

*Pacific
Journal of
Mathematics*

**ACTIONS OF LINEAR ALGEBRAIC GROUPS OF
EXCEPTIONAL TYPE ON PROJECTIVE VARIETIES**

KIWAMU WATANABE

Volume 239 No. 2

February 2009

ACTIONS OF LINEAR ALGEBRAIC GROUPS OF EXCEPTIONAL TYPE ON PROJECTIVE VARIETIES

KIWAMU WATANABE

Let X be a smooth projective variety of dimension n and G a simple linear algebraic group of exceptional type acting regularly and nontrivially on X . Then it is known that n has a lower bound r_G which only depends on the Dynkin type of G . In this article we give a classification of X with an action of G in the case where $n = r_G + 1$.

1. Introduction

Let X be a smooth projective variety of dimension n and r_G the minimum of the dimension of a homogeneous variety of a simple linear algebraic group G , that is, the minimum codimension of a maximal parabolic subgroup of G . M. Andreatta [2001] proved that if $r_G < n$, the only regular action of G on X is trivial, and if $r_G = n$, then X is homogeneous. He also gave a classification of smooth projective varieties on which a simple linear algebraic group of classical type acts regularly and nontrivially in the case where $n = r_G + 1$. Our main purpose of this article is to prove the following:

Theorem 1.1. *Let X be a smooth projective variety of dimension n and G a simple, simply connected and connected linear algebraic group of exceptional type acting regularly and nontrivially on X . Assume that $n = r_G + 1$. Then X is one of the following; the action of G is unique for each case:*

- (i) \mathbb{P}^6 ,
- (ii) \mathbb{Q}^6 ,
- (iii) $E_6(\omega_1)$,
- (iv) $G_2(\omega_1 + \omega_2)$,
- (v) $Y \times Z$, where Y is $E_6(\omega_1)$, $E_7(\omega_1)$, $E_8(\omega_1)$, $F_4(\omega_1)$, $F_4(\omega_4)$, $G_2(\omega_1)$ or $G_2(\omega_2)$, and Z is a smooth projective curve,
- (vi) $\mathbb{P}(\mathbb{C}_Y \oplus \mathbb{C}_Y(m))$, where Y is as in (v) and $m > 0$.

MSC2000: primary 14L30, 14L40; secondary 14E30.

Keywords: group action, linear algebraic group of exceptional type, minimal model program.

Supported by Research Fellowships of the Japan Society for the Promotion of Science for Young Scientists.

Note that G -orbits on X are very simple (for example a projective space and a quadric) in the case where G is classical type, but they are not in our case. So we need other arguments than Andreatta's in several points.

Throughout this paper we work over the complex number field \mathbb{C} .

2. Preliminaries

We denote a simple linear algebraic group of Dynkin type G simply by G and for a dominant integral weight ω of G , the minimal closed orbit of G in $\mathbb{P}(V_\omega)$ by $G(\omega)$, where V_ω is the irreducible representation space of G with highest weight ω . For example, $E_6(\omega_1)$ is the minimal closed orbit of an algebraic group of type E_6 in $\mathbb{P}(V_{\omega_1})$, where ω_1 is the first fundamental dominant weight in the standard notation of Bourbaki [1968]. Then we call $G(\omega)$ a *rational homogeneous variety*.

Lemma 2.1 [Andreatta 2001, Lemmas 1.4, 1.5]. *Let X be a smooth projective variety on which a connected linear algebraic group G acts regularly and nontrivially. Then X has an extremal contraction $\phi : X \rightarrow Z$ which is G -equivariant, and G acts regularly on Z .*

Definition 2.2 [Andreatta 2001, Definition 1.8]. Let G be a simple linear algebraic group. We define r_G to be the minimal codimension of parabolic subgroups of G .

Example 2.3 [Andreatta 2001, Example 1.0.1]. If G is an exceptional linear algebraic group, we have $r_{E_6} = 16$, $r_{E_7} = 27$, $r_{E_8} = 57$, $r_{F_4} = 15$ and $r_{G_2} = 5$.

Proposition 2.4 [Andreatta 2001, Proposition 2.1]. *Suppose that a connected reductive linear algebraic group G acts effectively on a complete normal variety Z . Then the following are equivalent:*

- (1) *There exists a fixed point z such that its projectivized tangent cone, that is the variety $P_z = \text{Proj}(\bigoplus_k m_z^k / m_z^{k+1})$, is a G -homogeneous variety.*
- (2) *Z is a projective quasihomogeneous cone over a homogeneous variety with respect to G .*

Proposition 2.5 [Andreatta 2001, Lemma 2.2 and Proposition 3.1]. *Let X be a smooth projective variety of dimension n and G a simple, simply connected, connected linear algebraic group acting regularly and nontrivially on X . Then*

- (1) $n \geq r_G$;
- (2) *if moreover $n = r_G$, then X is homogeneous;*
- (3) *if G is exceptional and $n = r_G + 1$, X has no fixed points.*

Lemma 2.6 [Andreatta 2001, Lemma 4.2]. *Let X and Y be smooth projective varieties on which a simple exceptional linear algebraic group G acts regularly and nontrivially. Assume that $r_G = \dim X - 1 = \dim Y - 1$. If X and Y each have a dense open orbit which is G -isomorphic, then we have a G -isomorphism $X \cong Y$.*

Proposition 2.7 [Watanabe 2008]. *Let X be a smooth projective variety and A a rational homogeneous variety $G(\omega)$, where G is exceptional. If A is an ample divisor on X , (X, A) is isomorphic to $(\mathbb{P}^6, \mathbb{Q}^5)$, $(\mathbb{Q}^6, \mathbb{Q}^5)$ or $(E_6(\omega_1), F_4(\omega_4))$.*

Remark that a 5-dimensional smooth quadric \mathbb{Q}^5 is G_2 -homogeneous.

3. Proof of Theorem 1.1

By Lemma 2.1 we have a G -equivariant extremal contraction of a ray $\phi : X \rightarrow Z$.

Assume that $\rho(X) \geq 2$.

Case 1. *ϕ is birational.* Let ϕ be birational and E the exceptional locus of ϕ . Since r_G is equal to $n - 1$ and X has no fixed points, ϕ is a divisorial contraction and E is contracted to a point z . Furthermore E is isomorphic to $E_6(\omega_1)(= E_6(\omega_5))$, $E_7(\omega_1)$, $E_8(\omega_1)$, $F_4(\omega_1)$, $F_4(\omega_4)$, $G_2(\omega_1)(= \mathbb{Q}^5)$ and $G_2(\omega_2)$. The conormal bundle of the exceptional divisor is $N_{E/X}^* \cong \mathcal{O}(k)$ with $1 \leq k \leq i(E) - 1$, where $i(E)$ is the Fano index of E .

Applying Proposition 2.4, we see that X is a completion of an open orbit G/K (see [Ahiezer 1977]). Here K is the kernel of the character map $\rho : P \rightarrow \mathbb{C}^*$ associated to the homogeneous line bundle $N_{E/X}^* \cong \mathcal{O}(k)$, where P is the parabolic subgroup which satisfies $E \cong G/P$.

On the other hand, $X_k = \mathbb{P}(N_{E/X}^* \oplus \mathcal{O})$ is also a completion of an open orbit G/K . By Lemma 2.6, X is isomorphic to $X_k = \mathbb{P}(N_{E/X}^* \oplus \mathcal{O})$.

Case 2. *ϕ is a fibering type.* Let ϕ be a contraction of fibering type.

First we assume that the induced action of G on Z is trivial. In this case, any fiber of ϕ is isomorphic to $E_6(\omega_1)$, $E_7(\omega_1)$, $E_8(\omega_1)$, $F_4(\omega_1)$, $F_4(\omega_4)$, $G_2(\omega_1)$ or $G_2(\omega_2)$ and $\dim Z = 1$. Since rational homogeneous varieties are locally rigid, there is no ϕ which has both $F_4(\omega_1)$ and $F_4(\omega_4)$ (respectively $G_2(\omega_1)$ and $G_2(\omega_2)$) as fibers. So all fibers of ϕ are isomorphic to each other. Then we have $X = E_6(\omega_1) \times Z$, $E_7(\omega_1) \times Z$, $E_8(\omega_1) \times Z$, $F_4(\omega_1) \times Z$, $F_4(\omega_4) \times Z$, $G_2(\omega_1) \times Z$ or $G_2(\omega_2) \times Z$. This follows from [Mabuchi 1979, Theorem 1.2.1].

Second we assume that the induced action of G on Z is not trivial. Then Z is isomorphic to $E_6(\omega_1)$, $E_7(\omega_1)$, $E_8(\omega_1)$, $F_4(\omega_1)$, $F_4(\omega_4)$, $G_2(\omega_1)$ or $G_2(\omega_2)$. It follows that all fibers have dimension one. Moreover, all fibers of ϕ are isomorphic to each other. So ϕ is a conic bundle which fibers are isomorphic to \mathbb{P}^1 . Since the Brauer group of Z is trivial, X is $\mathbb{P}(\mathcal{E})$ with \mathcal{E} a rank 2 vector bundle on Z .

The assumption that $n = r_G + 1$ implies that the dimension of any orbit of G in $\mathbb{P}(\mathcal{E})$ is at least $n - 1$. If $\mathbb{P}(\mathcal{E})$ is G -homogeneous, then $\mathbb{P}(\mathcal{E})$ has another natural fibration structure $\mathbb{P}(\mathcal{E}) \rightarrow Z'$, where Z' is a G -homogeneous variety whose Picard number is 1 [Baston and Eastwood 1989, 2.4]. Since $\dim Z + 1 = \dim X > \dim Z'$, (Z, Z') (or (Z', Z)) is $(E_6(\omega_1), E_6(\omega_5))$, $(F_4(\omega_1), F_4(\omega_4))$ or $(G_2(\omega_1), G_2(\omega_2))$ [Snow 1989, 9.3]. However, if (Z, Z') is $(E_6(\omega_1), E_6(\omega_5))$ or $(F_4(\omega_1), F_4(\omega_4))$,

the fiber of $\mathbb{P}(\mathcal{E}) \rightarrow Z$ is not \mathbb{P}^1 . Hence (Z, Z') is $(G_2(\omega_1), G_2(\omega_2))$ and we have $\mathbb{P}(\mathcal{E}) \cong G_2(\omega_1 + \omega_2)$.

If $\mathbb{P}(\mathcal{E})$ is not G -homogeneous, we have the G -orbit decomposition $\mathbb{P}(\mathcal{E}) = (\bigsqcup_{i \in I} Gx_i)$ or $\mathbb{P}(\mathcal{E}) = Gx \sqcup (\bigsqcup_{i \in I} Gx_i)$, where $x, x_i \in \mathbb{P}(\mathcal{E})$. Here, Gx is a G -orbit of dimension n and Gx_i is a rational homogeneous variety of dimension $n - 1$ whose Picard number is 1. Since $\dim Gx_i = \dim Z$, $\phi_{Gx_i} : Gx_i \rightarrow Z$ is a finite morphism. If the ramification divisor R of ϕ_{Gx_i} is not empty, G acts on R . But this contradicts homogeneity of Gx_i . So ϕ_{Gx_i} is étale. Hence we see that $\phi_{Gx_i} : Gx_i \rightarrow Z$ is isomorphic, because a Fano variety is simply connected. So Gx_i is a section of ϕ . Since any G -homogeneous vector bundle has no a transitive action of G , we have $\sharp I \neq 1$. So $\mathbb{P}(\mathcal{E})$ has two sections which do not intersect each other. Hence \mathcal{E} is decomposable. The uniqueness of action can be proved as above.

Assume that $\rho(X) = 1$. By using the list of parabolic subgroups of codimension n corresponding to one node of the Dynkin diagram, we see that X is not G -homogeneous. So X has a closed orbit H which is isomorphic to $E_6(\omega_1)$, $E_7(\omega_1)$, $E_8(\omega_1)$, $F_4(\omega_1)$, $F_4(\omega_4)$, $G_2(\omega_1)$ or $G_2(\omega_2)$. The condition $\rho(X) = 1$ implies X is a Fano variety. Furthermore, $\text{Pic}(X) \cong \mathbb{Z}$. Hence H is an ample divisor of X . By Proposition 2.7, we see that (X, H) is $(\mathbb{P}^6, \mathbb{Q}^5)$, $(\mathbb{Q}^6, \mathbb{Q}^5)$ or $(E_6(\omega_1), F_4(\omega_4))$.

These X satisfy the assumption of the Theorem. In fact, we see that $F_4 \subset E_6$, $G_2 \subset \text{SO}(7) \subset \text{SO}(8)$. Here $\text{SO}(k)$ means the special orthogonal group.

At last, we shall prove the uniqueness of action. We only deal with the case where X is $E_6(\omega_1)$. We can prove other cases as the same.

Let V_{27} be the irreducible representation space of E_6 with highest weight ω_1 . Then E_6 acts on V_{27} . If G whose Dynkin type is F_4 acts on $E_6(\omega_1)$, we obtain a 27-dimensional representation $G \rightarrow \text{GL}(V_{27})$. By the Weyl dimension theorem and our assumption, it is easy to see that V_{27} is a direct sum of a 26-dimensional irreducible representation space V_{26} and a 1-dimensional irreducible representation space V_1 . Furthermore, we see that irreducible representations $G \rightarrow \text{GL}(V_{26})$ and $G \rightarrow \text{GL}(V_1)$ are unique. This implies that the action of G on $E_6(\omega_1)$ is unique.

Acknowledgements

The author would like to express his gratitude to his supervisor Professor Hajime Kaji for some useful advice.

References

- [Ahiezer 1977] D. N. Ahiezer, "Dense orbits with two endpoints", *Izv. Akad. Nauk SSSR Ser. Mat.* **41**:2 (1977), 308–324, 477. In Russian; translated in *Math. USSR-Izv.* **11** (1977), 293–307. MR 57 #12537 Zbl 0378.14009

- [Andreatta 2001] M. Andreatta, “Actions of linear algebraic groups on projective manifolds and minimal model program”, *Osaka J. Math.* **38**:1 (2001), 151–166. MR 2002c:14075 Zbl 1054.14061
- [Baston and Eastwood 1989] R. J. Baston and M. G. Eastwood, *The Penrose transform: its interaction with representation theory*, Oxford University Press, New York, 1989. MR 92j:32112 Zbl 0726.58004
- [Bourbaki 1968] N. Bourbaki, *Groupes et algèbres de Lie, Chapitres IV–VI*, Actualités Scientifiques et Industrielles **1337**, Hermann, Paris, 1968. MR 39 #1590 Zbl 0186.33001
- [Mabuchi 1979] T. Mabuchi, “On the classification of essentially effective $SL(2; \mathbb{C}) \times SL(2; \mathbb{C})$ -actions on algebraic threefolds”, *Osaka J. Math.* **16**:3 (1979), 727–744. MR 81k:14033a Zbl 0422.14029
- [Snow 1989] D. M. Snow, “Homogeneous vector bundles”, pp. 193–205 in *Group actions and invariant theory* (Montreal, 1988), edited by A. Bailynicki-Birula, CMS Conf. Proc. **10**, Amer. Math. Soc., Providence, RI, 1989. MR 90m:14051 Zbl 0701.14017
- [Watanabe 2008] K. Watanabe, “Classification of polarized manifolds admitting homogeneous varieties as ample divisors”, *Math. Ann.* **342**:3 (2008), 557–563. MR 2430990

Received September 4, 2008. Revised October 16, 2008.

KIWAMU WATANABE
WASEDA UNIVERSITY
DEPARTMENT OF MATHEMATICAL SCIENCES
SCHOOL OF SCIENCE AND ENGINEERING
4-1 OHKUBO 3-CHOME
SHINJUKU-KU, TOKYO 169-8555
JAPAN
kiwamu0219@fuji.waseda.jp

