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**CHARACTERIZING ALMOST PRÜFER  
 $v$ -MULTIPLICATION DOMAINS IN PULLBACKS**

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## CHARACTERIZING ALMOST PRÜFER $v$ -MULTIPLICATION DOMAINS IN PULLBACKS

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Let  $I$  be an ideal of an integral domain  $T$ , let  $\varphi : T \rightarrow T/I$  be the projection, let  $D$  be an integral domain contained in  $T/I$ , and let  $R = \varphi^{-1}(D)$ . We characterize when  $R$  is an almost Prüfer  $v$ -multiplication domain, an almost valuation domain, and an almost Prüfer domain, in the context of pullbacks.

### 1. Introduction

Let  $I$  be an ideal of an integral domain  $T$ , let  $\varphi : T \rightarrow T/I$  be the natural projection, let  $D$  be an integral domain contained in  $T/I$ , and let  $k = qf(D)$  be the quotient field of  $D$ . Let  $R = \varphi^{-1}(D)$  be the integral domain arising from the following pullback of canonical homomorphisms:

$$\begin{array}{ccc} R & \longrightarrow & D \\ \downarrow & & \downarrow \\ T & \longrightarrow & T/I \end{array}$$

It is well-known that  $D = R/I$  and that  $I$  is a prime ideal of  $R$ . Notice that  $I$  is a common ideal of  $R$  and  $T$ , and hence  $T$  is an overring of  $R$ . We assume that  $R$  is properly contained in  $T$ , and we refer to this as a pullback diagram of type  $(\Delta)$ . For the diagram  $(\Delta)$ , if  $qf(D) \subseteq T/I$ , then we refer to this as a diagram of type  $(\Delta')$ . For the diagram  $(\Delta)$ , if  $I$  is a prime ideal of  $T$  and  $qf(D) = qf(T/I)$ , then we refer to this as a diagram of type  $(\Delta^*)$ . Here  $qf(T/I)$  denotes the quotient field of  $T/I$ . For the diagram  $(\Delta)$ , if  $I = M$  is a maximal ideal of  $T$ , we refer to this as a diagram of type  $(\Delta_M)$ . For the diagram  $(\Delta_M)$ , if  $qf(D) = T/M$ , then we refer to this as a diagram of type  $(\Delta_M^*)$ .

Pullbacks are an important tool in constructing interesting examples and counterexamples. They have become so important that in recent years there have been many papers devoted to ring- and ideal-theoretic properties in pullback domains.

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For more details on pullbacks, see [Mimouni 2004; Houston and Taylor 2007; Fontana and Gabelli 1996; Gilmer 1972; Gabelli and Houston 1997].

Zafrullah [1985] began a general theory of almost factoriality and introduced the notion of an almost GCD-domain. Zafrullah defined  $R$  to be an almost GCD-domain (AGCD-domain for short) if for each  $a, b \in D \setminus \{0\}$ , there is a positive integer  $n = n(a, b)$  such that  $a^n D \cap b^n D$  is principal (or equivalently,  $(a^n, b^n)_v$  is principal). Anderson and Zafrullah [AZ 1991] introduced several classes of integral domains related to almost GCD-domains, including almost Bézout domains (AB-domains), almost Prüfer domains (AP-domains), and almost valuation domains (AV-domains). As in [AZ 1991], an integral domain  $R$  is an AB-domain if for each  $a, b \in D \setminus \{0\}$ , there is a positive integer  $n = n(a, b)$  such that  $(a^n, b^n)$  is principal; while  $R$  is an AP-domain if for each  $a, b \in D \setminus \{0\}$ , there is a positive integer  $n = n(a, b)$  such that  $(a^n, b^n)$  is invertible. Following [AZ 1991], an integral domain  $R$  is said to be an AV-domain if for each  $a, b \in D \setminus \{0\}$ , there is a positive integer  $n = n(a, b)$  such that  $a^n | b^n$  or  $b^n | a^n$ . Similarly, in [Li 2012] we defined an integral domain  $R$  to be an almost Prüfer  $v$ -multiplication domain (APVMD) if for each  $a, b \in R \setminus \{0\}$ , there is a positive integer  $n = n(a, b)$  such that  $a^n D \cap b^n D$  is  $t$ -invertible, or equivalently,  $(a^n, b^n)$  is  $t$ -invertible. Recall that an integral domain  $R$  is said to be a Prüfer  $v$ -multiplication domain (PVMD) if each  $a, b \in R \setminus \{0\}$ ,  $(a, b)$  is  $t$ -invertible. The class of APVMDs includes a lot of important rings, such as AV-domains, AB-domains, AGCD-domains, AP-domains, PVMDs, and so on.

Anderson and Zafrullah [1991, Theorem 4.9] proved that  $D$  is an AB-domain (respectively, AP-domain) if and only if  $R = D + Xk[X]$  is an AB-domain (respectively, AP-domain). However, we notice that the  $(D + Xk[X])$ -construction is a special case of the pullback of type  $(\Delta_M)$ . Mimouni [2004, Theorem 2.2] generalized these results and proved that for the diagram  $(\Delta_M)$ ,  $R$  is an AP-domain if and only if  $T$  and  $D$  are AP-domains and the extension  $k \subseteq T/M$  is a root extension. He also gave a similar characterization for AV-domains. Mimouni [2004, Corollary 2.6] continued to show that for the diagram  $(\Delta_M)$ , assuming that  $D = k$  is a field, then  $R$  is an AB-domain if and only if  $T$  is an AB-domain and the extension  $k \subseteq T/M$  is a root extension. In [Li 2012, Theorem 3.10], we proved that  $D$  is an APVMD if and only if  $R = D + Xk[X]$  is an APVMD.

From this we notice that the characterization of AV-domains and AP-domains is known only in the context of the special pullback of type  $(\Delta_M)$ , and that the study of APVMDs is only in the  $(D + Xk[X])$ -construction, a special case of type  $(\Delta_M)$ . So the main purpose of this paper is to characterize APVMDs in pullbacks in greater generality and to generalize the characterization of AV-domains and AP-domains for the pullback of type  $(\Delta_M)$  to that for the pullback of type  $(\Delta')$ .

In Section 2, we mainly prove that in the pullback of type  $(\Delta_M)$ ,  $R$  is an APVMD if and only if  $D$  and  $T$  are APVMDs,  $T_M$  is an AV-domain, and the extension

$qf(D) \subseteq T/M$  is a root extension. Using this fact, we give Example 2.2 to show that an APVMD is not necessarily a PVMD. We also show that for the diagram  $(\Delta_M^*)$ ,  $R$  is an APVMD if and only if  $D$  and  $T$  are APVMDs and  $T_M$  is an AV-domain. Using this result, we prove that  $D$  is an APVMD if and only if  $R = D + Xk[X]$  is an APVMD.

In Section 3, we mainly indicate that for the diagram  $(\Delta')$ , if  $T$  is an AV-domain, then  $R$  is an APVMD if and only if  $D$  is an APVMD and the extension  $qf(D) \subseteq T/I$  is a root extension. We prove that for the diagram  $(\Delta')$ ,  $R$  is an AV-domain if and only if  $T$  and  $D$  are AV-domains and the extension  $k = qf(D) \subseteq T/I$  is a root extension. We also show that for the diagram  $(\Delta')$ , assuming that  $T$  is an AV-domain, then  $R$  is an AP-domain if and only if  $D$  is an AP-domain and the extension  $k = qf(D) \subseteq T/I$  is a root extension.

Following [Zafrullah 1988, p. 95], assume that  $D$  is the ring of entire functions and  $S$  is the multiplicative set generated by the principal primes of  $D$ ; then  $D$  is integrally closed, and hence  $R = D + XD_S[X]$  is integrally closed, but  $R = D + XD_S[X]$  is not a PVMD. Because an integrally closed APVMD is a PVMD by [Li 2012, Theorem 2.4],  $R$  is not an APVMD. Consider the following pullback:

$$\begin{array}{ccc} R = D + XD_S[X] & \longrightarrow & D \\ \downarrow & & \downarrow \\ T = D_S[X] & \longrightarrow & D_S \cong T/I \end{array}$$

Here  $I$  denotes  $XD_S[X]$ . The example indicates that  $qf(D) = qf(T/I)$ ,  $D$  and  $T$  are APVMDs,  $I$  is principal in  $T$ , and  $T = D_S[X]$  is a PVMD. It follows that  $T_I$  is an AV-domain by [Li 2012, Theorem 2.3]. However,  $R$  is not an APVMD. The pullback above belongs to the pullback of type  $(\Delta^*)$ . Therefore, for the diagram  $(\Delta^*)$ , without some other assumption on  $T$ ,  $D$  or  $T/I$ , there is no hope of proving that  $R$  is an APVMD even when  $T$  and  $D$  are APVMDs and  $T_I$  is an AV-domain. So in Section 4, we prove that in a pullback of type  $(\Delta^*)$ , if  $T = (I_v : I_v)$ , then  $R$  is an APVMD if and only if  $T$  is an APVMD,  $T_I$  is an AV-domain, and for each nonzero prime ideal  $\bar{P}$  of  $D$ , either (1)  $D_{\bar{P}}$  and  $T_{\varphi^{-1}(D \setminus \bar{P})}$  are AV-domains, or (2) there exists a finitely generated ideal  $A$  of  $D$  such that  $A \subseteq \bar{P}$ ,  $A^{-1} \cap E = D$ , and  $(\varphi^{-1}(\bar{P})T)_t = T$ .

For details on star operations, see [Gilmer 1972, Sections 32 and 34].

## 2. Pullbacks of type $(\Delta_M)$

We begin with the characterization of APVMDs in a pullback of type  $(\Delta_M)$ .

**Theorem 2.1.** *For the diagram  $(\Delta_M)$ ,  $R$  is an APVMD if and only if  $D$  and  $T$  are APVMDs,  $T_M$  is an AV-domain, and the extension  $qf(D) \subseteq T/M$  is a root extension.*

*Proof.* ( $\Rightarrow$ ) Assume that  $R$  is an APVMD. Let  $x, y \in D \setminus \{0\}$ ; then  $\varphi(a) = x$  and  $\varphi(b) = y$  for some  $a, b \in R \setminus M$ . Because  $R$  is an APVMD, there is a positive integer  $n = n(a, b)$  such that  $(a^n, b^n)$  is  $t$ -invertible in  $R$ . By [Wang 2006, Theorem 10.3.11],  $(\varphi(a^n), \varphi(b^n))$  is  $t$ -invertible in  $D$ . Because  $(x^n, y^n) = (\varphi(a^n), \varphi(b^n)) = (\varphi(a^n), \varphi(b^n))$ , it follows that  $(x^n, y^n)$  is  $t$ -invertible in  $D$ . Thus  $D$  is an APVMD. Let  $c, d \in T \setminus \{0\}$ . Because  $T$  and  $R$  have the same quotient field, there is an element  $r \in R \setminus \{0\}$  with  $rc, rd \in R$ . Then  $((rc)^n, (rd)^n)R$  is a  $t$ -invertible ideal of  $R$  for some positive integer  $n$ . According to [Wang 2006, Theorem 10.3.11],  $((rc)^n, (rd)^n)T$  is  $t$ -invertible in  $T$ . It is well-known that  $((rc)^n, (rd)^n)T = r^n(c^n, d^n)T$ , so  $(c^n, d^n)T$  is  $t$ -invertible in  $T$ . Therefore  $T$  is an APVMD. As we know,  $M$  is a  $v$ -ideal of  $R$ . Then  $R_M$  is an AV-domain by [Li 2012, Theorem 2.3]. By [Wang 2006, Theorem 10.2.2], we have the pullback

$$\begin{array}{ccc} R_M & \longrightarrow & D_{R \setminus M} \\ \downarrow & & \downarrow \\ T_M & \longrightarrow & T/M \end{array}$$

By [Mimouni 2004, Theorem 2.2],  $T_M$  and  $D_{R \setminus M}$  are AV-domains and the extension  $qf(D) = qf(D_{R \setminus M}) \subseteq T/M$  is a root extension.

( $\Leftarrow$ ) Let  $P$  be a maximal  $t$ -ideal of  $R$ .

**Case 1.** Suppose that  $M \not\subseteq P$ . By [Wang 2006, Theorem 10.2.4(3)], there is a prime ideal  $Q$  of  $T$  with  $P = Q \cap R$ . Clearly,  $M \not\subseteq Q$ . In fact  $P \not\subseteq M$ . Because  $M$  is a  $v$ -ideal of  $R$ ,  $M$  is a  $t$ -ideal of  $R$ . As the maximality,  $P \not\subseteq M$ . So  $Q \not\subseteq M$ . Hence  $Q$  is incomparable to  $M$ . According to [Fontana et al. 1998, Lemma 3.3],  $Q$  is a maximal  $t$ -ideal of  $T$ . Since  $T$  is an APVMD,  $T_Q$  is an AV-domain. By [Wang 2006, Theorem 10.2.1(6)],  $R_P = T_Q$ . Hence  $R_P$  is an AV-domain.

**Case 2.** Suppose that  $M \subseteq P$ . There exists a prime ideal  $p$  of  $D$  such that  $P = \varphi^{-1}(p)$ . Because  $P$  is a  $t$ -ideal of  $R$ ,  $P = P_t$ . Then  $\varphi^{-1}(p) = (\varphi^{-1}(p))_t = \varphi^{-1}(p_t)$  by [Wang 2006, Theorem 10.3.5(3)]. So  $p = p_t$ . Thus  $p$  is a  $t$ -ideal of  $D$ . Since  $D$  is an APVMD,  $D_p$  is an AV-domain. In this case, consider the following pullback:

$$\begin{array}{ccc} R_P & \longrightarrow & D_p \\ \downarrow & & \downarrow \\ T_M & \longrightarrow & T/M \end{array}$$

Since  $T_M$  and  $D_p$  are AV-domains and the extension  $qf(D) \subseteq T/M$  is a root extension,  $R_P$  is an AV-domain by [Mimouni 2004, Theorem 2.2]. Therefore  $R$  is an APVMD.  $\square$

Gabelli and Houston [1997, Theorem 4.13] showed that for the diagram  $(\triangle_M)$ ,  $R$  is a PVMD if and only if  $T$  and  $D$  are PVMDs,  $k = T/M$ , and  $T_M$  is a valuation domain. Using this result and Theorem 2.1, we can easily get the following result.

**Example 2.2.** Let  $R = K + XL[X]$ , where  $K$  and  $L$  are fields,  $K \subseteq L$ , and for some prime  $p$ ,  $L^p \subseteq K$ . Consider the pullback

$$\begin{array}{ccc} K + XL[X] & \longrightarrow & K \\ \downarrow & & \downarrow \\ L[X] & \longrightarrow & L \end{array}$$

Then  $R$  is an APVMD but not a PVMD. Thus an APVMD need not be a PVMD.

**Corollary 2.3.** *For the diagram  $(\triangle_M^*)$ ,  $R$  is an APVMD if and only if  $D$  and  $T$  are APVMDs and  $T_M$  is an AV-domain.*

*Proof.* It easily follows from Theorem 2.1 and [Mimouni 2004, Lemma 2.3].  $\square$

**Corollary 2.4.** *For the diagram  $(\triangle_M^*)$ ,  $R$  is an AP-domain if and only if  $D$  and  $T$  are AP-domains.*

*Proof.*  $(\Rightarrow)$  It follows from [Mimouni 2004, Theorem 2.2].

$(\Leftarrow)$  Let  $P$  be a maximal ideal of  $R$ .

**Case 1.** Suppose that  $M \not\subseteq P$ . By [Wang 2006, Theorems 10.2.4(3) and 10.2.1(6)], there is a prime ideal  $Q$  of  $T$  with  $P = Q \cap R$  and  $R_P = T_Q$ . Since  $T$  is an AP-domain,  $T_Q$  is an AV-domain by [AZ 1991, Theorem 5.8]. Hence  $R_P$  is an AV-domain.

**Case 2.** Suppose that  $M \subseteq P$ . There exists a prime ideal  $p$  of  $D$  such that  $P = \varphi^{-1}(p)$ . Since  $D$  is an AP-domain,  $D_p$  is an AV-domain. In this case, consider the pullback

$$\begin{array}{ccc} R_P & \longrightarrow & D_p \\ \downarrow & & \downarrow \\ T_M & \longrightarrow & T/M \end{array}$$

Since  $T_M$  and  $D_p$  are AV-domains and  $qf(D) = qf(D_p) = T/M$ ,  $R_P$  is an AV-domain by [Mimouni 2004, Lemma 2.3]. Therefore  $R$  is an AP-domain.  $\square$

**Proposition 2.5.** *For the diagram  $(\triangle_M)$ , suppose that  $(T, M)$  is a quasilocal domain and  $D = k$  is a proper field of  $T/M$ . Then  $R$  is an APVMD if and only if  $R$  is an AV-domain.*

*Proof.*  $(\Leftarrow)$  It easily follows from their definitions.

$(\Rightarrow)$  Assume that  $D$  is a field. Since  $D = R/M$ ,  $M$  is a maximal ideal of  $R$ . Because  $T$  is quasilocal,  $R$  is quasilocal by [Wang 2006, Corollary 10.2.1]. Also

$M = (R : T)$  is a  $v$ -ideal of  $R$ . Hence  $M$  is the unique maximal  $t$ -ideal of  $R$ . Therefore  $R = R_M$  is an AV-domain.  $\square$

In [Li 2012, Theorem 3.10], we considered the polynomial ring case and proved that  $D$  is an APVMD if and only if  $R = D + Xk[X]$  is an APVMD. Similarly, we consider the power series ring case and get the following result.

**Corollary 2.6.** *Let  $D$  be an integral domain with quotient field  $k$ . Then  $D$  is an APVMD if and only if  $R = D + Xk[[X]]$  is an APVMD.*

*Proof.* Consider the pullback

$$\begin{array}{ccc} R = D + Xk[[X]] & \longrightarrow & D \\ \downarrow & & \downarrow \\ T = k[[X]] & \longrightarrow & k = k[[X]]/Xk[[X]] \end{array}$$

$T = k[[X]]$  is a UFD, so  $T$  is an APVMD. The rest follows from Corollary 2.3.  $\square$

### 3. Pullbacks of type $(\Delta')$

Mimouni [2004] considered the pullbacks of type  $(\Delta_M)$  in AP-domains and AV-domains. He proved that for the diagram  $(\Delta_M)$ ,  $R$  is an AV-domain (respectively AP-domain) if and only if  $T$  and  $D$  are AV-domains (respectively AP-domains) and the extension  $k \subseteq T/M$  is a root extension. We generalize these results for the special pullback of type  $(\Delta_M)$  to those for the pullback of type  $(\Delta')$ .

**Lemma 3.1.** *For the diagram  $(\Delta')$ , if  $R$  is an AP-domain (resp. AGCD-domain), then the extension  $k = qf(D) \subseteq T/I$  is a root extension.*

*Proof.* Assume that  $R$  is an AP-domain (resp. AGCD-domain). By way of contradiction, suppose that the extension  $k \subseteq T/I$  is not a root extension. So there is  $\lambda \in T/I$  such that  $\lambda^n$  is not in  $k$  for each positive integer  $n$ . Set  $\lambda = \varphi(a)$  for some  $a \in T \setminus I$ . Let  $b$  be a nonzero fixed element of  $I$ . Since  $R$  is an AP-domain (resp. AGCD-domain),  $((ab)^n, b^n)$  is invertible (resp.  $((ab)^n, b^n)_v$  is principal) for some positive integer  $n$ . Let  $J$  denote  $((ab)^n, b^n)$ . Then  $JJ^{-1} = R$  (resp.  $J_v = cR$  for some  $c \in R$ ). By [Wang 2006, Example 8.1.10(1)],  $J^{-1} = (ab)^{-n}R \cap b^{-n}R$ . Let  $f \in J^{-1}$ ; then  $f = (ab)^{-n}f_1 = b^{-n}f_2$  for some  $f_1, f_2 \in R$ . Thus  $a^{-n}f_1 = f_2$  and so  $f_1 = a^n f_2$ . If  $f_2$  is not in  $I$ , then  $\varphi(f_2) \in D \setminus \{0\}$ . Hence  $\varphi(f_1) = \varphi(a^n f_2) = \varphi(a)^n \varphi(f_2) = \lambda^n \varphi(f_2)$ . So  $\lambda^n \in qf(D) = k$ , a contradiction. Therefore  $f_2 \in I$ . So  $J^{-1} \subseteq b^{-n}I$ . We claim  $b^{-n}I \subseteq J^{-1}$ . Let  $z \in I$  and  $x \in J$  and write  $x = \alpha(ab)^n + \beta b^n$  for some  $\alpha, \beta \in R$ . Then  $(b^{-n}z)x = (b^{-n}z)(\alpha(ab)^n + \beta b^n) = z\alpha a^n + z\beta \subseteq I \subseteq R$ , so  $b^{-n}z \in J^{-1}$ . Then  $b^{-n}I \subseteq J^{-1}$ . Therefore  $b^{-n}I = J^{-1}$ . So  $J_v = b^n I^{-1}$ . Since  $JJ^{-1} = R$  (resp.  $J_v = cR$ ), we have  $1 = g_1 h_1 + \cdots + g_m h_m$  for  $g_1, \dots, g_m \in J$ ,  $h_1, \dots, h_m \in J^{-1}$  (resp.  $b^n I^{-1} = cR$ ). For each  $i \in \{1, 2, \dots, m\}$ , write  $g_i =$

$\alpha_i(ab)^n + \beta_i b^n$  and  $h_i = b^{-n} f_i$ , where  $\alpha_i, \beta_i \in R$ ,  $f_i \in I$ . Then we have  $1 = g_1 h_1 + \cdots + g_m h_m = (\alpha_1(ab)^n + \beta_1 b^n)(b^{-n} f_1) + \cdots + (\alpha_m(ab)^n + \beta_m b^n)(b^{-n} f_m) = (\alpha_1 a^n + \beta_1) f_1 + \cdots + (\alpha_m a^n + \beta_m) f_m \in I$ , which is absurd. (Respectively, for each  $y \in I^{-1}$ ,  $TyI \subseteq yI \subseteq R$ , so  $Ty \in I^{-1}$ , hence  $T \subseteq (I^{-1} : I^{-1})$ . Then  $R \subset T \subseteq (I^{-1} : I^{-1}) = (b^n I^{-1} : b^n I^{-1}) = (J^{-1} : J^{-1}) = (cR : cR) = R$ , which is absurd.) Therefore the extension  $k \subseteq T/I$  is a root extension.  $\square$

**Lemma 3.2.** *For the diagram  $(\Delta')$ , assume that  $D = k$  is a field. Then  $R$  is an AV-domain if and only if  $T$  is an AV-domain and the extension  $k \subseteq T/I$  is a root extension.*

*Proof.*  $(\Rightarrow)$  It follows from Lemma 3.1 and the fact that  $T$  is an overring of  $R$ .

$(\Leftarrow)$  Let  $x \in qf(R)$ ; then  $x \in qf(T)$ . Since  $T$  is an AV-domain, there is a positive integer  $n = n(x)$  such that  $x^n \in T$  or  $x^{-n} \in T$ . Assume that, for example,  $x^n \in T$ . If  $x^n \in I$ , then  $x^n \in R$ . If  $x^n \in T \setminus I$ , then  $\varphi(x)^n = \varphi(x^n) \in T/I \setminus \{0\}$ . Since the extension  $k \subseteq T/I$  is a root extension, there is a positive integer  $m$  such that  $\varphi(x^{nm}) = \varphi(x)^{nm} \in k$ . Hence  $x^{nm} \in \varphi^{-1}(k) = R$ . It follows that  $R$  is an AV-domain.  $\square$

**Theorem 3.3.** *For the diagram  $(\Delta')$ ,  $R$  is an AV-domain if and only if  $T$  and  $D$  are AV-domains and the extension  $k = qf(D) \subseteq T/I$  is a root extension.*

*Proof.*  $(\Rightarrow)$  By [AZ 1991, Lemma 4.5],  $T$  is an AV-domain as an overring of  $R$ ; and by [AZ 1991, Theorem 4.10],  $D = R/I$  is an AV-domain. Also by Lemma 3.1, the extension  $k = qf(D) \subseteq T/I$  is a root extension.

$(\Leftarrow)$  We use the fact that the diagram  $(\Delta')$  splits into two parts as follows:

$$\begin{array}{ccc}
 R & \longrightarrow & D \\
 \downarrow & & \downarrow \\
 R_0 = \varphi^{-1}(k) & \longrightarrow & k = R_0/I \\
 \downarrow & & \downarrow \\
 T & \longrightarrow & T/I
 \end{array}$$

Consider the second part of this diagram:

$$\begin{array}{ccc}
 R_0 & \longrightarrow & k \\
 \downarrow & & \downarrow \\
 T & \longrightarrow & T/I
 \end{array}$$



Since  $T$  is an AV-domain and the extension  $k \subseteq T/I$  is a root extension, by Lemma 3.2  $R_0$  is an AV-domain. The first part of the diagram —

$$\begin{array}{ccc} R & \longrightarrow & D \\ \downarrow & & \downarrow \\ R_0 & \longrightarrow & k \end{array}$$

— is a pullback diagram of type  $(\Delta_M^*)$ . Since  $D$  and  $R_0$  are AV-domains,  $R$  is an AV-domain by [Mimouni 2004, Lemma 2.3].  $\square$

**Lemma 3.4.** *For the diagram  $(\Delta)$ , let  $Q(A) = \{x \in T \mid xI \subseteq A\}$  for an ideal  $A$  of  $R$ . Then if  $P$  is a prime ideal of  $R$  and  $I \not\subseteq P$ , then  $Q(P)$  is a prime ideal of  $T$ ,  $P = Q(P) \cap R$  and  $R_P = T_{Q(P)}$ .*

*Proof.* Let  $I \not\subseteq P$ , let  $x, y \in T$ , and let  $xy \in Q(P)$ . Then  $xyI^2 \subseteq xyI \subseteq P$ . Since  $xI, yI \subseteq I \subseteq R$  and  $P$  is a prime ideal of  $R$ , we have  $xI \subseteq P$  or  $yI \subseteq P$ . So  $x \in Q(P)$  or  $y \in Q(P)$ . Thus  $Q(P)$  is a prime ideal of  $T$ . We claim  $P = Q(P) \cap R$ . Because  $PI \subseteq P$ , we have  $P \subseteq Q(P) \cap R$ . Let  $x \in Q(P) \cap R$ ; then  $xI \subseteq P$ . Since  $I \not\subseteq P$ , we have  $x \in P$ . Hence  $Q(P) \cap R \subseteq P$ . Thus  $P = Q(P) \cap R$ . Next we show that  $R_P = T_{Q(P)}$ . It easily follows that  $R_P \subseteq T_{Q(P)}$ . For the reverse inclusion, let  $x \in T_{Q(P)}$ . Then  $x = z_1/z_2$  for some  $z_1 \in T$ ,  $z_2 \in T \setminus Q(P)$ . Since  $I \not\subseteq P$ , there exists  $u \in I \setminus P$ . Of course  $u \in I \setminus Q(P)$ . Then  $uz_1 \in I \subseteq R$ ,  $uz_2 \in I \setminus Q(P) \subseteq R \setminus Q(P)$ . Thus  $uz_2 \in R \setminus P$ . So  $x = uz_1/uz_2 \in R_P$ . Thus  $T_{Q(P)} \subseteq R_P$ , so  $R_P = T_{Q(P)}$ .  $\square$

**Theorem 3.5.** *For the diagram  $(\Delta')$ , assume that  $T$  is an AV-domain. Then  $R$  is an APVMD if and only if  $D$  is an APVMD and the extension  $k = qf(D) \subseteq T/I$  is a root extension.*

*Proof.* As in Theorem 3.3, we consider the diagram

$$\begin{array}{ccc} R & \longrightarrow & D \\ \downarrow & & \downarrow \\ R_0 = \varphi^{-1}(k) & \longrightarrow & k = R_0/I \\ \downarrow & & \downarrow \\ T & \longrightarrow & T/I \end{array}$$

( $\Leftarrow$ ) Since  $T$  is an AV-domain,  $R_0$  is an AV-domain by Lemma 3.2. Because  $D$  is an APVMD, by Corollary 2.3  $R$  is an APVMD.

( $\Rightarrow$ ) Assume that  $R$  is an APVMD; by Corollary 2.3  $D$  and  $R_0$  are APVMDs and  $(R_0)_I$  is an AV-domain. Set  $S = R \setminus I$ . Then  $R_S = R_I$  and  $(R_0)_I = (R_0)_S$ . By

[Houston and Taylor 2007, Lemma 1.2], consider the pullback

$$\begin{array}{ccc} (R_0)_S & \longrightarrow & k = k_{\varphi(S)} \\ \downarrow & & \downarrow \\ T_S & \longrightarrow & (T/I)_{\varphi(S)} \end{array}$$

As  $(R_0)_S = (R_0)_I$  is an AV-domain, the extension  $k \subseteq (T/I)_{\varphi(S)}$  is a root extension by Lemma 3.2. So the extension  $k \subseteq T/I$  is a root extension.  $\square$

**Theorem 3.6.** *For the diagram  $(\Delta')$ , assume that  $T$  is an AV-domain. Then  $R$  is an AP-domain if and only if  $D$  is an AP-domain and the extension  $k = qf(D) \subseteq T/I$  is a root extension.*

*Proof.* ( $\Leftarrow$ ) As in Theorem 3.3, we consider the diagram

$$\begin{array}{ccc} R & \longrightarrow & D \\ \downarrow & & \downarrow \\ R_0 = \varphi^{-1}(k) & \longrightarrow & k = R_0/I \\ \downarrow & & \downarrow \\ T & \longrightarrow & T/I \end{array}$$

Since  $T$  is an AV-domain,  $R_0$  is an AV-domain by Lemma 3.2. Then  $R$  is an AP-domain by Corollary 2.4.

( $\Rightarrow$ ) Assume that  $R$  is an AP-domain; then  $D = R/I$  is an AP-domain by [AZ 1991, Theorem 4.10]. Also by Lemma 3.1, the extension  $k \subseteq T/I$  is a root extension.  $\square$

#### 4. Pullbacks of type $(\Delta^*)$

**Lemma 4.1.** *For a diagram  $(\Delta^*)$ ,  $R$  is an AV-domain if and only if  $T$  and  $D$  are AV-domains.*

*Proof.* The proof is similar to that of Lemma 3.2.

( $\Rightarrow$ ) If  $R$  is an AV-domain, so are its homomorphic image of  $D$  and its overring  $T$ .

( $\Leftarrow$ ) Let  $x \in qf(R)$ ; then  $x \in qf(T)$ . Since  $T$  is an AV-domain, there is a positive integer  $n = n(x)$  such that  $x^n \in T$  or  $x^{-n} \in T$ . Assume that, for example,  $x^n \in T$ . If  $x^n \in I$ , then  $x^n \in R$ . If  $x^n \in T \setminus I$ , then  $\varphi(x)^n = \varphi(x^n) \in T/I \setminus \{0\} \subseteq qf(T/I) = qf(D)$ . Since  $D$  is an AV-domain, there is a positive integer  $m$  such that  $\varphi(x)^{nm} \in D$ . Hence  $x^{nm} \in \varphi^{-1}(D) = R$ . It follows that  $R$  is an AV-domain.  $\square$

**Proposition 4.2.** *Let  $R$  be an integral domain and  $I$  a nonzero ideal of  $R$ . If  $R$  is an APVMD, then  $(I_v : I_v)$  is an APVMD.*

*Proof.* Set  $T = (I_v : I_v)$ . Assume that  $x, y \in T = (I_v : I_v)$ . Choose a fixed element  $a \in I_v$ . Then  $ax, ay \in I_v \subseteq R$ . Since  $R$  is an APVMD, there is a positive integer  $n = n(ax, ay)$  such that  $((ax)^n, (ay)^n)$  is  $t$ -invertible in  $R$ . Let  $J$  denote  $((ax)^n, (ay)^n)$ . So  $(JJ^{-1})_t = R$ . There is a finitely generated ideal  $H \subseteq JJ^{-1} \subseteq R$  such that  $H_v = R$ . By [Houston and Taylor 2007, Lemma 2.3],  $(I_v : I_v)$  is  $t$ -linked over  $R$ . Then  $(HT)_v = T$ . So  $(JJ^{-1}T)_t = T$ . Thus  $(a^n(x^n, y^n)J^{-1}T)_t = ((ax)^n, (ay)^n)J^{-1}T)_t = T$ . So  $(x^n, y^n)$  is  $t$ -invertible in  $T$ . Therefore  $T = (I_v : I_v)$  is an APVMD.  $\square$

**Proposition 4.3.** *For a diagram  $(\Delta^*)$ , if  $R$  is an APVMD, then  $I$  is a prime  $t$ -ideal of both  $R$  and  $T$ .*

*Proof.* We claim  $R_I$  is an AV-domain, and thus  $I$  is a  $t$ -ideal of  $R$ . Let  $x, y \in R \setminus \{0\}$ . If  $(x^n, y^n)(x^n, y^n)^{-1} \subseteq I$  for each positive integer  $n$ , then  $((x^n, y^n)(x^n, y^n)^{-1})^{-1} \supseteq I^{-1} \supseteq T \supsetneq R$ , which contradicts that  $R$  is an APVMD. Hence there exists a positive integer  $n$  such that  $(x^n, y^n)(x^n, y^n)^{-1} \not\subseteq I$ . Thus  $((x^n, y^n)(x^n, y^n)^{-1})R_I = R_I$ . So  $(x^n, y^n)R_I$  is invertible in  $R_I$ . Since  $R_I$  is quasilocal,  $(x^n, y^n)R_I$  is principal. Then  $R_I$  is an AV-domain. So  $IR_I$  is a maximal  $t$ -ideal of  $R_I$ . By [Kang 1989, Lemma 3.17],  $I = IR_I \cap R$  is a  $t$ -ideal of  $R$ . Since  $qf(D) = qf(T/I)$ , we have  $R_I = T_I$  by [Houston and Taylor 2007, Lemma 1.2]. So  $T_I$  is an AV-domain. Then  $IT_I$  is a maximal  $t$ -ideal of  $T$ . Therefore  $I$  is a prime  $t$ -ideal of  $T$ .  $\square$

Houston and Taylor [2007, Theorem 2.8] characterized the PVMD-property in a pullback of type  $(\Delta^*)$ . Similarly, we are ready to study the APVMD-property in a pullback of type  $(\Delta^*)$ . For convenience, let  $E$  denote  $T/I$ .

**Theorem 4.4.** *For a diagram  $(\Delta^*)$ , assume that  $T = (I_v : I_v)$ . Then  $R$  is an APVMD if and only if  $T$  is an APVMD and  $T_I$  is an AV-domain, and for each nonzero prime ideal  $\bar{P}$  of  $D$ , either*

- (1)  $D_{\bar{P}}$  and  $T_{\varphi^{-1}(D \setminus \bar{P})}$  are AV-domains, or
- (2) there is a finitely generated ideal  $A$  of  $D$  such that  $A \subseteq \bar{P}$ ,  $A^{-1} \cap E = D$ , and  $(\varphi^{-1}(\bar{P})T)_t = T$ .

*Proof.*  $(\Rightarrow)$  Assume that  $R$  is an APVMD. By Proposition 4.2,  $T = (I_v : I_v)$  is an APVMD. Also,  $T_I$  is an AV-domain by Proposition 4.3. Let  $\bar{P}$  be a prime ideal of  $D$ , and let  $P = \varphi^{-1}(\bar{P})$ .

**Case 1.** If  $P$  is a  $t$ -ideal of  $R$ , then  $R_P$  is an AV-domain. By [Houston and Taylor 2007, Lemma 1.2], we have the pullback

$$\begin{array}{ccc} R_P & \longrightarrow & D_{\varphi(R \setminus P)} = D_{\bar{P}} \\ \downarrow & & \downarrow \\ T_{R \setminus P} = T_{\varphi^{-1}(D \setminus \bar{P})} & \longrightarrow & E_{\varphi(S)} = E_{D \setminus \bar{P}} \end{array}$$

By Lemma 4.1,  $D_{\bar{P}}$  and  $T_{R \setminus P} = T_{\varphi^{-1}(D \setminus \bar{P})}$  are AV-domains.

**Case 2.** Suppose that  $P$  is not a  $t$ -ideal of  $R$ . Since  $R$  is an APVMD, it is a UMT-domain by [Li 2012, Theorem 3.8]. By [Fontana et al. 1998, Corollary 1.6],  $P_t = R$ . Hence there is a finitely generated ideal  $J \subseteq P$  such that  $J^{-1} = R$ . Since  $T$  is  $t$ -linked over  $R$  by [Houston and Taylor 2007, Lemma 2.3], we have  $(JT)^{-1} = T$ . So  $(\varphi^{-1}(\bar{P})T)_t = (PT)_t = T$ . Now let  $A = \varphi(J)$  and  $e \in A^{-1} \cap E$ . Then  $\varphi(t) = e$  for some  $t \in T$  and  $eA \subseteq D$ . Hence  $\varphi^{-1}(eA) \subseteq \varphi^{-1}(D) = R$ . Also,  $\varphi^{-1}(eA) = \varphi^{-1}(e)\varphi^{-1}(A) = \varphi^{-1}(\varphi(t))\varphi^{-1}(\varphi(J)) \supseteq tJ$ . So  $tJ \subseteq R$ . Then  $t \in J^{-1} = R$ . Thus  $e = \varphi(t) \in D$ . Therefore  $A^{-1} \cap E = D$ .

( $\Leftarrow$ ) Let  $P$  be a maximal  $t$ -ideal of  $R$ . It suffices to show that  $R_P$  is an AV-domain.

**Case 1.** Assume that  $I \not\subseteq P$ . By Lemma 3.4, there is a prime ideal  $Q$  of  $T$  such that  $P = Q \cap R$  and  $R_P = T_Q$ . By Proposition 4.3, we know that  $I$  is a prime  $t$ -ideal of  $R$ . Then  $(PT)_t \neq T$  by [Houston and Taylor 2007, Lemma 2.6]. Hence  $PT \subseteq Q_1$  for some prime  $t$ -ideal  $Q_1$  of  $T$ . Since  $T = (I_v : I_v)$  is  $t$ -linked over  $R$  by [Houston and Taylor 2007, Lemma 2.3], it follows that  $(Q_1 \cap R)_t \neq R$ . However,  $P \subseteq Q_1 \cap R$  and  $P$  is a maximal  $t$ -ideal of  $R$ . It follows that  $Q = Q_1$ . Then  $Q$  is  $t$ -ideal of  $T$ . Therefore  $R_P = T_Q$  is an AV-domain.

**Case 2.** Assume that  $I \subseteq P$ . Let  $\bar{P}$  denote  $\varphi(P)$ . By way of contradiction, suppose that condition (2) of the hypothesis holds: there is a finitely generated ideal  $A$  of  $D$  such that  $A \subseteq \bar{P}$ ,  $A^{-1} \cap E = D$ , and  $(\varphi^{-1}(\bar{P})T)_t = (PT)_t = T$ . Then  $A = \varphi(J_1)$  and  $(J_2T)^{-1} = T$  for some finitely generated ideals  $J_1, J_2$  of  $R$ . Also  $J_1 + J_2 \subseteq P$ . Set  $J = J_1 + J_2$ . Then  $J^{-1} \subseteq J_2^{-1}$ . Let  $x \in J_2^{-1}$ ; then  $xJ_2 \subseteq R$ , and hence  $xJ_2T \subseteq T$ . So  $x \in (J_2T)^{-1} = T$ . So  $J^{-1} \subseteq J_2^{-1} \subseteq T$ . Since  $J \subseteq P$  and  $P$  is a prime  $t$ -ideal of  $R$ , then  $J^{-1} \neq R$ . Otherwise, if  $J^{-1} = R$ , then  $R = J_v \subseteq P_t = P$ , a contradiction. So  $R \not\subseteq J^{-1}$ . Therefore, there is an element  $t \in J^{-1} \setminus R$  with  $tJ \subseteq R$ . So  $\varphi(t)A \subseteq \varphi(t)\varphi(J_1) \subseteq \varphi(t)\varphi(J) = \varphi(tJ) \subseteq D$ . Then  $\varphi(t) \in A^{-1} \cap E = D$ . So  $t \in R$ , a contradiction. Hence condition (1) must hold. Localize the diagram at  $P$  and consider the pullback

$$\begin{array}{ccc} R_P & \longrightarrow & D_{\varphi(R \setminus P)} = D_{\bar{P}} \\ \downarrow & & \downarrow \\ T_{R \setminus P} = T_{\varphi^{-1}(D \setminus \bar{P})} & \longrightarrow & E_{\varphi(S)} = E_{D \setminus \bar{P}} \end{array}$$

By Lemma 4.1,  $R_P$  is an AV-domain. Therefore,  $R$  is an APVMD.  $\square$

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