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 $G_2(q)$  AS GALOIS GROUPS

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## EXPLICIT REALIZATION OF THE DICKSON GROUPS $G_2(q)$ AS GALOIS GROUPS

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For any prime power  $q$  we determine a polynomial  $f_q(X) \in \mathbb{F}_q(t, u)[X]$  whose Galois group over  $\mathbb{F}_q(t, u)$  is the Dickson group  $G_2(q)$ . The construction uses a criterion and a method due to Matzat.

### 1. Introduction.

In this paper we are concerned with the construction of polynomials whose Galois groups are the exceptional simple Chevalley groups  $G_2(q)$ ,  $q$  a prime power, first discovered by Dickson; see Theorems 4.1 and 4.3.

It was shown by Nori [7] that all semisimple simply-connected linear algebraic groups over  $\mathbb{F}_q$  do occur as Galois groups of regular extension of regular function fields over  $\mathbb{F}_q$ , but his proof does not give an explicit equation or even a constructive method for obtaining such extensions. On the other hand, in a long series of papers Abhyankar has given families of polynomials for groups of classical types (see [1] and the references cited there). His ad hoc approach hasn't yet led to families with groups of exceptional type (but see [2] for a different construction of polynomials with Galois group the simple groups of Suzuki). Thus it seems natural to try to fill this gap. In his recent paper Matzat [6] describes an algorithmic approach which reduces the construction of generating polynomials for such extensions to certain group theoretic calculations.

More precisely, let  $F := \mathbb{F}_q(\mathbf{t})$ , with  $\mathbf{t} = (t_1, \dots, t_s)$  a set of indeterminates. We denote by  $\phi_q : F \rightarrow F$ ,  $x \mapsto x^q$ , the Frobenius endomorphism. Let  $G$  be a reduced connected linear algebraic group defined over  $\mathbb{F}_q$ , with a faithful linear representation  $\Gamma : G(F) \hookrightarrow \mathrm{GL}_n(F)$  in its defining characteristic, also defined over  $\mathbb{F}_q$ . We identify  $G(F)$  with its image in  $\mathrm{GL}_n(F)$ . Fix an element  $g \in G(F)$  and assume that  $g \in \mathrm{GL}_n(R)$ , where  $R := \mathbb{F}_q[\mathbf{t}]$ . Any specialization homomorphism  $\psi : R \rightarrow \mathbb{F}_{q^a}$ ,  $t_j \mapsto \psi(t_j)$ , can be naturally extended to  $\mathrm{GL}_n(R)$ . We define

$$g_\psi := \psi(g) \cdot \psi(\phi_q(g)) \cdots \psi(\phi_q^{a-1}(g)) \in \mathrm{GL}_n(\mathbb{F}_q).$$

With these notations Matzat [6, Thm. 4.3 and 4.5] shows the following:

**Theorem 1.1** (Matzat). *Let  $G(F) \leq \mathrm{GL}_n(F)$  be a reduced connected linear algebraic group defined over  $\mathbb{F}_q$ . Let  $g \in \mathrm{GL}_n(R)$  such that:*

- (i)  $g \in G(F)$ ,
- (ii) there exist specializations  $\psi_i : R \rightarrow \mathbb{F}_{q^{a_i}}$ ,  $1 \leq i \leq k$ , such that no proper subgroup of  $G(\mathbb{F}_q) \leq \mathrm{GL}_n(\mathbb{F}_q)$  contains conjugates of all the  $g_{\psi_i}$ ,  $1 \leq i \leq k$ .

Then  $G(\mathbb{F}_q)$  occurs as regular Galois group over  $F$ , and a generating polynomial  $f(\mathbf{t}, X) \in F[X]$  for such a  $G(\mathbb{F}_q)$ -extension can be computed explicitly from the matrix  $g$ .

Thus the strategy for the computation of a  $G_2(q)$ -polynomial will be the following: First construct a small faithful matrix representation of  $G_2(F)$  in its defining characteristic. For this we use the well-known facts that  $G_2(F)$  is a subgroup of an 8-dimensional orthogonal group over  $F$ , and that this 8-dimensional representation has a faithful irreducible constituent of dimension 6 for  $G_2(F)$ , if  $\mathrm{char}(F) = 2$ , respectively of dimension 7 if  $\mathrm{char}(F) > 2$ . Secondly, we need to find an element  $g \in G_2(F)$  with the properties required in the Theorem. For this, we make use of the known lists of maximal subgroups of  $G_2(q)$  by Cooperstein and Kleidman. (These results require the classification of finite simple groups, but only in a very weak form.) Finally, the corresponding polynomial has to be computed using a version of the Buchberger algorithm.

## 2. Identifying $G_2(F)$ inside the 8-dimensional orthogonal group.

We first introduce some notation. Let  $V$  be an 8-dimensional vector space over a field  $F$  of characteristic  $p \geq 0$ , with basis  $e_1, \dots, e_8$  and  $Q$  the quadratic form on  $V$  defined by

$$Q : V \rightarrow F, \quad Q \left( \sum_{i=1}^8 x_i e_i \right) = \sum_{i=1}^4 x_i x_{9-i}.$$

We denote by  $\mathrm{GO}_8(F)$  the group of isometries of  $Q$ , the full orthogonal group, and by  $\mathrm{SO}_8(F)$  the connected component of the identity in  $\mathrm{GO}_8(F)$ , of index 2. Thus  $\mathrm{SO}_8(F)$  is a simple split algebraic group over  $F$  of type  $D_4$ . The subgroup of upper triangular matrices of  $\mathrm{GL}_8(F)$  contains a Borel subgroup  $B$  of  $\mathrm{SO}_8(F)$ . More precisely, the unipotent radical of  $B$  is generated by the root subgroups

$$X_i := \{x_i(t) \mid t \in F\}, \quad i = 1, \dots, 12,$$

where the  $x_i(t)$  are defined as in Table 1. Here  $E_{i,j}(t)$  denotes the matrix having 1's on the diagonal and one further nonzero entry  $t$  in position  $(i, j)$ .

A maximal torus  $T$  in  $B$  is given by the set of diagonal matrices

$$T := \{t = \mathrm{diag}(t_1, t_2, t_3, t_4, t_4^{-1}, t_3^{-1}, t_2^{-1}, t_1^{-1}) \mid t_i \in F^\times\}.$$

The simple roots with respect to  $T$  are now  $\alpha_i$ ,  $i = 1, \dots, 4$ , with  $\alpha_i(t) = t_i/t_{i+1}$  for  $i = 1, 2, 3$  and  $\alpha_4(t) = t_3 t_4$ . In Table 1 we have also recorded the

**Table 1.** Root subgroups of  $\text{SO}_8(F)$ .

$x_1(t) = E_{1,2}(t) - E_{7,8}(t)$	1000	$x_7(t) = E_{2,5}(t) - E_{4,7}(t)$	0101
$x_2(t) = E_{2,3}(t) - E_{6,7}(t)$	0100	$x_8(t) = E_{1,4}(t) - E_{5,8}(t)$	1110
$x_3(t) = E_{3,4}(t) - E_{5,6}(t)$	0010	$x_9(t) = E_{2,6}(t) - E_{3,7}(t)$	0111
$x_4(t) = E_{3,5}(t) - E_{4,6}(t)$	0001	$x_{10}(t) = E_{1,5}(t) - E_{4,8}(t)$	1101
$x_5(t) = E_{1,3}(t) - E_{6,8}(t)$	1100	$x_{11}(t) = E_{1,6}(t) - E_{3,8}(t)$	1111
$x_6(t) = E_{2,4}(t) - E_{5,7}(t)$	0110	$x_{12}(t) = E_{1,7}(t) - E_{2,8}(t)$	1211

decomposition of the root corresponding to a root subgroup into the simple roots  $\alpha_1, \dots, \alpha_4$ . Note that the simple root  $\alpha_2$  (with label 0100) is the one belonging to the central node in the Dynkin diagram of type  $D_4$ .

The group  $\text{PSO}_8(F) := \text{SO}_8(F)/Z(\text{SO}_8(F))$  possesses an outer automorphism  $\gamma$  of order 3 induced by the graph automorphism of the Dynkin diagram  $D_4$  which cyclically permutes the nodes 1, 3 and 4 and fixes the middle node 2. The group  $\text{PSO}_8(F)^\gamma$  of fixed points in  $\text{PSO}_8(F)$  under  $\gamma$  is again a simple connected algebraic group over  $F$ , of type  $G_2$ . Note that  $\gamma$  does not stabilize the natural representation of  $\text{SO}_8(F)$ . Nevertheless we can construct  $G_2(F)$  as a preimage  $G$  of  $\text{PSO}_8(F)^\gamma$  in  $\text{SO}_8(F)$ .

The Borel subgroup  $B$  of  $\text{SO}_8(F)$  contains a Borel subgroup of  $G$ . Its unipotent radical is the product of the subgroups

$$X_{i,j,k} := \{x_i(t)x_j(t)x_k(t) \mid t \in F\}$$

where  $(i, j, k) \in \{(1, 3, 4), (5, 6, 7), (8, 9, 10)\}$ , together with the root subgroups  $X_i = \{x_i(t) \mid t \in F\}$  for  $i \in \{2, 11, 12\}$  (see for example Carter [3, Prop. 13.6.3]). A maximal torus of  $G$  inside  $T$  consists of the elements

$$\{t = \text{diag}(t_1, t_2, t_1 t_2^{-1}, 1, 1, t_1^{-1} t_2, t_2^{-1}, t_1^{-1}) \mid t_i \in F^\times\}.$$

From this description we find that the simple roots for  $G_2(F)$  are now  $\alpha, \beta$ , with  $\alpha(t) := t_1/t_2$  and  $\beta(t) := t_2^2/t_1$ , and with corresponding root subgroups  $X_\alpha := X_{1,3,4}$ ,  $X_\beta := X_2$  respectively.

An easy calculation with the generators of root subgroups given above now shows that  $G$  leaves invariant the hyperplane  $V_1$  of  $V$  consisting of vectors with equal fourth and fifth coordinate, as well as the 1-dimensional subspace  $V_2$  of  $V$  spanned by  $e_4 - e_5$ . Thus we obtain an induced action of  $G$  on  $V_1$ , respectively on  $V_1/V_2$  when  $\text{char}(F) = 2$ . This yields a faithful matrix representation  $\Gamma : G_2(F) \hookrightarrow \text{GL}_n(F)$  of  $G_2(F)$ , of dimension  $n = 7$  when  $\text{char}(F) \neq 2$ , respectively of dimension  $n = 6$  when  $\text{char}(F) = 2$ . It is well-known that the smallest possible degree of a faithful representation of  $G_2(F)$  is 7, respectively 6 if  $\text{char}(F) = 2$ , so our representation  $\Gamma$  is irreducible.

**Remark 2.1.** The matrices given in [4, p. 34] do not define a representation of  $G_2(2^f)$ . Indeed, the matrix for  $h_a(t)$  does not have determinant 1, as it should have (since  $G_2(2^f)$  is simple for  $f > 1$ ). Its second diagonal entry should be  $t^{-1}$ . Conjugating  $X_a(t)$  by  $h_a(t')$  one sees that the middle off-diagonal entry of  $X_a(t)$  should be  $t^2$  instead of  $t$ . The commutator relations (see Carter [3, 12.4]; [4, (2.1)] contains misprints) then show that similarly in the matrices for  $X_{a+b}(t)$  and  $X_{2a+b}(t)$  the second nonzero off-diagonal entry  $t$  should be replaced by  $t^2$ . In this way one recovers the representation constructed above.

### 3. Finding a suitable element.

Let first  $q = 2^f$  be even. Then an easy calculation shows that in our 6-dimensional representation  $\Gamma : G_2(F) \rightarrow \mathrm{GL}_6(F)$  constructed above, we have

$$x_\alpha(t) = \begin{pmatrix} 1 & t & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & t^2 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & t \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \quad x_\beta(t) = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & t & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & t & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix},$$

and the longest element of the Weyl group of  $G_2(F)$  is represented by

$$w_0 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

We choose  $g := x_\alpha(t)x_\beta(u)w_0 \in G_2(F)$  and let

$$(1) \quad D := \Gamma(g) = \begin{pmatrix} 0 & 0 & 0 & tu & t & 1 \\ 0 & 0 & 0 & u & 1 & 0 \\ 0 & t^2u & t^2 & 1 & 0 & 0 \\ 0 & u & 1 & 0 & 0 & 0 \\ t & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

**Proposition 3.1.** *Let  $q$  be even and  $D$  be defined as above. Then no proper subgroup of  $G_2(q)$  contains conjugates of all specializations of  $D$ .*

*Proof.* We use the fact that all maximal subgroups of the finite groups  $G_2(q)$  are known by Cooperstein [4]. For  $q = 2$  specializations into  $\mathbb{F}_8$  yield elements of orders 7 and 12, and no maximal subgroup of  $G_2(2)$  contains elements of both orders. For  $q = 4$  specializations into  $\mathbb{F}_4$  yield elements of

orders 13, 15 and 21. The only maximal subgroup of order divisible by  $7 \cdot 13$  is  $\text{PSL}_2(13)$ , but its order is not divisible by 5, so we are done again.

Now let  $q \geq 8$ . Let  $G$  be a subgroup of  $G_2(q)$  containing conjugates of all specializations of  $D$ . Let  $\alpha \in \mathbb{F}_{q^2}^\times$  of order  $q + 1$ . Then the minimal polynomial of  $\alpha$  over  $\mathbb{F}_q$  has the form  $X^2 + \text{Tr}(\alpha)X + 1$ , where  $\text{Tr}(\alpha) = \alpha + \alpha^q \in \mathbb{F}_q$ . Thus any element of  $\mathbb{F}_{q^2}^\times$  of order  $q + 1$  occurs as a root of a polynomial of the shape

$$X^2 + vX + 1, \quad v \in \mathbb{F}_q.$$

Clearly, all elements of  $\mathbb{F}_q^\times$  also occur as zeros of such a polynomial. Now for  $v \in \mathbb{F}_q$  consider the specialization

$$\psi_v : \mathbb{F}_q[t, u] \rightarrow \mathbb{F}_q, \quad t \mapsto 0, u \mapsto v.$$

Then the specialization  $\psi_v(D)$  of  $D$  has characteristic polynomial

$$X^6 + (v^2 + 1)X^4 + (v^2 + 1)X^2 + 1 = (X + 1)^2(X^2 + vX + 1)^2.$$

The 1-eigenspace of  $\psi_v(D)$  only has dimension 1 for  $v \neq 0$ , so the order of  $\psi_v(D)$  is divisible by 2. By our above considerations, we hence find elements of orders  $2(q + 1)$  and  $2(q - 1)$  as specializations of  $D$ . (This can also be seen as follows: If  $t = 0$  then  $g$  specializes to

$$x_\beta(u)w_0 = x_\beta(u)(w_\beta w_\alpha)^3 = x_\beta(u)w_\beta \cdot w'$$

where  $w' = w_\alpha w_\beta w_\alpha w_\beta w_\alpha$  has order 2, centralizes  $x_\beta(u)w_\beta$ , and  $x_\beta(u)w_\beta$  represents the element

$$\begin{pmatrix} u & 1 \\ 1 & 0 \end{pmatrix}$$

in the subgroup  $\langle X_\beta, X_{-\beta} \rangle \cong \text{SL}_2(q)$ .

Next, consider the specialization

$$\psi'_v : \mathbb{F}_q[t, u] \rightarrow \mathbb{F}_q, \quad t \mapsto v, u \mapsto 0.$$

Here,  $\psi'_v(D)$  has characteristic polynomial

$$(X^2 + vX + 1)^2(X^2 + v^2X + 1).$$

By the argument above, this again yields elements of orders  $2(q - 1)$  and  $2(q + 1)$ . But note that this time these elements never have an eigenvalue 1, nor have any of their powers of order larger than 2. Thus  $G$  contains subgroups of order  $(q \pm 1)^2$ . Theorem 2.3 in [4] shows that either  $G \leq \text{SL}_2(q) \times \text{SL}_2(q)$  or  $G = G_2(q)$ .

Finally, consider the specialization

$$\psi''_v : \mathbb{F}_q[t, u] \rightarrow \mathbb{F}_q, \quad t \mapsto v, u \mapsto 1.$$

The corresponding specialization of  $D$  has characteristic polynomial

$$(X^3 + v^2X + 1)(X^3 + v^2X^2 + 1).$$

If  $X^3 + v^2X + 1$  is reducible over  $\mathbb{F}_q$ , then it has a linear factor  $X + a$ ,  $a \in \mathbb{F}_q$ , and  $X^3 + v^2X + 1 = (X + a)(X^2 + aX + 1/a)$ . Clearly, the case  $a = 0$  is not possible, so for at least one of the  $q$  possibilities for  $v \in \mathbb{F}_q$  the characteristic polynomial has an irreducible factor of degree 3. In this case, the specialization of  $D$  has order dividing  $q^2 + q + 1$ , but not  $q - 1$ . Since  $\mathrm{SL}_2(q) \times \mathrm{SL}_2(q)$  doesn't contain such elements, we have  $G = G_2(q)$ , as claimed.  $\square$

For odd  $q = p^f$  we again choose  $g := x_\alpha(t)x_\beta(u)w_0 \in G_2(F)$ . With

$$x_\alpha(t) = \begin{pmatrix} 1 & t & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & t & -t^2 & 0 & 0 \\ 0 & 0 & 0 & 1 & -2t & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & -t \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix},$$

$$x_\beta(t) = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & t & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & -t & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix},$$

and

$$w_0 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

this gives

$$(2) \quad D := \Gamma(g) = \begin{pmatrix} 0 & 0 & 0 & 0 & tu & -t & 1 \\ 0 & 0 & 0 & 0 & u & -1 & 0 \\ 0 & -t^2u & -t^2 & -t & 1 & 0 & 0 \\ 0 & -2tu & -2t & -1 & 0 & 0 & 0 \\ 0 & u & 1 & 0 & 0 & 0 & 0 \\ -t & -1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

in this case. This matrix has separable characteristic polynomial

$$X^7 + (t^2 + 1) X^6 - (2t^2 + u^2 + 3) X^5 - (t^4 + 3t^2 + u^2 + 3) X^4 + (t^4 + 3t^2 + u^2 + 3) X^3 + (2t^2 + u^2 + 3) X^2 - (t^2 + 1) X - 1.$$

We need the following result:

**Lemma 3.2.** *Let  $q > 3$  be an odd prime power. Then there exists  $v \in \mathbb{F}_q$  such that*

$$X^3 - (v^2 + 2)X - 1$$

*is irreducible over  $\mathbb{F}_q$ .*

*Proof.* Assume that  $f := X^3 - (v^2 + 2)X - 1$  is reducible. Then  $f$  has a zero  $a \in \mathbb{F}_q$ , and  $X^3 - (v^2 + 2)X - 1 = (X - a)(X^2 + aX + a^{-1})$ . These zeros are just the first coordinates of the  $\mathbb{F}_q$ -points on the elliptic curve  $E$  defined by  $U^3 - (V^2 + 2)U - 1$ . By the Weil bounds [8],  $E$  has at most  $q + 1 + 2\sqrt{q}$  points  $(u, v)$  over  $\mathbb{F}_q$ . Clearly, with  $(u, v)$  the point  $(u, -v)$  also lies on  $E$ , hence there are at most  $q/2 + 1 + \sqrt{q}$  distinct values  $a$  which can occur as zeros of  $f$ .

Next, we estimate how often  $f$  splits completely into linear factors. This happens if in addition the discriminant  $(a^3 - 4)/a$  of  $X^2 + aX + a^{-1}$  is a square in  $\mathbb{F}_q$ . Thus we need to count points on the  $\mathbb{F}_q$ -curve  $C$  defined by the two equations

$$U^3 - (V^2 + 2)U - 1, \quad U^3 - W^2U - 4.$$

Subtracting these two equations we see that  $U$  lies in the function field  $\mathbb{F}_q(V, W)$ . Since both  $V, W$  have degree at most 2 over  $\mathbb{F}_q(U)$ , the curve  $C$  has genus at most 4. Moreover, the only singular point of  $C$  is the point with coordinates  $(4, 0, 0)$  in characteristic 5. Again by the Weil bounds [8] this means that  $C$  has at least  $q + 1 - 2 \cdot 4\sqrt{q} - 6$  points over  $\mathbb{F}_q$ . For each such point, changing the sign of the  $V, W$ -coordinates again yields a point, hence there are at least  $(q - 5 - 8\sqrt{q})/4$  distinct  $a \in \mathbb{F}_q$  for which  $f$  splits completely. Thus we obtain at most

$$q/2 + 1 + \sqrt{q} - (q - 5 - 8\sqrt{q})/4 = (q + 9)/4 + 3\sqrt{q}$$

factorizations of  $f$  into a linear and a quadratic factor. The discriminant of  $f$  is a polynomial in  $v$  of degree 6, hence  $f$  is inseparable for at most six values of  $v$ . Apart from those, each completely splitting  $f$  accounts for three different values of  $a$ , so we obtain a total of at most

$$(q + 9)/4 + 3\sqrt{q} + ((q - 5 - 8\sqrt{q})/4 - 6)/3 + 6 = (2q + 35)/6 + 7/3\sqrt{q}$$

reducible polynomials when  $v$  runs over  $\mathbb{F}_q$ . Hence there remain at least

$$(q + 1)/2 - ((2q + 35)/6 + 7/3\sqrt{q}) = (q - 32)/6 - 7/3\sqrt{q}$$

irreducible polynomials. This is positive for  $q \geq 257$ . For the remaining prime powers  $3 < q < 257$  a computer check shows that the assertion is

also satisfied. (For  $q = 5, 9$  there is just one irreducible polynomial of the required shape, for  $q = 3$  there is none.)

Note that the counting of singular points and of inseparable  $f$  was very rough and a more detailed analysis would have reduced the bound considerably.  $\square$

**Proposition 3.3.** *Let  $q$  be odd and  $D$  be the matrix defined in (2). Then no proper subgroup of  $G_2(q)$  contains conjugates of all specializations of  $D$ .*

*Proof.* Again all maximal subgroups of  $G_2(q)$  are known by work of Kleidman [5]. For  $q = 3$  specializations into  $\mathbb{F}_9$  yield elements of orders 7, 9, 13. The only maximal subgroup of  $G_2(3)$  of order divisible by  $7 \cdot 13$  is  $\text{PSL}_2(13)$ , but that has no elements of order 9. For  $q = 5$ , specialization into  $\mathbb{F}_5$  yields element orders 7, 20 and 31, thus we are done again.

For  $q \geq 7$  let  $G$  be a subgroup of  $G_2(q)$  containing conjugates of all specializations of  $D$ . We again consider the specialization

$$\psi_v : \mathbb{F}_q[t, u] \rightarrow \mathbb{F}_q, \quad t \mapsto 0, \quad u \mapsto v.$$

Then the square of  $\psi_v(D)$  has characteristic polynomial

$$(X - 1)^3(X^2 - (v^2 + 2)X + 1)^2.$$

This gives rise to elements of orders  $q \pm 1$  in  $G$ . Similarly, the specialization

$$\psi'_v : \mathbb{F}_q[t, u] \rightarrow \mathbb{F}_q, \quad t \mapsto v, \quad u \mapsto 0,$$

yields the characteristic polynomial

$$(X - 1)(X^2 - (v^4 + 4v^2 + 2)X + 1)(X^2 - (v^2 + 2)X + 1)^2$$

for the image of  $D^2$ . So as in the previous proof we deduce that  $G$  must contain subgroups of orders  $(q \pm 1)^2$ . Theorem A in [5] shows that either  $G$  is contained in the central product  $\text{SL}_2(q) \circ \text{SL}_2(q)$ , or  $G = G_2(q)$ . Finally, for the specialization

$$\psi''_v : \mathbb{F}_q[t, u] \rightarrow \mathbb{F}_q, \quad t \mapsto v, \quad u \mapsto 1,$$

we obtain the characteristic polynomial

$$(X - 1)(X^3 + (v^2 + 2)X^2 - 1)(X^3 - (v^2 + 2)X - 1)$$

for  $\phi''_v(D)$ . Since  $q \geq 7$  is odd, Lemma 3.2 shows that there exists  $v \in \mathbb{F}_q$  such that the degree 3 factors of this polynomial are irreducible over  $\mathbb{F}_q$ . But  $\text{SL}_2(q) \circ \text{SL}_2(q)$  does not contain such elements, hence we have  $G = G_2(q)$ .  $\square$

### 4. The polynomials.

It remains to determine generating polynomials for the  $G_2(q)$ -extensions whose existence is guaranteed by Theorem 1.1 in conjunction with Propositions 3.1 and 3.3.

**Theorem 4.1.** *Let  $q = 2^f$  be a power of 2. Then the polynomial*

$$\begin{aligned} & X^{q^6} + u^{e_2} t^{e_4} X^{q^5} + (u^{e_1} t^{e_1} + u^{e_3} t^{e_1} + t^{e_1} + t^{e_3} + 1) X^{q^4} \\ & + u^{e_2} t^{e_4} (t^{q^3+q^2} + t^{q^3-q} + 1) X^{q^3} \\ & + t^{e_1} (u^{e_1} t^{q^2-1} + u^{e_1} t^{q^2+q} + u^{e_1} + u^{e_3} + 1) X^{q^2} \\ & + u^{e_2} t^{q^4+2q^2-q} X^q + u^{e_1} t^{q^4-1} X, \end{aligned}$$

with  $e_1 := q^4 - q^2$ ,  $e_2 := q^4 - q^3$ ,  $e_3 := q^4 + q^3$ ,  $e_4 := q^4 - q^3 + 2q^2$ , has Galois group  $G_2(q)$  over  $\mathbb{F}_q(t, u)$ .

*Proof.* In Proposition 3.1 we have shown that the assumptions of Matzat’s Theorem 1.1 are satisfied for the matrix  $D$  defined in (1). According to Matzat [6, §1], a generating polynomial for a field extension with group  $G_2(q)$  can now be obtained by solving the non-linear system of equations given by

$$\mathbf{y} = D \mathbf{y}^q,$$

where  $\mathbf{y} = (y_1, \dots, y_6)^t$ , for one of the variables. Solving for  $y_6$  yields the equation displayed in the statement. □

By the Hilbert irreducibility theorem, there exist 1-parameter specializations of the polynomial in Theorem 4.1 with group  $G_2(q)$ .

**Example 4.2.** By arguments similar to those used in the proof of Proposition 3.1 it can be checked that the polynomial

$$\begin{aligned} & X^{64} + t^{24} X^{32} + (t^{36} + t^{12} + 1) X^{16} + (t^{30} + t^{36} + t^{24}) X^8 \\ & + (t^{24} + t^{36} + t^{27} + t^{30} + t^{12}) X^4 + t^{30} X^2 + t^{27} X \end{aligned}$$

obtained by setting  $u = t$  has Galois group  $G_2(2)$  over  $\mathbb{F}_2(t)$ .

**Theorem 4.3.** *Let  $q = p^f$  be an odd prime power. Then the polynomial*

$$\begin{aligned} & X^{q^7} + u^{e_1} t^{e_4} (t^{e_6} + 1) X^{q^6} - (t^{e_2} u^{e_3} + (t^{q^5+q^2} + t^{e_2}) u^{e_2} + t^{e_3} + t^{e_2} + 1) X^{q^5} \\ & - u^{e_1} t^{e_4} (t^{e_5} (u^{q^4+q^3} + u^{e_5}) + (t^{e_6} + 1) (t^{q^4+q^3} + t^{e_5} + 1)) X^{q^4} \\ & + t^{e_2} (u^{e_3} + (t^{e_6} + 1) (t^{e_6} + t^{q^3-q} + 1) u^{e_2} + 1) X^{q^3} \\ & + u^{e_1} t^{q^5+q^3-2q^2} (u^{q^4+q^3} + (t^{q^2+q} + t^{q^2-1} + 1) u^{e_5} + t^{e_6} + 1) X^{q^2} \\ & - u^{e_2} t^{q^5-q} (t^{e_6} + 1) X^q - u^{q^5-q^2} t^{q^5+q^3-q^2-1} X, \end{aligned}$$

where  $e_1 := q^5 - q^4$ ,  $e_2 := q^5 - q^3$ ,  $e_3 := q^5 + q^4$ ,  $e_4 := q^5 - q^4 + q^3 - q^2$ ,

$e_5 := q^4 - q^2$ ,  $e_6 := q^3 + q^2$ , has Galois group  $G_2(q)$  over  $\mathbb{F}_q(t, u)$ .

The proof is as for the preceding theorem, starting this time from the matrix  $D$  given in (2), solving for  $y_7$ , and using Proposition 3.3.

**Remark 4.4.** The sporadic simple Janko groups  $J_1$  and  $J_2$  are subgroups of  $G_2(11)$ , respectively of  $G_2(4)$ . It would be nice to find Galois extensions for these groups in characteristic 11 respectively 2 by the above method, possibly as specializations of the polynomials in Theorems 4.1 and 4.3.

**Remark 4.5.** The next smallest simple exceptional group is the one of type  $F_4$ . Its smallest faithful representation has dimension 26, respectively 25 in characteristic 3. In principle, the methods of this paper should make it possible to produce an  $F_4(q)$ -polynomial.

**Remark 4.6.** The group  $G_2(q)$ ,  $q$  odd, has  $q$  orbits on nonzero vectors in its 7-dimensional representation. Thus, the polynomial  $f_q(t, u, X)$  in Theorem 4.3 has  $q$  factors, of degrees roughly  $q^6$ , and a linear factor. On the other hand, any specialization of  $f_q$  has factors of degree at most  $q^2 + q + 1$ , the maximal element order in  $G_2(q)$ . Thus,  $f_q$  seems a good candidate for testing factorization algorithms. Using Maple we have not been able to find the factorization of  $f_q$  for  $q = 3$ .

Similarly, for  $q$  even  $G_2(q)$  has a single orbit on the nonzero vectors of the 6-dimensional module. Hence  $f_q(t, u, X)$  in Theorem 4.1 is irreducible apart from the trivial linear factor. Again Maple was not able to confirm this for  $q = 4$ .

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