ASSOCIATED VARIETIES AND HOWE’S N-SPECTRUM

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Let $G$ be a real semisimple group. Two important invariants are associated with the equivalence class of an irreducible unitary representation of $G$, namely, the associated variety of the annihilator in the universal enveloping algebra and Howe’s $N$-spectrum, where $N$ is a nilpotent subgroup of $G$. The associated variety is defined in a purely algebraic way. The $N$-spectrum is defined analytically. In this paper, we prove some results about the relation between associated variety and $N$-associated variety, where $N$ is a subgroup of $G$. We then relate $N$-associated variety with Howe’s $N$-spectrum when $N$ is abelian. This enables us to compute Howe’s rank in terms of the associated variety. The relationship between Howe’s rank and the associated variety has been established by Huang and Li, at about the same time this paper was first written, using the result of Matomoto on Whittaker vectors. It can also be derived from works of Przebinda and Daszkiewicz–Kraśkiewicz–Przebinda. Our approach is independent and more self-contained. It does not involve Howe’s correspondence in the stable range.

Introduction

0.1. The associated variety and the $\mathcal{C}$-associated variety. Let $\mathcal{D}$ be a noncommutative associative algebra over $\mathbb{C}$ with an identity. Suppose that $\mathcal{D}$ has a filtration $\{\mathcal{D}_i\}_{i \in \mathbb{Z}}$ such that

$$\mathcal{D}_i, \mathcal{D}_j \subseteq \mathcal{D}_{i+j} \quad \text{and} \quad [\mathcal{D}_i, \mathcal{D}_j] \subseteq \mathcal{D}_{i+j-1} \quad \text{for} \ i, j \in \mathbb{Z}.$$  

Let $\text{gr}(\mathcal{D}) = \bigoplus \mathcal{D}_{i+1}/\mathcal{D}_i$ be the associated graded algebra. Clearly, $\text{gr}(\mathcal{D})$ is a commutative algebra and also is a Poisson algebra [Gabber 1981]. Now suppose that $\text{gr}(\mathcal{D})$ is affine [Eisenbud 1995, page 35]. Let $\text{spec}(\mathcal{D})$ be the maximal spectrum of $\text{gr}(\mathcal{D})$. Let $\mathcal{J}$ be a left ideal of $\mathcal{D}$. Then $\{\mathcal{D}_j\}$ induces a filtration $\{\mathcal{D}_j \cap \mathcal{J}\}$ for $\mathcal{J}$, and $\text{gr}(\mathcal{J})$ is an ideal of $\text{gr}(\mathcal{D})$. We define the associated variety $\mathcal{V}(\mathcal{J})$ of $\mathcal{J}$ to be the subvariety of maximal ideals of $\text{gr}(\mathcal{D})$ containing $\text{gr}(\mathcal{J})$.


Keywords: classical groups of type I, associated variety, spectral measure, unitary representations, $N$-spectrum, wave front set, filtered algebra.

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Let \( \mathcal{C} \) be a subalgebra of \( \mathcal{D} \) with identity. Again \( \mathcal{C} \) has an induced filtration \( \{ \mathcal{C} \cap \mathcal{D} \} \). There is a natural map \( j : \text{gr}(\mathcal{C}) \to \text{gr}(\mathcal{D}) \) which induces a map
\[
j^* : \text{spec}(\mathcal{D}) \to \text{spec}(\mathcal{C}).
\]
The first result we prove states that \( j^*(\mathcal{V}(\mathcal{J})) \subseteq \mathcal{V}(\mathcal{J} \cap \mathcal{C}) \). See Lemma 1.1.

Now let \( g \) be a Lie algebra over \( \mathbb{R} \). Let \( \mathfrak{h} \) be a Lie subalgebra. Let \( \mathcal{D} = U(g) \) be the universal enveloping algebra equipped with the natural filtration. Then \( \text{gr}(U(g)) = S(g) \). So \( \text{spec}(U(g)) = g^*_C \). Put \( \mathcal{C} = U(\mathfrak{h}) \). Then \( \text{spec}(U(\mathfrak{h})) = \mathfrak{h}^*_C \), and the map \( j^* \) is the restriction map from \( g^*_C \) to \( \mathfrak{h}^*_C \). Let \( \mathcal{J} \) be a left ideal of \( U(g) \). We call \( \mathcal{V}(\mathcal{J} \cap \mathcal{C}) \) the \( \mathcal{C} \)-associated variety of \( \mathcal{J} \).

Let \( M \) be a \( g \)-module. Let \( N \) be a subspace of \( M \). Let \( \text{Ann}_{U(g)}(N) \) be the annihilator of \( N \) in \( U(g) \). Then \( \text{Ann}_{U(g)}(N) \) is a left ideal of \( U(g) \). By Lemma 1.1, we have
\[
j^*(\mathcal{V}(\text{Ann}_{U(g)}(N))) \subseteq \mathcal{V}(\text{Ann}_{U(\mathfrak{h})}(N)).
\]
It is not known if the converse is true. But if \( g \) is \( \mathbb{Z} \)-graded, we have the following.

**Theorem 0.1.** Let \( a \in g \) be such that \( \text{ad}(a) \) is semisimple with real eigenvalues. Let \( \mathfrak{h} \) be the highest eigenspace. Let \( \text{ad}(a)|_{\mathfrak{h}} = \lambda I \), and suppose that \( \lambda \geq 0 \). Let \( M \) be a \( g \)-module. Let \( N \) be a subspace that is invariant under the action of \( a \). Then
\[
\text{cl}(j^*(\mathcal{V}(\text{Ann}_{U(g)}(N)))) = \mathcal{V}(\text{Ann}_{U(\mathfrak{h})}(N)).
\]
See the proofs of Theorem 1.1 and Theorem 1.2. A similar statement holds for \( \mathfrak{h} \), the subspace with the lowest weight.

### 0.2. Associated variety and support: the abelian case

Let \( G \) be a Lie group with a finite number of components. Let \( (\pi, \mathcal{H}) \) be a unitary representation of \( G \). All Hilbert spaces in this paper are assumed to be separable. To apply the theory of associated varieties to unitary representations of \( G \), we consider the annihilator. Let \( \mathcal{H}^\infty \) be the space of smooth vectors. Clearly \( U(g) \) acts on \( \mathcal{H}^\infty \). Define \( \text{Ann}_{U(g)}(\pi) \) to be \( \text{Ann}_{U(g)}(\mathcal{H}^\infty) \). In Theorem 1.3, we prove that \( \mathcal{H}^\infty \) can be replaced by any dense subspace of \( \mathcal{H}^\infty \). In particular, for \( G \) semisimple and \( K \) a maximal compact subgroup, a canonical choice is the space of smooth \( K \)-finite vectors. In addition, if \( (\pi, \mathcal{H}) \) is irreducible, then all \( K \)-finite vectors are smooth.

Next, let \( N \) be a connected abelian group. The unitary dual of \( N \) can be identified with a subset of \( i\mathfrak{n}^* \). Here \( \mathfrak{n}^* \) is the space of real linear functionals of \( \mathfrak{n} \). Let \( (\pi, \mathcal{H}) \) be a unitary representation of \( N \). Then there is a projection-valued measure \( d\mu_\pi \) on \( \hat{\mathfrak{n}} \) such that
\[
\pi \cong \int_{\hat{\mathfrak{n}}} d\mu_\pi.
\]
Define the support of \( \pi \) to be the complement of the maximal open set \( U \) with \( \mu_\pi(U) = 0 \). Regard \( \text{supp}(\pi) \) as a subset of \( i\mathfrak{n}^* \). This paper proves the following:
Theorem 0.2. Let $\pi$ be a unitary representation of a connected abelian group $N$. Then $\text{cl}(\text{supp}(\pi)) = V(\text{Ann}_{U(n)}(\pi))$.

Notice that $\text{supp}(\pi) \subset n^*$ and $\text{cl}(\text{supp}(\pi))$ is in $n^*_C$, the complexification of $n$. See Theorem 2.1 for the proof.

Corollary 0.1. Let $(\pi, \mathcal{H})$ be a unitary representation of a connected Lie group $G$. Let $N$ be a connected abelian Lie subgroup of $G$. Suppose there is a semisimple element $a \in g$ such that $\text{ad}(a)$ has only real eigenvalues and $n$ is the highest eigenspace of $\text{ad}(a)$. Suppose that the eigenvalue for $\text{ad}(a)|_n$ is nonnegative. Then

$$V(\text{Ann}_{U(n)}(\pi)) = \text{cl}(\text{supp}(\pi|_N)) = \text{cl}(j^*(V(\text{Ann}_{U(g)}(\pi)))),$$

where $j : g^*_C \to n^*_C$, is the canonical projection.

0.3. Unitary representations, Howe's $N$-spectrum and the associated variety.

We shall now use our results to relate the associated variety to Howe's $N$-spectrum [Howe 1982]. In particular, we can read Howe's rank from the associated variety.

Let $G$ be a connected classical Lie group. Let $K$ be a maximal compact subgroup of $G$. Let $g$ be the Lie algebra of $G$, and let $U(g)$ be the universal enveloping algebra of $g$ with complex coefficients. Let $(\pi, \mathcal{H})$ be a unitary representation of $G$. The classical way to study $(\pi, \mathcal{H})$ is to analyze the associated $(g, K)$-module, obtained by taking the smooth $K$-finite vectors in $\mathcal{H}$. When a $(g, K)$-module satisfies a certain compatibility condition and is finitely generated, it will be called a Harish–Chandra module [Vogan 1991]. Two irreducible unitary representations are isomorphic if and only if their Harish–Chandra modules are isomorphic as $U(g)$-modules. In addition, $(\pi, \mathcal{H})$ is irreducible if and only if its Harish–Chandra module is an irreducible $U(g)$-module. So problems concerning irreducible representations can often be reduced to problems concerning irreducible Harish–Chandra modules. The classification of all the irreducible Harish–Chandra modules of a linear connected semisimple group was carried out by Langlands [1989] and Knapp and Zuckerman [1982]. But Langlands’s classification did not address the question of unitarity. Vogan [1986] classified the unitary dual of general linear groups, that is, classical groups of type II. We call the rest of the classical groups classical groups of type I (see Definition 3.1). The unitary dual $\hat{G}$ for type I classical groups remains very much mysterious.

Let $V$ be the Harish–Chandra module of an irreducible representation $(\pi, \mathcal{H})$. A well-known theorem of Borho, Brylinski, and Joseph states that the associated variety $V(\text{Ann}_{U(g)}(V))$ is the closure of a single coadjoint nilpotent orbit. Thus one may focus on the classification of all the unitarizable Harish–Chandra modules associated with a fixed nilpotent orbit. This problem is quite difficult to solve, but not hopeless. The rich structure of the nilpotent orbits provides a lot of information about the unitary representation. Progress has been made in classifying unitary
representations with a fixed associated variety; see for example [Huang and Li 1999].

Let \( H \) be a type I subgroup of \( G \); see [[Dixmier 1977] and [Wallach 1992, pages 312–340]. From the direct integral theory, the restriction of \( \pi \) to \( H \) yields a projection-valued measure \( \mu_H(\pi) \) on \( \hat{H} \), that is,

\[
\mathcal{H} = \int_{s \in \hat{H}} \mathcal{H}_s \otimes V_s d\mu_{\pi|H}(s) \quad \text{for} \ (\pi_s, \mathcal{H}_s) \in \hat{H},
\]

where \( H \) acts trivially on \( V_s \). \( \dim(V_s) \) is often called the multiplicity function of \( \pi|_H \). It is defined almost everywhere. R. Howe [1982] called the projection-valued measure \( \mu_H(\pi) \), and he called the unitary equivalence class it defines the \( H \)-spectrum of \( \pi \). When \( \hat{H} \) is well understood, the \( H \)-spectrum of \( \pi \) should shed some light on the structure of the representation \((\pi, \mathcal{H})\). We shall point out that all classical Lie groups and nilpotent Lie groups are Lie groups of type I. Lie groups of type I is not to be confused with type I classical groups, which refer to classical groups that preserve a nondegenerate sesquilinear form (see Definition 3.1).

Howe [1982] studied the case where \( G = \text{Sp}_{2n}(\mathbb{R}) \) and \( H \) is the (abelian) nilradical \( N_n \) of the Siegel parabolic subgroup \( P_n \). In this case, \( \hat{N}_n \) can be regarded as the space of real symmetric bilinear forms. In particular, Howe defined the notion of \( N_n \)-rank for a unitary representation \( \pi \) to be the highest rank of the support of \( \mu_{N_n}(\pi) \) regarded as symmetric bilinear forms. Later, Howe’s \( Z N_k \)-rank was extended to all the type I classical groups by J.-S. Li [1989], to all the type II classical groups by R. Scaramuzzi [1990], and to the exceptional groups by H. Salmasian [2007]. This approach to studying \( Z N_k \)-spectrum has lead to the classification of the “small” unitary representations for type I classical groups; see [Li 1989].

A natural way to relate \( \mathcal{V}(\text{Ann}_U(g)(\pi)) \) to Howe’s \( H \)-spectrum is to relate the \( H \)-associated variety, \( \mathcal{V}(\text{Ann}_U(h)(\pi)) \), to the \( H \)-spectrum. More precisely, one may study the Lie algebra action of \( h \) (as skew-adjoint differential operators) in the framework of direct integral theory. In general, this is not an easy task since the direct integral theory is an \( L^2 \)-theory. Nevertheless, for an abelian group \( H \), our result is sharp, that is, \( \mathcal{V}(\text{Ann}_U(h)(\pi)) \) is the Zariski closure of the support of the \( H \)-spectrum of \( \pi \).

Let \( G \) be a type I classical group. Suppose \( P_k \) is a maximal parabolic subgroup of \( G \) and \( N_k \) is its nilradical. Let \( Z N_k \) be the center of \( N_k \). Since \( Z N_k \) is a connected and simply connected abelian group, \( \hat{Z} N_k \) can be regarded as the purely imaginary dual of \( \mathfrak{n}_k \). Let \( j^* : \mathfrak{g}_c \to \mathfrak{n}_k^* \) be the canonical projection from the complex dual of \( \mathfrak{g} \) to the complex dual of \( \mathfrak{n}_k \). Our results immediately imply this:

**Theorem 0.3.** \( \mathcal{V}(\text{Ann}_U(g)(\pi)) \) is the Zariski closure of \( j^*(\mathcal{V}(\text{Ann}_U(h)(\pi))) \). It is also the Zariski closure of \( \text{supp}(\mu_{ZN_k}(\pi)) \).
See Theorem 3.1 and Theorem 2.1.

0.4. Howe’s rank and the associated variety. Finally, we compute Howe’s $Z_{N_k}$-rank for an irreducible unitary representation of a type I classical group—that is, any of the groups $U(p, q)$, $O_{p,q}$, $O^*(2n)$, $O(n, \mathbb{C})$, $Sp_{2n}(\mathbb{R})$, $Sp(n, \mathbb{C})$, and $Sp(p, q)$ in terms of the associated variety. Since $g_{\mathbb{C}}$ can always be represented by a standard matrix Lie algebra, we define the rank of a subset of $g_{\mathbb{C}}$ to be the maximal rank of its elements.

**Theorem 0.4** (see also [Huang and Li 1999]). Let $(\pi, H)$ be an irreducible unitary representation of a type I classical group $G$. Then we have a table:

<table>
<thead>
<tr>
<th>$G$</th>
<th>$Z_{N_k}$-rank of $(\pi, H)$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$Sp_{2n}(\mathbb{R})$, $U(p, q)$</td>
<td>$\min(k, \text{rank}(\mathcal{V}(\text{Ann}_{U(\mathbb{g})}(\pi))))$</td>
</tr>
<tr>
<td>$O_{p,q}$</td>
<td>$\begin{cases} \min(k, \text{rank}(\mathcal{V}(\text{Ann}<em>{U(\mathbb{g})}(\pi)))) &amp; \text{if } k \text{ is even} \ \min(k - 1, \text{rank}(\mathcal{V}(\text{Ann}</em>{U(\mathbb{g})}(\pi)))) &amp; \text{if } k \text{ is odd} \end{cases}$</td>
</tr>
<tr>
<td>$O^*(2n)$, $Sp(p, q)$</td>
<td>$\min(k, \frac{1}{2} \text{rank}(\mathcal{V}(\text{Ann}_{U(\mathbb{g})}(\pi))))$</td>
</tr>
<tr>
<td>$Sp(n, \mathbb{C})$</td>
<td>$\min(k, \frac{1}{2} \text{rank}(\mathcal{V}(\text{Ann}_{U(\mathbb{g})}(\pi))))$</td>
</tr>
<tr>
<td>$O(n, \mathbb{C})$</td>
<td>$\begin{cases} \min(k, \frac{1}{2} \text{rank}(\mathcal{V}(\text{Ann}<em>{U(\mathbb{g})}(\pi)))) &amp; \text{if } k \text{ is even} \ \min(k - 1, \frac{1}{2} \text{rank}(\mathcal{V}(\text{Ann}</em>{U(\mathbb{g})}(\pi)))) &amp; \text{if } k \text{ is odd} \end{cases}$</td>
</tr>
</tbody>
</table>

In the rows of $Sp(p, q)$ and $O(n, \mathbb{C})$, one can replace $\frac{1}{2} \text{rank}(\mathcal{V}(\text{Ann}_{U(\mathbb{g})}(\pi)))$ by $\text{rank}(\text{WF}(\pi))$. I should remark that essentially the same statement was proved by Huang and Li [1999] when $k$ is the real rank of $G$. This theorem can also be derived from the results of Przebinda [1993] and Daszkiewicz, Kraszewicz, and Przebinda [1997]. These two approaches involve Howe’s correspondence in the stable range [Howe 1989; Li 1989]. Our approach is independent and more self-contained [He 1998, pages 1–127].

The following is an outline of the paper. In Section 1, we study the associated variety of a left ideal of a special type of filtered noncommutative algebra. We investigate the relationship between the associated variety of $M$ and the $H$-associated variety of $M$ when $M$ is a $U(\mathbb{g})$ module. In Section 2, we study the Lie algebra action under the framework of the direct integral for abelian Lie groups. We show that for a unitary representation of a connected abelian Lie group $G$, the associated variety of the annihilator is the Zariski closure of the support of its spectral measure. In Section 3, we present the structure theory of parabolic subgroups for a type I classical group. In Section 4, we compute the $Z_{N_k}$-rank using associated varieties.

After I finished this work, Vogan pointed out that there should be a real version of Theorem 0.1, namely, there must be a strong connection between the wave
front set of $\pi$ and the wave front set of $\pi$ restricted to certain subgroups. Let $WF(\pi)$ be the wave front set of a representation $\pi$ of a Lie group $G$ in the sense of [Howe 1981]. Then it is easy to see from [Howe 1981, Proposition 2.1] that $WF(\pi|_{Z_{N_k}}) = \text{supp}(\pi|_{Z_{N_k}})$, since $\text{supp}(\pi|_{Z_{N_k}})$ is conic. On the other hand, it is well known that the associated variety is the Zariski closure of the wave front set, that is, $\mathcal{V}(\text{Ann}_{U(g)}(\pi)) = \text{cl}(WF(\pi))$. From what we have proved in this paper, we have $\text{cl}(\text{supp}(\pi|_{Z_{N_k}})) = \text{cl}(\text{supp}(\pi|_{Z_{N_k}}))$. Therefore $\text{cl}(WF(\pi|_{Z_{N_k}})) = \text{cl}(j^*(\text{cl}(WF(\pi))))$.

At this time it is not clear how to relate $WF(\pi|_{Z_{N_k}})$ to $WF(\pi)$. Nevertheless, we make the following conjecture.

**Conjecture.** Let $G$ be a connected classical group of type I. Let $\pi$ be an irreducible unitary representation of $G$. Let $j^*: g^* \to z_{N_k}^*$ be the canonical projection. Then

$$WF(\pi|_{Z_{N_k}}) = j^*(WF(\pi)).$$

This paper is essentially the first part of my PhD thesis. I wish to thank my advisor David Vogan for guidance.

### 1. Associated variety under restriction

A filtered (noncommutative) algebra $\mathcal{D}$ over $\mathbb{C}$ is an algebra endowed with a filtration $\{\mathcal{D}_i\}_{i \in \mathbb{Z}}$ such that $\mathcal{D}_i \cdot \mathcal{D}_j \subseteq \mathcal{D}_{i+j}$ for $i, j \in \mathbb{Z}$. Let $\text{gr}(\mathcal{D}) = \bigoplus_i \mathcal{D}_i/\mathcal{D}_{i-1}$ be the associated graded algebra. An element $x \in \text{gr}(\mathcal{D})$ is said to be homogeneous of degree $i$ if there exists an $i \in \mathbb{Z}$ such that $x \in \mathcal{D}_i/\mathcal{D}_{i-1}$. Let $\sigma_i: \mathcal{D}_i \to \mathcal{D}_i/\mathcal{D}_{i-1}$ be the natural projection. We call it the symbol map. Then $\text{gr}(\mathcal{D}) = \bigoplus_i \sigma_i(\mathcal{D}_i)$.

Throughout this paper, our filtered algebra will be assumed to have the properties

- $\mathcal{D}_0 = \mathbb{C}1$, where 1 is the identity element;
- $\mathcal{D}_n = \{0\}$ for every $n < 0$;
- $\text{gr}(\mathcal{D})$ is a commutative affine algebra [Eisenbud 1995].

Notice that $\text{gr}(\mathcal{D})$ being commutative is equivalent to $[\mathcal{D}_i, \mathcal{D}_j] \subseteq \mathcal{D}_{i+j-1}$.

**Definition 1.1.** Let $\text{spec}(\mathcal{D})$ be the maximal spectrum of $\text{gr}(\mathcal{D})$. Suppose that $\mathcal{I}$ is a (left) ideal of $\mathcal{D}$. Then $\mathcal{I}$ inherits a filtration from $\mathcal{D}$, that is,

$$\mathcal{I}_i = \mathcal{D}_i \cap \mathcal{I} \quad \text{for} \quad i \in \mathbb{N}.$$

Let $\text{gr}(\mathcal{I}) = \bigoplus \sigma_i(\mathcal{I}_i)$ be the graded algebra of $\mathcal{I}$. Then $\text{gr}(\mathcal{I})$ is an ideal of $\text{gr}(\mathcal{D})$. Let $\mathcal{V}(\text{gr}(\mathcal{I}))$ be the set of maximal ideals in $\text{gr}(\mathcal{D})$ containing $\text{gr}(\mathcal{I})$. Define $\mathcal{V}(\mathcal{I}) = \mathcal{V}(\text{gr}(\mathcal{I}))$. $\mathcal{V}(\mathcal{I})$ is called the associated variety of $\mathcal{I}$. 


Now suppose that $\mathcal{C}$ is a subalgebra of $\mathcal{D}$ with identity. $\mathcal{C}$ inherits a filtration from $\mathcal{D}$. Thus we have an injection $j : \text{gr}(\mathcal{C}) \to \text{gr}(\mathcal{D})$. Automatically, $\text{gr}(\mathcal{C})$ becomes an affine, commutative algebra. The associated map on the spaces of spectrum is $j^* : \text{spec}(\mathcal{D}) \to \text{spec}(\mathcal{C})$. If $\mathcal{M} \in \text{spec}(\mathcal{D})$, then $j^*(\mathcal{M}) = \mathcal{M} \cap \text{gr}(\mathcal{C})$, which is again a maximal ideal in $\text{gr}(\mathcal{C})$. Let $\mathcal{J}$ be a left ideal of $\mathcal{D}$. Let $\mathcal{J} = \mathcal{J} \cap \mathcal{C}$. We would like to study the relationship between $\mathcal{V}(\mathcal{J})$ and $\mathcal{V}(\mathcal{J})$.

Strictly speaking, we should have written $\mathcal{V}_{\mathcal{D}}(\mathcal{J})$ and $\mathcal{V}_{\mathcal{C}}(\mathcal{J})$ instead of $\mathcal{V}(\mathcal{J})$ and $\mathcal{V}(\mathcal{J})$ to indicate the difference of the ambient space. However, within the context, it is clear that $\mathcal{J}$ is an ideal of $\mathcal{C}$ and $\mathcal{J}$ is an ideal of $\mathcal{D}$. And we will only be discussing the associated variety of an ideal. So it is clear that $\mathcal{V}(\mathcal{J})$ is a subvariety of $\text{spec}(\mathcal{D})$ and $\mathcal{V}(\mathcal{J})$ is a subvariety of $\text{spec}(\mathcal{C})$.

**Lemma 1.1.** Let $\mathcal{D}$ be a filtered algebra with the properties specified at the beginning of this section. Let $\mathcal{C}$ be a subalgebra of $\mathcal{D}$. Let $\mathcal{J}$ be an ideal in $\mathcal{D}$ and $\mathcal{J} = \mathcal{C} \cap \mathcal{J}$. Then $\mathcal{J}$ is a left ideal of $\mathcal{C}$. In addition, $j^*(\mathcal{V}(\mathcal{J})) \subseteq \mathcal{V}(\mathcal{J})$.

**Proof.** Obviously, $\mathcal{J}$ is a left ideal of $\mathcal{C}$. By definition, $\text{gr}(\mathcal{J})$ is a direct sum of homogeneous elements. Suppose $f \in \text{gr}(\mathcal{J})$ is homogeneous of degree $k$. Then there exists $U \in \mathcal{J} \subseteq \mathcal{J}$ such that $\sigma_k(U) = f$. This implies that $j(f) \in \text{gr}(\mathcal{J})$. Therefore $j(\text{gr}(\mathcal{J})) \subseteq \text{gr}(\mathcal{J})$. So $j^*(\mathcal{V}(\mathcal{J})) \subseteq \mathcal{V}(\mathcal{J})$. \qed

**Corollary 1.1.** Let $\mathcal{D}$ be a filtered algebra with the properties specified at the beginning of this section. Let $\mathcal{M}$ be a $\mathcal{D}$-module and $N$ a linear subspace of $M$. Let $\mathcal{C}$ be a subalgebra of $\mathcal{D}$. Let $\text{Ann}_{\mathcal{D}}(N)$ and $\text{Ann}_{\mathcal{C}}(N)$ be the annihilators of $N$ in $\mathcal{D}$ and $\mathcal{C}$, respectively. Then $\text{Ann}_{\mathcal{D}}(N)$ and $\text{Ann}_{\mathcal{C}}(N)$ are left ideals of $\mathcal{D}$ and $\mathcal{C}$, respectively. In addition, $j^*(\mathcal{V}(\text{Ann}_{\mathcal{D}}(N))) \subseteq \mathcal{V}(\text{Ann}_{\mathcal{C}}(N))$.

Now let $\mathcal{D} = U(\mathfrak{g})$ be the universal enveloping algebra of $\mathfrak{g}$ with complex coefficients. Since $U(\mathfrak{g})$ has a natural filtration

$$C.1 \subseteq U_1(\mathfrak{g}) \subseteq U_2(\mathfrak{g}) \subseteq \cdots \subseteq U_i(\mathfrak{g}) \subseteq \cdots,$$

the associated graded algebra $\text{gr}(U(\mathfrak{g}))$ can be identified with the symmetric algebra $S(\mathfrak{g})$. Thus $\text{spec}(U(\mathfrak{g})) = \mathfrak{g}_C^*$. Here $\mathfrak{g}_C^*$ is the complex dual of $\mathfrak{g}$. Let $\mathfrak{h}$ be a subalgebra of $\mathfrak{g}$. Then $j^*$ is simply the projection of $\mathfrak{g}_C^*$ onto $\mathfrak{h}_C^*$ (through restriction). In this setting, we have this:

**Corollary 1.2.** Let $\mathfrak{h}$ be a Lie subalgebra of a Lie algebra $\mathfrak{g}$. Let $M$ be a $\mathfrak{g}$-module. Let $N$ be a linear subspace of $M$. Then $j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(N))) \subseteq \mathcal{V}(\text{Ann}_{U(\mathfrak{h})}(N))$.

We are interested in equalities of the type

$$\text{cl}(j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(N)))) = \mathcal{V}(\text{Ann}_{U(\mathfrak{h})}(N)).$$

At this stage, we only have a very limited understanding about the behavior of $j^*$ for associated varieties. Nevertheless, we have the following theorem.
Theorem 1.1. Suppose $a$ is a semisimple element in an arbitrary Lie algebra $\mathfrak{g}$ such that $\text{ad}(a)$ has only real eigenvalues. Let $r$ be the maximal eigenvalue. Suppose $r > 0$. Let $\mathfrak{h} = \mathfrak{g}_r$. Then $\mathfrak{h}$ is abelian. Let $M$ be a $\mathfrak{g}$-module, and let $N$ be a subspace of $M$ such that $a.N \subseteq N$. Then

$$\mathcal{V}(\text{Ann}_{U(\mathfrak{h})}(N)) = \text{cl}(j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(N)))),$$

where $\text{cl}(j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(N))))$ is the Zariski closure of $j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(N)))$.

Proof. First of all, under the eigendecomposition with respect to $\text{ad}(a)$, we have

$$[\mathfrak{g}_r, \mathfrak{g}_r] = \mathfrak{g}_{2r} = \{0\}.$$

Therefore $\mathfrak{h} = \mathfrak{g}_r$ is abelian. Now it suffices to show that

$$\mathcal{V}(\text{Ann}_{U(\mathfrak{h})}(N)) \subseteq \text{cl}(j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(N)))).$$

Suppose that $f \in S^l(\mathfrak{h})$ vanishes on $\text{cl}(j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(N))))$. In other words, $j(f) = f$ vanishes on $\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(N))$. Here $f$ is regarded as a linear function on $\mathfrak{g}^*_r$. Thus by Hilbert’s Nullstellensatz, there exists an $n \in \mathbb{N}$ such that $f^n \in \text{gr}(\text{Ann}_{U(\mathfrak{g})}(N))$. Therefore, there exists a $P \in U_{ni}(\mathfrak{g}) \cap \text{Ann}_{U(\mathfrak{g})}(N)$ such that $\sigma_{ni}(P) = f^n$. Because $\text{ad}(a)$ is semisimple, $U(\mathfrak{g})$ is completely reducible as an $\text{ad}(a)$-module. Also notice that $N$ is an $a$-module. Thus $\text{Ann}_{U(\mathfrak{g})}(N)$ is also an $\text{ad}(a)$-module. Now $U_{ni}(\mathfrak{g}) \cap \text{Ann}_{U(\mathfrak{g})}(N)$ possesses an eigen (weight) decomposition with respect to $\text{ad}(a)$:

$$U_{ni}(\mathfrak{g}) \cap \text{Ann}_{U(\mathfrak{g})}(N) = \bigoplus_{k \in \mathbb{R}} (U_{ni}(\mathfrak{g}) \cap \text{Ann}_{U(\mathfrak{g})}(N))_k.$$

This implies that every eigencomponent of $P$ with respect to $\text{ad}(a)$ is again in $\text{Ann}_{U(\mathfrak{g})}(N)$.

Since $\mathfrak{h}$ is abelian, $S^{ni}(\mathfrak{h})$ can be regarded as a subspace of $U_{ni}(\mathfrak{h})$, which in turn is a subspace of $U_{ni}(\mathfrak{g})$. In addition, $S^{ni}(\mathfrak{h})$ is the highest eigenspace of $\text{ad}(a)|_{U_{ni}(\mathfrak{g})}$. Let $P_0$ be the eigenprojection of $P \in U_{ni}(\mathfrak{g})$ onto $S^{ni}(\mathfrak{h})$. Clearly, $P_0 \in \text{Ann}_{U(\mathfrak{g})}(N)$. Since the action of $\text{ad}(a)$ intertwines the symbol map $\sigma_{ni} : U_{ni}(\mathfrak{g}) \to S^{ni}(\mathfrak{g})$, by comparing the eigendecompositions for $P$ and $\sigma_{ni}(P) = f^n$, we get $\sigma_{ni}(P_0) = f^n$. Now $P_0 \in \text{Ann}_{U(\mathfrak{h})}(N)$, and $\sigma_{ni}(P_0) = f^n \in \text{gr}(\text{Ann}_{U(\mathfrak{h})}(N))$. This implies that $f$ vanishes at $\mathcal{V}(\text{Ann}_{U(\mathfrak{h})}(N))$. So

$$\mathcal{V}(\text{Ann}_{U(\mathfrak{h})}(N)) \subseteq \text{cl}(j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(N)))).$$

By Corollary 1.2, $\mathcal{V}(\text{Ann}_{U(\mathfrak{h})}(N)) = \text{cl}(j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(N))))$. \qed

When $r = 0$, the algebra $\mathfrak{h}$ will no longer be abelian. We can define $P_0$ to be the eigenprojection of $U_{ni}(\mathfrak{g})$ onto the highest eigenspace $U_{ni}(\mathfrak{h})$ with respect to $\text{ad}(a)$. It is still true that $\sigma_{ni}(P_0) = f^n$ and $P_0 \in \text{Ann}_{U(\mathfrak{h})}(N)$. We obtain the following.
Theorem 1.2. Suppose \( a \) is a semisimple element in an arbitrary Lie algebra \( \mathfrak{g} \) such that \( \text{ad}(a) \) has only real eigenvalues. Suppose that 0 is the highest eigenvalue of \( \text{ad}(a) \). Let \( \mathfrak{h} \) be the 0-eigenspace of \( \text{ad}(a) \). Let \( M \) be a \( \mathfrak{g} \)-module, and let \( N \) be a subspace of \( M \) such that \( a.N \subseteq N \). Then
\[
\mathcal{V}(\text{Ann}_{\mathfrak{u}(\mathfrak{g})}(N)) = \text{cl}(j^*(\mathcal{V}(\text{Ann}_{\mathfrak{u}(\mathfrak{g})}(N)))
\]
where \( \text{cl}(j^*(\mathcal{V}(\text{Ann}_{\mathfrak{u}(\mathfrak{g})}(N))) \) is the Zariski closure of \( j^*(\mathcal{V}(\text{Ann}_{\mathfrak{u}(\mathfrak{g})}(N))) \).

Before we continue, we want to examine the definition of the annihilator of a unitary representation for an arbitrary Lie group \( G \).

Theorem 1.3. Let \( (\pi, H) \) be a unitary representation of a Lie group \( G \). Let \( M \) be any dense subset of the space of smooth vectors \( H^\infty \). Then
\[
\text{Ann}_{\mathfrak{u}(\mathfrak{g})}(H^\infty) \subseteq \text{Ann}_{\mathfrak{u}(\mathfrak{g})}(M).
\]

Proof. If \( D \in \mathfrak{u}(\mathfrak{g}) \) and \( \pi(D)H^\infty = 0 \), then \( \pi(D)M = 0 \). Thus
\[
\text{Ann}_{\mathfrak{u}(\mathfrak{g})}(M) \supseteq \text{Ann}_{\mathfrak{u}(\mathfrak{g})}(H^\infty).
\]

If \( D \in \text{Ann}_{\mathfrak{u}(\mathfrak{g})}(M) \), then \( \pi(D)u, v = 0 \) for all \( u \in M \) and \( v \in H^\infty \). Since \( \mathfrak{g} \) acts by skew-adjoint operators, that is, \( \pi(X)^* = \pi(-X) \) for all \( X \in \mathfrak{g} \), we have
\[
\pi(D)u, v = (u, \pi(D)^*v) = 0 \quad \text{for } u \in M, v \in H^\infty.
\]
Here \( D \rightarrow D^* \) is the natural real involution defined by
\[
\alpha X_1 X_2 \ldots X_n \rightarrow (-1)^n \alpha X_n X_{n-1} \ldots X_2 X_1 \quad \text{for } X_i \in \mathfrak{g}.
\]
Since \( M \) is dense in \( H^\infty \), \( M \) is dense in \( H \). Hence \( \pi(D^*)v = 0 \) for every \( v \in H^\infty \).

We have \( \pi(D)u, v = (u, \pi(D^*)v) = 0 \) for all \( u, v \in H^\infty \). Thus \( \pi(D)u = 0 \) for every \( u \in H^\infty \), and \( D \in \text{Ann}_{\mathfrak{u}(\mathfrak{g})}(H^\infty) \). This implies \( \text{Ann}_{\mathfrak{u}(\mathfrak{g})}(M) \subseteq \text{Ann}_{\mathfrak{u}(\mathfrak{g})}(H^\infty) \).

Definition 1.2. Let \( (\pi, \mathcal{H}) \) be a unitary representation of \( G \). Let \( M \) be any dense subset of \( \mathcal{H}^\infty \). Define \( \text{Ann}_{\mathfrak{u}(\mathfrak{g})}(\pi) = \text{Ann}_{\mathfrak{u}(\mathfrak{g})}(M) \). Let \( N \) be a connected closed subgroup of \( G \). Define \( \text{Ann}_{\mathfrak{u}(\mathfrak{n})}(\pi) = \text{Ann}_{\mathfrak{u}(\mathfrak{n})}(M) \). We call \( \mathcal{V}(\text{Ann}_{\mathfrak{u}(\mathfrak{n})}(\pi)) \subseteq \mathfrak{n}_c^* \) the \( \mathcal{N} \)-associated variety of \( \pi \).

Let \( N_G(N) \) be the normalizer of \( N \) in \( G \). One can easily see that the \( \mathcal{N} \)-associated variety is \( N_G(N) \)-stable.

2. Associated variety and support of a unitary representation: abelian case

In this section, we review the basic theory of unitary representations of abelian groups and abelian Lie groups. When \( G \) is an abelian Lie group, the Lie algebra \( \mathfrak{g} \) acts by mutually commuting (unbounded) skew-self adjoint operators. Both the Lie group action and Lie algebra action can be represented by spectral integrals. This
allows us to relate the associated variety and the support of a unitary representation \( \pi \) of \( G \).

**Theorem 2.1.** Suppose that \((\pi, H)\) is a unitary representation of a connected abelian Lie group \( G \). If we identify \( \hat{G} \) with a subset of \( i \mathfrak{g}^* \), then

\[
\forall (\text{Ann}_{U(g)}(\pi)) = \text{cl}(\text{supp}_G(\pi)).
\]

Let \( G \) be a locally compact abelian group. Let \( \hat{G} \) be the set of unitary characters of \( G \) endowed with the Pontryagin topology. Then \( \hat{G} \) is a locally compact abelian group under pointwise multiplication.

**Theorem 2.2** (Stone). If \( H \) is a Hilbert space and \( \mu \) is a regular projection-valued Borel measure on \( \hat{G} \), then the equation

\[
T_g = \int_{\hat{G}} \xi(g) \, d\mu(\xi) \quad \text{for } g \in G
\]

defines a unitary representation \( T \) of \( G \) on \( H \). Conversely, every unitary representation of \( G \) determines a unique regular projection-valued Borel measure \( \mu \) on \( H \) such that Equation (1) holds.

We define the support of a unitary representation \( H \) of \( G \) to be the (closed) support of the projection-valued measure \( \mu \). In other words, \( \text{supp}_G(\pi) \) is the complement of the biggest open subset \( U \) of \( \hat{G} \) such that \( \mu(U) = 0 \). Equivalently, \( \text{supp}_G(\pi) \) is the smallest closed subset \( K \) of \( \hat{G} \) such that \( \mu(K) = 1 \). Of course if we remove the closedness of \( \text{supp}_G(\pi) \), \( \text{supp}_G(\pi) \) is unique only up to a set of measure zero.

For an arbitrary Borel measurable set \( K \subseteq \hat{G} \), let

\[
\mu_v(K) = \mu(K \cdot v) \quad \text{and} \quad \mu_{u,v}(K) = (\mu(K)u, v).
\]

Then \( \mu_v \) defines a vector-valued regular Borel measure, and \( \mu_{u,v} \) defines a complex regular Borel measure.

Suppose \( G \) is a connected abelian Lie group and \( \mathfrak{g} \) is the (real) Lie algebra of \( G \). Let \( \mathfrak{g}^* \) be the real dual of \( \mathfrak{g} \). Each \( \xi \in \hat{G} \) corresponds to a smooth function \( \xi(g) \) on \( G \). We can define

\[
\xi(x) = \left. \frac{d}{dt} \right|_{t=0} \xi(\exp(tx)) \quad \text{for } x \in \mathfrak{g}.
\]

This defines a map from \( \hat{G} \) to \( \mathfrak{g}_C^* \). Because \( \xi(\exp(tx))\xi(\exp(tx)) = 1 \), we have \( \xi(x) + \xi(x) = 0 \). So \( \xi(x) \in i\mathbb{R} \). We denote the pure imaginary dual by \( i\mathfrak{g}^* \). Then we have defined a map from \( \hat{G} \) to \( i\mathfrak{g}^* \). Now, we want to study the Lie algebra action \( \pi \) of \( \mathfrak{g} \). This involves integral of unbounded functions. We recall the following definition of the spectral integral.
Definition 2.1. Let \((\mu, X)\) be a projection-valued spectral measure on a Hilbert space. Let \(f : X \rightarrow \mathbb{C}\) be a \(\mu\)-measurable function. Then we may find a sequence \(\{A_n\}\) of pairwise disjoint measurable sets such that

- \(\bigcup_{1}^{\infty} A_n = X\);
- \(f\) is \(\mu\)-essentially bounded on each \(A_n\).

Let \(P_n = \mu(A_n)\), \(H_n = \text{range}(P_n)\), and \(T_n = \int_{A_n} f \, d\mu\). Then there exists a unique normal operator \(T = \sum T_n\) on \(\hat{\oplus} H_n\). This \(T\) is often written as \(\int f \, d\mu\) and called the spectral integral of \(f\).

In the framework of the spectral integral, the action of the abelian Lie group \(G\) is presented in Stone’s theorem as an integral of bounded functions. We will first find a presentation of the Lie algebra action in terms of the spectral integral. Let us recall two theorems from [Fell and Doran 1988, page 118].

Theorem 2.3. If \(f : \hat{G} \rightarrow \mathbb{C}\) is a \(\mu\)-measurable function. Let

\[ T_f = \int_{\hat{G}} f \, d\mu. \]

Then \(v \in \text{Dom}(T)\) if and only if \(\int |f(\xi)|^2 \, d\mu_{v,v}(\xi) < \infty\). In this case, for \(u, v \in H\),

\[ \|T_f v\|^2 = \int |f(\xi)|^2 \, d\mu_{v,v}(\xi) \quad \text{and} \quad (T_f v, u) = \int f(\xi) \, d\mu_{v,u}(\xi). \]

Theorem 2.4. Let \(f_1, f_2\) be \(\mu\)-measurable functions on \(\hat{G}\). Then in terms of the graphs of linear operators,

\[ \left( \int f_1 \, d\mu \right) \left( \int f_2 \, d\mu \right) \subset \int f_1 f_2 \, d\mu \quad \text{and} \quad \left( \int f_1 \, d\mu \right)^* = \int f_1 \, d\mu. \]

Proposition 2.1. Let \((\pi, H)\) be a unitary representation of a connected abelian Lie group \(G\). Let \(\mu\) be the projection-valued regular Borel measure from Stone’s theorem. We denote the Lie algebra \(\mathfrak{g}\) actions by \(\pi\). Then

\[ \int_{\hat{G}} \xi(X) \, d\mu(\xi) \subset \pi(X) \quad \text{for} \ X \in \mathfrak{g}. \]

Here \(\xi \in \hat{G} \cong i\mathfrak{g}^*\).

Proof. Let \(T_X = \int_{\hat{G}} \xi(X) \, d\mu(\xi)\). Suppose \(u \in \text{Dom}(T_X)\). It suffices to show that \((T_X u, v) = -(u, \pi(X) v)\) for all \(v \in \text{Dom}(\pi(X))\). In other words,

\[ -(u, \pi(X) v) = \int_{\hat{G}} \xi(X) \, d\mu_{u,v}(\xi). \]
Notice that
\[-(u, \pi(X)v) = -(u \left| \frac{d}{dt} \right|_{t=0} \pi(\exp(t)X)v) = \frac{d}{dt} \bigg|_{t=0} (\pi(\exp(t)u, v)) = \frac{d}{dt} \bigg|_{t=0} \int_{\hat{G}} \xi(\exp(tX))d\mu_{u,v}(\xi).\]

We would like to interchange the integration and differentiation, obtaining
\[
-(u, \pi(X)v) = \int \frac{d}{dt} \bigg|_{t=0} \xi(\exp(tX))d\mu_{u,v}(\xi) = \int \xi(X)d\mu_{u,v}(\xi).
\]

To show that the integration is interchangeable with the differentiation, first we observe that
\[
\left| \frac{d}{dt} \xi(\exp(tX)) \right| = \left| \frac{d}{dt} \exp(t\xi(X)) \right| \leq |\xi(X)| \quad \text{for } \xi \in \hat{G}.
\]

For a complex measure $\lambda$ on $\hat{G}$, let $|\lambda|(U)$ be the supremum of $\{\sum_{j=1}^{m} |\lambda(E_j)|\}$, where $\{E_j\}_{m}^{\infty}$ is any measurable partition of $U$. Since
\[
|\mu(U)u, v|^2 = |\mu(U)u, \mu(U)v|^2 \leq \|\mu(U)u\|^2\|\mu(U)v\|^2,
\]
we have
\[
|\mu_{u,v}(U)|^2 \leq |\mu_{u,u}(U)|\mu_{u,v}(U) = \mu_{u,u}(U)\mu_{u,v}(U).
\]
Therefore
\[
\left( \int |\xi(X)|d|\mu_{u,v}|(\xi) \right)^2 \leq \left( \int |\xi(X)|^2d\mu_{u,u}(\xi) \right) \left( \int d\mu_{u,v}(\xi) \right) = \left( \int |\xi(X)|^2d\mu_{u,u}(\xi) \right)\|v\|^2.
\]

From Theorem 2.3, $u \in \text{Dom}(T_X)$ implies that $\int |\xi(X)|^2d\mu_{u,u}(\xi) < \infty$. Hence $\xi(X)$ as a function on $\hat{G}$ is absolutely integrable with respect to $\mu_{u,v}$. However, $\frac{d}{dt}\xi(\exp(tX))$ is dominated by $|\xi(X)|$. Thus integration and differentiation in Equation (2) are interchangeable. We obtain $(T_Xu, v) = -(u, \pi(X)v)$ for all $v \in \text{Dom}(\pi(X))$. So $T_Xu$ is a bounded linear functional on $\text{Dom}(\pi(X))$ and $T_Xu = -\pi(X^*)u$. Since $X$ is skew self-adjoint, $T_Xu = \pi(X)u$ and $u \in \text{Dom}(\pi(X))$. \qed

Now for $X_1, X_2, \ldots, X_n \in g$, we define
\[
T_{X_1X_2\cdots X_n} = \int_{\hat{G}} \xi(X_1)\xi(X_2)\cdots\xi(X_n)d\mu(\xi).
\]

We can extend this definition by linearity to all $D \in U(g)$. One can easily obtain the following corollary concerning the universal enveloping algebra $U(g)$.
Corollary 2.1. Let $\pi, H$ be a unitary representation of a connected abelian Lie group $G$, and let $\mu$ be its projection-valued regular Borel measure. Suppose $X_1, X_2, \ldots, X_n \in \mathfrak{g}$. Then

$$T_{X_1}T_{X_2}\cdots T_{X_n} \subset \pi(X_1X_2\cdots X_n) \quad \text{and} \quad T_{X_1X_2\cdots X_n} \supset T_{X_1}T_{X_2}\cdots T_{X_n}.$$ 

Since $U(\mathfrak{g})$ is commutative, we may identify it with $S(\mathfrak{g})$. Thus $\xi(D)$ is well defined for every $\xi \in \mathfrak{g}^*$ and $D \in U(\mathfrak{g})$. We will also denote $\xi(D)$ by $\hat{D}(\xi)$, in order to indicate that $D$ can be regarded as a function on $\mathfrak{g}^*$.

Corollary 2.2. If $u \in \text{Dom}(T_D)$ for every $D \in U(\mathfrak{g})$, then $u$ is smooth, and also $\pi(D)u = T_Du$.

Proof. Suppose $u \in \text{Dom}(T_D)$ for every $D \in U(\mathfrak{g})$. Then $u \in \text{Dom}(\pi(D))$ by Corollary 2.1. So $u$ is smooth, and $\pi(D)u = T_Du$. □

By Theorem 1.3, we may define $\text{Ann}_{U(\mathfrak{g})}(\pi)$ to be the annihilator of any smooth dense subset $M$ of $H$. In our context, for $G$ an abelian Lie group, we choose

$$M = \left\{ \int_{\hat{G}} f(\xi) d\mu_u(\xi) \mid f \in B_c(\hat{G}), \ u \in H \right\},$$

where $B_c(\hat{G})$ is the space of bounded measurable functions with compact support. $M$ here has some property similar to the Gårding space.

Theorem 2.5. Let $\pi, H$ be a unitary representation of a connected abelian Lie group $G$, and let $\mu$ be the projection-valued regular Borel measure on $\hat{G}$. Then $M$ is dense in $H$, and $M \subseteq H^\infty$. Suppose $D \in U(\mathfrak{g}) = S(\mathfrak{g})$ such that $D(\xi) = 0$ for all $\xi \in \text{supp}_G(\pi)$. Then $D \in \text{Ann}_{U(\mathfrak{g})}(\pi)$.

Proof. We will show that $M \subseteq \text{Dom}(T_D)$ for every $D \in U(\mathfrak{g})$. For all $f \in B_c(\hat{G})$, $u \in H$, and $D \in S(\mathfrak{g})$, let $v = (\int f(\xi) d\mu_u(\xi))u$. Then for every measurable $U \subseteq \hat{G}$,

$$\mu_{v,v}(U) = \left( \int_U d\mu_u(\xi) v, v \right) = \int_U |f(\xi)|^2 d\mu_{u,u}(\xi).$$

This implies that $d\mu_{v,v}(\xi)v = |f(\xi)|^2 d\mu_{u,u}(\xi)$. Notice that

$$\int |D(\xi)|^2 d\mu_{v,v}(\xi) = \int |D(\xi)f(\xi)|^2 d\mu_{u,u}(\xi)$$

converges since $f$ is compactly supported. Thus by Theorem 2.3,

$$\left( \int f(\xi) d\mu_u(\xi) \right)u \in \text{Dom}(T_D) \quad \text{for all } D \in U(\mathfrak{g}).$$

Therefore $\int f(\xi) d\mu_u(\xi) \in H^\infty$. We have $M \subseteq H^\infty$. Approximate the constant function $1_{\hat{G}}$ by bounded functions $\{f_i\}_1^\infty$ with compact support. Since the measure $\mu$ is regular, $u \in H$ can be approximated by $\int f_i(\xi) d\mu_u(\xi)$. Therefore $M$ is
dense in $H$. Now suppose $D(\xi) = 0$ for all $\xi \in \text{supp}_G(\pi)$. Then for all $f \in B_c(\hat{G})$, we have

$$\pi(D)\left(\int f(\xi) d\mu(\xi)\right) u = T_D\left(\int f(\xi) d\mu(\xi)\right) u = \left(\int D(\xi) f(\xi) d\mu(\xi)\right) u.$$ 

Notice that the integral above is over $\text{supp}_G(\pi)$. It must vanish. Hence $D$ belongs to $\text{Ann}_U(g)(\pi)$. □

**Theorem 2.6.** Under the assumptions of Theorem 2.5, if $D \in \text{Ann}_U(g)(\pi)$, then $D(\text{supp}_G(\pi)) = 0$.

**Proof.** Let $D \in \text{Ann}_U(g)(\pi)$.

First, we want to show that $\mu(\text{zero}(D) \cap \text{supp}_G(\pi)) = \text{id}$. Suppose the contrary. Then there exist a complex number $a \neq 0$ and a compact $K \subset \text{supp}_G(\pi)$ with $\mu(K) \neq 0$ such that $|D(\xi) - a| < \frac{1}{2}|a|$ for all $\xi \in K$. It follows that

$$\left\| \int_K D(\xi) d\mu(\xi) - a\mu(K) \right\| = \left\| \int_K (D(\xi) - a) d\mu(\xi) \right\| \leq \left\| \int_K |D(\xi) - a| d\mu(\xi) \right\| \leq \frac{1}{2} |a| \mu(K).$$

Thus $\int_K D(\xi) d\mu(\xi) \neq 0$. On the other hand, Theorem 2.5 gives

$$\left(\int_K d\mu(\xi)\right) v \in M \subseteq \bigcap_{D \in U(g)} \text{Dom}(T_D),$$

for every $v \in H$. Then

$$0 = \pi(D)\left(\int_K d\mu(\xi)\right) v = T_D\left(\int_K d\mu(\xi)\right) v = \left(\int_K D(\xi) d\mu(\xi)\right) v.$$ 

This is a contradiction.

Therefore, we have $\mu(\text{zero}(D) \cap \text{supp}_G(\pi)) = \text{id}$. Notice that for a connected abelian Lie group $G$, the Gelfand topology is just the induced Euclidean topology. Thus $\text{zero}(D) = \{\xi \in \hat{G} \mid D(\xi) = 0\}$ is closed in the Euclidean topology (not necessarily in the Zariski topology). Therefore $\text{zero}(D) \cap \text{supp}_G(\pi)$ is closed. According to the minimality of $\text{supp}_G(\pi)$, we have $\text{zero}(D) \cap \text{supp}_G(\pi) = \text{supp}_G(\pi)$. Thus $\text{zero}(D) \supseteq \text{supp}_G(\pi)$. Hence $D(\text{supp}_G(\pi)) = 0$. □

What we have shown is that for $D \in U(g)$, $D(\text{supp}_G(\pi)) = 0$ if and only if $D \in \text{Ann}_U(g)(\pi)$. But $D \in \text{Ann}_U(g)(\pi)$ if and only if $D(\text{supp}(\text{Ann}_U(g)(\pi))) = 0$. Thus we have proved Theorem 2.1.

□
3. Structure theory of the parabolic subgroups of classical groups of type I

In this section, we summarize some known results about the structure of parabolic subgroups of a classical group of type I. We also sketch some proofs when they are needed. Notations are mainly adopted from [Li 1989].

Definition 3.1. A type I classical group $G(V)$ consists of the following data.

- A division algebra $D$ of a field $F$ with involution $\sharp$ satisfying $a^\sharp b^\sharp = (ba)^\sharp$;
- A (right) vector space $V$ over $D$, with a nondegenerate ($D$-valued) sesquilinear form $(\cdot, \cdot)_\epsilon$ for $\epsilon = \pm 1$, that is,
  
  $$(u, v) = \epsilon(v, u)^\sharp \quad \text{for } u, v \in V,$$

  $$(u\lambda, v) = (u, v)\lambda \quad \text{for } u, v \in V \text{ and } \lambda \in D;$$

- An isometry $G$ group of $(\cdot, \cdot)$, that is,
  
  $$g.(u\lambda) = (g.u)\lambda \quad \text{for } \lambda \in D, \ u \in V, \ g \in G,$$

  $$(gu, gv) = (u, v) \quad \text{for } u, v \in V.$$

Here we allow $\sharp$ to be trivial. We call the identity component of $G$ a connected classical group of type I. For $F = \mathbb{C}$ and $\sharp$ trivial, we obtain all the complex simple groups of type I, namely, $\text{Sp}_{2n}(\mathbb{C})$ and $\text{O}(n, \mathbb{C})$. If $D = \mathbb{H}$, $F = \mathbb{R}$, and $\sharp$ is the usual involution, we obtain $\text{Sp}(p, q)$ or $\text{O}^+(2n)$, depending on the sesquilinear form. For $F = \mathbb{R}$, $D = \mathbb{C}$, and $\sharp$ the usual conjugation, we obtain $U(p, q)$ depending on the signature of the Hermitian form. For $F = \mathbb{R}$ and $D = \mathbb{R}$ with trivial involution, we obtain $\text{Sp}_{2n}(\mathbb{R})$ and $\text{O}_{p,q}(\mathbb{R})$. If $(V, (\cdot, \cdot))$ is implicitly understood, we write $G$ or $G(n)$ if $V \cong D^n$. Let $V_0$ be a linear subspace of $V$. We write $V_0^\perp$ for the subspace of $V$ that is orthogonal to $V_0$ under $(\cdot, \cdot)$. If $(\cdot, \cdot)$ is nondegenerate on $V_0$, we let $G(V_0)$ denote the subgroup of $G$ consisting of all elements that fix all $v \in V_0^\perp$.

Definition 3.2. A flag $\mathcal{F}$ of $V = D^n$ is a sequence of strictly increasing ($D$-)linear subspaces of $V$,

$$0 = V_0 \subsetneq V_1 \subsetneq V_2 \subsetneq \cdots \subsetneq V_k \subsetneq V,$$

such that $V_i^\perp = V_{k+1-i}$. Suppose $\dim(V_i) = d_i$. Then $\mathcal{F}$ is said to be a flag of type

$$\mathcal{F} = (0 < d_1 < d_2 < \cdots < d_k < n) \quad \text{for } d_i \in \mathbb{N}.$$

We denote the space of flags of type $\mathcal{F}$ by $\mathcal{P}_\mathcal{F}$. We fix once and for all a maximal set of linearly independent vectors

$$\{e_1, e_2, \ldots, e_r, e^*_1, e^*_2, \ldots, e^*_r\} \quad \text{for } e_i, e^*_i \in V$$

such that $(e_i, e_j) = 0 = (e^*_i, e^*_j)$ and $(e_i, e^*_j) = \delta_{ij}$, where $r$ is the real rank of $G$. For each integer $1 \leq k \leq r$, we let $X_k$ be the linear span of $\{e_1, \ldots, e_k\}$ and let $X^*_k$
The isotropic group $P = \{e^*_1, \ldots, e^*_k\}$. We set $W_k = X_k \oplus X_k^*$. We define a map $\tau \in G$ as follows

$$
\tau(e_i) = e_i^*, \quad \tau(e_i^*) = e_i \quad \text{for} \ i \in [1, r], \quad \tau|_{W_k^\perp} = \text{id}.
$$

Let $\mathcal{F}_0 = \{0 < 1 < 2 < \cdots < r \leq n - r < n - r + 1 < \cdots < n - 1 < n\}$. We fix a flag

$$
\mathcal{F}_0 = \{0 \subset X_1 \subset \cdots \subset X_r \subset X_r^\perp \subset \cdots \subset X_1^\perp \subset V\}.
$$

For any $\lambda = (\lambda_1, \ldots, \lambda_r) \in (\mathbb{R}^+)^r$, we define a linear isomorphism $A(\lambda) \in GL_D(V)$ through

$$
A(\lambda)e_i = \lambda_i e_i \quad \text{and} \quad A(\lambda)e_i^* = \lambda_i^{-1} e_i^* \quad \text{for} \ i \in [1, r],
$$

$$
A(\lambda)u = u \quad \text{for} \ u \in W_r^\perp.
$$

It is easy to check that $A(\lambda) \in G(V)$. Let $A$ be the group consisting of all $A(\lambda)$. Then $A$ is a maximal split abelian subgroup of $G(V)$.

For $h = (h_1, \ldots, h_r) \in \mathbb{R}^r$, we define $a(h) \in \text{End}_D(V)$ such that

$$
a(h)e_i = h_i e_i \quad \text{and} \quad a(h)e_i^* = -h_i e_i^* \quad \text{for} \ i \in [1, r],
$$

$$
a(h)u = u \quad \text{for} \ u \in W_r^\perp.
$$

It is easy to see that the Lie algebra $a$ of $A$ consists of all $a(h)$. Let $\Delta(g, a)$ be the restricted root system. For $\alpha \in \Delta(g, a)$, let $g_\alpha$ be the root space. Then we have $\tau(g_\alpha) = g_{-\alpha}$ for $\alpha \in \Delta(g, a)$.

**Lemma 3.1.** The isotropic group $P_0 = G_{\mathcal{F}_0}$ is a minimal parabolic subgroup of $G$. Its Levi factor

$$
MA = P_0 \cap \tau(P_0)
$$

$$
= \{g \in G(V) \mid g.X_i = X_i, \ g.X_i^* = X_i^*, \ g.W_r^\perp = W_r^\perp\}
$$

$$
= \{g \in G(V) \mid g.(e_i D) = e_i D, \ g.(e_i^* D) = e_i^* D, \ g.W_r^\perp = W_r^\perp\}.
$$

Similarly, we can define a flag $\mathcal{F}_\mathcal{J}$ of type $\mathcal{J} = \{0 < i_1 < i_2 < \cdots < i_l < n\}$ by

$$
V_j = \begin{cases} 
X_{i_j} & \text{if} \ j \leq (l + 1)/2, \\
X_{i_{l+1-j}}^\perp & \text{if} \ j \geq (l + 1)/2.
\end{cases}
$$

**Lemma 3.2.** $\{P_\mathcal{J} = G_{\mathcal{F}_\mathcal{J}} \mid \mathcal{J}\}$ is the set of all parabolic subgroups containing $P_0$. If $G \neq \text{O}_{1,1}$ or $\text{O}(2, \mathbb{C})$ (in these two cases, no proper parabolic subgroup exists), then the maximal parabolic subgroups correspond to $\mathcal{J} = \{0 < k \leq n - k < n\}$.

**Proof.** Obviously $P_\mathcal{J} \supseteq P_0$. Now we observe that if $G$ is not equal to $\text{O}(1, 1)$ or $\text{O}(2, \mathbb{C})$, then $P_\mathcal{J}$ and $P_\mathcal{J}'$ are different if $\mathcal{J} \neq \mathcal{J}'$. The cardinality of $\mathcal{J}$ is $2^l$. But the cardinality of the parabolic groups containing $P_0$ is also $2^l$. Thus $P_\mathcal{J}$ exhausts all the parabolic subgroups containing $P_0$. 
Observe that $P_{j} \supseteq P_{j'}$ if and only if $j'$ is a refinement of $j$. Therefore the maximal parabolic subgroups correspond to $j = [0 < k \leq n - k < n]$. □

We denote the maximal parabolic subgroup $P_{[0 < k \leq n - k < n]}$ by $P_{k}$.

**Lemma 3.3.** The Levi factor $M_{j}A_{j}$ can be given by

$$P_{j} \cap \tau(P_{j}) = \{ g \in G(V) \mid g.X_{ij} = X_{ij} \text{ and } g.X_{ij}^{*} = X_{ij}^{*} \text{ for } j \in [1, (l + 1/2)] \}.$$  

For $P_{k}$ maximal parabolic, let $M_{k}A_{k}N_{k}$ be the Langlands decomposition. Then $A_{k}$ is one-dimensional and

$$A_{k} = \{ a(t) \mid t \in \mathbb{R}^{+}, \ a(t)|_{X_{k}} = t, \ a(t)|_{X_{k}^{*}} = t^{-1}, \ a(t)|_{W_{k}^{\perp}} = 1 \},$$

$$M_{k}A_{k} = \{ g \in G(V) \mid g.X_{k} = X_{k}, \ g.X_{k}^{*} = X_{k}^{*} \} \cong GL_{D}(k) \times G(W_{k}^{\perp}).$$

Now we fix an $h_{k} \in \mathfrak{a}_{k}$ such that $h_{k}$ is the identity on $X_{k}$, is $-1$ on $X_{k}^{*}$, and is zero on $W_{k}^{\perp}$. Then $V$ can be decomposed into eigenspaces of $h_{k}$:

$$V_{-1} = X_{k}^{e}, \quad V_{1} = X_{k}, \quad V_{0} = W_{k}^{\perp}.$$  

Thus $\mathfrak{g}$ can be decomposed into eigenspaces of $h_{k}$ as

$$\mathfrak{g} = \mathfrak{g}_{-2} \oplus \mathfrak{g}_{-1} \oplus \mathfrak{g}_{0} \oplus \mathfrak{g}_{1} \oplus \mathfrak{g}_{2},$$

where

$$\mathfrak{g}_{0} = \{ x \in \mathfrak{g} \mid x.X_{k} \subseteq X_{k}, \ x.X_{k}^{*} \subseteq X_{k}^{*}, \ x.W_{k}^{\perp} \subseteq W_{k}^{\perp} \},$$

$$\mathfrak{g}_{1} = \{ x \in \mathfrak{g} \mid x.X_{k} = 0, \ x.W_{k}^{\perp} \subseteq X_{k}, \ x.X_{k}^{*} \subseteq W_{k}^{\perp} \},$$

$$\mathfrak{g}_{2} = \{ x \in \mathfrak{g} \mid x.X_{k} = 0, \ x.W_{k}^{\perp} = 0, \ x.X_{k}^{*} \subseteq X_{k} \},$$

$$\mathfrak{g}_{-i} = \tau(\mathfrak{g}_{i}) \quad \text{for } i = 1, 2.$$  

Moreover, $\mathfrak{g}_{0} = \mathfrak{m}_{k} \oplus \mathfrak{a}_{k}$ and $\mathfrak{g}_{1} \oplus \mathfrak{g}_{2} = \mathfrak{n}_{k}$. Since our argument is valid for every $k \leq r$, we will denote by $\mathfrak{g}_{i}$ the $i$-eigenspace of $\text{ad}(h_{k})$ for a fixed (implicit) $k$. Notice that $x \in \mathfrak{g}_{2}$ if and only if $x|_{X_{k}^{*} \oplus W_{k}^{\perp}} = 0$. Also $(x.u, v) + (u, x.v) = 0$ for all $u, v \in X_{k}^{*}$. If we define a sesquilinear form on $X_{k}^{*}$ to be $B_{x}(u, v) = (x.u, v)$ for $u, v \in X_{k}^{*}$, then $B_{x}(u, v) = -\epsilon B_{x}(v, u)^{\ast}$. Therefore $\mathfrak{g}_{2}$ can be identified with a space of sesquilinear forms $(\cdot, \cdot)_{-\epsilon}$ on $X_{k}^{*}$. Similarly, $\mathfrak{g}_{2}^{\ast}$ can be identified with a space of sesquilinear forms $(\cdot, \cdot)_{-\epsilon}$ on $X_{k}$.

**Lemma 3.4.** $\mathfrak{g}_{1}$ is an irreducible $\mathfrak{g}_{0}$-module. Suppose $\mathfrak{g}_{2} \neq \{0\}$. Then $\mathfrak{g}_{2}$ is the center of $\mathfrak{g}_{1} \oplus \mathfrak{g}_{2}$.

By Theorem 1.1, we have the following theorem.

**Theorem 3.1.** Let $\mathfrak{g}$ be a real classical Lie algebra of type I. Let $M$ be a $\mathfrak{g}$-module. Let $j^{\ast}$ be the canonical projection from $\mathfrak{g}_{C}^{\ast}$ onto $\mathfrak{g}_{2C}^{\ast}$. Then

$$\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(M)) = \text{cl}(j^{\ast}(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(M))).$$
By Theorem 1.2, we have the following theorem.

**Theorem 3.2.** Let \( P_k = M_k A_k N_k \) be as in Lemma 3.3. Let \( l_k = m_k \oplus a_k \). Let \( V \) be a \( p_k \)-module. Let \( p^* \) be the canonical projection from \( p_k^* \) onto \( l_k^* \). Then

\[
\mathcal{V}(\text{Ann}_{U(k)}(V)) = \text{cl}(p^*(\mathcal{V}(\text{Ann}_{U(p_k)}(V)))).
\]

We end this section with the following lemma.

**Lemma 3.5.** \( P_k \) acts on \( g_2^* \) with finitely many orbits. The orbits are uniquely determined by the rank and the signature of the corresponding sesquilinear form.

Here \( g_2^* \) is the dual space of \( g_2 \). It is not to be confused with \( g_{-2} \). Following [Howe 1982], define the rank of any subset \( S \) of \( g_2^* \), regarded as sesquilinear form, to be the maximal rank of the elements of \( S \).

### 4. Howe’s \( N \)-spectrum and \( N \)-associated variety

Let \( G \) be a Lie group with a finite number of connected components, and let \( H \) be a closed subgroup. Let \( \hat{G} \) be the unitary dual of \( G \). Suppose that \( G \) and \( H \) are type I groups [Dixmier 1977]. Take a unitary representation \((\pi, \mathcal{H})\) of \( G \), and consider its restriction to \( H \). According to the direct integral theory, \( \pi |_H \) uniquely determines a projection-valued Borel measure \( \mu_H(\pi) \) on \( \hat{H} \). Howe [1982] called such a measure the \( H \)-spectrum of \( \pi \). Under the Fell topology, Howe called the (closed) support of \( \mu_H(\pi) \) the geometric \( H \)-spectrum. Let \( N_G(H) \) be the normalizer of \( H \) in \( G \). Since \( (\pi, \mathcal{H}) \) is a unitary representation of \( N_G(H) \), \( \text{supp}(\mu_H(\pi)) \) is \( N_G(H) \)-stable.

To study the \( H \)-spectrum, we must have a well-understood unitary dual \( \hat{H} \). For \( H \) nilpotent or solvable of type I, \( \hat{H} \) is somewhat well understood. For \( H \) connected and abelian, \( \hat{H} \) can be identified with a subset of \( i\mathfrak{h}^* \). In this section, we will deal with abelian \( H \), and we identify \( \hat{H} \) with a subset of \( i\mathfrak{h}^* \).

Let \( G \) be a type I classical group as in the last section. Let \( N_k \) be the nilradical of \( P_k \) and \( ZN_k \) be the center of \( N_k \). Then \( n_k = g_1 \oplus g_2 \) and \( zn_k = g_2 \), where \( g_1 \) and \( g_2 \) are defined as eigenspaces of \( \text{ad}(h_k) \). The main problem in this section is to study the relationship between Howe’s \( ZN_k \)-spectrum and the associated variety \( \mathcal{V}(\text{Ann}_{U(g)}(\pi)) \).

Recall that \( g_1 \oplus g_2 = n_k \) and \( g_2 = zn_k \). By Theorem 2.1 and Theorem 3.1, we have the following:

**Theorem 4.1.** Let \((\pi, \mathcal{H})\) be a unitary representation of a type I classical group \( G \). Then the \( ZN_k \)-associated variety of \( \pi \) is the Zariski closure of the geometric \( ZN_k \)-spectrum of \( \pi \). Furthermore,

\[
\mathcal{V}(\text{Ann}_{U(g_1)}(\pi)) = \text{cl}(j^*(\mathcal{V}(\text{Ann}_{U(g)}(\pi)))).
\]
where \( j^* \) is the projection from \( g^*_C \) to \( zn^*_k \). So

\[
\text{cl}(\text{supp}_{Z N_k}(\pi)) = \text{cl}(j^*(V(\text{Ann}_{U(V)}(\pi)))).
\]

Since \( g \) is a reductive linear Lie algebra, \( g^* \) can be identified with \( g \) by an invariant bilinear form. For any subset \( S \) of \( g^* \), we define \( \text{rank}(S) \) to be the \( \max \{ \text{rank}_D(X) \mid X \in S \} \). Now for a type I classical group \( G(V) \), for every \( x \in g \), we define a sesquilinear form \( B_x \) such that \( B_x(u, v) = (x, u, v) \) for \( u, v \in V \). Then \( B_x(u, v) = -e B_x(v, u)^\sharp \). Thus \( g \) can be identified with a space of sesquilinear forms. Clearly, the rank of the sesquilinear form \( B_x \) is exactly the rank of \( x \).

Recall that the parabolic subgroup \( P_k \) acts on \( zn^*_k \) with finitely many orbits and that \( zn^*_k \) can be identified with a subspace of sesquilinear forms. Howe and Li defined the \( ZN_k \)-rank to be the maximal rank of \( \text{supp}(\mu_{ZN_k}(\pi)) \) regarded as sesquilinear forms. Notice that for each \( x \in \text{Hom}_D(X_k, X_k^*) \), the rank of the linear transform \( x \) is the same as the rank of the bilinear form \( B_x \). In the rest of this paper, we will compute the Howe’s \( ZN_k \)-rank using associated variety.

If we regard \( g \) as a subset of \( \text{Hom}_D(V, V) \), then \( j^* \) can be regarded as the (eigen)projection with respect to \( \text{ad}(h_k) \) from \( g_{-2} \cong \tau(zn_k) \); see Equation (3). We have the following list regarding \( g_{-2} \) and its complexification:

- If \( G = \text{U}(p, q) \), then \( zn^*_k \) is the space of \( k \times k \) skew-Hermitian matrices; its complexification is the space of \( k \times k \) complex matrices.
- If \( G = \text{O}(p, q) \), then \( zn^*_k \) is the space of \( k \times k \) skew-symmetric matrices; its complexification is the space of \( k \times k \) complex skew-symmetric matrices.
- If \( G = \text{Sp}_{2n}(\mathbb{R}) \), then \( zn^*_k \) is the space of \( k \times k \) symmetric matrices; its complexification is the space of \( k \times k \) complex symmetric matrices.
- If \( G = \text{O}^*(2n) \), then \( zn^*_k \) is the space of sesquilinear forms on \( \mathbb{H}^k \) such that

\[
(u, v) = (v, u)^\sharp \quad \text{for} \quad u, v \in \mathbb{H}^k.
\]

Let \( (u, v) = A(u, v) + jB(u, v) \) with \( A \) and \( B \) complex-valued. Then

\[
A(v, u) + jB(v, u) = (A(u, v) + jB(u, v))^\sharp = \overline{A(u, v)} - jB(u, v)
\]

Therefore \( A(u, v) = \overline{A(v, u)} \) and \( B(u, v) = -B(v, u) \). Now \( B(u, v) \) is a (right) \( \mathbb{C} \)-bilinear form. If we fix a basis \( \{(e_i, j e_i)\} \) for \( \mathbb{H}^k \), then \( zn^*_k \) can be identified with

\[
\left\{ \begin{pmatrix} U & V \\ -\overline{V} & \overline{U} \end{pmatrix} \mid U^t = -U, \ V = V^t \right\}.
\]

Thus the complexification of \( zn^*_k \) can be identified with the space of \( 2k \times 2k \) complex skew-symmetric matrices.
• If $G = \text{Sp}(p, q)$, then $\mathfrak{z}_{k}^*$ can be identified with a space of $2k \times 2k$ symmetric matrices; its complexification is the space of $2k \times 2k$ complex symmetric matrices.

• If $G = \text{O}(n, \mathbb{C})$, then $\mathfrak{z}_{k}^*$ is the space of $k \times k$ complex skew-symmetric matrices. It can be identified with

$$\left\{ \begin{pmatrix} A & -B \\ B & A \end{pmatrix} \middle| A' = -A, B' = -B \text{ for } A, B \in \text{End}_{\mathbb{R}}(\mathbb{R}^k) \right\}.$$  

Therefore $\mathfrak{z}_{k}^*$ can be identified with

$$\left\{ \begin{pmatrix} A & -B \\ B & A \end{pmatrix} \middle| A' = -A, B' = -B \text{ for } A, B \in \text{End}_{\mathbb{C}}(\mathbb{C}^k) \right\}.$$  

$G = \text{Sp}(n, \mathbb{C})$, $\mathfrak{z}_{k}^*$ can be identified with

$$\left\{ \begin{pmatrix} A & -B \\ B & A \end{pmatrix} \middle| A' = A, B' = B \text{ for } A, B \in \text{End}_{\mathbb{R}}(\mathbb{R}^k) \right\},$$  

and $\mathfrak{z}_{k}^*$ can be identified with

$$\left\{ \begin{pmatrix} A & -B \\ B & A \end{pmatrix} \middle| A' = A, B' = B \text{ for } A, B \in \text{End}_{\mathbb{C}}(\mathbb{C}^k) \right\}.$$  

For any $S \subseteq \mathfrak{z}_{k}^*$, we write $\text{rank}_{\mathbb{C}}(S)$ for the maximal rank of the elements in $S$ in this setting. We call it the $\mathbb{C}$-rank of $S$. Thus, we have

$$\frac{\text{rank}_{\mathbb{C}}(\text{supp}(\mu_{Z_{N_{k}}}(\pi)))}{\text{rank}(\text{supp}(\mu_{Z_{N_{k}}}(\pi)))} = \begin{cases} 1 & \text{if } G = \text{U}(p, q), \text{ O}(p, q), \text{ Sp}_{2n}(\mathbb{R}), \\ 2 & \text{if } G = \text{Sp}(n, \mathbb{C}), \text{ O}(n, \mathbb{C}), \text{ Sp}(p, q), \text{ O}^*(2n). \end{cases}$$  

In this setting, taking the Zariski closure of a subset of sesquilinear form would not change $\mathbb{C}$-rank of such a subset. Since $\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(\pi))$ is the Zariski closure of $\text{supp}(\mu_{Z_{N_{k}}}(\pi))$, we have $\text{rank}_{\mathbb{C}}(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(\pi))) = \text{rank}_{\mathbb{C}}(\text{supp}(\mu_{Z_{N_{k}}}(\pi))).$ By Theorem 4.1, we have $\text{rank}_{\mathbb{C}}(\text{supp}(\mu_{Z_{N_{k}}}(\pi))) = \text{rank}_{\mathbb{C}}(j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(\pi))))$. To compute Howe’s $ZK_{k}$-rank, we will have to compute $\text{rank}_{\mathbb{C}}(j^*(\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(\pi))))$.

Let us first recall the following theorem.

**Theorem 4.2** (Borho, Brylinski, and Joseph). Suppose $\mathfrak{g}$ is a reductive Lie algebra and $M$ is a simple $\mathfrak{g}$-module. Then $\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(M))$ is the closure of a single coadjoint orbit.

So for a connected reductive group $G$ and an irreducible unitary representation $\pi$, $\mathcal{V}(\text{Ann}_{U(\mathfrak{g})}(\pi))$ is the closure if a single coadjoint orbit. Now concerning a linear reductive Lie group $G$ with finitely many components, we can employ the Mackey machine to show that for any irreducible unitary representation $(\pi, H)$ of $G$, $\pi$ splits into finitely many irreducible representations when restricted to the identity component $G_0$, that is, $\pi = \pi_1 \oplus \pi_2 \oplus \ldots \oplus \pi_s$. Furthermore, $G/G_0$
permutestheseirreduciblefactors. A more careful examination shows that the
Harish-Chandra modules of the $\pi_f$ are related by the algebra isomorphisms of
$U(g)$ defined by the adjoint action of $G/G_0$. Thus the $\mathcal{V}(\text{Ann}_U(g)(\pi_f))$ are related
by automorphisms of $g$ defined by $G/G_0$. In fact, $\mathcal{V}(\text{Ann}_U(g)(\pi_f))$ is exactly the
union of $G/G_0$-orbit of any chosen $\mathcal{V}(\text{Ann}_U(g)(\pi_f))$. More precisely, we have

$$\mathcal{V}(\text{Ann}_U(g)(\pi_f)) = \bigcup_{x \in G_0 G/G_0} \text{Ad}(x)(\mathcal{V}(\text{Ann}_U(g)(\pi_f))).$$

Thus, for the rest of this paper, even though some of the classical Lie group $G$
is not connected, we may prove our results for the identity component $G_0$ first. Then
all the results can be generalized to $G$.

Now identify $g^*_C$ with $g_C$ via an invariant bilinear form. According to [Colling-
wood and McGovern 1993, Chapter 5.1, 6.2], each nilpotent orbit in a (complex)
simple Lie algebra $g(m) \subseteq \text{End}_C(C^m)$ is parameterized by certain partition
$\lambda = (\lambda_1, \lambda_2, \ldots, \lambda_l > 0)$ of $m$. We denote the adjoint orbit corresponding to $\lambda$ by
$O_\lambda$. Then rank$_C(O_\lambda) = m - l$.

**Lemma 4.1.** Let $S \subseteq g(m)$. Then rank$_C(j^*(S)) \leq \min(r_k, \text{rank}_C(S))$, where $r_k = \text{rank}_C(\lambda_k^*)$. In particular, rank$_C(j^*(O_\lambda)) \leq \min(r_k, \text{rank}_C(O_\lambda))$.

Now we concentrate on the noncomplex groups $O(p, q)$, $U(p, q)$, $\text{Sp}_{2n}(\mathbb{R})$,
$O^*(2n)$, and $\text{Sp}(p, q)$. We will deal with complex groups at the end. We treat
type A, C and type B, D Lie algebras differently. We will follow the convention in
[Collingwood and McGovern 1993] regarding the order of nilpotent orbits.

**Theorem 4.3 (g$_C$ of type A or C).** Let $O_\lambda$ be a complex nilpotent orbit in a
type A or C simple Lie algebra $g(m)$ parametrized by $\lambda$. Then rank$_C(j^*(O_\lambda)) =$
$\min(k, \text{rank}_C(O_\lambda))$.

**Proof.** If rank$_C(O_\lambda) \geq k$, then $\lambda \geq (1^{m-2k}, 2^k)$. Thus $\text{cl}(O_\lambda) \supseteq \text{cl}(O_{(1^{m-2k}, 2^k)})$. Recall that $g_{-2} \subseteq O_{(1^{m-2k}, 2^k)}$. Therefore

$$\text{cl}(j^*(O_\lambda)) \supseteq j^*(\text{cl}(O_\lambda)) \supseteq j^*(\text{cl}(O_{(1^{m-2k}, 2^k)})) \supseteq j^*(g_{-2}) \supseteq g_{-2}.$$ 

Hence rank$_C(j^*(O_\lambda)) = k$. If rank$_C(O_\lambda) = s < k$, then $\text{cl}(O_\lambda) \supseteq \text{cl}(O_{(1^{m-2s}, 2^s)})$. Thus

$$\text{cl}(j^*(O_\lambda)) \supseteq j^*(\text{cl}(O_\lambda)) \supseteq j^*(\text{cl}(O_{(1^{m-2s}, 2^s)})).$$

But rank$_C(\text{cl}(O_{(1^{m-2s}, 2^s)}) \cap g_{-2}) = s$, because the elements in $g_{-2}$ of rank $s$ are all
contained in $O_{(1^{m-2s}, 2^s)}$. Therefore

$$\text{rank}_C(j^*(O_\lambda)) \geq \text{rank}_C(j^*(\text{cl}(O_{(1^{m-2s}, 2^s)}))) \cap g_{-2}) = \text{rank}_C(\text{cl}(O_{(1^{m-2s}, 2^s)})) \cap g_{-2}) = s.$$ 

Combined with Lemma 4.1, we have rank$_C(j^*(O_\lambda)) = \min(k, \text{rank}_C(O_\lambda))$. □
Theorem 4.4 (gC of type B or D). Let Oλ be a complex nilpotent orbit in a type B or D simple Lie algebra parametrized by λ. Then rankC(j∗(Oλ)) is always even, and it is equal to \( \min(r_k, \text{rank}_C(O_\lambda)) \). Here \( r_k = \text{rank}_C(\mathfrak{n}_k^+) \).

Proof. For \( O(p, q) \), the \( C \)-rank of a real skew-symmetric form is always even. For \( O^*(2n) \), the \( C \)-rank of an \( \mathfrak{h} \)-sesquilinear form is also even. Thus \( \text{rank}_C(j^*(O_\lambda)) \) is always even. Recall that the partitions corresponding to type B or D nilpotent orbits satisfy that even parts occur with even multiplicity. In other words, if we delete the first column in the Young diagram, then odd parts occur with even multiplicity. Therefore, \( \text{rank}_C(O_\lambda) \) has to be even as well. The rest of the proof is the same as the proof for type A and C groups.

Now we want to deal with complex groups \( O(n, \mathbb{C}) \) and \( \text{Sp}(n, \mathbb{C}) \). In these cases, \( g_\mathbb{C} \) is not simple. However, once we regard \( g \) as a real matrix Lie algebra, \( g_\mathbb{C} \) is still a matrix algebra. Thus the \( C \)-rank of \( \text{Ann}_U(g)(\pi) \) is still valid. Recall that \( cl(j^*(\text{WF}(\pi))) = \text{Ann}_U(g)(\pi) \). Here \( \text{WF}(\pi) \subseteq g \).

Theorem 4.5. Let \( \pi \) be an irreducible representation of \( O(n, \mathbb{C}) \) or \( \text{Sp}(n, \mathbb{C}) \). Then

\[
\text{rank}_C(j^*(\text{Ann}_U(g)(\pi))) = \min(r_k, \text{rank}_C(\text{Ann}_U(g)(\pi))),
\]

where \( r_k = \text{rank}_C(\mathfrak{n}_k^+) \).

Proof. Notice that \( cl(j^*(\text{WF}(\pi))) = cl(j^*(\text{Ann}_U(g)(\pi))) = cl(j^*(\text{Ann}_U(g)(\pi))) \). Since \( g \) is already a complex linear space, \( \text{rank}_C(S) = 2 \text{rank}(S) \) for any \( S \subseteq \mathfrak{g}^* \subseteq g_\mathbb{C}^* \). It suffices to show that \( \text{rank}(j^*(\text{WF}(\pi))) = \min(\text{rank}(\mathfrak{n}_k), \text{rank}(\text{WF}(\pi))) \). Since \( \text{WF}(\pi) \) is a finite union of nilpotent orbits in \( g^* \), the statement above is just a corollary of Theorems 4.4 and 4.5.

Finally, we come to the conclusions tabulated in Theorem 0.4, and that theorem is proved.

References


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