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## REPRESENTATIONS OF LITTLE $q$ -SCHUR ALGEBRAS

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**In previous work by the authors, little  $q$ -Schur algebras were introduced as homomorphic images of the infinitesimal quantum groups. Here we investigate representations of these algebras. We classify simple modules for little  $q$ -Schur algebras and classify semisimple little  $q$ -Schur algebras. Through the classification of the blocks of little  $q$ -Schur algebras for  $n = 2$ , we determine little  $q$ -Schur algebras of finite representation type in the odd roots of unity case.**

### 1. Introduction

The  $q$ -Schur algebras are certain finite-dimensional algebras used by Jimbo in the establishment of the quantum Schur–Weyl reciprocity [Jimbo 1986, Proposition 3]; they were introduced by Dipper and James [1989; 1991] in the study the representations of Hecke algebras and finite general linear groups. Using a geometric setting for  $q$ -Schur algebras, Beilinson, Lusztig and MacPherson [Beilinson et al. 1990] reconstructed (or realized) the quantum enveloping algebra  $U(n)$  of  $\mathfrak{gl}_n$  as a limit of  $q$ -Schur algebras over  $\mathbb{Q}(v)$ . This results in an explicit description of the epimorphism  $\zeta_r$  from  $U(n)$  to the  $q$ -Schur algebra  $U(n, r)$  for all  $r \geq 0$ . Restriction induces an epimorphism from the Lusztig form  $U_{\mathcal{X}}(n)$  over  $\mathcal{X} = \mathbb{Z}[v, v^{-1}]$  to the integral  $q$ -Schur algebra  $U_{\mathcal{X}}(n, r)$  [Du 1995a] and, in particular, an epimorphism from  $U_k(n)$  to  $U_k(n, r)$  by specializing the parameter to any root of unity in a field  $k$ . The little  $q$ -Schur algebras  $\tilde{u}_k(n, r)$  are defined as the homomorphic images of the finite-dimensional Hopf subalgebra  $\tilde{u}_k(n)$  of  $U_k(n)$  under  $\zeta_r$ . The structure of these algebras was investigated by the authors in [Du et al. 2005; Fu 2007]. For example, through a BLM type realization for  $\tilde{u}_k(n)$ , various bases for  $\tilde{u}_k(n, r)$  were constructed and dimension formulas were given. Here we continue the work done in the two papers just cited.

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Through the coordinate algebra approach, Doty, Nakano and Peters in [Doty et al. 1996] defined infinitesimal Schur algebras, closely related to the Frobenius kernels of an algebraic group over a field of positive characteristic. A theory for the quantum version of the infinitesimal Schur algebras was studied by Cox [1997; 2000]. The relation between the algebra structures of little and infinitesimal  $q$ -Schur algebras was investigated in [Fu 2005]; it turns out to be similar to that between the  $h$ -th Frobenius kernel  $G_h$  and the corresponding Jantzen subgroup  $G_h T$ . However, there is a subtle difference between infinitesimal  $q$ -Schur algebras and little  $q$ -Schur algebras.

Suppose  $\varepsilon$  is an  $l'$ -th root of 1 in a field  $k$  and define  $l = l'$  if  $l'$  is odd,  $l = l'/2$  if  $l'$  is even. The parameter involved for defining  $G_h$ ,  $G_h T$  and the infinitesimal  $q$ -Schur algebras is  $q = \varepsilon^2$ , which is always an  $l$ -th root of 1. So their representations are independent of  $l'$  (see [Donkin 1998, 3.1] or Theorem 4.3 below). However, the parameter used for defining little  $q$ -Schur algebras is  $\varepsilon$ , which is a square root of  $q$ .<sup>1</sup> The structures and representations of  $\tilde{u}_k(n)$  and little  $q$ -Schur algebras *do* depend on  $l'$  and are quite different (see [Lusztig 1990, 5.11] or Theorem 5.2 below). In fact, the interesting case is the even case, where simple representations of  $\tilde{u}_k(n)$  are indexed by  $(\mathbb{Z}_{l'})^n$  and not every simple module can be obtained as a restriction of a simple module of  $U_k(n)$  with an  $l$ -restricted highest weight. In contrast with the algebraic group case, this is a kind of “quantum phenomenon”. It should be noted that the representation theory of quantum enveloping algebras at the even roots of unity has found some new applications in the conformal field theory (or the theory of vertex operator algebras) [Gainutdinov et al. 2006; Kondo and Saito 2011].

We will show that every simple module of  $\tilde{u}_k(n)$  is a restriction of a simple  $G_1 T$ -module. To achieve this, we first classify simple  $\tilde{u}_k(n, r)$ -modules through the “sandwich” relation  $u_k(n, r)_1 \subseteq \tilde{u}_k(n, r) \subseteq s_k(n, r)_1$  given in (4.1.2). By introducing the baby transfer map [Lusztig 2000], we will see that a simple  $\tilde{u}_k(n, r)$ -module for  $n \geq r$  and  $l'$  odd is either an inflation of a simple  $\tilde{u}_k(n, r - l')$  via the baby transfer map or a lifting of a simple module of the Hecke algebra via the  $q$ -Schur functor. Main results of the paper also include the classifications of semisimple little  $q$ -Schur algebras and, when  $l'$  is odd, the finite representation type of little  $q$ -Schur algebras.

We organize the paper as follows. We recall the definition for the infinitesimal quantum groups  $\tilde{u}_k(\mathfrak{g})$  associated with a simple Lie algebra  $\mathfrak{g}$  of a simply-laced type and  $\tilde{u}_k(n)$  associated with  $\mathfrak{gl}_n$  in Section 2. In Section 3, we study the baby Weyl module for infinitesimal quantum group  $\tilde{u}_k(\mathfrak{g})$ . We prove in Theorem 3.2 that, for a restricted weight, the corresponding baby Weyl module is equal to the

<sup>1</sup>For this reason, little  $q$ -Schur algebras should probably be more accurately renamed as little  $\sqrt{q}$ -Schur algebras.

Weyl module, recovering a well-known fact. Furthermore, using this result we can give another proof of [Lusztig 1989, 7.1(c)(d)]. In Section 4, we recall some results about the little and the infinitesimal  $q$ -Schur algebra and establish the sandwich relation mentioned above. Moreover, classifications of simple  $G_h$ - and  $G_h T$ -modules from [Donkin 1998; Cox 1997; 2000] will be mentioned. In Section 5, we study the baby Weyl module for the infinitesimal quantum group  $\tilde{u}_k(n)$  and give the classification of simple module for the little  $q$ -Schur algebra  $\tilde{u}_k(n, r)$ . The baby transfer maps are discussed in Section 6. In Section 7, we classify semisimple little  $q$ -Schur algebras, while in Section 8 we classify the finite representation type of little  $q$ -Schur algebras at odd roots of unity through the classification of the blocks of little  $q$ -Schur algebras for  $n = 2$ . Finally, in an Appendix, we show that the epimorphism from  $U_{\mathcal{X}}(n)$  onto  $U_{\mathcal{X}}(n, r)$  remains surjective when restricted to the Lusztig form  $U_{\mathcal{X}}(\mathfrak{sl}_n)$  of the quantum  $\mathfrak{sl}_n$ . Thus, the results developed in Section 3 for  $\mathfrak{sl}_n$  can be directly used in Section 5.

Throughout, let  $\nu$  be an indeterminate and let  $\mathcal{L} = \mathbb{Z}[\nu, \nu^{-1}]$ . Let  $k$  be a field containing a primitive  $l'$ th root  $\varepsilon$  of 1 with  $l' \geq 3$ . Let  $l > 1$  be defined by

$$l = \begin{cases} l' & \text{if } l' \text{ is odd,} \\ l'/2 & \text{if } l' \text{ is even.} \end{cases}$$

Thus,  $\varepsilon^2$  is always a primitive  $l$ -th root of 1. Specializing  $\nu$  to  $\varepsilon$ ,  $k$  will be viewed as a  $\mathcal{L}$ -module.

For a finite-dimensional algebra  $A$  over  $k$ , let  $\text{Mod}(A)$  be the category of finite-dimensional left  $A$ -modules. If  $B$  is a quotient algebra of  $A$ , then the *inflation functor* embeds  $\text{Mod}(B)$  into  $\text{Mod}(A)$  as a full subcategory.

## 2. Lusztig’s infinitesimal quantum enveloping algebras

In this section, following [Lusztig 1990], we recall the definition for the infinitesimal quantum group.

Let  $\mathfrak{g}$  be a semisimple complex Lie algebra associated with an indecomposable positive definite symmetric Cartan matrix  $C = (a_{ij})_{1 \leq i, j \leq n}$ .

**Definition 2.1.** The quantum enveloping algebra of  $\mathfrak{g}$  is the algebra  $U(\mathfrak{g})$  over  $\mathbb{Q}(\nu)$  generated by the elements

$$E_i, F_i, K_i, K_i^{-1} \quad \text{for } 1 \leq i \leq n$$

subject to the following relations:

- QG1:**  $K_i K_j = K_j K_i$  and  $K_i K_i^{-1} = 1$ .
- QG2:**  $K_i E_j = \nu^{a_{ij}} E_j K_i$  and  $K_i F_j = \nu^{-a_{ij}} F_j K_i$ .
- QG3:**  $E_i F_j - F_j E_i = \delta_{ij} \frac{K_i - K_i^{-1}}{\nu - \nu^{-1}}$ .

**QG4:**  $E_i E_j = E_j E_i$  and  $F_i F_j = F_j F_i$  if  $a_{ij} = 0$ .

**QG5:**  $E_i^2 E_j - (\nu + \nu^{-1}) E_i E_j E_i + E_j E_i^2 = 0$  if  $a_{ij} = -1$ .

**QG6:**  $F_i^2 F_j - (\nu + \nu^{-1}) F_i F_j F_i + F_j F_i^2 = 0$  if  $a_{ij} = -1$ .

Definition 2.1 implies immediately the following result:

**Lemma 2.2.** *There is a unique  $\mathbb{Q}(\nu)$ -algebra automorphism  $\sigma$  on  $U(\mathfrak{g})$  satisfying*

$$\sigma(E_i) = F_i, \quad \sigma(F_i) = E_i, \quad \sigma(K_i) = K_i^{-1}.$$

For any integers  $c, t$  with  $t \geq 1$ , let

$$[c] = \frac{\nu^c - \nu^{-c}}{\nu - \nu^{-1}} \in \mathcal{L}, \quad [t]^! = [1][2] \dots [t], \quad \text{and}$$

$$\begin{bmatrix} c \\ t \end{bmatrix} = \prod_{s=1}^t \frac{\nu^{c-s+1} - \nu^{-c+s-1}}{\nu^s - \nu^{-s}} \in \mathcal{L}.$$

If we put  $[0]^! = 1 = \begin{bmatrix} c \\ 0 \end{bmatrix}$ , then

$$\begin{bmatrix} c \\ t \end{bmatrix} = \frac{[c]^!}{[t]^! [c-t]^!} \quad \text{for } c \geq t \geq 0 \quad \text{and} \quad \begin{bmatrix} c \\ t \end{bmatrix} = 0 \quad \text{for } t > c \geq 0.$$

Let  $U_{\mathcal{L}}(\mathfrak{g})$  (respectively,  $U_{\mathcal{L}}^+(\mathfrak{g})$  and  $U_{\mathcal{L}}^-(\mathfrak{g})$ ) be the  $\mathcal{L}$ -subalgebra of  $U(\mathfrak{g})$  generated by the elements

$$E_i^{(N)} = \frac{E_i^N}{[N]^!}, \quad F_i^{(N)} = \frac{F_i^N}{[N]^!}, \quad \text{and} \quad K_j^{\pm 1}$$

for  $1 \leq i \leq n-1$ ,  $1 \leq j \leq n$  and  $N \geq 0$  (respectively, by the  $E_i^{(N)}$  and by the  $F_i^{(N)}$ ). Let  $U_{\mathcal{L}}^0(\mathfrak{g})$  be the  $\mathcal{L}$ -subalgebra of  $U(\mathfrak{g})$  generated by all

$$K_i^{\pm 1} \quad \text{and} \quad \begin{bmatrix} K_i; 0 \\ t \end{bmatrix},$$

where, for  $t \in \mathbb{N}$  and  $c \in \mathbb{Z}$ ,

$$\begin{bmatrix} K_i; c \\ t \end{bmatrix} = \prod_{s=1}^t \frac{K_i \nu^{c-s+1} - K_i^{-1} \nu^{-c+s-1}}{\nu^s - \nu^{-s}}.$$

**Proposition 2.3.** *The following identities hold in  $U_{\mathcal{L}}(\mathfrak{g})$ :*

$$(2.3.1) \quad F_i^{(N)} F_j^{(M)} = \sum_{N-M \leq s \leq N} (-1)^{s+N-M} \begin{bmatrix} s-1 \\ N-M-1 \end{bmatrix} F_i^{(N-s)} F_j^{(M)} F_i^{(s)},$$

$$(2.3.2) \quad F_j^{(M)} F_i^{(N)} = \sum_{N-M \leq s \leq N} (-1)^{s+N-M} \begin{bmatrix} s-1 \\ N-M-1 \end{bmatrix} F_i^{(s)} F_j^{(M)} F_i^{(N-s)},$$

where  $N > M \geq 0$  and  $a_{ij} = -1$ .

*Proof.* Applying the algebra automorphism  $\sigma$  given in Lemma 2.2 to [Lusztig 1990, 2.5(a), (b)], we get the desired formulas.  $\square$

Regarding the field  $k$  as a  $\mathcal{L}$ -algebra by specializing  $\nu$  to  $\varepsilon$ , we will write  $[t]_\varepsilon$  and  $\begin{bmatrix} c \\ t \end{bmatrix}_\varepsilon$  for the images of  $[t]$  and  $\begin{bmatrix} c \\ t \end{bmatrix}$  in  $k$ , and define, following [Lusztig 1990], the  $k$ -algebras  $U_k^+(\mathfrak{g})$ ,  $U_k^-(\mathfrak{g})$ ,  $U_k^0(\mathfrak{g})$ , and  $U_k(\mathfrak{g})$  by applying the functor  $(\ ) \otimes_{\mathcal{L}} k$  to  $U_{\mathcal{L}}^+(\mathfrak{g})$ ,  $U_{\mathcal{L}}^-(\mathfrak{g})$ ,  $U_{\mathcal{L}}^0(\mathfrak{g})$ , and  $U_{\mathcal{L}}(\mathfrak{g})$ . We will denote the images of  $E_i$ ,  $F_i$ , etc. in  $U_k(\mathfrak{g})$  by the same letters.

Let  $\tilde{u}_k^+(\mathfrak{g})$ ,  $\tilde{u}_k^-(\mathfrak{g})$ ,  $\tilde{u}_k^0(\mathfrak{g})$ , and  $\tilde{u}_k(\mathfrak{g})$  be the  $k$ -subalgebras of  $U_k(\mathfrak{g})$  generated respectively by the  $E_i$ , by the  $F_i$ , by the  $K_i^{\pm 1}$ , and by the  $E_i$ ,  $F_i$ ,  $K_i^{\pm 1}$ , all for  $1 \leq i \leq n$ . By a proof similar to [Du et al. 2005, Theorem 2.5],  $\tilde{u}_k(\mathfrak{g})$  can be presented by generators  $E_i$ ,  $F_i$ ,  $K_i^{\pm 1}$  ( $1 \leq i \leq n$ ) and the relations (QG1)–(QG6) together with

$$E_i^l = 0 = F_i^l, \quad K_i^{2l} = 1.$$

The algebra  $\tilde{u}_k(\mathfrak{g})$  is called *the infinitesimal quantum group* associated with  $\mathfrak{g}$ . When  $l' = l$  is odd, we will also call the algebra

$$u_k(\mathfrak{g}) = \tilde{u}_k(\mathfrak{g}) / \langle K_1^l - 1, \dots, K_n^l - 1 \rangle,$$

considered in [Lusztig 1990], an infinitesimal quantum group.

For the reductive complex Lie algebra  $\mathfrak{g} = \mathfrak{gl}_n$ , we now modify the definitions above to introduce infinitesimal quantum  $\mathfrak{gl}_n$  which will be used to define little  $q$ -Schur algebras in Section 4.

Let  $U(n) = U(\mathfrak{gl}_n)$  be the quantum enveloping algebra of  $\mathfrak{gl}_n$ , which is a slightly modified version of that in [Jimbo 1986]; see [Takeuchi 1992, 3.2]. It is generated by the elements  $E_i$ ,  $F_i$  for  $1 \leq i \leq n - 1$ , and  $K_i^{\pm 1}$  for  $1 \leq i \leq n$ , subject to the relations given in [Du et al. 2005, Def. 2.1].

Let  $\tilde{K}_i = K_i K_{i+1}^{-1}$  for  $1 \leq i \leq n - 1$ . Then the subalgebra  $'U(n)$  of  $U(n)$  generated by the  $E_i$ ,  $F_i$  and  $\tilde{K}_i$  for  $1 \leq i \leq n - 1$  is isomorphic to the quantum enveloping algebra  $U(\mathfrak{sl}_n)$ . By identifying  $\tilde{K}_i$  with  $K_i$ , we will identify  $'U(n)$  with  $U(\mathfrak{sl}_n)$ .

Following [Takeuchi 1992], let  $U_{\mathcal{L}}(n)$  (respectively,  $U_{\mathcal{L}}^+(n)$  and  $U_{\mathcal{L}}^-(n)$ ) be the  $\mathcal{L}$ -subalgebra of  $U(n)$  generated by all

$$E_i^{(m)}, F_i^{(m)}, K_i \text{ and } \begin{bmatrix} K_i & 0 \\ & t \end{bmatrix}$$

(respectively, by all  $E_i^{(m)}$  and by all  $F_i^{(m)}$ ). Let  $U_{\mathcal{L}}^0(n)$  be the  $\mathcal{L}$ -subalgebra of  $U(n)$  generated by all

$$K_i \text{ and } \begin{bmatrix} K_i & 0 \\ & t \end{bmatrix}.$$

Replacing  $K_i$  by  $\tilde{K}_i$ , we may defined integral forms  $'U_{\mathcal{L}}(n)$ , which is identified with  $U_{\mathcal{L}}(\mathfrak{sl}_n)$ , and define  $'U_{\mathcal{L}}^0(n)$  and  $'U_{\mathcal{L}}^{\pm}(n) = U_{\mathcal{L}}^{\pm}(n)$  similarly.

Let  $U_k(n) = U_{\mathfrak{g}}(n) \otimes_{\mathfrak{g}} k$  and  $'U_k(n) = 'U_{\mathfrak{g}}(n) \otimes_{\mathfrak{g}} k$ . Since  $'U_{\mathfrak{g}}(n)$  is a pure  $\mathfrak{E}$ -submodule of  $U_{\mathfrak{g}}(n)$  [Du 1995a, Proposition 2.6],  $'U_k(n)$  is a subalgebra of  $U_k(n)$  identified with  $U_k(\mathfrak{sl}_n)$ .

Following [Lusztig 1990], let  $\tilde{u}_k(n)$  be the  $k$ -subalgebra of  $U_k(n)$  generated by the elements  $E_i, F_i, K_i^{\pm 1}$  for  $1 \leq i \leq n$ . Let  $\tilde{u}_k^+(n), \tilde{u}_k^0(n), \tilde{u}_k^-(n)$  be the  $k$ -subalgebra of  $\tilde{u}_k(n)$  generated respectively by the elements  $E_i$  for  $1 \leq i \leq n - 1, K_j^{\pm 1}$  for  $1 \leq j \leq n$ , and  $F_i$  for  $1 \leq i \leq n - 1$ . We shall denote the images of  $E_i, F_i$ , etc. in  $U_k(n), \tilde{u}_k(n)$  by the same letters. In the case of  $l'$  being an odd number, let

$$u_k(n) = \tilde{u}_k(n) / \langle K_1^l - 1, \dots, K_n^l - 1 \rangle.$$

Similarly, we can define  $'\tilde{u}_k(n)$  etc. as subalgebras of  $'U_k(n)$ , which are identified with  $\tilde{u}_k(\mathfrak{sl}_n)$  etc.

### 3. Baby Weyl modules

Following [Jantzen 1996, 5.15], for  $\mathbf{d} = (d_1, \dots, d_n) \in \mathbb{N}^n$  the  $U(\mathfrak{g})$ -module  $V(\mathbf{d}) = U(\mathfrak{g})/I(\mathbf{d})$  is irreducible, where

$$I(\mathbf{d}) = \sum_{1 \leq i \leq n} (U(\mathfrak{g})E_i + U(\mathfrak{g})F_i^{d_i+1} + U(\mathfrak{g})(K_i - v^{d_i})).$$

Let  $x_0 = 1 + I(\mathbf{d}) \in L(\mathbf{d})$ . Let  $V_{\mathfrak{g}}(\mathbf{d})$  be the  $U_{\mathfrak{g}}(\mathfrak{g})$ -submodule of  $V(\mathbf{d})$  generated by  $x_0$ . Let  $V_k(\mathbf{d}) = V_{\mathfrak{g}}(\mathbf{d}) \otimes_{\mathfrak{g}} k$ . This is the Weyl module of  $U_k(\mathfrak{g})$  with highest weight  $\mathbf{d}$ . For convenience, we shall denote the image of  $x_0$  in  $V_k(\mathbf{d})$  by the same letter. We call the  $\tilde{u}_k(\mathfrak{g})$ -module  $V'_k(\mathbf{d}) := \tilde{u}_k(\mathfrak{g})x_0$  the *baby Weyl module* of  $U_k(\mathfrak{g})$  (or the Weyl module of  $\tilde{u}_k(\mathfrak{g})$ ).

**Lemma 3.1.** *Let  $N \geq 0$  be an integer.*

(1) *If  $Y \in \tilde{u}_k(\mathfrak{g})$  is a monomial in the  $F_i$ , then*

$$F_i^{(N)}Y = \sum_{s \geq 0} X_s F_i^{(s)} \quad \text{for some } X_s \in \tilde{u}_k^-(\mathfrak{g}).$$

(2) *If  $Y \in \tilde{u}_k(\mathfrak{g})$  is a monomial in the  $E_i$ 's, then*

$$Y E_i^{(N)} = \sum_{s \geq 0} E_i^{(s)} X_s \quad \text{for some } X_s \in \tilde{u}_k^+(\mathfrak{g}).$$

*Proof.* We only prove (1). The proof of (2) is similar.

Assume  $Y = F_{j_1}^{(M_1)} F_{j_2}^{(M_2)} \dots F_{j_t}^{(M_t)}$  where  $0 \leq M_i < l$  for all  $i$ . We proceed by induction on  $t$ .

Suppose  $t = 1$  and  $M < l$ . If  $N \leq M$ , then  $F_i^{(N)} F_j^{(M)} \in \tilde{u}_k^-(\mathfrak{g})$ , where  $j = j_1$ . Hence the result follows by putting  $X_0 = F_i^{(N)} F_j^{(M)}$ . We now assume  $0 \leq M < N$ .

If  $a_{ij} = 0$ , then  $F_i^{(N)} F_j^{(M)} = F_j^{(M)} F_i^{(N)}$  by the Definition 2.1. If  $a_{ij} = -1$ , then, by (2.3.1),

$$\begin{aligned} F_i^{(N)} F_j^{(M)} &= \sum_{N-M \leq s \leq N} (-1)^{s+N-M} \begin{bmatrix} s-1 \\ N-M-1 \end{bmatrix} F_i^{(N-s)} F_j^{(M)} F_i^{(s)} \\ &= \sum_{N-M \leq s \leq N} X_s F_i^{(s)}, \end{aligned}$$

where

$$X_s = (-1)^{s+N-M} \begin{bmatrix} s-1 \\ N-M-1 \end{bmatrix} F_i^{(N-s)} F_j^{(M)} \quad \text{for } N-M \leq s \leq N.$$

Note that, if  $N-M \leq s$  with  $M < l$ , then  $N-s < l$ . Hence,  $X_s \in \tilde{u}_k^-(\mathfrak{g})$ .

Assume now that  $t > 1$ . Let

$$Y' = F_{j_1}^{(M_1)} F_{j_2}^{(M_2)} \cdots F_{j_{t-1}}^{(M_{t-1})}.$$

By induction we have

$$F_i^{(N)} Y' = \sum_{s \geq 0} X_s F_i^{(s)},$$

where  $X_s \in \tilde{u}_k^-(\mathfrak{g})$ . The previous argument shows that, for each  $s \geq 0$ , we have

$$F_i^{(s)} F_{j_t}^{(M_t)} = \sum_{m \geq 0} Y_{s,m} F_i^{(m)} \quad \text{for some } Y_{s,m} \in \tilde{u}_k^-(\mathfrak{g}).$$

Let  $X'_m = \sum_{s \geq 0} X_s Y_{s,m} \in \tilde{u}_k^-(\mathfrak{g})$  for  $m \geq 0$ . Then,

$$F_i^{(N)} Y = \sum_{m \geq 0} X'_m F_i^{(m)},$$

as required. □

**Theorem 3.2.** For  $\mathbf{d} = (d_1, \dots, d_n) \in \mathbb{N}^n$  with  $0 \leq d_i < l$  for all  $i$ , we have  $V'_k(\mathbf{d}) = V_k(\mathbf{d})$ .

*Proof.* By the definition of  $V_k(\mathbf{d})$  and  $V'_k(\mathbf{d})$ , we have  $V_k(\mathbf{d}) = U_k^-(\mathfrak{g})x_0$  and  $V'_k(\mathbf{d}) = \tilde{u}_k^-(\mathfrak{g})x_0$ . Since  $U_k^-(\mathfrak{g})$  is generated by the elements  $F_i^{(N)}$  for  $1 \leq i \leq n$  and  $N \geq 0$ , it is enough to prove that  $F_i^{(N)} \tilde{u}_k^-(\mathfrak{g})x_0 \subseteq \tilde{u}_k^-(\mathfrak{g})x_0$  for all  $1 \leq i \leq n$  with  $N \geq 0$ . For a monomial  $Y$  of  $F_i$  in  $\tilde{u}_k(\mathfrak{g})$ , by Lemma 3.1(1), we have

$$(3.2.1) \quad F_i^{(N)} Y x_0 = \sum_{s \geq 0} X_s F_i^{(s)} x_0,$$

where  $X_s \in \tilde{u}_k^-(\mathfrak{g})$  and  $N \geq 0$ . Since  $0 \leq d_i < l$  and  $F_i^{(d_i+1)} x_0 = 0$  for all  $i$ , we have  $F_i^{(s)} x_0 = 0$  for  $s \geq l$ . By (3.2.1), we have

$$F_i^{(N)} Y x_0 = \sum_{0 \leq s < l} X_s F_i^{(s)} x_0 \subseteq \tilde{u}_k^-(\mathfrak{g})x_0.$$

The result follows. □



Following [Lusztig 1989, 4.6], we say that a  $U_k(\mathfrak{g})$ -module  $V$  has type **1** if

$$V = \{v \in V \mid K_i^l v = v \text{ for } i = 1, \dots, n\}.$$

Let  $V$  be a  $U_k(\mathfrak{g})$ -module of type **1**. For any  $\mathbf{z} = (z_1, \dots, z_n) \in \mathbb{Z}^n$ , following [Lusztig 1989, 5.2], we define the  $\mathbf{z}$ -weight space

$$V_{\mathbf{z}} = \left\{ x \in V \mid K_i x = \varepsilon^{z_i} x, \begin{bmatrix} K_i & 0 \\ l \end{bmatrix} x = \begin{bmatrix} z_i \\ l \end{bmatrix}_{\varepsilon} x \text{ for } i = 1, \dots, n \right\}.$$

**Lemma 3.3** [Lusztig 1989, 4.2]. *Let  $V$  be a  $U_k(\mathfrak{g})$ -module and let  $x \in V$  be such that  $K_i x = \varepsilon^m x$  for some  $m \in \mathbb{Z}$ . Then, for any  $c$  and  $c' \in \mathbb{Z}$ , we have*

$$\begin{bmatrix} K_i & c \\ l \end{bmatrix} x - \begin{bmatrix} K_i & c' \\ l \end{bmatrix} x = \left( \begin{bmatrix} m+c \\ l \end{bmatrix}_{\varepsilon} - \begin{bmatrix} m+c' \\ l \end{bmatrix}_{\varepsilon} \right) x \in \mathbb{Z}x.$$

Using Lemma 3.3, we see that

$$\begin{bmatrix} K_i & c \\ l \end{bmatrix} x = \begin{bmatrix} z_i + c \\ l \end{bmatrix}_{\varepsilon} x,$$

for  $x \in V_{\mathbf{z}}$  and  $c \in \mathbb{Z}$ . Let  $\alpha(i) = (a_{1i}, a_{2i}, \dots, a_{ni}) \in \mathbb{Z}^n$  for  $1 \leq i \leq n$ . We define a partial order on  $\mathbb{Z}^n$  by  $\mathbf{z} \leq \mathbf{z}'$  if and only if  $\mathbf{z}' - \mathbf{z} = \sum_{i=1}^n c_i \alpha(i)$  for some  $c_1, \dots, c_n \in \mathbb{N}$ . This is a partial order on  $\mathbb{Z}^n$ . The next lemma is clear:

**Lemma 3.4.** *Let  $V$  be a  $U_k(\mathfrak{g})$ -module of type **1**, and let  $N > 0$ . Then:*

- (1)  $E_i^{(N)} V_{\mathbf{z}} \subseteq V_{\mathbf{z} + N\alpha(i)}$ ,
- (2)  $F_i^{(N)} V_{\mathbf{z}} \subseteq V_{\mathbf{z} - N\alpha(i)}$ .

By [Lusztig 1989, 6.2], for  $\mathbf{d} = (d_1, \dots, d_n) \in \mathbb{N}^n$ ,  $V_k(\mathbf{d})$  has a unique maximal  $U_k(\mathfrak{g})$ -submodule  $W_k(\mathbf{d})$ . Let  $L_k(\mathbf{d}) = V_k(\mathbf{d})/W_k(\mathbf{d})$ . Then  $L_k(\mathbf{d})$  is a simple  $U_k(\mathfrak{g})$ -module. Similarly, the  $\tilde{u}_k(\mathfrak{g})$ -module  $V'_k(\mathbf{d})$  has a unique maximal  $\tilde{u}_k(\mathfrak{g})$  submodule  $W'_k(\mathbf{d})$  by the proof of [Lusztig 1990, 5.11]. Let  $L'_k(\mathbf{d}) = V'_k(\mathbf{d})/W'_k(\mathbf{d})$ . Then  $L'_k(\mathbf{d})$  is a simple  $\tilde{u}_k(\mathfrak{g})$ -module.

Let  $I^-$  be the ideal in  $\tilde{u}_k^-(\mathfrak{g})$  spanned as a  $k$ -vector space by the nonempty words in  $F_i$ ,  $1 \leq i \leq n$ .

**Lemma 3.5.** *Assume  $\mathbf{d} \in \mathbb{N}^n$  with  $d_i < l$  for all  $i$ . If  $x_0$  is the highest weight vector of  $V(\mathbf{d})$ , then*

$$I^- x_0 = \sum_{\mathbf{z} < \mathbf{d}} V_k(\mathbf{d})_{\mathbf{z}}.$$

*Proof.* It is clear that  $I^- x_0 \subseteq \sum_{\mathbf{z} < \mathbf{d}} V_k(\mathbf{d})_{\mathbf{z}}$ . Since

$$V_k(\mathbf{d}) = kx_0 \oplus \sum_{\mathbf{z} < \mathbf{d}} V_k(\mathbf{d})_{\mathbf{z}} \quad \text{and} \quad V'_k(\mathbf{d}) = kx_0 \oplus I^- x_0,$$

by Theorem 3.2 we have

$$kx_0 \oplus \sum_{\mathbf{z} < \mathbf{d}} V_k(\mathbf{d})_{\mathbf{z}} = kx_0 \oplus I^- x_0.$$

Hence,  $\dim \sum_{z < d} V_k(\mathbf{d})_z = \dim I^-x_0$ , and the result follows.  $\square$

**Lemma 3.6.** *Assume  $\mathbf{d} \in \mathbb{N}^n$  with  $d_i < l$  for all  $i$ . Let  $x_0$  be the highest weight vector of  $V(\mathbf{d})$ . Then,  $E_i^{(N)}I^-x_0 \subseteq I^-x_0$  whenever  $N \geq l$ .*

*Proof.* Let  $Y = F_{j_1}^{M_1} F_{j_2}^{M_2} \dots F_{j_s}^{M_s}$  where  $0 < M_j < l$  for all  $j$ . If  $E_i^{(N)}Yx_0 \notin I^-x_0$ , then by Lemma 3.5 we have  $E_i^{(N)}Yx_0 \in V_k(\mathbf{d})_d$ . By Lemma 3.4, we have

$$N\alpha(i) = M_1\alpha(j_1) + \dots + M_s\alpha(j_s).$$

Since  $\alpha(1), \dots, \alpha(n)$  are linearly independent, we have  $N = M_1 + \dots + M_s$  and  $j_1 = \dots = j_s = i$ . So  $Y = F_i^N$ . Since  $F_i^l = [l]_\varepsilon! F_i^{(l)} = 0$  and  $N \geq l$ , we have  $Y = 0$ . This is a contradiction.  $\square$

The following result is given in [Lusztig 1989, 7.1(c)(d)] when  $l'$  is odd.

**Theorem 3.7.** *Assume that  $\mathbf{d} = (d_1, \dots, d_n) \in \mathbb{N}^n$  with  $0 \leq d_i < l$  for all  $i$ . Then  $L_k(\mathbf{d}) = L'_k(\mathbf{d})$ .*

*Proof.* By Theorem 3.2, it is enough to prove that  $W_k(\mathbf{d}) = W'_k(\mathbf{d})$ . Also, by Theorem 3.2, the restriction of  $W_k(\mathbf{d})$  to  $\tilde{u}_k(\mathfrak{g})$  is a submodule of  $V_k(\mathbf{d}) = V'_k(\mathbf{d})$ . Hence, by the maximality of  $W'_k(\mathbf{d})$ , we have  $W_k(\mathbf{d}) \subseteq W'_k(\mathbf{d})$ . On the other hand, we consider the  $U_k(\mathfrak{g})$ -submodule  $V$  of  $V_k(\mathbf{d})$  generated by  $W'_k(\mathbf{d})$ . We shall prove that  $V \subseteq \sum_{z < d} V_k(\mathbf{d})_z$ . Since  $W'_k(\mathbf{d})$  is a  $\tilde{u}_k(\mathfrak{g})$ -module, by Lemma 3.1(2),

$$\begin{aligned} U_k^+(\mathfrak{g})W'_k(\mathbf{d}) &\subseteq \text{span}\{E_{i_1}^{(N_1)} \dots E_{i_s}^{(N_s)}W'_k(\mathbf{d}) \mid s \geq 0, N_i \geq l \text{ for all } i\} \\ &\subseteq \{E_{i_1}^{(N_1)} \dots E_{i_s}^{(N_s)}I^-x_0 \mid s \geq 0, N_i \geq l \text{ for all } i\} \\ &\subseteq I^-x_0 \quad (\text{by Lemma 3.6}). \end{aligned}$$

Hence, by Lemmas 3.5 and 3.4,

$$V = U_k^-(\mathfrak{g})U_k^+(\mathfrak{g})W'_k(\mathbf{d}) \subseteq U_k^-(\mathfrak{g})I^-x_0 = U_k^-(\mathfrak{g}) \sum_{z < d} V_k(\mathbf{d})_z = \sum_{z < d} V_k(\mathbf{d})_z.$$

By the maximality of  $W_k(\mathbf{d})$ , we have  $W'_k(\mathbf{d}) \subseteq V \subseteq W_k(\mathbf{d})$ . The result follows.  $\square$

**Remark 3.8.** Note that, if  $l'$  is odd and  $\mathbf{d} \in \mathbb{N}^n$  with  $d_i < l$  for all  $i$ , then  $L'_k(\mathbf{d}) = L_k(\mathbf{d})$  is also a  $u_k(\mathfrak{g})$ -module. So, by [Lusztig 1990, 6.6], the  $u_k(\mathfrak{g})$ -modules  $L_k(\mathbf{d})$  (with  $\mathbf{d} \in \mathbb{N}^n$  and  $0 \leq d_i < l$  for all  $i$ ) give all simple  $u_k(\mathfrak{g})$ -modules.

#### 4. The infinitesimal and little $q$ -Schur algebras

In this section, we shall recall the definitions of the infinitesimal  $q$ -Schur algebra defined in [Cox 1997; 2000] and the little  $q$ -Schur algebra defined in [Du et al. 2005; Fu 2007].

For the moment, we assume that  $R$  is a ring and  $q^{1/2} \in R$ .

Following [Dipper and Donkin 1991], let  $A_q(n)$  be the  $R$ -algebra generated by the  $n^2$  indeterminates  $c_{ij}$ , with  $1 \leq i, j \leq n$ , subject to the relations

$$\begin{aligned} c_{ij}c_{il} &= c_{il}c_{ij} && \text{for all } i, j, l, \\ c_{ij}c_{rs} &= qc_{rs}c_{ij} && \text{for } i > r \text{ and } j \leq s, \\ c_{ij}c_{rs} &= (q-1)c_{rj}c_{is} + c_{rs}c_{ij} && \text{for } i > r \text{ and } j > s. \end{aligned}$$

The algebra  $A_q(n)$  has a bialgebra structure such that the coalgebra structure is given by

$$\Delta(c_{ij}) = \sum_{t=1}^n c_{it} \otimes c_{tj} \quad \text{and} \quad \epsilon(c_{ij}) = \delta_{ij}.$$

Let  $A_q(n, r)$  denote the subspace of elements in  $A_q(n)$  of degree  $r$ . Then  $A_q(n, r)$  are in fact subcoalgebras of  $A_q(n)$  for all  $r$ , and hence  $U_R(n, r) := A_q(n, r)^*$  is an  $R$ -algebra, which is call a  $q$ -Schur algebra.

Let  $\Xi(n)$  be the set of all  $n \times n$  matrices over  $\mathbb{N}$ . Let  $\sigma : \Xi(n) \rightarrow \mathbb{N}$  be the map sending a matrix to the sum of its entries. Then, for  $r \in \mathbb{N}$ , the inverse image  $\Xi(n, r) := \sigma^{-1}(r)$  is the set of  $n \times n$  matrices in  $\Xi(n)$  whose entries sum to  $r$ .

For  $A \in \Xi(n)$ , let

$$c^A = c_{1,1}^{a_{1,1}} c_{2,1}^{a_{2,1}} \dots c_{n,1}^{a_{n,1}} c_{1,2}^{a_{1,2}} c_{2,2}^{a_{2,2}} \dots c_{n,2}^{a_{n,2}} \dots c_{1,n}^{a_{1,n}} c_{2,n}^{a_{2,n}} \dots c_{n,n}^{a_{n,n}} \in A_q(n).$$

Then, by [Dipper and Donkin 1991] (see also [Takeuchi 1990]), the set  $\{c^A \mid A \in \Xi(n, r)\}$  forms an  $R$ -basis for  $A_q(n, r)$ . Putting  $\xi_A := (c^A)^*$ , we obtain the dual basis  $\{\xi_A \mid A \in \Xi(n, r)\}$  for the  $q$ -Schur algebra  $U_R(n, r)$ .

For  $A \in \Xi(n, r)$ , let

$$[A] = q^{-d_A/2} \xi_A \quad \text{where} \quad d_A = - \sum_{i < s, j > t} a_{i,j} a_{s,t} + \sum_{j > t} a_{i,j} a_{i,t}.$$

Then,  $\{[A]\}_{A \in \Xi(n, r)}$  forms also a basis for  $U_R(n, r)$ .

We now introduce the infinitesimal  $q$ -Schur algebras. Thus, we assume  $R = k$  is a field of characteristic  $p > 0$  and  $q = \varepsilon^2 \in k$ . Since  $\varepsilon$  is a primitive  $l'$ -th root of unity,  $q$  is always a primitive  $l$ -th root of unity.

Consider the following ideals in  $A_q(n)$ :

$$\begin{aligned} I_h &= \langle c_{ij}^{lp^{h-1}}, c_{ii}^{lp^{h-1}} - 1 \mid 1 \leq i \neq j \leq n \rangle, \\ \tilde{I}_h &= \langle c_{ij}^{lp^{h-1}}, c_{ii}^{l'p^{h-1}} - 1 \mid 1 \leq i \neq j \leq n \rangle, \\ J_h &= \langle c_{ij}^{lp^{h-1}} \mid 1 \leq i \neq j \leq n \rangle. \end{aligned}$$

Clearly,  $J_h \subseteq \tilde{I}_h \subseteq I_h$ . Note that  $J_h$  is a graded ideal and, if  $l'$  is odd, then  $l = l'$  and  $I_h = \tilde{I}_h$ .

**Lemma 4.1.** *The ideals  $I_h$ ,  $\tilde{I}_h$  and  $J_h$  are all coideals of  $A_q(n)$ .*

*Proof.* The assertion for  $J_h$  and  $I_h$  is well known, using [Du et al. 1991, (3.4)]. More precisely, we have

$$\begin{aligned} \Delta(c_{i,j}^{lp^{h-1}}) &= \sum_{1 \leq k \leq n} c_{i,k}^{lp^{h-1}} \otimes c_{k,j}^{lp^{h-1}} \in J_h \otimes A_q(n) + A_q(n) \otimes J_h \quad \text{when } i \neq j, \\ \Delta(c_{i,i}^{lp^{h-1}} - 1) &= \sum_{k \neq i} c_{i,k}^{lp^{h-1}} \otimes c_{k,i}^{lp^{h-1}} + (c_{i,i}^{lp^{h-1}} - 1) \otimes c_{i,i}^{lp^{h-1}} + 1 \otimes (c_{i,i}^{lp^{h-1}} - 1) \\ &\in I_h \otimes A_q(n) + A_q(n) \otimes I_h. \end{aligned}$$

If  $l'$  is odd, then  $\tilde{I}_h = I_h$  is the coideal of  $A_q(n)$ . Now we assume  $l'$  is even. Then

$$\begin{aligned} \Delta(c_{i,i}^{l'p^{h-1}} - 1) &= (\Delta(c_{i,i}^{l'p^{h-1}}))^2 - 1 \otimes 1 \\ &= \left( \sum_{1 \leq k \leq n} c_{i,k}^{l'p^{h-1}} \otimes c_{k,i}^{l'p^{h-1}} \right)^2 - 1 \otimes 1 \\ &= \sum_{j \neq k} c_{i,j}^{l'p^{h-1}} c_{i,k}^{l'p^{h-1}} \otimes c_{j,i}^{l'p^{h-1}} c_{k,i}^{l'p^{h-1}} + \sum_{1 \leq k \leq n} c_{i,k}^{l'p^{h-1}} \otimes c_{k,i}^{l'p^{h-1}} - 1 \otimes 1 \\ &= \sum_{j \neq k} c_{i,j}^{l'p^{h-1}} c_{i,k}^{l'p^{h-1}} \otimes c_{j,i}^{l'p^{h-1}} c_{k,i}^{l'p^{h-1}} + \sum_{k \neq i} c_{i,k}^{l'p^{h-1}} \otimes c_{k,i}^{l'p^{h-1}} \\ &\quad + (c_{i,i}^{l'p^{h-1}} - 1) \otimes c_{i,i}^{l'p^{h-1}} + 1 \otimes (c_{i,i}^{l'p^{h-1}} - 1) \\ &\in \tilde{I}_h \otimes A_q(n) + A_q(n) \otimes \tilde{I}_h. \end{aligned}$$

Thus,  $\tilde{I}_h$  is a coideal of  $A_q(n)$ .  $\square$

Now, by the above lemma,  $A_q(n)/J_h$ ,  $A_q(n)/I_h$  and  $A_q(n)/\tilde{I}_h$  are all bialgebras, and  $A_q(n)/J_h$  is graded. Let  $A_q(n, r)_h$  be the subspace of  $A_q(n)/J_h$  consisting of the homogeneous polynomials of degree  $r$  in the  $c_{ij}$ . Since  $A_q(n, r)_h$  is a finite-dimensional subcoalgebra of  $A_q(n)/J_h$ , its dual

$$s_k(n, r)_h = A_q(n, r)_h^*$$

is a finite-dimensional algebra, which is called an *infinitesimal  $q$ -Schur algebra* in [Cox 1997; 2000] (compare [Doty et al. 1996]). There are two canonical maps

$$(4.1.1) \quad \pi : A_q(n)/J_h \twoheadrightarrow A_q(n)/I_h \quad \text{and} \quad \tilde{\pi} : A_q(n)/J_h \twoheadrightarrow A_q(n)/\tilde{I}_h.$$

Since  $\pi(A_q(n, r)_h)$  and  $\tilde{\pi}(A_q(n, r)_h)$  are all coalgebras, we may define the algebras

$$u_k(n, r)_h = (\pi(A_q(n, r)_h))^* \quad \text{and} \quad \tilde{u}_k(n, r)_h = (\tilde{\pi}(A_q(n, r)_h))^*.$$

By definition, we see easily that

$$(4.1.2) \quad u_k(n, r)_h \subseteq \tilde{u}_k(n, r)_h \subseteq s_k(n, r)_h.$$

In the case of  $l'$  being an odd number, we have  $u_k(n, r)_h = \tilde{u}_k(n, r)_h$ . In general, we will use these inclusions together with results on simple modules of  $u_k(n, r)_1$  and  $s_k(n, r)_1$ , which is stated in the next theorem, to determine all simple  $\tilde{u}_k(n, r)_1$ -modules in Section 5.

**Remark 4.2.** When  $l'$  is even, the coideal  $\tilde{I}_h$  was not introduced in the literature, say, [Dipper and Donkin 1991] or [Cox 1997; 2000]. The definitions of  $u_k(n, r)_h$  and  $s_k(n, r)_h$  are independent of  $l'$ , while that of  $\tilde{u}_k(n, r)$  depends on  $l'$ . We will establish below in Corollary 4.9 a connection between  $\tilde{u}_k(n, r)_1$  and the little  $q$ -Schur algebra  $\tilde{u}_k(n, r)$ .

Let  $D_q = \sum_{\pi \in \mathfrak{S}_n} (-1)^{\ell(\pi)} c_{1,1\pi} c_{2,2\pi} \dots c_{n,n\pi} \in A_q(n)$  be the quantum determinant, where  $\mathfrak{S}_n$  is the symmetric group and  $\ell(\pi)$  is the length of  $\pi$ . Then the localization  $A_q(n)_{D_q}$  is a Hopf algebra. Let  $G = G_q(n)$  be the quantum linear group whose coordinate algebra is  $k[G] := A_q(n)_{D_q}$ . Following [Donkin 1998, §3.1, §3.2] (see also [Cox 1997, 1.3; 2000]), let  $G_h$  be the  $h$ -th Frobenius kernel and  $G_h T$ , where  $T = T_q(n)$  be the torus of  $G$ , be the corresponding ‘‘Jantzen subgroups’’. Then

$$(4.2.1) \quad k[G_h] := A_q(n)_{D_q} / \langle I_h \rangle \cong A_q(n) / I_h \quad \text{and} \quad k[G_h T] := A_q(n)_{D_q} / \langle J_h \rangle,$$

and  $A_q(n) / J_h$  is the polynomial part of  $k[G_h T]$ .<sup>2</sup>

Denote the character group of  $T$  by

$$X := \mathbb{Z}^n \cong X(T).$$

For each  $\lambda \in X$ , by [Donkin 1998, 3.1(13)(i)] (see also [Cox 1997, 1.7; 2000]), there is a simple object  $L_h(\lambda)$  in the category  $\text{Mod}(G_h)$  of  $G_h$ -modules and a simple object  $\hat{L}_h(\lambda)$  in the category  $\text{Mod}(G_h T)$  of  $G_h T$ -modules. Let

$$X_h := X_h(T) = \{ \lambda \in X = \mathbb{Z}^n \mid 0 \leq \lambda_i - \lambda_{i+1} \leq lp^{h-1} - 1, 1 \leq i \leq n \},$$

where we set  $\lambda_{n+1} = 0$ . In particular,  $X_1 = \{ \lambda \in \mathbb{Z}^n \mid 0 \leq \lambda_i - \lambda_{i+1} < l, 1 \leq i \leq n \}$ .

**Theorem 4.3** [Donkin 1998, 3.1(13),(18)]. *The set  $\{L_h(\lambda) \mid \lambda \in X_h\}$  is a full set of nonisomorphic simple  $G_h$ -modules, and  $\{\hat{L}_h(\lambda) \mid \lambda \in X\}$  is a full set of nonsiomorphic simple  $G_h T$ -modules. Moreover, for all  $\lambda \in X$ , we have  $\hat{L}_h(\lambda)|_{G_h} \cong L_h(\lambda)$ .*

<sup>2</sup>If one introduces the quantum matrix semigroup  $M$ , its ‘torus’  $D$ , and the  $h$ th Frobenioius kernel  $M_h$ , then  $A_q(n)$ ,  $A_q(n)/I_h$ , and  $A_q(n)/J_h$  are respectively the coordinate algebras of  $M$ ,  $M_h$ , and  $M_h D$ .

By [Cox 1997; 2000] (compare [Doty et al. 1996]), every polynomial  $G_h T$ -module — equivalently, every  $A_q(n)/J_h$ -comodule —  $V$  has a direct sum decomposition  $V = \bigoplus_{r \geq 0} V_r$ , where  $V_r$  is the  $r$ -th homogeneous component (that is, is an  $s_k(n, r)_h$ -module). In particular, if  $|\lambda| = r$ , then  $\hat{L}_h(\lambda)$  is an  $s_k(n, r)_h$ -module. Note that a  $G_h$ -module does not have such a direct sum decomposition, since the decomposition  $A_q(n)/I_h = \sum_{r \geq 0} \pi(A_q(n, r)_h)$  is not a direct sum. However, if  $|\lambda| = r$ , then  $L_h(\lambda)$  is a  $u_k(n, r)_h$ -module.

We now relate the  $q$ -Schur algebras to the quantum enveloping algebra of  $\mathfrak{gl}_n$  as given in [Beilinson et al. 1990], and define little  $q$ -Schur algebras.

Let  $\Xi^\pm(n)$  be the set of all  $A \in \Xi(n)$  whose diagonal entries are zero. Given  $r > 0$ ,  $A \in \Xi^\pm(n)$  and  $\mathbf{j} = (j_1, j_2, \dots, j_n) \in \mathbb{Z}^n$ , we define

$$A(\mathbf{j}, r) = \sum_{\substack{D \in \Xi^0(n) \\ \sigma(A+D)=r}} v^{\sum_i d_i j_i} [A + D] \in U(n, r) := U_{\mathbb{Q}(v)}(n, r).$$

where  $\Xi^0(n)$  is the subset of diagonal matrices in  $\Xi(n)$  and  $D = \text{diag}(d_1, \dots, d_n)$ .

The following result follows from [Beilinson et al. 1990, 5.5,5.7] (see also [Du et al. 1991, (5.7); Du 1992, A.1; 1996, 3.4]). For  $1 \leq i, j \leq n$ , let  $E_{i,j} \in \Xi(n)$  be the matrix unit  $(a_{k,l})$  with  $a_{k,l} = \delta_{i,k} \delta_{j,l}$ .

**Theorem 4.4.** *There is an algebra epimorphism  $\zeta_r : U(n) \rightarrow U(n, r)$  satisfying*

$$E_h \mapsto E_{h,h+1}(\mathbf{0}, r), \quad K_1^{j_1} K_2^{j_2} \dots K_n^{j_n} \mapsto 0(\mathbf{j}, r), \quad F_h \mapsto E_{h+1,h}(\mathbf{0}, r).$$

Moreover,  $\zeta_r(U_{\mathcal{F}}(n)) = U_{\mathcal{F}}(n, r)$  [Du 1995a].

For  $\mathbf{t} = (t_1, \dots, t_n) \in \mathbb{N}^n$ , let

$$\begin{bmatrix} \mathbf{k}_i; c \\ t_i \end{bmatrix} = \zeta_r \left( \begin{bmatrix} K_i; c \\ t_i \end{bmatrix} \right) \quad \text{and} \quad \mathbf{k}_t = \prod_{i=1}^n \begin{bmatrix} \mathbf{k}_i; 0 \\ t_i \end{bmatrix}.$$

Let

$$\mathbf{e}_i = \zeta_r(E_i), \quad \mathbf{f}_i = \zeta_r(F_i), \quad \mathbf{k}_j = \zeta_r(K_j) \quad \text{for } 1 \leq i \leq n-1 \text{ and } 1 \leq j \leq n.$$

Let  $U_{\mathcal{F}}^+(n, r)$ ,  $U_{\mathcal{F}}^-(n, r)$  and  $U_{\mathcal{F}}^0(n, r)$  be the  $\mathcal{F}$ -subalgebras of  $U_{\mathcal{F}}(n, r)$  generated respectively by the  $\mathbf{e}_i^{(m)}$ , the  $\mathbf{f}_i^{(m)}$  and the  $\mathbf{k}_\lambda$ , where  $1 \leq i \leq n-1$  and  $\lambda \in \Lambda(n, r) = \{\lambda \in \mathbb{N}^n \mid \sigma(\lambda) = r\}$ . Here,  $\sigma(\lambda) = \lambda_1 + \dots + \lambda_n$ .

**Lemma 4.5** [Doty and Giaquinto 2002; Du and Parshall 2003].

- (1) *The set  $\{\mathbf{k}_\lambda \mid \lambda \in \Lambda(n, r)\}$  is a complete set of primitive orthogonal idempotents (hence a basis) for  $U_{\mathcal{F}}^0(n, r)$ . In particular,  $1 = \sum_{\lambda \in \Lambda(n, r)} \mathbf{k}_\lambda$ .*
- (2) *Let  $\lambda \in \Lambda(n, r)$ , then  $\mathbf{k}_i \mathbf{k}_\lambda = v^{\lambda_i} \mathbf{k}_\lambda$  for  $1 \leq i \leq n$ .*

Since  $U_k(n, r) \cong U_{\mathfrak{y}}(n, r) \otimes_{\mathfrak{y}} k$ ,  $\zeta_r$  naturally induces a surjective homomorphism  $\zeta_r \otimes 1 : U_k(n) \twoheadrightarrow U_k(n, r)$ . For convenience, we shall denote  $\zeta_r \otimes 1$  by  $\zeta_r$ . Similarly, we denote  $e_i \otimes 1$ ,  $f_i \otimes 1$  and  $k_j \otimes 1$  by  $e_i$ ,  $f_i$  and  $k_j$ .

The algebra  $\tilde{u}_k(n, r) := \zeta_r(\tilde{u}_k(n))$  is called a *little  $q$ -Schur algebra* in [Du et al. 2005; Fu 2007] and is generated by the  $e_i$ ,  $f_i$ ,  $k_j$ . Putting  $\tilde{u}_k^+(n, r) = \zeta_r(\tilde{u}_k^+(n))$ ,  $\tilde{u}_k^-(n, r) = \zeta_r(\tilde{u}_k^-(n))$  and  $\tilde{u}_k^0(n, r) = \zeta_r(\tilde{u}_k^0(n))$ , we have

$$\tilde{u}_k(n, r) = \tilde{u}_k^-(n, r) \tilde{u}_k^0(n, r) \tilde{u}_k^+(n, r).$$

Let

$$s_k(n, r) = \tilde{u}_k^-(n, r) U_k^0(n, r) \tilde{u}_k^+(n, r).$$

This is the subalgebra of  $U_k(n, r)$  generated by the elements  $e_i$ ,  $f_i$ ,  $k_j$  and  $[k_j^i; 0]$  for  $1 \leq i \leq n-1$ ,  $1 \leq j \leq n$  and  $t \in \mathbb{N}$ . We shall see below that  $s_k(n, r)$  is isomorphic to the infinitesimal  $q$ -Schur algebra  $s_k(n, r)_1$ .

**Remark 4.6.** When  $l' = l$  is odd, the restriction  $\zeta_r : \tilde{u}_k(n) \rightarrow \tilde{u}_k(n, r)$  factors through the quotient algebra  $u_k(n)$ , the infinitesimal quantum  $\mathfrak{gl}_n$ , defined at the end of Section 2. Thus, in this case,  $\tilde{u}_k(n, r)$  is the same algebra as  $u_k(n, r)$  considered in [Du et al. 2005].

For a positive integer  $m$ , let  $\mathbb{Z}_m = \mathbb{Z}/m\mathbb{Z}$ . Let

$$(\bar{\quad})_m : \mathbb{Z}^n \rightarrow (\mathbb{Z}_m)^n$$

be the map defined by  $(\bar{j}_1, \bar{j}_2, \dots, \bar{j}_n) = (\bar{j}_1, \bar{j}_2, \dots, \bar{j}_n)$ . For a subset  $Y$  of  $\mathbb{Z}^n$ , we use the notation  $\bar{Y}_m = \{\bar{y} \in (\mathbb{Z}_m)^n \mid y \in Y\}$ .

For  $\bar{\lambda} \in (\mathbb{Z}_{l'})^n$ , define

$$p_{\bar{\lambda}} = \begin{cases} \sum_{\substack{\mu \in \Lambda(n, r) \\ \bar{\mu} = \bar{\lambda}}} k_{\mu} & \text{if } \bar{\lambda} \in \overline{\Lambda(n, r)}_{l'}, \\ 0 & \text{otherwise.} \end{cases}$$

**Lemma 4.7** [Du et al. 2005; Fu 2007]. *The set  $\{p_{\bar{\lambda}} \mid \bar{\lambda} \in \overline{\Lambda(n, r)}_{l'}\}$  forms a  $k$ -basis of  $\tilde{u}_k^0(n, r)$ .*

Let  $\Xi(n)_h$  be the set of all  $A = (a_{ij}) \in \Xi(n)$  such that  $a_{ij} < lp^{h-1}$  for all  $i \neq j$ , and set

$$\Xi(n)_h^{\pm} = \{A \in \Xi(n)_h \mid a_{i,i} = 0 \text{ for all } i\}.$$

Let  $\Xi'(n)_h$  be the set of all  $n \times n$  matrices  $A = (a_{ij})$  with  $a_{ij} \in \mathbb{N}$ ,  $a_{ij} < lp^{h-1}$  for all  $i \neq j$ , and  $a_{ii} \in \mathbb{Z}_{l'p^{h-1}}$  for all  $i$ . We have an obvious map  $pr : \Xi(n)_h \rightarrow \Xi'(n)_h$  defined by reducing the diagonal entries modulo  $l'p^{h-1}$ . We introduce the sets

$$\Xi(n, r)_h := \{A \in \Xi(n)_h \mid \sigma(A) = r\} \quad \text{and} \quad \Xi(n, r)_h^{\pm} = \Xi(n, r)_h \cap \Xi(n)_h^{\pm}.$$

Clearly, by regarding  $s_k(n, r)_h$  as a subalgebra of the  $q$ -Schur algebra  $U_k(n, r)$ , the set

$$\{[A] \mid A \in \Xi(n, r)_h\}$$

forms a  $k$ -basis for  $s_k(n, r)_h$ .

Assume  $A \in \Xi(n)_h^\pm$  with  $\sigma(A) \leq r$ . Given  $\bar{\lambda} \in \overline{\Lambda(n, r - \sigma(A))}_{l'p^{h-1}}$ , let

$$(4.7.1) \quad \llbracket A + \text{diag}(\bar{\lambda}), r \rrbracket_h = \sum_{\substack{\mu \in \Lambda(n, r - \sigma(A)) \\ \bar{\mu} = \bar{\lambda}}} [A + \text{diag}(\mu)].$$

**Lemma 4.8.** *The set*

$$\{ \llbracket A + \text{diag}(\bar{\lambda}), r \rrbracket_h \mid A \in \Xi(n, r)_h^\pm, \bar{\lambda} \in \overline{\Lambda(n, r - \sigma(A))}_{l'p^{h-1}} \}$$

*forms a  $k$ -basis for  $\tilde{u}_k(n, r)_h$ . Thus  $\dim_k \tilde{u}_k(n, r)_h = \# \text{pr}(\Xi(n, r)_h)$ . Similarly, the set*

$$\left\{ \sum_{\substack{\mu \in \Lambda(n, r - \sigma(A)) \\ \bar{\mu} = \bar{\lambda}}} \xi_{A + \text{diag}(\mu)} \mid A \in \Xi(n, r)_h^\pm, \bar{\lambda} \in \overline{\Lambda(n, r - \sigma(A))}_{l'p^{h-1}} \right\}$$

*forms a  $k$ -basis for  $u_k(n, r)_h$ .*

*Proof.* By [Fu 2005, 4.2.4], the set

$$(4.8.1) \quad \{c^{A + \text{diag}(\bar{\lambda})} + \tilde{I}_h \mid A \in \Xi(n, r)_h^\pm, \bar{\lambda} \in \overline{\Lambda(n, r - \sigma(A))}_{l'p^{h-1}}\}$$

forms a  $k$ -basis for  $\tilde{\pi}(A_q(n, r)_h)$ . Similar to [Fu 2005, 5.5.3],<sup>3</sup> we have

$$(4.8.2) \quad (c^{A + \text{diag}(\bar{\lambda})} + \tilde{I}_h)^* = \sum_{\substack{\mu \in \Lambda(n, r - \sigma(A)) \\ \bar{\mu} = \bar{\lambda}}} \xi_{A + \text{diag}(\mu)} = \varepsilon^{d_{A + \text{diag}(\bar{\lambda})}} \llbracket A + \text{diag}(\bar{\lambda}), r \rrbracket_h.$$

Here the bar on  $\mu$  means it's relative to  $l'p^{h-1}$ . The first assertion follows. Replacing  $l'p^{h-1}$  by  $lp^{h-1}$  in the bar map, the first quality of (4.8.2) gives the second assertion.  $\square$

The proof above gives immediately the next result. This result, in terms of two parameter quantum linear groups, is the  $(1, q)$ -version of [Fu 2005, 5.5] which is the  $(q, 1)$ -version; see footnote 3.

**Corollary 4.9.** *We have algebra isomorphisms*

$$\tilde{u}_k(n, r)_1 \cong \tilde{u}_k(n, r) \quad \text{and} \quad s_k(n, r)_1 \cong s_k(n, r).$$

Note that  $u_k(n, r)$  (see Remark 4.6) is not defined when  $l'$  is even. However,  $u_k(n, r)_1$  is always defined, regardless of whether  $l'$  is odd or even.

<sup>3</sup>The argument given in [Fu 2005] is for the quantum coordinate algebra  $A_{q,1}(n)$ , while  $A_q(n)$  considered here is  $A_{1,q}(n)$ . Here  $A_{\alpha,\beta}(n)$  is the two parameter version defined in [Takeuchi 1990].



**5. The classification of simple modules of little  $q$ -Schur algebras**

In this section, we shall give the classification of simple modules for the little  $q$ -Schur algebra  $\tilde{u}_k(n, r)$ .

For  $\bar{\lambda} \in (\mathbb{Z}_{l'})^n$ , let  $\mathfrak{M}_k(\bar{\lambda}) = \tilde{u}_k(n)/\mathfrak{I}_k(\bar{\lambda})$ , where

$$\mathfrak{I}_k(\bar{\lambda}) = \sum_{1 \leq i \leq n-1} \tilde{u}_k(n) E_i + \sum_{1 \leq i \leq n} \tilde{u}_k(n) (K_i - \varepsilon^{\lambda_i}).$$

Then  $\mathfrak{M}_k(\bar{\lambda})$  has a unique irreducible quotient, which will be denoted by  $\mathfrak{L}_k(\bar{\lambda})$  (see the proof of [Lusztig 1990, 5.11]). If  $l'$  is odd, then  $K_i^{l'}$  is central in  $\tilde{u}_k(n)$ , and hence  $\mathfrak{L}_k(\bar{\lambda})$  can be regarded as a  $u_k(n)$ -module.

Let  $\Lambda^+(n, r) = \{\lambda \in \Lambda(n, r) \mid \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n\}$  and

$$\Lambda^+(n) = \bigcup_{r \geq 0} \Lambda^+(n, r).$$

For  $\lambda \in \Lambda^+(n, r)$ , let  $V(\lambda)$  be the simple  $U(n, r)$ -module with highest weight  $\lambda$ . Let  $x_\lambda$  be the highest weight vector of  $V(\lambda)$ . Let  $V_{\mathfrak{g}}(\lambda) = U_{\mathfrak{g}}(n, r)x_\lambda$ . Since  $U_{\mathfrak{g}}(n, r)$  is a homomorphic image  $U_{\mathfrak{g}}(\mathfrak{sl}_n)$  by Theorem 9.3, we have  $V_{\mathfrak{g}}(\lambda) = U_{\mathfrak{g}}(\mathfrak{sl}_n)x_\lambda$ . We denote  $V_{\mathfrak{g}}(\lambda) \otimes_{\mathfrak{g}} k$  by  $V_k(\lambda)$  and let  $L_k(\lambda)$  be the unique irreducible quotient of  $V_k(\lambda)$ . For convenience, we shall denote the image of  $x_\lambda$  in  $V_k(\lambda)$  and  $L_k(\lambda)$  by the same letter. Let  $V'_k(\lambda) = \tilde{u}_k(n)x_\lambda$ . We call  $V'_k(\lambda)$  the baby Weyl module of  $\tilde{u}_k(n)$ . Then  $\mathfrak{L}_k(\bar{\lambda})$  is the unique irreducible quotient of  $V'_k(\lambda)$ .

If  $\lambda \in X_1$ , then, by Theorem 3.2 and Theorem 3.7,  $\tilde{u}_k(\mathfrak{sl}_n)x_\lambda = V_k(\lambda)$  and  $L_k(\lambda)|_{\tilde{u}_k(\mathfrak{sl}_n)}$  is irreducible. This, together with  $\tilde{u}_k(\mathfrak{sl}_n) \subseteq \tilde{u}_k(\mathfrak{gl}_n) \subseteq U_k(n)$  implies the following.

**Lemma 5.1.** *For any  $\lambda \in X_1$ , we have  $V'_k(\lambda) = V_k(\lambda)$ , and restriction gives  $\tilde{u}_k(n)$ -module isomorphisms  $L_k(\lambda)|_{\tilde{u}_k(n)} \cong \mathfrak{L}_k(\bar{\lambda})$ .*

Note further that  $\overline{(X_1)_{l'}} \subseteq \overline{\Lambda^+(n)_{l'}} = (\mathbb{Z}_{l'})^n$  and

$$(5.1.1) \quad \overline{(X_1)_{l'}} = \overline{\Lambda^+(n)_{l'}} = (\mathbb{Z}_{l'})^n \quad \text{if } l' \text{ is odd.}$$

**Theorem 5.2** [Lusztig 1990, 5.11, 6.6].

- (1) *If  $l'$  is odd, then  $l' = l$  and the set  $\{\mathfrak{L}_k(v) \mid v \in (\mathbb{Z}_l)^n\}$  forms a complete set of nonisomorphic simple  $u_k(n)$ -modules.*
- (2) *If  $l'$  is even, then  $l' = 2l$  and the set  $\{\mathfrak{L}_k(v) \mid v \in (\mathbb{Z}_{2l})^n\}$  forms a complete set of nonisomorphic simple  $\tilde{u}_k(n)$ -modules.*

Note that, unlike the classification for simple  $G_1$ -modules given in Theorem 4.3, this classification depends on  $l'$ . We will make a comparison in Corollary 5.7.

By Lemma 5.1 and (5.1.1), if  $l'$  is odd, then every simple  $\tilde{u}_k(n)$ -module on which all  $K_i^{l'}$  act as the identity is a  $u_k(n)$ -module and is also a restriction of a

simple  $U_k(n)$ -module with a restricted highest weight. However, when  $l'$  is even, there are simple  $\tilde{u}_k(n)$ -modules which cannot be realized in this way.

**Example 5.3.** Assume  $l' = 4$ . Then  $l = 2$ . Let  $V(2, 0) = U(2)/I(2, 0)$ , where

$$I(2, 0) = U(2)E_1 + U(2)F_1^3 + U(2)(K_1 - v^2) + U(2)(K_2 - 1).$$

Let  $x_0 = 1 + I(2, 0) \in V(2, 0)$ . Let  $V_{\mathfrak{g}}(2, 0)$  be the  $U_{\mathfrak{g}}(2)$ -submodule of  $V(2, 0)$  generated by  $x_0$ . Let  $V_k(2, 0) = V_{\mathfrak{g}}(2, 0) \otimes_{\mathfrak{g}} k$ . Let  $V'_k(2, 0) = \tilde{u}_k(2)x_0$ . The set  $\{x_0, F_1x_0, F_1^{(2)}x_0\}$  forms a  $k$ -basis for  $V_k(2, 0)$ . Since  $F_1^2 = 0 \in U_k(2)$ ,  $V'_k(2, 0) = \text{span}_k\{x_0, F_1x_0\}$ . Thus  $V'_k(2, 0) \neq V_k(2, 0)$ . Since  $E_1(F_1x_0) = 0$ ,  $\text{span}_k\{F_1x_0\}$  is a submodule of  $V'_k(2, 0)$  and also of  $V_k(2, 0)$ . Hence,  $L'_k(2, 0)$  is one-dimensional, while  $\dim L_k(2, 0) = 2$ .

By regarding  $L'_k(2, 0)$  as the  $\tilde{u}_k(\mathfrak{sl}_2)$ -module  $L'_k(2)$ , we see that there is no simple  $U_k(\mathfrak{sl}_2)$ -module  $L_k(m)$  such that  $L_k(m)|_{\tilde{u}_k(\mathfrak{sl}_2)} \cong L'_k(2)$ .

We are now ready to classify simple  $\tilde{u}_k(n, r)$ -modules.

**Lemma 5.4.** *Let  $L$  be a  $\tilde{u}_k(n, r)$ -module. Assume  $x_0 \neq 0 \in L$  satisfies  $k_i x_0 = \varepsilon^{\lambda_i} x_0$  for some  $\lambda_i \in \mathbb{N}$  with  $1 \leq i \leq n$ . Then,  $\bar{\lambda} = (\bar{\lambda}_1, \dots, \bar{\lambda}_n) \in \overline{\Lambda(n, r)'}_l$ .*

*Proof.* By Lemmas 4.5 and 4.7, we have  $1 = \sum_{\alpha \in \overline{\Lambda(n, r)'}_l} p_{\alpha}$  and  $p_{\alpha} \in \tilde{u}_k(n, r)$ . It follows that  $x_0 = \sum_{\alpha \in \overline{\Lambda(n, r)'}_l} (p_{\alpha} x_0)$  and hence, there exist  $\beta \in \overline{\Lambda(n, r)'}_l$  such that  $p_{\beta} x_0 \neq 0$ . By Lemma 4.5,

$$\varepsilon^{\lambda_i} x_0 = k_i x_0 = k_i \sum_{\alpha \in \overline{\Lambda(n, r)'}_l} p_{\alpha} x_0 = \sum_{\alpha \in \overline{\Lambda(n, r)'}_l} \varepsilon^{\alpha_i} p_{\alpha} x_0 \quad \text{for } 1 \leq i \leq n.$$

Hence,

$$\varepsilon^{\lambda_i} p_{\beta} x_0 = p_{\beta} (\varepsilon^{\lambda_i} x_0) = p_{\beta} \sum_{\alpha \in \overline{\Lambda(n, r)'}_l} \varepsilon^{\alpha_i} p_{\alpha} x_0 = \varepsilon^{\beta_i} p_{\beta} x_0 \quad \text{for } 1 \leq i \leq n.$$

Since  $p_{\beta} x_0 \neq 0$ , we have  $\varepsilon^{\lambda_i} = \varepsilon^{\beta_i}$  for  $1 \leq i \leq n$  and hence,  $\bar{\lambda} = \beta \in \overline{\Lambda(n, r)'}_l$ .  $\square$

Let  $X_h(l) = X_h + l\mathbb{N}^n$  and  $X_h(l, r) = \{\lambda \in X_h(l) \mid \sigma(\lambda) = r\}$ . For  $h = 1$  and  $\lambda \in X_1(l, r)$ , the irreducible (polynomial)  $G_1 T$ -module  $\hat{L}_1(\lambda)$  given in Theorem 4.3 is in fact an irreducible  $A_q(n, r)_1$ -comodule. Hence,  $\hat{L}_1(\lambda)$  has a natural  $s_k(n, r)_1$ -module structure.

**Theorem 5.5.** *For  $\lambda \in X_1(l, r)$  we have  $\hat{L}_1(\lambda)|_{\tilde{u}_k(n, r)} \cong \mathfrak{L}_k(\bar{\lambda})$ . Moreover the set  $\{\mathfrak{L}_k(\bar{\lambda}) \mid \bar{\lambda} \in \overline{X_1(l, r)'}_l\}$  forms a complete set of nonisomorphic simple  $\tilde{u}_k(n, r)$ -modules.*

*Proof.* By [Cox 1997; 2000] (cf. Theorem 4.3), the set  $\{\hat{L}_1(\lambda) \mid \lambda \in X_1(l, r)\}$  is a complete set of nonisomorphic simple  $s_k(n, r)_1$ -modules. Thus, it is enough to prove that for each  $\lambda \in X_1(l, r)$ ,  $\hat{L}_1(\lambda)|_{\tilde{u}_k(n, r)}$  is irreducible, and every irreducible  $\tilde{u}_k(n, r)$ -module is isomorphic to  $\mathfrak{L}_k(\bar{\mu})$  for some  $\bar{\mu} \in \overline{X_1(l, r)'}_l$ .

By Theorem 4.3, for  $\lambda \in X_1(l, r)$ , we see that  $\hat{L}_1(\lambda)|_{G_1}$  is a simple  $G_1$ -module at level  $r$ . Hence, by (4.2.1),  $\hat{L}_1(\lambda)|_{u_k(n, r)_1}$  is a simple  $u_k(n, r)_1$ -module. Now the inclusions  $u_k(n, r)_1 \subseteq \tilde{u}_k(n, r) \subseteq s_k(n, r)_1 = s_k(n, r)$  given in (4.1.2) force that  $\hat{L}_1(\lambda)|_{\tilde{u}_k(n, r)}$  is a simple  $\tilde{u}_k(n, r)$ -module. Hence, inflation gives a simple  $\tilde{u}_k(n)$ -module. Since  $\hat{L}_1(\lambda)$  is a highest weight  $s_k(n, r)$ -module, by [Lusztig 1990, 5.10(b)], there exists  $x_0 \in \hat{L}_1(\lambda)$  such that  $E_i x_0 = 0$  and  $K_i x_0 = \varepsilon^{\lambda_i} x_0$  for all  $i$ . Now, the argument in [ibid., 5.11] implies that  $\hat{L}_1(\lambda)|_{\tilde{u}_k(n, r)}$  is isomorphic to  $\mathfrak{L}_k(\bar{\lambda})$ .

On the other hand, let  $L$  be a simple  $\tilde{u}_k(n, r)$ -module. Then, by inflation,  $L$  is a simple  $\tilde{u}_k(n)$ -module. (If  $l'$  is an odd number,  $L$  is also a simple  $u_k(n)$ -module.) Hence, there is some nonzero  $x_0 \in L$  such that  $E_i x_0 = 0$  and  $k_j x_0 = \varepsilon^{\lambda_j} x_0$  for  $1 \leq i \leq n - 1$  and  $1 \leq j \leq n$ , where  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{N}^n$ . By Lemma 5.4,  $\bar{\lambda}$  lies in  $\overline{\Lambda(n, r)_{l'}}$ . So, without loss, we may choose  $\lambda \in \Lambda(n, r)$ . We consider the  $s_k(n, r)$ -module  $s_k(n, r) \otimes_{\tilde{u}_k(n, r)} L$ . Let  $V$  be the  $s_k(n, r)$ -submodule of  $s_k(n, r) \otimes_{\tilde{u}_k(n, r)} L$  generated by  $k_\lambda \otimes x_0$ . Then  $V = s_k(n, r)(k_\lambda \otimes x_0) = \tilde{u}_k^-(n, r)(k_\lambda \otimes x_0)$ . It is clear that the  $k_\lambda \otimes x_0$  are the highest weight vector of  $V$ . Hence there is a unique maximal  $s_k(n, r)$ -submodule of  $V$ , say  $V_{\max}$ . Let  $L' = V/V_{\max}$ . Then  $L'$  is a simple  $s_k(n, r)$ -module and hence  $L' \cong \hat{L}_1(\lambda)$  by the opening sentence of the proof. Since  $e_i.(k_\lambda \otimes x_0) = 0$  and  $k_j.(k_\lambda \otimes x_0) = \varepsilon^{\lambda_j}(k_\lambda \otimes x_0)$ , by Theorem 5.2 we have  $L \cong \hat{L}_1(\lambda)|_{\tilde{u}_k(n, r)} \cong \mathfrak{L}_k(\bar{\lambda})$ . The proof is complete.  $\square$

**Corollary 5.6.** *Every simple  $u_k(n)$ -module when  $l' = l$  is odd (respectively, every simple  $\tilde{u}_k(n)$ -module when  $l'$  is even) is an inflation of a simple  $\tilde{u}_k(n, r)$ -module for some  $r$ .*

*Proof.* Since  $\mathbb{Z}^n = X_1(T) + l\mathbb{Z}^n$ , it follows that

$$\bigcup_{r \geq 0} \overline{X_1(l, r)_{l'}} = \overline{X_1(l)_{l'}} = \overline{(X_1)_{l'}} + \overline{(l\mathbb{N}^n)_{l'}} = \overline{(X_1)_{l'}} + \overline{(l\mathbb{Z}^n)_{l'}} = \mathbb{Z}^n_{l'}.$$

Thus, by Theorem 5.5, inflation via epimorphisms  $\tilde{u}_k(n) \rightarrow \tilde{u}_k(n, r)$  gives simple  $\tilde{u}_k(n)$ -modules indexed by  $\mathbb{Z}^n_{l'}$ . Now the assertion follows from Theorem 5.2.  $\square$

We remark that restricted simple  $U_k(n)$ -modules  $L_k(\lambda)$  with  $\lambda \in X_1$  does not cover all simple  $\tilde{u}_k(n)$ -module when  $l'$  is even. The above result shows that simple  $G_1 T$ -modules *does* cover all simple  $\tilde{u}_k(n)$ -module.

**Corollary 5.7.** *We have, for  $\lambda \in X_1$  with  $\sigma(\lambda) = r$ ,  $L_1(\lambda) \cong \mathfrak{L}_k(\bar{\lambda})|_{u_k(n, r)_1}$  where  $\bar{\lambda} \in \overline{(X_1)_{l'}}$ . In other words,  $\{\mathfrak{L}_k(v) \mid v \in \overline{(X_1)_{l'}}\}$  is a complete set of all simple  $G_1$ -modules.*

Note that this classification is the same as the one given in Theorem 4.3 since the set  $\overline{(X_1)_{l'}}$  can be identified with  $X_1$  via the map  $X_1 \rightarrow \overline{(X_1)_{l'}} : \lambda \mapsto \bar{\lambda}$ . Thus, for the example  $L'_k(2, 0)$  constructed in Example 5.3, its restriction to  $G_1$  is again irreducible and isomorphic to  $L_1(0, 0)$ .

- Remarks 5.8.** (1) If  $\lambda \in X_1$ , Lemma 5.1 and Theorem 5.5 imply that restriction induces isomorphisms  $L_k(\lambda) \cong \hat{L}_1(\lambda)$ ,  $\hat{L}_1(\lambda) \cong \mathfrak{L}_k(\bar{\lambda})$  and  $\mathfrak{L}_k(\bar{\lambda}) \cong L_1(\lambda)$ .
- (2) When  $l' = l$  is odd, we established in [Du et al. 2005] that a basis for  $\tilde{u}_k(n, r)$  is indexed by  $\overline{\Xi(n, r)}_l$ , while a basis for  $U_k(n, r)$  is indexed by  $\Xi(n, r)$ . Similarly, since  $\overline{\Lambda^+(n, r)}_l = \overline{X_1(l, r)}_l$ , simple  $\tilde{u}_k(n, r)$ -modules are indexed by  $\overline{\Lambda^+(n, r)}_l$ , while simple  $U_k(n, r)$ -modules are indexed by  $\Lambda^+(n, r)$ . Thus, barring the index sets gives the counterparts for little  $q$ -Schur algebras. However, if  $l'$  is even, then  $\overline{\Lambda^+(n, r)}_{l'}$  is *not* even a subset of  $\overline{X_1(l, r)}_{l'}$  and the classification is quite different.
- (3) The fact that  $\{\hat{L}_1(\lambda) \mid \lambda \in X_1(l, r)\}$  is a complete set of nonisomorphic simple  $s_k(n, r)_1$ -modules shows that the classification for the infinitesimal  $q$ -Schur algebra is independent of  $l'$ .

### 6. The baby transfer map

There is an epimorphism  $\psi_{r+n,r} : U_k(n, r+n) \twoheadrightarrow U_k(n, r)$ , called the transfer map in [Lusztig 2000, Section 2]. This map can be geometrically constructed [Grojnowski 1992; Lusztig 2000, Section 2] and algebraically constructed by quantum coordinate algebras and quantum determinant [Du 1995b, 5.4]. Since  $\psi_{r+n,r}$  satisfies

$$\zeta_{r+n}(E_i) \mapsto \zeta_r(E_i), \quad \zeta_{r+n}(F_i) \mapsto \zeta_r(F_i), \quad \zeta_{r+n}(K_i) \mapsto \varepsilon \zeta_r(K_i),$$

its restriction induces an epimorphism

$$(6.0.1) \quad \psi_{r+n,r} : \tilde{u}_k(n, r+n) \twoheadrightarrow \tilde{u}_k(n, r).$$

In this section, we introduce the *baby transfer map*

$$\rho_{r+l',r} : \tilde{u}_k(n, r+l') \twoheadrightarrow \tilde{u}_k(n, r)$$

and use it to prove that, up to isomorphism, there only finitely many little  $q$ -Schur algebras. By these maps, we will understand the classification of simple modules for the little  $q$ -Schur algebras from a different angle.

**Proposition 6.1.** *There is an algebra epimorphism  $\rho_{r+l',r} : \tilde{u}_k(n, r+l') \twoheadrightarrow \tilde{u}_k(n, r)$  satisfying*

$$e'_i \mapsto e_i, \quad f'_i \mapsto f_i, \quad k'_j \mapsto k_j,$$

where  $e'_i, f'_i, k'_i$  are the corresponding  $e_i, f_i, k_i$  for  $\tilde{u}_k(n, r+l')$ . Moreover, for  $A \in \Xi^\pm(n)_1$  and  $\bar{\lambda} \in \overline{\Lambda(n, r+l' - \sigma(A))}_{l'}$ ,

$$(6.1.1) \quad \rho_{r+l',r}(\llbracket A + \text{diag}(\bar{\lambda}), r+l' \rrbracket) = \begin{cases} \llbracket A + \text{diag}(\bar{\lambda}), r \rrbracket & \text{if } \bar{\lambda} \in \overline{\Lambda(n, r - \sigma(A))}_{l'}, \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* Consider the epimorphism  $\tilde{\pi} : A_q(n)/J_1 \rightarrow A_q(n)/\tilde{I}_1$  given in (4.1.1). Since every monomial  $m$  in  $A_q(n, r)_1$  has the same homomorphic image as the monomial  $c'_{11} m \in A_q(n, r+l')_1$ , it follows that  $\tilde{\pi}(A_q(n, r)_1) \subseteq \tilde{\pi}(A_q(n, r+l')_1)$  and the basis

$$\{c^{A+\text{diag}(\lambda)} + \tilde{I}_1 \mid A \in \Xi^\pm(n)_1, \bar{\lambda} \in \overline{\Lambda(n, r - \sigma(A))}_{l'}\}$$

for  $\tilde{\pi}(A_q(n, r)_1)$  given in (4.8.1) extends to a basis for  $\tilde{\pi}(A_q(n, r+l')_1)$ . By taking the dual and Corollary 4.9, there is an algebra epimorphism  $\rho_{r+l', r} : \tilde{u}_k(n, r+l') \rightarrow \tilde{u}_k(n, r)$ .

Let

$$\{(c^{A+\text{diag}(\lambda)} + \tilde{I}_1)^* \mid A \in \Xi^\pm(n)_1, \bar{\lambda} \in \overline{\Lambda(n, r' - \sigma(A))}_{l'}\}$$

be the dual basis for  $\tilde{u}_k(n, r')$ . It is now clear that, for  $A \in \Xi^\pm(n)_1$  and  $\bar{\lambda} \in \overline{\Lambda(n, r+l' - \sigma(A))}_{l'}$ ,

$$\rho_{r+l', r}((c^{A+\text{diag}(\bar{\lambda})} + \tilde{I}_1)^*) = \begin{cases} (c^{A+\text{diag}(\bar{\lambda})} + \tilde{I}_1)^* & \text{if } \bar{\lambda} \in \overline{\Lambda(n, r - \sigma(A))}_{l'}, \\ 0 & \text{otherwise.} \end{cases}$$

This together with (4.8.2) implies (6.1.1), since  $\varepsilon$  is a primitive  $l'$ -th root of unity. Since  $\mathbf{p}_{\bar{\lambda}} = \llbracket \text{diag}(\bar{\lambda}), r \rrbracket$ , we have for  $\bar{\lambda} \in \overline{\Lambda(n, r+l')}_{l'}$

$$\rho_{r+l', r}(\mathbf{p}_{\bar{\lambda}}) = \begin{cases} \mathbf{p}_{\bar{\lambda}} & \text{if } \bar{\lambda} \in \overline{\Lambda(n, r)}_{l'}, \\ 0 & \text{otherwise.} \end{cases}$$

Hence,

$$\rho_{r+l', r}(\mathbf{k}'_i) = \rho_{r+l', r} \left( \sum_{\bar{\lambda} \in \overline{\Lambda(n, r+l')}_{l'}} \varepsilon^{\lambda_i} \mathbf{p}_{\bar{\lambda}} \right) = \sum_{\bar{\lambda} \in \overline{\Lambda(n, r)}_{l'}} \varepsilon^{\lambda_i} \mathbf{p}_{\bar{\lambda}} = \mathbf{k}_i.$$

Similarly, we can prove  $\rho_{r+l', r}(\mathbf{e}'_i) = \mathbf{e}_i$  and  $\rho_{r+l', r}(\mathbf{f}'_i) = \mathbf{f}_i$ . □

Observe that, if  $r \geq (n-1)(l'-1)$ , then, for  $\lambda \in \Lambda(n, r+l')$ ,

$$\sum_{1 \leq i \leq n} \lambda_i = r+l' \geq n(l'-1) + 1.$$

Thus,  $\lambda_i \geq l'$  for some  $i$ . Consequently,  $\bar{\lambda} = (\lambda_1, \dots, \lambda_i - l', \dots, \lambda_n) \in \overline{\Lambda(n, r)}_{l'}$ . We see that

$$(6.1.2) \quad \overline{\Lambda(n, r)}_{l'} = \overline{\Lambda(n, r+l')}_{l'} \quad \text{whenever } r \geq (n-1)(l'-1).$$

**Corollary 6.2.** *We have*

$$\tilde{u}_k(n, r) \cong \tilde{u}_k(n, r+l') \quad \text{for } r \geq (l-1)(n^2-n) + (n-1)(l'-1).$$

*Hence, up to isomorphism, there are only finitely many little  $q$ -Schur algebras.*

*Proof.* By Proposition 6.1, there exists an algebra epimorphism from  $\tilde{u}_k(n, r+l')$  to  $\tilde{u}_k(n, r)$ . Thus, it is enough to prove that  $\dim_k \tilde{u}_k(n, r+l') = \dim_k \tilde{u}_k(n, r)$  for

$r \geq (l - 1)(n^2 - n) + (n - 1)(l' - 1)$ . By [Du et al. 2005, 8.2; Fu 2007, 6.8], we have

$$(6.2.1) \quad \dim_k \tilde{u}_k(n, r) = |\{(A, \bar{\lambda}) \mid A \in \Xi^\pm(n)_1, \bar{\lambda} \in \overline{\Lambda(n, r - \sigma(A))}_{l'}\}|.$$

If  $r \geq (l - 1)(n^2 - n) + (n - 1)(l' - 1)$ , then, for any  $A \in \Xi^\pm(n)_1$ ,

$$r - \sigma(A) \geq r - (n^2 - n)(l - 1) \geq (n - 1)(l' - 1).$$

Thus, by (6.1.2),  $\overline{\Lambda(n, r - \sigma(A))}_{l'} = \overline{\Lambda(n, r - \sigma(A) + l')}_{l'}$ . Consequently, (6.2.1), implies  $\dim_k \tilde{u}_k(n, r + l') = \dim_k \tilde{u}_k(n, r)$  whenever  $r \geq (l - 1)(n^2 - n) + (n - 1)(l' - 1)$ . This completes the proof.  $\square$

We now look at the second application of the baby transfer map. If  $l'$  is odd, then  $l' = l$  by definition and the index set of the classification given in Theorem 5.5 becomes

$$(6.2.2) \quad \begin{aligned} \overline{X_1(l, r)}_l &= \{\bar{\lambda} \mid \lambda \in X_1, \sigma(\lambda) \leq r, \overline{\sigma(\lambda)} = \bar{r}\} \\ &= \overline{X_1(l, r - l)}_l \cup \{\bar{\lambda} \mid \lambda \in X_1, \sigma(\lambda) = r\}. \end{aligned}$$

This indicates, by Theorem 5.5 and Proposition 6.1, that the simple  $\tilde{u}_k(n, r)$ -modules can be divided into two classes, one consists of the simple  $\tilde{u}_k(n, r)$ -modules which can be obtained by restriction from the simple  $U_k(n, r)$ -modules with restricted highest weights and the other consists of the simple  $\tilde{u}_k(n, r)$ -module which are inflations of the simple  $\tilde{u}_k(n, r - l)$ -module via the map  $\rho_{r, r-l}$ . The disjointness of the two classes can be seen as follows.

Suppose  $n \geq r$ . Let  $\omega = (1^r) \in \Lambda(n, r)$ . Then  $\mathfrak{k}_\omega = \mathfrak{p}_\omega \in \tilde{u}_k(n, r)$ . By [Fu 2005, 7.1] we have  $\mathfrak{k}_\omega \tilde{u}_k(n, r) \mathfrak{k}_\omega$  is isomorphic to the Hecke algebra  $\mathcal{H}_r = \mathcal{H}(\mathfrak{S}_r)$ . We will identify  $\mathfrak{k}_\omega \tilde{u}_k(n, r) \mathfrak{k}_\omega$  with  $\mathcal{H}_r$ . Thus, we may define the ‘‘baby’’ Schur functor  $F_r$  as follows:

$$F_r : \text{Mod}(\tilde{u}_k(n, r)) \rightarrow \text{Mod}(\mathcal{H}_r), \quad V \mapsto \mathfrak{k}_\omega V.$$

The functor  $F_r$  induces a group homomorphism over the Grothendieck groups,

$$F_r : K(\tilde{u}_k(n, r)) \rightarrow K(\mathcal{H}_r).$$

Here  $K(A)$  denotes the Grothendieck group of  $\text{Mod}(A)$ .

By Proposition 6.1 the category  $\text{Mod}(\tilde{u}_k(n, r - l'))$  can be regarded as a full subcategory of  $\text{Mod}(\tilde{u}_k(n, r))$  via  $\rho_{r+l', r}$  and hence we may view  $K(\tilde{u}_k(n, r - l'))$  as a subgroup of  $K(\tilde{u}_k(n, r))$ .

**Proposition 6.3.** *Assume  $l'$  is odd and  $n \geq r$ . Then  $F_r$  is surjective and*

$$\ker(F_r) = K(\tilde{u}_k(n, r - l)).$$

*Proof.* By Lemma 5.1 and [Donkin 1998, 4.4(2)], the set

$$\{F_r(\mathfrak{L}_k(\bar{\lambda})) \mid \lambda \in X_1, \sigma(\lambda) = r\}$$

forms a complete set of nonisomorphic simple  $\mathfrak{H}_r$ -modules. Thus, by [Green 2007, 6.2(g)] and (6.2.2), we conclude that  $F_r(\mathfrak{L}_k(\bar{\lambda})) = 0$  for  $\bar{\lambda} \in \overline{X_1(l, r-l)}_l$ . The assertion follows.  $\square$

### 7. Semisimple little $q$ -Schur algebras

We now determine semisimple little  $q$ -Schur algebras. This can be easily done by the semisimplicity of the infinitesimal  $q$ -Schur algebra  $s_k(n, r) = s_k(n, r)_1$  and the following.

**Lemma 7.1.** *Let  $V$  be an  $s_k(n, r)$ -module. Then  $\text{soc}_{s_k(n, r)} V = \text{soc}_{\tilde{u}_k(n, r)} V$ .*

*Proof.* It is easy to check that  $\text{soc}_{s_k(n, r)_1} V = \text{soc}_{G_1 T} V$  and  $\text{soc}_{u_k(n, r)_1} V = \text{soc}_{G_1} V$ . By [Donkin 1998, 3.1(18)(iii)] we have  $\text{soc}_{G_1 T} V = \text{soc}_{G_1} V$ . It follows that

$$\text{soc}_{s_k(n, r)_1} V = \text{soc}_{u_k(n, r)_1} V.$$

Since  $u_k(n, r)_1 \subseteq \tilde{u}_k(n, r) \subseteq s_k(n, r)_1$  the assertion follows from Theorems 4.3 and 5.5.  $\square$

**Theorem 7.2.** *The little  $q$ -Schur algebra  $\tilde{u}_k(n, r)$  is semisimple if and only if either  $l > r$  or  $l = n = 2$  and  $r \geq 3$  is odd.*

*Proof.* By [Fu 2008b, 1.2], the infinitesimal  $q$ -Schur algebra  $s_k(n, r)$  is semisimple if and only if either  $l > r$  or  $n = 2, l = 2$  and  $r \geq 3$  is odd. Thus, it is enough to prove that the infinitesimal  $q$ -Schur algebra  $s_k(n, r)$  is semisimple if and only if the little  $q$ -Schur algebra  $\tilde{u}_k(n, r)$  is semisimple.

Let  $W$  be an indecomposable projective  $\tilde{u}_k(n, r)$ -module. Suppose the algebra  $s_k(n, r)$  is semisimple; then the  $s_k(n, r)$ -module  $s_k(n, r) \otimes_{\tilde{u}_k(n, r)} W$  is semisimple, and, by Theorem 5.5,  $(s_k(n, r) \otimes_{\tilde{u}_k(n, r)} W)|_{\tilde{u}_k(n, r)}$  is a semisimple  $\tilde{u}_k(n, r)$ -module. Since  $W$  is a projective  $\tilde{u}_k(n, r)$ -module,  $W$  is a flat  $\tilde{u}_k(n, r)$ -module. It follows that the natural  $\tilde{u}_k(n, r)$ -module homomorphism from  $W \cong \tilde{u}_k(n, r) \otimes_{\tilde{u}_k(n, r)} W$  to  $s_k(n, r) \otimes_{\tilde{u}_k(n, r)} W$  is injective, and hence  $W$  is a semisimple  $\tilde{u}_k(n, r)$ -module. So the algebra  $\tilde{u}_k(n, r)$  is semisimple.

Now we suppose the algebra  $s_k(n, r)$  is not semisimple. Then there exist  $\lambda, \mu$  in  $X_1(l, r)$  such that  $\text{Ext}_{s_k(n, r)}(\hat{L}_1(\lambda), \hat{L}_1(\mu)) \neq 0$ ; thus there is an  $s_k(n, r)$ -module  $V$  such that  $\text{soc}_{s_k(n, r)} V = \hat{L}_1(\mu)$  with top  $\hat{L}_1(\lambda)$ . By Lemma 7.1 we have  $\text{soc}_{\tilde{u}_k(n, r)} V = \hat{L}_1(\mu)$  and hence  $\tilde{u}_k(n, r)$  is not semisimple.  $\square$

We will see in the next section (at least when  $l'$  is odd) that the semisimplicity of  $\tilde{u}_k(n, r)$  depends only on  $r$  and  $l$ , while the infinitesimal quantum group  $\tilde{u}_k(n)$  is never semisimple (for all  $n$  and  $l' = l$ ).

**8. Little  $q$ -Schur algebras of finite representation type**

In this section, we will assume  $k$  is an algebraically closed field and  $l'$  is odd. Thus,  $l' = l \geq 3$  and  $\overline{X_1(l, r)}_l = \overline{\Lambda^+(n, r)}_l$  (see Remarks 5.8(2)). By Theorems 4.3 and 5.5,  $L_1(\lambda) = \mathfrak{L}_k(\bar{\lambda})$  for all  $\lambda \in X_1(l, r)$ . We will classify little  $q$ -Schur algebras of finite representation type in this case. The even case is much more complicated and will be treated elsewhere.

We first determine the blocks of  $\tilde{u}_k(2, r)$ . Using it, we then establish that  $\tilde{u}_k(2, r)$  has finite representation type if and only if it is semisimple. We then generalize this from  $n = 2$  to an arbitrary  $n$ .

Blocks of  $q$ -Schur algebras were classified in [Cox 1997; 1998] (compare [Donkin 1994]). Moreover, blocks of infinitesimal  $q$ -Schur algebras were classified in [Cox 1997; 2000] for  $n = 2$ . Now we first classify blocks of little  $q$ -Schur algebras  $\tilde{u}_k(2, r)$  and use this to determine their finite representation type.

Let  $\Phi = \{e_i - e_j \mid 1 \leq i \neq j \leq n\}$  be the set of roots of type  $A_{n-1}$ , where

$$e_i = (0, \dots, 0, 1, 0, \dots, 0) \in \mathbb{Z}^n,$$

with the 1 in the  $i$ -th position. Let  $\Phi^+ = \{e_i - e_j \mid 1 \leq i < j \leq n\}$  be the set of positive roots. There is a  $\mathbb{Z}$ -bilinear form  $\langle -, - \rangle$  on  $X = \mathbb{Z}^n$  satisfying  $\langle e_i, e_j \rangle = \delta_{ij}$  for  $1 \leq i, j \leq n$ . The symmetric group  $\mathfrak{S}_n$  acts on  $X$  by place-permutation. The “dot” action of  $\mathfrak{S}_n$  on  $X$  is defined by  $w.\lambda = w(\lambda + \rho) - \rho$ , where  $\rho = (n - 1, n - 2, \dots, 1, 0)$ . For  $\lambda \in \Lambda^+(n, r)$ , let  $m(\lambda)$  be the least positive integer  $m$  such that there exists an  $\alpha \in \Phi^+$  with  $\langle \lambda + \rho, \alpha \rangle \notin lp^m\mathbb{Z}$ .

**Proposition 8.1** [Cox 1997; 1998]. *For  $\lambda \in \Lambda^+(n, r)$ , let  $\mathfrak{B}^{n,r}(\lambda)$  be the block of  $q$ -Schur algebras  $U_k(n, r)$  containing  $L_k(\lambda)$ . Then, we have*

$$\mathfrak{B}^{n,r}(\lambda) = (\mathfrak{S}_n.\lambda + lp^{m(\lambda)}\mathbb{Z}\Phi) \cap \Lambda^+(n, r).$$

We will denote the block of  $G_h$  containing  $L_h(\lambda)$  by  $\mathfrak{B}_h^n(\lambda)$  for  $\lambda \in X_h$  and denote the block of infinitesimal  $q$ -Schur algebras  $s_k(n, r)_h$  containing  $\hat{L}_1(\lambda)$  by  $\mathfrak{B}_h^{n,r}(\lambda)$  for  $\lambda \in X_h(l, r)$ .

**Proposition 8.2** [Cox 1997; 2000]. *Assume  $n = 2$ . For  $\lambda \in X_h$ , we have*

$$\mathfrak{B}_h^2(\lambda) = (\mathfrak{S}_2.\lambda + lp^{m(\lambda)}\mathbb{Z}\Phi + lp^{h-1}X) \cap X_h.$$

For  $\lambda \in X_h(l, r)$  we have

$$\mathfrak{B}_h^{2,r}(\lambda) = \begin{cases} (\mathfrak{S}_2.\lambda + lp^{m(\lambda)}\mathbb{Z}\Phi) \cap X_h(l, r) & \text{if } m(\lambda) + 1 \leq h, \\ \{\lambda\} & \text{if } m(\lambda) + 1 > h. \end{cases}$$

For  $\bar{\lambda} \in \overline{\Lambda^+(n, r)}_l$ , the block of little  $q$ -Schur algebras  $\tilde{u}_k(n, r)$  containing  $\mathfrak{L}_k(\bar{\lambda})$  will be denoted by  $\mathfrak{b}^{n,r}(\bar{\lambda})$ . We now determine  $\mathfrak{b}^{2,r}(\bar{\lambda})$ .



**Lemma 8.3.** *For any  $\bar{\lambda}, \bar{\mu} \in \overline{X_1(l, r)}_l$ , we have*

$$\text{Ext}_{\tilde{u}_k(n, r)}^1(\mathfrak{L}_k(\bar{\lambda}), \mathfrak{L}_k(\bar{\mu})) \cong \text{Ext}_{\tilde{u}_k(n, r)}^1(\mathfrak{L}_k(\bar{\mu}), \mathfrak{L}_k(\bar{\lambda})).$$

*Proof.* By [Beilinson et al. 1990, 3.10], there is an antiautomorphism  $\tau$  on the  $q$ -Schur algebra  $U_k(n, r)$  by sending  $[A]$  to  $[{}^tA]$  for all  $A \in \Xi(n, r)$ , where  ${}^tA$  is the transpose of  $A$ . Since the set  $\{\llbracket A, r \rrbracket_1 \mid A \in \overline{\Xi(n, r)}_l\}$  (see (4.7.1)) forms a  $k$ -basis of  $\tilde{u}_k(n, r)$  by [Du et al. 2005], we conclude that  $\tau(\tilde{u}_k(n, r)) = \tilde{u}_k(n, r)$ . Using  $\tau$ , we may construct, for any (finite-dimensional)  $\tilde{u}_k(n, r)$ -module  $M$ , its contravariant dual module  ${}^tM$ . Thus, as a vector space,  ${}^tM$  is the dual space  $M^*$  of  $M$  and the action is defined by  $x.f = f\tau(x)$  for all  $x \in \tilde{u}_k(n, r)$  and  $f \in M^*$ . Since  ${}^t(\mathfrak{L}_k(\bar{\lambda})) \cong \mathfrak{L}_k(\bar{\lambda})$  for any  $\bar{\lambda} \in \overline{X_1(l, r)}_l$  and  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  is an exact sequence of  $\tilde{u}_k(n, r)$ -modules if and only if so is  $0 \rightarrow {}^tN \rightarrow {}^tM \rightarrow {}^tL \rightarrow 0$ , the result follows easily (see [Jantzen 1987, II, 2.12(4)] for a similar result).  $\square$

**Proposition 8.4.** *For  $\bar{\lambda} \in \overline{\Lambda^+(2, r)}_l (= \overline{X_1(l, r)}_l)$  with  $\lambda \in \Lambda^+(2, r)$ , if  $\mathfrak{b}^{2,r}(\bar{\lambda})$  denotes the block containing  $\mathfrak{L}_k(\bar{\lambda})$  for the little  $q$ -Schur algebra  $\tilde{u}_k(2, r)$ , then*

$$\mathfrak{b}^{2,r}(\bar{\lambda}) = \overline{(\mathfrak{S}_2 \cdot \lambda)_l \cap \overline{\Lambda^+(2, r)}_l} = \overline{\mathfrak{B}^{2,r}(\lambda)}_l = \overline{\mathfrak{B}_1^{2,r}(\lambda)}_l.$$

*Proof.* If  $\mu \in X_1(l, r)$  and  $\text{Ext}_{s_k(2, r)_1}^1(\hat{L}_1(\lambda), \hat{L}_1(\mu)) \neq 0$ , then, by Lemma 7.1,  $\text{Ext}_{\tilde{u}_k(2, r)}^1(\mathfrak{L}_k(\bar{\lambda}), \mathfrak{L}_k(\bar{\mu})) \neq 0$ . This proves

$$\overline{\mathfrak{B}_1^{2,r}(\lambda)}_l \subseteq \mathfrak{b}^{2,r}(\bar{\lambda}).$$

Hence, Proposition 8.2 implies  $\overline{(\mathfrak{S}_2 \cdot \lambda)_l \cap \overline{\Lambda^+(2, r)}_l} \subseteq \mathfrak{b}^{2,r}(\bar{\lambda})$ .

On the other hand, if  $\bar{\mu} \in \mathfrak{b}^{2,r}(\bar{\lambda})$  with  $\mu \in X_1(l, r)$  and  $\text{Ext}_{\tilde{u}_k(2, r)}^1(\mathfrak{L}_k(\bar{\lambda}), \mathfrak{L}_k(\bar{\mu}))$  does not vanish, there is a  $\tilde{u}_k(2, r)$ -module  $N$  (and hence a  $G_1$ -module) such that  $\text{soc}_{\tilde{u}_k(2, r)} N = \mathfrak{L}_k(\bar{\mu})$  and  $\text{top}_{\tilde{u}_k(2, r)} N = \mathfrak{L}_k(\bar{\lambda})$ . Equivalently, as a  $G_1$ -module,

$$\text{soc}_{G_1} N = \text{soc}_{u_k(2, r)_1} N = L_1(\mu)$$

and hence  $\text{top}_{G_1} N = L_1(\lambda)$ . So  $\text{Ext}_{G_1}^1(L_1(\lambda), L_1(\mu)) \neq 0$ . Thus, the first assertion in Proposition 8.2 implies

$$\mu \in (\mathfrak{S}_2 \cdot \lambda + lp^{m(\lambda)}\mathbb{Z}\Phi + lX) \cap X_1.$$

Since  $\overline{X_1(l, r)}_l = \overline{\Lambda^+(2, r)}_l$ , it follows from Theorem 5.5 that

$$\mathfrak{b}^{2,r}(\bar{\lambda}) \subseteq \overline{(\mathfrak{S}_2 \cdot \lambda)_l \cap \overline{\Lambda^+(2, r)}_l}.$$

Hence,  $\mathfrak{b}^{2,r}(\bar{\lambda}) = \overline{(\mathfrak{S}_2 \cdot \lambda)_l \cap \overline{\Lambda^+(2, r)}_l}$ , and consequently,  $\mathfrak{b}^{2,r}(\bar{\lambda}) = \overline{\mathfrak{B}^{2,r}(\lambda)}_l = \overline{\mathfrak{B}_1^{2,r}(\lambda)}_l$ , by Propositions 8.1 and 8.2.  $\square$

We are now going to establish the fact that any nonsemisimple  $\tilde{u}_k(2, r)$  has infinite representation type. We need the following three simple lemmas.

**Lemma 8.5.** *Let  $V$  be an  $s_k(n, r)$ -module. Then,  $V$  is an indecomposable  $s_k(n, r)$ -module if and only if  $V$  is an indecomposable  $\tilde{u}_k(n, r)$ -module.*

*Proof.* It is clear that  $V$  is an indecomposable  $s_k(n, r)_1$ -module (respectively,  $u_k(n, r)_1$ -module) if and only if  $V$  is an indecomposable  $G_1T$ -module (respectively,  $G_1$ -module). By [Donkin 1998, 3.1(18)],  $V$  is an indecomposable  $G_1T$ -module if and only if  $V$  is an indecomposable  $G_1$ -module. The assertion now follows from (4.1.2).  $\square$

**Lemma 8.6** [Fu 2008a, 3.4(2)]. *Let  $N$  be an  $U_k(n, r)$ -module with two composition factors  $L_k(\lambda)$  and  $L_k(\mu)$ , where  $\lambda \in X_h$  and  $\mu \in \Lambda^+(n, r)$  with  $\text{soc}_{U_k(n, r)} N \cong L_k(\lambda)$ . Assume that*

$$L_k(\mu) = \bigoplus_{j=1}^s \hat{L}_h(\mu_j)$$

*is the decomposition of  $L_k(\mu)$  into irreducible  $s_k(n, r)_h$ -modules. If  $\hat{L}_h(\lambda) \not\cong \hat{L}_h(\mu_j)$  as  $G_h$ -modules for all  $j$ , then  $\text{soc}_{s_k(n, r)_h} N \cong L_k(\lambda) \cong \hat{L}_h(\lambda)$ .*

**Lemma 8.7.** *Let  $A$  be finite-dimensional  $k$ -algebra and let  $e$  be an idempotent element in  $A$ . Assume  $\{L_i \mid i \in I\}$  is a complete set of nonisomorphic irreducible  $A$ -modules. Then we have*

$$Ae \cong \bigoplus_{i \in I} \dim_k(eL_i) P(L_i),$$

*where  $P(L_i)$  is the projective cover of  $L_i$ . In particular, if  $l$  is odd and  $A = U_k(2, r)$  with  $r = l$  or  $l + 1$ , then*

$$\begin{aligned} U_k(2, l) \mathbf{k}_{(l-1, 1)} &\cong P(l-1, 1), \\ U_k(2, l) \mathbf{k}_{(l, 0)} &\cong U_k(2, l) \mathbf{k}_{(0, l)} \cong P(l, 0), \\ U_k(2, l+1) \mathbf{k}_{(l-1, 2)} &\cong P(l-1, 2) \oplus P(l, 1), \\ U_k(2, l+1) \mathbf{k}_{(l+1, 0)} \oplus U_k(2, l+1) \mathbf{k}_{(1, l)} &\cong 2P(l+1, 0) \oplus P(l, 1). \end{aligned}$$

*Proof.* Since  $e$  is an idempotent element in  $A$ ,  $Ae$  is projective and hence we may write

$$Ae \cong \bigoplus_{i \in I} d_i P(L_i),$$

where  $d_i \in \mathbb{N}$ . Then, for  $i \in I$ ,

$$\dim_k(eL_i) = \dim_k \text{Hom}_A(Ae, L_i) = \sum_{j \in I} d_j \dim_k \text{Hom}_A(P_j, L_i) = d_i.$$

The last statement follows from the following facts: If  $A = U_k(2, l)$ , then there are  $(l-1)/2 + 1$  simple modules,  $L_k(l-i, i)$  for  $0 \leq i \leq (l-1)/2$ . For each

$1 \leq i \leq (l-1)/2$ , the module  $L_k(l-i, i)$  has dimension  $l-2i+1$  and weights  $(l-i-j, i+j)$  with  $0 \leq j \leq l-2i$ , while  $L_k(l, 0)$  has dimension 2 and weights  $(l, 0)$  and  $(0, l)$  by the tensor product theorem. If  $A = U_k(2, l+1)$ , then there are  $(l+1)/2 + 1$  simple modules,  $L_k(l+1-i, i)$  for  $0 \leq i \leq (l+1)/2$ . For each  $1 \leq i \leq (l+1)/2$ , the module  $L_k(l+1-i, i)$  has dimension  $l-2i+2$  and weights  $(l+1-i-j, i+j)$  with  $0 \leq j \leq l-2i+1$ , while  $L_k(l+1, 0)$  has dimension 4 and weights  $(l+1, 0)$ ,  $(l, 1)$ ,  $(1, l)$  and  $(0, l+1)$ .  $\square$

For  $\lambda \in \Lambda^+(n, r)$  let  $P(\lambda)$  be the projective cover of  $L_k(\lambda)$  as a  $U_k(n, r)$ -module. For  $\lambda \in \Lambda^+(n, r)$  let  $\mathfrak{p}(\bar{\lambda})$  be the projective cover of  $\mathfrak{L}_k(\bar{\lambda})$  as a  $\tilde{u}_k(n, r)$ -module.

**Proposition 8.8.** *The algebra  $\tilde{u}_k(2, l)$  has infinite representation type.*

*Proof.* Let  $\lambda = (l, 0)$  and  $\mu = (l-1, 1)$ . By [Thams 1994], the standard module  $\Delta(\lambda)$  has two composition factors with socle  $L_k(\mu)$ . Since  $U_k(2, r)$  is semisimple for  $l > r$  (see, for example, [Erdmann and Nakano 2001]), we have, for any  $\nu = (v_1, v_2) \in \Lambda^+(2, l)$  with  $\nu \neq \lambda$ ,

$$\Delta(\nu) \cong \Delta(v_1 - v_2, 0) \otimes \det_q^{v_2} \cong L_k(v_1 - v_2, 0) \otimes \det_q^{v_2} \cong L_k(\nu).$$

Hence, by Brauer–Humphreys reciprocity,  $P(\mu) = \frac{\Delta(\mu)}{\Delta(\lambda)}$  and  $P(\lambda) = \Delta(\lambda)$  are uniserial modules with composition series

$$(8.8.1) \quad \begin{array}{l} P(\mu) : L_k(\mu) \\ \phantom{P(\mu)} : L_k(\lambda) \\ \phantom{P(\mu)} : L_k(\mu) \end{array} \quad \begin{array}{l} P(\lambda) : L_k(\lambda) \\ \phantom{P(\lambda)} : L_k(\mu) \end{array} \quad \begin{array}{l} P(\nu) : L_k(\nu) \end{array}$$

where  $\nu \neq \lambda, \mu$ . By Proposition 8.4, we have  $\mathfrak{b}^{2,r}(\bar{\lambda}) = \{\bar{\lambda}, \bar{\mu}\}$  and  $\mathfrak{b}^{2,r}(\bar{\nu}) = \{\bar{\nu}\}$  for  $\nu \neq \lambda, \mu$ . Using Lemma 8.7, we see that  $\tilde{u}_k(2, l)\mathfrak{p}_{\bar{\mu}} = U_k(2, l)\mathfrak{k}_{\mu} \cong P(\mu)$ . Hence,  $P(\mu)|_{\tilde{u}_k(2, l)}$  is a projective  $\tilde{u}_k(2, l)$ -module. By Lemma 8.6, we first have  $\text{soc}_{s_k(2, l)}\Delta(\lambda) = \hat{L}_1(\mu)$ . Applying Lemma 8.6 again to the contravariant dual of  $P(\mu)/L_k(\mu)$  (see the proof of Lemma 8.3) yields  $\text{soc}_{s_k(2, l)}(P(\mu)/L_k(\mu)) = \hat{L}_1(\lambda)$ . Hence,  $\text{soc}_{s_k(2, l)}P(\mu)$  is irreducible and so  $P(\mu)|_{s_k(2, l)}$  is indecomposable. This together with Lemma 8.5 implies that  $P(\mu)|_{\tilde{u}_k(2, l)}$  is indecomposable. Thus,  $P(\mu)|_{\tilde{u}_k(2, l)} \cong \mathfrak{p}(\bar{\mu})$  has the following structure:

$$\begin{array}{l} \mathfrak{p}(\bar{\mu}) : \mathfrak{L}_k(\bar{\mu}) \\ \phantom{\mathfrak{p}(\bar{\mu})} : 2\mathfrak{L}_k(\bar{\lambda}) \\ \phantom{\mathfrak{p}(\bar{\mu})} : \mathfrak{L}_k(\bar{\mu}) \end{array}$$

Here  $2\mathfrak{L}_k(\bar{\lambda})$  means  $\mathfrak{L}_k(\bar{\lambda}) \oplus \mathfrak{L}_k(\bar{\lambda})$ . (Note that  $\mathfrak{L}_k(\bar{\lambda}) \cong \mathfrak{L}_k(0, 0)$ .)

Now let us determine the structure of  $\mathfrak{p}(\bar{\lambda})$ . By Lemma 8.7 we have

$$(8.8.2) \quad U_k(2, l)\mathfrak{k}_{\lambda} \cong U_k(2, l)\mathfrak{k}_{\delta} \cong P(\lambda)$$

where  $\delta = (0, l)$ . Let

$$W_1 = \text{span} \left\{ \left[ \begin{pmatrix} a_{1,1} & 0 \\ a_{2,1} & 0 \end{pmatrix} \right] \mid 1 \leq a_{1,1}, a_{2,1} \leq l-1, a_{1,1} + a_{2,1} = l \right\},$$

$$W_2 = \text{span} \left\{ \left[ \begin{pmatrix} 0 & a_{1,2} \\ 0 & a_{2,2} \end{pmatrix} \right] \mid 1 \leq a_{1,2}, a_{2,2} \leq l-1, a_{1,2} + a_{2,2} = l \right\}.$$

Then, there are vector space decompositions

$$(8.8.3) \quad U_k(2, l) \mathfrak{k}_\lambda = W_1 \oplus \text{span} \left\{ \left[ \begin{pmatrix} l & 0 \\ 0 & 0 \end{pmatrix} \right], \left[ \begin{pmatrix} 0 & 0 \\ l & 0 \end{pmatrix} \right] \right\},$$

$$U_k(2, l) \mathfrak{k}_\delta = W_2 \oplus \text{span} \left\{ \left[ \begin{pmatrix} 0 & l \\ 0 & 0 \end{pmatrix} \right], \left[ \begin{pmatrix} 0 & 0 \\ 0 & l \end{pmatrix} \right] \right\},$$

Clearly,  $\dim_k L_k(\lambda) = 2$  and  $L_k(\lambda)$  has only two weights  $(l, 0)$  and  $(0, l)$ . Thus, by (8.8.1), (8.8.2) and (8.8.3),

$$(8.8.4) \quad W_1 \cong W_2 \cong L_k(\mu).$$

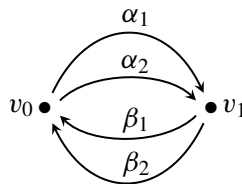
Now, as a vector space,  $\tilde{u}_k(2, l) \mathfrak{p}_{\bar{\lambda}} = W_1 \oplus W_2 \oplus \text{span}\{\mathfrak{p}_{\bar{\lambda}}\}$ . Furthermore, by Lemma 8.7,  $\mathfrak{p}(\bar{\lambda}) \cong \tilde{u}_k(2, l) \mathfrak{p}_{\bar{\lambda}}$ . Thus, by (8.8.4),

$$\text{soc}_{\tilde{u}_k(2, l)} \tilde{u}_k(2, l) \mathfrak{p}_{\bar{\lambda}} = W_1 \oplus W_2 \cong 2\mathfrak{L}_k(\bar{\mu})$$

and  $\tilde{u}_k(2, l) \mathfrak{p}_{\bar{\lambda}} / (W_1 \oplus W_2) \cong \mathfrak{L}_k(\bar{\lambda})$ . So  $\mathfrak{p}(\bar{\lambda}) \cong \tilde{u}_k(2, l) \mathfrak{p}_{\bar{\lambda}}$  has the following structure:

$$\mathfrak{p}(\bar{\lambda}) : \mathfrak{L}_k(\bar{\lambda}) \\ 2\mathfrak{L}_k(\bar{\mu}).$$

Let  $B$  be the basic algebra of the block  $\mathfrak{b}^{2,r}(\bar{\lambda})$  of  $\tilde{u}_k(2, l)$ . Let  $v_0 = \mathfrak{L}_k(\bar{\lambda})$  and  $v_1 = \mathfrak{L}_k(\bar{\mu})$ . The Ext quiver for  $B$  is given by Figure 1, with relations  $\beta_1\alpha_1 = \beta_2\alpha_2$  and  $\beta_2\alpha_1 = \beta_1\alpha_2 = \alpha_i\beta_j = 0$  for all  $i, j \in \{0, 1\}$ . Since  $\mathfrak{p}(\bar{\mu})$  is also an injective module and  $\mathfrak{p}(\bar{\mu})$  is the only indecomposable projective modules of radical length greater than 2, by [Drozd and Kirichenko 1980, 9.2] the algebra  $B$  has infinite representation type if and only if  $B/J^2$  has infinite representation type, where  $J$  is the radical of  $B$ . Thus, by applying [Pierce 1982, 11.8] to this quiver, we conclude that the algebra  $B$  has infinite representation type. Hence, the algebra  $\tilde{u}_k(2, l)$  has infinite representation type.  $\square$



**Figure 1.** Ext quiver of the basic algebra  $B$  of the block  $\mathfrak{b}^{2,r}(\bar{\lambda})$  of  $\tilde{u}_k(2, l)$ .

**Proposition 8.9.** *The algebra  $\tilde{u}_k(2, l+1)$  has infinite representation type.*

*Proof.* Let  $\lambda = (l+1, 0)$ ,  $\mu = (l-1, 2)$  and  $\delta = (1, l)$ . By the argument similar to the proof of Proposition 8.8 we have  $P(\lambda)$  and  $P(\mu)$  are uniserial modules with composition factors given by

$$\begin{array}{ccc} P(\mu) : L_k(\mu) & P(\lambda) : L_k(\lambda) & P(\nu) : L_k(\nu) \\ & L_k(\lambda) & L_k(\mu) \\ & L_k(\mu) & \end{array}$$

where  $\nu \neq \lambda, \mu$ . By Proposition 8.4, we have  $\mathfrak{b}^{2,r}(\bar{\lambda}) = \{\bar{\lambda}, \bar{\mu}\}$  and  $\mathfrak{b}^{2,r}(\bar{\nu}) = \{\bar{\nu}\}$  for  $\nu \neq \lambda, \mu$ . Applying Lemma 8.7 yields

$$\tilde{u}_k(2, l+1)\mathfrak{p}_{\bar{\mu}} = U_k(2, l+1)\mathfrak{k}_{\mu} \cong P(\mu) \oplus P(\delta^+) \quad \text{where } \delta^+ = (l, 1).$$

So  $P(\mu)|_{\tilde{u}_k(2, l+1)}$  is projective. A similar argument with Lemma 8.6 as in the proof of Proposition 8.8 shows that  $\text{soc}_{s_k(2, l+1)} P(\mu)$  is irreducible. Hence,  $P(\mu)|_{s_k(2, l+1)}$  is indecomposable. Thus, by Lemma 8.5,  $P(\mu)|_{\tilde{u}_k(2, l+1)}$  is an indecomposable  $\tilde{u}_k(2, l+1)$ -module. So,  $P(\mu)|_{\tilde{u}_k(2, l+1)} \cong \mathfrak{p}(\bar{\mu})$ . Now, by Lemmas 7.1 and 8.6,  $\mathfrak{p}(\bar{\mu})$  has the following structure:

$$\begin{array}{c} \mathfrak{p}(\bar{\mu}) : \mathfrak{L}_k(\bar{\mu}) \\ \quad 2\mathfrak{L}_k(\bar{\lambda}) \\ \quad \mathfrak{L}_k(\bar{\mu}) \end{array}$$

We now determine the structure of  $V := \tilde{u}_k(2, l+1)\mathfrak{p}_{\bar{\lambda}}$ . Let

$$W = U_k(2, l+1)\mathfrak{k}_{\lambda} \oplus U_k(2, l+1)\mathfrak{k}_{\delta}.$$

By Lemma 8.7, noting that  $L_k(\delta^+)$  is the Steinberg module,

$$(8.9.1) \quad \mathfrak{p}(\bar{\lambda}) \oplus \mathfrak{L}_k(\bar{\delta}^+) \cong V \subseteq W \cong 2P(\lambda) \oplus L_k(\delta^+)$$

So, by Lemmas 7.1 and 8.6,

$$\text{soc}_{\tilde{u}_k(2, l+1)} W \cong 2\mathfrak{L}_k(\bar{\mu}) \oplus \mathfrak{L}_k(\bar{\delta}^+) \quad \text{and} \quad W/\text{soc}_{\tilde{u}_k(2, l+1)} W \cong 4\mathfrak{L}_k(\bar{\lambda}).$$

Thus there exist  $\tilde{u}_k(2, l+1)$ -submodules  $W_1, W_2, W_3$  of  $W$  such that

$$\text{soc}_{\tilde{u}_k(2, l+1)} W = W_1 \oplus W_2 \oplus W_3, \quad W_1 \cong W_2 \cong \mathfrak{L}_k(\bar{\mu}) \quad \text{and} \quad W_3 \cong \mathfrak{L}_k(\bar{\delta}^+).$$

Since  $[[\text{diag}(\bar{\mu}), r]]_1 = [[\text{diag}(\mu)]]$ , with the notation

$$V_{\mu} = [[\text{diag}(\bar{\mu}), r]]_1 V = [[\text{diag}(\mu)]] V \subseteq [[\text{diag}(\mu)]] W = W_{\mu},$$

one computes  $\dim_k V_{\mu} = \dim_k W_{\mu} = \dim_k (\text{soc}_{\tilde{u}_k(2, l+1)} W)_{\mu} = 3$ . Thus,

$$V_{\mu} = W_{\mu} = (\text{soc}_{\tilde{u}_k(2, l+1)} W)_{\mu} = (W_1)_{\mu} \oplus (W_2)_{\mu} \oplus (W_3)_{\mu}.$$

This implies

$$\text{soc}_{\tilde{u}_k(2,l+1)} W = \tilde{u}_k(2, l + 1)(W_1)_\mu \oplus \tilde{u}_k(2, l + 1)(W_2)_\mu \oplus \tilde{u}_k(2, l + 1)(W_3)_\mu \subseteq V.$$

Hence,  $\text{soc}_{\tilde{u}_k(2,l+1)} V = \text{soc}_{\tilde{u}_k(2,l+1)} W \cong 2\mathfrak{L}_k(\bar{\mu}) \oplus \mathfrak{L}_k(\bar{\delta}^+)$ . Since  $V/\text{soc}_{\tilde{u}_k(2,l+1)} V$  and  $\mathfrak{L}_k(\bar{\lambda})$  both have dimension 2 over  $k$ , and since

$$V/\text{soc}_{\tilde{u}_k(2,l+1)} V \subseteq W/\text{soc}_{\tilde{u}_k(2,l+1)} W \cong 4\mathfrak{L}_k(\bar{\lambda}),$$

we have  $V/\text{soc}_{\tilde{u}_k(2,l+1)} V \cong \mathfrak{L}_k(\bar{\lambda})$ . Thus, by (8.9.1),  $\mathfrak{p}(\bar{\lambda})$  has three composition factors with socle  $2\mathfrak{L}_k(\bar{\mu})$ .

If  $B$  denotes the basic algebra of the block  $\mathfrak{b}^{2,r}(\bar{\lambda})$  of  $\tilde{u}_k(2, l)$ , then the computation above implies that the Ext quiver for  $B$  is the same as given in Figure 1 above with relations  $\beta_1\alpha_1 = \beta_2\alpha_2$  and all other products are zero. Hence,  $B$  has infinite representation type and, consequently,  $\tilde{u}_k(2, l + 1)$  has infinite representation type.  $\square$

We now can establish the following classification of finite representation type for little  $q$ -Schur algebras.

**Theorem 8.10.** *Assume  $l' = l \geq 3$  is odd. The little  $q$ -Schur algebra  $\tilde{u}_k(n, r) = u_k(n, r)$  has finite representation type if and only if  $l > r$ .*

*Proof.* Recall from [Du et al. 2005, 8.2(2), 8.3] that  $u_k(n, r)$  has a basis

$$\{\llbracket A, r \rrbracket\}_{A \in \overline{\Xi(n,r)_l}}.$$

If  $n > 2$ , then

$$e = \sum_{\lambda \in \overline{\Lambda(2,r)_l}} \llbracket \text{diag}(\lambda), r \rrbracket \in \tilde{u}_k(n, r)$$

is an idempotent, and  $e\tilde{u}_k(n, r)e \cong \tilde{u}_k(2, r)$ . Thus, if  $\tilde{u}_k(2, r)$  has infinite representation type, then so does  $\tilde{u}_k(n, r)$  (see [Bongartz 1980] or [Erdmann 1990, I.4.7] for such a general fact). So it reduces to prove the result for  $n = 2$ .

If  $r < l$ , then  $\tilde{u}_k(n, r) = U_k(n, r)$  is semisimple by [Erdmann and Nakano 2001]. It remains to prove that  $\tilde{u}_k(2, r)$  has infinite representation type for all  $r \geq l$ . By the transfer map (6.0.1), we see that either  $\tilde{u}_k(2, l)$  or  $\tilde{u}_k(2, l + 1)$  is a homomorphic image of  $\tilde{u}_k(2, r)$ . Since both  $\tilde{u}_k(2, l)$  and  $\tilde{u}_k(2, l + 1)$  have infinite representation type by Propositions 8.8 and 8.9, it follows that the algebra  $\tilde{u}_k(2, r)$ , and hence  $\tilde{u}_k(n, r)$ , has infinite representation type for all  $r \geq l$ .  $\square$

A byproduct of this result is the following determination of finite representation type of infinitesimal quantum  $\mathfrak{gl}_n$ .

**Corollary 8.11.** *The infinitesimal quantum group  $u_k(n)$  has infinite representation type for any  $n$  and  $l$ . In particular,  $u_k(n)$  is never semisimple.*

*Proof.* By Theorem 8.10 the algebra  $\tilde{u}_k(n, l)$  has infinite representation type. This implies that  $u_k(n)$  has infinite representation type since  $\tilde{u}_k(n, l)$  is the homomorphic image of  $u_k(n)$ .  $\square$

## 9. Appendix

It is well-known that  $\zeta_r(U(\mathfrak{sl}_n))$  is equal to  $U(n, r)$ . In this section, we shall prove that this is also true over  $\mathcal{L}$ , that is,  $\zeta_r(U_{\mathcal{L}}(\mathfrak{sl}_n)) = U_{\mathcal{L}}(n, r)$ .

Let

$$X_i := \{\mu \in \Lambda(n, r) \mid \max\{\mu_j - \mu_{j+1} \mid 1 \leq j \leq n-1\} = i\}.$$

Then we have  $\Lambda(n, r) = \bigcup_{-r \leq i \leq r} X_i$  (disjoint union). The definition of  $U(n)$  implies:

**Lemma 9.1.** *There is a unique  $\mathbb{Q}(v)$ -algebra automorphism  $\sigma$  on  $U(n)$  satisfying*

$$\sigma(E_i) = F_i, \quad \sigma(F_i) = E_i, \quad \sigma(K_j) = K_j^{-1}.$$

It is clear that

$$\sigma\left(\begin{bmatrix} \tilde{K}_i & c \\ & t \end{bmatrix}\right) = \begin{bmatrix} \tilde{K}_i^{-1} & c \\ & t \end{bmatrix}.$$

By definition, the  $\mathcal{L}$ -algebra  $U_{\mathcal{L}}(\mathfrak{sl}_n)$  is generated by the elements  $E_i^{(N)}$ ,  $F_i^{(N)}$  and  $\tilde{K}_i^{\pm 1}$  for  $1 \leq i \leq n$  and  $N \geq 0$ . Since

$$\begin{bmatrix} \tilde{K}_i & c \\ & t \end{bmatrix} \in U_{\mathcal{L}}(\mathfrak{sl}_n) \quad \text{and} \quad \sigma(U_{\mathcal{L}}(\mathfrak{sl}_n)) = U_{\mathcal{L}}(\mathfrak{sl}_n),$$

we have

$$\begin{bmatrix} \tilde{K}_i^{-1} & c \\ & t \end{bmatrix} \in U_{\mathcal{L}}(\mathfrak{sl}_n).$$

By Lemma 4.5(2), the following lemma holds in  $U(n, r)$ .

**Lemma 9.2.** *Let  $\lambda \in \Lambda(n, r)$ . Then we have*

$$\begin{bmatrix} \tilde{\mathbf{k}}_i & c \\ & t \end{bmatrix} \mathbf{k}_\lambda = \begin{bmatrix} \lambda_i - \lambda_{i+1} + c \\ & t \end{bmatrix} \mathbf{k}_\lambda.$$

**Theorem 9.3.** *The image of  $U_{\mathcal{L}}(\mathfrak{sl}_n)$  under the homomorphism  $\zeta_r$  is equal to the algebra  $U_{\mathcal{L}}(n, r)$ . Hence, for any field  $k$  which is a  $\mathcal{L}$ -algebra, base change induces an epimorphism  $\zeta_r = \zeta_r \otimes 1 : U_k(\mathfrak{sl}_n) \rightarrow U_k(n, r)$ .*

*Proof.* Let  $U'_r = \zeta_r(U_{\mathcal{L}}(\mathfrak{sl}_n))$ . By [Du 1995a],  $\zeta_r(U_{\mathcal{L}}(n)) = U_{\mathcal{L}}(n, r)$ . Hence it is enough to prove that  $\mathbf{k}_\lambda \in U'_r$  for any  $\lambda \in \Lambda(n, r)$ . We shall prove  $\mathbf{k}_\mu \in U'_r$  for any  $\mu \in X_i$  by a downward induction on  $i$ .

Let  $\lambda_i := (0, \dots, 0, r, 0, \dots, 0)$ , where the  $r$  is in the  $i$ -th position. It is clear that

$$X_r = \{\lambda_i \mid 1 \leq i \leq n-1\}$$

and  $X_{-r} = \{\lambda_n := (0, \dots, 0, r)\}$ . By Lemmas 4.5(1) and 9.2, for  $1 \leq i \leq n-1$  we

have

$$\left[ \begin{matrix} \tilde{\mathbf{k}}_i; r \\ 2r \end{matrix} \right] = \mathbf{k}_{\lambda_i} + \sum_{\substack{\mu \in \Lambda(n,r) \\ \mu \neq \lambda_i}} \left[ \begin{matrix} \mu_i - \mu_{i+1} + r \\ 2r \end{matrix} \right] \mathbf{k}_\mu.$$

If  $1 \leq i \leq n - 1$ , then  $0 \leq \mu_i - \mu_{i+1} + r < 2r$  for any  $\mu \in \Lambda(n, r)$  with  $\mu \neq \lambda_i$ . Hence,

$$\left[ \begin{matrix} \mu_i - \mu_{i+1} + r \\ 2r \end{matrix} \right] = 0 \quad \text{and} \quad \left[ \begin{matrix} \tilde{\mathbf{k}}_i; r \\ 2r \end{matrix} \right] = \mathbf{k}_{\lambda_i} \in U'_r$$

for  $1 \leq i \leq n - 1$ . Similarly, we can prove that  $\left[ \begin{matrix} \tilde{\mathbf{k}}_{n-1}^{-1}; r \\ 2r \end{matrix} \right] = \mathbf{k}_{\lambda_n} \in U'_r$ . Hence, for any  $\mu \in X_r \cup X_{-r}$ , we have  $\mathbf{k}_\mu \in U'_r$ .

Now we assume that for any  $\mu \in X_j$  with  $j > k$  we have  $\mathbf{k}_\mu \in U'_r$ . Let  $\lambda \in X_k$ . Then there exists some  $i_0$  such that  $\lambda_{i_0} - \lambda_{i_0+1} = k$ . We now prove  $\mathbf{k}_\lambda \in U'_r$ .

By Lemmas 4.5(1) and 9.2, we have

$$\left[ \begin{matrix} \tilde{\mathbf{k}}_{i_0}; r \\ k+r \end{matrix} \right] = \sum_{\substack{\mu \in X_j \\ j \neq k}} \left[ \begin{matrix} \mu_{i_0} - \mu_{i_0+1} + r \\ k+r \end{matrix} \right] \mathbf{k}_\mu + \sum_{v \in X_k} \left[ \begin{matrix} v_{i_0} - v_{i_0+1} + r \\ k+r \end{matrix} \right] \mathbf{k}_v.$$

Note that for  $j < k$  with  $\mu \in X_j$ , we have  $0 \leq \mu_{i_0} - \mu_{i_0+1} + r \leq j + r < k + r$ . Since  $0 \leq v_{i_0} - v_{i_0+1} + r \leq k + r$  for  $v \in X_k$ , we have  $0 \leq v_{i_0} - v_{i_0+1} + r < k + r$  where  $v \in X_k$  such that  $v_{i_0} - v_{i_0+1} \neq k$ . It follows that

$$\left[ \begin{matrix} \tilde{\mathbf{k}}_{i_0}; r \\ k+r \end{matrix} \right] = \sum_{\substack{v \in X_k \\ v_{i_0} - v_{i_0+1} = k}} \mathbf{k}_v + \sum_{\substack{\mu \in X_j \\ j > k}} \left[ \begin{matrix} \mu_{i_0} - \mu_{i_0+1} + 1 \\ k+1 \end{matrix} \right] \mathbf{k}_\mu.$$

Let  $Z := \{v \in X_k \mid v_{i_0} - v_{i_0+1} = k\}$ . Then by induction we have

$$(9.3.1) \quad \sum_{v \in Z} \mathbf{k}_v \in U'_r.$$

For any  $i \neq i_0$  and  $-r \leq s \leq k$ , let  $Y_{s,i} := \{v \in Z \mid v_i - v_{i+1} = s\}$ . Then for any fixed  $i \neq i_0$ , we have  $Z = \bigcup_{-r \leq s \leq k} Y_{s,i}$  (disjoint union). Now for fixed  $i \neq i_0$ , we prove  $\sum_{v \in Y_{s,i}} \mathbf{k}_v \in U'_r$  by induction on  $s$ .

For fixed  $i \neq i_0$ , let  $m := \max\{s \mid Y_{s,i} \neq \emptyset \text{ for } -r \leq s \leq k\}$ . By Lemmas 4.5(1) and 9.2, we have

$$\begin{aligned} \left[ \begin{matrix} \tilde{\mathbf{k}}_i; r \\ m+r \end{matrix} \right] &= \sum_{\mu \in \Lambda(n,r)} \left[ \begin{matrix} \mu_i - \mu_{i+1} + r \\ m+r \end{matrix} \right] \mathbf{k}_\mu \\ &= \sum_{v \in Y_{m,i}} \mathbf{k}_v + \sum_{\substack{v \in Y_{s,i} \neq \emptyset \\ -r \leq s < m}} \left[ \begin{matrix} s+r \\ m+r \end{matrix} \right] \mathbf{k}_v + \sum_{v \notin Z} \left[ \begin{matrix} v_i - v_{i+1} + r \\ m+r \end{matrix} \right] \mathbf{k}_v \\ &= \sum_{v \in Y_{m,i}} \mathbf{k}_v + \sum_{v \notin Z} \left[ \begin{matrix} v_i - v_{i+1} + r \\ m+r \end{matrix} \right] \mathbf{k}_v \end{aligned}$$



(since  $0 \leq s+r < m+r$  for  $-r \leq s < m$ ). Hence, multiplying both sides by  $\sum_{v \in Z} \mathbf{k}_v$ , (9.3.1) implies

$$\sum_{v \in Y_{m,i}} \mathbf{k}_v = \sum_{v \in Z} \mathbf{k}_v \begin{bmatrix} \tilde{\mathbf{k}}_i; r \\ m+r \end{bmatrix} \in U'_r.$$

Now we assume  $Y_{s,i} \neq \emptyset$  and for any  $s'$  such that  $s' > s$  and  $Y_{s',i} \neq \emptyset$  we have  $\sum_{v \in Y_{s',i}} \mathbf{k}_v \in U'_r$ . We prove  $\sum_{v \in Y_{s,i}} \mathbf{k}_v \in U'_r$ .

By Lemmas 4.5(1) and 9.2, we have

$$\begin{aligned} \begin{bmatrix} \tilde{\mathbf{k}}_i; r \\ s+r \end{bmatrix} &= \sum_{\mu \in \Lambda(n,r)} \begin{bmatrix} \mu_i - \mu_{i+1} + r \\ s+r \end{bmatrix} \mathbf{k}_\mu \\ &= \sum_{v \in Y_{s,i}} \mathbf{k}_v + \sum_{\substack{v \in Y_{s',i} \neq \emptyset \\ s < s' \leq m}} \begin{bmatrix} s'+r \\ s+r \end{bmatrix} \mathbf{k}_v + \sum_{\substack{v \in Y_{s',i} \neq \emptyset \\ -r \leq s' < s}} \begin{bmatrix} s'+r \\ s+r \end{bmatrix} \mathbf{k}_v + \sum_{v \notin Z} \begin{bmatrix} v_i - v_{i+1} + r \\ s+r \end{bmatrix} \mathbf{k}_v \\ &= \sum_{v \in Y_{s,i}} \mathbf{k}_v + \sum_{\substack{v \in Y_{s',i} \neq \emptyset \\ s < s' \leq m}} \begin{bmatrix} s'+r \\ s+r \end{bmatrix} \mathbf{k}_v + \sum_{v \notin Z} \begin{bmatrix} v_i - v_{i+1} + r \\ s+r \end{bmatrix} \mathbf{k}_v. \end{aligned}$$

By induction we have

$$\sum_{\substack{v \in Y_{s',i} \neq \emptyset \\ s < s' \leq m}} \begin{bmatrix} s'+r \\ s+r \end{bmatrix} \mathbf{k}_v = \sum_{s < s' \leq m} \begin{bmatrix} s'+r \\ s+r \end{bmatrix} \sum_{v \in Y_{s',i} \neq \emptyset} \mathbf{k}_v \in U'_r.$$

It follows that

$$\sum_{v \in Y_{s,i}} \mathbf{k}_v + \sum_{v \notin Z} \begin{bmatrix} v_i - v_{i+1} + r \\ s+r \end{bmatrix} \mathbf{k}_v = \begin{bmatrix} \tilde{\mathbf{k}}_i; r \\ s+r \end{bmatrix} - \sum_{\substack{v \in Y_{s',i} \neq \emptyset \\ s < s' \leq m}} \begin{bmatrix} s'+r \\ s+r \end{bmatrix} \mathbf{k}_v \in U'_r.$$

Hence, by (9.3.1) we have

$$\sum_{v \in Y_{s,i}} \mathbf{k}_v = \left( \sum_{v \in Z} \mathbf{k}_v \right) \cdot \left( \sum_{v \in Y_{s,i}} \mathbf{k}_v + \sum_{v \notin Z} \begin{bmatrix} v_i - v_{i+1} + r \\ s+r \end{bmatrix} \mathbf{k}_v \right) \in U'_r.$$

Now we have proved that  $\sum_{v \in Y_{s,i} \neq \emptyset} \mathbf{k}_v \in U'_r$  for  $i \neq i_0$  with  $-r \leq s \leq k$ . It is clear that

$$\begin{aligned} \bigcap_{\substack{i \neq i_0 \\ 1 \leq i \leq n-1}} Y_{\lambda_i - \lambda_{i+1}, i} &= \{v \in Z \mid v_i - v_{i+1} = \lambda_i - \lambda_{i+1}, 1 \leq i \leq n-1, i \neq i_0\} \\ &= \{v \in X_k \mid v_i - v_{i+1} = \lambda_i - \lambda_{i+1}, 1 \leq i \leq n-1\} = \{\lambda\}. \end{aligned}$$

It follows that

$$\prod_{\substack{i \neq i_0 \\ 1 \leq i \leq n-1}} \sum_{v \in Y_{\lambda_i - \lambda_{i+1}, i}} \mathbf{k}_v = \sum_{v \in \bigcap_{\substack{i \neq i_0 \\ 1 \leq i \leq n-1}} Y_{\lambda_i - \lambda_{i+1}, i}} \mathbf{k}_v = \mathbf{k}_\lambda \in U'_r.$$

Hence, the result follows. □

Note that by the proof of the above theorem that we have in fact proved

$$\zeta_r(U_{\mathfrak{g}}^0(\mathfrak{sl}_n)) = U_{\mathfrak{g}}^0(n, r).$$

It is natural to ask what is the image of  $\tilde{u}_k(\mathfrak{sl}_n)$  under the map  $\zeta_r$ . The following theorem answer the question.

**Theorem 9.4.** *If  $(n, l') = 1$ , that is, the integers  $n$  and  $l'$  are relatively prime, then  $\zeta_r(\tilde{u}_k^0(\mathfrak{sl}_n)) = \tilde{u}_k^0(n, r)$ . In particular, the homomorphism  $\zeta_r : \tilde{u}_k(\mathfrak{sl}_n) \rightarrow \tilde{u}_k(n, r)$  is surjective.*

*Proof.* Let  $s = \zeta_r(\tilde{u}_k(\mathfrak{sl}_n))$ ,  $s^+ = \zeta_r(\tilde{u}_k^+(\mathfrak{sl}_n))$ ,  $s^- = \zeta_r(\tilde{u}_k^-(\mathfrak{sl}_n))$  and  $s^0 = \zeta_r(\tilde{u}_k^0(\mathfrak{sl}_n))$ . Then  $\tilde{u}_k^+(n, r) = s^+$  and  $\tilde{u}_k^-(n, r) = s^-$ . Hence, it is enough to prove  $k_i \in s^0$  for all  $i$ . Since  $k_1 k_2 \dots k_n = \varepsilon^r$  by [Doty and Giaquinto 2002, 2.1], we have

$$k_1^n = \varepsilon^r \tilde{k}_1^{n-1} \tilde{k}_2^{n-2} \dots \tilde{k}_{n-2}^2 \tilde{k}_{n-1} \in s^0.$$

Since  $(n, l') = 1$ , there are some integers  $a, b$  such that  $na + bl' = 1$ . So  $k_1 = k_1^{na+bl'} = k_1^{na} \in s^0$ . Then  $k_1^{-1} = k_1^{l'-1} \in s^0$ . Hence

$$k_{i+1}^{-1} = k_1^{-1} \tilde{k}_1 \tilde{k}_2 \dots \tilde{k}_i \in s^0$$

for all  $i$ . It follows  $k_{i+1} = k_{i+1}^{-(l'-1)} \in s^0$  for all  $i$ . The result follows. □

**Remark 9.5.** Note that if  $(n, l') \neq 1$ , the above theorem may be not true. For example, suppose  $n = l' = 3 = l$  and  $r \geq 4$ . Then

$$\tilde{k}_2 = k_2 k_3^{-1} = \varepsilon^{-r} k_1 k_2^2$$

since  $k_1 k_2 k_3 = \varepsilon^r$ . Since  $k_2^3 = k_2^l = 1$ , we have  $\tilde{k}_1 = k_1 k_2^{-1} = k_1 k_2^2$ . Hence  $\tilde{k}_2 = \varepsilon^{-r} \tilde{k}_1$ . It follows that

$$\zeta_r(\tilde{u}_k(\mathfrak{sl}_n)^0) = \text{span}\{1, \tilde{k}_1, \tilde{k}_1^2\}.$$

So  $\dim \zeta_r(\tilde{u}_k^0(\mathfrak{sl}_n)) \leq 3$ . But, by [Du et al. 2005, 9.2],  $\dim \tilde{u}_k^0(n, r) = 9$ . Hence, in general,  $\zeta_r(\tilde{u}_k^0(\mathfrak{sl}_n)) \neq \tilde{u}_k^0(n, r)$ . Thus, it is very likely that  $\zeta_r : \tilde{u}_k(\mathfrak{sl}_n) \rightarrow \tilde{u}_k(n, r)$  is not surjective.

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