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 $C^*$ -ALGEBRAS

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## STABLE RANK AND REAL RANK OF GRAPH $C^*$ -ALGEBRAS

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*Dedicated to Professor Sa Ge Lee on his 60th birthday*

For a row finite directed graph  $E$ , Kumjian, Pask, and Raeburn proved that there exists a universal  $C^*$ -algebra  $C^*(E)$  generated by a Cuntz-Krieger  $E$ -family. In this paper we consider two density problems of invertible elements in graph  $C^*$ -algebras  $C^*(E)$ , and it is proved that  $C^*(E)$  has stable rank one, that is, the set of all invertible elements is dense in  $C^*(E)$  (or in its unitization when  $C^*(E)$  is nonunital) if and only if no loop of  $E$  has an exit. We also prove that for a locally finite directed graph  $E$  with no sinks if the graph  $C^*$ -algebra  $C^*(E)$  has real rank zero ( $RR(C^*(E)) = 0$ ), that is, the set of invertible self-adjoint elements is dense in the set of all self-adjoint elements of  $C^*(E)$  then  $E$  satisfies a condition (K) on loop structure of a graph, and that the converse is also true for  $C^*(E)$  with finitely many ideals. In particular, for a Cuntz-Krieger algebra  $\mathcal{O}_A$ ,  $RR(\mathcal{O}_A) = 0$  if and only if  $A$  satisfies Cuntz's condition (II).

### 1. Introduction.

Given an  $n \times n$   $\{0, 1\}$ -matrix  $A$  with no zero row or column, a family of  $n$  partial isometries  $S_i$  satisfying the relation

$$(*) \quad S_i^* S_i = \sum_{j=1}^n A(i, j) S_j S_j^*$$

is called a *Cuntz-Krieger  $A$ -family*. In [CK], under a condition (I) on the matrix  $A$ , it is proved that any two such families generate isomorphic  $C^*$ -algebras, thus the Cuntz-Krieger algebra  $\mathcal{O}_A$  is well-defined. Furthermore when  $A$  satisfies condition (II) which is stronger than (I) the ideal structure of  $\mathcal{O}_A$  was analysed by Cuntz in [C].

As a generalization of Cuntz-Krieger algebras one may consider a  $C^*$ -algebra generated by a family of partial isometries satisfying the relation (\*) for some infinite  $\{0, 1\}$ -matrix  $A$ , provided every row of  $A$  contains only finitely many 1's, and this has been done in [KPRR] and [KPR] with directed graphs. For any row finite directed graph  $E$  with countable vertices

$\{v \mid v \in E^0\}$  and edges  $\{e \mid e \in E^1\}$ , the associated graph  $C^*$ -algebra  $C^*(E)$  is defined to be a universal  $C^*$ -algebra generated by a family of partial isometries  $\{s_e \mid e \in E^1\}$  and a family of mutually orthogonal projections  $\{p_v \mid v \in E^0\}$  subject to the relations:

$$s_e^*s_e = p_{r(e)}, \quad p_v = \sum_{s(f)=v} s_f s_f^*,$$

where  $r(e)$  (respectively,  $s(e)$ ) denotes the range (respectively, source) vertex of the edge  $e$ . If  $\{A(e, f)\}$  is the edge matrix of  $E$  then these relations give a generalized form of  $(*)$ , that is,  $s_e^*s_e = \sum_{s(f)=r(e)} A(e, f)s_f s_f^*$ .

If  $E$  has no sinks then there is a locally compact  $r$ -discrete groupoid  $\mathcal{G}_E$  associated with  $E$  whose unit space  $\mathcal{G}_E^0$  is identified with the infinite path space of  $E$ . Furthermore it is shown in [KPRR], Theorem 4.2 that the groupoid  $C^*$ -algebra  $C^*(\mathcal{G}_E)$  is isomorphic to  $C^*(E)$ , and hence those useful results on groupoid  $C^*$ -algebras in [Rn1] and [Rn2] could be used to analyse the structure of  $C^*(E)$ . One important theorem in [KPRR] is about the ideal structure of graph  $C^*$ -algebras; there is an inclusion preserving one-to-one map of saturated hereditary vertex subsets of  $E$  into the ideals of  $C^*(E)$  and moreover if  $E$  satisfies a condition (K) then the map is also bijective.

A graph-theoretic condition (L) analogous to Cuntz-Krieger’s condition (I) was given in [KPR], where it was shown that if  $E$  is a locally finite directed graph with no sinks and satisfies (L) then a  $C^*$ -algebra generated by a Cuntz-Krieger  $E$ -family of non-zero elements is isomorphic to  $C^*(E)$ . One interesting result among others in [KPR] is that  $C^*(E)$  is AF if and only if  $E$  has no loops. It is also shown in [D] that every AF-algebra arises as the  $C^*$ -algebra of a locally finite pointed directed graph in the sense of [KPRR]. Recall that every AF algebra  $A$  has stable rank one ( $sr(A) = 1$ ); the set of invertible elements is dense in  $A$  (or  $\tilde{A}$  if  $A$  is nonunital). In Section 3, we give a necessary and sufficient graph-theoretic condition on  $E$  for the graph algebra  $C^*(E)$  to have stable rank one;  $sr(C^*(E)) = 1$  if and only if no loop of  $E$  has an exit.

We see from [KPR] that if  $E$  is a cofinal graph with no sinks and satisfies (L) then the universal  $C^*$ -algebra  $C^*(E)$  is simple and it is either AF or purely infinite. It is also well-known that all AF algebras and purely infinite simple  $C^*$ -algebras have real rank zero, that is, every self-adjoint element can be arbitrarily closely approximated by invertible self-adjoint elements (or in the unitized algebra for a nonunital  $C^*$ -algebra). So it would be interesting to know when a non-simple graph  $C^*$ -algebra can have real rank zero, and we prove in Section 4 that for a locally finite directed graph  $E$  with no sinks if the graph algebra  $C^*(E)$  has real rank zero ( $RR(C^*(E)) = 0$ ) then the graph must satisfy condition (K). Conversely we also show that for any locally finite graph  $E$  with no sinks if  $E$  satisfies condition (K) and

$C^*(E)$  has finitely many ideals then  $RR(C^*(E)) = 0$ . In particular, if  $E$  is a locally finite graph with no sinks and has finitely many vertices then  $RR(C^*(E)) = 0$  if and only if  $E$  satisfies condition (K). Therefore, for a Cuntz-Krieger algebra  $\mathcal{O}_A$  associated with a  $\{0, 1\}$ -matrix  $A$  satisfying (I),  $RR(\mathcal{O}_A) = 0$  if and only if  $A$  satisfies condition (II) since  $A$  can be viewed as a vertex matrix of a finite graph  $E$  which has no sinks and satisfies (L) and that the finite graph  $E$  satisfies condition (K) is equivalent to that its vertex matrix  $A$  satisfies condition (II).

### 2. Preliminaries.

We recall some definitions and notations from [KPR] and [KPRR] on directed graphs, graph  $C^*$ -algebras, and groupoids associated with graphs. A *directed graph*  $E = (E^0, E^1, r, s)$  consists of countable sets  $E^0$  of vertices and  $E^1$  of edges, and the range, source maps  $r, s : E^1 \rightarrow E^0$ .  $E$  is *row finite (locally finite)* if for each vertex  $v \in E^0$ ,  $s^{-1}(v)$  is (both  $r^{-1}(v)$  and  $s^{-1}(v)$  are) finite. We call a locally finite graph  $E$  *finite* if  $E^0$  is finite. If  $e_1, \dots, e_n$  ( $n \geq 2$ ) are edges with  $r(e_i) = s(e_{i+1})$ ,  $1 \leq i \leq n - 1$ , then we can form a (finite) path  $\alpha = (e_1, \dots, e_n)$  of *length*  $|\alpha| = n$ , and extend the maps  $r, s$  by  $r(\alpha) = r(e_n), s(\alpha) = s(e_1)$ .

Let  $E^n$  be the set of all finite paths of length  $n$  and

$$E^* := \cup_{n \geq 0} E^n, \quad r(v) = s(v) = v \text{ for } v \in E^0,$$

$$E^\infty := \{(\alpha_i)_{i=1}^\infty \mid \alpha_i \in E^1, r(\alpha_i) = s(\alpha_{i+1})\}.$$

A vertex  $v \in E^0$  with  $s^{-1}(v) = \emptyset$  is called a *sink*.

Given a row finite directed graph  $E$ , a *Cuntz-Krieger  $E$ -family* consists of a set  $\{P_v \mid v \in E^0\}$  of mutually orthogonal projections and a set  $\{S_e \mid e \in E^1\}$  of partial isometries satisfying the relations

$$S_e^* S_e = P_{r(e)}, \quad e \in E^1, \quad \text{and} \quad P_v = \sum_{s(e)=v} S_e S_e^*, \quad v \in s(E^1).$$

From these relations, one can show that every non-zero word in  $S_e, P_v$  and  $S_f^*$  is a partial isometry of the form  $S_\alpha S_\beta^*$  for some  $\alpha, \beta \in E^*$  with  $r(\alpha) = r(\beta)$  ([KPR], Lemma 1.1).

**Theorem 2.1** ([KPR, Theorem 1.2]). *For a row finite directed graph  $E = (E^0, E^1)$ , there exists a  $C^*$ -algebra  $C^*(E)$  generated by a Cuntz-Krieger  $E$ -family  $\{s_e, p_v \mid v \in E^0, e \in E^1\}$  of non-zero elements such that for any Cuntz-Krieger  $E$ -family  $\{S_e, P_v \mid v \in E^0, e \in E^1\}$  of partial isometries acting on a Hilbert space  $\mathcal{H}$ , there is a representation  $\pi : C^*(E) \rightarrow B(\mathcal{H})$  such that*

$$\pi(s_e) = S_e, \quad \text{and} \quad \pi(p_v) = P_v$$

for all  $e \in E^1, v \in E^0$ .

A finite path  $\alpha$  with  $|\alpha| > 0$  is called a *loop* at  $v$  if  $s(\alpha) = r(\alpha) = v$ . If the vertices  $\{r(\alpha_i) \mid 1 \leq i \leq |\alpha|\}$  are distinct, the loop  $\alpha$  is *simple*.

$E$  is said to satisfy a condition (L) if every loop in  $E$  has an exit, and a condition (K) if for any vertex  $v$  on a loop there exist at least two distinct loops  $\alpha, \beta$  based at  $v$ , that is,  $r(\alpha) = r(\beta) = s(\alpha) = s(\beta) = v$ ,  $r(\alpha_i) \neq v$  for  $1 \leq i < |\alpha|$ , and  $r(\beta_j) \neq v$  for  $1 \leq j < |\beta|$ . Note that the condition (K) is stronger than (L) and if  $E$  has no loops then the two conditions are trivially satisfied.

If  $E$  has no sinks then  $E^\infty \neq \emptyset$  and we have the following groupoid associated with  $E$

$$\begin{aligned} \mathcal{G}_E &= \{(x, k, y) \in E^\infty \times \mathbb{Z} \times E^\infty \mid x_i = y_{i+k} \text{ for sufficiently large } i\} \\ (x, k, y)^{-1} &:= (y, -k, x), \\ (x, k, y) \cdot (y, l, z) &:= (x, k + l, z). \end{aligned}$$

Then the range and source maps  $r, s : \mathcal{G}_E \rightarrow \mathcal{G}_E^0$  are given by

$$r(x, k, y) = x, \quad s(x, k, y) = y.$$

$\mathcal{G}_E$  is a locally compact  $r$ -discrete groupoid with respect to a suitable topology and  $\mathcal{G}_E^0$  is identified with  $E^\infty$ . Furthermore the groupoid algebra  $C^*(\mathcal{G}_E)$  is isomorphic to the graph  $C^*$ -algebra  $C^*(E)$  by Theorem 4.2 of [KPRR].

### 3. Stable rank of $C^*(E)$ .

Recall that a  $C^*$ -algebra  $A$  has stable rank one ( $sr(A) = 1$ ) if the set  $A^{-1}$  of all invertible elements is dense in  $A$  (in  $\tilde{A}$  if  $A$  is non-unital). One can show that every  $C^*$ -algebra  $A$  with  $sr(A) = 1$  is stably finite, and so there is no infinite projection in  $A$ . If two  $C^*$ -algebras  $A$  and  $B$  are strong Morita equivalent, in particular if they are stably isomorphic, then  $sr(A) = 1$  if and only if  $sr(B) = 1$  ([BP2], [Rf]).

**Lemma 3.1** ([BP2, Proposition 6.4]). *Let  $I$  be an ideal of a  $C^*$ -algebra  $A$ . Then  $sr(A) = 1$  if and only if  $sr(I) = sr(A/I) = 1$  and every invertible element lifts (that is,  $(\tilde{A}/I)^{-1} = \tilde{A}^{-1}/I$ ).*

We say that a subgraph  $H$  of  $E$  has *no exit* if  $e \in E^1$ ,  $s(e) \in H^0$  implies  $e \in H^1$ .

**Lemma 3.2** ([KPR, Proposition 2.1]). *If  $H$  is a subgraph of a directed graph  $E$  with no exit then*

$$I := \overline{\text{span}}\{s_\alpha s_\beta^* \mid \alpha, \beta \in E^*, r(\alpha) = r(\beta) \in H^0\}$$

*is a closed ideal of  $C^*(E)$  strong Morita equivalent to the hereditary  $C^*$ -subalgebra  $B := \overline{\text{span}}\{s_\alpha s_\beta^* \mid \alpha, \beta \in H^*\}$ .*

We call a vertex  $v$  *cofinal* if for any infinite path  $x = (x_1, x_2, \dots) \in E^\infty$  there is a finite path  $\alpha \in E^*$  with  $s(\alpha) = v$  and  $r(\alpha) = s(x_n)$  for some  $n$  ([KPRR]). A directed graph  $E$  is said to be *cofinal* if every vertex is cofinal.

**Theorem 3.3.** *Let  $E = (E^0, E^1, r, s)$  be a row finite directed graph. Then  $E$  has no loop with an exit if and only if  $sr(C^*(E)) = 1$ .*

*Proof.* If  $E$  has no loops then  $C^*(E)$  is AF and so  $sr(C^*(E)) = 1$ . Assume that  $E$  has loops and every loop has no exit. Let  $H$  be the subgraph of  $E$  consisting of all the loops. Since  $H$  has no exit, by Lemma 3.2,

$$I = \overline{\text{span}}\{s_\beta s_\gamma^* \mid \beta, \gamma \in E^*, r(\beta) = r(\gamma) \in H^0\}$$

is an ideal of  $C^*(E)$  which is strong Morita equivalent to the hereditary subalgebra  $B = \overline{\text{span}}\{s_\beta s_\gamma^* \mid \beta, \gamma \in H^*\}$ . Let  $\alpha$  be a simple loop in  $E$ , then  $v = s(\alpha)$  is cofinal in the subgraph  $H_\alpha$  consisting only of  $\alpha$ , and  $H_\alpha$  has no sinks. Thus  $C^*(H_\alpha) \cong C^*(\mathcal{G}_{H_\alpha})$  ([KPRR], Theorem 4.2). Let  $N = \{x \in H_\alpha^\infty \mid s(x) = v\}$ , and  $\mathcal{G}_{H_\alpha N}^N$  be the reduction of  $\mathcal{G}_{H_\alpha}$  to  $N$ . Then by [KPRR], Theorem 3.1,  $C^*(\mathcal{G}_{H_\alpha N}^N)$  is isomorphic to the full corner of  $C^*(\mathcal{G}_{H_\alpha})$ , so they are strong Morita equivalent. Since  $N$  consists of only one path, say  $x$ , and  $\mathcal{G}_{H_\alpha N}^N = \{(x, kn, x) \mid k \in \mathbb{Z}\} \cong \mathbb{Z}$ ,  $C^*(H_\alpha)$  is strong Morita equivalent to the group  $C^*$ -algebra  $C^*(\mathbb{Z}) \cong C(\mathbb{T})$ . Since  $C(\mathbb{T})$  has stable rank 1, it follows that  $sr(C^*(H_\alpha)) = 1$ , and so  $sr(B_\alpha) = 1$ , where  $B_\alpha := \overline{\text{span}}\{s_\beta s_\gamma^* \mid \beta, \gamma \in H_\alpha^*\}$ , because  $B_\alpha$  is a quotient algebra of  $C^*(H_\alpha)$ . Thus  $sr(I_\alpha) = sr(B_\alpha) = 1$ , where

$$I_\alpha := \overline{\text{span}}\{s_\beta s_\gamma^* \mid \beta, \gamma \in E^*, r(\beta) = r(\gamma) \in H_\alpha^0\}.$$

Therefore  $sr(I) = 1$  since  $I$  is the direct sum of the ideals  $I_\alpha$ .

Now, let  $D$  be the  $C^*$ -subalgebra of  $C^*(E)$  generated by

$$\{s_e \mid e \in E^1 \setminus H^1\} \cup \{p_v \mid v \in E^0\},$$

which is a Cuntz-Krieger  $G$ -family for the subgraph  $G = (E^0, E^1 \setminus H^1)$  of  $E$ . Thus by Theorem 2.1 there is a  $*$ -homomorphism from  $C^*(G)$  onto  $D$ . Since  $G$  has no loops at all,  $C^*(G)$  is an AF algebra having stable rank one, so we have  $sr(D) = 1$  by Lemma 3.1.

It is clear that under the canonical projection  $\pi : C^*(E) \rightarrow C^*(E)/I$  the subalgebra  $D$  of  $C^*(E)$  maps onto  $C^*(E)/I$  and hence the stable rank of  $C^*(E)/I$  is one as a homomorphic image of an algebra of stable rank one. Also, every invertible element in the AF algebra  $\pi(\widetilde{D})(= \widetilde{C^*(E)/I})$  is connected to the unit, whence it lifts to an invertible element in  $\widetilde{C^*(E)}$ . Then by Lemma 3.1,  $sr(C^*(E)) = 1$ .

Conversely, suppose that  $E$  has a simple loop  $\alpha = (\alpha_1, \dots, \alpha_n)$  with an exit at  $v = s(\alpha)$ . It is easy to see that the projection  $p_v$  is infinite, so the algebra  $C^*(E)$  is not stably finite, whence  $sr(C^*(E)) \neq 1$ .

**Lemma 3.4.** *If  $V$  is the set of all sinks in  $E$  then*

$$I := \overline{\text{span}}\{s_\alpha s_\beta^* \mid \alpha, \beta \in E^*, r(\alpha) = r(\beta) = v \text{ for some } v \in V\}$$

*is a closed two-sided ideal of  $C^*(E)$ . With  $E^*(v) = \{\alpha \in E^* \mid r(\alpha) = v\}$ , we have*

$$I \cong \bigoplus_{v \in V} \mathcal{K}(\ell^2(E^*(v))).$$

*Proof.* For each  $v \in V$ , let

$$I_v := \overline{\text{span}}\{s_\alpha s_\beta^* \mid \alpha, \beta \in E^*, r(\alpha) = r(\beta) = v\}.$$

Then by Corollary 2.2 of [KPR],  $I_v$  is a closed ideal of  $C^*(E)$  and isomorphic to  $\mathcal{K}(\ell^2(E^*(v)))$ . If  $\beta, \gamma \in E^*$ , with  $r(\beta) = v_i, r(\gamma) = v_j$ , then  $s_\beta^* s_\gamma = 0$  when  $i \neq j$ , whence the ideals are mutually orthogonal.

If a (locally finite) directed graph  $E$  has sinks then it might not contain any infinite paths so that we can not directly apply results on groupoid  $C^*$ -algebras since the groupoid  $\mathcal{G}_E$  associated with  $E$  was invented to have its unit space consisting of infinite paths in  $E$ . In case  $E$  has no sinks, in [KPRR], an isomorphism of lattice of saturated hereditary subsets  $V$  of  $E^0$  into the lattice of ideals  $I(V)$  in  $C^*(E) (\cong C^*(\mathcal{G}_E))$  was established and it is shown that the quotient algebra  $C^*(E)/I(V)$  is isomorphic to the graph algebra  $C^*(G)$  for a certain subgraph  $G$  of  $E$ . The proof applies the results on ideal structure of groupoid algebras obtained in [Rn1, Rn2]. See Section 4 for this isomorphism. In the following we show a similar assertion when  $V$  is the set of all sinks in  $E$ . For this, we need to recall that a vertex subset  $H$  of  $E^0$  is *saturated* if whenever  $v \in E^0$  emits only edges  $e$  with  $r(e) \in H$ , we have  $v \in H$ . The smallest saturated vertex subset containing  $V$  is called the *saturation* of  $V$ .

**Theorem 3.5.** *Let  $E = (E^0, E^1, r, s)$  be a locally finite directed graph with the set  $V$  of sinks. Then there is a subgraph  $G = (E^0 \setminus H, \{e \in E^1 \mid r(e) \notin H\})$  of  $E$  with no sinks such that  $C^*(E)/I(V)$  is isomorphic to  $C^*(G)$ , where  $H$  is the saturation of  $V$  and  $I(V) = \overline{\text{span}}\{s_\alpha s_\beta^* \mid \alpha, \beta \in E^*, r(\alpha) = r(\beta) \in V\}$ .*

*Proof.* Note that the ideal  $I (= I(V))$  contains the projections  $p_v$ , for  $v \in V$ . If  $e \in E^1, r(e) = v$  for some  $v \in V$  then  $s_e \in I$  because  $s_e = s_e s_e^* s_e = s_e p_v \in I$ . For an edge  $e \in E^1$  with  $r(e) \notin V$  we have

$$s_e = s_e p_{r(e)} = \sum_{s(f)=r(e)} s_e s_f s_f^* p_{r(e)} \in I$$

whenever the vertex  $r(e)$  emits only edges  $f$  with  $s_f \in I$ . If  $r(e)$  emits an edge  $f$  with  $s_f \notin I$  then  $s_f s_f^* \notin I$  ( $s_f = s_f s_f^* s_f$ ). From  $s_e^* s_e = p_{r(e)} \geq s_f s_f^* \notin I$ , we see that  $s_e^* s_e \notin I$ , so  $s_e \notin I$ . Thus

$$s_e \in I \iff \text{either } r(e) \in V \text{ or } r(e) \text{ emits only edges } f \text{ with } s_f \in I.$$

Now let  $\pi : C^*(E) \rightarrow C^*(E)/I$  be the canonical surjective homomorphism. Then  $\pi(C^*(E))$  is generated by  $\pi(s_f)$ ,  $s_f \notin I$ . Let  $G$  be the subgraph of  $E$  obtained from  $E$  by deleting the vertices  $w$  with  $p_w \in I$  and edges  $f$  with  $s_f \in I$ , that is,

$$(**) \quad w \in G^0 \iff p_w \notin I, \quad e \in G^1 \iff s_e \notin I.$$

Then  $\pi(C^*(E))$  is generated by  $\pi(s_f)$ ,  $f \in G^1$ . Let  $w \in G^0$ . Then  $w \notin V$  and hence  $w$  emits edges  $e_1, \dots, e_m$  in  $E$ . If  $w$  is a sink in  $G$  then  $s_{e_i} \in I$ ,  $i = 1, \dots, m$ , and so  $p_w = \sum_i s_{e_i} s_{e_i}^* \in I$ , a contradiction. Therefore the subgraph  $G$  has no sinks.

Let  $\pi(s_f) \neq 0$ , then  $f$  appears in  $G$  by (\*\*). If the vertex  $w = r(f)$  emits edges  $e_1, \dots, e_k, \dots, e_m$  in  $E$  such that  $s_{e_1}, \dots, s_{e_k} \notin I$ , and  $s_{e_{k+1}}, \dots, s_{e_m} \in I$  then

$$\begin{aligned} \pi(s_f^*)\pi(s_f) &= \pi \left( \sum_{s(e)=r(f)=w} s_e s_e^* \right) \\ &= \sum_{i=1}^k \pi(s_{e_i})\pi(s_{e_i})^* = \sum_{\substack{s(g)=w=r(f) \\ g \in G^1}} \pi(s_g)\pi(s_g)^*, \end{aligned}$$

which means that the partial isometries  $\{\pi(s_f) | f \in G^1\}$  is a Cuntz-Krieger  $G$ -family in  $\pi(C^*(E)) = C^*(E)/I$ . Therefore there exists a homomorphism  $\phi : C^*(G) \rightarrow C^*(E)/I$  such that

$$\phi(t_f) = \pi(s_f), f \in G^1 \text{ and } \phi(q_w) = \pi(p_w), w \in G^0,$$

where  $\{t_f, q_w\}$  is a Cuntz-Krieger  $G$ -family generating  $C^*(G)$ . On the other hand, one can form a Cuntz-Krieger  $E$ -family in  $C^*(G)$  by adding  $t_e = 0$  for  $e \in E^1 \setminus G^1$ , and  $q_v = 0$  for  $v \in E^0 \setminus G^0$  to the family  $\{t_f, q_w\}$ . Then we have a homomorphism  $\rho : C^*(E) \rightarrow C^*(G)$  such that

$$\rho(s_e) = t_e, \quad \rho(p_v) = q_v, \quad e \in E^1, \quad v \in E^0.$$

Clearly,  $I \subset Ker(\rho)$ . Now let  $x = \sum \lambda_{\alpha,\beta} s_\alpha s_\beta^* \in Ker(\rho)$ . Then

$$\pi \left( \sum \lambda_{\alpha,\beta} s_\alpha s_\beta^* \right) = \phi \left( \sum \lambda_{\alpha,\beta} t_\alpha t_\beta^* \right) = \phi \circ \rho(x) = 0.$$

Thus  $x \in Ker(\pi) = I$ . Therefore  $Ker(\rho) = I$  and the map  $\rho$  induces an isomorphism from  $C^*(E)/I$  onto  $C^*(G)$ .

Recall that a  $C^*$ -algebra  $A$  is said to be *purely infinite* if every non-zero hereditary  $C^*$ -subalgebra of  $A$  has an infinite projection.

If an  $r$ -discrete groupoid  $\mathcal{G}$  is essentially free and locally contracting then  $C^*(\mathcal{G})$  is purely infinite ([A], Proposition 2.4). From Lemma 3.4 of [KPR], we see that the groupoid  $\mathcal{G}_E$  associated with a locally finite graph  $E$  with no sinks is essentially free if and only if  $E$  satisfies condition (L). It is also

known from the same paper that if every vertex connects to a loop with an exit then  $\mathcal{G}_E$  is locally contracting, so that  $C^*(E)(\cong C^*(\mathcal{G}_E))$  is purely infinite. Moreover there is a dichotomy for simple graph  $C^*$ -algebras.

**Proposition 3.6** ([KPR, Corollary 3.11]). *Let  $E$  be a locally finite graph which has no sinks, is cofinal, and satisfies condition (L). Then  $C^*(E)$  is simple, and*

- (i) *if  $E$  has no loops, then  $C^*(E)$  is AF;*
- (ii) *if  $E$  has a loop, then  $C^*(E)$  is purely infinite.*

**Proposition 3.7.** *Let  $E$  be a locally finite directed graph. If  $E$  is cofinal then either  $sr(C^*(G)) = 1$  or it is purely infinite simple.*

*Proof.* If  $E$  has no loop with an exit then  $sr(C^*(E)) = 1$  by Theorem 3.3. Suppose  $E$  has a loop with an exit. Since  $E$  is cofinal,  $E$  can not have a sink. If  $E$  has precisely one loop then  $E$  satisfies (L) and so  $C^*(E)$  is purely infinite simple by the previous proposition. Let  $E$  have two distinct loops,  $\alpha, \beta$ . If  $\gamma$  is a loop of  $E$  then consider the infinite path  $x = \alpha\alpha \cdots \alpha = (x_1, x_2, \dots)$  assuming  $\gamma \neq \alpha$ . Since  $E$  is cofinal the vertex  $v = s(\gamma)$  connects to  $x$  by a finite path, and this shows that the loop  $\gamma$  has an exit. Therefore  $E$  satisfies (L) and  $C^*(E)$  is purely infinite simple by Proposition 3.6.

From the proof of the above proposition, we see that for a cofinal graph  $E$  with no sinks  $C^*(E)$  is simple unless  $E$  has precisely one loop and the loop has no exit.

#### 4. Real rank of $C^*(E)$ .

Recall that a unital  $C^*$ -algebra  $A$  is said to have *real rank zero* ( $RR(A) = 0$ ) if every self-adjoint element can be arbitrarily closely approximated by invertible self-adjoint elements, that is,  $A_{sa}^{-1}$  is dense in  $A_{sa}$ . For a nonunital  $C^*$ -algebra  $A$ , we say that  $A$  has real rank zero if  $\tilde{A}$  has real rank zero ([BP1]). Then  $RR(A) = 0$  if and only if  $RR(A \otimes \mathcal{K}) = 0$ . Also it is well-known that  $RR(A) = 0$  is equivalent to that  $A$  satisfies a condition (FS), that is, the set of self-adjoint elements with finite spectra is dense in  $A_{sa}$ , so  $RR(A) = 0$  implies that  $A$  contains fairly many projections so that the linear span of its projections is dense in  $A$ . Graph  $C^*$ -algebras  $C^*(E)$  are basically generated by their partial isometries, and thus they would have plenty of projections and one might expect that most of them have real rank zero. In fact, if  $C^*(E)$  is simple then it is either AF or purely infinite simple and in both cases it is well-known that these algebras have real rank zero; for real rank of a purely infinite simple  $C^*$ -algebra, see [Z].

In this section, we first find a necessary condition for a graph  $C^*$ -algebra  $C^*(E)$  to have real rank zero. We need to review the ideal theory of a graph  $C^*$ -algebra  $C^*(E)$  for a directed graph  $E$  with no sinks. Recall that  $C^*(E)$

can be identified with its infinite path space groupoid model  $C^*(\mathcal{G})$  and  $C^*(\mathcal{G}) \cong C_r^*(\mathcal{G})$  since the groupoid associated with a locally finite directed graph  $E$  is amenable ([KPRR], Corollary 5.3). A subset  $H$  of the vertex set  $E^0$  is *hereditary* if  $v \in H$  and  $w \in E^0$  with  $s(\alpha) = v$ ,  $r(\alpha) = w$  for some  $\alpha \in E^*$  then  $w \in H$ .

For a hereditary and saturated vertex set  $H \subset E^0$ , let

$$U(H) = \{x \in E^\infty \mid r(x_n) \in H \text{ for some } n\}.$$

Then  $U(H)$  is an open invariant subset of  $E^\infty$  (which is identified with the unit space  $\mathcal{G}^0$  of the groupoid  $\mathcal{G}$  associated with the graph  $E$ ). The map  $H \mapsto U(H)$  is an isomorphism between the lattices of saturated hereditary subsets of  $E^0$  and open invariant subsets  $\mathcal{O}(\mathcal{G})$  of  $E^\infty$  ([KPRR], Lemma 6.5). On the other hand, for each open invariant subspace  $U \subset E^\infty (= \mathcal{G}^0)$ , the space

$$C_c(\mathcal{G}_U^U) := \{f \in C_c(\mathcal{G}) : \text{supp } f \subset \mathcal{G}_U^U\}$$

is an ideal of  $C_c(\mathcal{G})$ , hence its closure is an ideal  $I(U)$  of  $C^*(\mathcal{G})$ . We see from [Rn1], Proposition 4.5 that the correspondence  $U \mapsto I(U)$  is a one-to-one order preserving map between  $\mathcal{O}(\mathcal{G})$  and the lattice of ideals  $\mathcal{J}(C^*(\mathcal{G}))$  of  $C^*(\mathcal{G})$ . Thus  $H \mapsto I(U(H))$  is an order preserving isomorphism from the lattice of hereditary saturated vertex subsets into  $\mathcal{J}(C^*(\mathcal{G}))$ . It is proved in the proof of [KPRR], Theorem 6.6 that the ideals  $I(H)$  and  $I(U(H))$  coincide, where

$$I(H) := \overline{\text{span}}\{1_{Z(\alpha,\beta)} \mid \alpha, \beta \in E^*, r(\alpha) = r(\beta) \in H\},$$

and  $1_{Z(\alpha,\beta)}$  is the characteristic function on the compact open subset  $Z(\alpha, \beta)$  of the groupoid  $\mathcal{G}$ .

The isomorphism from  $C^*(\mathcal{G})$  onto  $C^*(E)$  obtained in [KPRR] maps the functions  $1_{Z(\alpha,\beta)}$  ( $\alpha, \beta \in E^*$ ,  $r(\alpha) = r(\beta) \in H$ ) onto  $s_\alpha s_\beta^*$ . Therefore we have

$$I(H) = \overline{\text{span}}\{s_\alpha s_\beta^* \mid \alpha, \beta \in E^*, r(\alpha) = r(\beta) \in H\}.$$

Furthermore the following is known.

**Theorem 4.1** ([KPRR, Theorem 6.6], or [P, Theorem 2.2]). *Let  $E$  be a locally finite directed graph with no sinks. Then the map  $H \mapsto I(H)$  described above is injective, and the quotient algebra  $C^*(E)/I(H)$  is isomorphic to  $C^*(F)$  of the directed graph  $F := (E^0 \setminus H, \{e \mid r(e) \notin H\})$ . The ideal  $I(H)$  is strong Morita equivalent to  $C^*(K)$  of the directed graph  $K := (H, \{e \mid s(e) \in H\})$ . Moreover, if  $E$  satisfies the condition (K) then the map  $H \mapsto I(H)$  is surjective.*

**Theorem 4.2** ([BP1]). *Let  $A$  be a  $C^*$ -algebra and  $I$  be an ideal of  $A$ .*

(a) *If  $RR(A) = 0$  then  $RR(I) = RR(A/I) = 0$ .*

*Suppose  $RR(I) = RR(A/I) = 0$ . Then we have the following.*

- (b)  $RR(A) = 0$  if and only if every projection in  $A/I$  lifts to a projection in  $A$ . In particular if  $K_1(I) = 0$  then every projection lifts.
- (c) If  $B$  is a  $C^*$ -subalgebra of  $A$  with  $RR(B) = 0$  and  $A = B + I$  then  $RR(A) = 0$ .

Now, we can prove our first theorem on real rank of graph  $C^*$ -algebras.

**Theorem 4.3.** *Let  $E$  be a locally finite directed graph with no sinks. If  $RR(C^*(E)) = 0$  then  $E$  satisfies condition (K).*

*Proof.* Suppose there is a simple loop  $\alpha$  with no exit in  $E$ . Then the subgraph  $H_\alpha$  consisting of  $\alpha$  has no exit and generates an ideal  $I$  stably isomorphic to  $C(\mathbb{T})$ , that is,  $I \otimes \mathcal{K} \cong C(\mathbb{T}) \otimes \mathcal{K}$ , as in the proof of Theorem 3.3. Since  $RR(C(\mathbb{T})) \neq 0$  it follows that  $RR(C^*(E)) \neq 0$  by Theorem 4.2(a), a contradiction, which shows that  $E$  satisfies condition (L).

To prove condition (K), let  $v$  be a vertex such that there is only one loop at  $v$ . Let  $\beta = (\beta_1, \beta_2, \dots, \beta_n)$  be the loop and let  $V$  be the set of vertices  $w \in V$  such that  $w = r(e)$  for an exit  $e$  of  $\beta$  and  $H$  be the smallest hereditary and saturated vertex set containing  $V$ . Then  $V \neq \emptyset$  because  $E$  satisfies (L). Moreover,  $H$  is a proper subset of  $E^0$  since vertices on the loop  $\beta$  are not elements in  $H$ . Thus there exists a proper ideal  $I(H)$  in  $C^*(E)$ , and the quotient algebra  $C^*(E)/I(H)$  is isomorphic to  $C^*(F)$  of the directed graph  $F = (E^0 \setminus H, \{e \mid r(e) \notin H\})$ . Hence  $F$  has a loop  $\beta$  with no exit in  $F$  and by the argument in the first paragraph of the proof  $RR(C^*(F)) \neq 0$ . Therefore  $RR(C^*(E)) \neq 0$  by Theorem 4.2(a).

**Corollary 4.4.** *Let  $E$  be a locally finite directed graph with no sinks. If  $sr(C^*(E)) = 1$  and  $RR(C^*(E)) = 0$  then  $C^*(E)$  is AF.*

*Proof.* By Theorem 3.3 and Theorem 4.3,  $E$  has no loops, and the assertion follows from Theorem 2.4 in [KPR].

**Proposition 4.5.** *Let  $E$  be a locally finite directed graph with no sinks. Then  $C^*(E)$  is simple if and only if  $E$  is cofinal and satisfies (K).*

*Proof.* Suppose  $E$  is cofinal and satisfies condition (K) then  $C^*(E)$  is simple by the proof of [KPRR], Corollary 6.8.

Since the converse has not been proved there in the same proof, we provide one for reader's convenience. To prove the converse, suppose  $E$  is not cofinal. Then there exist an infinite path  $x$  and a vertex  $v$  which cannot connect to  $x$  by a finite path. Let  $H_1$  be the set of all vertices  $w$  which can be connected from  $v$ , that is, there is a finite path  $\alpha \in E^*$  with  $s(\alpha) = v$ ,  $r(\alpha) = w$ . Then  $H_1$  is the smallest hereditary vertex set containing  $v$ . Let  $H$  be the set of all vertices  $w$  satisfying that for any path  $\alpha \in E^* \cup E^\infty$  with  $s(\alpha) = w$ , if  $\alpha \in E^*$  then there is another path  $\beta \in E^*$  such that  $s(\beta) = r(\alpha)$  and  $r(\beta) \in H_1$ , if  $\alpha \in E^\infty$  then  $r(\alpha_j) \in H_1$  for some  $j$ . Then clearly  $v \in H_1 \subset H$ . We show that  $H$  is a saturated hereditary vertex set which does not contain

vertices on the infinite path  $x$ . Suppose a vertex  $w$  emits edges  $e_1, \dots, e_n$  and  $r(e_i) \in H$  for all  $i$ . If  $\alpha$  is a path with  $s(\alpha) = w$  then  $\alpha_1 = e_j$  for some  $j$  and  $\alpha = e_j\gamma$  for some path with  $s(\gamma) = r(e_j) \in H$ . Since  $\gamma$  is a path with  $s(\gamma) = r(e_j) \in H$ , if  $\gamma \in E^*$  then we can find a path  $\beta \in E^*$  such that  $s(\beta) = r(\gamma)$  and  $r(\beta) \in H_1$ . If  $\gamma \in E^\infty$  then  $r(\gamma_i) \in H_1$  for some  $i$ , and hence  $r(\alpha_{i+1}) \in H_1$ . Thus  $w \in H$ , and  $H$  is saturated. Now let  $u$  be a vertex connected by a finite path  $\beta$  from some vertex  $w \in H$ , that is,  $s(\beta) = w, r(\beta) = u$ . Then for any path  $\alpha$  with  $s(\alpha) = u$ , the path  $\beta\alpha$  starts from  $w$ , and it is easy to see that  $u \in H$ , and  $H$  is hereditary. Obviously the infinite path  $x$  does not meet any vertex in  $H_1$ , hence  $H$  is a proper saturated hereditary subset of  $E^0$ . Therefore  $C^*(E)$  is not simple by Theorem 4.1.

Now suppose  $E$  is cofinal but does not satisfy condition (K). Since for a cofinal graph two conditions (K) and (L) are equivalent,  $E$  has a loop with no exit. We have already seen from the proof of Theorem 3.3 that such a loop generates an ideal strong Morita equivalent to  $C(\mathbb{T})$ . Thus  $C^*(E)$  can not be simple.

We prove the converse of Theorem 4.3 when  $C^*(E)$  has finitely many ideals.

**Theorem 4.6.** *Let  $E$  be a locally finite directed graph with no sinks which satisfies condition (K). If  $C^*(E)$  has only finitely many ideals then  $RR(C^*(E)) = 0$ . In particular, if  $E$  is a finite graph then  $RR(C^*(E)) = 0$ .*

*Proof.* Let  $n$  be the number of non-zero ideals in  $C^*(E)$ . We prove our assertion by induction on  $n$ .

For  $n = 1$ ,  $C^*(E)$  is simple and  $RR(C^*(E)) = 0$  since  $C^*(E)$  is either AF or purely infinite simple.

Let  $n > 1$ . Let  $I(H)$  be a maximal ideal of  $C^*(E)$  for some hereditary saturated vertex subset  $H$  of  $E^0$ . By Theorem 4.1 and induction hypothesis,  $I(H)$  and the simple  $C^*$ -algebra  $C^*(E)/I(H)$  have real rank zero. We show that  $C^*(E) = I(H) + B$  for some  $C^*$ -subalgebra  $B$  isomorphic to  $C^*(\tilde{F})$  for a directed subgraph  $\tilde{F}$  (possibly with sinks) of  $E$  such that  $RR(C^*(\tilde{F})) = 0$  and then apply Theorem 4.2(c). According to Theorem 4.1,  $C^*(E)/I(H) \cong C^*(F)$ , where  $F = (E^0 \setminus H, \{e \mid r(e) \notin H\})$ . Let

$$V := \{v \in H \mid v = r(e) \text{ for some edge } e \in E^1 \text{ with } s(e) \in F^0 = E^0 \setminus H\}.$$

If  $V = \emptyset$ , then  $C^*(E) \cong I(H) \oplus C^*(F)$ , and therefore  $RR(C^*(E)) = 0$  since two direct summands have real rank zero by induction hypothesis. If  $V \neq \emptyset$  we set

$$\tilde{F} = (F^0 \cup V, F^1 \cup \{f \in E^1 \mid r(f) \in V, s(f) \in F^0\}).$$

Then  $V$  is the set of all sinks of  $\tilde{F}$ . By Theorem 3.5,  $C^*(\tilde{F})/I(V)$  is isomorphic to the simple  $C^*$ -algebra  $C^*(F)$ , where

$$I(V) = \overline{\text{span}}\{s_\alpha s_\beta^* \mid \alpha, \beta \in \tilde{F}^*, r(\alpha) = r(\beta) \in V\}.$$

Thus  $RR(C^*(\tilde{F})/I(V)) = RR(C^*(F)) = 0$ . The ideal

$$I(V) \cong \oplus_{v \in V} \mathcal{K}(\ell^2(E^*(v)))$$

also has real rank zero. Furthermore since  $K_1(I(V)) = 0$ , by Theorem 4.2(b),  $RR(C^*(\tilde{F})) = 0$ . Let  $B$  be the  $C^*$ -subalgebra of  $C^*(E)$  generated by the family of nonzero elements  $\{p_v, s_f \mid v \in (\tilde{F})^0, f \in (\tilde{F})^1\}$ . Then this is a Cuntz-Krieger  $\tilde{F}$ -family and hence  $B$  is a quotient of  $C^*(\tilde{F})$ . Thus  $RR(B) = 0$ . Now, it is not hard to see that  $C^*(E) = B + I(H)$ , and this completes the proof.

Let  $A$  be a  $\{0, 1\}$ -matrix with no zero row or column. Then  $A$  can be viewed as a vertex matrix of a finite graph  $E$  with no sinks. If  $A$  satisfies Cuntz-Krieger's condition (I) in [CK] then it clearly follows that  $E$  satisfies (L) (or, equivalently condition (I) introduced for graphs in [KPR]) from their definitions. By Proposition 4.1 of [KPRR], the graph algebra  $C^*(E)$  is also generated by a Cuntz-Krieger  $A$ -family of partial isometries, hence the Cuntz-Krieger algebra  $\mathcal{O}_A$  is isomorphic to the graph algebra  $C^*(E)$ . On the other hand, the graph algebra  $C^*(E)$  is known to be isomorphic to the Cuntz-Krieger algebra  $\mathcal{O}_B$  associated with the edge matrix  $B$  of  $E$ . Therefore those three algebras are all isomorphic. Furthermore by Theorem 4.3, 4.6, and Lemma 6.1 of [KPRR], we have the following corollary.

**Corollary 4.7.** *Let  $A$  be a  $\{0, 1\}$ -matrix with no zero row or column. Suppose  $A$  satisfies Cuntz-Krieger's condition (I) and let  $E$  be the finite graph having  $A$  as its vertex matrix. Then the following are equivalent:*

- (i)  $RR(\mathcal{O}_A) = 0$ ,
- (ii)  $A$  satisfies Cuntz's condition (II),
- (iii)  $E$  satisfies condition (K).

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