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RETRACTS

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## ON LOCAL CONNECTEDNESS OF ABSOLUTE RETRACTS

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**Conditions are found for classes  $\mathcal{K}$  of continua under which absolute retracts for  $\mathcal{K}$  are locally connected. A number of corollaries is obtained.**

One of the fundamental observations of theory of retracts says that any absolute retract for the class of all compacta is a locally connected continuum. However, when more restricted classes of spaces are considered, as for example hereditarily unicoherent continua, tree-like continua, dendroids, or hereditarily indecomposable continua, this is not necessarily the case (see e.g., [6, Corollaries 4 and 5, p. 181 and 183]). In this paper we show that, nevertheless, for a large number of classes of continua their absolute retracts remain locally connected.

The concept of an AR space originally had been studied by K. Borsuk, see [2]. More recently AR-spaces for some classes of continua had been studied e.g., in [6], [7] and [8]. The aim of this paper is to continue this investigation for various classes  $\mathcal{K}$  of spaces. We focus our attention on conditions concerning classes  $\mathcal{K}$  implying that each member of  $AR(\mathcal{K})$  is locally connected.

By a *space* we mean a topological space, and a *mapping* means a continuous function. Given a space  $X$  and its subspace  $Y \subset X$ , a mapping  $r : X \rightarrow Y$  is called a *retraction* if the restriction  $r|_Y$  is the identity. Then  $Y$  is called a *retract* of  $X$ . Let  $\mathcal{K}$  be a class of *compacta*, i.e., of compact metric spaces. Following [3, p. 80], we say that a space  $Y \in \mathcal{K}$  is an *absolute retract for the class  $\mathcal{K}$*  (abbreviated  $AR(\mathcal{K})$ ) if for any space  $Z \in \mathcal{K}$  such that  $Y$  is a subspace of  $Z$ ,  $Y$  is a retract of  $Z$ . The reader is referred to [2] and [3] for needed information on these concepts.

By a *continuum* we mean a connected compactum. A *curve* means a one-dimensional continuum. For a given continuum  $X$ , an *arc component* of  $X$  means the union of all arcs  $A$  such that  $p \in A \subset X$  for some point  $p$  of  $X$ . A locally connected continuum containing no simple closed curve is called a *dendrite*. A continuum is said to be *decomposable* provided that it can be represented as the union of two its proper subcontinua. Otherwise it is said to be *indecomposable*. A continuum is said to be *hereditarily decomposable* (*hereditarily indecomposable*) provided that each of its subcontinua is decomposable (indecomposable, respectively).

We employ a well-known construction of hereditarily decomposable nondegenerate continua containing no arc; the first such construction is due to Z. Janiszewski, [4]; more recent results where such construction can be found are e.g., in [9] and in [10, (6.1), p. 30]. Fix such a continuum  $E$ .

To formulate the main result of the paper (Theorem 1 below) consider two classes  $\mathcal{A}$  and  $\mathcal{B}$  of nondegenerate continua. Define  $\mathcal{A}$  as the class of all continua  $X$  such that:

- (A) Every nonempty open subset of  $X$  intersects either an arc or a nondegenerate indecomposable subcontinuum of  $X$ .

Observe that all nondegenerate continua that are either arcwise connected or indecomposable belong to  $\mathcal{A}$ .

Define  $\mathcal{B}$  as the class of all continua  $X$  such that:

- (B) Every nonempty open subset of  $X$  contains a nondegenerate continuum that is not a pseudo-arc.

**Theorem 1.** *Let  $\mathcal{C}$  be an arbitrary class of continua such that either  $\mathcal{C} \subset \mathcal{A}$ , or  $\mathcal{C} \subset \mathcal{B}$ . Denote by  $\mathcal{NC}$  the class of all continua containing no member of  $\mathcal{C}$ . Then each member of  $AR(\mathcal{NC})$  is a locally connected continuum.*

*Proof.* Consider two cases.

*Case 1.*  $\mathcal{C} \subset \mathcal{A}$ .

Let  $X \in AR(\mathcal{NC})$  and let for some points  $x_0, x_1, x_2, \dots \in X$  with  $x_0 \neq x_n$  for each  $n \in \mathbb{N}$  the sequence  $\{x_n\}$  tend to  $x_0$ . Take a sequence  $\{E_n\}$  of copies of  $E$ , and points  $a_n, b_n \in E_n$  with  $a_n \neq b_n$  such that:

- (1.1)  $E_n \cap E_m = \emptyset = E_n \cap X$  for each  $m, n \in \mathbb{N}$  with  $m \neq n$ ;  
 (1.2)  $\text{Lim } E_n = \{x_0\}$ .

Let  $Y = X \cup \bigcup\{E_n : n \in \mathbb{N}\}$ . Observe that this union is compact. Define a quotient mapping  $q : Y \rightarrow Z = q(Y)$  by identifying all pairs  $a_n, x_n$  and the set  $\{x_0, b_1, b_2, \dots\}$ . Since  $q|_X$  is a homeomorphism, we can identify  $X$  and  $q(X)$  assuming that  $q|_X = \text{id}|_X$ . Let  $K_n = q(E_n)$ .

*Claim 1.* Any nondegenerate indecomposable subcontinuum of  $Z$  is contained in  $X$ .

Indeed, let  $M$  be a nondegenerate indecomposable subcontinuum of  $Z$ , and let  $M_n = M \cap (K_n \setminus \{x_0, x_n\})$ . Suppose on the contrary that  $M_n \neq \emptyset$  for some  $n \in \mathbb{N}$ . Since  $K_n$  is homeomorphic to  $E$ , it does not contain  $M$ . Thus each component of  $M \cap K_n$  intersects  $\text{bd } K_n = \{x_0, x_n\}$ . Let  $U_0$  be the union of all components of  $M \cap K_n$  that contain  $x_0$ , and  $U_n$  be the union of all components of  $M \cap K_n$  that contain  $x_n$ . Note that  $U_0$  and  $U_n$  are continua whose union contains a nonempty open subset  $M_n$  of  $M$ . Thus either  $U_0$  or  $U_n$  has nonempty interior in  $M$ . Therefore by the indecomposability of  $M$  either  $M = U_0$  or  $M = U_n$ . Hence  $M \subset K_n$ , a contradiction. Therefore the claim is proved.

Since  $X$  contains all arcs in  $Z$  and all nondegenerate indecomposable continua in  $Z$ , it follows that  $Z \in \mathcal{NC}$ .

By the assumption  $X \in AR(\mathcal{NC})$ , so there is a retraction  $r : Z \rightarrow X$ . The continua  $K_n$  join  $x_n$  and  $x_0$ , and  $\lim \text{diam } K_n = \lim \text{diam } r(K_n) = 0$ , therefore  $L_n = r(K_n) \subset X$  are continua such that  $x_0, x_n \in L_n$  for each  $n \in \mathbb{N}$ , and  $\lim \text{diam } L_n = 0$ . Since the point  $x_0$  and the sequence  $\{x_n\}$  that tends to  $x_0$  have been chosen arbitrarily, the continuum  $X$  is locally connected. So the proof is complete for Case 1.

*Case 2.  $\mathcal{C} \subset \mathcal{B}$ .*

In this case take the pseudo-arc  $P$  in place of the continuum  $E$  and follow the procedure (and the notation) from the previous case. Instead of Claim 1 we easily see that the following claim holds.

*Claim 2.* Each subcontinuum of  $X$  that intersects some set  $K_n \setminus X$  does not belong to  $\mathcal{B}$ .

This implies that  $Z \in \mathcal{NC}$  as previously. The last part of the proof in [this case](#) is identical with the one of the [previous case](#). The theorem is proved.  $\square$

There are many applications of the above theorem for particular classes  $\mathcal{C}$  of spaces. First note that for  $\mathcal{C} \subset \mathcal{A} \cap \mathcal{B}$  being the empty class we obtain as  $\mathcal{NC}$  the class of all continua, and thus we get the known result that absolute retracts for all continua are locally connected ones. Another extremal result is obtained for  $\mathcal{C} \subset \mathcal{A}$  consisting of all arcs. Then we see that the only absolute retract for continua containing no arc is the singleton. The same conclusion holds if  $\mathcal{C} \subset \mathcal{B}$  is the class of all hereditarily decomposable continua: The singleton is the only absolute retract for continua containing no hereditarily decomposable subcontinua. Further, we have the following application of Theorem 1.

**Corollary 2.** *A continuum  $X$  is an absolute retract for the class of hereditarily decomposable continua if and only if  $X$  is hereditarily decomposable and locally connected.*

*Proof.* One implication is Theorem 1 applied for the class  $\mathcal{C}$  of all nondegenerate indecomposable continua (note that  $\mathcal{C} \subset \mathcal{A}$ ). On the other hand, each hereditarily decomposable continuum has dimension at most 1, [[5](#), §48, V, Remark 2, p. 206], and each locally connected curve is an absolute retract for all curves (see [[5](#), §53, IV, Theorems 1 and 1', p. 347], and apply them for  $n = 1$ ).  $\square$

**Corollary 3.** *Let  $\mathcal{K}$  be the class of all continua containing no nondegenerate hereditarily indecomposable continuum. Then a continuum  $X$  is an absolute retract for the class  $\mathcal{K}$  if and only if  $X \in \mathcal{K}$  and  $X$  is locally connected.*

*Proof.* Observe that the class  $\mathcal{C}$  of all nondegenerate hereditarily indecomposable continua is contained in  $\mathcal{A}$ . We apply Theorem 1 for the class  $\mathcal{C}$  to prove that members of  $AR(\mathcal{K})$  are locally connected. To see the other implication note that continua in  $\mathcal{K}$  have dimension at most 1. This is a consequence of [1, Theorem 6, p. 271] (see also [1, Theorem 5, p. 270]). Finally we recall again that locally connected curves are absolute retracts for all curves (take  $n = 1$  in [5, §53, IV, Theorems 1 and 1', p. 347]).  $\square$

The next corollary is a consequence of Theorem 1 and of the fact that the dendrites are absolute retracts for all continua.

**Corollary 4.** *Let  $\mathcal{K}$  be the class of all continua containing no simple closed curve. Then  $X \in AR(\mathcal{K})$  if and only if  $X$  is a dendrite.*

A *simple triod* (a simple  $n$ -od) means the cone over a 3-point (an  $n$ -point) discrete space. We say that a sequence of compacta  $A_n$  converges to a compactum  $A$  *homeomorphically* provided there exists a sequence of homeomorphisms  $h_n : A \rightarrow A_n$  converging to the identity on  $A$ . This last condition means that  $\lim (\sup\{d(h_n(x), x) : x \in A\}) = 0$ .

**Corollary 5.** *An arc is the only nondegenerate absolute retract for the class of all continua containing no simple triod.*

*Proof.* Only one implication needs a proof. By Theorem 1 members of the considered class are locally connected, so an arc or a simple closed curve are the only nondegenerate ones. To eliminate a simple closed curve take an arc-like continuum  $X$  without arcs (a pseudo-arc, for example) that is irreducible between points  $a$  and  $b$ . Let  $S^1$  be the unit circle. In the product  $X \times S^1$  shrink the circle  $\{b\} \times S^1$  to a point, and denote by  $Y$  the resulting continuum. Thus  $Y = (X \times S^1)/(\{b\} \times S^1)$  contains no simple triod. Let  $q : X \times S^1 \rightarrow Y$  be the quotient mapping. Then the circle  $C = q(\{a\} \times S^1) \subset Y$  is not a retract of  $Y$ . To see this, consider a continuous monotone decomposition (in the sense of [5, §43, V, p. 67])  $\mathbf{D}$  of  $Y$  into continua  $q(\{x\} \times S^1)$  for  $x \in X$  which all are the circles except the one, which is the singleton  $q(\{b\} \times S^1)$ . Thus  $\mathbf{D}$ , if equipped with the quotient topology, [5, §43, III, p. 64], is a continuum (homeomorphic to  $X$ ). Observe further that the decomposition  $\mathbf{D}$  is continuous with respect to the homeomorphical convergence (as defined above).

Suppose there is a retraction  $r : Y \rightarrow C$ . Let  $\mathbf{D}(e)$  (let  $\mathbf{D}(i)$ ) denote the subfamily of  $\mathbf{D}$  composed of those members  $D$  of  $\mathbf{D}$  for which the restriction  $r|_D : D \rightarrow C$  is essential (is inessential, respectively). Thus  $C \in \mathbf{D}(e)$  and  $q(\{b\} \times S^1) \in \mathbf{D}(i)$ , so  $\mathbf{D}(e)$  and  $\mathbf{D}(i)$  are nonempty, mutually disjoint subsets of  $\mathbf{D}$  whose union is  $\mathbf{D}$ . By continuity of the decomposition with respect to the homeomorphical convergence they are also open, which contradicts the connectedness of  $\mathbf{D}$ .  $\square$

By an  $n$ -dimensional umbrella we understand the union of an  $n$ -dimensional cube  $Q = [0, 1]^n$  and of an arc  $A$  such that the set  $A \cap Q$  consists of only one point which is an end point of the arc  $A$  and an inner point of  $Q$ .

One can also observe, applying Theorem 1, the following corollary.

**Corollary 6.** *Let  $n$  be a positive integer and  $\mathcal{K}$  be a class of all continua defined by any of the following conditions:*

- (6.1) *Each member of  $\mathcal{K}$  contains no simple  $n$ -od.*
- (6.2) *Each member of  $\mathcal{K}$  contains no  $n$ -dimensional cube.*
- (6.3) *Each member of  $\mathcal{K}$  contains no  $n$ -dimensional sphere.*
- (6.4) *Each member of  $\mathcal{K}$  contains no  $n$ -dimensional umbrella.*
- (6.5) *Each member of  $\mathcal{K}$  contains no Hilbert cube.*

*Then any member of  $AR(\mathcal{K})$  is a locally connected continuum.*

**Remark 7.** Indecomposability and arcwise connectedness plays the crucial role in Theorem 1 and in its applications. We admit other properties of continua to produce similar results. Namely, given a property  $\mathcal{P}$  we define the class  $\mathcal{N}\mathcal{P}$  of continua containing no subspaces having property  $\mathcal{P}$ . Then, if it is possible to find an appropriate continuum instead of the continuum  $E$  (see the paragraph preceding Theorem 1), or instead of the pseudo-arc  $P$ , one can prove that members of  $AR(\mathcal{N}\mathcal{P})$  are locally connected.

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