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# ORBIT EQUIVALENCE OF TOPOLOGICAL MARKOV SHIFTS AND CUNTZ–KRIEGER ALGEBRAS

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**We prove that one-sided topological Markov shifts  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  for matrices  $A$  and  $B$  with entries in  $\{0, 1\}$  are continuously orbit equivalent if and only if there exists an isomorphism between the Cuntz–Krieger algebras  $\mathcal{O}_A$  and  $\mathcal{O}_B$  keeping their commutative  $C^*$ -subalgebras  $C(X_A)$  and  $C(X_B)$ . The “if” part (and hence the “only if” part) above is equivalent to the condition that there exists a homeomorphism from  $X_A$  to  $X_B$  intertwining their topological full groups. We will also study structure of the automorphisms of  $\mathcal{O}_A$  preserving the commutative  $C^*$ -algebra  $C(X_A)$ .**

## 1. Introduction

The study of orbit equivalence of ergodic finite measure preserving transformations was initiated by H. Dye [1959; 1963], who proved that two such transformations are orbit equivalent. W. Krieger [1976] has proved that two ergodic nonsingular transformations are orbit equivalent if and only if the associated von Neumann crossed products are isomorphic. In topological setting, Giordano, Putnam and Skau [Giordano et al. 1995; 1999] (see also [Herman et al. 1992]) have proved that two minimal homeomorphisms on Cantor sets are strong orbit equivalent if and only if the associated  $C^*$ -crossed products are isomorphic. In a more general setting, J. Tomiyama [1996] (see [Boyle and Tomiyama 1998; Tomiyama 1998]) has proved that two topological free homeomorphisms  $(X, \phi)$  and  $(Y, \psi)$  on compact Hausdorff spaces are continuously orbit equivalent if and only if there exists an isomorphism between the associated  $C^*$ -crossed products preserving their commutative  $C^*$ -subalgebras  $C(X)$  and  $C(Y)$ . He also proved that it is equivalent to the condition that there exists a homeomorphism  $h : X \rightarrow Y$  such that  $h$  preserves their topological full groups.

In this paper we study the relationship between the orbit structure of one-sided topological Markov shifts and the algebraic structure of the associated Cuntz–Krieger algebras. Let  $(X_A, \sigma_A)$  be the right one-sided topological Markov shift defined by an  $N \times N$  square matrix  $A$  with entries in  $\{0, 1\}$ , where  $\sigma_A$  denotes

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the shift transformation on  $X_A$ . The one-sided topological Markov shifts are no longer homeomorphisms in general and the Cuntz–Krieger algebras cannot naturally be written as a crossed product by  $\mathbb{Z}$ . Hence Giordano, Putnam and Skau’s and Tomiyama’s method cannot be applied to study one-sided topological Markov shifts and Cuntz–Krieger algebras. However, in this paper, theorems similar to theirs will be proved in our setting by using a representation of  $\mathbb{C}_A$  on a Hilbert space having its complete orthonormal basis consisting of all points of the shift space  $X_A$ .

Let  $\mathfrak{D}_A$  be the  $C^*$ -subalgebra consisting of all diagonal elements of the canonical AF-algebra  $\mathfrak{F}_A$  inside of  $\mathbb{C}_A$ . It is naturally isomorphic to the commutative  $C^*$ -algebra  $C(X_A)$  of all complex-valued continuous functions on  $X_A$ . Let  $[\sigma_A]_c$  be the topological full group of  $(X_A, \sigma_A)$  whose elements consist of homeomorphisms  $\tau$  on  $X_A$  such that  $\tau(x)$  is contained in the orbit  $\text{orb}_{\sigma_A}(x)$  of  $x$  under  $\sigma_A$  for all  $x \in X_A$  and such that its orbit cocycles are continuous. We say that  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are continuously orbit equivalent if there exists a homeomorphism  $h : X_A \rightarrow X_B$  such that  $h(\text{orb}_{\sigma_A}(x)) = \text{orb}_{\sigma_B}(h(x))$  for  $x \in X_A$  and if their orbit cocycles are continuous.

We will prove the next three theorems, where condition (I) is that of [Cuntz and Krieger 1980, page 254].

**Theorem 1.1.** *Let  $A$  and  $B$  be irreducible square matrices with entries in  $\{0, 1\}$  satisfying condition (I). Then the following three assertions are equivalent:*

- *There exists an isomorphism  $\Psi : \mathbb{C}_A \rightarrow \mathbb{C}_B$  such that  $\Psi(\mathfrak{D}_A) = \mathfrak{D}_B$ .*
- *$(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are continuously orbit equivalent.*
- *There exists a homeomorphism  $h : X_A \rightarrow X_B$  such that  $h \circ [\sigma_A]_c \circ h^{-1} = [\sigma_B]_c$ .*

To prove this theorem, we study the normalizer  $N(\mathbb{C}_A, \mathfrak{D}_A)$  of  $\mathfrak{D}_A$  in  $\mathbb{C}_A$ , which is defined as the group of all unitaries  $u \in \mathfrak{D}_A$  such that  $u\mathfrak{D}_A u^* = \mathfrak{D}_A$ . We denote by  $\mathfrak{U}(\mathfrak{D}_A)$  the group of all unitaries in  $\mathfrak{D}_A$ .

**Theorem 1.2.** *Let  $A$  be a square matrix with entries in  $\{0, 1\}$  satisfying condition (I). Then there exists a splitting short exact sequence*

$$1 \rightarrow \mathfrak{U}(\mathfrak{D}_A) \xrightarrow{\text{id}} N(\mathbb{C}_A, \mathfrak{D}_A) \xrightarrow{\tau} [\sigma_A]_c \rightarrow 1.$$

Let  $\text{Aut}(\mathbb{C}_A, \mathfrak{D}_A)$  be the group of automorphisms  $\alpha$  of  $\mathbb{C}_A$  such that  $\alpha(\mathfrak{D}_A) = \mathfrak{D}_A$ . Denote by  $\text{Inn}(\mathbb{C}_A, \mathfrak{D}_A)$  the subgroup of  $\text{Aut}(\mathbb{C}_A, \mathfrak{D}_A)$  of inner automorphisms on  $\mathbb{C}_A$ . We set  $\text{Out}(\mathbb{C}_A, \mathfrak{D}_A)$  to be the quotient  $\text{Aut}(\mathbb{C}_A, \mathfrak{D}_A)/\text{Inn}(\mathbb{C}_A, \mathfrak{D}_A)$ .

**Theorem 1.3.** *Let  $A$  be an irreducible square matrix with entries in  $\{0, 1\}$  satisfying condition (I). Then there exist short exact sequences*

$$\begin{aligned} 1 &\rightarrow Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A)) \xrightarrow{\lambda} \text{Aut}(\mathbb{C}_A, \mathfrak{D}_A) \xrightarrow{\phi} N([\sigma_A]_c) \rightarrow 1, \\ 1 &\rightarrow B_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A)) \xrightarrow{\lambda} \text{Inn}(\mathbb{C}_A, \mathfrak{D}_A) \xrightarrow{\phi} [\sigma_A]_c \rightarrow 1, \\ 1 &\rightarrow H_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A)) \xrightarrow{\lambda} \text{Out}(\mathbb{C}_A, \mathfrak{D}_A) \xrightarrow{\phi} N([\sigma_A]_c)/[\sigma_A]_c \rightarrow 1. \end{aligned}$$

*They all split. Hence  $\text{Out}(\mathbb{C}_A, \mathfrak{D}_A)$  is a semidirect product*

$$\text{Out}(\mathbb{C}_A, \mathfrak{D}_A) = N([\sigma_A]_c)/[\sigma_A]_c \cdot H_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A)).$$

where  $N([\sigma_A]_c)$  denotes the normalizer of  $[\sigma_A]_c$  in the group  $\text{Homeo}(X_A)$  of all homeomorphisms on  $X_A$ , and  $Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$ ,  $B_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$  and  $H_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$  are the group of unitary one-cocycles for  $\sigma_A$ , the subgroup of  $Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$  of coboundaries and the cohomology group  $Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))/B_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$  respectively.

Similar theorems hold for the pair of the canonical AF-algebra  $\mathfrak{F}_A$  inside of  $\mathbb{C}_A$  and its diagonal algebra  $\mathfrak{D}_A$ ; these are studied in [Section 7](#).

In [[Matsumoto 2009](#)], the results of this paper have been generalized.

Throughout the paper, we denote by  $\mathbb{Z}_+$  and  $\mathbb{N}$  the set of nonnegative integers and the set of positive integers respectively.

## 2. Preliminaries

Let  $A = [A(i, j)]_{i, j=1}^N$  be an  $N \times N$  matrix with entries in  $\{0, 1\}$ , where  $1 < N \in \mathbb{N}$ . Throughout the paper, we always assume that  $A$  satisfies condition (I) in the sense of Cuntz and Krieger [[1980](#)]. We denote by  $X_A$  the shift space

$$X_A = \{(x_n)_{n \in \mathbb{N}} \in \{1, \dots, N\}^{\mathbb{N}} \mid A(x_n, x_{n+1}) = 1 \text{ for all } n \in \mathbb{N}\}$$

over  $\{1, \dots, N\}$  of the right one-sided topological Markov shift for  $A$ . It is a compact Hausdorff space in natural product topology. The shift transformation  $\sigma_A$  on  $X_A$  is defined by  $\sigma_A((x_n)_{n \in \mathbb{N}}) = (x_{n+1})_{n \in \mathbb{N}}$  and is a continuous surjective map on  $X_A$ . The topological dynamical system  $(X_A, \sigma_A)$  is called the (right one-sided) topological Markov shift for  $A$ . The condition (I) for  $A$  is equivalent to the condition that  $X_A$  is homeomorphic to a Cantor discontinuum.

A word  $\mu = \mu_1 \cdots \mu_k$  for  $\mu_i \in \{1, \dots, N\}$  is said to be admissible for  $X_A$  if  $\mu$  appears somewhere in some element  $x$  in  $X_A$ . The length of  $\mu$  is  $k$  and denoted by  $|\mu|$ . We denote by  $B_k(X_A)$  the set of all admissible words of length  $k \in \mathbb{N}$ . We denote by  $B_0(X_A)$  the empty word  $\emptyset$ . We set  $B_*(X_A) = \bigcup_{k=0}^{\infty} B_k(X_A)$ , the set of admissible words of  $X_A$ . For  $x = (x_n)_{n \in \mathbb{N}} \in X_A$  and positive integers  $k, l$  with  $k \leq l$ , we put the word  $x_{[k, l]} = (x_k, x_{k+1}, \dots, x_l) \in B_{l-k+1}(X_A)$  and the right infinite sequence  $x_{[k, \infty)} = (x_k, x_{k+1}, \dots) \in X_A$ .

The Cuntz–Krieger algebra  $\mathbb{O}_A$  for the matrix  $A$  has been defined by the universal  $C^*$ -algebra generated by  $N$  partial isometries  $S_1, \dots, S_N$  subject to the relations

$$\sum_{j=1}^N S_j S_j^* = 1 \quad \text{and} \quad S_i^* S_i = \sum_{j=1}^N A(i, j) S_j S_j^* \quad \text{for } i = 1, \dots, N$$

[Cuntz and Krieger 1980]. If  $A$  satisfies condition (I), the algebra  $\mathbb{O}_A$  is the unique  $C^*$ -algebra subject to these relations. For a word  $\mu = \mu_1 \cdots \mu_k \in B_k(X_A)$ , we let  $S_\mu = S_{\mu_1} \cdots S_{\mu_k}$ . By the universality of the relations above, we get an action  $\rho : \mathbb{T} \rightarrow \text{Aut}(\mathbb{O}_A)$ , called the gauge action, from the correspondence  $S_i \rightarrow e^{\sqrt{-1}t} S_i$  for  $i = 1, \dots, N$  and  $e^{\sqrt{-1}t} \in \mathbb{T} = \{e^{\sqrt{-1}t} \mid t \in [0, 2\pi]\}$ . It is well known that the fixed point algebra of  $\mathbb{O}_A$  under  $\rho$  is the AF-algebra  $\mathcal{F}_A$  generated by elements  $S_\mu S_\nu^*$  with  $\mu, \nu \in B_*(X_A)$  and  $|\mu| = |\nu|$  [Cuntz and Krieger 1980]. Let  $\mathcal{F}_A^n$  be the  $C^*$ -subalgebra of  $\mathcal{F}_A$  generated by elements  $S_\mu S_\nu^*$ , with  $\mu, \nu \in B_n(X_A)$ . Hence  $\mathcal{F}_A^{\text{alg}} = \bigcup_{n=1}^\infty \mathcal{F}_A^n$  is a dense  $*$ -subalgebra of  $\mathcal{F}_A$ . We denote by  $E : \mathbb{O}_A \rightarrow \mathcal{F}_A$  the conditional expectation defined by  $E(a) = \int_{\mathbb{T}} \rho_t(a) dt$  for  $a \in \mathbb{O}_A$ . Let  $\mathcal{D}_A$  be the  $C^*$ -subalgebra of  $\mathcal{F}_A$  consisting of all diagonal elements of  $\mathcal{F}_A$ . It is generated by elements  $S_\mu S_\mu^*$  for  $\mu \in B_*(X_A)$  and is isomorphic to the commutative  $C^*$ -algebra  $C(X_A)$  of all complex valued continuous functions on  $X_A$  through the correspondence  $S_\mu S_\mu^* \in \mathcal{D}_A \leftrightarrow \chi_\mu \in C(X_A)$ , where  $\chi_\mu$  denotes the characteristic function on  $X_A$  for the cylinder set  $U_\mu = \{(x_n)_{n \in \mathbb{N}} \in X_A \mid x_1 = \mu_1, \dots, x_k = \mu_k\}$  for  $\mu = \mu_1 \cdots \mu_k \in B_k(X_A)$ . We identify  $C(X_A)$  with the subalgebra  $\mathcal{D}_A$  of  $\mathbb{O}_A$ . Then the following lemma is well known and basic in our further discussions.

**Lemma 2.1** [Cuntz and Krieger 1980, Remark 2.18], and see [Matsumoto 2000, Proposition 3.3]. *The algebra  $\mathcal{D}_A$  is maximal abelian in  $\mathbb{O}_A$ .*

In [1996; 1998], Tomiyama has used the structure of pure state extensions of point evaluations of the underlying space to study the orbit structure of topological dynamical systems of homeomorphisms on compact Hausdorff spaces; see also [Tomiyama 1992a; 1992b]. However for the Cuntz–Krieger algebras, the structure of the pure state extensions of point evaluations of the underlying shift space is not clear. Instead of point evaluations, we will use a representation of the Cuntz–Krieger algebra  $\mathbb{O}_A$  on a Hilbert space having the shift space  $X_A$  as a complete orthonormal basis, as follows. Let  $\mathfrak{H}_A$  be the Hilbert space with complete orthonormal system  $e_x$  for  $x \in X_A$ . This Hilbert space is not separable. Consider the partial isometries  $T_i$  for  $i = 1, \dots, N$  defined by

$$T_i e_x = \begin{cases} e_{ix} & \text{if } ix \in X_A, \\ 0 & \text{otherwise,} \end{cases}$$

where  $ix$  denotes  $ix = (i, x_1, x_2, \dots)$  for  $x = (x_n)_{n \in \mathbb{N}} \in X_A$ . It is easy to see that these isometries satisfy the relations

$$\sum_{j=1}^N T_j T_j^* = 1 \quad \text{and} \quad T_i^* T_i = \sum_{j=1}^N A(i, j) T_j T_j^* \quad \text{for } i = 1, \dots, N.$$

Since  $A$  satisfies condition (I), the operator  $T_i$  is nonzero for each  $i = 1, \dots, N$ , so the correspondence  $S_i \rightarrow T_i$  yields a faithful representation of  $\mathbb{O}_A$  on  $\mathfrak{H}_A$ . We regard the algebra  $\mathbb{O}_A$  as the  $C^*$ -algebra generated by  $T_i$  for  $i = 1, \dots, N$  on the Hilbert space  $\mathfrak{H}_A$  by this representation, and write  $T_i$  as  $S_i$ ; see [Matsumoto 2000, Lemma 4.1].

### 3. Topological full groups of Markov shifts

For  $x = (x_n)_{n \in \mathbb{N}} \in X_A$ , the orbit  $\text{orb}_{\sigma_A}(x)$  of  $x$  under  $\sigma_A$  is defined by

$$\text{orb}_{\sigma_A}(x) = \bigcup_{k=0}^{\infty} \bigcup_{l=0}^{\infty} \sigma_A^{-k}(\sigma_A^l(x)) \subset X_A.$$

Hence  $y = (y_n)_{n \in \mathbb{N}} \in X_A$  belongs to  $\text{orb}_{\sigma_A}(x)$  if and only if there exists an admissible word  $\mu_1 \cdots \mu_k \in B_k(X_A)$  such that

$$y = (\mu_1, \dots, \mu_k, x_{l+1}, x_{l+2}, \dots) \quad \text{for some } k, l \in \mathbb{Z}_+.$$

We denote by  $\text{Homeo}(X_A)$  the group of all homeomorphisms on  $X_A$ . We define the full group  $[\sigma_A]$  and the topological full group  $[\sigma_A]_c$  for  $(X_A, \sigma_A)$  as follows.

**Definition.** Let  $[\sigma_A]$  be the set of all homeomorphism  $\tau \in \text{Homeo}(X_A)$  such that  $\tau(x) \in \text{orb}_{\sigma_A}(x)$  for all  $x \in X_A$ . We call  $[\sigma_A]$  the full group of  $(X_A, \sigma_A)$ . Let  $[\sigma_A]_c$  be the set of all  $\tau$  in  $[\sigma_A]$  such that there exist continuous functions  $k, l : X_A \rightarrow \mathbb{Z}_+$  such that

$$(3-1) \quad \sigma_A^{k(x)}(\tau(x)) = \sigma_A^{l(x)}(x) \quad \text{for all } x \in X_A.$$

We call  $[\sigma_A]_c$  the topological full group for  $(X_A, \sigma_A)$ . The functions  $k$  and  $l$  above are called orbit cocycles for  $\tau$ , and are sometimes written as  $k_\tau$  and  $l_\tau$  respectively. We remark that the orbit cocycles are not necessarily uniquely determined by  $\tau$ .

**Examples.** (i) Put  $F = \left[ \begin{smallmatrix} 1 & 1 \\ 1 & 0 \end{smallmatrix} \right]$ . Define  $\tau \in \text{Homeo}(X_F)$  by setting

$$\tau(x_1, x_2, \dots) = \begin{cases} (2, 1, 1, x_4, x_5, \dots) & \text{if } (x_1, x_2, x_3) = (1, 1, 1), \\ (1, 1, 1, x_4, x_5, \dots) & \text{if } (x_1, x_2, x_3) = (2, 1, 1), \\ (x_1, x_2, x_3, x_4, x_5, \dots) & \text{otherwise.} \end{cases}$$

Since  $\sigma_F(\tau(x)) = \sigma_F(x)$  for all  $x \in X_F$ , by putting  $k(x) = l(x) = 1$  for all  $x \in X_F$ , we see that  $\tau$  belongs to  $[\sigma_F]_c$ .

(ii) More generally, suppose  $A$  is an  $N \times N$  matrix with entries in  $\{0, 1\}$ . For  $i \in \{1, \dots, N\}$  and  $p \in \mathbb{N}$ , we put

$$W_p(i) = \{(\mu_1, \dots, \mu_p) \in B_p(X_A) \mid A(\mu_p, i) = 1\}.$$

We denote by  $\mathfrak{S}(W_p(i))$  the group of all permutations on the set  $W_p(i)$ . Put  $\mathfrak{S}_p(A) = \mathfrak{S}(W_p(1)) \times \dots \times \mathfrak{S}(W_p(N))$ . Then an  $N$ -family  $s = (s_1, \dots, s_N) \in \mathfrak{S}_p(A)$  of permutations defines a homeomorphism  $\tau_s \in \text{Homeo}(X_A)$  by setting

$$\tau_s(x_1, \dots, x_p, x_{p+1}, \dots) = (s_{x_{p+1}}(x_1, \dots, x_p), x_{p+1}, \dots) \quad \text{for } x \in X_A.$$

For all  $x \in X_A$ , it is easy to see that  $\tau_s(x)$  belongs to  $\text{orb}_{\sigma_A}(x)$  and satisfies (3-1) for  $k(x) = l(x) = p$ . Hence  $\tau_s$  yields an element of  $[\sigma_A]_c$ .

Let  $A$  be an arbitrary fixed  $N \times N$  matrix with entries in  $\{0, 1\}$  and satisfying condition (I). The following lemma is direct.

**Lemma 3.1.**  $[\sigma_A]$  is a subgroup of  $\text{Homeo}(X_A)$  and  $[\sigma_A]_c$  is a subgroup of  $[\sigma_A]$ .

Although  $\sigma_A$  itself does not belong to  $[\sigma_A]$ , the following lemma shows that  $\sigma_A$  locally belongs to  $[\sigma_A]_c$ , and the group  $[\sigma_A]_c$  is not trivial in any case.

**Lemma 3.2.** Assume that  $A$  is irreducible. For any  $\mu \in B_2(X_A)$ , there exist  $\tau_\mu \in [\sigma_A]_c$  and continuous functions  $k_{\tau_\mu}, l_{\tau_\mu} : X_A \rightarrow \mathbb{Z}_+$  such that

$$(3-2) \quad \begin{cases} \sigma_A^{k_{\tau_\mu}(x)}(\tau_\mu(x)) = \sigma_A^{l_{\tau_\mu}(x)}(x) & \text{for } x \in X_A, \\ \tau_\mu(y) = \sigma_A(y) & \text{for } y \in U_\mu, \\ k_{\tau_\mu}(y) = 0, \quad l_{\tau_\mu}(y) = 1 & \text{for } y \in U_\mu. \end{cases}$$

*Proof.* For  $\mu = (\mu_1, \mu_2) \in B_2(X_A)$ , we have two cases.

*Case 1:*  $\mu_1 = \mu_2$ . Put  $a = \mu_1$ . Since  $A$  is irreducible, there exists  $b_1 \in \{1, \dots, N\}$  such that  $b_1 \neq a$  and  $A(b_1, a) = 1$ . Put  $\{b_1, \dots, b_{N-1}\} = \{1, \dots, N\} \setminus \{a\}$ . Let  $\{b_{i_1}, \dots, b_{i_M}\}$  be the set of elements of  $\{b_1, \dots, b_{N-1}\}$  satisfying  $A(a, b_{i_1}) = \dots = A(a, b_{i_M}) = 1$ . The set  $\{b_{i_1}, \dots, b_{i_M}\}$  is nonempty because  $A$  satisfies condition (I). Define a homeomorphism  $\tau_\mu : X_A \rightarrow X_A$  by setting

$$\tau_\mu(x) = \begin{cases} \sigma_A(x) \in U_a & \text{if } x \in U_{aa}, \\ b_1 a b_{i_1} x_{[3, \infty)} \in U_{b_1 a b_{i_1}} & \text{if } x = a b_{i_1} x_{[3, \infty)} \in U_{a b_{i_1}}, \\ \vdots & \vdots \\ b_1 a b_{i_M} x_{[3, \infty)} \in U_{b_1 a b_{i_M}} & \text{if } x = a b_{i_M} x_{[3, \infty)} \in U_{a b_{i_M}}, \\ b_1 a a x_{[3, \infty)} \in U_{b_1 a a} & \text{if } x = b_1 a x_{[3, \infty)} \in U_{b_1 a}, \\ x & \text{otherwise.} \end{cases}$$

We set

$$k_{\tau_\mu}(x) = \begin{cases} 0 & \text{if } x \in U_{aa}, \\ 1 & \text{if } x \in U_{abi_1}, \\ \vdots & \vdots \\ 1 & \text{if } x \in U_{abi_M}, \\ 2 & \text{if } x \in U_{b_1a}, \\ 0 & \text{otherwise,} \end{cases} \quad l_{\tau_\mu}(x) = \begin{cases} 1 & \text{if } x \in U_{aa}, \\ 0 & \text{if } x \in U_{abi_1}, \\ \vdots & \vdots \\ 0 & \text{if } x \in U_{abi_M}, \\ 1 & \text{if } x \in U_{b_1a}, \\ 0 & \text{otherwise,} \end{cases}$$

so that

$$\sigma_A^{k_{\tau_\mu}(x)}(\tau_\mu(x)) = \sigma_A^{l_{\tau_\mu}(x)}(x) \quad \text{for } x \in X_A.$$

Hence  $\tau_\mu \in [\sigma_A]_c$  and  $\tau_\mu(y) = \sigma_A(y)$ ,  $k_{\tau_\mu}(y) = 0$ , and  $l_{\tau_\mu}(y) = 1$  for  $y \in U_\mu = U_{aa}$ .

*Case 2:*  $\mu_1 \neq \mu_2$ . Put  $a = \mu_1$  and  $b = \mu_2$ . Define a homeomorphism  $\tau_\mu : X_A \rightarrow X_A$  by setting

$$\tau_\mu(x) = \begin{cases} \sigma_A(x) \in U_b & \text{if } x \in U_{ab}, \\ ax \in U_{ab} & \text{if } x \in U_b, \\ x & \text{otherwise.} \end{cases}$$

We set

$$k_{\tau_\mu}(x) = \begin{cases} 0 & \text{if } x \in U_{ab}, \\ 1 & \text{if } x \in U_b, \\ 0 & \text{otherwise,} \end{cases} \quad l_{\tau_\mu}(x) = \begin{cases} 1 & \text{if } x \in U_{ab}, \\ 0 & \text{if } x \in U_b, \\ 0 & \text{otherwise,} \end{cases}$$

so that

$$\sigma_A^{k_{\tau_\mu}(x)}(\tau_\mu(x)) = \sigma_A^{l_{\tau_\mu}(x)}(x) \quad \text{for } x \in X_A.$$

Hence  $\tau_\mu \in [\sigma_A]_c$  and

$$\tau_\mu(y) = \sigma_A(y), \quad k_{\tau_\mu}(y) = 0, \quad l_{\tau_\mu}(y) = 1 \quad \text{for } y \in U_\mu = U_{ab}. \quad \square$$

By a similar argument, this lemma holds for any word  $\mu$  with any length  $|\mu| \geq 2$ .

**Lemma 3.3.** *For  $x = (x_n)_{n \in \mathbb{N}} \in X_A$  and  $j \in \{1, \dots, N\}$  with  $jx = (j, x_1, x_2, \dots)$  in  $X_A$ , there exists  $\tau \in [\sigma_A]_c$  such that  $\tau(x) = jx$ .*

*Proof.* If  $x = j^\infty = (j, j, \dots)$ , we may choose  $\text{id}$  as  $\tau$ . If  $x \neq j^\infty$ , there exists  $k \in \mathbb{N}$  and  $i \in \{1, \dots, N\}$  with  $i \neq j$  such that  $x_n = j$  for  $1 \leq n \leq k-1$  and  $x_k = i$ .

Put

$$\mu = \underbrace{(j, \dots, j)}_{k-1}, i) \in B_k(X_A) \quad \text{and} \quad \nu = \underbrace{(j, \dots, j)}_k, i) = j\mu \in B_{k+1}(X_A),$$



so that  $x \in U_\mu$ . Define  $\tau : X_A \rightarrow X_A$  by setting

$$\tau(y_1, y_2, y_3, \dots) = \begin{cases} (j, y_1, y_2, \dots) & \text{if } y \in U_\mu, \\ (y_2, y_3, y_4, \dots) & \text{if } y \in U_\nu, \\ (y_1, y_2, y_3, \dots) & \text{otherwise.} \end{cases}$$

Since  $U_\mu \cap U_\nu = \emptyset$ , we see that  $\tau : X_A \rightarrow X_A$  yields an element of  $[\sigma_A]_c$ .  $\square$

Put  $[\sigma_A]_c(x) = \{\tau(x) \in X_A \mid \tau \in [\sigma_A]_c\}$  for  $x \in X_A$ .

**Lemma 3.4.**  $[\sigma_A]_c(x) = \text{orb}_{\sigma_A}(x)$  for  $x \in X_A$ .

*Proof.* For any  $\tau \in [\sigma_A]$ , there exist continuous functions  $k, l : X_A \rightarrow \mathbb{Z}_+$  such that  $\tau(x) = (\mu_1(x), \dots, \mu_{k(x)}(x), x_{l(x)+1}, x_{l(x)+2}, \dots)$  for some  $(\mu_1(x), \dots, \mu_{k(x)}(x))$  in  $B_{k(x)}(X_A)$ . Thus  $\tau(x) \in \text{orb}_{\sigma_A}(x)$  is clear, and hence  $[\sigma_A]_c(x) \subset \text{orb}_{\sigma_A}(x)$ .

Now for the other inclusion. By the previous lemmas, for  $x = (x_n)_{n \in \mathbb{N}} \in X_A$  and  $j = \{1, \dots, N\}$  with  $jx \in X_A$ , there exist  $\tau_1, \tau_2 \in [\sigma_A]_c$  such that

$$\tau_1(x) = (j, x_1, x_2, \dots) \quad \text{and} \quad \tau_2(x) = (x_2, x_3, \dots),$$

so that  $[\sigma_A]_c(x) \ni (j, x_1, x_2, \dots), (x_2, x_3, \dots)$ . Since  $[\sigma_A]_c$  is a group, we see that

$$[\sigma_A]_c(x) \ni (\mu_1, \dots, \mu_k, x_{l+1}, x_{l+2}, \dots) \quad \text{for all } k, l \in \mathbb{Z}_+, \text{ and} \\ (\mu_1, \dots, \mu_k) \in B_k(X_A) \quad \text{with } (\mu_1, \dots, \mu_k, x_{l+1}, x_{l+2}, \dots) \in X_A.$$

Hence  $[\sigma_A]_c(x) \supset \text{orb}_{\sigma_A}(x)$ .  $\square$

#### 4. Full groups and normalizers

In this section, we will study the topological full group  $[\sigma_A]_c$  and the normalizer  $N(\mathbb{C}_A, \mathfrak{D}_A)$ . We denote by  $\mathcal{U}(\mathbb{C}_A)$  and  $\mathcal{U}(\mathfrak{D}_A)$  the groups of unitaries of  $\mathbb{C}_A$  and  $\mathfrak{D}_A$  respectively. The normalizer  $N(\mathbb{C}_A, \mathfrak{D}_A)$  of  $\mathfrak{D}_A$  in  $\mathbb{C}_A$  is defined by

$$N(\mathbb{C}_A, \mathfrak{D}_A) = \{v \in \mathcal{U}(\mathbb{C}_A) \mid v\mathfrak{D}_A v^* = \mathfrak{D}_A\}.$$

We will identify the algebra  $C(X_A)$  with the subalgebra  $\mathfrak{D}_A$  of  $\mathbb{C}_A$ . For  $v \in \mathcal{U}(\mathbb{C}_A)$ , we put  $\text{Ad}(v)(a) = vav^*$  for  $a \in \mathbb{C}_A$ .

**Proposition 4.1.** For  $\tau \in [\sigma_A]_c$ , there exists a unitary  $u_\tau \in N(\mathbb{C}_A, \mathfrak{D}_A)$  such that

$$\text{Ad}(u_\tau)(f) = f \circ \tau^{-1} \quad \text{for } f \in \mathfrak{D}_A,$$

and  $\tau \in [\sigma_A]_c \rightarrow u_\tau \in N(\mathbb{C}_A, \mathfrak{D}_A)$  is a group homomorphism.

*Proof.* Let the  $C^*$ -algebra  $\mathbb{C}_A$  be represented on the Hilbert space  $\mathfrak{H}_A$  with complete orthonormal basis  $\{e_x \mid x \in X_A\}$ . Then the generating partial isometries  $S_i$  for  $i = 1, \dots, N$  act on  $\mathfrak{H}_A$  by  $S_i e_x = e_{ix}$  if  $ix \in X_A$ , and otherwise  $S_i e_x = 0$ . Since  $\tau : X_A \rightarrow X_A$  is a homeomorphism, the operator  $u_\tau$  on  $\mathfrak{H}_A$  defined by  $u_\tau e_x = e_{\tau(x)}$

for  $x \in X_A$  yields a unitary on  $\mathfrak{H}_A$ . We will prove that  $u_\tau$  belongs to  $\mathbb{O}_A$ . Let  $l, k : X_A \rightarrow \mathbb{Z}_+$  be continuous functions satisfying (3-1). Since both  $k(X_A)$  and  $l(X_A)$  are finite sets of  $\mathbb{Z}_+$ , there exist

$$\tilde{k} = \max\{k(x) \mid x \in X_A\} \quad \text{and} \quad \tilde{l} = \max\{l(x) \mid x \in X_A\} \quad \text{in } \mathbb{Z}_+.$$

Take  $\mu(x) = (\mu_1(x), \dots, \mu_{k(x)}(x)) \in B_{k(x)}(X_A)$  such that

$$\tau(x) = (\mu_1(x), \dots, \mu_{k(x)}(x), x_{l(x)+1}, x_{l(x)+2}, x_{l(x)+3}, \dots).$$

The set of words  $\{(\mu_1(x), \dots, \mu_{k(x)}(x)) \in B_{k(x)}(X_A) \mid x \in X_A\}$  is a finite subset of

$$W_{\tilde{k}}(X_A) = \bigcup_{j=0, \dots, \tilde{k}} B_j(X_A).$$

The map  $x \in X_A \rightarrow (\mu_1(x), \dots, \mu_{k(x)}(x)) \in W_{\tilde{k}}(X_A)$  is continuous, where  $W_{\tilde{k}}(X_A)$  is endowed with discrete topology. For any word  $v = v_1 \cdots v_j \in W_{\tilde{k}}(X_A)$  with  $j \leq \tilde{k}$  and  $0 \leq n \leq \tilde{l}$ , the sets

$$E_v = \{x \in X_A \mid \mu_1(x) = v_1, \dots, \mu_{k(x)}(x) = v_j\} \quad \text{and} \quad F_n = \{x \in X_A \mid l(x) = n\}$$

are clopen in  $X_A$ . Define the projections  $Q_v = \chi_{E_v}$  and  $P_n = \chi_{F_n}$  in  $\mathfrak{D}_A$ . Since  $X_A$  is composed of disjoint unions

$$X_A = \bigcup_{v \in W_{\tilde{k}}(X_A)} E_v = \bigcup_{n=0, \dots, \tilde{l}} F_n,$$

we have

$$\sum_{v \in W_{\tilde{k}}(X_A)} Q_v = \sum_{n=0, \dots, \tilde{l}} P_n = 1.$$

For  $x \in X_A$  and  $v \in W_{\tilde{k}}$  with  $0 \leq n \leq \tilde{l}$ , we have  $x \in E_v \cap F_n$  if and only if  $e_{\tau(x)} = S_v e_{\sigma_A^n(x)}$ , so that

$$e_{\tau(x)} = \sum_{n=0, \dots, \tilde{l}} \sum_{v \in W_{\tilde{k}}} \left( S_v \sum_{\zeta \in B_n(X_A)} S_\zeta^* \right) P_n Q_v e_x \quad \text{for } x \in X_A.$$

Therefore

$$u_\tau = \sum_{n=0, \dots, \tilde{l}} \sum_{v \in W_{\tilde{k}}} \left( S_v \sum_{\zeta \in B_n(X_A)} S_\zeta^* \right) P_n Q_v,$$

which belongs to the algebra  $\mathbb{O}_A$ . The equality

$$\text{Ad}(u_\tau)(f) = f \circ \tau^{-1} \quad \text{for } f \in \mathfrak{D}_A.$$

is straightforward from the definition  $u_\tau e_x = e_{\tau(x)}$  for  $x \in X_A$  of  $u_\tau$ .  $\square$

For  $v \in N(\mathbb{C}_A, \mathfrak{D}_A)$ ,  $\text{Ad}(v)$  induces an automorphism on the algebras  $\mathbb{C}_A$  and  $\mathfrak{D}_A$ . Let  $\tau_v$  denote the homeomorphism on  $X_A$  induced by  $\text{Ad}(v) : \mathfrak{D}_A \rightarrow \mathfrak{D}_A$  satisfying  $\text{Ad}(v)(f) = f \circ \tau_v^{-1}$  for  $f \in \mathfrak{D}_A$ . We will prove that  $\tau_v$  gives rise to an element of  $[\sigma_A]_c$ . We fix  $v \in N(\mathbb{C}_A, \mathfrak{D}_A)$  for a while.

**Lemma 4.2.** *There exists a family  $v_m$  for  $m \in \mathbb{Z}$  of partial isometries in  $\mathbb{C}_A$  such that all but finitely many  $v_m$  for  $m \in \mathbb{Z}$  are zero, and with these properties:*

- (1)  $v = \sum_{m \in \mathbb{Z}} v_m$ , where the nonzero  $v_m$ ,  $m \in \mathbb{Z}$  are finite.
- (2)  $v_m \mathfrak{D}_A v_m^* \subset \mathfrak{D}_A$  and  $v_m^* \mathfrak{D}_A v_m \subset \mathfrak{D}_A$  for  $m \in \mathbb{Z}$ .
- (3)  $v_m^* v_m$  and  $v_m v_m^*$  are projections in  $\mathfrak{D}_A$  for  $m \in \mathbb{Z}$ .
- (4)  $v_m^* v_{m'} = v_m v_{m'}^* = 0$  for  $m \neq m'$ .
- (5)  $v_0 \in \mathcal{F}_A$ .

*Proof.* Put  $g(t) = v^* \rho_t(v) \in \mathbb{C}_A$  for  $t \in \mathbb{T}$ . For  $f \in \mathfrak{D}_A$ , we have

$$\rho_t(v) f \rho_t(v)^* = \rho_t(v f v^*) = v f v^*,$$

so that  $v^* \rho_t(v)$  commutes with each element of  $\mathfrak{D}_A$ . By Lemma 2.1,  $g(t)$  belongs to the algebra  $\mathfrak{D}_A$ . We put

$$v_m = \int_0^{2\pi} \rho_t(v) e^{-\sqrt{-1}mt} dt \quad \text{and} \quad \hat{g}(m) = \int_0^{2\pi} g(t) e^{-\sqrt{-1}mt} dt \quad \text{for } m \in \mathbb{Z}.$$

Then  $v_m = v \hat{g}(m)$ . Since  $g(t) \in \mathfrak{D}_A$ , we have

$$g(t)^* = \rho_t(v^* \rho_{-t}(v)) = g(-t) \quad \text{and} \quad g(t)g(s) = v^* \rho_t(v) \rho_t(v^* \rho_s(v)) = g(t+s),$$

so that  $\hat{g}(m)$  for  $m \in \mathbb{Z}$  are projections in  $\mathfrak{D}_A$  such that  $\hat{g}(m)\hat{g}(m') = 0$  for  $m \neq m'$ . Regard  $g(t) \in \mathfrak{D}_A$  as a function on  $X_A$ . For  $x \in X_A$ , we see that  $|g(t)(x)|^2 = \langle g(t)e_x | g(t)e_x \rangle = 1$ , so that by Parseval's identity

$$1 = \int_0^{2\pi} |g(t)(x)|^2 dt = \sum_{m \in \mathbb{Z}} \left| \int_0^{2\pi} g(t)(x) e^{-\sqrt{-1}mt} dt \right|^2 = \sum_{m \in \mathbb{Z}} \|\hat{g}(m)(x)e_x\|^2.$$

Put  $E_m = \text{supp}(\hat{g}(m))$  a clopen set in  $X_A$  for  $m \in \mathbb{Z}$ . By the equality above, we have  $X_A = \bigcup_{m \in \mathbb{Z}} E_m$  and  $E_m \cap E_{m'} = \emptyset$  for  $m \neq m'$ . By the compactness of  $X_A$ , all but finitely many  $E_m$  are empty. Then elements  $v_m^* v_m = \hat{g}(m)$  and  $v_m v_m^* = v \hat{g}(m) v^*$  are both projections in  $\mathfrak{D}_A$ . It follows that

$$v_m \mathfrak{D}_A v_m^* = v \hat{g}(m) \mathfrak{D}_A \hat{g}(m) v^* \subset \mathfrak{D}_A \quad \text{and} \quad v_m^* \mathfrak{D}_A v_m = \hat{g}(m) v^* \mathfrak{D}_A v \hat{g}(m) \subset \mathfrak{D}_A,$$

because  $\hat{g}(m) \in \mathfrak{D}_A$ . Therefore parts (1), (2), (3) and (4) hold. For part (5), we have

$$v_0 = v \hat{g}(0) = v \int_0^{2\pi} v^* \rho_t(v) dt = E(v) \in \mathcal{F}_A. \quad \square$$

**Lemma 4.3.** *For a fixed  $n \in \mathbb{N}$ , there exist partial isometries  $v_\mu, v_{-\mu} \in \overline{\mathcal{F}}_A$  for each  $\mu \in B_n(X_A)$  satisfying the following conditions:*

- (1)  $v_n = \sum_{\mu \in B_n(X_A)} S_\mu v_\mu$  and  $v_{-n} = \sum_{\mu \in B_n(X_A)} v_{-\mu} S_\mu^*$ .  
 (2)  $v_\mu^* v_\mu, S_\mu v_\mu v_\mu^* S_\mu^*, S_\mu v_{-\mu}^* v_{-\mu} S_\mu^*$  and  $v_{-\mu} v_{-\mu}^*$  are projections in  $\mathcal{D}_A$  such that

$$\begin{aligned} v_n^* v_n &= \sum v_\mu^* v_\mu, & v_n v_n^* &= \sum S_\mu v_\mu v_\mu^* S_\mu^*, \\ v_{-n}^* v_{-n} &= \sum S_\mu v_{-\mu}^* v_{-\mu} S_\mu^*, & v_{-n} v_{-n}^* &= \sum v_{-\mu} v_{-\mu}^*, \end{aligned}$$

where the sums are over all  $\mu \in B_n(X_n)$ .

- (3)  $v_\mu v_\nu^* = v_{-\mu}^* v_{-\nu} = 0$  for  $\mu, \nu \in B_n(X_A)$  with  $\mu \neq \nu$ .  
 (4)  $v_\mu \mathcal{D}_A v_\mu^*, v_\mu^* \mathcal{D}_A v_\mu, v_{-\mu} \mathcal{D}_A v_{-\mu}^*$  and  $v_{-\mu}^* \mathcal{D}_A v_{-\mu}$  are contained in  $\mathcal{D}_A$ .

*Proof.* For  $\mu \in B_n(X_A)$ , put  $v_\mu = E(S_\mu^* v)$  and  $v_{-\mu} = E(v S_\mu)$ . They belong to  $\overline{\mathcal{F}}_A$  and satisfy  $S_\mu^* S_\mu v_\mu = v_\mu$  and  $v_{-\mu} S_\mu^* S_\mu = v_{-\mu}$ . Then we have

$$\begin{aligned} S_\mu^* v_n &= \int_0^{2\pi} S_\mu^* \rho_t(v) e^{-\sqrt{-1}nt} dt = E(S_\mu^* v) = v_\mu, \\ v_{-n} S_\mu &= \int_0^{2\pi} \rho_t(v) e^{\sqrt{-1}nt} S_\mu dt = E(v S_\mu) = v_{-\mu}. \end{aligned}$$

Hence we have  $v_n = \sum_{\mu \in B_n(X_A)} S_\mu v_\mu$  and  $v_{-n} = \sum_{\mu \in B_n(X_A)} v_{-\mu} S_\mu^*$ . Thus (1) holds. We then have

$$\begin{aligned} v_\mu^* v_\mu &= v_n^* S_\mu S_\mu^* v_n = \hat{g}(n) v^* S_\mu S_\mu^* v \hat{g}(n), \\ S_\mu v_\mu v_\mu^* S_\mu^* &= S_\mu S_\mu^* v_n v_n^* S_\mu S_\mu^* = S_\mu S_\mu^* v \hat{g}(n) v^* S_\mu S_\mu^*, \\ S_\mu v_{-\mu}^* v_{-\mu} S_\mu^* &= S_\mu S_\mu^* v_{-n}^* v_{-n} S_\mu S_\mu^* = S_\mu S_\mu^* \hat{g}(-n) S_\mu S_\mu^*, \\ v_{-\mu} v_{-\mu}^* &= v_{-n} S_\mu S_\mu^* v_{-n}^* = v \hat{g}(-n) S_\mu S_\mu^* \hat{g}(-n) v^*. \end{aligned}$$

Since  $\hat{g}(n)$  and  $\hat{g}(-n)$  are projections in  $\mathcal{D}_A$ , and  $v \mathcal{D}_A v^* = \mathcal{D}_A$ , the elements above are projections in  $\mathcal{D}_A$ , so that (2) and (3) hold. Since

$$v_\mu = S_\mu^* v_n = S_\mu^* v \hat{g}(n) \quad \text{and} \quad v_{-\mu} = v_{-n} S_\mu = v \hat{g}(-n) S_\mu$$

the assertion (4) is immediate.  $\square$

Let  $u \in \mathbb{C}_A$  be a partial isometry satisfying

$$u \mathcal{D}_A u^* \subset \mathcal{D}_A \quad \text{and} \quad u^* \mathcal{D}_A u \subset \mathcal{D}_A.$$

Define the projections  $p_u = u^* u$  and  $q_u = u u^* \in \mathcal{D}_A$  and clopen sets  $X_u = \text{supp}(p_u)$  and  $Y_u = \text{supp}(q_u) \subset X_A$ . Then  $\text{Ad}(u) : \mathcal{D}_A p_u \rightarrow \mathcal{D}_A q_u$  yields an isomorphism and induces a homeomorphism  $h_u : X_u \rightarrow Y_u$  such that

$$\text{Ad}(u)(g) = g \circ h_u^{-1} \in \mathcal{D}_A q_u (= C(Y_u)) \quad \text{for } g \in \mathcal{D}_A p_u (= C(X_u)).$$

**Lemma 4.4.** *Keep the notation above. For  $x \in X_u$ , put  $y = h_u(x) \in Y_u$ . Then we have*

$$\|S_{y_{[1,n]}}^* u S_{x_{[1,n]}}\| = 1 \quad \text{for all } n \in \mathbb{N}.$$

*Proof.* Since  $S_{y_{[1,n]}}^* u S_{x_{[1,n]}}$  is a partial isometry, we see that  $\|S_{y_{[1,n]}}^* u S_{x_{[1,n]}}\| = 1$  for all  $n \in \mathbb{N}$  or  $\|S_{y_{[1,n]}}^* u S_{x_{[1,n]}}\| = 0$  for all  $n > N_0$  for some  $N_0$ . It suffices to show that  $S_{y_{[1,n]}}^* u S_{x_{[1,n]}} \neq 0$  for all  $n \in \mathbb{N}$ . One then sees that

$$(S_{y_{[1,n]}}^* u S_{x_{[1,n]}} S_{x_{[1,n]}}^* u^* S_{y_{[1,n]}} e_{\sigma_A^n(y)} \mid e_{\sigma_A^n(y)}) = (\text{Ad}(u)(\chi) e_y \mid e_y),$$

where  $\chi$  denotes the characteristic function on  $X_A$  for the cylinder set  $U_{x_{[1,n]}}$  of the word  $x_{[1,n]}$ . Since

$$\text{Ad}(u)(\chi) e_y = (\chi \circ h_u^{-1})(y) e_y = \chi(x) e_y = e_y,$$

we obtain

$$(S_{y_{[1,n]}}^* u S_{x_{[1,n]}} S_{x_{[1,n]}}^* u^* S_{y_{[1,n]}} e_{\sigma_A^n(y)} \mid e_{\sigma_A^n(y)}) = (e_y \mid e_y) = 1,$$

so that  $S_{y_{[1,n]}}^* u S_{x_{[1,n]}} \neq 0$ . □

The following is key:

**Lemma 4.5.** *Keep the situation above. Assume that  $u \in \mathcal{F}_A$ . Then there exists  $k \in \mathbb{N}$  such that for all  $x = (x_n)_{n \in \mathbb{N}} \in X_u$ , we have  $y_n = x_n$  for all  $n > k$ , where  $y = (y_n)_{n \in \mathbb{N}} = h_u(x)$ .*

*Proof.* Suppose that for any  $k \in \mathbb{N}$  there exist  $x \in X_u$  and  $N > k$  such that  $y_N \neq x_N$ . Now  $u \in \mathcal{F}_A$ , and take  $u' \in \mathcal{F}_A^{k_0}$  for some  $k_0$  such that  $\|u - u'\| < \frac{1}{2}$ . Take  $x \in X_u$  and  $N_0 > k_0$  such that  $y_{N_0} \neq x_{N_0}$ . Since  $u'$  belongs to  $\mathcal{F}_A^{N_0-1}$ , it can be written as

$$u' = \sum_{\xi, \eta \in B_{N_0-1}(X_A)} c_{\xi, \eta} S_{\xi}^* S_{\eta}^* \in \mathcal{F}_A^{N_0-1} \quad \text{for some } c_{\xi, \eta} \in \mathbb{C}.$$

Hence we have

$$S_{y_{[1, N_0-1]}}^* u' S_{x_{[1, N_0-1]}} = c_{y_{[1, N_0-1]}, x_{[1, N_0-1]}} S_{y_{[1, N_0-1]}}^* S_{y_{[1, N_0-1]}} S_{x_{[1, N_0-1]}}^* S_{x_{[1, N_0-1]}} = 0$$

so that

$$S_{y_{[1, N_0]}}^* u' S_{x_{[1, N_0]}} = c_{y_{[1, N_0-1]}, x_{[1, N_0-1]}} S_{y_{N_0}}^* S_{y_{[1, N_0-1]}}^* S_{y_{[1, N_0-1]}} S_{x_{[1, N_0-1]}}^* S_{x_{[1, N_0-1]}} S_{x_{N_0}} = 0$$

because  $y_{N_0} \neq x_{N_0}$ . Hence we have  $S_{y_{[1,n]}}^* u' S_{x_{[1,n]}} = 0$  for  $n > N_0$ . For  $n > N_0$ , it then follows that

$$\|S_{y_{[1,n]}}^* u S_{x_{[1,n]}}\| = \|S_{y_{[1,n]}}^* (u - u') S_{x_{[1,n]}}\| < \frac{1}{2}.$$

This contradicts the preceding lemma. □

Thus we have this:

**Lemma 4.6.** For a partial isometry  $u \in \mathcal{F}_A$  satisfying

$$u\mathcal{D}_{Au^*} \subset \mathcal{D}_A \quad \text{and} \quad u^*\mathcal{D}_{Au} \subset \mathcal{D}_A,$$

there exists  $k_u \in \mathbb{N}$  such that the homeomorphism  $h_u : \text{supp}(u^*u) \rightarrow \text{supp}(uu^*)$  defined by  $\text{Ad}(u)(g) = g \circ h_u^{-1}$  for  $g \in \mathcal{D}_{Au^*}u$  satisfies the condition

$$\sigma_A^{k_u}(h_u(x)) = \sigma_A^{k_u}(x) \quad \text{for } x \in \text{supp}(u^*u).$$

**Proposition 4.7.** For any  $v \in N(\mathbb{C}_A, \mathcal{D}_A)$ , the homeomorphism  $\tau_v$  on  $X_A$  induced by the automorphism of  $\mathcal{D}_A$  defined by the restriction of  $\text{Ad}(v)$  to  $\mathcal{D}_A$  gives rise to an element of the topological full group  $[\sigma_A]_c$ .

*Proof.* For  $v \in N(\mathbb{C}_A, \mathcal{D}_A)$ , let  $v_m, m \in \mathbb{Z}$  be the partial isometries in  $\mathbb{C}_A$  as in Lemma 4.2. Take  $K \in \mathbb{N}$  such that  $v_m = 0$  for all  $m \in \mathbb{Z}$  with  $|m| > K$ , and hence  $v = \sum_{m=-K}^K v_m$ . We have

$$\text{Ad}(v)(f) = \sum_{n=1}^K v_n f v_n^* + v_0 f v_0^* + \sum_{n=1}^K v_{-n} f v_{-n}^* \quad \text{for } f \in \mathcal{D}_A.$$

Since  $v_m^* v_m$  and  $v_m v_m^*$  are projections in  $\mathcal{D}_A$ , we may put clopen sets

$$X_A^{(m)} = \text{supp}(v_m^* v_m) \quad \text{and} \quad Y_A^{(m)} = \text{supp}(v_m v_m^*) \quad \text{for } m \in \mathbb{Z} \text{ with } |m| \leq K$$

in  $X_A$  such that  $X_A$  is made of disjoint unions:  $X_A = \bigcup_{|m| \leq K} X_A^{(m)} = \bigcup_{|m| \leq K} Y_A^{(m)}$ . Since  $v_0 \in \mathcal{F}_A$ , by Lemma 4.6, there exists  $k_0 \in \mathbb{N}$  such that

$$(4-1) \quad \sigma_A^{k_0}(\tau_0(x)) = \sigma_A^{k_0}(x) \quad \text{for } x \in X_A^{(0)},$$

where  $\tau_0 : X_A^{(0)} \rightarrow Y_A^{(0)}$  is the homeomorphism satisfying  $\text{Ad}(v_0)(f) = f \circ \tau_0^{-1}$  for  $f \in \mathcal{D}_A v_0^* v_0$ . For  $v_n, v_{-n}$  and  $1 \leq n \leq K$ , by Lemma 4.3, we have, for  $f \in \mathcal{D}_A$

$$v_n f v_n^* = \sum_{\mu \in B_n(X_A)} S_\mu v_\mu f v_\mu^* S_\mu^* \quad \text{and} \quad v_{-n} f v_{-n}^* = \sum_{\mu \in B_n(X_A)} v_{-\mu} S_\mu^* f S_\mu v_{-\mu}^*.$$

Put

$$\begin{aligned} X_A^{(n, \mu)} &= \text{supp}(v_\mu^* v_\mu) & X_A^{(-n, \mu)} &= \text{supp}(S_\mu v_{-\mu}^* v_{-\mu} S_\mu^*), \\ Y_A^{(n, \mu)} &= \text{supp}(S_\mu v_\mu v_\mu^* S_\mu^*), & Y_A^{(-n, \mu)} &= \text{supp}(v_{-\mu} v_{-\mu}^*). \end{aligned}$$

By Lemma 4.3,  $X_A^{(m)} = \bigcup_{\mu \in B_{|m|}(X_A)} X_A^{(m, \mu)}$  and  $Y_A^{(m)} = \bigcup_{\mu \in B_{|m|}(X_A)} Y_A^{(m, \mu)}$  for  $|m| \leq K$ . There exists a homeomorphism

$$\tau_{(m, \mu)} : X_A^{(m, \mu)} \rightarrow Y_A^{(m, \mu)} \quad \text{for } m \in \mathbb{Z} \text{ with } |m| \leq K$$

such that

$$\begin{aligned} \text{Ad}(S_\mu v_\mu)(f) &= f \circ \tau_{(n,\mu)}^{-1} & \text{for } f \in \mathfrak{D}_A v_\mu^* v_\mu, \\ \text{Ad}(v_{-\mu} S_\mu^*)(g) &= g \circ \tau_{(-n,\mu)}^{-1} & \text{for } g \in \mathfrak{D}_A S_\mu v_{-\mu}^* v_{-\mu} S_\mu^* \end{aligned}$$

for  $n \in \mathbb{N}$  with  $1 \leq n \leq K$ . As  $v_\mu, v_{-\mu} \in \mathfrak{F}_A$ , there exist  $k_{(n,\mu)}, k_{(-n,\mu)} \in \mathbb{N}$  such that

$$\begin{aligned} \sigma_A^{k_{(n,\mu)}}(\tau_{(n,\mu)}(x)) &= \sigma_A^{k_{(n,\mu)}+n}(x) & \text{for } x \in X_A^{(n,\mu)}, \\ \sigma_A^{k_{(n,\mu)}+n}(\tau_{(-n,\mu)}(x)) &= \sigma_A^{k_{(n,\mu)}}(x) & \text{for } x \in X_A^{(-n,\mu)}. \end{aligned}$$

Since we have

$$\tau_v(x) = \begin{cases} \tau_{(n,\mu)}(x) & \text{for } x \in X_A^{(n,\mu)}, \\ \tau_0(x) & \text{for } x \in X_A^{(0)}, \\ \tau_{(-n,\mu)}(x) & \text{for } x \in X_A^{(-n,\mu)} \end{cases}$$

and  $X_A$  is made of disjoint unions as

$$X_A = X_A^{(0)} \cup \bigcup_{1 \leq |m| \leq K} \bigcup_{\mu \in B_{|m|}(X_A)} X_A^{(m,\mu)} = Y_A^{(0)} \cup \bigcup_{1 \leq |m| \leq K} \bigcup_{\mu \in B_{|m|}(X_A)} Y_A^{(m,\mu)},$$

where  $X_A^{(0)}, X_A^{(m,\mu)}$  and  $Y_A^{(0)}, Y_A^{(m,\mu)}$  for  $1 \leq |m| \leq K$  and  $\mu \in B_{|m|}(X_A)$  are clopen sets, we conclude that  $\tau_v \in [\sigma_A]_c$ .  $\square$

There is a natural embedding  $\text{id}$  of the unitaries  $\mathcal{U}(\mathfrak{D}_A)$  into  $N(\mathbb{C}_A, \mathfrak{D}_A)$ . For  $v \in N(\mathbb{C}_A, \mathfrak{D}_A)$ , the induced homeomorphism  $\tau_v$  on  $X_A$  gives rise to an element of  $[\sigma_A]_c$  by the above proposition.

**Theorem 4.8.** *The sequence  $1 \rightarrow \mathcal{U}(\mathfrak{D}_A) \xrightarrow{\text{id}} N(\mathbb{C}_A, \mathfrak{D}_A) \xrightarrow{\tau} [\sigma_A]_c \rightarrow 1$  is exact and splits.*

*Proof.* By [Proposition 4.7](#), the map  $\tau : v \in N(\mathbb{C}_A, \mathfrak{D}_A) \rightarrow \tau_v \in [\sigma_A]_c$  defines a homomorphism. It is surjective by [Proposition 4.1](#). Suppose that  $\tau_v = \text{id}$  on  $X_A$  for some  $v \in N(\mathbb{C}_A, \mathfrak{D}_A)$ . This means that  $\text{Ad}(v) = \text{id}$  on  $\mathfrak{D}_A$ . Hence  $v$  commutes with all of elements of  $\mathfrak{D}_A$ . By [Lemma 2.1](#),  $v$  belongs to  $\mathfrak{D}_A$ . Therefore the sequence is exact. As in [Proposition 4.1](#), for  $\tau \in [\sigma_A]_c$ , the unitary  $u_\tau$  defined by setting  $u_\tau e_x = e_{\tau(x)}$  for  $x \in X_A$  gives rise to a section of the exact sequence. Hence the sequence splits.  $\square$

## 5. Orbit equivalence

**Definition.** Two topological Markov shifts  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are said to be topologically orbit equivalent if there exists a homeomorphism  $h : X_A \rightarrow X_B$  such that  $h(\text{orb}_{\sigma_A}(x)) = \text{orb}_{\sigma_B}(h(x))$  for  $x \in X_A$ . In this case,  $h(\sigma_A(x)) \in \text{orb}_{\sigma_B}(h(x))$  for  $x \in X_A$ , so that  $h(\sigma_A(x)) \in \bigcup_{k=0}^{\infty} \bigcup_{l=0}^{\infty} \sigma_B^{-k} \sigma_B^l(h(x))$ . Hence there exist functions

$$k_1, l_1 : X_A \rightarrow \mathbb{Z}_+ \quad \text{such that } \sigma_B^{k_1(x)}(h(\sigma_A(x))) = \sigma_B^{l_1(x)}(h(x)),$$

and similarly there exists functions

$$k_2, l_2 : X_B \rightarrow \mathbb{Z}_+ \quad \text{such that } \sigma_A^{k_2(y)}(h^{-1}(\sigma_B(y))) = \sigma_A^{l_2(y)}(h^{-1}(y)).$$

We say that  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are *continuously orbit equivalent* if there exists a homeomorphism  $h : X_A \rightarrow X_B$  and continuous functions  $k_1, l_1 : X_A \rightarrow \mathbb{Z}_+$  and  $k_2, l_2 : X_B \rightarrow \mathbb{Z}_+$  such that, for  $x \in X_A$  and  $y \in X_B$ ,

$$(5-1) \quad \sigma_B^{k_1(x)}(h(\sigma_A(x))) = \sigma_B^{l_1(x)}(h(x)), \quad \sigma_A^{k_2(y)}(h^{-1}(\sigma_B(y))) = \sigma_A^{l_2(y)}(h^{-1}(y)).$$

**Example.** Let  $A_{[2]} = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$  and  $F = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}$ . The subshift  $X_F$  is the set of all sequences  $(x_n)_{n \in \mathbb{N}}$  of 1, 2 such that the word (2, 2) is forbidden. Define a homeomorphism  $h : X_F \rightarrow X_{A_{[2]}}$  by substituting the word 2 for the word (2, 1) from the leftmost in order; for example

$$\begin{aligned} & h(1, 2, 1, 1, 2, 1, 2, 1, 1, 1, 1, 2, 1, 2, 1, 2, 1, 1, 1, 1, 1, 2, 1, 1, 1, \dots) \\ &= (1, 2, 1, 2, 2, 1, 1, 1, 2, 2, 2, 1, 1, 1, 2, 1, 1, \dots) \in X_{A_{[2]}}. \end{aligned}$$

For  $i = 1, 2$ , put

$$\begin{aligned} U_{F,i} &= \{x = (x_n)_{n \in \mathbb{N}} \in X_{A_{[2]}} \mid x_1 = i\}, \\ U_{A_{[2]},i} &= \{y = (y_n)_{n \in \mathbb{N}} \in X_{A_{[2]}} \mid y_1 = i\}. \end{aligned}$$

By setting

$$\begin{cases} k_1(x) = 0, l_1(x) = 1 & \text{for } x \in U_{F,1}, \\ k_1(x) = 1, l_1(x) = 1 & \text{for } x \in U_{F,2}, \end{cases} \quad \begin{cases} k_2(y) = 0, l_2(y) = 1 & \text{for } y \in U_{A_{[2]},1}, \\ k_2(y) = 0, l_2(y) = 2 & \text{for } y \in U_{A_{[2]},2}, \end{cases}$$

we see that  $(X_F, \sigma_F)$  and  $(X_{A_{[2]}}, \sigma_{A_{[2]}})$  are continuously orbit equivalent.

The following lemma is straightforward.

**Lemma 5.1.** *If  $h : X_A \rightarrow X_B$  is a homeomorphism satisfying  $\sigma_B^{k(x)}(h(\sigma_A(x))) = \sigma_B^{l(x)}(h(x))$  for  $x \in X_A$  for some functions  $k, l : X_A \rightarrow \mathbb{Z}_+$ , then by putting*

$$k^n(x) = \sum_{i=0}^{n-1} k(\sigma_A^i(x)) \quad \text{and} \quad l^n(x) = \sum_{i=0}^{n-1} l(\sigma_A^i(x)),$$

we have

$$\sigma_B^{k^n(x)}(h(\sigma_A^n(x))) = \sigma_B^{l^n(x)}(h(x)) \quad \text{for } x \in X_A \text{ and } n \in \mathbb{N}.$$

**Lemma 5.2.** *If  $h : X_A \rightarrow X_B$  is a homeomorphism, and  $k_1, l_1 : X_A \rightarrow \mathbb{Z}_+$  and  $k_2, l_2 : X_B \rightarrow \mathbb{Z}_+$  are continuous functions satisfying*

$$\sigma_B^{k_1(x)}(h(\sigma_A(x))) = \sigma_B^{l_1(x)}(h(x)) \quad \text{and} \quad \sigma_A^{k_2(y)}(h^{-1}(\sigma_B(y))) = \sigma_A^{l_2(y)}(h^{-1}(y))$$



for  $x \in X_A$  and  $y \in X_B$ , then

$$h(\text{orb}_{\sigma_A}(x)) = \text{orb}_{\sigma_B}(h(x)) \quad \text{for } x \in X_A.$$

Hence if  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are continuously orbit equivalent, then they are topologically orbit equivalent.

*Proof.* By [Lemma 5.1](#), we have

$$h(\sigma_A^n(x)) \subset \sigma_B^{-k_1^n(x)} \sigma_B^{l_1^n(x)}(h(x)) \quad \text{for } x \in X_A \text{ and } n \in \mathbb{N}$$

so that  $h(\sigma_A^n(x)) \subset \text{orb}_{\sigma_B}(h(x))$ . For  $z = (\mu_1, \dots, \mu_m, x_1, x_2, \dots) \in \sigma_A^{-m}(x)$ , we have again by [Lemma 5.1](#)

$$\sigma_B^{l_1^m(z)}(h(\mu_1, \dots, \mu_m, x_1, x_2, \dots)) = \sigma_B^{k_1^m(z)}(h(\sigma_A^m(z))) = \sigma_B^{k_1^m(z)}(h(x)).$$

Hence  $h(\mu_1, \dots, \mu_m, x_1, x_2, \dots) \subset \sigma_B^{-l_1^m(z)} \sigma_B^{k_1^m(z)}(h(x)) \subset \text{orb}_{\sigma_B}(h(x))$ . Thus we have  $h(\text{orb}_{\sigma_A}(x)) \subset \text{orb}_{\sigma_B}(h(x))$ . For the other inclusion relation, we similarly have  $h^{-1}(\text{orb}_{\sigma_B}(y)) \subset \text{orb}_{\sigma_A}(h^{-1}(y))$  for  $y \in X_B$ . This implies that  $\text{orb}_{\sigma_B}(h(x)) \subset h(\text{orb}_{\sigma_A}(x))$ , so that  $h(\text{orb}_{\sigma_A}(x)) = \text{orb}_{\sigma_B}(h(x))$ .  $\square \square$

**Proposition 5.3.** *If  $h \circ [\sigma_A]_c \circ h^{-1} = [\sigma_B]_c$  for some homeomorphism  $h : X_A \rightarrow X_B$ , then  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are continuously orbit equivalent.*

*Proof.* Assume that  $h \circ [\sigma_A]_c \circ h^{-1} = [\sigma_B]_c$ . For any  $y \in X_B$ , put  $x = h^{-1}(y)$ , so that  $h([\sigma_A]_c(x)) = [\sigma_B]_c(h(x))$ . By [Lemma 3.3](#), we have  $[\sigma_A]_c(x) = \text{orb}_{\sigma_A}(x)$  and  $[\sigma_B]_c(h(x)) = \text{orb}_{\sigma_B}(h(x))$ , so  $h(\text{orb}_{\sigma_A}(x)) = \text{orb}_{\sigma_B}(h(x))$ .

We will next show that there exist continuous cocycle functions for  $h$ . By [Lemma 3.2](#), For any  $\mu \in B_2(X_A)$ , there exist  $\tau_\mu \in [\sigma_A]_c$  and  $k_{\tau_\mu}, l_{\tau_\mu} : X_A \rightarrow \mathbb{Z}_+$  satisfying (3-2). Put  $\tau_h = h \circ \tau_\mu \circ h^{-1} \in h \circ [\sigma_A]_c \circ h^{-1} = [\sigma_B]_c$ . For  $x \in U_\mu$ , we have  $h(\sigma_A(x)) = \tau_h(h(x))$ . Since  $\tau_h \in [\sigma_B]_c$ , one may find  $k_{\tau_h}^\mu, l_{\tau_h}^\mu : X_B \rightarrow \mathbb{Z}_+$  such that

$$\sigma_B^{k_{\tau_h}^\mu}(\tau_h(y)) = \sigma_B^{l_{\tau_h}^\mu}(y).$$

For  $y \in h(U_\mu)$ , put  $x = h^{-1}(y)$  so that

$$\sigma_B^{k_{\tau_h}^\mu(h(x))}(h \circ \sigma_A(x)) = \sigma_B^{l_{\tau_h}^\mu(h(x))}(h(x)) \quad \text{for } x \in U_\mu.$$

Let  $\{\mu^{(1)}, \dots, \mu^{(M)}\}$  be the set  $B_2(X_A)$  of all admissible words of length 2. Define  $k_1^h, l_1^h : X_A \rightarrow \mathbb{Z}_+$  by setting

$$k_1^h(x) = k_{\tau_h}^{\mu^{(i)}}(h(x)) \quad \text{and} \quad l_1^h(x) = l_{\tau_h}^{\mu^{(i)}}(h(x)) \quad \text{for } x \in U_{\mu^{(i)}}.$$

They are continuous and satisfy

$$\sigma_B^{k_1^h(x)}(h \circ \sigma_A(x)) = \sigma_B^{l_1^h(x)}(h(x)) \quad \text{for } x \in X_A.$$

Similarly there exist continuous functions  $k_2^h, l_2^h : X_B \rightarrow \mathbb{Z}_+$  such that

$$\sigma_A^{k_2^h(y)}(h^{-1} \circ \sigma_B(y)) = \sigma_A^{l_2^h(y)}(h^{-1}(y)) \quad \text{for } y \in X_B.$$

Hence  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are continuously orbit equivalent.  $\square$

We also have the converse:

**Proposition 5.4.** *If  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are continuously orbit equivalent, then there exists a homeomorphism  $h : X_A \rightarrow X_B$  such that  $h \circ [\sigma_A]_c \circ h^{-1} = [\sigma_B]_c$ .*

*Proof.* Suppose there exists a homeomorphism  $h : X_A \rightarrow X_B$ ,  $h(\text{orb}_{\sigma_A}(x)) = \text{orb}_{\sigma_B}(h(x))$  for  $x \in X_A$  and there exist continuous functions  $k_1, l_1 : X_A \rightarrow \mathbb{Z}_+$  and  $k_2, l_2 : X_B \rightarrow \mathbb{Z}_+$  satisfying (5-1). For  $n \in \mathbb{N}$ , let  $k_1^n, l_1^n : X_A \rightarrow \mathbb{Z}_+$  and  $k_2^n, l_2^n : X_B \rightarrow \mathbb{Z}_+$  be continuous functions as in Lemma 5.1 such that

$$(5-2) \quad \sigma_B^{k_1^n(x)}(h(\sigma_A^n(x))) = \sigma_B^{l_1^n(x)}(h(x)), \quad \sigma_A^{k_2^n(y)}(h^{-1}(\sigma_B^n(y))) = \sigma_A^{l_2^n(y)}(h^{-1}(y))$$

for  $x \in X_A$  and  $y \in X_B$ . For any  $\tau \in [\sigma_A]_c$ , there exist continuous functions  $k_\tau, l_\tau : X_A \rightarrow \mathbb{Z}_+$  such that

$$(5-3) \quad \sigma_A^{k_\tau(x)}(\tau(x)) = \sigma_A^{l_\tau(x)}(x) \quad x \in X_A.$$

For  $y \in X_B$ , put  $x = h^{-1}(y)$ . We set  $m = k_\tau(x) \in \mathbb{N}$ . By (5-2) and (5-3), we have

$$\sigma_B^{l_1^m(\tau(x))}(h(\tau(x))) = \sigma_B^{k_1^m(\tau(x))}(h(\sigma_A^m(\tau(x)))) = \sigma_B^{k_1^m(\tau(x))}(h(\sigma_A^{l_\tau(x)}(x))).$$

We set  $n = l_\tau(x) \in \mathbb{N}$ . By applying  $\sigma_B^{k_1^n(x)}$  to the equality above, we have by (5-2)

$$\begin{aligned} \sigma_B^{k_1^n(x)+l_1^m(\tau(x))}(h(\tau(x))) &= \sigma_B^{k_1^m(\tau(x))} \sigma_B^{k_1^n(x)}(h(\sigma_A^n(x))) \\ &= \sigma_B^{k_1^m(\tau(x))} \sigma_B^{l_1^n(x)}(h(x)) = \sigma_B^{k_1^m(\tau(x))+l_1^n(x)}(h(x)) \end{aligned}$$

and hence

$$\sigma_B^{k_1^n(x)+l_1^m(\tau(x))}(h \circ \tau \circ h^{-1}(y)) = \sigma_B^{k_1^m(\tau(x))+l_1^n(x)}(y).$$

By putting

$$\begin{aligned} k_\tau^h(y) &= k_1^n(x) + l_1^m(\tau(x)) = k_1^{l_\tau(h^{-1}(y))}(h^{-1}(y)) + l_1^{k_\tau(h^{-1}(y))}(\tau(h^{-1}(y))), \\ l_\tau^h(y) &= k_1^m(\tau(x)) + l_1^n(x) = k_1^{k_\tau(h^{-1}(y))}(\tau(h^{-1}(y))) + l_1^{l_\tau(h^{-1}(y))}(h^{-1}(y)), \end{aligned}$$

we have

$$\sigma_B^{k_\tau^h(y)}(h \circ \tau \circ h^{-1}(y)) = \sigma_B^{l_\tau^h(y)}(y) \quad \text{for all } y \in X_B,$$

so that  $h \circ \tau \circ h^{-1} \in [\sigma_B]_c$  and  $h \circ [\sigma_A]_c \circ h^{-1} \subset [\sigma_B]_c$ . Similarly  $h^{-1} \circ [\sigma_B]_c \circ h \subset [\sigma_A]_c$ , and we conclude that  $h \circ [\sigma_A]_c \circ h^{-1} = [\sigma_B]_c$ .  $\square$

**Proposition 5.5.** *If there is an isomorphism  $\Psi : \mathbb{C}_A \rightarrow \mathbb{C}_B$  such that  $\Psi(\mathfrak{D}_A) = \mathfrak{D}_B$ , then there is a homeomorphism  $h : X_A \rightarrow X_B$  such that  $h \circ [\sigma_A]_c \circ h^{-1} = [\sigma_B]_c$ .*

*Proof.* By [Theorem 4.8](#), there exists a group isomorphism  $\tilde{\Psi} : [\sigma_A]_c \rightarrow [\sigma_B]_c$  such that the following diagram is commutative:

$$\begin{array}{ccccccc} 1 & \rightarrow & \mathfrak{U}(\mathfrak{D}_A) & \xrightarrow{\text{id}} & N(\mathbb{C}_A, \mathfrak{D}_A) & \xrightarrow{\tau} & [\sigma_A]_c \rightarrow 1 \\ & & \downarrow \Psi|_{\mathfrak{U}(\mathfrak{D}_A)} & & \downarrow \Psi & & \downarrow \tilde{\Psi} \\ 1 & \rightarrow & \mathfrak{U}(\mathfrak{D}_B) & \xrightarrow{\text{id}} & N(\mathbb{C}_B, \mathfrak{D}_B) & \xrightarrow{\tau} & [\sigma_B]_c \rightarrow 1 \end{array}$$

For any  $v \in N(\mathbb{C}_A, \mathfrak{D}_A)$ , put  $\text{Ad}(v)(f) = vfv^*$  for  $f \in \mathfrak{D}_A$ . Let  $\tau_v \in \text{Homeo}(X_A)$  be the homeomorphism on  $X_A$  satisfying  $\text{Ad}(v)(f) = f \circ \tau_v^{-1}$  for  $f \in \mathfrak{D}_A$ . Let  $h : X_A \rightarrow X_B$  be the homeomorphism satisfying  $\Psi(f) = f \circ h^{-1}$  for  $f \in \mathfrak{D}_A$ . Since  $\Psi : N(\mathbb{C}_A, \mathfrak{D}_A) \rightarrow N(\mathbb{C}_B, \mathfrak{D}_B)$  is an isomorphism and  $\{\tau_v \mid v \in N(\mathbb{C}_A, \mathfrak{D}_A)\} = [\sigma_A]_c$ , the identity  $\Psi \circ \text{Ad}(v) \circ \Psi^{-1} = \text{Ad}(\Psi(v))$  implies that  $h \circ [\sigma_A]_c \circ h^{-1} = [\sigma_B]_c$ .  $\square$

**Proposition 5.6.** *If  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are continuously orbit equivalent, then there exists an isomorphism  $\Psi : \mathbb{C}_A \rightarrow \mathbb{C}_B$  such that  $\Psi(\mathfrak{D}_A) = \mathfrak{D}_B$ .*

*Proof.* Although the proof is essentially same as that of [Proposition 4.1](#), we give a complete proof for completeness. Let  $h : X_A \rightarrow X_B$  be a homeomorphism giving rise to continuous orbit equivalence between  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$ . Take continuous functions  $k_1, l_1 : X_A \rightarrow \mathbb{Z}_+$  and  $k_2, l_2 : X_B \rightarrow \mathbb{Z}_+$  satisfying [\(5-1\)](#). Represent  $\mathbb{C}_A$  on  $\mathfrak{H}_A$  and  $\mathbb{C}_B$  on  $\mathfrak{H}_B$  as usual. We will prove that there exists a unitary  $u_h : \mathfrak{H}_A \rightarrow \mathfrak{H}_B$  such that

$$\text{Ad}(u_h)(\mathbb{C}_A) = \mathbb{C}_B \quad \text{and} \quad \text{Ad}(u_h)(f) = f \circ h^{-1} \quad \text{for } f \in \mathfrak{D}_A.$$

We respectively denote by  $e_x^A$  for  $x \in X_A$  and  $e_y^B$  for  $y \in X_B$  the complete orthonormal systems on  $\mathfrak{H}_A$  and  $\mathfrak{H}_B$  coming from the shift spaces. Define the unitary  $u_h : \mathfrak{H}_A \rightarrow \mathfrak{H}_B$  by setting  $u_h e_x^A = e_{h(x)}^B$  for  $x \in X_A$ . We will first prove that  $\text{Ad}(u_h)(\mathbb{C}_A) = \mathbb{C}_B$ . Denote by  $S_i^A$  and  $S_i^B$  the canonical generating partial isometries for  $S_i$  in  $\mathbb{C}_A$  and in  $\mathbb{C}_B$  respectively. For  $y \in X_B$ , we have

$$u_h S_i^A u_h^* e_y^B = \begin{cases} e_{h(ih^{-1}(y))}^B & \text{if } ih^{-1}(y) \in X_A, \\ 0 & \text{otherwise.} \end{cases}$$

Set  $X_B^{(i)} = \{y \in X_B \mid ih^{-1}(y) \in X_A\}$ . Put  $z = ih^{-1}(y) \in X_A$ . By the equality  $h(\sigma_A(z)) = y$  with [\(5-1\)](#), we have  $h(z) \in \sigma_B^{-l_1(z)}(\sigma_B^{k_1(z)}(y))$ . Thus

$$h(z) = (\mu_1(z), \dots, \mu_{l_1(z)}(z), y_{k_1(z)+1}, y_{k_2(z)+1}, \dots)$$

for some  $\mu_1(z) \cdots \mu_{l_1(z)}(z) \in B_{l_1(z)}(X_B)$ . Since both the maps  $k_1, l_1 : X_A \rightarrow \mathbb{Z}_+$  and the map  $y \rightarrow z = ih^{-1}(y)$  are continuous, there exist finite numbers

$$\tilde{k}_1 = \max\{k_1(z) \mid y \in X_B^{(i)}\} \quad \text{and} \quad \tilde{l}_1 = \max\{l_1(z) \mid y \in X_B^{(i)}\}.$$

The set  $\{(\mu_1(z), \dots, \mu_{l_1(z)}(z)) \in B_{l_1(z)}(X_B) \mid y \in X_B^{(i)}\}$  of words is a finite subset of  $W_{\tilde{l}_1}(X_B) = \bigcup_{j=0, \dots, \tilde{l}_1} B_j(X_B)$ . The map

$$y \in X_B^{(i)} \rightarrow (\mu_1(z), \dots, \mu_{l_1(z)}(z)) \in W_{\tilde{l}_1}(X_B)$$

is continuous, where  $W_{\tilde{l}_1}(X_B)$  is endowed with discrete topology. For a word  $\nu = \nu_1 \cdots \nu_j \in W_{\tilde{l}_1}(X_B)$  and  $0 \leq n \leq \tilde{k}_1$ , the sets

$$E_\nu^{(i)} = \{y \in X_B^{(i)} \mid \mu_1(z) = \nu_1, \dots, \mu_{l_1(z)}(z) = \nu_j\} \quad \text{and} \quad F_n^{(i)} = \{y \in X_B^{(i)} \mid k_1(z) = n\}$$

are clopen in  $X_B^{(i)}$ , where  $z = ih^{-1}(y)$ . We define projections in  $\mathfrak{D}_B$ :

$$Q_\nu^{(i)} = \chi_{E_\nu^{(i)}}, \quad P_n^{(i)} = \chi_{F_n^{(i)}}, \quad P^{(i)} = \chi_{X_B^{(i)}}.$$

Since we have disjoint unions

$$X_B^{(i)} = \bigcup_{\nu \in W_{\tilde{l}_1}(X_B)} E_\nu^{(i)} = \bigcup_{n=0, \dots, \tilde{k}_1} F_n^{(i)},$$

we have

$$P^{(i)} = \sum_{\nu \in W_{\tilde{l}_1}(X_B)} Q_\nu^{(i)} = \sum_{n=0, \dots, \tilde{k}_1} P_n^{(i)}.$$

For  $y \in X_B^{(i)}$  and  $\nu \in W_{\tilde{l}_1}(X_B)$  with  $0 \leq n \leq \tilde{k}_1$ , we have  $y \in E_\nu^{(i)} \cap F_n^{(i)}$  if and only if  $h(ih^{-1}(y)) = \nu \sigma_B^n(y)$ , and the latter condition is equivalent to the condition that

$$e_{h(ih^{-1}(y))}^B = S_\nu^B e_{\sigma_B^n(y)}^B.$$

Since  $y \in E_\nu^{(i)} \cap F_n^{(i)}$  if and only if  $P_n^{(i)} Q_\nu^{(i)} e_y^B = e_y^B$ , and  $e_{\sigma_B^n(y)}^B = \sum_{\zeta \in B_n(X_B)} S_\zeta^{B*} e_y^B$ , we have

$$e_{h(ih^{-1}(y))}^B = \sum_{n=0, \dots, \tilde{k}_1} \sum_{\nu \in W_{\tilde{l}_1}(X_B)} \left( S_\nu^B \sum_{\zeta \in B_n(X_B)} S_\zeta^{B*} \right) P_n^{(i)} Q_\nu^{(i)} e_y^B \quad \text{for } y \in X_B^{(i)}.$$

Hence

$$u_h S_i^A u_h^* e_y^B = \sum_{n=0, \dots, \tilde{k}_1} \sum_{\nu \in W_{\tilde{l}_1}(X_B)} \left( S_\nu^B \sum_{\zeta \in B_n(X_B)} S_\zeta^{B*} \right) P_n^{(i)} Q_\nu^{(i)} e_y^B \quad \text{for } y \in X_B^{(i)}.$$

Therefore we have

$$u_h S_i^A u_h^* = \sum_{n=0, \dots, \tilde{k}_1} \sum_{\nu \in W_{\tilde{l}_1}(X_B)} \left( S_\nu^B \sum_{\zeta \in B_n(X_B)} S_\zeta^{B*} \right) P_n^{(i)} Q_\nu^{(i)} P^{(i)}.$$

Since  $P_n^{(i)}$ ,  $Q_\nu^{(i)}$  and  $P^{(i)}$  are projections in  $\mathfrak{D}_B$ , we have  $\text{Ad}(u_h)(S_i^A) \in \mathbb{C}_B$ , so that  $\text{Ad}(u_h)(\mathbb{C}_A) \subset \mathbb{C}_B$ . Since  $u_h^* = u_{h^{-1}}$ , we symmetrically have  $\text{Ad}(u_h^*)(\mathbb{C}_B) \subset \mathbb{C}_A$ , so that  $\text{Ad}(u_h)(\mathbb{C}_A) = \mathbb{C}_B$ .

It is direct to see that  $\text{Ad}(u_h)(f) = f \circ h^{-1}$  for  $f \in \mathfrak{D}_A$  from the definition  $u_h e_x^A = e_{h(x)}^B$  for  $x \in X_A$ , so we have  $\text{Ad}(u_h)(\mathfrak{D}_A) = \mathfrak{D}_B$ .  $\square$

Therefore we have also proved [Theorem 1.1](#).

## 6. Normalizers of the full groups and automorphisms of $\mathbb{C}_A$

In this section, we will study the normalizer subgroup

$$N([\sigma_A]_c) = \{\varphi \in \text{Homeo}(X_A) \mid \varphi \circ \tau \circ \varphi^{-1} \in [\sigma_A]_c \text{ for all } \tau \in [\sigma_A]_c\}$$

of  $[\sigma_A]_c$  in  $\text{Homeo}(X_A)$ , which is related to the automorphism group  $\text{Aut}(\mathbb{C}_A, \mathfrak{D}_A)$ .

We set

$$N[\sigma_A] = \{h \in \text{Homeo}(X_A) \mid h(\text{orb}_{\sigma_A}(x)) = \text{orb}_{\sigma_A}(h(x)) \text{ for } x \in X_A\},$$

$$N_c[\sigma_A] = \{h \in \text{Homeo}(X_A) \mid \text{there exist continuous functions}$$

$$k_1, l_1, k_2, l_2 : X_A \rightarrow \mathbb{Z}_+ \text{ such that, for } x \in X_A,$$

$$\sigma_A^{k_1(x)}(h(\sigma_A(x))) = \sigma_A^{l_1(x)}(h(x)),$$

$$\sigma_A^{k_2(x)}(h^{-1}(\sigma_A(x))) = \sigma_A^{l_2(x)}(h^{-1}(x))\}$$

**Lemma 6.1.**  $N_c[\sigma_A]$  is a subgroup of  $N[\sigma_A]$ .

*Proof.* By [Lemma 5.2](#) for  $X_A = X_B$ , we see that  $N_c[\sigma_A]$  is a subset of  $N[\sigma_A]$ . It remains to show that for  $\varphi, \psi \in N_c[\sigma_A]$ , the composition  $\psi \circ \varphi$  belongs to  $N_c[\sigma_A]$ .

For  $n \in \mathbb{N}$ , take continuous functions  $k_{1,\varphi}^n, l_{1,\varphi}^n, k_{1,\psi}^n, l_{1,\psi}^n : X_A \rightarrow \mathbb{Z}_+$  such that

$$(6-1) \quad \sigma_A^{k_{1,\varphi}^n(x)}(\varphi(\sigma_A^n(x))) = \sigma_A^{l_{1,\varphi}^n(x)}(\varphi(x)),$$

$$(6-2) \quad \sigma_A^{k_{1,\psi}^n(x)}(\psi(\sigma_A^n(x))) = \sigma_A^{l_{1,\psi}^n(x)}(\psi(x)).$$

As in [Lemma 5.1](#), we write  $k_{1,\varphi}^n, l_{1,\varphi}^n, k_{1,\psi}^n, l_{1,\psi}^n$  as  $k_\varphi^n, l_\varphi^n, k_\psi^n, l_\psi^n$  respectively. By applying (6-2) for  $\varphi(\sigma_A(x))$  as  $x$ , we have

$$\sigma_A^{k_\psi^n(\varphi(\sigma_A(x)))}(\psi(\sigma_A^n(\varphi(\sigma_A(x)))))) = \sigma_A^{l_\psi^n(\varphi(\sigma_A(x)))}(\psi(\varphi(\sigma_A(x))))).$$

Put  $n = k_\varphi^1(x)$  and  $m = l_\varphi^1(x)$ . By (6-1) for  $n = 1$ , we have  $\sigma_A^n(\varphi(\sigma_A(x))) = \sigma_A^m(\varphi(x))$  so that

$$\sigma_A^{k_\psi^n(\varphi(\sigma_A(x)))}(\psi(\sigma_A^m(\varphi(x)))) = \sigma_A^{l_\psi^n(\varphi(\sigma_A(x)))}(\psi(\varphi(\sigma_A(x))))),$$

and hence

$$\sigma_A^{k_\psi^n(\varphi(\sigma_A(x)))} \sigma_A^{k_\varphi^m(\varphi(x))}(\psi(\sigma_A^m(\varphi(x)))) = \sigma_A^{k_\psi^m(\varphi(x)) + l_\psi^n(\varphi(\sigma_A(x)))}(\psi(\varphi(\sigma_A(x))))).$$

By (6-2) we have

$$\sigma_A^{k_\psi^n(\varphi(\sigma_A(x)))} \sigma_A^{l_\varphi^m(\varphi(x))}(\psi(\varphi(x))) = \sigma_A^{k_\psi^m(\varphi(x)) + l_\psi^n(\varphi(\sigma_A(x)))}(\psi(\varphi(\sigma_A(x))))).$$

We put

$$k_{\psi\varphi}(x) = k_{\psi}^m(\varphi(x)) + l_{\psi}^n(\varphi(\sigma_A(x))) \quad \text{and} \quad l_{\psi\varphi}(x) = l_{\psi}^m(\varphi(x)) + k_{\psi}^n(\varphi(\sigma_A(x))),$$

where  $n = k_{\varphi}^1(x)$  and  $m = l_{\varphi}^1(x)$ . The functions  $k_{\psi\varphi}, l_{\psi\varphi} : X_A \rightarrow \mathbb{Z}_+$  are continuous and satisfy

$$\sigma_A^{k_{\psi\varphi}(x)}(\psi\varphi(\sigma_A(x))) = \sigma_A^{l_{\psi\varphi}(x)}(\psi\varphi(x)).$$

Similarly, we may find continuous functions  $k_{\varphi^{-1}\psi^{-1}}, l_{\varphi^{-1}\psi^{-1}} : X_A \rightarrow \mathbb{Z}_+$  satisfying

$$\sigma_A^{k_{\varphi^{-1}\psi^{-1}}(x)}(\varphi^{-1}\psi^{-1}(\sigma_A(x))) = \sigma_A^{l_{\varphi^{-1}\psi^{-1}}(x)}(\varphi^{-1}\psi^{-1}(x)),$$

so that  $\psi \circ \varphi \in N_c[\sigma_A]$ . □

**Lemma 6.2.**  $N_c[\sigma_A] = N([\sigma_A]_c)$ .

*Proof.* For  $\varphi \in N_c[\sigma_A]$  and  $\tau \in [\sigma_A]_c$ , we will first prove that  $\varphi \circ \tau \circ \varphi^{-1} \in [\sigma_A]_c$ . For  $n \in \mathbb{N}$ , take continuous functions  $k_1^n, l_1^n, k_2^n, l_2^n : X_A \rightarrow \mathbb{Z}_+$  satisfying

$$(6-3) \quad \sigma_A^{k_1^n(x)}(\varphi(\sigma_A^n(x))) = \sigma_A^{l_1^n(x)}(\varphi(x)),$$

$$(6-4) \quad \sigma_A^{k_2^n(x)}(\varphi^{-1}(\sigma_A^n(x))) = \sigma_A^{l_2^n(x)}(\varphi^{-1}(x))$$

for all  $x \in X_A$ . For  $\tau \in [\sigma_A]_c$ , let  $k_{\tau} : X_A \rightarrow \mathbb{Z}_+$  be a continuous function satisfying (3-1). By (6-3) we have

$$\sigma_A^{k_1^n(\tau\varphi^{-1}(x))}(\varphi(\sigma_A^n(\tau\varphi^{-1}(x)))) = \sigma_A^{l_1^n(\tau\varphi^{-1}(x))}(\varphi(\tau\varphi^{-1}(x))).$$

Put  $y = \varphi^{-1}(x)$ ,  $n = k_{\tau}(y)$  and  $m = l_{\tau}(y)$ . By (3-1), we have  $\sigma_A^n(\tau(y)) = \sigma_A^m(y)$  so that

$$\sigma_A^{l_1^n(\tau(y))}(\varphi(\tau(y))) = \sigma_A^{k_1^n(\tau(y))}(\varphi(\sigma_A^m(y))).$$

By applying  $\sigma_A^{k_1^m(y)}$  to the equality above, we have by (6-3)

$$\sigma_A^{k_1^m(y)+l_1^n(\tau(y))}(\varphi(\tau(y))) = \sigma_A^{k_1^n(\tau(y))} \sigma_A^{k_1^m(y)}(\varphi(\sigma_A^m(y))) = \sigma_A^{k_1^n(\tau(y))} \sigma_A^{l_1^m(y)}(\varphi(y)).$$

Put

$$k_{\varphi\tau\varphi^{-1}}(x) = k_1^m(y) + l_1^n(\tau(y)) \quad \text{and} \quad l_{\varphi\tau\varphi^{-1}}(x) = k_1^n(\tau(y)) + l_1^m(y),$$

where  $y = \varphi^{-1}(x)$ ,  $n = k_{\tau}(y)$ ,  $m = l_{\tau}(y)$ . The functions  $k_{\varphi\tau\varphi^{-1}}, l_{\varphi\tau\varphi^{-1}} : X_A \rightarrow \mathbb{Z}_+$  are continuous and satisfy

$$\sigma_A^{k_{\varphi\tau\varphi^{-1}}(x)}(\varphi(\tau(\varphi^{-1}(x)))) = \sigma_A^{l_{\varphi\tau\varphi^{-1}}(x)}(x).$$

Hence  $\varphi \circ \tau \circ \varphi^{-1} \in [\sigma_A]_c$ , so that  $\varphi \in N([\sigma_A]_c)$ .

We will next prove the other inclusion  $N_c[\sigma_A] \supset N([\sigma_A]_c)$ . For  $\varphi \in N([\sigma_A]_c)$  we have  $\varphi \circ [\sigma_A]_c \circ \varphi^{-1}(y) = [\sigma_A]_c(y)$  for all  $y \in X_A$ . Put  $x = \varphi^{-1}(y)$ . By [Lemma 3.4](#), we see that

$$\varphi(\text{orb}_{\sigma_A}(x)) = [\sigma_A]_c(\varphi(x)) = \text{orb}_{\sigma_A}(\varphi(x)).$$

Let  $\{\mu^{(1)}, \dots, \mu^{(M)}\}$  be the set  $B_2(X_A)$ . For each word  $\mu^{(i)}$ , [Lemma 3.2](#) shows that there exist  $\tau_i \in [\sigma_A]_c$  and continuous functions  $k^{(i)}, l^{(i)} : X_A \rightarrow \mathbb{Z}_+$  such that

$$\tau_i(y) = \sigma_A(y) \quad \text{for } y \in U_{\mu^{(i)}} \quad \text{and} \quad \sigma_A^{k^{(i)}(z)}(\tau_i(z)) = \sigma_A^{l^{(i)}(z)}(z) \quad \text{for } z \in X_A.$$

Put  $\hat{\tau} = \varphi \circ \tau_i \circ \varphi^{-1}$ , so that

$$\varphi \circ \sigma_A(y) = \hat{\tau}(\varphi(y)) \quad \text{for } y \in U_{\mu^{(i)}}.$$

Since  $\hat{\tau} \in [\sigma_A]_c$ , we may find continuous functions  $k_{\hat{\tau}}, l_{\hat{\tau}} : X_A \rightarrow \mathbb{Z}_+$  such that

$$\sigma_A^{k_{\hat{\tau}}(z)}(\hat{\tau}(z)) = \sigma_A^{l_{\hat{\tau}}(z)}(z) \quad \text{for } z \in X_A.$$

Hence we have

$$\sigma_A^{k_{\hat{\tau}}(y)}(\varphi \circ \sigma_A(y)) = \sigma_A^{l_{\hat{\tau}}(y)}(\varphi(y)) \quad \text{for } y \in U_{\mu^{(i)}}.$$

Define  $k_1^\varphi, l_1^\varphi : X_A \rightarrow \mathbb{Z}_+$  by setting

$$k_1^\varphi(y) = k_{\hat{\tau}}(y) \quad \text{and} \quad l_1^\varphi(y) = l_{\hat{\tau}}(y) \quad \text{for } y \in U_{\mu^{(i)}}.$$

Since  $U_{\mu^{(i)}}$  is clopen and  $X_A$  is a disjoint union  $\bigcup_{i=1}^M U_{\mu^{(i)}}$ , the functions  $k_1^\varphi, l_1^\varphi$  are both continuous and satisfy

$$\sigma_A^{k_1^\varphi(y)}(\varphi \circ \sigma_A(y)) = \sigma_A^{l_1^\varphi(y)}(\varphi(y)) \quad \text{for } y \in X_A.$$

Similarly we may find continuous functions  $k_2^\varphi, l_2^\varphi : X_A \rightarrow \mathbb{Z}_+$  that satisfy

$$\sigma_A^{k_2^\varphi(y)}(\varphi^{-1} \circ \sigma_A(x)) = \sigma_A^{l_2^\varphi(y)}(\varphi^{-1}(x)) \quad \text{for } x \in X_A,$$

so that  $\varphi \in N_c[\sigma_A]$ . Therefore  $N_c[\sigma_A] \supset N([\sigma_A]_c)$  and hence  $N_c[\sigma_A] = N([\sigma_A]_c)$ .  $\square$

**Proposition 6.3.** *For a homeomorphism  $h \in N_c([\sigma_A])$  there is an automorphism  $\alpha_h \in \text{Aut}(\mathbb{O}_A, \mathfrak{D}_A)$  such that  $\alpha_h(f) = f \circ h^{-1}$  for  $f \in \mathfrak{D}_A$ . The correspondence  $h \in N_c([\sigma_A]) \rightarrow \alpha_h \in \text{Aut}(\mathbb{O}_A, \mathfrak{D}_A)$  is a homomorphism.*

*Proof.* Since a homomorphism  $h \in N_c([\sigma_A])$  gives rise to a continuous orbit equivalence on  $(X_A, \sigma_A)$ , the claim follows from [Proposition 5.6](#) and its proof.  $\square$

Conversely, for any automorphism  $\alpha \in \text{Aut}(\mathbb{O}_A, \mathfrak{D}_A)$ , we denote by  $\phi_\alpha$  the homeomorphism on  $X_A$  induced by the restriction of  $\alpha$  to  $\mathfrak{D}_A$  such that  $\alpha(f) = f \circ \phi_\alpha^{-1}$  for  $f \in \mathfrak{D}_A$ .

**Proposition 6.4.**  *$\phi_\alpha$  belongs to  $N([\sigma_A]_c)$ .*

*Proof.* For  $\tau \in [\sigma_A]_c$ , define  $u_\tau \in N(\mathbb{C}_A, \mathfrak{D}_A)$  to be the unitary constructed in [Proposition 4.1](#) such that  $\text{Ad}(u_\tau)(f) = f \circ \tau^{-1}$  for  $f \in \mathfrak{D}_A$ . Since  $\text{Ad}(\alpha(u_\tau)) = \alpha \circ \text{Ad}(u_\tau) \circ \alpha^{-1}$  on  $\mathbb{C}_A$ , the condition  $\alpha(\mathfrak{D}_A) = \mathfrak{D}_A$  implies  $\alpha(u_\tau) \in N(\mathbb{C}_A, \mathfrak{D}_A)$ . We see that

$$\text{Ad}(\alpha(u_\tau))(f) = \alpha \circ \text{Ad}(u_\tau) \circ \alpha^{-1}(f) = f \circ (\phi_\alpha \circ \tau^{-1} \circ \phi_\alpha^{-1}).$$

Since the homeomorphism  $\tau_{\alpha(u_\tau)}$  defined by  $\alpha(u_\tau) \in N(\mathbb{C}_A, \mathfrak{D}_A)$  belongs to  $[\sigma_A]_c$  and satisfies  $\text{Ad}(\alpha(u_\tau))(f) = f \circ \tau_{\alpha(u_\tau)}^{-1}$ , we conclude that

$$\tau_{\alpha(u_\tau)}^{-1} = (\phi_\alpha \circ \tau^{-1} \circ \phi_\alpha^{-1})^{-1} = \phi_\alpha \circ \tau \circ \phi_\alpha^{-1},$$

which belongs to  $[\sigma_A]_c$ . □

We denote by  $\varphi_A : \mathfrak{D}_A \rightarrow \mathfrak{D}_A$  the homomorphism defined by

$$\varphi_A(a) = \sum_{i=1}^N S_i a S_i^* \quad \text{for } a \in \mathfrak{D}_A.$$

In identifying  $\mathfrak{D}_A$  with  $C(X_A)$  as usual, we see  $\varphi_A(f) = f \circ \sigma_A$  for  $f \in C(X_A)$ . A unitary one-cocycle for  $\varphi_A$  is a  $\mathcal{U}(\mathfrak{D}_A)$ -valued function  $U : \mathbb{Z}_+ \rightarrow \mathcal{U}(\mathfrak{D}_A)$  satisfying

$$U(k+l) = U(k)\varphi_A^k(U(l)) \quad \text{for } k, l \in \mathbb{Z}_+ \quad (\text{see } [\text{Matsumoto 2000}]).$$

Let  $Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$  be the set of all unitary one-cocycles for  $\varphi_A$ ; it is an abelian group in natural way. As in [\[Matsumoto 2000\]](#) (see also [\[Cuntz 1980; Katayama and Takehana 1998\]](#)), for  $U \in Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$ , put

$$\lambda(U)(S_\mu) = U(k)S_\mu \quad \text{for } \mu \in B_k(X_A).$$

Then  $\lambda(U)$  gives rise to an automorphism of  $\mathbb{C}_A$  such that  $\lambda(U)|_{\mathfrak{D}_A} = \text{id}$ . We note that the correspondence  $U \in Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A)) \rightarrow U(1) \in \mathcal{U}(\mathfrak{D}_A)$  yields an isomorphism of abelian groups, and hence we may identify  $Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$  with  $\mathcal{U}(\mathfrak{D}_A)$ . By [\[Matsumoto 2000, Lemma 4.8\]](#),  $\lambda : Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A)) \rightarrow \text{Aut}(\mathbb{C}_A, \mathfrak{D}_A)$  is an injective homomorphism of groups.

Let  $V : \mathbb{Z}_+ \rightarrow \mathcal{U}(\mathfrak{D}_A)$  be a  $\mathcal{U}(\mathfrak{D}_A)$ -valued function on  $\mathbb{Z}_+$  satisfying

$$V(k) = v\varphi_A^k(v^*) \quad \text{for } k \in \mathbb{Z}_+$$

for some unitary  $v \in \mathcal{U}(\mathfrak{D}_A)$ . Then  $V$  is called a coboundary for  $\varphi_A$ . Since

$$V(k)\varphi_A^k(V(l)) = v\varphi_A^k(v^*)\varphi_A^k(v\varphi_A^l(v^*)) = V(k+l),$$

a coboundary  $V$  for  $\varphi_A$  is a unitary one-cocycle for  $\varphi_A$ . Let  $B_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$  be the set of all coboundaries for  $\varphi_A$ . It is easy to see that  $B_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$  is a subgroup of  $Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$ . We remark that if  $U \in Z_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$  satisfies  $U(1) = v\varphi_A(v^*)$  for some  $v \in \mathcal{U}(\mathfrak{D}_A)$ , then  $U(k) = v\varphi_A^k(v^*)$  for  $k \in \mathbb{N}$ , and hence  $U \in B_{\sigma_A}^1(\mathcal{U}(\mathfrak{D}_A))$ .



Define  $H_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A))$  by the quotient group  $Z_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A))/B_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A))$ , called the cohomology group for  $\varphi_A$ .

**Theorem 6.5.** *There exist short exact sequences*

- (1)  $1 \rightarrow Z_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A)) \xrightarrow{\lambda} \text{Aut}(\mathbb{C}_A, \mathfrak{D}_A) \xrightarrow{\phi} N([\sigma_A]_c) \rightarrow 1,$
- (2)  $1 \rightarrow B_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A)) \xrightarrow{\lambda} \text{Inn}(\mathbb{C}_A, \mathfrak{D}_A) \xrightarrow{\phi} [\sigma_A]_c \rightarrow 1,$
- (3)  $1 \rightarrow H_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A)) \xrightarrow{\lambda} \text{Out}(\mathbb{C}_A, \mathfrak{D}_A) \xrightarrow{\phi} N([\sigma_A]_c)/[\sigma_A]_c \rightarrow 1.$

*They all split. Hence  $\text{Out}(\mathbb{C}_A, \mathfrak{D}_A)$  is a semidirect product*

$$\text{Out}(\mathbb{C}_A, \mathfrak{D}_A) = N([\sigma_A]_c)/[\sigma_A]_c \cdot H_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A)).$$

*Proof.* (1) Since  $N([\sigma_A]_c) = N_c[\sigma_A]$  by Lemma 6.2, Propositions 6.3 and 6.4 imply that the homomorphism  $\phi : \text{Aut}(\mathbb{C}_A, \mathfrak{D}_A) \rightarrow N([\sigma_A]_c)$  is defined and is surjective. By [Matsumoto 2000, Lemma 4.8], the map  $\lambda : Z_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A)) \rightarrow \text{Aut}(\mathbb{C}_A, \mathfrak{D}_A)$  is injective. Let  $\alpha \in \text{Aut}(\mathbb{C}_A, \mathfrak{D}_A)$  be such that  $\phi_\alpha = \text{id}$  and hence  $\alpha|_{\mathfrak{D}_A} = \text{id}$ . By [Matsumoto 2000, Corollary 4.7],  $\alpha|_{\mathbb{C}_A} = \text{id}$  if and only if  $\alpha = \lambda(U)$  for some  $U \in Z_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A))$ . Hence we have  $\text{Ker}(\phi) = Z_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A))$ . By Proposition 6.3, for  $\varphi \in N_c[\sigma_A]$ , there exists an automorphism  $\alpha_\varphi \in \text{Aut}(\mathbb{C}_A, \mathfrak{D}_A)$ , which is of the form  $\alpha_\varphi = \text{Ad}(u_\varphi)$ , where  $u_\varphi : \mathfrak{H}_A \rightarrow \mathfrak{H}_A$  is a unitary as defined in the proof of Proposition 5.6. It is clear to see that  $\phi_{\alpha_\varphi} = \varphi$ . Hence the sequence splits.

(2) Theorem 4.8 implies the homomorphism  $\phi : \text{Inn}(\mathbb{C}_A, \mathfrak{D}_A) \rightarrow [\sigma_A]_c$  is defined and surjective. For  $\alpha \in \text{Inn}(\mathbb{C}_A, \mathfrak{D}_A)$ , take  $v \in \mathcal{O}(\mathbb{C}_A)$  such that  $\alpha = \text{Ad}(v)$ . Hence  $v$  belongs to  $N(\mathbb{C}_A, \mathfrak{D}_A)$ . Suppose that  $\phi_{\text{Ad}(v)} = \text{id}$  in  $[\sigma_A]_c$ . By (1), there exists a cocycle  $U \in Z_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A))$  such that  $\text{Ad}(v) = \lambda(U)$ . By [Matsumoto 2000, Lemma 5.14], we see that  $v \in \mathcal{O}(\mathfrak{D}_A)$  and  $U(1) = v\varphi_A(v^*)$ . Hence  $U$  belongs to  $B_{\sigma_A}^1(\mathcal{O}(\mathfrak{D}_A))$ . Since the sequence (1) splits, the section in (1) yields a section in (2). Hence (2) splits.

(3) The exact sequence follows from (1) and (2), and splits.  $\square$

## 7. Orbit equivalence and AF-algebras

In this section, we will show that the discussions in the previous sections can be applied to the pair  $(\mathcal{F}_A, \mathfrak{D}_A)$  of the AF-algebra  $\mathcal{F}_A$  and its diagonal algebra  $\mathfrak{D}_A$ , instead of the pair  $(\mathbb{C}_A, \mathfrak{D}_A)$  that we have studied. For  $x = (x_n)_{n \in \mathbb{N}} \in X_A$ , the uniform orbit  $\text{orb}_{\sigma_A}[x]$  of  $x$  under  $\sigma_A$  is defined by

$$\text{orb}_{\sigma_A}[x] = \bigcup_{k=0}^{\infty} \sigma_A^{-k}(\sigma_A^k(x)) \subset X_A.$$

Hence  $y = (y_n)_{n \in \mathbb{N}} \in X_A$  belongs to  $\text{orb}_{\sigma_A}[x]$  if and only if there exist  $k \in \mathbb{Z}_+$  and an admissible word  $\mu_1 \cdots \mu_k \in B_k(X_A)$  such that

$$y = (\mu_1, \dots, \mu_k, y_{k+1}, y_{k+2}, \dots).$$

Let  $[\![\sigma_A]\!]$  be the set of all  $\tau \in \text{Homeo}(X_A)$  such that  $\tau(x) \in \text{orb}_{\sigma_A}[x]$  for all  $x \in X_A$ . Let  $[\sigma_A]_{\text{AF}}$  be the set of all  $\tau$  in  $[\![\sigma_A]\!]$  such that there exists a continuous function  $k : X_A \rightarrow \mathbb{Z}_+$  such that

$$(7-1) \quad \sigma_A^{k(x)}(\tau(x)) = \sigma_A^{k(x)}(x) \quad \text{for all } x \in X_A.$$

We call  $[\sigma_A]_{\text{AF}}$  the AF-full group for  $(X_A, \sigma_A)$ . Since  $X_A$  is compact, a homeomorphism  $\tau \in \text{Homeo}(X_A)$  belongs to  $[\sigma_A]_{\text{AF}}$  if and only if there exists a constant  $k \in \mathbb{Z}_+$  such that  $\sigma_A^k(\tau(x)) = \sigma_A^k(x)$  for all  $x \in X_A$ . We set, for  $x \in X_A$ ,  $[\sigma_A]_{\text{AF}}(x) = \{\tau(x) \mid \tau \in [\sigma_A]_{\text{AF}}\}$ . It is immediate that  $[\sigma_A]_{\text{AF}}(x) = \text{orb}_{\sigma_A}[x]$ . Let  $N(\mathcal{F}_A, \mathcal{D}_A)$  be the normalizer of  $\mathcal{D}_A$  in  $\mathcal{F}_A$ , which is defined as the group of all unitaries  $u \in \mathcal{F}_A$  such that  $u\mathcal{D}_A u^* = \mathcal{D}_A$ . The algebra  $\mathcal{D}_A$  is also maximal abelian in  $\mathcal{F}_A$ . By an argument similar to the proof of [Proposition 4.1](#), we have this:

**Lemma 7.1.** *For any  $\tau \in [\sigma_A]_{\text{AF}}$ , there exists a unitary  $u_\tau \in N(\mathcal{F}_A, \mathcal{D}_A)$  such that*

$$\text{Ad}(u_\tau)(f) = f \circ \tau^{-1} \quad \text{for } f \in \mathcal{D}_A,$$

and the correspondence  $\tau \in [\sigma_A]_{\text{AF}} \rightarrow u_\tau \in N(\mathcal{F}_A, \mathcal{D}_A)$  is a group homomorphism.

By [Lemma 4.5](#) we have the following:

**Lemma 7.2.** *For  $u \in N(\mathcal{F}_A, \mathcal{D}_A)$ , let  $h_u \in \text{Homeo}(X_A)$  be the homeomorphism on  $X_A$  induced by the restriction of  $\text{Ad}(u)$  to  $\mathcal{D}_A$  such that  $\text{Ad}(u)(f) = f \circ h_u^{-1}$  for  $f \in \mathcal{D}_A$ . Then there exists a number  $k \in \mathbb{N}$  such that  $\sigma_A^k(h_u(x)) = \sigma_A^k(x)$  for  $x \in X_A$ . Namely  $h_u \in [\sigma_A]_{\text{AF}}$ .*

Therefore by a proof similar to that of [Theorem 4.8](#), we have this:

**Proposition 7.3.** *There exists a short exact sequence*

$$1 \rightarrow \mathcal{U}(\mathcal{D}_A) \xrightarrow{\text{id}} N(\mathcal{F}_A, \mathcal{D}_A) \xrightarrow{\tau} [\sigma_A]_{\text{AF}} \rightarrow 1$$

that splits.

We say that  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are *uniformly orbit equivalent* if there exists a homeomorphism  $h : X_A \rightarrow X_B$  such that  $h(\text{orb}_{\sigma_A}[x]) = \text{orb}_{\sigma_B}[h(x)]$  for  $x \in X_A$  and for  $\tau_1 \in [\sigma_A]_{\text{AF}}$  and  $\tau_2 \in [\sigma_B]_{\text{AF}}$  there exist constants  $k_1, k_2 \in \mathbb{Z}_+$  such that

$$\sigma_B^{k_1}(h(\tau_1(x))) = \sigma_B^{k_1}(h(x)) \quad \text{and} \quad \sigma_A^{k_2}(h^{-1}(\tau_2(y))) = \sigma_A^{k_2}(h^{-1}(y))$$

for  $x \in X_A$  and  $y \in X_B$ . The next theorem then follows from an argument similar to those in the proofs of [Propositions 5.3, 5.4, 5.5](#) and [5.6](#) and [Theorem 1.1](#).

**Theorem 7.4.** *The following three assertions are equivalent:*

- *There exists an isomorphism  $\Psi : \mathcal{F}_A \rightarrow \mathcal{F}_B$  such that  $\Psi(\mathcal{D}_A) = \mathcal{D}_B$ .*
- *$(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are uniformly orbit equivalent.*
- *There is a homeomorphism  $h : X_A \rightarrow X_B$  such that  $h \circ [\sigma_A]_{\text{AF}} \circ h^{-1} = [\sigma_B]_{\text{AF}}$ .*

Let  $\text{Aut}(\mathcal{F}_A, \mathcal{D}_A)$  be the group of all  $\alpha \in \text{Aut}(\mathcal{F}_A)$  such that  $\alpha(\mathcal{D}_A) = \mathcal{D}_A$ . Denote by  $\text{Inn}(\mathcal{F}_A, \mathcal{D}_A)$  the subgroup of  $\text{Aut}(\mathcal{F}_A, \mathcal{D}_A)$  of inner automorphisms on  $\mathcal{F}_A$ . We set  $\text{Out}(\mathcal{F}_A, \mathcal{D}_A)$  to be the quotient group  $\text{Aut}(\mathcal{F}_A, \mathcal{D}_A)/\text{Inn}(\mathcal{F}_A, \mathcal{D}_A)$ . We may argue as in [Section 6](#), to obtain this:

**Theorem 7.5.** *There exist short exact sequences*

- $1 \rightarrow Z_{\sigma_A}^1(\mathcal{U}(\mathcal{D}_A)) \xrightarrow{\lambda} \text{Aut}(\mathcal{F}_A, \mathcal{D}_A) \xrightarrow{\phi} N([\sigma_A]_{\text{AF}}) \rightarrow 1,$
- $1 \rightarrow B_{\sigma_A}^1(\mathcal{U}(\mathcal{D}_A)) \xrightarrow{\lambda} \text{Inn}(\mathcal{F}_A, \mathcal{D}_A) \xrightarrow{\phi} [\sigma_A]_{\text{AF}} \rightarrow 1,$
- $1 \rightarrow H_{\sigma_A}^1(\mathcal{U}(\mathcal{D}_A)) \xrightarrow{\lambda} \text{Out}(\mathcal{F}_A, \mathcal{D}_A) \xrightarrow{\phi} N([\sigma_A]_{\text{AF}})/[\sigma_A]_{\text{AF}} \rightarrow 1.$

*They all split. Hence  $\text{Out}(\mathcal{F}_A, \mathcal{D}_A)$  is a semidirect product*

$$\text{Out}(\mathcal{F}_A, \mathcal{D}_A) = N([\sigma_A]_{\text{AF}})/[\sigma_A]_{\text{AF}} \cdot H_{\sigma_A}^1(\mathcal{U}(\mathcal{D}_A)),$$

*where  $N([\sigma_A]_{\text{AF}})$  is the normalizer subgroup of  $[\sigma_A]_{\text{AF}}$  in  $[[\sigma_A]]$ .*

**Concluding remarks.** After the December 2007 submission of this paper, related results have appeared in [[Matui 2009](#); [Matsumoto 2009](#); [2007](#); [2010](#)]. The last paper shows that if the sizes of the matrices  $A, B$  are less than or equal to three, then the topological Markov shifts  $(X_A, \sigma_A)$  and  $(X_B, \sigma_B)$  are continuously orbit equivalent if and only if the Cuntz–Krieger algebras  $\mathcal{O}_A$  and  $\mathcal{O}_B$  are isomorphic.

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