of the i^{th} row through the cut to its right, we induce a permutation on $\{1, \dots, k\}$, which we denote

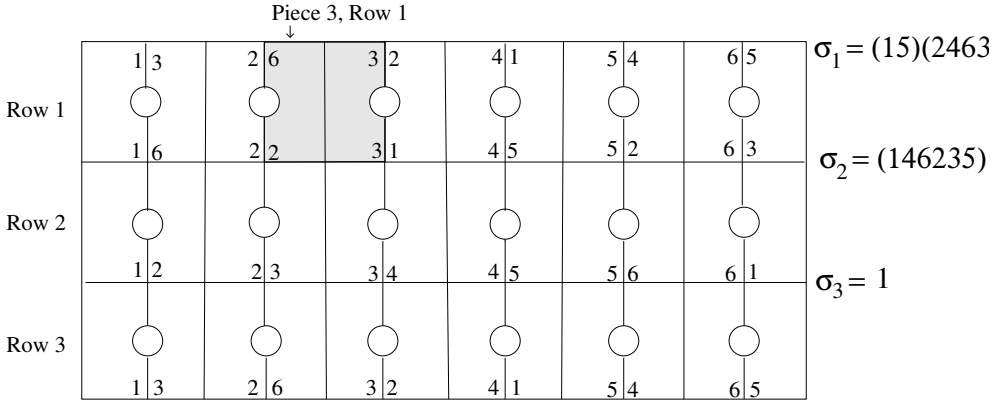


Figure 3. The permutations encode the combinatorics of the gluing.

σ_i . Thus the cuts on \tilde{F} may be encoded by elements $\sigma_1, \dots, \sigma_n \in S_k$, the permutation group on k letters.

Next, we find algebraic conditions on the σ_i 's which will guarantee that the cover of F extends to a cover of $M(\mu, \lambda)$. We first must pick k, n , and $\{\sigma_1, \dots, \sigma_n\}$ so that h lifts to \tilde{F} .

Lemma 2.1. *If*

- I. $[\sigma_i, \sigma_1\sigma_2 \cdots \sigma_{i-1}] = 1$ for all i and
- II. $\sigma_1\sigma_2 \cdots \sigma_n = 1$

then h lifts to \tilde{F} .

Proof. Note that D_y^n lifts to Dehn twists on \tilde{F} . Therefore, we need only ensure that D_x lifts. We shall attempt to lift D_x to a sequence of “fractional Dehn twists” along the rows of \tilde{F} . Let \tilde{x}_i denote the disjoint union of the lifts of x to the i^{th} row of \tilde{F} . We first attempt to lift D_x to row 1, twisting $1/k^{th}$ of the way along \tilde{x}_1 . Considering the action on the bottom half of row 1, we find that the cuts are now matched up according to the permutation $\sigma_1^{-1}\sigma_2\sigma_1$. Thus, for D_x to lift to row 1 we assume σ_1 and σ_2 commute. We now twist along \tilde{x}_2 . The top halves of the squares in row 2 are moved according to the permutation $\sigma_1\sigma_2$, and the lift will extend to all of row 2 if and only if σ_3 commutes with $\sigma_1\sigma_2$. We continue in this manner, obtaining the conditions in I. After we twist through \tilde{x}_n , we need to be back where we started in row 1, so we require the additional condition $\sigma_1\sigma_2 \cdots \sigma_n = 1$. \square

Note that the loop α^μ lifts homeomorphically to loops in \tilde{M}_h if $\tilde{h}^\mu = id$, and that the loop β^λ lifts to loops in \tilde{M}_h if $(\sigma_{i+1}\sigma_i^{-1})^\lambda = id$ for all $i = 1, \dots, n$. Then, by considering the action of \tilde{h} on \tilde{M}_h , the following condition for a loop in ∂M_h to lift to \tilde{M}_h is easily verified:

Lemma 2.2. *The loop $\alpha^\mu \beta^\lambda \subset \partial M_h$ lifts homeomorphically to loops in \tilde{M}_h if and only if*

III. $(\sigma_1 \cdots \sigma_i)^{R\mu} (\sigma_{i+1} \sigma_i^{-1})^\lambda = 1$, for $i = 1, \dots, n$.

Therefore we may construct covers of $M_h(\mu, \lambda)$ simply by finding permutations satisfying conditions I-III.

Proof of Theorem 1.3.

Case 1. $m = 4$.

Construction of the cover of $M_h(\mu, \lambda)$.

To construct a cover of $M_h(\mu, \lambda)$, we must first construct a cover of F . It was shown in the discussion prior to Lemma 2.1 that there is a unique such cover associated to any four permutations $\sigma_1, \sigma_2, \sigma_3$ and σ_4 in any permutation group S_k .

To ensure that the cover of F extends to a cover of M_h , we shall set $\sigma_2 = \sigma_1^{-1}$ and $\sigma_4 = \sigma_3^{-1}$ (see Fig. 4a). Then conditions I and II of Lemma 2.1 are satisfied automatically, so that any choice of σ_1 and σ_3 will determine a cover of M_h .

To ensure that the cover extends to $M_h(\mu, \lambda)$, we must arrange for the surgery curve $\alpha^\mu \beta^\lambda$ to lift to \tilde{M}_h . By Lemma 2.2, $\alpha^\mu \beta^\lambda$ will lift provided that $\sigma_1, \dots, \sigma_4$ satisfy condition III, which reduces to:

- (1) $\sigma_1^{R\mu-2\lambda} = 1$
- (2) $(\sigma_3 \sigma_1)^\lambda = 1$
- (3) $\sigma_3^{R\mu-2\lambda} = 1$
- (4) $(\sigma_1 \sigma_3)^\lambda = 1$.

Any pair of permutations σ_1 and σ_3 satisfying Equations (1)-(4) determines a unique cover of $M_h(\mu, \lambda)$. We now turn our attention to the construction of such permutations.

Consider the abstract group G generated by the symbols $\bar{\sigma}_1$ and $\bar{\sigma}_3$, satisfying relations (1)-(4). G is a $(|R\mu - 2\lambda|, |R\mu - 2\lambda|, |\lambda|)$ -triangle group. It is well-known that if $1/|R\mu - 2\lambda| + 1/|R\mu - 2\lambda| + 1/|\lambda| < 1$, then G is residually finite, and hence surjects a finite group H such that the images of $\bar{\sigma}_1$, $\bar{\sigma}_3$, and $\bar{\sigma}_3 \bar{\sigma}_1$ have order $|R\mu - 2\lambda|$. By taking the permutation representation of H , we then obtain permutations σ_1 and σ_3 satisfying conditions (1)-(4). Note that the permutations act on $|H|$ letters, so \tilde{M} is a $4|H|$ -fold cover of M_h .

Associated with the permutations σ_1 and σ_3 we have covers \tilde{M}_h and \tilde{F} of M_h and F , and a cover $\tilde{M}_h(\mu, \lambda)$ of $M_h(\mu, \lambda)$;

Claim. $b_1(\tilde{M}_h(\mu, \lambda)) > 0$.

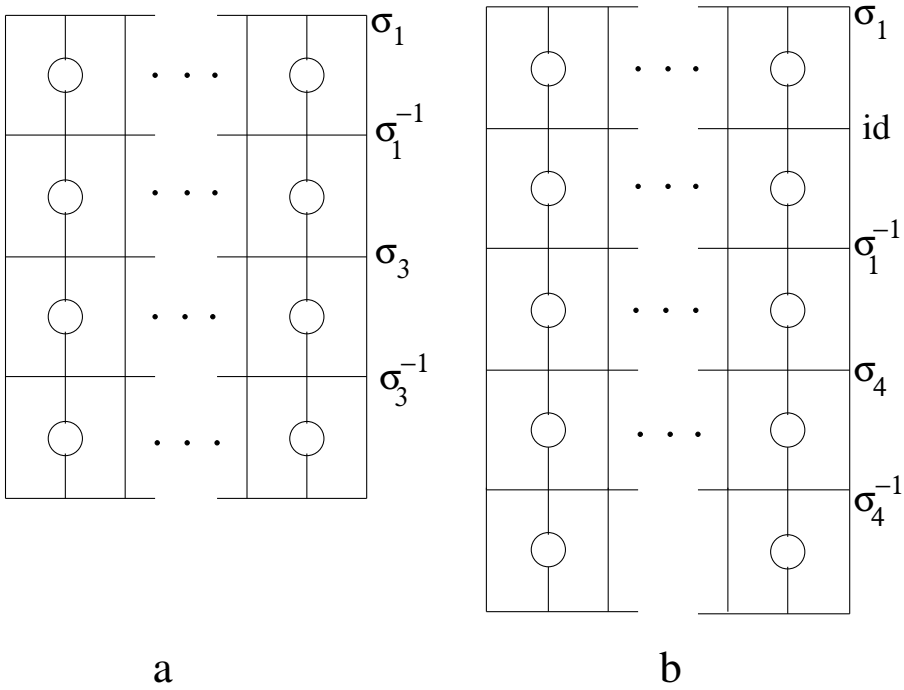


Figure 4

a. The cover when $n = 4$. b. The cover when $n = 5$.

Proof of claim. It suffices to show that \tilde{h}_* has a non-peripheral class $[\delta] \in H_1(\tilde{F})$ with $\tilde{h}_*([\delta]) = [\delta]$. To construct this element, we shall first find a non-peripheral class $[\delta_2]$ in row 2, as follows.

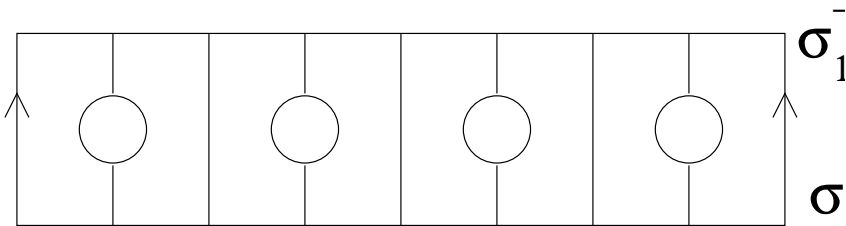


Figure 5. The surface \tilde{F}_2 (with $|H| = 4$).

Consider the sub-surface \tilde{F}_2 obtained by deleting rows 1, 3 and 4 from \tilde{F} (see Fig. 5). The punctures of \tilde{F}_2 are in 1-1 correspondence with the cycles

of σ_1, σ_3 and $\sigma_3\sigma_1$. Any permutation τ coming from the permutation representation of H decomposes as a product of $|H|/order(\tau)$ disjoint $order(\tau)$ -cycles. Therefore \tilde{F}_2 has $|H|(1/order(\sigma_1) + 1/order(\sigma_3) + 1/order(\sigma_3\sigma_1)) < |H|$ punctures. Since $\chi(\tilde{F}_2) = -|H|$, we deduce that \tilde{F}_2 contains a non-peripheral class $[\delta_2]$. The class δ_2 also represents a non-peripheral class in \tilde{F} , since it has non-zero intersection number with a class of \tilde{F} in row 2.

We may find a corresponding non-peripheral loop δ_4 in row 4, such that $I([\delta_2 + \delta_4], [\tilde{y}_i]) = 0$ for all i (see Fig. 6 for the notation and the idea of the proof). Let $[\delta] = [\delta_2 + \delta_4]$. Then, since $[\delta]$ has non-zero intersection number with classes in row 2 and row 4, it is a non-peripheral class. We have $I[\delta, \tilde{y}_i] = 0$ for all i (where $I(., .)$ denotes oriented intersection number); therefore $[\delta]$ is fixed by $\tilde{D}_{y_*}^4$, and since \tilde{D}_x fixes rows 2 and 4, it is fixed by \tilde{D}_{x_*} . Therefore it is fixed by \tilde{h}_* , concluding the proof of the claim, and of Case 1.

Case 2. $m \geq 5$ and m is odd.

Case 2a. $m = 5$.

The construction proceeds analogously to the case $m = 4$. We require permutations $\sigma_1, \dots, \sigma_5$ satisfying conditions I-III. Again, to simplify matters, we shall impose some extra conditions: $\sigma_2 = id$, $\sigma_3 = \sigma_1^{-1}$, and $\sigma_5 = \sigma_4^{-1}$ (see Fig. 4). Then conditions I-III reduce to:

$$\begin{aligned} \sigma_1^{R\mu-\lambda} &= 1 \\ (\sigma_1\sigma_4)^\lambda &= (\sigma_4\sigma_1)^\lambda = 1 \\ \sigma_4^{R\mu-2\lambda} &= 1. \end{aligned}$$

Again, these relations determine a triangle group, which, under the hypotheses on μ and λ , is hyperbolic. The rest of the proof is identical to Case 1, except that now the fixed class is in rows 3 and 5.

Case 2b. $m \geq 9$ and m is odd.

Consider the cover obtained by setting $\sigma_2 = \sigma_1^{-1}$, $\sigma_4 = id$, $\sigma_5 = \sigma_3^{-1}$, $\sigma_6 = \sigma_1$, $\sigma_7 = \sigma_1^{-1}$, and for $i = 4, \dots, k$, $\sigma_{2i+1} = \sigma_{2i}^{-1}$ (see Fig. 7a). \square

The corresponding relations are:

$$\begin{aligned} (5) \quad & \sigma_1^{R\mu-2\lambda} = 1 \\ (6) \quad & \sigma_3^{R\mu-\lambda} = 1 \\ (7) \quad & (\sigma_3\sigma_1)^\lambda = (\sigma_1\sigma_3)^\lambda = 1 \\ (8) \quad & (\sigma_8\sigma_1)^\lambda = 1 \\ (9) \quad & \sigma_{2i}^{R\mu-2\lambda} = 1 \text{ for } i = 4, \dots, k \\ (10) \quad & (\sigma_{2i+2}\sigma_{2i})^\lambda = 1 \text{ for } i = 4, \dots, k-1 \\ (11) \quad & (\sigma_1\sigma_{2k})^\lambda = 1. \end{aligned}$$

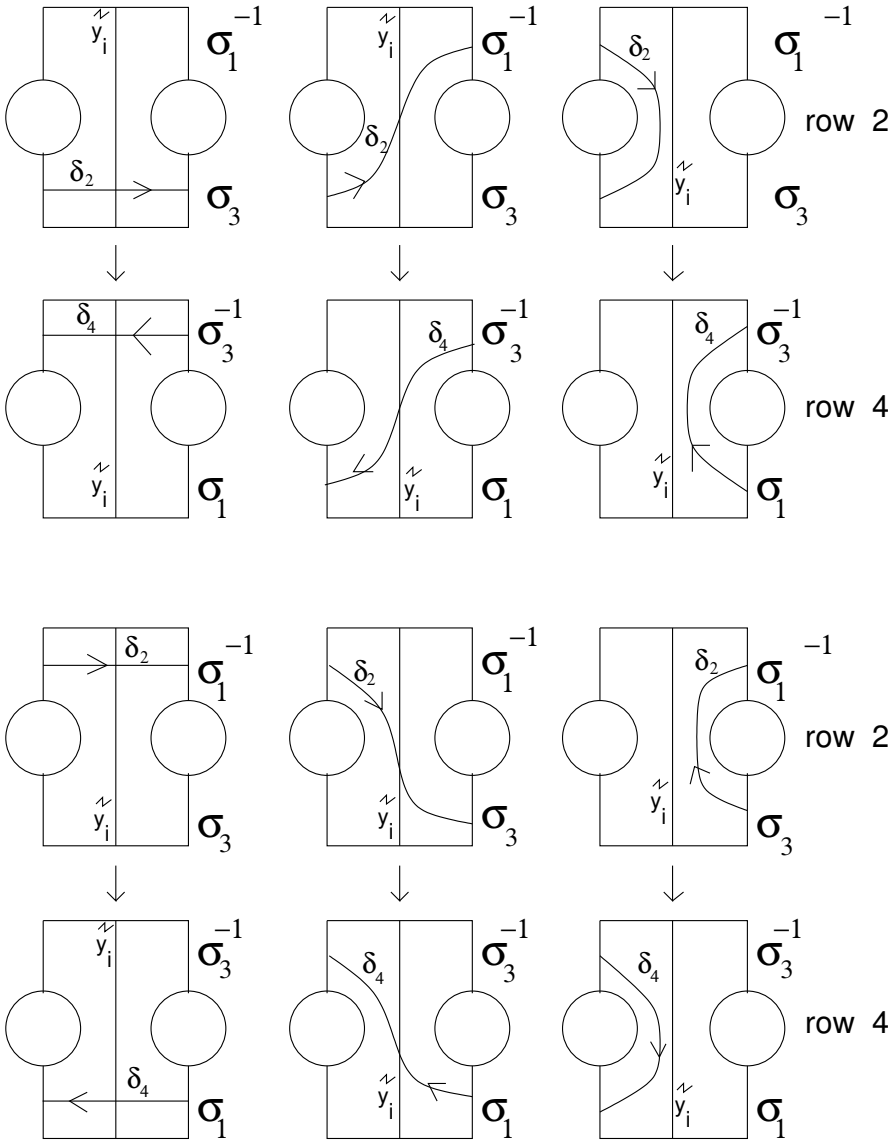


Figure 6. How to find cancelling loops in rows 2 and 4.

These relations again determine a Coxeter group. It is well-known (see [V]) that any such group surjects a finite group “without collapsing”– i.e., such that the orders of the images of the σ_i ’s and $\sigma_i\sigma_j$ ’s are as given in (5)-(11). Then, arguing as in Case 1, we may find a non-peripheral fixed class in rows 2 and 5.

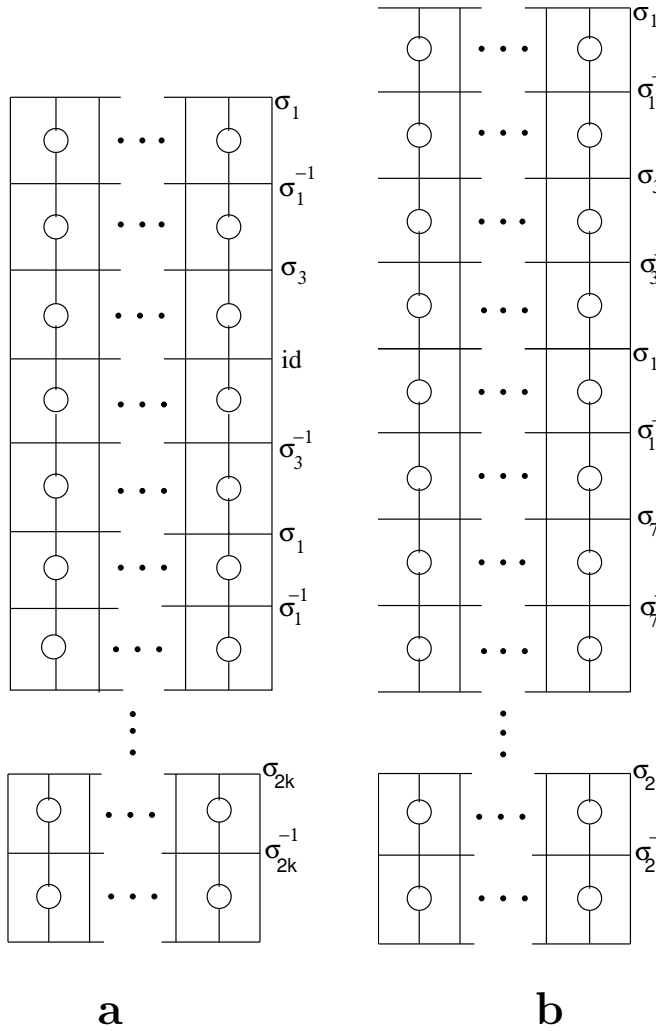


Figure 7. a. The cover for $n = 2k + 1 \geq 9$. b. The cover for $n = 2k \geq 8$.

Case 3. $n = 6$

Case 3a. $2/|R\mu - \lambda| + 1/|\lambda| < 1$.

Again, we need permutations $\sigma_1, \dots, \sigma_6$ satisfying I-III. In this case we impose the additional conditions $\sigma_2 = id$, $\sigma_3 = \sigma_1^{-1}$, $\sigma_5 = id$, and $\sigma_6 = \sigma_4^{-1}$.

Then conditions I-III reduce to:

$$\begin{aligned} \sigma_1^{R\mu-\lambda} &= 1 \\ (\sigma_1\sigma_4)^\lambda &= (\sigma_4\sigma_1)^\lambda = 1 \\ \sigma_4^{R\mu-\lambda} &= 1. \end{aligned}$$

These relations determine a triangle group, and we find a fixed class in rows 3 and 6.

Case 3b. $|\lambda| > 2$ and $|R\mu - 3\lambda| \geq |\lambda|$, or λ is even (non-zero) and $|R\mu - 3\lambda| \geq 4$.

When $n = 3$, conditions I-III may be abelianized to obtain a cyclic group of order $|R\mu - 3\lambda|$. Specifically, they are satisfied by setting $\sigma_1 = (1, 2, \dots, R\mu - 3\lambda)$, $\sigma_2 = \sigma_1^{-2}$, and $\sigma_3 = \sigma_1$. For $n = 6$, we may “double” this cover: That is take $\sigma_1, \sigma_2, \sigma_3$ as above, and then set $\sigma_4 = \sigma_1, \sigma_5 = \sigma_2$, and $\sigma_6 = \sigma_3$. Then we modify the corresponding cover $\tilde{M}(\mu, \lambda)$ of $M(\mu, \lambda)$ by making horizontal cuts in adjacent squares of row 3 and gluing the flaps back together as indicated by Fig. 8. If λ is even, we make two non-adjacent cuts and glue the top of one to the bottom of the other. If λ is odd, we make $(|\lambda| - 1)/2$ pairs of adjacent cuts and glue the top of the one cut to the bottom of the other cut in its pair. Now make the same cuts in row 6, with the same identifications. Since rows 3 and 6 are fixed by \tilde{D}_x , D_x still lifts to the modified $\tilde{M}_h(\mu, \lambda)$, and since the \tilde{y} 's still project 6 to 1 onto y , D_y lifts also; so h lifts. Also, one may check that $\alpha^\mu\beta^\lambda$ still lifts, so $\tilde{M}_h(\mu, \lambda)$ remains a cover of $M_h(\mu, \lambda)$.

To see that $b_1(\tilde{M}_h(\mu, \lambda)) > 0$, note that \tilde{D}_x fixes rows 3 and 6, so it is enough to find a non-peripheral loop in row 3 and add it to the corresponding loop in row 6 with opposite orientation. As in Case 1, the existence of such a non-peripheral loop follows from an Euler characteristic argument (or see Fig. 8).

Note that Case 3a or 3b applies to all but finitely many (μ, λ) with $|\lambda| > 1$.

Case 4. $n = 2k \geq 8$.

Case 4a. $2/|R\mu - 2\lambda| + 1/|\lambda| < 1$. Set $\sigma_2 = \sigma_1^{-1}, \sigma_4 = \sigma_3^{-1}, \sigma_5 = \sigma_1, \sigma_6 = \sigma_1^{-1}$, and $\sigma_{2i} = \sigma_{2i-1}^{-1}$ for $i = 4, \dots, k$ (see Fig. 7b). Then, as in Case 2, these relations determine a Coxeter group. We may find a non-peripheral fixed class in rows 2 and 4.

Case 4b. $|R\mu - \lambda| \leq 2$ We cannot guarantee, in this case, that there will always be a cover with $b_1 > 0$, but we shall show that there are at most finitely many exceptions.

We argue as in Case 3b. Take permutations $\sigma_1, \dots, \sigma_k$, and consider the relations obtained by abelianizing conditions I-III. We claim that they can be satisfied by setting $\sigma_1 = (1, 2, 3, \dots, N)$, for some N , and setting each

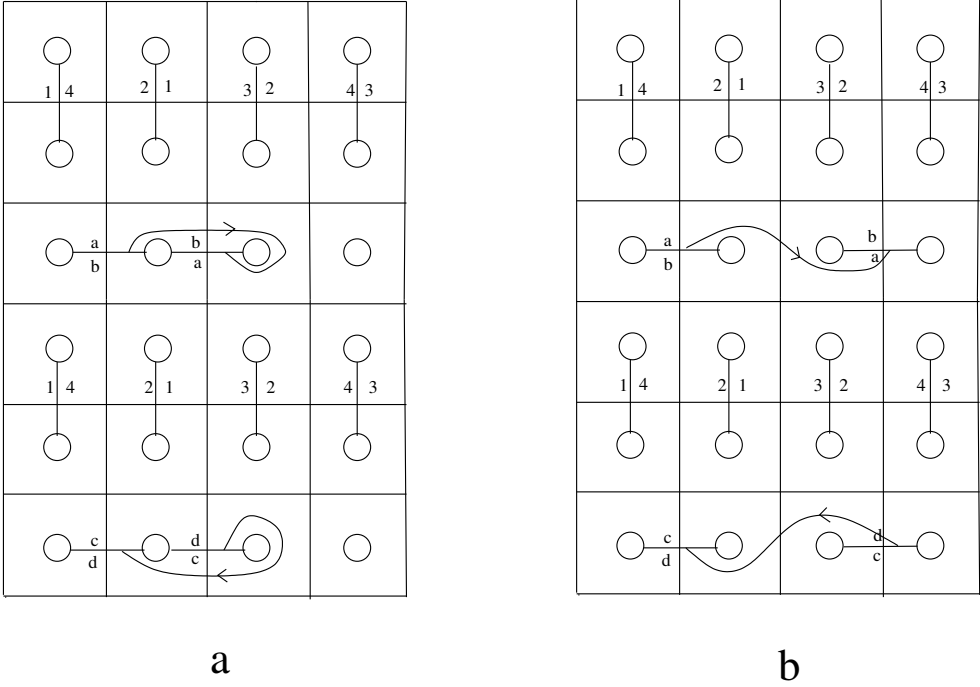


Figure 8. a. The cover and fixed class for $n = 6, R\mu - 3\lambda = 4, \lambda = 3$. b. The cover and fixed class for $n = 6, R\mu - 3\lambda = 4, \lambda = 2$.

σ_i to an appropriate power of σ_1 . We have already seen that this may be done when $k = 3$.

The σ_i 's must satisfy the following conditions:

$$(12) \quad \sigma_1^{R\mu-\lambda} \sigma_2^\lambda = 1$$

$$(13) \quad \sigma_1^{R\mu} \sigma_2^{R\mu-\lambda} \sigma_3^\lambda = 1$$

⋮

$$(14) \quad \sigma_1^{R\mu} \sigma_2^{R\mu} \cdots \sigma_{k-2}^{R\mu}$$

$$(15) \quad \sigma_{k-1}^{R\mu-\lambda} \sigma_k^\lambda = 1$$

$$(16) \quad \sigma_1^{R\mu+\lambda} \sigma_2^{R\mu} \cdots \sigma_{k-1}^{R\mu}$$

$$(17) \quad \sigma_k^{R\mu-\lambda} = 1$$

$$(18) \quad \sigma_1 \sigma_2 \cdots \sigma_k = 1.$$

We shall assume that this system has a cyclic solution, so we may substitute $\sigma_i = \sigma_1^{e_i}$. Then, Equations (12)-(18) are equivalent to the following conditions on the exponents (all of the following equations in this case are taken mod N):

$$(19) \quad R\mu - \lambda + \lambda e_2 = 0$$

$$(20) \quad R\mu + (R\mu - \lambda)e_2 + \lambda e_3 = 0$$

$$\vdots$$

$$(21) \quad R\mu + R\mu e_2 + \cdots + R\mu e_{k-2} + (R\mu - \lambda)e_{k-1} + \lambda e_k = 0$$

$$(22) \quad R\mu + \lambda + R\mu e_2 + \cdots + R\mu e_{k-1} + (R\mu - \lambda)e_k = 0$$

$$(23) \quad 1 + e_2 + \cdots + e_k = 0$$

(22) and (23) imply that $\lambda = \lambda e_k$. Let us set $e_k = 1$, eliminating Equation (22). Then, using (23), we may pair off (19) and (21) to deduce that $\lambda e_2 = \lambda e_{k-1}$, and we set $e_2 = e_{k-1}$ to eliminate (21). Similarly, we set $e_3 = e_{k-2}$, and so on. If k is even, we are left with equations:

$$(24) \quad R\mu - \lambda + \lambda e_2 = 0$$

$$(25) \quad R\mu + (R\mu - \lambda)e_2 + \lambda e_3 = 0$$

$$\vdots$$

$$(26) \quad R\mu + R\mu e_2 + \cdots + (R\mu - \lambda)e_{k/2-1} + \lambda e_{k/2} = 0$$

$$(27) \quad R\mu + R\mu e_2 + \cdots + (R\mu - \lambda)e_{k/2} + \lambda e_{k/2} = 0$$

$$(28) \quad 2 + 2e_2 + \cdots + 2e_{k/2} = 0.$$

If we replace (28) by

$$(29) \quad 1 + e_2 + \cdots + e_{k/2} = 0$$

then we may eliminate (27). Then solve for $\lambda e_2, \lambda^2 e_3, \dots, \lambda^{k/2-1} e_{k/2}$. By (29), we have:

$$(30) \quad \lambda^{k/2-1} + \lambda^{k/2-2}(\lambda e_2) + \lambda^{k/2-3}(\lambda^2 e_3) + \cdots + \lambda^{k/2-1} e_{k/2} = 0.$$

Substituting our solutions for $\lambda e_2, \lambda^2 e_3$ and so on, we get the equation $N = 0$ for some integer N ; the system has a solution in $\mathbb{Z}/N\mathbb{Z}$.

If k is odd, then our reduced system looks like:

$$(31) \quad R\mu - \lambda + \lambda e_2 = 0$$

$$(32) \quad R\mu + (R\mu - \lambda)e_2 + \lambda e_3 = 0$$

⋮

$$(33) \quad R\mu + R\mu e_2 + \cdots + (R\mu - \lambda)e_{(k-1)/2} + \lambda e_{(k+1)/2} = 0$$

$$(34) \quad R\mu + R\mu e_2 + \cdots + (R\mu - \lambda)e_{(k+1)/2} + \lambda e_{(k-1)/2} = 0$$

$$(35) \quad 2 + 2e_2 + \cdots + 2e_{(k-1)/2} + e_{(k+1)/2} = 0.$$

Adding (33) and (34) gives a multiple of (35), so we may eliminate (34). Then we solve for $\lambda e_2, \lambda^2 e_3, \dots, \lambda^{(k-1)/2} e_{(k+1)/2}$. By (35), we have:

$$2\lambda^{(k-1)/2} + 2\lambda^{(k-3)/2}(\lambda e_2) + 2\lambda^{k-5/2}(\lambda^2 e_3) + \cdots + 2\lambda(\lambda^{(k-3)/2} e_{(k-1)/2}) + \lambda^{(k-1)/2} e_{(k+1)/2} = 0.$$

And again we get a solution in $\mathbb{Z}/N\mathbb{Z}$ for some N .

Then, as in Case 3b, $M(\mu, \lambda)$ will have a cover with $b_1 > 0$, provided that $|N| \geq |\lambda|$ and $|\lambda| > 2$. Solving for N , if k is even, gives:

$$(36) \quad N = \lambda^{k/2-1} + \lambda^{k/2-2}(\lambda - R\mu) + \lambda^{k/2-3}[(\lambda - R\mu)^2 - R\mu\lambda] + \lambda^{k/2-4}[(\lambda - R\mu)((\lambda - R\mu)^2 - R\mu\lambda) - R\mu\lambda(\lambda - R\mu) - R\mu\lambda^2] + \cdots$$

and if k is odd:

$$(37) \quad N = 2\lambda^{(k-1)/2} + 2\lambda^{(k-3)/2}(\lambda - R\mu) + 2\lambda^{(k-5)/2}[(\lambda - R\mu)^2 - R\mu\lambda] + 2\lambda^{(k-7)/2}[(\lambda - R\mu)((\lambda - R\mu)^2 - R\mu\lambda) - R\mu\lambda(\lambda - R\mu) - R\mu\lambda^2] + \cdots + 1[..].$$

We are supposing that $|R\mu - \lambda| \leq 2$. So for large μ or λ , $R\mu/\lambda \rightarrow 1$, and for k even,

$$N = o[\lambda^{k/2-1} + \lambda^{k/2-3}(-\lambda^2) + \lambda^{k/2-4}(-\lambda^3) + \cdots] = o[\lambda^{k/2-1} - \lambda^{k/2-1} - \lambda^{k/2-1} - \cdots].$$

So if k is even and $k \geq 8$, then for all but finitely many μ and λ , $|N| > |\lambda|$, and we are done. Similarly, if k is odd and $k \geq 7$, then we are done. In the remaining cases, $|N|$ is given by:

$$\begin{aligned} k = 4, \quad |N| &= |R\mu - 2\lambda| \\ k = 5, \quad |N| &= |(R\mu)^2 - 5R\mu\lambda + 5\lambda^2| \\ k = 6, \quad |N| &= |(R\mu)^2 - 4R\mu\lambda + 3\lambda^2|. \end{aligned}$$

One may check that each condition is satisfied by only finitely many relatively prime pairs (μ, λ) with $|R\mu - \lambda| \leq 2$. This concludes the proof in Case 4b.

Case 5. $n = 7$, and $|\lambda| > 1$.

Case 5a. $1/|R\mu - \lambda| + 1/|\lambda| < 2/3$ and $|(R\mu - 2\lambda)^2 - 2\lambda^2| > 2|R|$.

We shall consider covers with $\sigma_2 = id$, $\sigma_3 = \sigma_1^{-1}$, $\sigma_6 = \sigma_5^{-1}$, and $\sigma_7 = \sigma_4^{-1}$ (see Fig. 9a).

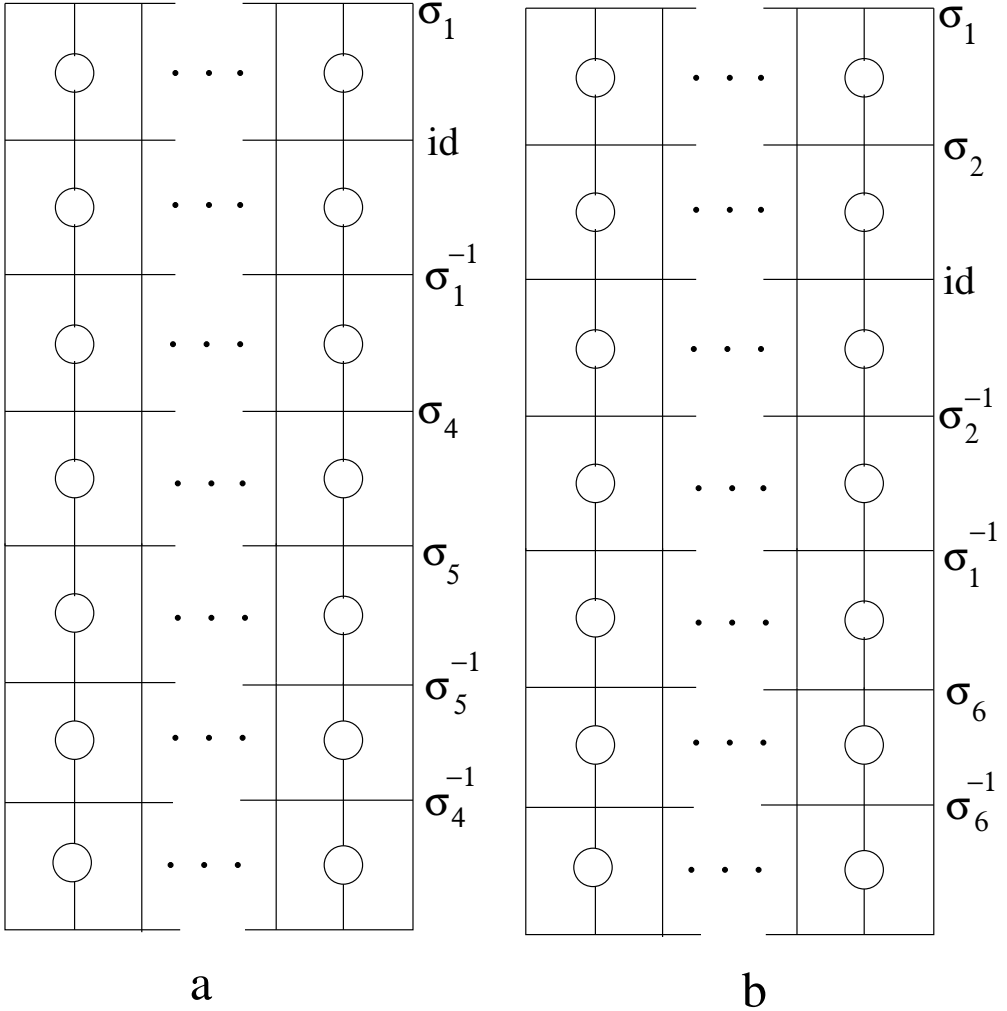


Figure 9. Two covers for $n = 7$.

We obtain conditions:

$$(38) \quad [\sigma_4, \sigma_5] = 1$$

$$(39) \quad \sigma_1^{R\mu-\lambda} = 1$$

$$(40) \quad (\sigma_4\sigma_1)^\lambda = 1$$

$$(41) \quad \sigma_4^{R\mu}(\sigma_5\sigma_4^{-1})^\lambda = 1$$

$$(42) \quad (\sigma_4\sigma_5)^{R\mu}\sigma_5^{-2\lambda} = 1$$

$$(43) \quad (\sigma_1\sigma_4)^\lambda = 1.$$

Let us also assume for simplicity that σ_5 commutes with σ_1 . Equations (38), (41) and (42) determine an abelian group A of order $|(R\mu - 2\lambda)^2 - 2\lambda^2|$; we must show that σ_4^2 is non-trivial in A . The elements σ_4^2 and σ_5 generate a subgroup H of A of index at most 2. If $\sigma_4^2 = id$, then H is cyclic of order $gcd(|\lambda|, |R\mu - 2\lambda|)$. Then $|(R\mu - 2\lambda)^2 - 2\lambda^2| = |A| \leq 2|H| = 2gcd(|\lambda|, |R\mu - 2\lambda|) = 2gcd(|\lambda|, |R|) \leq 2|R|$. So if

$$(44) \quad |(R\mu - 2\lambda)^2 - 2\lambda^2| > 2|R|$$

then $\sigma_4^2 \neq id$. Therefore, under our hypotheses, the relations generate a group which is isomorphic to the direct sum of a cyclic group with a hyperbolic triangle group. As in the previous cases, we may then find a non-peripheral fixed class (in rows 3 and 7), and we are done.

However, note that if $R = 1$, then Equation (44) is false for all (μ, λ) satisfying

$$(\mu + 2\lambda)^2 - 2\lambda^2 = 1.$$

This is an example of Pell's equation, which has infinitely many solutions, and hence (44) may be false infinitely often.

Case 5b. $1/|R\mu - 2\lambda| + 1/|\lambda| < 2/3$ and $|(R\mu - \lambda)^2 - 2\lambda^2| > 2|R|$.

Let $\sigma_3 = id$, $\sigma_4 = \sigma_2^{-1}$, $\sigma_5 = \sigma_1^{-1}$, and $\sigma_7 = \sigma_6^{-1}$ (see Fig. 9b). The conditions for a cover are:

$$(45) \quad [\sigma_1, \sigma_2] = 1$$

$$(46) \quad \sigma_1^{R\mu}(\sigma_2\sigma_1^{-1})^\lambda = 1$$

$$(47) \quad (\sigma_1\sigma_2)^{R\mu}\sigma_2^{-\lambda} = 1$$

$$(48) \quad (\sigma_6\sigma_1)^\lambda = 1$$

$$(49) \quad \sigma_6^{R\mu-2\lambda} = 1$$

$$(50) \quad (\sigma_1\sigma_6)^\lambda = 1.$$

For simplicity, suppose also that σ_2 commutes with σ_6 . Then (45), (46), (47) determine an abelian group B of order $|(R\mu - \lambda)^2 - R\mu\lambda|$. If $\sigma_1^2 = 1$, then $|B| \leq 2gcd(|\lambda|, |R\mu - \lambda|) = 2gcd(|\lambda|, |R|) \leq 2|R|$. Therefore, in this case, the group determined by conditions (45)-(50) is again the direct product

of an abelian group with a hyperbolic triangle group, and we may find a non-peripheral fixed class in rows 5 and 7.

Note that Case 5a or 5b applies to all but finitely many surgeries where $|\lambda| > 1$.

This concludes the proof of Theorem 1.3. □

3. Examples.

We begin with the proof of Theorems 1.5 and 1.6 (see Section 1 for notation).

Lemma 3.1. *Let $g = D_y^5 D_x^{-1}$ and $h = D_x D_y$. Then $M_{-h}(\mu, \lambda) \cong M_g(\mu, \lambda - \mu)$, and $M_{h^2}(\mu, \lambda) \cong M_{(-h)^2}(\mu, \lambda + \mu) \cong M_{g^2}(\mu, \lambda - \mu)$.*

Proof. Recall that the mapping class group of the once-punctured torus is isomorphic to $SL_2(\mathbb{Z})$, under the identifications $D_x \rightarrow R = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$ and $D_y \rightarrow L = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$. Under these identifications, we compute that h has monodromy matrix $\begin{bmatrix} 2 & 1 \\ 1 & 1 \end{bmatrix}$, (-1) has monodromy matrix $\begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}$, and g has monodromy matrix $\begin{bmatrix} 1 & -1 \\ 5 & -4 \end{bmatrix}$. The homeomorphisms h^2 and $(-h)^2$ have the same monodromy matrix, and hence are isotopic. Therefore $M_{h^2} \cong M_{(-h)^2}$. Also, $\begin{bmatrix} -1 & 1 \\ -2 & 1 \end{bmatrix}(-RL)\begin{bmatrix} -1 & 1 \\ -2 & 1 \end{bmatrix}^{-1} = L^5 R^{-1}$, so g and $-h$ have conjugate monodromy matrices. It follows that $M_{-h} \cong M_g$, and $M_{(-h)^2} \cong M_{g^2}$.

It remains to determine the effect of these homeomorphisms on the framings. Computing the maps on $\pi_1(F)$ gives:

$$(-h)_{\sharp}^2 = (x^{-1} y x y^{-1})(h_{\sharp}^2)(x^{-1} y x y^{-1})^{-1}.$$

Therefore the isotopy which takes h^2 to $(-h)^2$ twists ∂F once in a counter-clockwise manner, so the induced bundle homeomorphism sends $M_{h^2}(\mu, \lambda)$ to $M_{(-h)^2}(\mu, \lambda + \mu)$.

Let $f = D_y^2 D_x^{-1}$. The bundle homeomorphism induced by conjugation preserves the framing, so $M_{-h}(\mu, \lambda) \cong M_{f(-h)f^{-1}}(\mu, \lambda)$. The homeomorphisms $f(-h)f^{-1}$ and g have identical monodromy matrices, and hence are isotopic. We compute $g_{\sharp} = (y x^{-1} y^{-1} x) f(-h) f_{\sharp}^{-1} (y x^{-1} y^{-1} x)^{-1}$ so the isotopy from $f(-h)f^{-1}$ to g twists ∂F once in a clockwise manner. The induced bundle homeomorphism sends $M_{f(-h)f^{-1}}(\mu, \lambda)$ to $M_g(\mu, \lambda - \mu)$. So $M_{-h}(\mu, \lambda) \cong M_g(\mu, \lambda - \mu)$.

Likewise, $M_{f(-h)^2 f^{-1}}(\mu, \lambda) \cong M_{g^2}(\mu, \lambda - 2\mu)$. Thus

$$M_{h^2}(\mu, \lambda) \cong M_{(-h)^2}(\mu, \lambda + \mu) \cong M_{f(-h)^2 f^{-1}}(\mu, \lambda + \mu) \cong M_{g^2}(\mu, \lambda - \mu).$$

□

Proof of Theorem 1.5. This is an immediate consequence of Lemma 3.1 and Theorem 1.3. □

Proof of Theorem 1.6. We have $M(2\mu, \lambda) \cong M_h(2\mu, \lambda)$, which is double covered by $M_{h^2}(\mu, \lambda) \cong M_{g^2}(\mu, \lambda - \mu)$. So it is enough to show that $M_{g^2}(\mu, \lambda - \mu)$ is virtually \mathbb{Z} -representable. By Theorem 1.3, we are done unless

$$1/|-2\mu - (\lambda - \mu)| + 1/|-2\mu - 2(\lambda - \mu)| + 1/|\lambda - \mu| \geq 1$$

or, simplifying:

$$(51) \quad 1/|\mu + \lambda| + 1/|2\lambda| + 1/|\mu - \lambda| \geq 1.$$

By [B3], $M(2\mu, \lambda)$ is virtually \mathbb{Z} -representable if 2μ is divisible by 4; hence we may assume μ is odd. Also, since $\gcd(2\mu, \lambda) = 1$, we may assume λ is odd, and, assuming $(\mu, \lambda) \neq (\pm 1, 1)$, $|\lambda| \neq |\mu|$. It follows that

$$(52) \quad |\mu - \lambda| \geq 2$$

$$(53) \quad |\mu + \lambda| \geq 2.$$

The only simultaneous solutions to inequalities (51), (52) and (53) with μ and λ odd are: $(\mu, \lambda) = \pm(-3, 1)$ and $\pm(3, 1)$. So the only possible exceptions to Theorem 1.6 are $M(-6, 1) \cong M(6, 1)$ and $M(2, 1) \cong M(-2, 1)$. The virtual \mathbb{Z} -representability of these manifolds may be verified with either of the computer programs GAP or Snappea. \square

We now turn to the proof of Theorem 1.4.

Proof of Theorem 1.4. Let g and h be as in the statement of Lemma 3.1, let $f = h^{18}$, and let $i = D_x^2 D_y^{-4} D_x D_y^{-4} D_x$. Both h^3 and i have monodromy matrix $\begin{bmatrix} 13 & 8 \\ 8 & 5 \end{bmatrix}$; hence h^3 and i are isotopic. By arguments similar to those used in the proof of Lemma 3.1, we compute that $M_{h^3}(\mu, \lambda) \cong M_i(\mu, \mu + \lambda)$. Therefore $M_f(\mu, \lambda) \cong M_{i^6}(\mu, \lambda + 6\mu)$. Hence by Theorem 1.3 iii, $M_f(\mu, \lambda)$ is virtually \mathbb{Z} -representable if

$$(54) \quad 1/|6\mu - \lambda| + 1/|6\mu + \lambda| < 1.$$

By Lemma 3.1 we have $M_f(\mu, \lambda) \cong M_{g^{18}}(\mu, \lambda - 9\mu)$. Hence by Theorem 1.3 ii, $M_f(\mu, \lambda)$ is virtually \mathbb{Z} -representable if

$$(55) \quad 1/|9\mu + \lambda| + 1/|2\lambda| + 1/|9\mu - \lambda| < 1.$$

The only simultaneous solutions to the inequalities 54 and 55 have $\mu = 0$. The proof is completed by noting that $M(0, 1)$ has positive first Betti number, as it is a torus bundle over S^1 . \square

We remark that the same methods may be applied to many other examples of once-punctured torus bundles, to show that all but finitely many surgeries are virtually \mathbb{Z} -representable. The idea is to start with a monodromy f to which Theorem 1.3 i or ii applies. Since L^4 and R generate a finite-index subgroup of $SL_2(\mathbb{Z})$, there exists an integer ℓ such that f^ℓ is

isotopic to a g satisfying the hypotheses of Theorem 1.3 iii. Usually Theorem 1.3 will then imply that all but finitely many surgeries on $M_{f\ell}$ are virtually \mathbb{Z} -representable.

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Received June 15, 1998.

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