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BN-PAIR

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## ON THE COMMUTATOR FORMULA OF A SPLIT BN-PAIR

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The purpose of this note is to prove in an elementary way and with geometric considerations that the Levi decomposition of a finite group with a split BN-pair of characteristic  $p$  (a prime integer) implies the commutator formula.

### 1. Introduction.

Split BN-pairs are defined by a set of axioms devised to study finite reductive groups (see [CR, 69.1], [R, 3.1]). Such finite groups  $G$  are assumed to contain subgroups  $B$ ,  $N$  and  $S \subseteq W := N/T$ , with  $T := N \cap B$ , such that among other things,  $T \triangleleft N$ ,  $(W, S)$  is a Coxeter system,  $B$  can be written as a semi-direct  $B = UT$  where  $U$  is a Sylow  $p$ -subgroup of  $G$  and  $B \cap B^{w_0} = T$  ( $w_0$  the longest element of  $W$ ). Recalling the geometric representation of  $W$  in an euclidean space  $E$ , we denote by  $\Phi$  the associated root system (a subset of the unit sphere), by  $\Delta \subseteq \Phi^+$  the fundamental and positive systems of  $\Phi$ , so that the fundamental reflections  $\{s_\delta, \delta \in \Delta\}$  correspond with the elements of  $S$  (see [CR, 64.28] or [B]). For  $\delta \in \Delta$ , one defines  $X_\delta := U \cap U^{w_0 s_\delta}$ . An elementary consequence of the axioms of BN-pairs ([CR, 69.2]) is:

**(R0)** For arbitrary  $\gamma \in \Phi$ , written as  $\gamma = w(\delta)$  with  $\delta \in \Delta$ ,  $w \in W$ , one may define  $X_\gamma := {}^w X_\delta$ . This only depends on  $\gamma$  and  $X_\gamma \neq \{1\}$ .

A nice extra property, satisfied in all finite reductive groups, is the commutator formula:

$$(1) \quad \forall \alpha, \beta \in \Phi, \alpha \neq \pm\beta, [X_\alpha, X_\beta] \subseteq \langle X_{i\alpha+j\beta}, i > 0, j > 0, i\alpha + j\beta \in \Phi \rangle.$$

This is useful to check the crucial property of Levi decompositions, which is that for all subsets  $I \subseteq \Delta$ ,  $U \cap U^{w_I}$  is normal in  $U$  ( $w_I$  the longest element of  $W_I$ ). In particular,

$$(2) \quad \forall \delta \in \Delta, U \cap U^{s_\delta} \triangleleft U.$$

N. Tinberg ([T]) has shown that (2) implies in an elementary way the Levi decomposition.

Here, we show a little more, namely that (1) follows from (2), without using the classification of BN-pairs or the case of rank 2 ([FS]). Note that (2)

is always satisfied when the root subgroups  $X_\delta$  have order  $p$ . Our arguments are easy considerations in the reflection representation space. We recall two elementary properties of BN-pairs. Denote by  $l_S$  the length in  $W$  relative to  $S$ .

**(R1)** (See [CR, 69.2] or [R, 3.3] by iteration.) *There is at least one sequence such that  $\Phi^+ = \{\gamma_1, \dots, \gamma_N\}$ ,  $N = |\Phi^+|$  and  $U = X_{\gamma_1} \dots X_{\gamma_N}$ . If  $\gamma \in \Phi^+$ , then  $X_{-\gamma} \cap U = \{1\} = U \cap U^{w_0}$ .*

**(R2)** ([R, 2.3]) *If  $w \in W$  and  $s \in S$  is such that  $l_S(ws) = l_S(w) + 1$ , then  $U \cap U^{ws} \subseteq U \cap U^s$ .*

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**Notation.** If  $E'$  is a subset of  $E$ , we denote  $X(E') := \langle X_\gamma, \gamma \in E' \cap \Phi \rangle$  and if  $A$  is a nonempty subset of  $W$ , we denote  $V_A := \bigcap_{w \in A} U^w$ .

### 2. First results.

The following proposition shows how to express  $V_A$ ,  $A \subseteq W$ ,  $A \neq \emptyset$ , with the root groups  $X_\gamma$ ,  $\gamma \in \Phi$ . For this, we need:

**Lemma 1.** *Let  $m \geq 1$ , let  $\gamma_1, \dots, \gamma_m$  be pairwise distinct in  $\Phi^+$  and for every  $i$ ,  $1 \leq i \leq m$ , let  $x_i \in X_{\gamma_i} \setminus \{1\}$ . Let  $w \in W$ , then  $x_1 x_2 \dots x_m \in U^w$  if and only if for every  $i$ ,  $w(\gamma_i) \in \Phi^+$ .*

*Proof.* If for all  $i$ ,  $w(\gamma_i) \in \Phi^+$ , then for all  $i$ ,  $X_{w(\gamma_i)} = {}^w X_{\gamma_i} \subseteq U$  because of (R1). We prove the converse by induction on  $l_S(w)$ . If  $w = 1$ , this is obvious.

If  $w = s_\delta$ ,  $\delta \in \Delta$ , one must check  $\delta$  is none of the  $\gamma_i$ 's. Suppose on the contrary that  $\delta = \gamma_{i_0}$ . Then  $s_\delta(\gamma_i) \in \Phi^+$  for any  $i \neq i_0$ . So  $x_1 \dots x_{i_0-1} \in U^{s_\delta}$  and  $x_{i_0+1} \dots x_m \in U^{s_\delta}$  by the 'if'. Then  $x_{i_0} \in U^{s_\delta} \cap X_\delta \subseteq U^{s_\delta} \cap U^{w_0 s_\delta} = \{1\}$ , a contradiction.

For an arbitrary  $w \in W$  with  $l_S(w) \geq 1$ , we can write  $w = w' s_\delta$  for  $w' \in W$ ,  $\delta \in \Delta$  and  $l_S(w') = l_S(w) - 1$ . Then  $U \cap U^w \subseteq U \cap U^{s_\delta}$  by (R2). We have just seen that, for all  $i$ ,  $s_\delta(\gamma_i) \in \Phi^+$ . Set  $\gamma'_i := s_\delta(\gamma_i)$ ,  $x'_i := x_i^{s_\delta} \in X_{\gamma'_i}$  where  $s_\delta \in N$  is a representative of  $s_\delta$ . The result comes by applying the induction hypothesis to  $x'_1 x'_2 \dots x'_m \in U^{w'}$ . □

**Proposition 1.** *For all nonempty subset  $A \subseteq W$ , we have  $V_A = X(\Psi_A)$  where  $\Psi_A := \{\gamma \in \Phi \mid \forall w \in A, w(\gamma) \in \Phi^+\}$ . Moreover,  $\Psi_A = \{\gamma \in \Phi, X_\gamma \subseteq V_A\}$ .*

*Proof.* Suppose  $1 \in A$ , so that  $\Psi_A \subseteq \Phi^+$ . Take a sequence  $\gamma_1, \dots, \gamma_N \in \Phi^+$  as in (R1), so that  $\Psi_A = \{\gamma_{i_1}, \dots, \gamma_{i_m}\}$  for  $1 \leq i_1 < \dots < i_m \leq N$ . Then any  $x \in V_A \subseteq U$ , can be written as  $x = x_1 \dots x_N$  with  $x_i \in X_{\gamma_i}$ . The above lemma implies that  $x_i = 1$  whenever  $\gamma_i \notin \Psi_A$ . Then  $V_A = X(\Psi_A)$ . So it makes clear that  $\Psi_A \subseteq \{\gamma \in \Phi, X_\gamma \subseteq V_A\}$ . But if  $\gamma \in \Phi$  is such that

$X_\gamma \subseteq V_A$  then  $\gamma \in \Phi^+$  by (R0) and (R1). Lemma 1 tells us  $\gamma \in \Psi_A$ . The last equality is proved.

Now, suppose  $1 \notin A$ . Take  $a \in A$  and define  $A' := Aa^{-1} \subseteq W$ . The above applies to  $A'$  and gives our claim since  $V_A = (V_{A'})^a$  and  $\Psi_A = a^{-1}\Psi_{A'}$ .  $\square$

The following is a slight adaptation to our needs of the standard theorem about separation of convex sets.

**Lemma 2.** *Let  $C$  be a closed convex cone of  $E$  such that  $C \cap -C = \{0\}$ . Let  $\Psi$  be a finite set of  $E \setminus \{0\}$  and let  $\mathcal{F}$  be the subset of the dual  $E^\vee$  of  $E$ ,  $\mathcal{F} = \{f \in E^\vee : f(C \setminus \{0\}) \subseteq \mathbb{R}_+^*$  and  $0 \notin f(\Psi)\}$ . Then  $C = \bigcap_{f \in \mathcal{F}} f^{-1}(\mathbb{R}_+^*) \cup \{0\}$ .*

*Proof.* One inclusion is clear. For the other, let  $x \notin C$ , so  $x \neq 0$ . We will find a linear form  $f$  such that  $f(C \setminus \{0\}) \subseteq \mathbb{R}_+^*$ ,  $f(x) < 0$  and  $0 \notin f(\Psi)$ . One may assume that  $x$  has norm 1. If  $r > 0$ , let  $C_r$  (resp.  $D_r$ ) be the open cone generated by the elements of the unit sphere at distance  $< r$  from the elements of  $C$  (resp. from  $x$ ). For  $r$  sufficiently small, we clearly have  $C_r \cap D_r = C_r \cap -C_r = \emptyset$ . Taking a hyperplane separating the open convex sets  $C_r$  and  $D_r$ , it is clear that such a hyperplane must contain 0. This tells us that the set  $\mathcal{G} := \{f \in E^\vee, f(C \setminus \{0\}) \subseteq \mathbb{R}_+^*, f(x) < 0\}$  is not empty. Now, it is easy to see that  $\mathcal{G}$  is an open set of the dual  $E^\vee$  and that the set  $\{f \in \mathcal{G}, 0 \in f(\Psi)\} \neq \mathcal{G}$  since  $\Psi$  is finite. So the outcome is still nonempty. Thus our claim.  $\square$

The consequence of the above on “convex” subsets of  $\Phi$  and corresponding subgroups of  $G$  is as follows.

**Proposition 2.** *Let  $C$  be a closed convex cone of  $E$  such that  $C \cap -C = \{0\}$ . Denote  $A(C) := \{w \in W \mid C \cap \Phi \subseteq w^{-1}(\Phi^+)\}$ . Then*

- (i)  $C \cap \Phi = \Psi_{A(C)}$  with the notation of Proposition 1.
- (ii)  $X(C) = V_{A(C)}$ .

*Proof.* (i) The inclusion  $C \cap \Phi \subseteq \Psi_{A(C)} = \bigcap_{w \in A(C)} w^{-1}(\Phi^+)$  is trivial. On the other hand, we have  $C \cap \Phi = \bigcap_{f \in \mathcal{F}} f^{-1}(\mathbb{R}_+^*) \cap \Phi$  where the set  $\mathcal{F}$  consists of the  $f \in E^\vee$  such that  $f(C \setminus \{0\}) \subseteq \mathbb{R}_+^*$  and  $0 \notin f(\Phi)$  (Lemma 2). But for  $f \in \mathcal{F}$ ,  $f^{-1}(\mathbb{R}_+^*) \cap \Phi$  defines an order relation on  $\Phi$  ([B]) and therefore some unique fundamental system. By transitivity of  $W$  on fundamental systems, there is  $w_f \in W$  such that  $f^{-1}(\mathbb{R}_+^*) \cap \Phi = w_f^{-1}(\Phi^+)$ . Obviously,  $w_f \in A(C)$ . So we get the reverse inclusion we seek.

(ii) By (i) above, we have  $X(C) = X(\Psi_{A(C)})$ . But Proposition 1 tells us that the latter is indeed  $V_{A(C)}$ .  $\square$

**Corollary 1.** *Let  $C$  and  $D$  be two closed convex cones of  $E$  such that  $C \cap -C = D \cap -D = \{0\}$ . Then,  $X(C) \cap X(D) = X(C \cap D)$ .*

*Proof.* With Proposition 2 (ii), we clearly have  $X(C) \cap X(D) = V_{A(C)} \cap V_{A(D)} = V_{A(C) \cup A(D)}$ , and the latter is  $X(\Psi_{A(C) \cup A(D)})$  by Proposition 1. But

$\Psi_{A(C)\cup A(D)} = \Psi_{A(C)} \cap \Psi_{A(D)}$  that is again equal to  $C \cap D \cap \Phi$  by Proposition 2 (i). We get our claim. □

### 3. The commutator formula.

**Theorem 1.** *If  $G$  is a finite group with a split BN-pair satisfying the hypothesis (2), then it satisfies the commutator formula (1).*

*Proof.* For all finite subset  $Y \subseteq E$ , we denote by  $C(Y)$  the closed convex cone generated by  $Y$ . We use the abbreviation  $C(y, z) := C(\{y, z\})$ .

Suppose  $G$  satisfies (2). Denote  $U_\delta = U \cap U^{s_\delta}$  when  $\delta \in \Delta$ . Let  $\alpha \in \Delta$  and  $\beta \in \Phi^+$ ,  $\alpha \neq \beta$ . We have  $U_\alpha = V_{\{1, s_\alpha\}} = X(\Psi_{\{1, s_\alpha\}})$  and  $X_\beta \subseteq U_\alpha$  by Proposition 1 because  $\Psi_{\{1, s_\alpha\}} = \Phi^+ \setminus \{\alpha\}$ . But  $\Phi^+ \setminus \{\alpha\} = \Phi \cap C(\Phi^+ \setminus \{\alpha\})$  because any positive linear combination of positive roots that is again a root is a positive one and  $\alpha$  is a fundamental root, so is a minimal positive linear combination of positive roots. Therefore,  $U_\alpha = X(C(\Phi^+ \setminus \{\alpha\}))$ . Besides, since  $X_\alpha \subseteq U$ ,  $[X_\alpha, X_\beta] \subseteq [X_\alpha, U_\alpha] \subseteq U_\alpha$  by hypothesis (2). On the other hand, both  $X_\alpha$  and  $X_\beta$  are subgroups of  $X(C(\alpha, \beta))$ , so  $[X_\alpha, X_\beta] \subseteq X(C(\alpha, \beta))$ . The closed convex cones  $C(\Phi^+ \setminus \{\alpha\})$  and  $C(\alpha, \beta)$  satisfy  $C \cap -C = \{0\}$  by property of positive roots ([B]) then Corollary 1 implies  $[X_\alpha, X_\beta] \subseteq U_\alpha \cap X(C(\alpha, \beta)) = X(C(\Phi^+ \setminus \{\alpha\}) \cap C(\alpha, \beta)) = X(C(\alpha, \beta) \setminus \{\alpha\})$ . So we get, for all  $\alpha \in \Delta$ ,  $\beta \in \Phi^+ \setminus \{\alpha\}$ ,

$$(3) \quad [X_\alpha, X_\beta] \subseteq X(C(\alpha, \beta) \setminus \{\alpha\}).$$

If  $\alpha \in \Delta$ ,  $\beta \in \Phi^- \setminus \{-\alpha\}$ , we also have (3) by applying the above to  $w_0 s_\alpha(\alpha) \in \Delta$ ,  $w_0 s_\alpha(\beta) \in \Phi^+$  and conjugating the corresponding subgroups of  $G$  by  $s_\alpha w_0 \in W$ . So we have (3) for any  $\alpha \in \Delta$ ,  $\beta \in \Phi \setminus \{\pm\alpha\}$ . If  $\alpha, \beta$  are any non-proportional arbitrary roots, there is  $w \in W$  such that  $w(\alpha) \in \Delta$ , then again we have (3). Now, exchanging the rôles of  $\alpha$  and  $\beta$ , (3) becomes  $[X_\alpha, X_\beta] \subseteq X(C(\alpha, \beta) \setminus \{\beta\})$ . It is clear that each set  $\Phi \cap (C(\alpha, \beta) \setminus \{\alpha\})$ ,  $\Phi \cap (C(\alpha, \beta) \setminus \{\beta\})$ , and  $\Phi \cap (C(\alpha, \beta) \setminus \{\alpha, \beta\})$  is of the type  $\Phi \cap C$  where  $C$  is a closed convex cone such that  $C \cap -C = \{0\}$  (draw a picture in the plane generated by  $\alpha$  and  $\beta$ ). Then Corollary 1 gives  $X(C(\alpha, \beta) \setminus \{\alpha\}) \cap X(C(\alpha, \beta) \setminus \{\beta\}) = X(C(\alpha, \beta) \setminus \{\alpha, \beta\})$ . We get our claim. □

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