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The semigroup of values of a space curve singularity is an invariant of the singularity. We analyze the complexity of this invariant, in order to describe the geometric invariants of the sequence of infinitely near points of the curve necessary to determine the generators of the semigroup. We give several approaches and examples using Hamburger–Noether matrices to describe the infinitely near points of the curve.

Introduction

In the Zariski equisingularity theory [Zariski 1965] of plane curve singularities, one of the invariants considered for irreducible curves is the semigroup of values. An irreducible algebroid curve (or branch) C over an algebraically closed field k , with ring R , has a normalization isomorphic to $k[[t]]$, and the injection $R \hookrightarrow k[[t]]$ provides a valuation and a semigroup of values for C . The graded ring associated with the valuation is the ring of a monomial curve having the same semigroup as the given branch.

For plane branch singularities this graded ring was studied by Lejeune-Jalabert and Teissier [Lejeune-Jalabert 1973; Lejeune-Jalabert and Teissier 1975; Teissier 1973]. The minimal system of generators for the semigroup is formed by the intersection multiplicities of the branch with the high maximal contact curves — or, from a different point of view, Abhyankar’s approximate roots [Abhyankar and Moh 1973a; 1973b; Popescu-Pampu 2003]. Goldin and Teissier [2000] use the embedding of a plane branch into a $(g+1)$ -dimensional space (where g is the number of generators of the semigroup) in order to obtain a resolution of the singularity of branch in one toric modification. They consider this approach as the first step of a general method for any singularity, in order to get a Zariski local uniformization theorem for arbitrary valuations.

For plane branch singularities the semigroup of values is determined by means of the characteristic exponents, that is, by the proximity relations of the infinitely near points of the resolution of the branch. For space curve singularities this is not

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the case, and the semigroup of values is much more complicated than for plane branch singularities. It is not currently known how to obtain the semigroup of values from the geometry of the resolution of the curve.

Our aim in this article is to determine some facts about the geometry of the resolution of a space branch singularity, which will then allow us to compute the semigroup of values. We also aim to find the hypersurfaces that provide the generators of the semigroup of values, which generalize “high maximal contact curves” and “approximate roots”.

In [Section 1](#) we describe the infinitely near points associated to any resolution of the singularities of a curve, and we present the Hamburger–Noether matrices associated with them. These matrices are like analytic coordinates of the infinitely near points, and give us a parametrization for the branches different to the Puiseux one. In [Section 2](#) we describe different semigroups: the semigroup of values, the Arf semigroup, and the semigroup associated with the characteristic exponents.

In [Section 3](#) we consider the linear proximity of infinitely near points of dimension l , a generalization of the proximity for the plane case, which provides elements of the semigroup of values. In [Section 4](#) we consider higher degree proximity and hypersurfaces of maximal contact and we introduce the shadow clusters, a kind of closure cluster of the ones given by some weights over infinitely near points. We consider the idealistic semigroup, associated with the special complete ideals for sequences of infinitely near points (considered by Lipman [[1988](#)]) and relate it to the semigroup of values.

Throughout the work we present several examples. To compute the examples we have used a Maple implementation of an algorithm for computing the semigroup of values of a space curve singularity established in [[Castellanos and Castellanos 2005](#)].

1. Space curve singularities

We consider a *space curve singularity* to be an *algebroid curve* (or simply *curve*) $C = \text{Spec}(R)$, where (R, \mathfrak{m}, k) is a complete equicharacteristic noetherian local ring of dimension 1 containing an algebraic closed field k as a coefficient field. By Cohen’s theorem, if $\{x_1, \dots, x_N\}$ is a system of generators of \mathfrak{m} , it follows that

$$R \simeq k[[X_1, \dots, X_N]]/\mathfrak{p},$$

and we say that the curve C is *embedded* in the N -space $V = \text{Spec}(A)$, where $A = k[[X_1, \dots, X_N]]$. The *embedding dimension* (embdim) is the minimum possible N , which is equal to $\dim_k \mathfrak{m}/\mathfrak{m}^2$. For $N = 2$ we say that C is a *plane curve singularity*. In the following we consider only irreducible curves, that is, where R is a domain.

The integer closure \bar{R} of R in its quotient field is a discrete ring valuation, and $R \hookrightarrow \bar{R} \simeq k[[t]]$, that is, each $z \in R$ gives a series $z(t)$. So $R \simeq k[[x_1(t), \dots, x_N(t)]]$ and we call $\{x_1(t), \dots, x_N(t)\}$ a *parametrization* for C . The *multiplicity* of the curve C is the multiplicity $n = e(R)$ of the local ring, and is the minimum order of the series of any parametrization of the curve. The *multiplicity sequence* $E(C)$ of C is $\{n = n_0, \dots, n_i, \dots, n_r = 1\}$, where $n_i = e(R^{(i)})$ is the multiplicity of the i -th blowing up of R in its maximal ideal, $R^{(i)} = \text{Bl}_{\mathfrak{m}_{i-1}}(R^{(i-1)})$.

We consider below another kind of parametrization for the curve, the so-called Hamburger–Noether matrices (see [Castellanos 1995]) associated with the infinitely near points described by the embedded resolution of the curve singularity.

Let $P \in V$ be the closed point. Denote by V_1 the blowing up of V with center P , and by $E_1 \simeq \mathbb{P}^{N-1}$ the exceptional divisor. An *infinitely near point* (INP) to P in the first neighborhood is defined to be a point Q in the exceptional divisor of V_1 . A *sequence* or *chain* of INPs of P is a set $\{P, P_1, \dots, P_i, \dots, P_s\}$, where $P_0 = P$ and each P_i (for $i = 1, \dots, s$) is a closed point in $E_i \subset V_i$, the exceptional divisor of the blowing up $\pi_i : V_i \rightarrow V_{i-1}$ at P_{i-1} , where $V_0 = V$, and P_i is an INP to P in the i -th neighborhood.

We consider an embedded resolution of the curve singularity of C in V , not necessarily minimal. That is, we make a sequence of blowing ups and we do not necessarily stop when we get curves with multiplicity one. That process provides a sequence of INPs of the closed point P of V . That sequence can be infinite. Let $\pi_1 : V_1 \rightarrow V$ be the blowing-up of V at P . Let C_1 be the strict transform of C by π_1 . The tangent cone of C is given by a line L_1 that determines a point P_1 in the curve C_1 lying in the exceptional divisor E_1 of π_1 . The embedded $C \hookrightarrow V$ gives one between the blowing-ups of C and V at P ,

$$\begin{array}{ccc} C & \hookrightarrow & V \\ \downarrow & & \downarrow \\ \text{Bl}_P C & \hookrightarrow & \text{Bl}_P V \end{array}$$

Then for all $i \geq 1$ we have the diagram

$$\begin{array}{ccc} \mathbb{O}_{V_{i-1}, P_{i-1}} & \hookrightarrow & \mathbb{O}_{V_i, P_i} \\ \downarrow & & \downarrow \\ \mathbb{O}_{C_{i-1}, P_{i-1}} & \hookrightarrow & \mathbb{O}_{C_i, P_i} \end{array}$$

which yields the diagram

$$\begin{array}{ccc} \widehat{\mathbb{O}}_{V_{i-1}, P_{i-1}} & \hookrightarrow & \widehat{\mathbb{O}}_{V_i, P_i} \\ \downarrow & & \downarrow \\ \widehat{\mathbb{O}}_{C_{i-1}, P_{i-1}} & \hookrightarrow & \widehat{\mathbb{O}}_{C_i, P_i} \end{array}$$

for the (maximal ideal)-adic completions of their topologies. Set $R_{i-1} = \widehat{\mathcal{O}}_{C_{i-1}, P_{i-1}}$; then since

$$\widehat{\mathcal{O}}_{V_{i-1}, P_{i-1}} \simeq k[[X_1^{(i-1)}, \dots, X_N^{(i-1)}]],$$

we have $R_{i-1} \simeq k[[X_1^{(i-1)}, \dots, X_N^{(i-1)}]]/\mathfrak{p}_i$, and we obtain a sequence $\{P_i : i \geq 0\}$ of INPs. The coordinates of each point $P_i \in \mathbb{E}_i$ correspond to the direction of the tangent line to C_{i-1} at P_{i-1} , and they are denoted by $(a_{i1} : \dots : 1^{(j)} : \dots : a_{iN})$, where $1^{(j)}$ denotes a 1 in the j -th index, and R_i is obtained by

$$R_{i-1}[x_1^{(i-1)}/x_j^{(i-1)}, \dots, 1^{(j)}, \dots, x_N^{(i-1)}/x_j^{(i-1)}] \simeq \widehat{\mathcal{O}}_{C_i, P_i}.$$

By the properties of the conductor ideal of C only a finite number of INPs associated with C determine the curve, as we will see in [Remark 2.2](#).

Conversely, any sequence of INPs of P provides a unique irreducible curve singularity, the so-called generic curve, by passing through the sequence in a linear sense; see [[Castellanos and Nuñez 1991](#)].

Given a parametrization $\{x_{(i-1)1}(t), \dots, x_{(i-1)N}(t)\}$ of C_{i-1} , the expression

$$\{x_{(i-1)1}(t), (x_{(i-1)2}(t)/x_{(i-1)1}(t)) - b_{i2}, \dots, (x_{(i-1)N}(t)/x_{(i-1)1}(t)) - b_{iN}\}$$

is a parametrization for the *quadratic transform* C_i (blowing up + localization + completion), provided that $o_t(x_{(i-1)1}(t))$ is minimal for all $j \in \{1, \dots, N\}$ and that the $b_{ij} \in k$ are chosen so that $o_t((x_{(i-1)j}(t)/x_{(i-1)1}(t)) - b_{ij}) > 0$.

For each sequence $\{P, P_1, \dots, P_s, \dots\}$ of INPs of the curve, we may construct a matrix, the *Hamburger–Noether (H-N) matrix* H , with entries in the field k , consisting of N rows and an infinite number of columns, each one given by an INP

Consider a parameter system $\{x_1, \dots, x_N\}$ for the maximal ideal \mathfrak{m} , and let $(a_1 : \dots : a_N)$ be the coordinates of the direction corresponding to L_1 , that is, P_1 in the exceptional divisor E_1 . Suppose that the coordinate a_1 is nonzero, then the quadratic transformation of V at P_1 is given by

$$(1-1) \quad \begin{aligned} T_1 : R &\rightarrow \widehat{\mathcal{O}}_{\text{Bl}_{\mathfrak{m}}(R), P_1} = R_1 \\ x_1 &\mapsto x_1^{(1)} \\ x_i &\mapsto x_i^{(1)}x_1^{(1)} + a_{i1}x_1^{(1)}, \quad \text{for } i = 2, \dots, N \end{aligned}$$

since P_1 is placed in the chart where the transform of x_1 divides the transforms of the other x_i .

Inductively, for each point P_i of the sequence of INPs, we have coordinates $(a_{1i} : \dots : a_{(j-1)i} : 1 : a_{(j+1)i} : \dots : a_{Ni})$, if P_i is placed in the chart given by $x_j^{(i)} \neq 0$.

The Hamburger matrix is composed of boxes as follows:

(i) Suppose that P_1, \dots, P_h , can be chosen in the chart where the transform of x_1 divides the transforms of the other x_i and P_{h+1} cannot. Then the first box is:

$$B_0 \left(\begin{array}{cccc} \mathbf{1} & \mathbf{0} & \dots & \mathbf{0} \\ a_{21} & a_{22} & \dots & a_{2h} \\ \vdots & \vdots & \vdots & \vdots \\ a_{N1} & a_{N2} & \dots & a_{Nh} \end{array} \right)$$

(ii) Now there is an index q , not necessarily unique, with $2 \leq q \leq N$ such that P_{h+1} can be chosen in the chart given by $x_q^{(h+1)} \neq 0$. Choose one of these indices, say q , and suppose that $P_{h+1}, \dots, P_{h+h_1}$ are such that they can be chosen in the chart given by $x_q^{(h+i)}$ and P_{h+h_1+1} cannot. Then the second box of the matrix is:

$$B_1 \left(\begin{array}{cccc} 0 & a_{12}^1 & \dots & a_{1h_1}^1 \\ \vdots & \vdots & \vdots & \vdots \\ \mathbf{1} & \mathbf{0} & \dots & \mathbf{0} \\ \vdots & \vdots & \vdots & \vdots \\ a_{N1}^1 & a_{N2}^1 & \dots & a_{Nh_1}^1 \end{array} \right) \leftarrow q$$

(iii) Now repeat this process as many times as needed and the final result of the matrix is:

$$\left(\begin{array}{cccc|cccc| \dots | \dots | \dots | \dots} \mathbf{1} & \mathbf{0} & \dots & \mathbf{0} & 0 & a_{12}^1 & \dots & a_{1h_1}^1 & & a_{12}^{t-1} a_{12}^{t-1} & \dots & a_{1h_{t-1}}^{t-1} & a_{11}^t & a_{12}^t & \dots \\ a_{21} & a_{22} & \dots & a_{2h} & a_{21}^1 & a_{22}^1 & \dots & a_{2h_1}^1 & & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & & a_{i1}^{t-1} a_{i2}^{t-1} & \dots & a_{ih_{t-1}}^{t-1} & \mathbf{1} & \mathbf{0} & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \dots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ a_{q1} & a_{q2} & \dots & a_{qh} & \mathbf{1} & \mathbf{0} & \dots & \mathbf{0} & & \mathbf{1} & \mathbf{0} & \dots & \mathbf{0} & a_{s2}^t & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ a_{N1} & a_{N2} & \dots & a_{Nh} & a_{N1}^1 & a_{N2}^1 & \dots & a_{Nh_1}^1 & & a_{N1}^{t-1} a_{N2}^{t-1} & \dots & a_{Nh_{t-1}}^{t-1} & a_{N1}^t & a_{N2}^t & \dots \end{array} \right)$$

The matrix has a *marked row* $\mathbf{1}, \mathbf{0}, \dots, \mathbf{0}$ in each box, and the entry following this row is zero.

The marked row in each box is not an invariant of the sequence of INPs since it depends on the choice of the chart in the above procedure. From now on, we make this choice according to the following rule.

Rule. If there are several possibilities to mark the rows, and some of them have already been marked, then we choose one of them, precisely the last one marked. If all of them are new, then we choose any of them.

So, for each H-N matrix H of a sequence of INPs we get a *sequence of marked rows*, $I(H) = \{i_0, \dots, i_t\}$ where i_j is the row index marked in the box B_j . Although $I(H)$ depends on the chosen coordinates and on the matrix, if H' is another H-N matrix for the given sequence, $I(H')$ may be obtained from $I(H)$ by a permutation of $\{1, \dots, N\}$; see [Castellanos 1986].

The number of different marked rows is an invariant of the curve in the sense that it does not depend on the chosen embedding, and is called the *Arf dimension*; see [Castellanos 1986].

Remark 1.1. Since, by Remark 2.2, only a finite number of INPs are necessary to describe the curve, we can give the curves by means of H-N matrices with a finite number of columns, and we suppose that the rest of the columns are composed of zeros.

Remark 1.2. An H-N matrix associated with the quadratic transform C_i , that is, with the sequence $\{P_{i-1}, P_i, \dots\}$, may be obtained from any H-N matrix associated with the total sequence by considering the H-N matrix composed from the columns $i, i+1, \dots$, and changing in the i -th column the entry in the marked row to a one (if it is a zero).

The *satellitism order* $os(P_i)$ of P_i is the number of strict transforms $E_j^{(i)}$ of the exceptional divisors E_j , for $j \leq i$, by the sequence of blowing up at V_i , containing P_i . We say that P_i is *free* if $os(P_i) = 1$.

We say that P_j is *proximate to* P_i , written $P_j \rightarrow P_i$, if P_j belongs to the strict transform $E_i^{(j)}$ of the exceptional divisor E_i . Every point in E_i is always proximate to P_{i-1} . If $\dim(V) \geq 3$, then $P_j \rightarrow P_i$ if and only if $j \geq i$ and $E_i^{(j+1)} \cap E_{j+1} \neq \emptyset$. Then $os(P_i) = r$ implies that P_i is proximate to r points.

Notice that the satellitism order of one point is at most N and that point is proximate to at most N points.

The proximity relations and satellitism orders of a point can be determined in any H-N matrix associated with the curve and they depend only on whether some entries are zero or not.

Proposition 1.3. *Let $\{P, P_1, \dots, P_s, \dots\}$ be a sequence of INPs and H a H-N matrix associated with them. Then*

- (i) P_j is proximate to P_i , for $j > i$, if $i + 1$ is the last index of one box and the marked row in that box has zeros as entries after that box until the j -th column.
- (ii) The satellitism order $os(P_j)$ coincides with the number of marked rows having zeros from the last entry **1** in the marked row until the j -th column.

Proof. Let l be the marked row index in the box where j is placed at the last column (the index of the chart used in that box). Then $E_j^{(l)}$, the strict transform in P_j of

the exceptional divisor E_i , can be represented by the equation $X_l^{(j-i)} = 0$. Then the results follow from the construction of the H-N matrix. \square

Since the chain of INPs determines the curve, all the invariants that we can consider for C are also determined by means of this sequence. Some of them are easily read from the associated H-N matrix (see [Castellanos 1995]) as the multiplicity sequence of C , which depends on the proximity relations: n_q is associated with P_q in the sense that

$$n_q = n_{q+1} + n_{q+2} + \dots + n_{q+i},$$

if the P_{q+l} , for $l \leq i$, are the only proximate points to P_q . That is, in the marked row at P_q there are zeros in the columns $q+1, q+2, \dots, q+i$, followed by a nonzero entry. Then n_q does not depend on particular values of the entries of the matrix, only on whether or not some of them are zero.

The multiplicity sequence can be written as

$$E(C_s) = \{n, \overset{h_1}{\cdot}, \dots, n, n_1, \overset{h_1}{\cdot}, n_1, \dots, n_{r-1}, \overset{h_{r-1}}{\cdot}, n_{r-1}, 1, \overset{h_r}{\cdot}, 1\},$$

where the h_i are the length of the boxes of any H-N matrix, and

$$(1-2) \quad n_i = h_{i+1}n_{i+1} + \dots + h_{j-1}n_{j-1} + b_jn_j$$

if the point corresponding to the penultimate column of the box B_i is such that all of its successive points in the sequences, until the one given by the (b_{j-1}) -th column in the box B_j , are all of the points proximate to it.

2. Semigroup of values for curve singularities

The *semigroup of values* of a curve singularity C is defined to be

$$\Gamma = \Gamma(C) = \{o_t(z(t)) : z \in R\} \subset \mathbb{Z}_+.$$

Since the conductor ideal of C is $(t^c)k[[t]]$, the semigroup of values of C is a *numerical semigroup*, that is, it has a *conductor* c , with the property that each positive integer $m \geq c$ lies in Γ .

Proposition 2.1. *Consider a curve singularity C given by the parametrization $\{x_1(t), \dots, x_N(t)\} \subset k[[t]]$, whose semigroup has conductor c . Any parametrization $\{y_1(t), \dots, y_N(t)\} \subset k[[t]]$ such that $y_i(t) \equiv x_i(t) \pmod{t^c}$ for $i = 1, \dots, N$ provides the same curve C .*

Proof. It is sufficient to consider the curve C^* given by the parametrization

$$\{\bar{x}_1(t), \dots, \bar{x}_N(t)\} \subset k[[t]],$$

where $\bar{x}_i(t)$ is obtained from $x_i(t)$ by truncation of the series mod t^c . The conductor of the curve generated by this parametrization is also c , so for each $m \geq c$ and

$i \in \{1, \dots, N\}$ there exists $f_i^{(m)}(X_1, \dots, X_N) \in k[[X_1, \dots, X_N]]$, such that

$$x_i(t) - f_i^{(c)}(x_1(t), \dots, x_N(t)) + f_i^{(c+1)}(x_1(t), \dots, x_N(t)) + \dots = \bar{x}_i(t)$$

since the rings R and R^* of the branches C and C^* are complete. So

$$R^* = k[[\bar{x}_1(t), \dots, \bar{x}_N(t)]] \subset R = k[[x_1(t), \dots, x_N(t)]],$$

and the converse $R \subset R^*$ follows by an analogous argument. □

This means that we can consider a finite number of coefficients in the series of any parametrization, that is, for a parametrization of C it is sufficient to consider polynomials in t with coefficients in k with exponents at most c .

Remark 2.2. Since exponents greater than c are unnecessary in the parametrization of C , only a finite number of columns in any H-N associated with the parametrization need be described, and so only a finite number $\tau < c$ of INPs are necessary to describe the curve.

The conductor c cannot be computed from the exponents of any parametrization, nor is there a formula for it in terms of any set of generators of the semigroup. But we can find a bound for c obtained from the multiplicity sequence, that is, from any H-N matrix of the curve.

We consider the invariant δ in the function of the successive blowing ups

$$\delta(C) = \dim_k \bar{R}/R = \dim_k R^{(1)}/R + \dim_k R^{(2)}/R^{(1)} + \dots + \dim_k R^{(r)}/R^{(r-1)}.$$

An upper bound for $\dim_k R^{(1)}/R$ is given by Elias [1990, Theorem 1.6], as

$$\frac{1}{2}n(n-1) - \frac{1}{2}(b-1)(b-2),$$

with n being the multiplicity of C and b being $\text{embdim}(C)$.

Then

$$\delta(C) \leq \frac{1}{2}n(n-1) + \frac{1}{2}n_1(n_1-1) + \dots + \frac{1}{2}n_{r-1}(n_{r-1}-1)$$

is an upper bound for $\delta(C)$.

From the definition we can see that $\delta(C)$ is the number of gaps of the semigroup $S(C)$, that is, the set of integers less than the conductor c , which do not belong to the semigroup. Also, $2\delta(C) \geq c$, and so

$$(2-1) \quad c^* = n(n-1) + n_1(n_1-1) + \dots + n_{r-1}(n_{r-1}-1)$$

is an upper bound for the conductor in terms of multiplicity sequence. For plane curves, the conductor coincides with the bound.

We consider the unique minimal system $\{\gamma_0 = n, \gamma_1, \dots, \gamma_g\} \subset \Gamma$ of generators of Γ , where

$$\gamma_i = \min\{m \in \Gamma : 0 < m \notin \langle \gamma_0, \dots, \gamma_{i-1} \rangle\},$$

with n being the multiplicity of C , and where $\langle \dots \rangle$ denotes the generation of a semigroup. From the definition, for every i ,

$$(2-2) \quad \gamma_i \leq c + n - 1.$$

The semigroups of plane curves have special properties. Given a plane curve singularity C the semigroup $\Gamma(C)$ satisfies:

- (i) $\Gamma(C)$ is symmetric, that is, for each $m < c$, we have m or $m - c \in \Gamma(C)$.
- (ii) The minimal generating set $\{\gamma_0, \dots, \gamma_g\}$ satisfies the relations

$$k_i \gamma_i \in \langle \gamma_0, \dots, \gamma_{i-1} \rangle, \quad k_i \gamma_i < \gamma_{i+1}, \quad \text{and } k_i > 1$$

where $d_i = \text{gcd}(\gamma_0, \dots, \gamma_i)$ and $d_{i-1} = k_i d_i$.

Teissier [1973] proves that property (ii) characterizes the numerical semigroups Γ “belonging” to a plane curve, that is, with the property that there exists a plane curve C having Γ as its semigroup of values.

It is not known whether similar results hold for nonplane curves. We know that any numerical semigroup $\Gamma = \langle \gamma_0, \dots, \gamma_g \rangle$ has an associated monomial curve

$$C_\Gamma \equiv \{t^{\gamma_0}, \dots, t^{\gamma_g}\}.$$

One first question is whether, given a numerical semigroup Γ , we can find a non-monomial curve with embedding dimension at most $g + 1$ which has Γ as its semigroup, and also if we can know the minimum embedding dimension such that there exists a curve with semigroup Γ .

Example 2.3. A curve containing the semigroup

$$\langle 9, 10, 12 \rangle = \{9, 10, 12, 18, 19, 20, 21, 22, 24, 27, 28, 29, 30, 31, 32, 34, 36 + \mathbb{Z}_+\}$$

has the form

$$\{x_1 = t^9 + a_1 t^{9+1} + \dots, x_2 = t^{10} + b_1 t^{10+1} + \dots, x_3 = t^{12} + c_1 t^{12+1} + \dots\}.$$

We want to find if there exist curves having semigroup $\langle 9, 10, 12, m \rangle$, with $m > 18$ and $m \notin \langle 9, 10, 12 \rangle$, that is, where $m = 23, 25, 26, 33, 35$. We have to determine the possible exponents in the parametrization, that is, the values of a_i, b_i, c_i .

The minimal relation among 9, 10, 12 is $2 \times 9 + 12 = 3 \times 10$, so the semigroups $\langle 9, 10, 12, m \rangle$ for $m = 23, 25, 26$ are not possible for a curve with embedding dimension 3. But for the other values we have:

$$\langle 9, 10, 12, 33 \rangle \text{ belongs to the curve } \{x_1 = t^9, x_2 = t^{10} + t^{13}, x_3 = t^{12}\},$$

$$\langle 9, 10, 12, 35 \rangle \text{ belongs to the curve } \{x_1 = t^9, x_2 = t^{10}, x_3 = t^{12} + t^{17}\}.$$

In this line, we have the following questions for semigroups Γ_i belonging to curves C_i with embedding dimensions i , that is, such that $\Gamma(C_i) = \Gamma_i$.

Problem 2.4. (i) For each $i > 2$ find relations among the generators of the semigroups belonging to a curve which has embedding dimension i .

(ii) Given a numerical semigroup Γ determine if there exist relations among its elements which determine the minimal embedding dimension for all the curves with semigroup Γ .

(iii) Determine if the relations found in (i) for any i characterize the semigroups belonging to curves with embedding dimension i .

The semigroups of plane curves can be computed by means of the characteristic exponents (some special exponents of the parametrization for zero characteristic of k) of a Puiseux parametrization, or the multiplicity sequence; see [Zariski 1965]. In the space case $N > 2$ it is well known that this is not true.

Example 2.5. The curves

$$C_1 \equiv \{x_1 = t^6, x_2 = t^8 + t^{11}, x_3 = t^{10} + t^{13}\},$$

$$C_2 \equiv \{x_1 = t^6, x_2 = t^8 + t^{11}, x_3 = t^{10} + 2t^{13}\}$$

have the same exponents but their semigroups are given by the generating sets $\Gamma_1 = \langle 6, 8, 10, 19, 21, 23 \rangle$ and $\Gamma_2 = \langle 6, 8, 10, 21, 23 \rangle$. The relation $x_2^2 - x_1x_3$ gives 19 in the first case, and 22 in the second.

Example 2.6. The curves

$$C_1 \equiv \{x_1 = t^4, x_2 = t^5, x_3 = t^6\},$$

$$C_2 \equiv \{x_1 = t^4, x_2 = t^5, x_3 = t^7\}$$

with H-N matrices

$$H_1 = \left(\begin{array}{c|cccc} \mathbf{1} & 0 & 0 & 0 & 1 \\ 0 & \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ 0 & 0 & 1 & 0 & 0 \end{array} \right) \quad \text{and} \quad H_2 = \left(\begin{array}{c|cccc} \mathbf{1} & 0 & 0 & 0 & 1 \\ 0 & \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ 0 & 0 & 0 & 1 & 0 \end{array} \right)$$

have the same multiplicity sequence $\{4, 1\}$, that is, the same proximity relations, but their semigroups are generated by $\Gamma_1 = \langle 4, 5, 6 \rangle$ and $\Gamma_2 = \langle 4, 5, 7 \rangle$.

For the plane case, the resolution of the singularity provides exceptional divisors which are \mathbb{P}^1 s. Then there are only two possibilities for the position of the infinitely near points, to be the intersection point of two of these \mathbb{P}^1 s (that is, to be satellites) or to be only in one (that is, to be free). In this case, the satellite points determine the multiplicity sequence and the semigroup. For the space case $N > 2$ the exceptional divisors are \mathbb{P}^{N-1} s or blowing ups of \mathbb{P}^{N-1} s and the positions of the INPs have many more possibilities.

For instance, in [Example 2.6](#), in the first exceptional divisor E_1 the successive blowing ups (following the points) of the line $\{X_1 = 0\} \subset E_1$ only pass by P_1, P_2 in C_1 , and by P_1, P_2, P_3 in C_2 . In this case, this fact together with the proximity relations determine the semigroup. In [Section 3](#) we study the cases when general linear varieties pass by the INPs.

In fact the semigroup also depends on some free points as we see in the following example.

Example 2.7. Consider the curves

$$C_1 \equiv \{x_1 = t^6, x_2 = t^8, x_3 = t^{10} + t^{13}\},$$

$$C_2 \equiv \{x_1 = t^6 + t^9, x_2 = t^8 + t^{11}, x_3 = t^{10} + 2t^{13} + t^{16}\},$$

with H-N matrices

$$H_i = \left(\begin{array}{c|ccc|ccc} \mathbf{1} & 0 & 0 & 1 & 0 & 0 & a \\ 0 & \mathbf{1} & \mathbf{0} & \mathbf{0} & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & \mathbf{1} & \mathbf{0} & \mathbf{0} \end{array} \right)$$

where $a = 0$ for H_1 and $a = 1$ for H_2 , with semigroups $\Gamma_1 = \langle 6, 8, 10, 19, 21, 23 \rangle$ and $\Gamma_2 = \langle 6, 8, 10, 19, 23 \rangle$, since $x_1^3 - x_2x_3$ has value 21 for the first case and 27 in the second one. The points $\{P, P_1, \dots, P_7\}$ are shared and P_8 is free and it is different in the two cases.

The above and the next examples prove that the semigroup depends on the values of some entries of the H-N matrix, not only on the fact that some entries will be zeros or not.

Example 2.8. Consider the curves

$$C_1 \equiv \{x_1 = t^{20}, x_2 = t^{21}, x_3 = t^{24} + t^{27} + t^{29}, x_4 = t^{26} + t^{29}\},$$

$$C_2 \equiv \{x_1 = t^{20}, x_2 = t^{21}, x_3 = t^{24} + 2t^{27} + t^{29}, x_4 = t^{26} + 3t^{29}\},$$

with 21-column H-N matrices

$$H_i = \left(\begin{array}{c|cccccccccccccccccccc} \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots & 1 \\ 0 & \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \dots & \mathbf{0} \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & a & 0 & 1 & 0 & \dots & 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & b & 0 & \dots & 0 & 0 & 0 & \dots & 0 \end{array} \right)$$

where $a = b = 1$ for C_1 , and $a = 2$ and $b = 3$ for C_2 , with semigroups $\Gamma_1 = \langle 20, 21, 24, 26, 75 \rangle$ and $\Gamma_2 = \langle 20, 21, 24, 26, 77 \rangle$, since $x_3^3 - x_1x_4^2$ has value 75 for the first case and 77 for the second one.

The next example shows that the semigroup of a curve does not determine the semigroup of the successive blowing ups, as in the plane case.

Example 2.9. Consider the curves

$$C_1 \equiv \{x_1 = t^6, x_2 = t^8 + t^9, x_3 = t^{27}\}, \quad C_2 \equiv \{x_1 = t^6, x_2 = t^8 + t^{11}, x_3 = t^{25}\},$$

with semigroups $\Gamma_1 = \Gamma_2 = \langle 6, 8, 25, 27 \rangle$. The blowing ups are

$$(C_1)' = \{x_1 = t^6, x_2 = t^2 + t^3, x_4 = t^{21}\}, \quad (C_2)' = \{x_1 = t^6, x_2 = t^2 + t^5, x_3 = t^{19}\},$$

with semigroups $\Gamma'_1 = \langle 2, 7 \rangle$ and $\Gamma'_2 = \langle 2, 9 \rangle$.

We now describe two easily computed semigroups associated with any curve C . We can consider them as two bounds of $\Gamma(C)$.

First, a curve C^* is *Arf* if its semigroup is determined by its multiplicity sequence $\{n, n_1, n_2, \dots, n_{r-1}, n_r = 1\}$ and it has the form

$$\Gamma(C^*) = \{n, n + n_1, n + n_1 + n_2, \dots, n + n_1 + \dots + n_{r-1} + 1 + \mathbb{N}\}.$$

The *Arf closure* $C^* = \text{Spec}(R^*)$ of a curve C is the minimum Arf curve such that $R \subset R^*$. (See [Lipman 1971] for details.) This curve has the same multiplicity sequence as C and its semigroup is the one determined by the multiplicity sequence as above.

This gives us the first upper bound

$$(2-3) \quad \Gamma(C) \subset \Gamma(C^*).$$

Second, let k be a field with characteristic zero, then any curve C has a Puiseux parametrization, that is, a parametrization with the form

$$\{x_1 = t^n, x_2 = a_{n,2}t^n + a_{n+1,2}t^{n+1} + \dots, \dots, x_N = a_{n,N}t^n + a_{n+1,N}t^{n+1} + \dots\},$$

where n is the multiplicity of C . We can define the characteristic sequence associated to a Puiseux parametrization in a similar way to plane curves. We reorder the exponents of the series $x_2(t), \dots, x_N(t)$ in an increasing chain as $\{n, \alpha_1, \alpha_2, \dots\}$. We set

$$\beta_0 = n,$$

$$\beta_1 = \text{the minimum } \alpha_{j_1} \text{ such that } \gcd(n, \alpha_{j_1}) < n,$$

$$\beta_i = \text{the minimum } \alpha_{j_i} \text{ such that } \gcd(n, \beta_1, \dots, \beta_{i-1}, \alpha_i) < \gcd(n, \beta_1, \dots, \beta_{i-1})$$

to obtain characteristic exponents $\{n, \beta_1, \dots, \beta_u\}$, with $\gcd(n, \beta_1, \dots, \beta_u) = 1$.

Remark 2.10. These exponents are invariants of the curve C , since they are the characteristic exponents of the generic plane projection curve C_π , obtained by the parametrization

$$\{x_1(t), y_2(t)\} = \lambda_2 x_2(t) + \dots + \lambda_N x_N(t)$$

in the field $K = \overline{k(\lambda_2, \dots, \lambda_N)}$ (the algebraic closure of $k(\lambda_2, \dots, \lambda_N)$).

Then from the exponents of a Puiseux parametrization we get the generators of the semigroup of the generic plane projection, which gives us the second bound

$$(2-4) \quad \Gamma(C_\pi) \subset \Gamma(C).$$

3. Linear proximity of dimension l

We now consider a different notion of proximity, generalizing that in the case of plane curves. It is a generalization of that given by [Campillo et al. 1996] for the monomial case, and by [Piltant 1997; Castellanos 2002] for the general case.

Definition 3.1. Given a sequence $\{P, P_1, \dots, P_s\}$ of INPs, we say that P_i , for $1 \leq i \leq s$, is *linearly proximate to P of dimension l* , or *l -linearly proximate to P* , if P_i is proximate to P and there is a linear l -dimensional subvariety F_l of the first exceptional divisor E_1 , with $P_1 \in F_l$, such that its strict transforms $F_l^{(j)}$ along the chain of blowing ups contain P_j , and there is no such linear subvariety with dimension less than l .

For $l = 1$ the variety is a line, and this definition coincides with that of *linear proximity* given in [Campillo et al. 1996; Piltant 1997; Castellanos 2002].

Given a sequence $\{P, P_1, \dots, P_s\}$ of INPs, consider the points P_j proximate to P . For $l \geq 2$, let P_{k_l} be the first point in the sequence which is l -linearly proximate to P (so that P_{k_l-1} is only $(l-1)$ -linearly proximate to P). We can thus consider the unique sequence of indices k_2, \dots, k_r , where $r \leq N - 1$.

Definition 3.2. We call the indices k_2, \dots, k_r just described the *linear proximity invariants* of the sequence $\{P, P_1, \dots, P_s\}$ of INPs.

We can compute the type of linear proximity of the points, and thus the linear proximity invariants themselves, with any H-N matrix associated to the sequence of INPs.

Proposition 3.3. Consider a sequence $\{P, P_1, \dots, P_s\}$ of INPs in R where, for $m \leq s$, $\{P_1, \dots, P_m\}$ are the points proximate to P , and suppose k_2, \dots, k_r are the linear proximity invariants of this sequence. Then the sequence has an H-N matrix H consisting of

- (i) a first marked row whose first $(m-1)$ entries are zero,
- (ii) a row where the first entry following a marked row is zero,
- (iii) $(r-1)$ rows whose first (k_i-1) entries are zero, for $i \in \{2, \dots, r\}$, at least one of which has a nonzero (k_i+1) -th entry, and
- (iv) $(N-r-1)$ rows whose first $(m-1)$ entries are zero.

Proof. Suppose the marked rows in boxes B_0 and B_1 are, respectively, the first and the second ones. Since P_1, \dots, P_m are proximate to P , then B_0 has only one

column $(\mathbf{1}, a_2, \dots, a_N)^t$, and the first row of the matrix has zero entries from after the marked row until the m -th column. Let $\{x_1, \dots, x_N\}$ be a parameter system for $m \subset R$, then by setting $x_1 = x'_1$ and $x_j = x'_j + a_j x_1$ for $2 \leq j \leq N$, we transform the first column into $(\mathbf{1}, 0, \dots, 0)^t$, and by setting $x'_1 = x''_1$, setting $x'_2 = x''_2$, and $x'_j = x''_j + a_{j1} x''_2$ for $3 \leq j \leq N$, the second column becomes $(0, \mathbf{1}, 0, \dots, 0)^t$. Since P_1 and P_2 are 1-linearly proximate to P , the line F_1 (from Definition 3.1) has equations $x_3 = x_4 = \dots = x_N = 0$, and then for P_3, \dots, P_{k_2} , the columns are $(0, \mathbf{0}, 0, 0, \dots, 0)^t$, so $k_2 \leq h_1 + 1$ and there exists a nonzero $a_{j(k_2+1)}^1$ or $a_{j1}^1 = a_{j2}^1 = \dots = a_{jh_1}^1 = 0$ and the marked row in R_{h_1+2} is the i -th, for $3 \leq i \leq N$. Set $j = 3$ and the equation for the 2-linear variety is $x_4 = x_5 = \dots = x_N = 0$.

Now suppose we have the result for k_{i-1} , that is, suppose the $(i+j)$ -th rows, for $0 \leq j \leq N-i$, have zero entries before column k_i and a nonzero entry at least in column k_i , and set the index of the row of that entry to be $i+1$. Let $x_1 = x'_1, \dots, x_{i+1} = x'_{i+1}$ and $x_u = x'_u + (a_{ul}^j/a_{(i+1)l}^j)x_{i+1}$ for $i+2 \leq u \leq N$ and suppose that the l -th column corresponding to P_{k_i} is in box B_j . Then the equations for the i -linear variety F_i are $x_{i+2} = \dots = x_N = 0$, and rows $i+2, \dots, N+1$ all have zero entries before column k_{i+1} . □

Corollary 3.4. *Consider a sequence $\{P, P_1, \dots, P_s\}$ in R such that the points $\{P_1, \dots, P_m\}$, where $m \leq s$, are proximate to P and have satellitism order 2.*

- (i) *If P_i, \dots, P_m are l -linearly proximate to P then any H-N matrix H_i associated to the points $\{P, P_1, \dots, P_i\}$, has rank $l+1$.*
- (ii) *If there is a rank- $(l+1)$ H-N matrix H_i for the INPs $\{P, P_1, \dots, P_i\}$, where $1 \leq i \leq m$, then P_i is l -linearly proximate to P .*

Proof. If P_i is l -linearly proximate to P then, by Proposition 3.3, given any H-N matrix for the points $\{P, P_1, \dots, P_i\}$ we can construct a rank- $(l+1)$ H-N matrix H_i^* , thus H_i also has rank $(l+1)$.

Suppose that part (ii) holds for P_{i-1} and consider a rank- $(l+1)$ H-N matrix H_i for $\{P, P_1, \dots, P_i\}$. Set H_{i-1} to be the H-N matrix obtained with the first $(i-1)$ columns of H_i . Then we make H_{i-1} a triangular matrix by modifications on the rows in a similar manner to the proof of Proposition 3.3. Then if H_{i-1} has rank $(l+1)$, we can suppose that the equations of an l -linear variety F_l of E_1 passing through P_1, \dots, P_{i-1} are $x_{l+2} = \dots = x_N = 0$. Then with the same modifications on H_i we see that the same variety F_l also passes through P_i , and P_i is l -linearly proximate to P . In the case that H_{i-1} has rank l , applying the same process to H_{i-1} , shows that the equations of an $(l-1)$ -linear variety F_{l-1} of E_1 passing through P_1, \dots, P_{i-1} are $x_{l+1} = \dots = x_N = 0$. Applying the same modifications to H_i , since H_i has rank $l+1$, we see that the new matrix H_i^* must have a nonzero entry in the i -th column of rows $(l+1), \dots, N$, and so P_i is l -linearly proximate to P . □

From the above discussion, we can compute some elements of the semigroup of a curve by means of the linear proximity invariants.

Proposition 3.5. *The linear proximity of all the dimensions provides some elements of the semigroup of a curve and the semigroup of its successive blowing ups.*

Proof. We prove that the linear proximity invariants associated with any sequence of INPs can be read in the semigroup of values of the curve.

Consider the subset

$$\Gamma_u(C_s) = v(\mathfrak{m} \setminus \mathfrak{m}^2) = \{o_t(\lambda_1 x_1(t) + \dots + \lambda_N x_N(t)) < 2n, \lambda_i \in k\}$$

of the semigroup $\Gamma(C_s)$, where $\{x_1(t), \dots, x_N(t)\}$ is a parametrization of the curve. Let $\{n, \gamma_1, \dots, \gamma_g\}$ be the minimal set of generators of $\Gamma(C)$, and suppose that $\{n, \gamma_1, \dots, \gamma_u\}$ are all the generators with $\gamma_i < 2n$. The multiplicity sequence of C is

$$E(C) = \{n, n_1, h_1, n_1, \dots, n_{r-1}, h_{r-1}, n_{r-1}, 1, h_r, 1\}$$

and from (1–2) we suppose that $n = h_1 n_1 + \dots + h_{l-1} n_{l-1} + b_l n_l$. Then $\gamma_1 = hn + n_1$, and by looking at any H-N matrix we can see that each γ_j , for $j \geq 2$, has an expression

$$\gamma_j = n + h_1 n_1 + \dots + h_{r_j-1} n_{r_j-1} + q_j n_{r_j},$$

and since $\gamma_j < 2n$, either $j < l$, or $j = l$, in which case $q_l \leq b_l$. Then the linear proximity invariants k_j are

$$k_j = 1 + h_1 + \dots + h_{r_j} + q_j$$

since there is a parametrization $\{y_1(t), \dots, y_N(t)\}$, with $y_j = \lambda_{j1} x_1 + \dots + \lambda_{jN} x_N$, such that $o_t(y_1(t)) = n$ and $o_t(y_j(t)) = \gamma_{j-1}$ for $j = 2, \dots, u + 1$, and for any H-N matrix associated to $\{y_1(t), \dots, y_N(t)\}$ the $(j+1)$ -th row, for $2 < j \leq u$, has zero entries in the first k_j columns, and at least one such row has a nonzero entry in column k_j+1 .

For the i -th blowing up of C , its H-N matrix $C^{(i)}$ occurs as a submatrix of any H-N matrix of C ; see Remark 1.2. Then, as above, some elements of the semigroup of the blowing up $C^{(i)}$ are described by the linear proximate invariants. \square

Example 3.6. (i) Consider the curves in Example 2.6. For C_1 , the points P_1 and P_2 are the only ones 1-linearly proximate to P . For C_2 , the point P_3 is also 1-linearly proximate to P .

(ii) Consider the curves in Example 2.8. For both curves P_1, P_2, P_3, P_4 , are the only points 1-linearly proximate to P , and P_5 and P_6 are 2-linearly proximate to P .

We consider now an example with several boxes in its H-N matrix.

Example 3.7. Consider the curves

$$C_1 \equiv \{t^{27} + t^{26} + t^{25} + t^{24}, t^{40} + 2t^{38} + 2t^{39} + 2t^{37} + t^{36}, t^{46} + 2t^{44} + 2t^{45} + 2t^{43} + t^{42}\},$$

$$C_2 \equiv \{t^{28} + t^{27} + t^{25} + t^{24}, t^{41} + t^{38} + 2t^{40} + 2t^{37} + t^{39} + t^{36},$$

$$t^{47} + t^{44} + 2t^{46} + 2t^{43} + t^{45} + t^{42}\},$$

with H-N matrices

$$H_i = \left(\begin{array}{c|cc|ccc} \mathbf{1} & 0 & 0 & 1 & 0 & a & b & 0 & 0 & 0 \\ \hline 0 & \mathbf{1} & 0 & 1 & \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \hline 0 & 0 & \mathbf{1} & \mathbf{0} & 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right)$$

where $a = 1$ and $b = 0$ for C_1 with $\Gamma_1 = \langle 26, 38, 44, 115, 209, 221, 233, 239, 251 \rangle$, and $a = 0$ and $b = 1$ for C_2 , with $\Gamma_2 = \langle 24, 36, 42, 73, 87, 146, 190, 221, 239 \rangle$. For C_1 , the points P_4 and P_5 are the only ones 1-linearly proximate to P_3 . For C_2 , the point P_6 is also 1-linearly proximate to P_3 .

Linear proximity of all dimensions is not enough to describe the semigroups of values, and we must consider proximity given by varieties with degree higher than 1. In [Section 4](#) we consider hypersurfaces passing through points of higher degree.

Example 3.8. Consider the curves

$$C_1 \equiv \{x_1 = t^{10}, x_2 = t^{11}, x_3 = t^{12} + t^{14} + t^{16}\},$$

$$C_2 \equiv \{x_1 = t^{10}, x_2 = t^{11}, x_3 = t^{12} + t^{14} + t^{15} + t^{16}\},$$

with H-N matrices

$$H_i = \left(\begin{array}{c|cccccccccc} \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ \hline 0 & \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \hline 0 & 0 & 1 & 0 & 1 & a & 1 & 0 & 0 & 0 & 0 \end{array} \right)$$

where $a = 0$ for C_1 and $a = 1$ for C_2 , with $\Gamma_1 = \langle 10, 11, 12, 26 \rangle$ and $c_1 = 30$, and $\Gamma_2 = \langle 10, 11, 12, 25, 38 \rangle$ and $c_2 = 40$. Both curves have the same proximity and linear proximity, but for C_1 there exists a conic $X_1X_3 - X_2^2 - X_3^2$ in the first exceptional divisor passing through P_1, P_2, \dots, P_6 , while for C_2 there are no such conics since a general conic passing through those points would have the form $X_1X_3 - X_2^2 + a_3X_3X_2 + a_4X_3^2$, and there are no values for a_3 or a_4 compatible with the sequence of blowing ups following the points.

Example 3.9. Consider the curves of [Example 2.8](#). For both curves the proximity and linear proximity are the same. For C_2 there is a cubic surface in the first exceptional divisor $X_3^3 - X_1X_4^2$ passing through P_1, P_2, \dots, P_{10} , but for C_1 there are no such cubic surfaces. This follows from Noether’s formula (4–2), since the value of the semigroup has to be reached from $3 \times 20 + m_1 + \dots$, and it is easy to check that this is not possible with the values of the semigroup Γ_1 , since $77 \notin \Gamma_1$.

4. Hypersurfaces of maximal contact

We have seen in the above examples that in order to describe the semigroup of a space curve, we have to consider proximity given by hypersurfaces of degree higher than 1. In this section we will consider, from different points of view, how these varieties determine elements of the semigroup.

Given a curve $C \hookrightarrow V$, for any hypersurface F such that $C \not\subseteq F$, given by $F(X_1, \dots, X_N) = 0$, the intersection multiplicity $I_P(F, C)$ between F and C at P can be computed as

$$I_P(F, C) = o_t(F(x_1(t), \dots, x_N(t)))$$

with $\{x_1(t), \dots, x_N(t)\}$ being a parametrization of C . This value is an element of the semigroup $\Gamma(C)$.

Let $V = \text{Spec}(A)$ as in Section 1 and consider the sequence $\{P, P_1, \dots, P_s\}$ of INPs associated to the curve C , with $s \geq \tau$ (see Remark 2.2), in order to determine completely the curve. We have a sequence

$$A \hookrightarrow A_1 \hookrightarrow \dots \hookrightarrow A_s \hookrightarrow A_v$$

of rings, where $A_v = \mathbb{C}_{V_{s+1}, \eta}$ and η is the generic point of the exceptional divisor E_{s+1} in V_{s+1} . Then we have associated to them a divisorial valuation v over the quotient field $K = k(V)$ given by the discrete valuation ring A_v (the *quadratic prime divisor*), that is, $\text{tr deg}_k(A_v/\mathfrak{m}_v) + 1 = \text{tr deg}_k k(V)$, where \mathfrak{m}_v is the maximal ideal of A_v .

Associated to any A_i is the corresponding order valuation ord_i of K defined, for $0 \neq F \in A_i$, by

$$\text{ord}_i(F) = \max\{n : F \in \mathfrak{M}_i^n\}.$$

For $F \in A$, $F^{(i)}$ is the strict transform of F (that is, we take off the part corresponding to the exceptional divisor) by the chain in A_i .

So we have the above valuation $v = \text{ord}_s$, determined by C associated to the sequence of INPs in the previous section. In fact, $\text{ord}_s(F) = I_P(F, C)$ for any $F(\underline{X})$; see [Castellanos 2002, Proposition 2.0.1].

We say that a hypersurface F passes exactly by $\{P, P_1, \dots, P_j\}$ if those points are precisely the ones in common with C . Then $F^{(j+1)}$ becomes a unit. Set $\{m, m_1, \dots, m_j\}$, where $m = \text{ord}(F)$, and $m_i = \text{ord}_i(F)$ is the order of any hypersurface F at P_i .

Proposition 4.1. *The semigroup of the curve is determined by means of the set $\{m, m_1, \dots, m_j\}$ of orders, where $m_i = \text{ord}_i(F)$ denotes the order of any hypersurface F at P_i (with $\{P, P_1, \dots, P_s\}$ being the INPs of the curve), and the multiplicity sequence of the curve is $\{n, n_1, \dots, n_r = 1, n_{r+1} = 1, \dots, n_s = 1\}$.*

Proof. The semigroup $\Gamma_s = \{\text{ord}_s(F) : F \in \mathfrak{M} \setminus \{0\}\}$ associated with the valuation ord_s coincides with the semigroup

$$\Gamma(C) = \{o_t(F(x_1(t), \dots, x_N(t))) : 0 \neq F \in k[[\underline{X}]]\}$$

of values of the curve C . The intersection multiplicity can be computed from the sequence of INPs of C by means of a generalization of Noether’s formula [Lipman 1988]

$$(4-1) \quad v(F) = v(F^{(s)}) + \sum_{j=0}^{s-1} \text{ord}_j(F^{(j)})v(m_j),$$

where v is any valuation associated to the sequence of INPs. In this case the valuation is given by C , and $v(m_i)$ is the minimum value of the maximal ideal of A_i , that is, the multiplicity n_i of the i -th quadratic transform C_i . Thus, in our case,

$$(4-2) \quad \begin{aligned} I_P(F, C) &= \text{ord}(F)n + \text{ord}_1(F^{(1)})n_1 + \dots + \text{ord}_j(F^{(j)})n_j \\ &= m_1n_1 + \dots + m_jn_j \end{aligned}$$

if $\{P, P_1, \dots, P_j\}$ are the only INPs passed through by F . □

Remark 4.2. In order to compute the semigroups, we have to determine what sequences $\{m, m_1, \dots, m_s : m_i \geq m_{i+1}\}$ of orders are verified by hypersurfaces passing through $\{P, P_1, \dots, P_s\}$. In Proposition 4.10 we see that in some sense this sequence of orders is bounded.

Moreover, we are also interested in determining the sequences of orders of hypersurfaces that provide the minimal sets of generators for the semigroup.

For plane curves these hypersurfaces are curves, and the minimal set of generators is determined by some of them: the so called *maximal contact curves of high genus* Φ ; see [Campillo 1980; Casas-Alvero 2000]. Consider a plane curve C with characteristic exponents $\{n, \beta_1, \dots, \beta_g\}$ (we call g the *genus*) and semigroup $\langle n, \bar{\beta}_1, \dots, \bar{\beta}_g \rangle$. We say that the curve Φ_i has *maximal contact of genus i* if Φ_i has characteristic sequence

$$\{n/e_i, \beta_1/e_i, \dots, \beta_i/e_i\},$$

where $e_i = \text{gcd}(n, \beta_1, \dots, \beta_i)$, and shares with C the INPs $\{P, P_1, \dots, P_{s_i}\}$ necessary to determine the first i characteristic exponents.

The intersection multiplicity $I_P(\Phi_i, C) = \bar{\beta}_i$ is, as we have seen, determined by the geometry of the INPs (by the proximity relations). That is, by means of the multiplicity sequence of C , and since the sequence $\{n^{(i)}, n_1^{(i)}, \dots, n_{s_i}^{(i)} = 1\}$ of orders of Φ_i is the multiplicity sequence of Φ_i , (where $m_j = n_j^{(i)}$ in this case), we have

$$\bar{\beta}_i = n^{(i)}n + n_1^{(i)}n_1 + \dots + n_{s_i}^{(i)}n_{s-i}.$$

Some special maximal contact curves Φ_i^* can be seen from a different point of view as the approximate roots of the curve C ; see [Abhyankar and Moh 1973a; 1973b; Popescu-Pampu 2003; Casas-Alvero 2000].

The d -approximate root $d|n$ of an irreducible plane curve C given by a Weierstrass polynomial $G(x_1, x_2)$ is a Weierstrass polynomial $Q(x_1, x_2)$ which satisfies $\deg(G - Q^d) > n - n/d$.

Let $e_i = \gcd(n, \beta_1, \dots, \beta_i)$ and let $\{x_1 = t^n, x_2 = \alpha(t)\}$ be the Puiseux series of C . The e_i -approximate root of C is the Weierstrass polynomial of an irreducible plane curve which has the same i characteristic exponents $\{n/e_i, \beta_1/e_i, \dots, \beta_i/e_i\}$ as C . It determines, as above, a curve Φ_i^* , whose value $\bar{\beta}_i$ is one of the minimal generators of the semigroup; see [Casas-Alvero 2000, 5.9].

Remark 4.3. From Proposition 4.1 for a space curve C we consider the set of hypersurfaces F_i providing the minimal set of generators $\{n, \gamma_1, \dots, \gamma_g\}$ of the semigroup, as the approximate roots of C . The problem is to characterize these F_i by means of the geometry of the INPs.

All the possible sequences $\{m, m_1, \dots, m_j\}$ as above, determine the semigroup of the curve. For plane curves, as noted above, the sequences $\{m, m_1, \dots, m_j\}$ of orders are the multiplicity sequence of some plane curves, so they are characterized by the proximity relations, for plane curves, of the sequence of INPs of the curve, that is, it is enough that

$$m_i = m_{i+1}, \text{ or } m_i = m_{i+1} + \dots + m_{j-1} + m_j \text{ and } m_{i+1} = \dots = m_{j-1} \geq m_j.$$

For space curves, the sequence of m_i follow neither the proximity relations of the INPs nor the l -linear proximity relations. For the curve C_1 in Example 2.8, $X_3^3 - X_1 X_4^2$ has the sequence of 16 orders 3, 1, ..., 1, the corresponding value is $75 = 3 \times 20 + 15$ and the proximity relations of the INPs given by $20 = 1 + \dots + 1$ are not reached by the orders.

In the following we will consider all the hypersurfaces passing through subsequences of the INPs of the curve with prefixed orders, that is clusters of INPs. They provide finitely supported complete ideals.

Consider a curve C and its sequence $\{P, P_1, \dots, P_j \dots\}$ of INPs. Given an ideal $I \subset A$ we define

$$\text{ord}_s I = \min\{\text{ord}_s(F) : F \in I\}$$

and $\text{ord}_i I = \text{ord}_i I^{(i)}$, where $I^{(i)}$ is the weak transform of I in A_i , that is,

$$I^{(i)} = (x)^{-m_{i-1}} I^{(i-1)} A_i,$$

where $m_i = \text{ord}_i I^{(i)} = \max\{n : I^{(i)} \subset (\mathfrak{M}_i)^n\}$ (\mathfrak{M}_i being the maximal ideal of A_i) and x is a generator of the principal ideal $\mathfrak{M}_{i-1} A_i$.

An ideal $I \subset A$ is *finitely supported* [Lipman 1988] if I is primary for the maximal ideal \mathfrak{M} of A , and there are only a finite number of base points. If the base points are a sequence $\{P, P_1, \dots, P_s\}$ of INPs of P , we have that IA_s is invertible. We say that $\{P, P_1, \dots, P_s\}$ are the *base points* of I .

We set $B(I) = \{\text{ord}_s(I^{(i)})\}_{i=0,1,\dots,s}$. If we consider a sequence $\{P, P_1, \dots, P_r\}$ of INPs with $r > s$, then since I becomes invertible at P_s , we have $I^{(j)}A_j = A_j$ for $j > r$, and its order is 0.

An ideal $I \subset A$ is *complete* if I contains all elements $x \in A$ satisfying

$$x^n + a_1x^{n-1} + \dots + a_n = 0$$

where $a_j \in I^j$ for $1 \leq j \leq n$, with I^j denoting the j -th power of I . The *integral closure* \bar{I} of an ideal I is the minimal complete ideal containing I .

Given ideals $I, J \subset A$ we have (see [Lipman 1988, 1.9.10]):

- (i) $B(I \cdot J) = B(I) + B(J)$.
- (ii) $B(I) = B(J) \iff \bar{I} = \bar{J}$.

Definition 4.4. Given $F \in A$, the *virtual transform of F of order m in P_1* is $\tilde{F}_1 = X^{-m}F_1$, if $\text{ord}(F) \geq m$, and F_1 is the total transform of F in A_1 .

We say that the hypersurface $F \in A$ *passes through P, P_1, \dots, P_j with orders m, m_1, \dots, m_j* if $\text{ord}(F) \geq m$ and \tilde{F}_1 , the virtual transform of F in A_1 of order m , has order at least m_1 , and for all $i \leq j$ the virtual transform of \tilde{F}_{i-1} in A_i of order m_{i-1} has order at least m_i .

Let I_{m,m_1,\dots,m_j} be the complete ideal of all the hypersurfaces passing through P, P_1, \dots, P_j with orders m, m_1, \dots, m_j . This ideal cannot be finitely supported, but it passes by only a finite number of INPs of the sequence given by C .

Proposition 4.5. *Consider the sequence $\mathcal{P} = \{P, P_1, \dots, P_i, \dots\}$ of INP of C . Then the ideal I_{m,m_1,\dots,m_j} , as defined above, passes through a finite number of INPs P, P_1, \dots, P_u (for some $u \geq j$) of the sequence \mathcal{P} .*

Proof. Consider a basis $\{G_1, \dots, G_d\}$ of I_{m,m_1,\dots,m_j} , and let $G = \lambda_1G_1 + \dots + \lambda_dG_d$, (where $\lambda_1, \dots, \lambda_d$ are generic), be a generic element of I_{m,m_1,\dots,m_j} . Then for any sufficiently large subsequence of \mathcal{P} , containing the first j INPs, let v be the associated valuation. From the preceding discussion, v coincides with the valuation given by the curve, and if we extend the parametrization for C to the field $k(\lambda_1, \dots, \lambda_d)$, we see that

$$\infty > v(G) = I_P(G, C) = \text{ord}(G)n + \text{ord}_1(G^{(1)})n_1 + \dots + \text{ord}_u(G^{(u)})n_u.$$

Then the ideal I_{m,m_1,\dots,m_j} passes through a finite number $u+1$ of INPs of the sequence \mathcal{P} . □

Given a sequence $\{P, P_1, \dots, P_j\}$ of INPs of a curve, and a monotonically increasing sequence $\{m, m_1, \dots, m_j : m_i \geq m_{i+1}\}$ of integers, the hypersurfaces passing by the points with those orders may have to pass by more points; see [Example 4.8](#). Then, we can consider the following clusters.

Definition 4.6. The *shadow cluster* generated by the ideal I_{m,m_1,\dots,m_j} is the cluster

$$S_{m,m_1,\dots,m_u},$$

for $u \geq j$, composed from the subsequence P, P_1, \dots, P_u of the sequence \mathcal{P} of INPs of C formed by the base points of I_{m,m_1,\dots,m_j} contained in \mathcal{P} , the weights being the orders of $(I_{m,m_1,\dots,m_j})^{(P_i)}$ corresponding to the points P, P_1, \dots, P_s , that is, $B(I_{m,m_1,\dots}) \cap \mathcal{P}$.

By [Proposition 4.5](#), the shadow cluster S_{m,m_1,\dots,m_u} has a finite number of points and is well defined.

Proposition 4.7. *The semigroup of values of a curve C is determined by the shadow clusters for all clusters contained in the sequence of infinitely near points of C starting at P .*

Proof. Let $\{P, P_1, \dots, P_s, \dots\}$ be the INPs of C . The values of $\Gamma(C)$ can be obtained by Noether’s formula (4–2), applied to any shadow cluster S_{m,m_1,\dots,m_q} as $mn + m_1n_1 + \dots + m_qn_q$, with $E(C) = \{n, n_1, \dots, n_r = 1, \dots\}$ being the multiplicity sequence. □

The shadow cluster S_{m,m_1,\dots,m_u} associated to the ideal I_{m,m_1,\dots,m_j} does not in general coincide with the cluster given by P, P_1, \dots, P_j with orders m, m_1, \dots, m_j .

Example 4.8. Consider the curve $C_1 \equiv \{x_1 = t^{10}, x_2 = t^{11}, x_3 = t^{12} + t^{14} + t^{16}\}$ from [Example 3.8](#), with H-N matrix

$$H = \left(\begin{array}{c|cccccccccc} \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ \hline 0 & \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \end{array} \right)$$

with $\Gamma_1 = \langle 10, 11, 12, 26 \rangle$ and $c_1 = 30$. The shadow cluster of the ideal $I_{2,1,1,1}$ is $S_{2,1,1,1,1,1,1}$, since the element 23 of the semigroup is obtained from $I_{2,2,1}$, the element 24 from $I_{2,2,2}$, and $25 \notin \Gamma_1$ since, as we have seen above, there is no conic passing exactly through $\{P, P_1, \dots, P_5\}$, and 26 is obtained from a conic (see [Example 3.8](#)) passing through $S_{2,1,1,1,1,1,1}$.

Example 4.9. Consider the curve

$$C_2 \equiv \{x_1 = t^{20}, x_2 = t^{21}, x_3 = t^{24} + 2t^{27} + t^{29}, x_4 = t^{26} + 3t^{29}\}$$

from Examples 2.8 and 3.9, which has a 21-column H-N matrix

$$H = \left(\begin{array}{c|cccccccccccc} \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots & 1 \\ 0 & \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \dots & \mathbf{0} \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 2 & 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 3 & 0 & \dots & 0 \end{array} \right)$$

with $\Gamma = \langle 20, 21, 24, 26, 77 \rangle$ and $E(C) = \{20, 1, \dots, 1\}$. The shadow cluster of the ideal $I_{3,2,2,2,2,2,2,2}$ is $S_{3,2,2,2,2,2,2,1,1,1}$ since the elements of the semigroup are greater than $3 \times 20 + 2 \times 7$, since $75 \notin \Gamma_2$, and since 76 is obtained with $x_3x_4^2$. The hypersurface $X_3X_4^2$ passes only through P_1, P_2, \dots, P_6 , the element 77 is given by $x_3^3 - x_1x_4^2$, and the cubic $X_3^3 - X_1X_4^2$ passes exactly through $S_{3,2,2,2,2,2,2,1,1,1}$.

Proposition 4.10. *For any subsequence $\{P, P_1, \dots, P_j\}$ of INPs of a curve C , the orders m, m_1, \dots, m_j of the ideals I_{m,m_1,\dots,m_j} necessary to compute its shadow clusters are bounded by means of the conditions given by the proximity relations, that is, by the multiplicity sequence.*

Proof. It is sufficient to consider the bound

$$c^* = n(n - 1) + n_1(n_1 - 1) + \dots + n_{r-1}(n_{r-1} - 1)$$

for the conductor c , obtained in (2-1) from the multiplicity sequence. Since, by (2-2), all the generators of the semigroup $\Gamma(C)$ are at most $c+n-1$, the m_i are bounded by c^* and the n_i . □

Remark 4.11. For space curves, the approximate roots F_i considered in Remark 4.3 have to be chosen among the hypersurfaces corresponding to sequences $\{m, m_1, \dots, m_j\}$ of shadow clusters of the INPs.

Lastly, we consider a subsemigroup of the semigroup of values determined by the special $*$ -simple complete ideals of the sequence of INPs associated to the curve.

Lipman [1988, 2.1] associates to any sequence $\{P, P_1, \dots, P_j\}$ of INPs a unique special $*$ -simple complete ideal \mathfrak{p}_j , simple for the complete product of ideals $I * J = \overline{I \cdot J}$. This \mathfrak{m} -primary ideal is the ideal of all the hypersurfaces which pass exactly through the sequence of INPs and which have orders greater than $B(\mathfrak{p}_j) = \{m_0^* = m^*, m_1^*, \dots, m_j^* = 1\}$. These numbers depend only on the points, and we call them the *Lipman multiplicities* of the sequence. In [Castellanos 2002], the Lipman multiplicities of some examples are computed.

Given a sequence $\{P, P_1, \dots, P_j\}$ of INPs, there are many sequences of positive integers $\{q, q_1, \dots, q_j\}$ upon which an ideal I is exactly supported, and having those integers as $B(I)$. The Lipman multiplicities of the special $*$ -simple complete ideal \mathfrak{p}_j form the minimum such sequence for the inverse lexicographic order.

Consider the sequence $\{P, P_1, \dots, P_s\}$ which determines the curve C and the $*$ -simple complete ideals \mathfrak{p}_i , for $i \leq j$. Associated to the Lipman multiplicities of \mathfrak{p}_i , we consider the semigroup of values formed by means of Noether’s formula as follows. Consider, for any sequence $\{P, P_1, \dots, P_j\}$, the values

$$\eta_j = m^{(j)}n + m_1^{(j)}n_1 + \dots + m_j^{(j)}n_j$$

where $\{n, n_1, \dots, n_r = 1, \dots\}$ is the multiplicity sequence of C and the orders $\{m^{(j)}, m_1^{(j)}, \dots, m_j^{(j)}\}$ are the Lipman multiplicities for \mathfrak{p}_i associated to the points $\{P, P_1, \dots, P_j\}$.

Definition 4.12. The *idealistic semigroup* for the curve C is the semigroup $\Gamma^*(C) = \langle \eta_0 = n, \eta_1, \dots, \eta_s \rangle$.

For a plane curve singularity C , the idealistic semigroup $\Gamma^*(C)$ coincides with the semigroup of values of C . This follows from the fact that, for any plane curve singularity, the Lipman multiplicities for the ideal \mathfrak{p}_m , coincide with the multiplicity sequence of the curve; see [Hoskin 1956]. So $\Gamma^*(C)$ is generated by

$$\eta_j = n^{(j)}n + n_1^{(j)}n_1 + \dots + n_j^{(j)}n_j$$

where $0 \leq j \leq s$ and $\{n^{(j)}, n_1^{(j)}, \dots, n_j^{(j)} = 1\}$ is the multiplicity sequence of the curve associated to the points $\{P, P_1, \dots, P_j\}$, that is, a curve having η_j as intersection multiplicity with C at P . Then the generators of the semigroup of the curve $\{\bar{\beta}_0 = n, \bar{\beta}_1, \dots, \bar{\beta}_g\}$ correspond to the intersection multiplicity of the maximal contact curves Φ_i of genus i , that is,

$$\bar{\beta}_i = n^{(s_i)}n + n_1^{(s_i)}n_1 + \dots + n_{s_i}^{(s_i)}n_{s_i}$$

where $\{n^{(s_i)}, n_1^{(s_i)}, \dots, n_{s_i}^{(s_i)} = 1\}$ is the multiplicity sequence of Φ_i [Campillo 1980, Proposition 4.3.4], and so $\bar{\beta}_i \in \Gamma^*(C)$.

Corollary 4.13. *The idealistic semigroup of a curve C is $\Gamma^*(C) \subset \Gamma(C)$.*

Observe that the two semigroups are different in general.

Example 4.14. Consider the curve $C \equiv \{x_1 = t^6, x_2 = t^7, x_3 = t^8 + t^{11}\}$, with H-N matrix

$$H = \left(\begin{array}{c|cccccc} \mathbf{1} & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & \mathbf{1} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 \end{array} \right)$$

semigroup $\Gamma(C) = \langle 6, 7, 8, 17 \rangle$, and $E(C) = \{6, 1\}$. The Lipman $*$ -special ideals \mathfrak{p}_i associated to $\{P, P_1, \dots, P_i\}$ are:

Ideals	Orders	Values
$\mathfrak{p}_0 = \overline{(X, Y, Z)}$	$B(\mathfrak{p}_0) = \{1\}$	6
$\mathfrak{p}_1 = \overline{(X^2, Y, Z)}$	$B(\mathfrak{p}_1) = \{1, 1\}$	7
$\mathfrak{p}_2 = \overline{(X^2, Y^2, Z)}$	$B(\mathfrak{p}_2) = \{1, 1, 1\}$	8
$\mathfrak{p}_3 = \overline{(X^3, Y^2, Z^2, XZ)}$	$B(\mathfrak{p}_3) = \{2, 1, 1\}$	14
$\mathfrak{p}_4 = \overline{(X^3, Y^3, Z^2, XZ - Y^2, YZ)}$	$B(\mathfrak{p}_4) = \{2, 1, 1, 1\}$	15
$\mathfrak{p}_5 = \overline{(X^3, Y^3, Z^2, XZ - Y^2)}$	$B(\mathfrak{p}_5) = \{2, 1, 1, 1, 1\}$	16
$\mathfrak{p}_6 = \overline{(X^4, Y^4, Z^3, X^2Z - XY^2, YZ^2)}$	$B(\mathfrak{p}_6) = \{3, 1, 1, 1, 1, 1\}$	23
$\mathfrak{p}_7 = \overline{(X^4, Y^4, Z^3, X^2Z - XY^2 - YZ^2)}$	$B(\mathfrak{p}_7) = \{3, 1, 1, 1, 1, 1, 1\}$	24

Then the idealistic semigroup is $\Gamma^*(C) = \langle 6, 7, 8 \rangle$.

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