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EGGERT'S CONJECTURE ON THE DIMENSIONS OF NILPOTENT ALGEBRAS

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In this paper we prove that for a finite dimensional commutative nilpotent algebra A over a field of prime characteristic $p > 0$, $\dim A \geq p \dim A^{(p)}$, where $A^{(p)}$ is the subalgebra of A generated by the elements x^p . In particular, this solves Eggert's conjecture.

1. Introduction.

In 1971, Eggert [2] conjectured that for a finite commutative nilpotent algebra A over a field \mathbb{K} of prime characteristic $p > 0$, $\dim A \geq p \dim A^{(p)}$, where $A^{(p)}$ is the subalgebra of A generated by all the elements x^p , $x \in A$ and $\dim A$, $\dim A^{(p)}$ denote the dimensions of A and $A^{(p)}$ as vector spaces over \mathbb{K} .

In [3], Stack conjectures that $\dim A \geq p \dim A^{(p)}$ is true for every finite dimensional nilpotent algebra A over \mathbb{K} . We point out that some particular cases of Eggert's conjecture have been proved in [1, 2, 3, 4].

Here we prove the conjecture for finite dimensional commutative nilpotent algebras. This combined with the results of [2] completely describe the group of units of A and the problem set in [1]: "When a finite abelian group is isomorphic to the group of units of some finite commutative nilpotent algebras?" is solved. Recall that the group of units of A is the set A with the following operation: $x \cdot y = x + y + xy$, $\forall x, y \in A$.

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2. Results.

Our main result is the following:

Theorem. *Let A be a finite dimensional commutative nilpotent algebra over a field \mathbb{K} of characteristic $p > 0$ and let $A^{(p)}$ be the subalgebra of A generated by all the elements x^p , $x \in A$. Then $\dim A \geq p \dim A^{(p)}$.*

To prove the theorem we need an easy lemma on the partition of some sets in $\mathbb{Z}_{\geq 0}^d$ of d -tuples ($d > 0$) of nonnegative integers. Let $\alpha = (\alpha_1, \dots, \alpha_d)$

and $\beta = (\beta_1, \dots, \beta_d)$ be in $\mathbb{Z}_{\geq 0}^d$. Define $\alpha > \beta$ if in the difference $\alpha - \beta = (\alpha_1 - \beta_1, \dots, \alpha_d - \beta_d)$, the left-most nonzero entry is positive and all other entries to the right are nonnegative. It is easy to prove that $>$ is in fact a partial order on $\mathbb{Z}_{\geq 0}^d$, which is compatible with the addition.

Lemma 1. *Let $(n_1, n_2, \dots, n_d) = n \in \mathbb{Z}_{\geq 0}^d$ be a fixed d -tuple such that $(0, \dots, 0, 0) \neq n$ and consider the following subsets of $\mathbb{Z}_{\geq 0}^d$:*

$$\mathbb{Z}_{\geq 0}^d(n) = \{\alpha, (0, \dots, 0, 0) \neq \alpha \leq n\},$$

$$\mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) = \{(i_1, i_2, \dots, i_{d-1}, j), 1 \leq j \leq n_d\}, \quad 0 \leq i_k \leq n_k, \quad 1 \leq k \leq d-1,$$

$$\mathbb{Z}_{\geq 0}^d(0) = \{(i_1, i_2, \dots, i_{d-1}, 0), (i_1, i_2, \dots, i_{d-1}, 0) \in \mathbb{Z}_{\geq 0}^d(n)\}.$$

Then the sets $\mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1})$, and $\mathbb{Z}_{\geq 0}^d(0)$ form a partition of $\mathbb{Z}_{\geq 0}^d(n)$.

The proof of the theorem requires also the following lemma due to Bautista [1, Proposition 2.1, p. 15]. For completeness, we will give a sketch of a proof of this result.

Lemma 2. *Let A be a commutative nilpotent algebra over a field \mathbb{K} generated by X_1, \dots, X_d . Let $(\alpha_1, \dots, \alpha_d)$ be an element of $\mathbb{Z}_{\geq 0}^d$ such that $X_1^{\alpha_1} \dots X_d^{\alpha_d} \neq 0$ but $\forall (\beta_1, \dots, \beta_d) \in \mathbb{Z}_{\geq 0}^d, (\beta_1, \dots, \beta_d) > (\alpha_1, \dots, \alpha_d), X_1^{\beta_1} \dots X_d^{\beta_d} = 0$. Then for the set of ordered d -tuples*

$$S = \left\{ (i_1, \dots, i_d) \in \mathbb{Z}_{\geq 0}^d; (\alpha_1, \dots, \alpha_d) - (i_1, \dots, i_d) \in \mathbb{Z}_{\geq 0}^d \right\},$$

$\{X_1^{i_1} \dots X_d^{i_d}; (i_1, \dots, i_d) \in S\}$ is linearly independent.

Sketch of Proof. Suppose that the family

$$\left\{ X_1^{i_1} \dots X_d^{i_d}; (i_1, \dots, i_d) \in \mathbb{Z}_{\geq 0}^d; (\alpha_1, \dots, \alpha_d) - (i_1, \dots, i_d) \in \mathbb{Z}_{\geq 0}^d \right\}$$

is linearly dependent. Then there exists a set of nonzero elements $\lambda_{i_1, \dots, i_d} \in \mathbb{K}$ such that $\sum_{\alpha - I \in \mathbb{Z}_{\geq 0}^d} \lambda_{i_1, \dots, i_d} X_1^{i_1} \dots X_d^{i_d} = 0$, $\alpha = (\alpha_1, \dots, \alpha_d)$, $I = (i_1, \dots, i_d)$.

Let $L = (l_1, \dots, l_d)$ be a minimal element such that $\lambda_{l_1, \dots, l_d} \neq 0$. Then

$$\lambda_{l_1, \dots, l_d} X_1^{l_1} \dots X_d^{l_d} + \sum_{I > L} \lambda_{i_1, \dots, i_d} X_1^{i_1} \dots X_d^{i_d} = 0.$$

By multiplying on the right by $X_1^{(\alpha_1 - l_1)} \dots X_d^{(\alpha_d - l_d)}$ and using the commutativity of A , we obtain:

$$\lambda_{l_1, \dots, l_d} X_1^{\alpha_1} \dots X_d^{\alpha_d} + \sum_{I > L} \lambda_{i_1, \dots, i_d} X_1^{i_1 + (\alpha_1 - l_1)} \dots X_d^{i_d + (\alpha_d - l_d)} = 0.$$

However, it is easy to see that $(i_1 + \alpha_1 - l_1, \dots, i_d + \alpha_d - l_d) > (\alpha_1, \dots, \alpha_d)$.

Thus,

$$\sum_{I>L} \lambda_{i_1, \dots, i_d} X_1^{i_1+(\alpha_1-l_1)} \dots X_d^{i_d+(\alpha_d-l_d)} = 0.$$

So, $\lambda_{i_1, \dots, i_d} X_1^{\alpha_1} \dots X_d^{\alpha_d} = 0$. But, $\lambda_{i_1, \dots, i_d} \neq 0$. Thus, $X_1^{\alpha_1} \dots X_d^{\alpha_d} = 0$. This contradicts our hypothesis and proves the lemma.

Lemma 3. *Let A be a commutative nilpotent algebra over a field \mathbb{K} generated by d elements X_1, \dots, X_d . Suppose that A cannot be generated by $d - 1$ elements. Let $\mathcal{B} = \{X_1^{i_1} \dots X_d^{i_d}, (i_1, i_2, \dots, i_d) \in \mathbb{Z}_{\geq 0}^d, \text{ with the convention } X_k^0 = 1, 1 \leq k \leq d\}$ be a basis of A as a vector space over \mathbb{K} . Then $X_d \in \mathcal{B}$ and some of the basis \mathcal{B} are such that, if for some (j_1, \dots, j_d) , $j_d \geq 2$, $X_1^{j_1} \dots X_d^{j_d} \in \mathcal{B}$ then $X_1^{j_1} \dots X_{d-1}^{j_{d-1}} X_d^{j_d-1} \in \mathcal{B}$.*

Proof. Suppose that $X_d \notin \mathcal{B}$ and let us write it as a linear combination of elements of \mathcal{B} , $X_d = \sum_{i_1, \dots, i_d} \lambda_{i_1, \dots, i_d} X_1^{i_1} \dots X_d^{i_d}$, $\lambda_{i_1, \dots, i_d} \in \mathbb{K}$. Since A is not generated by $d - 1$ elements, for some i_d we have $i_d \geq 1$. So, one can write

$$X_d = \left(\sum_{i_1, \dots, i_d} \lambda_{i_1, \dots, i_d} X_1^{i_1} \dots X_d^{i_d-1} \right) \left(\sum_{i_1, \dots, i_d} \lambda_{i_1, \dots, i_d} X_1^{i_1} \dots X_d^{i_d} \right).$$

Since A is commutative and nilpotent, by repeating the above process we can write X_d as a linear combination of monomials in X_1, \dots, X_{d-1} . Thus A is generated by $d - 1$ elements. This contradiction proves our assertion, $X_d \in \mathcal{B}$.

We prove now our second assertion. It is easy to see that $X_1^{j_1} \dots X_d^{j_d} \in \mathcal{B}$ implies that there exists $(\alpha_1, \dots, \alpha_d) \in \mathbb{Z}_{\geq 0}^d$ satisfying the hypothesis of Lemma 2 such that

$$(\alpha_1, \dots, \alpha_d) > (j_1, \dots, j_d) \text{ and } (\alpha_1 - j_1, \dots, \alpha_d - j_d) \in \mathbb{Z}_{\geq 0}^d.$$

But $(j_1, \dots, j_d) > (j_1, \dots, j_{d-1}, j_d - 1)$. So, $(\alpha_1 - j_1, \dots, \alpha_{d-1} - j_{d-1}, \alpha_d - j_d - 1) \in \mathbb{Z}_{\geq 0}^d$. Thus, Lemma 2 applies here.

Suppose now that $X_1^{j_1} \dots X_{d-1}^{j_{d-1}} X_d^{j_d-1} \notin \mathcal{B}$. Then $\{X_1^{j_1} \dots X_{d-1}^{j_{d-1}} X_d^{j_d-1}, \mathcal{B}\}$ is linearly dependent which contradicts the preceding lemma.

Proof of the Theorem. We prove our theorem by induction on the number l of generators of the algebra A .

We first prove the conjecture for $l = 1$. Let X be a generator of A and $m + 1$ be the degree of nilpotency of X . Then $\{X, X^2, \dots, X^m\}$ is a basis for the vector space A and since A is commutative over a field of characteristic p , $\{X^p, \dots, X^{pk}\}$ is a basis of $A^{(p)}$. But the fact that $m + 1$ is the degree of nilpotency of X yields to $m \geq pk$. So, $\dim A = m \geq pk = p \dim A^{(p)}$.

Suppose that the theorem is proved for every algebra generated by l elements, $l \leq d - 1$ and consider a finite dimensional commutative nilpotent

algebra A over \mathbb{K} generated by d elements, X_1, \dots, X_d . Since A is nilpotent, there exists a d -tuple $(n_1, n_2, \dots, n_d) = n \in \mathbb{Z}_{\geq 0}^d$ such that $n_1 + 1, \dots, n_d + 1$ are the degrees of nilpotency of X_1, \dots, X_d respectively. Since A is commutative over a field of characteristic p , as vector spaces over \mathbb{K} , A and $A^{(p)}$ are generated by the monomials of the form $\{X_1^{\beta_1} \cdots X_d^{\beta_d}, (\beta_1, \dots, \beta_d) \in \mathbb{Z}_{\geq 0}^d, \text{ where } X_i^0 = 1\}$ and $X_1^{p\beta_1} \cdots X_d^{p\beta_d}$ respectively. So, one can extract a basis \mathcal{B} of $A^{(p)}$ from the last cited monomials. Let $\bar{\mathcal{B}}$ be a basis of A obtained by completing \mathcal{B} . Let $\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}})$ be the set of all d -tuples $(\alpha_1, \dots, \alpha_d) \in \mathbb{Z}_{\geq 0}^d$ such that $X_1^{\alpha_1} \cdots X_d^{\alpha_d} \in \bar{\mathcal{B}}$ and denote by $\mathbb{Z}_{\geq 0}^d(\mathcal{B})$ the set of all d -tuples $(\alpha_1, \dots, \alpha_d) \in \mathbb{Z}_{\geq 0}^d$ such that $X_1^{\alpha_1} \cdots X_d^{\alpha_d} \in \mathcal{B}$.

With these notations, $\dim A \geq p \dim A^{(p)}$ is the same as $\#\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \geq p\#\mathbb{Z}_{\geq 0}^d(\mathcal{B})$, where $\#Y$ is the number of the elements of the set Y .

Let R be the subalgebra of A generated by $\{X_1, \dots, X_{d-1}\}$. Then by the hypothesis of induction, $\dim R \geq p \dim R^{(p)}$. But, $\dim R = \#(\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(0))$ and $\dim R^{(p)} = \#(\mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(0))$. On the other hand, since $\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}})$ and $\mathbb{Z}_{\geq 0}^d(\mathcal{B})$ are included in $\mathbb{Z}_{\geq 0}^d(n)$, by Lemma 1 we have:

$$\begin{aligned} \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) &= \left(\bigcup_{i_1, \dots, i_{d-1}} \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) \cup \left(\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(0) \right) \\ \mathbb{Z}_{\geq 0}^d(\mathcal{B}) &= \left(\bigcup_{i_1, \dots, i_{d-1}} \mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) \cup \left(\mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(0) \right). \end{aligned}$$

Also, by Lemma 1 we have partitions of $\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}})$ and $\mathbb{Z}_{\geq 0}^d(\mathcal{B})$. Thus, we only need to prove that

$$\begin{aligned} \# \bigcup_{i_1, \dots, i_{d-1}} \left(\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) \\ \geq p \# \bigcup_{i_1, \dots, i_{d-1}} \left(\mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right). \end{aligned}$$

Moreover, since we have a disjoint union of sets, we prove that

$$\# \left(\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) \geq p \# \left(\mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right).$$

Fix (i_1, \dots, i_{d-1}) and let j be the greatest integer such that: $X_1^{i_1} \cdots X_{d-1}^{i_{d-1}} X_d^j \in \bar{\mathcal{B}}$ (i.e., $(i_1, \dots, i_{d-1}, j) \in \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}})$).

If $j = 0$ or $j = 1$ then $\mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) = \emptyset$ and our claim is obvious.

If $j \geq 2$ then by Lemma 3, $(i_1, \dots, i_{d-1}, k) \in \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}})$, $\forall k, 1 \leq k \leq j$ and so, by the choice of the integer j ,

$$\# \left(\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) = j.$$

On the other hand

$$\mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) = \begin{cases} \emptyset \\ \text{or} \\ \{(i_1, \dots, i_{d-1}, pk), 1 \leq pk \leq j\}. \end{cases}$$

The first case is obvious and in the second as for an algebra generated by one element, we have

$$p\# \left(\mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) = pt \leq j.$$

This ends the proof of the theorem.

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