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WORD EQUATION $ABC = CDA$, $B \neq D$

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We find a new formula for solving $ABC = CDA$, $B \neq D$ for 4 nonempty words in a free semigroup. Properties of the solutions are derived.

1. Introduction.

In a free semigroup, let Q be a quadruple $\langle A, B, C, D \rangle$ of nonempty words satisfying $ABC = CDA$, $B \neq D$. Hmelevskii [1] gives a formula for Q and proves that the solutions of $ABC = CDA$ cannot be represented by a finite set of formulas involving words and positive integer exponents. Hmelevskii also shows that such a representation does exist for equations in 3 variables. This paper contains a simpler formula for Q and proofs of some of its properties. For more results about words, see [2], [3].

2. Terminology.

Fix an alphabet of letters. A *word* W is a finite sequence of letters. $|W|$ is the length of W ; the empty word is 1; $|1| = 0$. Word X followed by word Y is written as a product XY . $X \leq Y$ if $XZ = Y$ for some possibly empty word Z . A product of k copies of W , written as W^k , is a *power* of W if $k \geq 0$ with $W^0 = 1$ and a *proper power* if $W \neq 1$ and $k \geq 2$. Write W backwards to get W^* . So $(XY)^* = Y^*X^*$. Word W is *periodic* if $W = A(BA)^k$ for some $B \neq 1$, A , $k \geq 2$.

A *solution* is a quadruple $Q = \langle A, B, C, D \rangle$ of words with $ABC = CDA$, $B \neq D$ and $A, B, C, D \neq 1$. Also use the notation $Q = \langle Q_1, Q_2, Q_3, Q_4 \rangle$. All such Q form a set Σ . A quadruple Q is *unitary* if $|A| = 1$. Define $\sigma(Q) = ABCD$. Using words X, Y, Z , define special quadruples:

$$A_k = A_k(X, Y, Z) = \langle X(YX)^k, YXZ, X(YX)^{k+1}, ZXY \rangle, k \geq 0.$$

$$B_k = B_k(X, Y, Z) = \langle X, YXZ, XYX(ZXYX)^k, ZXY \rangle, k \geq 0.$$

For any quadruple $U = \langle A, B, C, D \rangle$, define functions p, q :

$$p(U) = \langle ABC, B, C, D \rangle \quad \text{and} \quad q(U) = \langle C, D, A, B \rangle.$$

If $U \in \Sigma$ then $p(U) \in \Sigma$ and $q(U) \in \Sigma$. Let Γ be the set of all finite products of p 's and q 's. The identity function i is in Γ since $(qq)(U) = q(q(U)) = U = i(U)$.

Remark 2.1. $A_k(X, Y, Z) \in \Sigma$ if and only if $YXZ \neq ZXY$ and $X \neq 1$ if $k = 0$.

Remark 2.2. $B_k(X, Y, Z) \in \Sigma$ if and only if $YXZ \neq ZXY$ and $X \neq 1$.

Remark 2.3. If $\langle A, B, C, D \rangle$ is a solution then $A \neq C$, $|A| \neq |C|$, $|B| = |D|$.

Remark 2.4. If $\langle A, B, C, D \rangle$ is a solution and $|A| = 1$ then $|C| > 1$.

3. Summary of results.

- (1) Each solution Q equals $g(A_k)$ for some $g \in \Gamma$ and $A_k \in \Sigma$ (Theorem 5.1).
- (2) If $ABC = CDA$, $B \neq D$ then $ABCD$ is not a proper power (Theorem 5.2).
- (3) Each unitary solution equals some $B_k \in \Sigma$ (Theorem 5.3).
- (4) If $\langle A, B, C, D \rangle = g(A_k(a, b, c))$, $k \geq 0$, $g \in \Gamma$, letters a, b, c , then $\{B, D\} = \{bac, cab\}$; A, C have odd lengths; $A = A^*$, $C = C^*$ (Theorem 5.4).
- (5) For each $\langle A, B, C, D \rangle \in \Sigma$, $ABCD$ or $CDAB$ is periodic and $ABCD$ or $CDAB$ equals $\sigma(B_k)$ for some unitary $B_k \in \Sigma$, $k = 0$ or 1 (Theorem 5.5).

4. Preliminaries.

Lemma 4.1. Let $Q = A_k(X, Y, Z)$ be a unitary solution with $k \geq 0$. Then Q equals some A_0 .

Proof. $|X(YX)^k| = 1$ implies either $k = 0$ or $k = 1$. If $k = 0$ we are done. If $k = 1$ then $X = 1$, $|Y| = 1$, $YZ \neq ZY$, $A_k = A_1(1, Y, Z) = A_0(Y, 1, Z)$. \square

Lemma 4.2. If $V = g(U)$ with $g \in \Gamma$, $U = A_k$, $k \geq 0$ then $U_1 \leq V_1$, $U_1 \leq V_3$.

Proof. True if g is the identity function or if g is p or q . Use an induction argument on the number of p 's and q 's in g . \square

Lemma 4.3. Let $V = g(A_k)$ be a unitary quadruple for some $g \in \Gamma$, $k \geq 0$. Then $g = qp^nq$ for some $n \geq 0$.

Proof. Let $U = A_k$. Since $|V_1| = 1$, applying Lemma 4.2 to V yields $|U_1| = 1$. By Remark 2.4, $|U_3| > 1$. We can assume g is not the identity function because we can use $n = 0$ in that case. Then g can be expressed as a reduced product of p 's and q 's with no 2 adjacent q terms.

We use the following observations:

- (1) $V = g(U)$ and g is not the identity function.
- (2) $|U_1| = 1$, $|U_3| > 1$, $|V_1| = 1$.
- (3) q interchanges the first and third components of a quadruple.

- (4) p increases the length of the first component of a quadruple.
 (5) p preserves the length of the third component of a quadruple.

We conclude that a reduced product for g equals qp^nq for some $n \geq 1$. \square

Lemma 4.4. *If $YXZ \neq ZXY$, $n \geq 2$ then $\sigma(B_n(X, Y, Z)) = \sigma(B_0(X, V, W))$ for some words V, W such that $VXW \neq WXV$.*

Proof. For any $t \geq 0$,

$$\sigma(B_{2t+2}) = (XYXZ)^{2t+4}XY, \quad \sigma(B_{2t+3}) = (XYXZ)^{2t+5}XY.$$

$$\sigma(B_{2t+2}) = \sigma(B_0(X, V, W)) \text{ for } V = Y, W = Z(XYXZ)^{t+1}.$$

$$\sigma(B_{2t+3}) = \sigma(B_0(X, V, W)) \text{ for } V = YXZXY, W = Z(XYXZ)^t.$$

In each case, $YXZ \leq VXW$ and $ZXY \leq WXV$. Therefore $VXW \neq WXV$. \square

The following Lemma is Proposition 1.3.4 in [2].

Lemma 4.5. *For words, $XZ = YX$ with $Y, Z \neq 1$ implies $Y = UV$, $X = U(VU)^k$, $Z = VU$ for some U, V with $k \geq 0$. If $X \neq 1$ then we can choose $V \neq 1$.*

5. Main results.

Theorem 5.1. *Each solution Q equals $g(A_k)$ for some $g \in \Gamma$ and $A_k \in \Sigma$.*

Proof. Let $Q = \langle A, B, C, D \rangle$ be a solution. Then $|A| \neq |C|$. We may assume $|A| < |C|$ since the function q , applied to Q , interchanges A and C .

Define $m = m(Q) = |ABC|$ so that $m \geq 3$. Therefore $m > 3$ since $|A| \neq |C|$. Suppose $m = 4$. $|A| = 1 = |B|$, $|C| = 2$ else $|B| = 2$ implies $|A| = 1 = |C|$. Thus $Q = \langle a, a, aa, a \rangle$ for some letter a and $B = a = D$, impossible. So $m \geq 5$. Assume $m = 5$. Then $\langle |A|, |B|, |C| \rangle$ equals $\langle 1, 1, 3 \rangle$ or $\langle 1, 2, 2 \rangle$.

In the first case, $Q = \langle a, b, aba, b \rangle$, contradicting $B \neq D$. In the second case, $Q = \langle a, ab, aa, ba \rangle = A_0(a, 1, b)$ for letters $a \neq b$. Thus the theorem is true for $m = 5$.

Use induction on m . Assume $m > 5$. Suppose $|AB| < |C|$. Then $C = ABI = JDA$ for some $I, J \neq 1$. $ABJDA = ABC = CDA = ABIDA$ so $I = J$, $ABI = C = IDA$. Then $R = \langle I, D, A, B \rangle$ is a solution.

$$m(R) = |IDA| = |C| < |ABC| = m(Q).$$

By an induction assumption, $R = h(A_k)$ for some $h \in \Gamma$, $A_k \in \Sigma$. Therefore $q(p(h(A_k))) = q(p(R)) = \langle A, B, IDA, D \rangle = Q$. Use $g = qph$.

Now suppose $|C| \leq |AB|$. Using $ABC = CDA$, deduce $C = AI = JA$ for some $I, J \neq 1$. Then $|J| = |I| \leq |B| = |D|$ since $|AI| = |C| \leq |AB|$. Using

$$ABC = CDA,$$

$$ABC = AIDA \Rightarrow BC = IDA \Rightarrow B = IK \text{ for some } K \text{ using } |I| \leq |B|.$$

$$ABJA = CDA \Rightarrow ABJ = CD \Rightarrow D = LJ \text{ for some } L \text{ using } |J| \leq |D|.$$

Then $AIKJA = ABC = CDA = AILLJA$ implies $K = L$. Apply Lemma 4.5 to $AI = JA$.

We get $A = X(YX)^k$, $I = YX$, $J = XY$ for some words $Y \neq 1$, X and $k \geq 0$. So $C = AI = X(YX)^{k+1}$, $B = IK = YXK$, $D = KJ = KXY$. If $k = 0$ then $X = A \neq 1$. Thus

$$\langle A, B, C, D \rangle = \langle X(YX)^k, YXK, X(YX)^{k+1}, KXY \rangle = A_k(X, Y, K) \in \Sigma.$$

Thus $Q = g(A_k(X, Y, Z))$ using the identity function for g and $Z = K$. \square

Theorem 5.2. *If $ABC = CDA$, $B \neq D$ then $ABCD$ is not a proper power.*

Proof. It suffices to show that $ABCD$ or $CDAB$ is not a proper power. Assume $|A| < |C|$. Suppose $ABCD = U^k$ for $k \geq 2$. So $U \leq ABC$ and $CDAB = V^k$ with $V \leq CDA$. Since $|U| = |V|$ and $ABC = CDA$, it follows that $U = V$ and $ABCD = U^k = V^k = CDAB$, $D = B$, a contradiction. Now assume $|C| < |A|$. Then a similar argument show that $CDAB$ is not a proper power. \square

Theorem 5.3. *Each unitary solution equals some $B_n \in \Sigma$.*

Proof. Let V be a unitary solution. Then $V = g(A_k(X, Y, Z))$ with $g \in \Gamma$, $A_k \in \Sigma$ by Theorem 5.1. $U = A_k(X, Y, Z)$ is unitary by Lemma 4.2. By Lemma 4.1, $U = A_0(R, S, T)$ for some R, S, T with $SRT \neq TRS$, $|R| = 1$. $g = qp^nq$ for some $n \geq 0$ by Lemma 4.3. So $V = qp^nq(A_0(R, S, T)) = B_n(R, S, T)$. \square

Theorem 5.4. *If $U = g(A_k(a, b, c))$ with $k \geq 0$, $g \in \Gamma$, letters a, b and c , then:*

- (i) $\{U_2, U_4\} = \{bac, cab\}$,
- (ii) U_1, U_3 have odd lengths,
- (iii) $U_1 = (U_1)^*$, $U_3 = (U_3)^*$.

Proof. Call U good if (i), (ii), (iii) are true for U . It suffices to prove 3 statements:

- (1) If $U = A_k(a, b, c)$ then U is good.
- (2) If U is good then so is $q(U)$.
- (3) If U is good then so is $p(U)$.

Statements (1), (2) are easily verified. As for (3), assume U is good. Then $U_1U_2U_3 = U_3U_4U_1$, $(U_2)^* = (U_4)$, $(U_1)^* = U_1$, $(U_3)^* = (U_3)$. Let $V = \langle U_1U_2U_3, U_2, U_3, U_4 \rangle = p(U)$. Properties (i), (ii) are easily verified for V . To check (iii) for V , $(V_3)^* = (U_3)^* = U_3 = V_3$ and $(V_1)^* = (U_1U_2U_3)^* = (U_3)^*(U_2)^*(U_1)^* = U_3U_4U_1 = U_1U_2U_3 = V_1$. \square

Theorem 5.5. *If $\langle A, B, C, D \rangle \in \Sigma$ then $ABCD$ or $CDAB$ is periodic. If $|A| < |C|$ then $ABCD = XY(XZXY)^{k+2} = \sigma(B_k)$ for some X, Y, Z , $YXZ \neq ZXY$, $|X| = 1$, $k = 0$ or 1 . By symmetry, if $|C| < |A|$ then $CDAB$ equals such a product.*

Proof. Assume $|A| < |C|$. $ABC = CDA$ implies $C = FA$, $F \neq 1$. So $ABFA = FADA$, $ABF = FAD$. Rewrite this: $EF = FG$, $E = AB$, $G = AD$. Apply Lemma 4.5 to $EF = FG$. Get $F = P(QP)^n$, $AB = PQ$, $AD = QP$, $Q \neq 1$, $n \geq 0$, $ABCD = P(QP)^{n+2}$. $P = 1$ cannot occur since then $AB = Q = AD$ and $B = D$, impossible. Thus $P \neq 1$, $Q \neq 1$, $AB = PQ$, $AD = QP$ imply A, P and Q all start with the same word X of length 1. Therefore there exist Y, Z with $P = XY$, $Q = XZ$. It follows that $ABCD = P(QP)^{n+2} = \sigma(B_n(X, Y, Z))$. By Theorem 5.2, $ABCD$ is not a proper power. Therefore $XYXZ = PQ \neq QP = XZXY$ implies $YXZ \neq ZXY$.

For $n = 0$ or 1 , use $k = n$.

For $n > 1$, apply Lemma 4.4 to $\sigma(B_n(X, Y, Z))$ and use $k = 0$. □

6. Examples of solutions.

Define solutions: $Q_k = (qp)^{2k}(S)$ with $S = \langle a, ba, aba, ab \rangle = A_0(a, b, 1)$, letters $a \neq b$. Using a simplified version of a function G found in [1] we have:

$$Q_k = \langle G(2k+2), ba, G(2k+3), ab \rangle, \quad k \geq 0,$$

where $G(2) = a$, $G(3) = aba$, and $G(n) = G(n-1)Z(n-1)G(n-2)$, $n \geq 4$; $Z(n) = ba$ (ab) if n even (odd).

For example, Q_1, Q_2, Q_3 are computed from $G(4), \dots, G(9)$ where:

$$\begin{aligned} G(4), \dots, G(7) &= XX, XYX, X(YX)^2, (XY)^2(YX)^2, \\ G(8) &= (XY)^2Y(XY)^2(YX)^2, \\ G(9) &= (XY)^2Y(XY)^2YXY(YX)^2, \end{aligned}$$

using $X = aba$, $Y = ababa$.

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