Pacific Journal of Mathematics

ON THE DEGREES OF MATRIX COEFFICIENTS OF INTERTWINING OPERATORS

TOBIAS FINIS, EREZ LAPID AND WERNER MÜLLER

Volume 260 No. 2

December 2012

ON THE DEGREES OF MATRIX COEFFICIENTS OF INTERTWINING OPERATORS

TOBIAS FINIS, EREZ LAPID AND WERNER MÜLLER

To the memory of Jonathan Rogawski

We state and discuss a general conjectural bound on the degrees of matrix coefficients of intertwining operators for reductive groups over *p*-adic fields and a supplementary uniformity conjecture for reductive groups over number fields. We prove both conjectures for the groups GL(r) and obtain partial results for other groups.

1.	Introduction	433
2.	The setup	436
3.	Variants of the conjectures	438
4.	Matrix coefficients of supercuspidal representations	440
5.	A class of parabolic subgroups	443
6.	Matrix coefficients of intertwining operators	445
7.	Parabolic subgroups with abelian unipotent radical	448
References		455

1. Introduction

Let *G* be a reductive algebraic group defined over a *p*-adic field *F* with residue field \mathbb{F}_q and G = G(F). Fix a special maximal compact subgroup K_0 of *G*. For a maximal parabolic subgroup P = MU of *G* and a smooth irreducible representation π of M = M(F), we consider the family of induced representations $I_P(\pi, s)$, $s \in \mathbb{C}$, which extend the fixed K_0 -representation $I_{P\cap K_0}^{K_0}(\pi|_{M\cap K_0})$, and the associated intertwining operators $M(s) = M_{\overline{P}|P}(\pi, s) : I_P(\pi, s) \to I_{\overline{P}}(\pi, -s)$. For any open subgroup *K* of K_0 , the restriction

$$M(s)^{K}: I_{P\cap K_{0}}^{K_{0}}(\pi|_{M\cap K_{0}})^{K} = I_{P}(\pi, s)^{K} \to I_{\overline{P}}(\pi, -s)^{K} = I_{\overline{P}\cap K_{0}}^{K_{0}}(\pi|_{M\cap K_{0}})^{K}$$

of M(s) to the space of K-fixed vectors is a family of linear maps between finitedimensional vector spaces which do not depend on s. It is well known that the

Keywords: p-adic reductive groups, intertwining operators.

The authors were partially sponsored by grant 964-107.6/2007 from the German–Israeli Foundation for Scientific Research and Development. Finis was supported by DFG Heisenberg grant FI 1795/1-1. *MSC2010:* primary 20G25, 20G30; secondary 11F85.

matrix coefficients of the linear operators $M(s)^K$ are rational functions of q^{-s} , whose denominators can be controlled explicitly (see, e.g., [Waldspurger 2003, IV.1.1, IV.1.2]). In particular, their degrees are bounded independently of K and π .

What can be said about the degrees of the numerators? In this note, we propose the following conjecture, which should provide a bound of the correct order of magnitude. Let G' be the derived group of G and set G' = G'(F). Note that $K'_0 = K_0 \cap G'$ is a special maximal compact subgroup of G'.

Conjecture 1. There exist constants c > 0 and d, depending only on G, such that for any open subgroup $K \subset K_0$, the degrees of the numerators of the matrix coefficients of $M(s)^K$ are bounded by $c \log_q[K'_0:K'] + d$, where $K' = K \cap G'$.

We also propose the following supplement in a global situation, where we consider a reductive group G defined over a number field k and its base change to $F = k_v$ for all nonarchimedean places v of k. Let $K_{0,v}$ be a special maximal compact subgroup of $G(k_v)$.

Conjecture 2. In the global situation, assume $K_{0,v}$ to be hyperspecial for almost all places v of k. Then Conjecture 1 is true for all pairs of local groups $G(k_v)$ and $K_{0,v}$, with uniform values of c and d.

It is equivalent to consider the normalized intertwining operators R(s) defined by Arthur [1989]. We discuss this modification and some other simple variants in Section 3 below.

The main result of this paper is the following.

Theorem 1. Conjectures 1 and 2 are true for the groups G = GL(r). More precisely, the constants *c* and *d* in Conjecture 1 depend only on *r* and $[F : \mathbb{Q}_p]$.

An important motivation for our paper is provided by the analysis of limit multiplicities for noncompact quotients of $G(\mathbb{R})$, where in order to deal with the spectral side of Arthur's trace formula, it is crucial to bound the degrees of the matrix coefficients of local intertwining operators. This application (for G = GL(r)) is discussed in [Finis et al. 2012]. We opted to single out our conjectures and results on local intertwining operators as a separate paper, since they may be of interest in their own right.

A natural analog of Conjecture 1 in the archimedean case ($F = \mathbb{R}$ or \mathbb{C}) has been obtained in [Lapid 2004]. To explain it, fix a maximal compact subgroup K_0 of G(it is well known to be unique up to conjugation). For any K_0 -module V and $\sigma \in \widehat{K}_0$, let V^{σ} denote the σ -isotypic part of V. Let $R(\pi, s) : I_P(\pi, s) \to I_{\overline{P}}(\pi, -s)$ be the normalized intertwining operators and $R(\pi, s)^{\sigma}$ their restrictions to linear maps between the finite-dimensional vector spaces $I_P(\pi, s)^{\sigma}$ and $I_{\overline{P}}(\pi, -s)^{\sigma}$ which do not depend on s. The matrix coefficients of the operators $R(\pi, s)$ are rational functions of s [Arthur 1989, Theorem 2.1]. We denote by $\|\sigma\|$ the maximum of the norms of the highest weights of σ (with respect to a fixed choice of norm on the vector space spanned by the lattice of characters of a maximal torus of the connected component of the identity of K_0). Then we can formulate the following direct consequence of [Lapid 2004, Proposition A.2].

Theorem 2. There exists a constant c > 0, depending only on G and the norm $|| \cdot ||$, such that for any maximal parabolic subgroup P = MU of G, any irreducible representation π of M, and any K_0 -type $\sigma \in \widehat{K}_0$, the degrees of the matrix coefficients of $R(\pi, s)^{\sigma}$ are bounded by $c || \sigma ||$.

Let us now make a few comments about the proof of Theorem 1, at the same time outlining the partial results that we can prove for general groups G. By a standard argument, we can reduce to the case where π is supercuspidal. Furthermore, a result of Lubotzky (quoted as Proposition 3 below) allows us to assume that K' is a *principal* congruence subgroup of G'. After these preliminary reductions, there are two main ingredients. First, assuming the widely believed conjecture that supercuspidal representations of G are induced from open subgroups which are compact modulo the center,¹ we can deduce a good bound for the support of matrix coefficients of these representations (property (PSC) of Definition 7 below). This inference is an explication of an argument which goes back to [Jacquet 1971] (cf. [Bushnell 1990]). The classification of supercuspidals needed for our argument has been proven for G = GL(r) by Bushnell and Kutzko [1993a]. It is also known in many other cases, most notably for classical groups of odd residual characteristic [Stevens 2008] and for any group in large residual characteristic [Kim 2007]. Therefore, property (PSC) is true in these cases.

The second part of the main argument is a simple proof of the rationality of intertwining operators for parabolic subgroups P with abelian unipotent radical,² which allows us to control the degrees of the rational functions involved (Proposition 16 and Theorem 21). For G = GL(r), this fortunately covers all cases, thereby completing the proof of Theorem 1. The technical geometric property that is needed for our argument is explicated in Definition 15 below. It is unfortunately not satisfied for all maximal parabolic subgroups, even in the case of classical groups (see Remark 18). It is conceivable that a more elaborate argument will work in general.

Acknowledgements. We are grateful to Joseph Bernstein, Colin Bushnell, Guy Henniart and Eitan Sayag for useful discussions. We thank the Centre Interfacultaire Bernoulli, Lausanne, and the Max Planck Institute for Mathematics, Bonn, where a part of this paper was worked out.

¹In fact, it suffices to assume that every supercuspidal representation is *contained* in such an induced representation of finite length (see Section 4 below for more details).

²We also make the additional technical assumption that the group G is split over F.

2. The setup

Let *F* be a *p*-adic field with normalized absolute value $|\cdot|$, ring of integers \mathbb{O} , and uniformizer ϖ . Let *q* be the cardinality of the residue field of *F*.

As a rule, we write X = X(F) whenever X is a variety over F. Let G be a connected reductive algebraic group defined over F with center Z. All algebraic subgroups that will be considered in the sequel are implicitly assumed to be defined over F. Let G' be the derived group of G and for any subgroup $K \subset G$, write $K' = K \cap G'$. Fix a maximal F-split torus T_0 and a minimal parabolic subgroup $P_0 = M_0 U_0 \supset T_0$ of G, where $M_0 = C_G(T_0)$ is a minimal Levi subgroup of G. Let $\Phi = R(T_0, G)$ be the set of roots of T_0 . The choice of P_0 fixes a set of positive roots $R(T_0, U_0) \subset \Phi$. Let $\Delta_0 \subset \Phi$ be the corresponding subset of simple roots. The standard maximal parabolic subgroups of G correspond bijectively to the simple roots, and for $\alpha \in \Delta_0$, we denote by $P^{\alpha} = M^{\alpha}U^{\alpha}$ the unique standard maximal parabolic subgroup with $\alpha \in R(T_0, U^{\alpha})$. For any Levi subgroup M, we denote by $\mathcal{P}(M)$ the (finite) set of all parabolic subgroups of G with standard Levi decomposition P = MU, we denote by $\overline{P} = M\overline{U}$ the opposite parabolic subgroup.

Fix a special maximal compact subgroup K_0 of G (more precisely, the stabilizer of a special point in the apartment associated to T_0), so that we have the Iwasawa decomposition $P_0K_0 = G$. In addition, we have the Cartan decomposition $G = K_0M_0^+K_0$, where M_0^+ is the set of all $m \in M_0$ with $|\alpha(m)| \ge 1$ for all $\alpha \in \Delta_0$ [Tits 1979, §3.3]. Also, for any parabolic subgroup P = MU with Levi subgroup $M \supset M_0$, we have $(P \cap K_0) = (M \cap K_0)(U \cap K_0)$. We take a representative $w_0 \in K_0$ for the longest Weyl element. Fix a faithful representation $\rho : G \to GL(V)$ and an \mathbb{O} -lattice Λ_V in the representation space V such that $K_0 = \{g \in G : \rho(g)\Lambda_V = \Lambda_V\}$, and for $n = 1, 2, \ldots$, let

$$K_n = \{g \in G : \rho(g)v \equiv v \pmod{\varpi^n \Lambda_V}, v \in \Lambda_V\}$$

be the associated principal congruence subgroups of K_0 . Note that a more natural filtration of K_0 has been defined in terms of the Bruhat–Tits building of G' in [Schneider and Stuhler 1997, Chapter I].

Suppose now that P = MU is a standard maximal parabolic subgroup. Let χ_P be the fundamental weight of P. Some integral power of χ_P defines a rational character of P trivial on U. Therefore $|\chi_P|$ defines a character $|\chi_P|: P \to \mathbb{R}_{>0}$ and we can extend this character uniquely to a right- K_0 -invariant function, still denoted by $|\chi_P|$, on G. Let (π, V_π) be an irreducible (smooth) representation of M. Let δ_P be the modulus function of P. Consider the family of induced representations $I_P(\pi, s), s \in \mathbb{C}$, of G which extend the K_0 -representation $I_{P\cap K_0}^{K_0}(\pi|_{M\cap K_0})$. Namely, $I_P(\pi, s)$ is the space of all smooth functions $\varphi: G \to V_\pi$ with

$$\varphi(pg) = |\chi_P|(p)^s \delta_P(p)^{1/2} \pi(p) \varphi(g)$$

for all $p \in P$, $g \in G$, where π is extended to P via the canonical projection $P \to M$, and the *G*-action is given by right translations. Any smooth function $\varphi : K_0 \to V_{\pi}$ with $\varphi(pk) = \pi(p)\varphi(k)$ for all $k \in P \cap K_0$ extends uniquely to a function $\varphi_s \in I_P(\pi, s)$. Let π^{\vee} be the contragredient of π and denote the pairing between V_{π} and $V_{\pi^{\vee}}$ by (\cdot, \cdot) . Then

$$(\varphi, \varphi^{\vee}) = \int_{K_0} (\varphi(k), \varphi^{\vee}(k)) \, dk$$

defines a pairing between $I_P(\pi, s)$ and $I_P(\pi^{\vee}, -s)$. Fix a choice of Haar measure on \overline{U} . The intertwining operators $M(s) = M_{\overline{P}|P}(\pi, -s) : I_P(\pi, s) \to I_{\overline{P}}(\pi, s),^3$ which are defined by the meromorphic continuation of the integrals

$$(M(s)\varphi)(g) = \int_{\overline{U}} \varphi(\overline{u}g) \, d\overline{u}, \quad \varphi \in I_P(\pi, s),$$

were first studied in this generality by Harish-Chandra. (See [Waldspurger 2003, Section IV] for a self-contained treatment.) It is known that the matrix coefficients $(M(s)\varphi_s, \varphi_s^{\vee})$ for $\varphi \in I_{P\cap K_0}^{K_0}(\pi|_{M\cap K_0})$ and $\varphi^{\vee} \in I_{\overline{P}\cap K_0}^{K_0}(\pi^{\vee}|_{M\cap K_0})$ are rational functions of q^{-s} [Waldspurger 2003, IV.1.1] and that the degree of the denominator is bounded in terms of G only [Waldspurger 2003, IV.1.2]; see also [Shahidi 1981, Theorems 2.2.1, 2.2.2; Silberger 1979]. It is often advantageous to work instead with the normalized intertwining operators $R(s) = R_{\overline{P}|P}(\pi, s) : I_P(s) \to I_{\overline{P}}(-s)$ defined in [Arthur 1989], which differ from M(s) by a certain rational function of q^{-s} depending on π whose degree is bounded in terms of G only. Thus, the matrix coefficients of R(s) are also rational functions in q^{-s} and the degree of the denominator is bounded in terms of G.

Occasionally we will also consider intertwining operators for general (nonmaximal) parabolic subgroups containing T_0 . For this, let $M \supset M_0$ be a Levi subgroup of G and set $\mathfrak{a}_{M,\mathbb{C}}^* = X^*(M) \otimes \mathbb{C}$, where $X^*(M)$ denotes the group of (*F*-rational) characters of M. Then for any smooth irreducible representation π of M, we have the families of induced representations $I_P(\pi, \lambda)$, $P \in \mathcal{P}(M)$, $\lambda \in \mathfrak{a}_{M,\mathbb{C}}^*$, and the associated intertwining operators $M_{P_2|P_1}(\pi, \lambda)$, $I_{P_1}(\pi, \lambda) \rightarrow I_{P_2}(\pi, \lambda)$ for pairs of parabolic subgroups P_1 , $P_2 \in \mathcal{P}(M)$ [Waldspurger 2003, p. 278]. We can extend arbitrary functions $\varphi \in I_{P_1 \cap K_0}^{K_0}(\pi|_{M \cap K_0})$ and $\varphi^{\vee} \in I_{P_2 \cap K_0}^{K_0}(\pi^{\vee}|_{M \cap K_0})$ uniquely to functions $\varphi_{\lambda} \in I_{P_1}(\pi, \lambda)$ and $\varphi_{\lambda}^{\sim} \in I_{P_2}(\pi^{\vee}, -\lambda)$, respectively, and the matrix coefficients $(M(\lambda)\varphi_{\lambda}, \varphi_{-\lambda}^{\vee})$ are rational functions of the variables $q^{-\langle \lambda, \alpha^{\vee} \rangle}$, $\alpha \in \Delta_P$. Here Δ_P is the set of simple roots of U. The degree of the denominator is bounded in terms of G only. The normalized intertwining operator $R_{P_2|P_1}(\pi, \lambda)$ differs from

³Note that $I_{\overline{P}}(\pi, -s)$ is defined using $\chi_{\overline{P}}$ and $\delta_{\overline{P}}$ and that $\chi_{\overline{P}}|_M = \chi_P^{-1}|_M$ and $\delta_{\overline{P}}|_M = \delta_P^{-1}|_M$.

the operator $M_{P_2|P_1}(\pi, \lambda)$ by a normalizing scalar which is a rational function of $q^{-\langle \lambda, \alpha^{\vee} \rangle}$ of degree bounded in terms of *G* only.

Let $\mathfrak{g} = \text{Lie } G$ and denote by $\text{Ad} : G \to \text{GL}(\mathfrak{g})$ the adjoint representation. Fix an \mathbb{O} -lattice $\Lambda \subset \mathfrak{g}$ stabilized by the operators Ad(k), $k \in K_0$, and define a norm on \mathfrak{g} by $\|\sum_{i=1}^d t_i X_i\|_{\mathfrak{g}} = \max_{1 \le i \le d} |t_i|$ for an (arbitrary) \mathbb{O} -basis X_1, \ldots, X_d of Λ . This defines a norm $\|\cdot\|_{\text{End}(\mathfrak{g})}$ on $\text{End}(\mathfrak{g})$; namely, $\|A\|_{\text{End}(\mathfrak{g})}$ is the maximum of the absolute values of the matrix coefficients of A with respect to the basis X_1, \ldots, X_d . For any $g \in G$, we write $\|g\|_G = \|\text{Ad}(g)\|_{\text{End}(\mathfrak{g})}$, and for any real number R we set $\mathfrak{B}^G(R) = \{g \in G : \|g\|_G \le q^R\}$, which is a compact set modulo Z. We often omit the index G from $\|\cdot\|_G$ and $\mathfrak{B}^G(R)$ if it is clear from the context.

In the global situation of a reductive group G defined over a number field k, we need of course to fix analogous global data that induce the local data pertaining to $G(k_v)$ for the nonarchimedean places v of k. In particular, we fix an \mathbb{O}_k -lattice $\Lambda \subset \mathfrak{g}$ to define the local norms $\|\cdot\|_{G(k_v)}$ via base change to \mathbb{O}_{k_v} . In the same way, we obtain the representation ρ_v and the lattice $\Lambda_{V_v} \subset V_v$ intervening in the definition of the groups $K_{n,v}$ from a representation $\rho : G \to \operatorname{GL}(V)$ defined over k and an \mathbb{O}_k -lattice Λ_V in the k-vector space V. It is well known that $K_{0,v}$ is then hyperspecial for almost all v.

We write $A \ll B$ (or $B \gg A$) if there exists a constant *c* (independent of other quantities) such that $A \le cB$.

3. Variants of the conjectures

In this section we discuss some simple variants of Conjectures 1 and 2. In studying our conjectures, it is useful to restrict attention to the principal congruence subgroups K'_n of K'_0 . This is possible by the following statement, which is a special case of [Lubotzky 1995, Lemma 1.6].

Proposition 3 (Lubotzky). There exist constants c_0 and d_0 such that any open subgroup K of K_0 contains the principal congruence subgroup K'_n of G' for $n = \lfloor c_0 \log_q[K'_0:K'] + d_0 \rfloor$. Moreover, if G is defined over a number field k and for any finite place $v, K_{0,v}$ is a special maximal compact subgroup of $G(k_v)$, which is hyperspecial for almost all v, then for the pairs $(G(k_v), K_{0,v})$, one may take uniform values of c_0 and d_0 (in fact, $c_0 = [k_v : \mathbb{Q}_p]$ works for almost all v).

Remark 4. Note that in [Lubotzky 1995] it is assumed that G' is simply connected, and one can then take $d_0 = 0$. The general case follows easily by passing to the simply connected covering group of G'.

Proposition 3 implies that equivalent forms of Conjectures 1 and 2 are obtained by replacing the index $[K'_0: K']$ by the level of K', which is defined as

$$\operatorname{level}(K') := q^n$$
,

where $n \ge 0$ is the smallest integer with $K' \supset K'_n$.

We now consider the generalization of our conjectures to arbitrary parabolic subgroups and the associated intertwining operators.

Proposition 5. Suppose that Conjecture 1 is true for any Levi subgroup $\mathbf{L} \supset \mathbf{M}_0$ in place of \mathbf{G} . Then there exist constants c > 0 and d, depending only on \mathbf{G} , such that for any open subgroup $K \subset K_0$, the degrees of the numerators of the matrix coefficients of $M_{P_2|P_1}(\lambda)^K$, as rational functions of the variables $q^{-\langle\lambda,\alpha^\vee\rangle}$, $\alpha \in \Delta_P$, are bounded by $c \log_a[K'_0: K'] + d$.

In the global situation of a reductive group G defined over a number field k, suppose that Conjecture 2 is true for all $L \supset M_0$. Then the degree bound above holds for the local groups $G(k_v)$ and $K_{0,v}$ with uniform values of c and d as v ranges over the nonarchimedean places of k.

Proof. Let $P_1 = Q_0, Q_1, \ldots, Q_l = P_2$ be a sequence of adjacent parabolic subgroups from P_1 to P_2 and let $\Delta_{Q_i} \cap \Delta_{Q_{i+1}} = \{\alpha_i\}$. We can decompose $M_{P_2|P_1}(\pi, \lambda)$ into a product of rank-one intertwining operators $M_{Q_{i+1}|Q_i}(\pi, \langle \lambda, \alpha_i^{\vee} \rangle)$. Thus, it is enough to consider the degrees of the matrix coefficients of $M_{Q_{i+1}|Q_i}(\sigma, \langle \lambda, \alpha_i^{\vee} \rangle)^K$, $i = 0, \ldots, l-1$. Fix *i* and let $R = M_R N_R$ be the parabolic subgroup generated by Q_i and Q_{i+1} . Let $Q' = M_R \cap Q_i$ and $Q'' = M_R \cap Q_{i+1}$. Then Q' and Q'' are maximal parabolic subgroups of M_R with Levi subgroup M and $Q'' = \overline{Q'}$. By [Waldspurger 2003, p. 284, (14)], the matrix coefficients of $M_{Q_{i+1}|Q_i}(\sigma, \langle \lambda, \alpha_i^{\vee} \rangle)^K$ are given by those of $M_{\overline{Q'}|Q'}(\sigma, \langle \lambda, \alpha_i^{\vee} \rangle)^{K \cap M_R}$, and the degrees of the latter coefficients satisfy by assumption the bounds of Conjectures 1 and 2.

Finally, it is clear that we can replace the intertwining operators M(s) and $M(\lambda)$ by the normalized intertwining operators R(s) and $R(\lambda)$ in Conjectures 1 and 2 and Proposition 5. In fact, we can obtain slightly stronger statements for the normalized operators. If we replace M(s) by R(s) in Conjecture 1, and in addition G is unramified and K_0 hyperspecial, then we may take d = 0, since any representation which admits a K'_0 -fixed vector is a twist by a character of G/G' of an unramified representation of G. Similarly, by Remark 4, we may take d = 0 in the analog of Conjecture 2 for R(s), if G' is simply connected and we omit the finitely many places v where $G(k_v)$ is ramified or $K_{0,v}$ not hyperspecial. The same remarks apply to Proposition 5. If we consider here level(K') instead of $[K'_0 : K']$, then we do not need to make any additional assumption on G', since trivially $\log_q \text{level}(K') \ge 1$ whenever $K' \neq K'_0$. We record the resulting variant of Proposition 5 explicitly, since we intend to use the statement in another paper.

Proposition 6. Suppose that Conjecture 1 is true for any Levi subgroup $L \supset M_0$ of G. Then there exists a constant c > 0, depending only on G, such that for any open subgroup $K \subset K_0$, the degrees of the numerators of the matrix coefficients of $R_{P_2|P_1}(\lambda)^K$, as rational functions of the variables $q^{-\langle \lambda, \alpha^{\vee} \rangle}$, $\alpha \in \Delta_P$, are bounded by $c \log_q \operatorname{level}(K')$ if **G** is unramified and K_0 is hyperspecial, and by $c(\log_q \operatorname{level}(K') + 1)$ otherwise.

In the global situation of a reductive group G defined over a number field k, suppose that Conjecture 2 is true for all $L \supset M_0$. Then the degree bound above for the numerators of the matrix coefficients of $R_{P_2|P_1}(\lambda)^K$ holds with a uniform value of c for all local groups $G(k_v)$ and $K_{0,v}$ as v ranges over the nonarchimedean places of k.

4. Matrix coefficients of supercuspidal representations

Definition 7. We say that *G* has polynomially bounded support of supercuspidal matrix coefficients (PSC) if there exist constants *c* and *d* such that for every open subgroup $K \subset K_0$ and any supercuspidal representation π of *G*, the support of the matrix coefficients $(\pi(g)v, v^{\vee}), v \in \pi^K, v^{\vee} \in (\pi^{\vee})^K$, is contained in $\Re(c \log_q[K'_0: K'] + d)$.

Note that property (PSC) is independent of the choice of K_0 , which could be replaced by an arbitrary open compact subgroup of *G*. However, the possible values of the constants *c* and *d* will depend on K_0 (and the norm $\|\cdot\|_G$ on \mathfrak{g}).

Conjecture 3. Every p-adic reductive group G has property (PSC).

We will show that this conjecture is true in a large number of cases. In addition, we will obtain a global uniformity statement for the constants c and d for reductive groups G defined over number fields k and almost all of the associated local groups $G(k_v)$ (see Corollary 13 below).

Let *L* be an open subgroup of *G* containing *Z* such that L/Z is compact. We refer to such subgroups as *open compact modulo center (ocmc)* for short. We say that a finite-dimensional representation σ of *L* is *cuspidal* if for every proper parabolic subgroup *P* of *G* with unipotent radical *U*, we have $\sigma^{L\cap U} = 0$. Here, it clearly suffices to consider only maximal parabolic subgroups. By [Bushnell 1990, Theorem 1 supp.], this condition is necessary (and in fact also sufficient, by Lemma 8 below) for $\operatorname{Ind}_L^G \sigma$ to be of finite length, in which case it is the direct sum of finitely many irreducible supercuspidal representations. Note that if σ is cuspidal, then its contragredient σ^{\vee} is cuspidal as well. We say that a supercuspidal representation π of *G* is *induced from an ocmc*, if there exists a pair (L, σ) where *L* is an ocmc and $\sigma \in \hat{L}$, necessarily cuspidal, such that $\pi = \operatorname{Ind}_L^G \sigma$.

It is widely believed that every irreducible supercuspidal representation π is induced from an ocmc,⁴ and in fact this is known in many cases (see [Bushnell and Kutzko 1993a; Kim 2007; Stevens 2008; Yu 2001], and earlier work by Howe,

⁴We were unable to trace back who precisely formulated the conjecture in this generality, but it certainly goes back to the early days of the representation theory of p-adic groups.

Morris, Moy and others). For our purposes it suffices to know that π is a constituent of $\operatorname{Ind}_L^G \sigma$ for some cuspidal σ .

Lemma 8. Let L be an ocmc. Then there exist constants c, depending only on G, and d, depending on L, such that for any cuspidal $\sigma \in \hat{L}$, any open subgroup $K \subset K_0$ and any $f \in (\operatorname{Ind}_L^G \sigma)^K$ we have $\operatorname{supp}(f) \subset \mathfrak{B}(c \log_a[K'_0:K']+d)$.

Proof. Note first that the assertion is trivial if G' is anisotropic, since G/Z is then compact. So, we may assume that the *F*-rank of G' is nonzero. By Lubotzky's result (Proposition 3 above), we may assume without loss of generality that K' is a principal congruence subgroup K'_n of G'. In particular, K' is normal in K_0 .

Let $g \in G$ and write its Cartan decomposition as $g = k_1 a k_2 \in G$ with $k_1, k_2 \in K_0$ and $a \in M_0^+$. We first show that there are constants *c* and *d* such that $||g|| > q^{cn+d}$ implies the existence of a standard maximal parabolic subgroup P = MU of *G* satisfying

(1)
$$U \cap k^{-1}Lk \subset a(U \cap K)a^{-1} \text{ for all } k \in K_0.$$

Assume that $||g|| = ||a|| > q^{cn+d}$ for some c > 0 and d which will be specified later. Note first that there are only finitely many K_0 -conjugates of the group L, and that their intersections with U_0 generate an open compact subgroup $V_0(L)$ of U_0 . Using the exponential map, we can identify U_0 with its Lie algebra, which is an affine space. Fixing a norm on U_0 , we let $U_0(n)$ be the lattice consisting of the elements of U_0 of norm bounded by q^n and set $U(n) = U_0(n) \cap U$ for any standard parabolic subgroup P = MU of G. Clearly, there exists a constant $n_0 = n_0(L)$ such that $V_0(L)$ is contained in $U_0(n_0)$, and therefore the left-hand side of (1) is contained in $U(n_0)$ for all $k \in K_0$.

Let $\beta \in \Delta_0$ with $|\beta(a)| = \max_{\alpha \in \Delta_0} |\alpha(a)|$. There exist constants $c_1 > 0$ and n_1 such that $\max_{\alpha \in \Delta_0 \cup -\Delta_0} |\alpha(b)| \ge q^{-n_1} ||b||^{c_1}$ for any $b \in M_0$. Therefore, we obtain from $|\alpha(a)| \ge 1$, $\alpha \in \Delta_0$, and $||a|| > q^{cn+d}$ that $|\beta(a)| > q^{c_1cn+c_1d-n_1}$, which implies in turn that $|\alpha(a)| > q^{c_1cn+c_1d-n_1}$ for all roots $\alpha \in R(T_0, U^\beta)$. There also exists a constant n_2 such that $U^\beta \cap K = U^\beta \cap K'_n$ contains $U^\beta(-n-n_2)$, which implies that $a(U^\beta \cap K)a^{-1}$ contains $U^\beta(c_1cn+c_1d-n_1-n-n_2)$. It is therefore sufficient to take $c = c_1^{-1}$ and $d = c_1^{-1}(n_0 + n_1 + n_2)$ to obtain (1) for $P = P^\beta$.

Let now $\pi = \operatorname{Ind}_{L}^{G} \sigma$. For an arbitrary element $f \in \pi^{K}$, set $f_{2} = \pi(k_{2}) f \in \pi^{K'}$. For any $u \in U \cap K = U \cap K'$, we have

$$f(g) = f_2(k_1a) = f_2(k_1au) = f_2(u'k_1a),$$

where $u' = k_1 a u a^{-1} k_1^{-1}$. If in addition $u' \in k_1 U k_1^{-1} \cap L$, then we get $f(g) = \sigma(u') f_2(k_1 a) = \sigma(u') f(g)$. Using (1) and the cuspidality of σ , we conclude that $f(g) \in \sigma^{k_1 U k_1^{-1} \cap L} = 0$.

Remark 9. The qualitative statement that in the situation of the lemma any element of $\text{Ind}_L^G \sigma$ has compact support modulo the center is contained in [Bushnell 1990, Theorem 1 supp.] in the case G = GL(r). The argument is originally due to Jacquet [1971].

Corollary 10. There exist constants c' and d' with the following property. Let L be an ocmo of G, σ be a cuspidal representation of L, and $\pi = \operatorname{Ind}_L^G \sigma$. Let $K \subset K_0$ be open and let $v \in \pi^K$ and $v^{\vee} \in (\pi^{\vee})^K$. Then the support of $(\pi(g)v, v^{\vee})$ is contained in $\mathfrak{B}(c' \log_a[K'_0 : K'] + d')$.

Proof. Clearly, if σ is a cuspidal representation of an ocmc L_1 and $L \supset L_1$ is a larger ocmc, then $\operatorname{Ind}_{L_1}^L \sigma$ is a cuspidal representation of L [Bushnell 1990]. We can therefore assume that L is a maximal ocmc. In other words, denoting by T_G the maximal F-split torus of Z, L is the inverse image under the projection $G \to G/T_G$ of a maximal compact subgroup of G/T_G , which is also the group of F-points of the algebraic group G/T_G , since the first Galois cohomology group of T_G is trivial. There are finitely many such subgroups L up to G-conjugation [Tits 1979, §3.2]. It follows from the previous lemma that for suitable positive constants c and d, the supports S and S^{\vee} of $v \in \pi^K$ and $v^{\vee} \in (\pi^{\vee})^K$, respectively, are both contained in $\Re(c \log_q[K'_0: K'] + d)$. However, $(\pi(g)v, v^{\vee}) = 0$ whenever the support of $\pi(g)v$ is disjoint from the support of v^{\vee} , or equivalently whenever $g \notin (S^{\vee})^{-1}S$. Observing that there exists a positive constant c_1 such that $\Re(N)^{-1}\Re(N) \subset \Re(c_1N)$ for all N > 0, we conclude that the support of the matrix coefficient $(\pi(g)v, v^{\vee})$ is contained in $\Re(c_1c\log_q[K'_0: K'] + c_1d)$.

Remark 11. The proof shows also that in the global situation of a reductive group G defined over a number field k, there exist uniform constants c and d such that the assertion of the corollary is true for all local groups $G(k_v)$, v a nonarchimedean place of k, and maximal compact subgroups $K_{0,v}$ that are hyperspecial for almost all v. One only needs to observe that every maximal compact subgroup of G/T_G is conjugate to a maximal compact subgroup \tilde{L} containing a fixed Iwahori subgroup I [Tits 1979, §3.7]. Moreover, the index $[\tilde{L}:I]$ is bounded by q^N , where N does not depend on v. From this, we deduce that the constant n_0 in the proof of Lemma 8 can be bounded independently of v, if the norm on $U_0 = U_0(k_v)$ used in the proof is induced from the choice of a fixed \mathbb{O}_k -lattice in the Lie algebra of U_0 . The boundedness of all other constants is clear.

Remark 12. The maximal ocmcs of GL(r, F) are (up to conjugation) parametrized by divisors of r. They can be realized as stabilizers of sequences L_i , $i \in \mathbb{Z}$, of \mathbb{C} -lattices in F^r such that $L_{i+l} = \varpi L_i$ and $\dim_{\mathbb{F}_q} L_i/L_{i+1} = k$ for all i, where kis a divisor of r and kl = r. Note that this stabilizer is the semidirect product of the parahoric subgroup of type (k, \ldots, k) with the cyclic group generated by an element z_l of GL(r, F) with $z_lL_i = L_{i+1}$ [Carayol 1984]. **Corollary 13.** Assume that every supercuspidal representation of G is contained in a representation induced from a cuspidal representation of an ocmc. Then G has property (PSC). In particular, the following groups have property (PSC):

- (1) G = GL(r, F) [Bushnell and Kutzko 1993a],
- (2) G = SL(r, F) [Bushnell and Kutzko 1993b],
- (3) G(F) for classical groups G, provided $p \neq 2$ [Stevens 2008], and
- (4) $G(k_v)$ for any reductive group G defined over a number field k and almost all nonarchimedean places v of k [Kim 2007]. Moreover, if the maximal compact subgroups $K_{0,v}$ of $G(k_v)$ are hyperspecial for almost all v, then there are uniform constants c and d for which $G(k_v)$ has property (PSC) with respect to $K_{0,v}$ for almost all v.

Remark 14. A general finiteness theorem of Bernstein [Bernstein 1974] (see also [Bernstein and Zelevinskii 1976; Bushnell 1990, p. 110]) shows (without appealing to any classification results) that for any open subgroup K of K_0 , there are, up to twisting by unramified characters, only finitely many supercuspidal representations π of G with a nontrivial K-fixed vector. Therefore, there necessarily exists a number N = N(K) such that the support of all matrix coefficients ($\pi(g)v, v^{\vee}$), $v \in \pi^K, v^{\vee} \in (\pi^{\vee})^K$, is contained in $\mathcal{B}(N)$. To prove property (PSC) predicted by Conjecture 3 this way, it seems necessary to obtain an effective version of Bernstein's stabilization theorem (see [Bushnell 2001, Theorem 1]) with a realistic bound for the exponent n_K , namely a bound that is logarithmic in $[K'_0: K']$.

5. A class of parabolic subgroups

Definition 15. We say that a maximal parabolic subgroup P = MU is *nice* if there exists a positive constant *c* such that for all n > 0, we have

(2)
$$\overline{U} \cap UZ(M)\mathfrak{B}(n) \subset \begin{cases} \mathfrak{B}(cn) \cup Pw_0K_n & \text{if } w_0Mw_0^{-1} = M, \\ \mathfrak{B}(cn), & \text{otherwise.} \end{cases}$$

In other words, P is nice if in a precise quantitative sense, for a compact subset Ω of G, either $\overline{U} \cap UZ(M)\Omega$ is bounded in terms of Ω , or $P^{w_0} = \overline{P}$ and for a small open compact subgroup $K = K(\Omega)$ of G the set $\overline{U} \cap UZ(M)\Omega \setminus Pw_0K$ is bounded in terms of Ω .

Our main result concerning this property is the following.

Proposition 16. Suppose that G is split and U is abelian. Then P is nice. Moreover, if G is defined and split over a number field k, then there is a uniform constant c > 0 such that (2) is satisfied for all local groups $G(k_v)$, where v is a nonarchimedean place of k.

The assumption that G is split is mainly for convenience and can probably be suppressed. For the convenience of the reader, we first present a proof in the case of G = GL(r), where we can simplify the argument by direct matrix computations. The general case will be dealt with in Section 7 below.

Lemma 17. For G = GL(r), all maximal parabolic subgroups are nice.

Proof. To fix ideas, we define the norm of elements of *G* and the sets $\mathcal{B}(n)$ with respect to the standard \mathbb{O} -lattice in \mathfrak{g} spanned by the elementary matrices. With this normalization, we will obtain (2) for c = 2(r+1). For a matrix *X* over *F* we write ||X|| (to be distinguished from $||g||_G$ for invertible *g*) for the standard norm of *X*, that is, the maximum of the absolute values of its entries.

Let *P* be of type (m', m). We may assume without loss of generality that $m \ge m'$, for otherwise we can apply the automorphism $g \mapsto w_0{}^t g^{-1} w_0$ of *G*. Let

$$\bar{u} = \begin{pmatrix} I_{m'} \\ X & I_m \end{pmatrix}$$

and suppose that

$$\bar{u} = \begin{pmatrix} \lambda I_{m'} & * \\ & \mu^{-1} I_m \end{pmatrix} g, \quad \lambda, \mu \in F^*, \quad g = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathfrak{B}(n).$$

Note that $\|\bar{u}\|_G \leq \|X\|^2$. Modifying *g* by a central element (and modifying λ and μ accordingly), we can assume that $1 \leq |\det g| < q^r$. Then it is easy to see that the absolute values of the entries of *g* are bounded by q^n . Note that $\gamma = \mu X$ and $\delta = \mu I_m$. In particular, we have $\|X\| \leq q^n |\mu|^{-1}$.

Suppose first that m > m'. Expanding det *g* as an alternating sum of products of entries of *g*, we see that each product contains at least one entry (in fact, at least m - m' entries) from δ as a factor. Thus $1 \le |\det g| \le q^{(r-1)n} |\mu|$, which implies $|\mu| \ge q^{-(r-1)n}$, and therefore $||X|| \le q^{rn}$ and $||\bar{u}||_G \le q^{2rn}$.

Suppose now that m = m'. We distinguish the two cases $|\mu| > q^{-rn}$ and $|\mu| \le q^{-rn}$. In the first case, we have $||X|| \le q^{(r+1)n}$ and $||\bar{u}||_G \le q^{2(r+1)n}$. Assume therefore that $|\mu| \le q^{-rn}$. The products in the expansion of det g which do not contain an entry from δ as a factor add up to $(-1)^m \det \beta \det \gamma$. Therefore,

$$\left|\det g - (-1)^m \det \beta \gamma \right| \le |\mu| q^{(r-1)n} \le q^{-n}.$$

On the other hand, we have $|\det g| \ge 1$. Therefore $|\det g| = |\det \beta \gamma|$. In particular, γ is invertible and

$$|\det \gamma|^{-1} = |\det \beta \gamma|^{-1} |\det \beta| \le |\det g|^{-1} q^{mn} \le q^{mn}.$$

It follows that X is invertible and

$$\|X^{-1}\| = |\mu| \|\gamma^{-1}\| \le |\mu| |\det \gamma|^{-1} \|\gamma\|^{m-1} \le |\mu| q^{(r-1)n} \le q^{-n}.$$

Finally, the identity

$$\bar{u} = \begin{pmatrix} X^{-1} & I_m \\ & X \end{pmatrix} \begin{pmatrix} -I_m \\ I_m \end{pmatrix} \begin{pmatrix} I_m & X^{-1} \\ & I_m \end{pmatrix}$$

shows that $\bar{u} \in P w_0 K_n$.

Remark 18. While there are other cases of nice parabolic subgroups (for example, the maximal parabolic subgroups of Sp(4)), unfortunately not all maximal parabolic subgroups are nice. As an example, consider

$$G = \operatorname{Sp}(6) = \left\{ g \in \operatorname{GL}(6) : g \begin{pmatrix} 1 & 1 \\ -1 & -1 \end{pmatrix} g^{t} = \begin{pmatrix} 1 & 1 \\ -1 & -1 \end{pmatrix} \right\}$$

and let **P** be the maximal parabolic subgroup of the form $P = \left\{ \begin{pmatrix} * & * & * & * & * \\ 0 & 0 & * & * & * \\ 0 & 0 & 0 & * & * \\ 0 & 0 & 0 & 0 & * \end{pmatrix} \in G \right\}$

The equality

$$\begin{pmatrix} 1 & & & \\ & 1 & & \\ a & & 1 & \\ & -a & a & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & -a^{-1} & & \\ & 1 & -a^{-1} & \\ & & 1 & -1 & \\ & & & 1 & 1 \end{pmatrix} \begin{pmatrix} a^{-1} & & & \\ & a^{-1} & & \\ & & 1 & a \\ & & & a \end{pmatrix} \begin{pmatrix} 1 & 1 & & \\ & 1 & 1 & \\ & 1 & -1 & & \\ & & a^{-1} & 1 & 1 \end{pmatrix} \begin{pmatrix} & 1 & 1 & \\ & 1 & 1 & \\ & & 1 & -1 & \\ & & & a^{-1} & 1 & 1 \end{pmatrix} \begin{pmatrix} & 1 & 1 & & \\ & 1 & 1 & & \\ & & & a^{-1} & 1 & 1 \end{pmatrix} \begin{pmatrix} & 1 & 1 & & \\ & 1 & 1 & & \\ & & & -1 & & 1 \end{pmatrix}$$

shows that

$$\begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \\ -a & & 1 \\ & -a & a & 1 \end{pmatrix} \in \overline{U} \cap UZ(M)K_0$$

for all $a \in F$. However, if $\begin{pmatrix} * & * & * \\ * & * & * \\ A & B & C \end{pmatrix} \in Pw_0K_n$ (with blocks of size 2 × 2), then $||A^{-1}B|| \le q^{-n}$.

6. Matrix coefficients of intertwining operators

We now consider Conjectures 1 and 2 stated in the introduction, and prove some results in this direction. In particular, we prove Theorem 1.

Definition 19. Let *P* be a maximal parabolic subgroup of *G*. We say *G* has *polynomial growth of matrix coefficients of intertwining operators* (PIO) with respect to *P* if there exist constants *c* and *d* such that for any open subgroup $K \subset K_0$ and any irreducible representation π of *M*, the degrees of the numerators of the linear operators $M_{\overline{P}|P}(\pi, s)^K$ are bounded by $c \log_q[K'_0: K'] + d$.

If this property is satisfied for all *supercuspidal* irreducible representations π of M, we say that G has *polynomial growth of supercuspidal matrix coefficients of intertwining operators* (PSIO) with respect to P.

Conjecture 1 amounts to the assertion that every p-adic reductive group G satisfies property (PIO). It is easy to see that we can replace (PIO) by the weaker condition (PSIO). More precisely, we have the following.

Lemma 20. Suppose that any Levi subgroup $L \supset M_0$ of G (including G itself) satisfies (PSIO). Then G satisfies (PIO).

Proof. We argue as in the proof of Proposition 5. Let π be an irreducible representation of M. By the Jacquet subrepresentation theorem, we can embed π in an induced representation $I^M_{O\cap M}(\sigma)$ for a parabolic subgroup $Q \subset P$ of Gwith Levi subgroup $L \subset M$ and an irreducible supercuspidal representation σ of L. Consider the intertwining operators $M_{S_2|S_1}(\sigma, \lambda) : I_{S_1}(\sigma, \lambda) \to I_{S_2}(\sigma, \lambda)$, $\lambda \in \mathfrak{a}_{L,\mathbb{C}}^*$, for parabolic subgroups $S_1, S_2 \in \mathcal{P}(L)$. The embedding of π into $I_{Q\cap M}^{M}(\sigma)$ gives rise to an embedding of $I_{P}(\pi, s)$ into $I_{Q}(\sigma, s\chi_{P})$, and the restriction of $M_{\overline{Q}|Q}(\sigma, s\chi_{P})$ to $I_{P}(\pi, s)$ becomes $M(\pi, s)$. We will bound the degrees of the matrix coefficients of $M(\sigma, s\chi_P)^K$. Let $Q = Q_0, Q_1, \ldots, Q_l = \overline{Q}$ be a sequence of adjacent parabolic subgroups from Q to \overline{Q} , and suppose that $\Delta q_i \cap \Delta q_{i+1} = \{\alpha_i\}$. We can decompose $M(\sigma, s\chi_P)$ into a product of rank-one intertwining operators $M_{Q_{i+1}|Q_i}(\sigma, s\langle \chi_P, \alpha_i^{\vee} \rangle)$. Therefore, it is enough to consider the degrees of the matrix coefficients of $M_{Q_{i+1}|Q_i}(\sigma, s\langle \chi_P, \alpha_i^{\vee} \rangle)^K$, $i = 0, \ldots, l-1$. Fix *i* and let $R = M_R N_R$ be the parabolic subgroup generated by Q_i and Q_{i+1} . Let $Q' = M_R \cap Q_i$ and $Q'' = M_R \cap Q_{i+1}$. Then Q' and Q'' are maximal parabolic subgroups of M_R with Levi subgroup L and $Q'' = \overline{Q'}$. By [Waldspurger 2003, p. 284, (14)], the matrix coefficients of $M_{Q_{i+1}|Q_i}(\sigma, s\langle \chi_P, \alpha_i^{\vee} \rangle)^K$ are given by those of $M_{\overline{O'}|O'}(\sigma, s(\chi_P, \alpha_i^{\vee}))^{K \cap M_R}$. The lemma follows.

Theorem 21. Suppose that P = MU is a nice maximal parabolic subgroup of G and that M satisfies property (PSC). Then G satisfies (PSIO) with respect to P.

Proof. Let π be a supercuspidal representation of M. Assume that $K' = K'_n$, n > 0, a normal subgroup of K_0 . Let

$$\varphi \in I_{P \cap K_0}^{K_0}(\pi|_{M \cap K_0})^{K'_n} \quad \text{and} \quad \varphi^{\vee} \in I_{\overline{P} \cap K_0}^{K_0}(\pi^{\vee}|_{M \cap K_0})^{K'_n}.$$

This is equivalent to $\varphi(k) \in \pi^{M \cap K'_n}$ and $\varphi^{\vee}(k) \in (\pi^{\vee})^{M \cap K'_n}$ for all $k \in K_0$. We extend these functions to functions $\varphi_s \in I_P(\pi, s)$ and $\varphi_s^{\vee} \in I_{\overline{P}}(\pi^{\vee}, s)$. Then the matrix coefficient $(M(\pi, s)\varphi_s, \varphi_s^{\vee})$ can be computed as

$$\left(M(\pi,s)\varphi_s,\varphi_s^{\vee}\right) = \int_{K_0} \left((M(\pi,s)\varphi_s)(k),\varphi^{\vee}(k)\right) dk = \int_{\overline{U}} |\chi_P|(\bar{u})^s f(\bar{u}) d\bar{u},$$

446

with

$$f(\bar{u}) = \int_{K_0} \left(\varphi_0(\bar{u}k), \varphi^{\vee}(k) \right) dk.$$

Note that f is right $\overline{U} \cap K'_n$ -invariant. Since M satisfies property (PSC), there is a constant $c_1 > 0$ such that the matrix coefficients $(\pi(m)\varphi(k'), \varphi^{\vee}(k)), m \in M$, $k, k' \in K_0$, all vanish for $m \notin \mathfrak{R}^M(c_1n)$. Furthermore, there exists a constant $c_2 > 0$ with $\mathfrak{R}^M(l) \subset Z(M)\mathfrak{R}(c_2l)$ for all l > 0. Applying the Iwasawa decomposition to \overline{u} , it follows that the support of f is contained in $\overline{U} \cap UZ(M)\mathfrak{R}(c_1c_2n)$. Consider first the case where $P^{w_0} \neq \overline{P}$. Because P is nice, we conclude from the above that the support of f is contained in $\overline{U} \cap \mathfrak{R}(cc_1c_2n)$ for the constant c of Definition 15. Thus, up to a constant, the integral becomes a finite sum

$$\sum_{\bar{u}\in\bar{U}\cap\mathscr{B}(cc_1c_2n)/\bar{U}\cap K'_n}|\chi_P|(\bar{u})^sf(\bar{u}).$$

which is a polynomial in q^{-s} of degree at most $-\log_q \min_{\overline{U} \cap \Re(cc_1c_2n)} |\chi_P| \ll n$.

We still need to consider the case $P^{w_0} = \overline{P}$. Let ω_{π} be the central character of π . We take an element $a \in Z(M)$ as follows. If

(3)
$$\omega_{\pi} \Big|_{Z(M)^1} \neq \omega_{w_0 \pi} \Big|_{Z(M)^1}$$

then we take any $a \in Z(M)^1 = Z(M) \cap K_0$ such that $\omega_{\pi}(a) \neq \omega_{\pi}(b)$ where $b = w_0^{-1} a w_0 \in Z(M)$. Otherwise we take *a* which generates $T_0 \cap Z(M)$ modulo $Z(G)Z(M)^1$ and for which $|\chi_P|(a) = |\alpha(a)|^{\frac{1}{2}} = q^{-m} < 1$. We have $m \in \frac{1}{2}\mathbb{Z}_{>0}$.

We take $n_0 \ge 0$ such that $K'_n \cap bK'_n b^{-1} \supset K'_{n+n_0}$ and $Z(G)K'_n \supset Z(\tilde{G})K_{n+n_0}$ for all n.

Note that under the action of K_0 the space $I_{\overline{P}\cap K_0}^{K_0}(\pi^{\vee}|_{M\cap K_0})^{K'_{n+n_0}}$ is spanned by functions φ^{\vee} with support $(P \cap K_0)K'_{n+n_0}$. Thus, we can assume that φ^{\vee} has this property. Hence, φ^{\vee} is determined by its value at the identity and

$$(M(\pi, s)\varphi_s, \varphi_s^{\vee}) = c(M(\pi, s)\varphi_s(e), \varphi^{\vee}(e)) = c \int_{\overline{U}} |\chi_P|(\overline{u})^s(\varphi_0(\overline{u}), \varphi^{\vee}(e)) d\overline{u}$$

for some constant *c*. If φ vanishes at w_0 , then the last integrand vanishes on $\overline{U} \cap Pw_0K'_n \supset \overline{U} \cap Pw_0K_{n+n_0}$, and we can argue as in the case $P^{w_0} \neq \overline{P}$ above.

Otherwise, observe that

$$(M(\pi, s)I_P(b, s)\varphi_s, \varphi_s^{\vee}) = (I_{\overline{P}}(b, -s)M(\pi, s)\varphi_s, \varphi_s^{\vee})$$

= $c(M(\pi, s)\varphi_s(b), \varphi^{\vee}(e)) = \delta_{\overline{P}}^{\frac{1}{2}}(b)\omega_s(b)(M(\pi, s)\varphi_s, \varphi_s^{\vee})$
= $\delta_{\overline{P}}^{\frac{1}{2}}(a)\omega_s(b)(M(\pi, s)\varphi_s, \varphi_s^{\vee}),$

where ω_s is the character $\omega_{\pi} |\chi_{\bar{P}}|^{-s} = \omega_{\pi} |\chi_P|^s$ of Z(M). Thus, if we consider the operator

$$\Delta_{a,s} = \omega_s(b^{-1})\delta_P^{-\frac{1}{2}}(a)I(b,s) - \omega_s(b^{-1}a) \,\mathrm{Id}$$

on $I_P(\pi, s)$ then $\Delta_{a,s}\varphi_s$ vanishes at w_0 , while

$$(M(\pi, s)\Delta_{a,s}\varphi_s, \varphi_s^{\vee}) = (1 - \omega_s(b^{-1}a))(M(\pi, s)\varphi_s, \varphi_s^{\vee}).$$

If condition (3) holds then

$$(M(\pi,s)\varphi_s,\varphi_{-s}^{\vee})=(1-\omega_{\pi}(b^{-1}a))^{-1}(M(\pi,s)\Delta_{a,s}\varphi_s,\varphi_s^{\vee}),$$

and since $\Delta_{a,s}\varphi_s \in I_P(\pi, s)^{K'_n}$, we reduce to the previous case. Otherwise,

$$(M(\pi, s)\varphi_s, \varphi_{-s}^{\vee}) = (1 - \omega_{\pi}(b^{-1}a)q^{-2ms})^{-1}(M(\pi, s)\Delta_{a,s}\varphi_s, \varphi_s^{\vee})$$

and $\Delta_{a,s}\varphi_s \in I_P(\pi, s)^{K'_{n+n_0}}$. So once again, we reduce to the previous case. \Box

Remark 22. The argument also gives a simple proof of the rationality of $M(\pi, s)$ for supercuspidal π and nice P. More precisely, it shows that $M(\pi, s)$ is a polynomial in q^{-s} if either $P^{w_0} \neq \overline{P}$ or $\omega_{\pi} \omega_{w_0\pi}^{-1}|_{Z(M)^1} \neq 1$. Otherwise,

$$(1 - \omega_{\pi}(w_0^{-1}a^{-1}w_0a)q^{-2ms})$$

is a polynomial in q^{-s} , where a and m are as above.

Remark 23. In the global situation of Conjecture 2, the proof shows that the constants c and d appearing in the definition of property (PSIO) can be chosen independently of the nonarchimedean place v, if this is the case for the constants appearing in Definition 7 (definition of property (PSC)) and Definition 15. By the fourth part of Corollary 13, for property (PSC) this uniformity statement is always satisfied after omitting finitely many places. Uniformity of the constant in Definition 15 is satisfied in the cases covered by Proposition 16.

Proof of Theorem 1. Lemma 17 and Corollary 13 show that in the case of G = GL(r), the conditions of Theorem 21 hold for all maximal parabolic subgroups of G. Therefore, G satisfies property (PSIO). Lemma 20 finishes the argument. The assertion on the constants c and d is clear.

7. Parabolic subgroups with abelian unipotent radical

In this section, we prove Proposition 16 in general. Parabolic subgroups with Abelian unipotent radical and the associated action of their Levi subgroup on the radical have been studied by Richardson, Röhrle and Steinberg [1992]. We recall their results and extend them as necessary.

448

Let *G* be a split reductive group over *F*. It will be convenient to write g in terms of a Chevalley basis [Serre 2001]. Namely, choose $X_{\alpha} \in \mathfrak{g}_{\alpha}$, $\alpha \in \Phi = R(T_0, G)$, such that

 $[X_{\alpha}, X_{\beta}] = \begin{cases} N_{\alpha, \beta} X_{\alpha+\beta} & \text{if } \alpha + \beta \in \Phi, \\ H_{\alpha} & \text{if } \alpha = -\beta, \\ 0 & \text{otherwise.} \end{cases}$

Here, the structure constants $N_{\alpha,\beta}$, α , β , $\alpha + \beta \in \Phi$, satisfy $N_{\alpha,\beta} = \pm (p+1)$, where *p* is the largest integer with $\beta - p\alpha \in \Phi$.

Obviously, to prove Proposition 16 we can pass to the adjoint group, which is a direct product of simple groups. Therefore, suppose from now on that G is simple and adjoint, P is maximal, and U is abelian. (Actually, the maximality of P is then automatic.) Let K_0 be the stabilizer of the \mathbb{O} -lattice spanned by the Chevalley basis, which is a hyperspecial maximal compact subgroup of G. Let α be the simple root defining P. Write $\mathfrak{m} = \operatorname{Lie} M$, $\mathfrak{u} = \operatorname{Lie} U$, and $\overline{\mathfrak{u}} = \operatorname{Lie} \overline{U}$, so that $\mathfrak{g} = \mathfrak{u} \oplus \mathfrak{m} \oplus \overline{\mathfrak{u}}$. Denote by $\Phi_U = R(T_0, U)$ the roots in \mathfrak{u} , namely the roots whose α -coefficient in the expansion with respect to Δ_0 is positive. (Since U is abelian, this coefficient is necessarily 1.) Let ρ be the highest root. We have $\alpha, \rho \in \Phi_U$. The roots orthogonal to ρ form a parabolic root subsystem Φ_1 which contains a unique irreducible constituent $\Phi'_1 \supset \Phi_U \cap \Phi_1$. If G is not simply laced, we write ρ_s for the highest short root and $\delta = \rho - \rho_s = -s_\rho \rho_s \in \Phi$. We have $\rho_s, 2\rho_s - \rho = -s_{\rho_s} \rho \in \Phi_U$.

Lemma 24. Suppose that G is not simply laced and let ρ , ρ_s and δ be as before. Then the following conditions are equivalent for $\gamma \in \Phi_U$:

- (1) $\gamma + \delta, \gamma + 2\delta \in \Phi_U$.
- (2) γ is long and $\langle \delta, \gamma^{\vee} \rangle = -1$.
- (3) γ is long, $\langle \rho, \gamma^{\vee} \rangle = 0$, and $\langle \rho_s, \gamma^{\vee} \rangle = 1$.
- (4) γ is the highest root in Φ'_1 .
- (5) $\gamma = 2\rho_s \rho$.

Proof. The first three conditions are clearly equivalent and they hold for $\gamma = 2\rho_s - \rho$. It remains to consider the cases of B_n and C_n . In the B_n case $\rho = 2\epsilon_1$, $\rho_s = \epsilon_1 + \epsilon_2$, $\delta = \epsilon_1 - \epsilon_2$, $\gamma = 2\epsilon_2$. In the C_n case $\rho = \epsilon_1 + \epsilon_2$, $\rho_s = \epsilon_1$, $\delta = \epsilon_2$, $\gamma = \epsilon_1 - \epsilon_2$. \Box

We fix once and for all a tuple $(\beta_1, \ldots, \beta_r)$ of mutually orthogonal long roots in Φ_U with *r* maximal.

Theorem 25 [Richardson et al. 1992, Theorem 2.1]. (1) For any $0 \le s \le r$, the Weyl group of M acts transitively on the set of *s*-tuples of mutually orthogonal long roots in Φ_U .

(2) Fix $u_i \in U_{\beta_i} \setminus \{0\}$. Then $\{\prod_{i=1}^s u_i\}_{s=0}^r$ is a set of representatives for the *M*-orbits in *U* under the conjugation action. (The integer *s* is called the rank of the orbit.)

The orbit corresponding to s = r is the open orbit of the *M*-action on *U*. It is the intersection with *U* of the Richardson orbit associated to *P*. The orbit corresponding to s = 0 is the zero orbit.

Remark 26. The possibilities (up to isogeny) for G and P have been enumerated in [Richardson et al. 1992, Remark 2.3], and the corresponding values of r are listed in [Richardson et al. 1992, Table 1]. We can explicate the orbit classification of Theorem 25 case by case.

In the cases where G = GL(m), $M = GL(k) \times GL(m-k)$, U is the space of $k \times (m-k)$ matrices, and 0 < k < m, or G = Sp(2m), M = GL(m), and U is the space of symmetric $m \times m$ matrices, the notion of rank given by Theorem 25 coincides with the usual notion for matrices. In the case G = SO(2m), M = GL(m), and U is the space of antisymmetric $m \times m$ matrices, the rank in our sense is one half of the rank of the matrix. In the case G = SO(m), $M = GL(1) \times SO(m-2)$, and U is a quadratic space of dimension m - 2, the rank is one for a nonzero isotropic vector and two for anisotropic vectors.

There are (up to automorphisms of G) two exceptional cases. For $G = E_6$, M = GSpin(10), and U one of the 16-dimensional half-spin representations of M, we have r = 2. The nonzero pure spinors (i.e., the spinors in the orbit of 1, the unit element of the exterior algebra) have rank one, and the remaining nonzero spinors have rank two. The orbit dimensions are 0, 11, and 16, respectively [Igusa 1970, Proposition 2]. For $G = E_7$, $M = GE_6$, and U the 27-dimensional representation of M, we have r = 3. The derived group of M leaves a nonzero cubic form f on U invariant, and this form is unique up to a scalar. The rank is one for the nonzero vectors in the singular locus of the hypersurface f = 0, two for the remaining nonzero vectors with f = 0, and three for the vectors with $f \neq 0$ [Chevalley 1951]. The orbit dimensions are 0, 17, 26, and 27, respectively [Richardson et al. 1992, Table 2].

Note that the second part of Theorem 25 does not apply to the *M*-orbits in *U*. However, the proof of [Richardson et al. 1992, Theorem 2.1] (see also [loc. cit., Theorem 5.3]) shows that fixing β_1, \ldots, β_r as above, it is still true that any *M*-orbit in *U* of rank *s* contains a representative of the form $\prod_{i=1}^{s} u_i$ for *some* $u_i \in U_{\beta_i} \setminus \{0\}$. More precisely, we have:

Lemma 27. Let β_1, \ldots, β_r be as above. Then there exists a compact set $\omega \subset M$ with the following property: for all $X \in \mathfrak{u}$, there is $m \in \omega$ such that $\operatorname{Ad}(m)X$ is a linear combination of $X_{\beta_1}, \ldots, X_{\beta_r}$. If either **G** is simply laced or $p \neq 2$, then we can take $\omega = K_M = M \cap K_0$.

450

Proof. Write $X = \sum_{\beta \in \Phi_U} c_\beta(X) X_\beta$. Let $\rho \in \Phi_U$ be the highest root. We follow the argument of [Richardson et al. 1992, Proposition 2.13]. The proof is by induction on the rank of G. The case X = 0 is trivial, so we assume that $X \neq 0$. The first step is to show that in the Ad K_M -orbit of X, we can choose X' such that $|c_\beta(X')| \leq D|c_\rho(X')|$ for all $\beta \in \Phi_U$, where D is a fixed constant which can be taken to be 1 if $p \neq 2$ or if G is simply laced. This is done as follows. Let $\beta_0 \in \Phi_U$ be such that $|c_{\beta_0}(X)|$ is maximal. Applying a Weyl element of M, we can assume that either $\beta_0 = \rho$ or $\beta_0 = \rho_s$ (in the nonsimply laced case). If $|c_\rho(X)| = |c_{\beta_0}(X)|$ (and in particular, if G is simply laced), then we are done. Assume that this is not the case and let $\delta = \rho - \rho_s$ and $X' = \operatorname{Ad}(u_\delta(t))X$ with $t \in \mathbb{O}$. It follows from Lemma 24 and the commutation relations that

$$c_{\gamma}(X') = \begin{cases} c_{\rho}(X) \pm 2tc_{\rho_s}(X) + t^2 c_{2\rho_s - \rho}(X) & \text{if } \gamma = \rho, \\ c_{\gamma}(X) \pm tc_{\gamma - \delta}(X) & \text{if } \gamma \neq \rho \text{ and } \gamma - \delta \in \Phi, \\ c_{\gamma}(X) & \text{if } \gamma - \delta \notin \Phi. \end{cases}$$

Therefore, we can choose $t \in \mathbb{O}^*$ such that $|c_{\rho}(X')| = \max_{\beta \in \Phi_U} |c_{\beta}(X')|$ if $p \neq 2$ and $|c_{\rho}(X')| \ge \frac{1}{2}|2| \max_{\beta \in \Phi_U} |c_{\beta}(X')|$ if p = 2.

The second step is to clear the coefficients of all roots which are not orthogonal to ρ by conjugating by suitable unipotent elements. This is done as in [Richardson et al. 1992, p. 655], except that our condition on X' guarantees that the conjugating elements are taken from K_M (or at least from a bounded set, if p = 2 and G is not simply laced). The rest of the proof (the induction step) follows [loc. cit.].

Let $w = s_{\beta_1} \dots s_{\beta_r}$. Note that the reflections s_{β_i} commute with each other, since the roots β_i are mutually orthogonal. For any $\beta \in \Phi_U$, let $\mathcal{N}(\beta)$ be the multiset

$$\mathcal{N}(\beta) = \begin{cases} \{\beta_i : \langle \beta, \beta_i^{\vee} \rangle = 1\} & \text{if } \beta \neq \beta_1, \dots, \beta_r, \\ \{\beta_i, \beta_i\} & \text{if } \beta = \beta_i. \end{cases}$$

Thus, $\mathcal{N}(\beta)$ consists of the roots β_i which are not orthogonal to β , counted with multiplicity $\langle \beta, \beta_i^{\vee} \rangle$. Note that $w\beta = \beta - \sum \mathcal{N}(\beta)$ for any $\beta \in \Phi_U$. Also, for any $\beta \in \Phi_U$,

(4)
$$|\mathcal{N}(\beta)| = \sum_{i=1}^{r} \langle \beta, \beta_i^{\vee} \rangle,$$

and by [Richardson et al. 1992, Lemma 2.10], we have $1 \le |\mathcal{N}(\beta)| \le 2$.

Suppose that $\beta, \gamma \in \Phi_U$ are distinct and β is long. Then the following conditions are equivalent:

- (1) $\langle \gamma, \beta^{\vee} \rangle \neq 0$,
- (2) $\langle \gamma, \beta^{\vee} \rangle = 1$,

- (3) $\gamma \beta \in \Phi$, and
- (4) $\gamma \beta = s_{\beta}(\gamma)$.

For any $X \in \mathfrak{u}$, denote by D_X the double commutator map

$$D_X = \frac{1}{2} \operatorname{ad} X \big|_{\mathfrak{m}} \circ \operatorname{ad} X \big|_{\overline{\mathfrak{u}}} \in \operatorname{Hom}_F(\overline{\mathfrak{u}}, \mathfrak{u}).$$

Analogously, for $\overline{X} \in \overline{\mathfrak{u}}$, we denote by $\overline{D}_{\overline{X}}$ the double commutator map

$$\overline{D}_{\overline{X}} = \frac{1}{2} \operatorname{ad} \overline{X} \big|_{\mathfrak{m}} \circ \operatorname{ad} \overline{X} \big|_{\mathfrak{u}} \in \operatorname{Hom}_{F}(\mathfrak{u}, \overline{\mathfrak{u}}).$$

Lemma 28. Let $X = \sum_{i=1}^{r} t_i X_{\beta_i}$. Then

$$D_X X_{-\beta} = \begin{cases} 0 & \text{if } |\mathcal{N}(\beta)| = 1, \\ t_i t_j X_{-w\beta} & \text{if } \mathcal{N}(\beta) = \{\beta_i, \beta_j\}. \end{cases}$$

Proof. The statement is clear if $\beta = \beta_i$, since $\beta_i - \beta_j \notin \Phi$ for all *j*.

Now suppose that $\beta \neq \beta_1, \ldots, \beta_r$. Then

ad
$$X(X_{-\beta}) = \sum_{i:\beta_i \in \mathcal{N}(\beta)} t_i X_{\beta_i - \beta}$$

and therefore

$$D_X(X_{-\beta}) = \frac{1}{2} \sum_{\substack{i,j:\ \beta_i \in \mathcal{N}(\beta),\\ \beta_i + \beta_j - \beta \in \Phi_U}} t_i t_j X_{\beta_i + \beta_j - \beta}.$$

Note that if $\beta_i \in \mathcal{N}(\beta)$ and $\delta = \beta_i + \beta_j - \beta \in \Phi_U$, then $i \neq j$, since β_i is long. If we set $\gamma = \beta_i - \beta = -s_{\beta_i}\beta$, then $\delta = \beta_j + \gamma$ and $s_{\beta_i}\delta = \beta_j - \beta \in \Phi$. Thus, $\beta_j \in \mathcal{N}(\beta)$ and $\delta = -w\beta$.

Corollary 29. For any $X \in \mathfrak{u}$, we have $||D_X||_{\operatorname{Hom}(\bar{\mathfrak{u}},\mathfrak{u})} \gg ||X||^2$.

Lemma 30. The following conditions are equivalent:

(1) **P** is conjugate to $\overline{\mathbf{P}}$.

(2)
$$\boldsymbol{P}^{w_0} = \overline{\boldsymbol{P}}.$$

(3)
$$\boldsymbol{P}^w = \boldsymbol{\overline{P}}$$

- (4) $|\mathcal{N}(\beta)| = 2$ for all $\beta \in \Phi_U$.
- (5) $\frac{1}{2} \sum_{i=1}^{r} \beta_i^{\vee}$ is the fundamental coweight with respect to **P**.
- (6) $\frac{1}{2} \sum_{i=1}^{r} \beta_i$ is the fundamental weight with respect to **P**.
- (7) There exists $X \in \mathfrak{u}$ such that D_X is invertible.

If these conditions are satisfied, then D_X is invertible if and only if X belongs to the open Ad M-orbit in u.

Proof. The equivalence of the first four conditions follows from [Richardson et al. 1992, Proposition 3.12]. The equivalence of the last and the fourth conditions, as well as the last assertion of the lemma, follows from Lemma 28. The equivalence between the fourth and fifth conditions follows from (4). Finally, the equivalence between the fifth and the sixth conditions is immediate, since α is a long root. \Box

Let *H* be the central element of \mathfrak{m} such that ad $H|_{\mathfrak{m}} = 2 \operatorname{Id}_{\mathfrak{m}}$.

Lemma 31. Suppose that $P^{w_0} = \overline{P}$. Then

- (1) We have $H = \sum_{i=1}^{r} H_{\beta_i}$.
- (2) The open (P, P) Bruhat cell is Pw_0U .
- (3) We have

 $Pw_0U = \{g \in G : \operatorname{proj}_{\overline{u}} \circ \operatorname{Ad}(g)|_{u} \text{ is invertible}\}.$

- (4) For any $g \in Pw_0U$, the U-part in the Bruhat decomposition is given by $\exp Y$, where $2Y = (\operatorname{proj}_{\overline{u}} \circ \operatorname{Ad}(g)|_{u})^{-1} (\operatorname{proj}_{\overline{u}}(\operatorname{Ad}(g)H)).$
- (5) In particular, for $\overline{X} \in \overline{\mathfrak{u}}$, we have $\exp \overline{X} \in Pw_0U$ if and only if \overline{X} lies in the open Ad *M*-orbit, and in this case the *U*-part of $\exp \overline{X}$ is $\exp Y$ for $Y = \overline{D}_{\overline{v}}^{-1}(\overline{X})$.

Proof. The first part follows from the previous lemma. The second part is clear. Let $\mathscr{C} = \{g \in G : \operatorname{proj}_{\overline{u}} \circ \operatorname{Ad}(g)|_{u}$ is invertible}. Clearly, \mathscr{C} is left and right *P*-invariant and $w_0 \in \mathscr{C}$. Therefore \mathscr{C} is a union of (P, P) double cosets and $Pw_0U \subset \mathscr{C}$. The fourth part is also clear by direct computation. By [Richardson et al. 1992, Theorem 1.1], every (P, P) double coset intersects \overline{U} in (the set of *F*-rational points of) a single *M*-orbit under conjugation. Thus, in order to show that $\mathscr{C} = Pw_0U$, it is enough to show that $\mathscr{C} \cap \overline{U}$ is (the set of *F*-rational points of) an *M*-orbit. However, $\mathscr{C} \cap \overline{U} = \{\exp \overline{X} : \overline{D}_{\overline{X}} \text{ is invertible}\}$. Therefore, the statement follows from Lemma 30.

Corollary 32. Let θ be the Cartan involution of G and set $d = \#\{\beta \in \Phi_U : \beta_i \in \mathcal{N}(\beta)\}$, which is independent of i. If $P^{w_0} = \overline{P}$, then $d = 2 \dim U/r$. For $X = \sum_{i=1}^{r} t_i X_{\beta_i}$, we have

$$\det(\theta \circ D_X) = \begin{cases} (t_1 \dots t_r)^d & \text{if } \mathbf{P}^w = \overline{\mathbf{P}}, \\ 0 & \text{otherwise.} \end{cases}$$

Remark 33. Suppose that $P^{w_0} = \overline{P}$. The character $\prod_{i=1}^{r} \beta_i$ of T_0 is trivial on M' and therefore extends to a rational character ψ of M. The polynomial

$$\sum_{i=1}^r t_i X_{\beta_i} \mapsto t_1 \dots t_r$$

extends to an irreducible (Ad M, ψ)-equivariant polynomial Δ on \mathfrak{u} .

For $n \in N_M(T)$ representing $w \in W^M$ and $\beta \in \Phi_U$, let $f_{n,\beta}$ be the scalar so that $Ad(n)X_\beta = f_{n,\beta}X_{w\beta}$. Clearly $f_{nt,\beta} = \beta(t)f_{n,\beta}$. In the simply laced case, we have

$$\Delta\left(\sum_{\beta\in\Phi_U}c_\beta X_\beta\right) = \sum_{w\in N_M(T_0)/T_0} \psi(n_w) \frac{c_{w\beta_1}}{f_{n_w,\beta_1}} \dots \frac{c_{w\beta_r}}{f_{n_w,\beta_r}}$$

where n_w is any representative of w in M. The polynomial Δ is the determinant in the GL(m) or Sp(2m) case, the Pfaffian in the SO(4m) case, the canonical quadratic form in the SO(m) case, and the relatively invariant cubic form in the E_7 case.

Corollary 34. Assume that $P^{w_0} = \overline{P}$.

- (1) The open orbit in u is the principal open set defined by $\det \theta \circ D_X$.
- (2) Assume that $X \in u$ is in the open orbit. Then the Jacobson–Morozov parabolic subgroup of X is P.
- (3) Assume that $X = \sum_{i=1}^{r} t_i X_{\beta_i}$ with $t_1, \ldots, t_r \neq 0$. Let $\overline{X} = \sum_{i=1}^{r} t_i^{-1} X_{-\beta_i}$. Then (X, H, \overline{X}) is an SL(2)-triple.

Remark 35. In [Kac 1980], the double commutator map has been used to obtain relatively invariant polynomials in a more general situation.

Finally, we are ready to prove Proposition 16.

Proof of Proposition 16. Suppose that $\bar{u} \in \overline{U} \cap Z(M)U\mathfrak{B}(n)$ and write $\bar{u} = zub$, where $z \in Z(M)$, $u \in U$, and $b \in \mathfrak{B}(n)$. Let $\lambda \in F^*$ be such that $\operatorname{Ad}(z)|_{\mathfrak{u}} = \lambda \operatorname{Id}_{\mathfrak{u}}$. Also write $\bar{u} = \exp \overline{X}$, where $\overline{X} \in \overline{\mathfrak{u}}$. As $\operatorname{Ad}(\exp \overline{X}) = \sum_{m=0}^{\infty} (1/m!)(\operatorname{ad} \overline{X})^m$, we have

(5)
$$\operatorname{Id}_{\mathfrak{u}} - \operatorname{ad} \bar{X}|_{\mathfrak{u}} + \bar{D}_{\bar{X}} = \operatorname{Ad}(\bar{u}^{-1})|_{\mathfrak{u}} = \operatorname{Ad}(b^{-1})\operatorname{Ad}(zu)^{-1}|_{\mathfrak{u}} = \lambda^{-1}\operatorname{Ad}(b^{-1})|_{\mathfrak{u}}.$$

It follows that $\max(1, \|\overline{D}_{\overline{X}}\|) \le |\lambda|^{-1} \|b\|$, and therefore by Corollary 29 (applied to \overline{P}) that $\max(1, \|\overline{X}\|)^2 \ll |\lambda|^{-1} \|b\|$, or equivalently,

$$|\lambda| ||b|| \max(1, ||\overline{X}||) \ll ||b||^2 \max(1, ||\overline{X}||)^{-1}.$$

We can write (5) in the form

$$\lambda \operatorname{Ad}(b) \circ \overline{D}_{\overline{X}} = (\operatorname{Id}_{\mathfrak{g}} - \Delta) \Big|_{\mathfrak{u}},$$

where $\Delta = \lambda \operatorname{Ad}(b) \circ (\operatorname{Id} - \operatorname{ad} \overline{X}) \in \operatorname{End}(\mathfrak{g})$. Suppose that $\|\overline{X}\| \gg \|b\|^2$. Then $\|\Delta\| \ll \|\lambda\| \|b\| \max(1, \|\overline{X}\|) < 1$, and therefore $\operatorname{Id} - \Delta$ is invertible and $\|(\operatorname{Id} - \Delta)^{-1}\| = 1$. It follows that $\overline{D}_{\overline{X}}$ is invertible, and therefore by Lemma 30 we infer that $P^{w_0} = \overline{P}$. Moreover, $\overline{D}_{\overline{X}}^{-1} = \lambda (\operatorname{Id} - \Delta)^{-1} \circ \operatorname{Ad}(b)|_{\overline{u}}$, and therefore $\|\overline{D}_{\overline{X}}^{-1}\| \le |\lambda| \|b\|$. By Lemma 31, we get $\overline{u} \in Pw_0U$ and the *U*-part in the Bruhat decomposition of \overline{u} is exp *Y* for $Y = \overline{D}_{\overline{X}}^{-1}(\overline{X})$. Hence $\|Y\| \le |\lambda| \|b\| \|\overline{X}\| \ll \|\overline{X}\|^{-1} \|b\|^2$. This immediately implies Proposition 16.

References

- [Arthur 1989] J. Arthur, "Intertwining operators and residues, I: Weighted characters", J. Funct. Anal. 84:1 (1989), 19–84. MR 90j:22018 Zbl 0679.22011
- [Bernstein 1974] I. N. Bernstein, "All reductive p-adic groups are tame", *Funk. Anal. i Priložen.* **8**:2 (1974), 3–6. In Russian; translated in *Funct. Anal. Appl.* **8**:2 (1974), 91–93. MR 50 #543
- [Bernstein and Zelevinskii 1976] I. N. Bernstein and A. V. Zelevinskii, "Representations of the group GL(n, F), where F is a local non-Archimedean field", *Uspekhi Mat. Nauk* **31**:3 (1976), 5–70. In Russian; translated in *Russian Math. Surveys* **31**:3 (1976), 1–68. MR 54 #12988 Zbl 0342.43017
- [Bushnell 1990] C. J. Bushnell, "Induced representations of locally profinite groups", *J. Algebra* **134**:1 (1990), 104–114. MR 92d:22025 Zbl 0727.22004
- [Bushnell 2001] C. J. Bushnell, "Representations of reductive *p*-adic groups: localization of Hecke algebras and applications", *J. London Math. Soc.* (2) **63**:2 (2001), 364–386. MR 2001m:22034 Zbl 1017.22011
- [Bushnell and Kutzko 1993a] C. J. Bushnell and P. C. Kutzko, *The admissible dual of* GL(*N*) *via compact open subgroups*, Annals of Mathematics Studies **129**, Princeton University Press, 1993. MR 94h:22007 Zbl 0787.22016
- [Bushnell and Kutzko 1993b] C. J. Bushnell and P. C. Kutzko, "The admissible dual of SL(*N*), I", *Ann. Sci. École Norm. Sup.* (4) **26**:2 (1993), 261–280. MR 94a:22033 Zbl 0787.22017
- [Carayol 1984] H. Carayol, "Représentations cuspidales du groupe linéaire", *Ann. Sci. École Norm. Sup.* (4) **17**:2 (1984), 191–225. MR 86f:22019 Zbl 0549.22009
- [Chevalley 1951] C. Chevalley, "Sur le groupe exceptionnel (*E*₆)", *C. R. Acad. Sci. Paris* **232** (1951), 1991–1993. MR 12,802d Zbl 0043.26005
- [Finis et al. 2012] T. Finis, E. Lapid, and W. Müller, "Limit multiplicities for principal congruence subgroups of GL(*n*)", preprint, 2012. arXiv 1208.2257
- [Igusa 1970] J.-i. Igusa, "A classification of spinors up to dimension twelve", *Amer. J. Math.* **92** (1970), 997–1028. MR 43 #3291 Zbl 0217.36203
- [Jacquet 1971] H. Jacquet, "Représentations des groupes linéaires *p*-adiques", pp. 119–220 in *Theory* of group representations and Fourier analysis (Montecatini Terme, 1970), edited by F. Gherardelli, Edizioni Cremonese, Rome, 1971. MR 45 #453 Zbl 0242.22016
- [Kac 1980] V. G. Kac, "Some remarks on nilpotent orbits", *J. Algebra* **64**:1 (1980), 190–213. MR 81i:17005 Zbl 0431.17007
- [Kim 2007] J.-L. Kim, "Supercuspidal representations: an exhaustion theorem", *J. Amer. Math. Soc.* **20**:2 (2007), 273–320. MR 2008c:22014 Zbl 1111.22015
- [Lapid 2004] E. M. Lapid, "Appendix to "Absolute convergence of the spectral side of the Arthur trace formula for GL_n ", by W. Müller and B. Speh", *Geom. Funct. Anal.* **14**:1 (2004), 85–90. MR 2005m:22021 Zbl 1083.11031
- [Lubotzky 1995] A. Lubotzky, "Subgroup growth and congruence subgroups", *Invent. Math.* **119**:2 (1995), 267–295. MR 95m:20054 Zbl 0848.20036
- [Richardson et al. 1992] R. Richardson, G. Röhrle, and R. Steinberg, "Parabolic subgroups with abelian unipotent radical", *Invent. Math.* **110**:3 (1992), 649–671. MR 93j:20092 Zbl 0786.20029
- [Schneider and Stuhler 1997] P. Schneider and U. Stuhler, "Representation theory and sheaves on the Bruhat–Tits building", *Inst. Hautes Études Sci. Publ. Math.* 85 (1997), 97–191. MR 98m:22023 Zbl 0892.22012
- [Serre 2001] J.-P. Serre, *Complex semisimple Lie algebras*, Springer, Berlin, 2001. MR 2001h:17001 Zbl 1058.17005

[Shahidi 1981] F. Shahidi, "On certain *L*-functions", *Amer. J. Math.* **103**:2 (1981), 297–355. MR 82i: 10030 Zbl 0467.12013

[Silberger 1979] A. J. Silberger, *Introduction to harmonic analysis on reductive p-adic groups*, Mathematical Notes **23**, Princeton University Press, 1979. MR 81m:22025 Zbl 0458.22006

- [Stevens 2008] S. Stevens, "The supercuspidal representations of *p*-adic classical groups", *Invent. Math.* **172**:2 (2008), 289–352. MR 2010e:22008 Zbl 1140.22016
- [Tits 1979] J. Tits, "Reductive groups over local fields", pp. 29–69 in Automorphic forms, representations and L-functions, Part 1 (Corvallis, OR, 1977), edited by A. Borel and W. Casselman, Proc. Sympos. Pure Math. 33, Amer. Math. Soc., Providence, RI, 1979. MR 80h:20064 Zbl 0415.20035
- [Waldspurger 2003] J.-L. Waldspurger, "La formule de Plancherel pour les groupes *p*-adiques (d'après Harish-Chandra)", *J. Inst. Math. Jussieu* **2**:2 (2003), 235–333. MR 2004d:22009 Zbl 1029.22016
- [Yu 2001] J.-K. Yu, "Construction of tame supercuspidal representations", *J. Amer. Math. Soc.* **14**:3 (2001), 579–622. MR 2002f:22033 Zbl 0971.22012

Received June 11, 2012.

TOBIAS FINIS INSTITUT FÜR MATHEMATIK FREIE UNIVERSITÄT BERLIN ARNIMALLEE 3 D-14195 BERLIN GERMANY finis@math.fu-berlin.de

EREZ LAPID EINSTEIN INSTITUTE OF MATHEMATICS THE HEBREW UNIVERSITY OF JERUSALEM 91904 JERUSALEM ISRAEL erezla@math.huji.ac.il

WERNER MÜLLER MATHEMATISCHES INSTITUT RHEINISCHE FRIEDRICH-WILHELMS-UNIVERSITÄT BONN ENDENICHER ALLEE 60 D-53115 BONN GERMANY mueller@math.uni-bonn.de

PACIFIC JOURNAL OF MATHEMATICS

http://pacificmath.org

Founded in 1951 by E. F. Beckenbach (1906-1982) and F. Wolf (1904-1989)

EDITORS

V. S. Varadarajan (Managing Editor) Department of Mathematics University of California Los Angeles, CA 90095-1555 pacific@math.ucla.edu

Don Blasius Department of Mathematics University of California Los Angeles, CA 90095-1555 blasius@math.ucla.edu

Robert Finn Department of Mathematics Stanford University Stanford, CA 94305-2125 finn@math.stanford.edu

Alexander Merkurjev Department of Mathematics University of California Los Angeles, CA 90095-1555 merkurev@math.ucla.edu Vyjayanthi Chari Department of Mathematics University of California Riverside, CA 92521-0135 chari@math.ucr.edu

Kefeng Liu Department of Mathematics University of California Los Angeles, CA 90095-1555 liu@math.ucla.edu

Sorin Popa Department of Mathematics University of California Los Angeles, CA 90095-1555 popa@math.ucla.edu

Paul Yang Department of Mathematics Princeton University Princeton NJ 08544-1000 yang@math.princeton.edu

PRODUCTION

Silvio Levy, Scientific Editor, pacific@math.berkeley.edu

SUPPORTING INSTITUTIONS

ACADEMIA SINICA, TAIPEI CALIFORNIA INST. OF TECHNOLOGY INST. DE MATEMÁTICA PURA E APLICADA KEIO UNIVERSITY MATH. SCIENCES RESEARCH INSTITUTE NEW MEXICO STATE UNIV. OREGON STATE UNIV. STANFORD UNIVERSITY UNIV. OF BRITISH COLUMBIA UNIV. OF CALIFORNIA, BERKELEY UNIV. OF CALIFORNIA, DAVIS UNIV. OF CALIFORNIA, LOS ANGELES UNIV. OF CALIFORNIA, RIVERSIDE UNIV. OF CALIFORNIA, SAN DIEGO UNIV. OF CALIF., SANTA BARBARA

UNIV. OF CALIF., SANTA CRUZ UNIV. OF MONTANA UNIV. OF OREGON UNIV. OF SOUTHERN CALIFORNIA UNIV. OF UTAH UNIV. OF WASHINGTON WASHINGTON STATE UNIVERSITY

These supporting institutions contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its contents or policies.

See inside back cover or pacificmath.org for submission instructions.

The subscription price for 2012 is US \$420/year for the electronic version, and \$485/year for print and electronic.

Subscriptions, requests for back issues from the last three years and changes of subscribers address should be sent to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163, U.S.A. Prior back issues are obtainable from Periodicals Service Company, 11 Main Street, Germantown, NY 12526-5635. The Pacific Journal of Mathematics is indexed by Mathematical Reviews, Zentralblatt MATH, PASCAL CNRS Index, Referativnyi Zhurnal, Current Mathematical Publications and the Science Citation Index.

The Pacific Journal of Mathematics (ISSN 0030-8730) at the University of California, c/o Department of Mathematics, 969 Evans Hall, Berkeley, CA 94720-3840, is published monthly except July and August. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices. POSTMASTER: send address changes to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163.

PJM peer review and production are managed by EditFlow® from Mathematical Sciences Publishers.



A NON-PROFIT CORPORATION Typeset in LATEX Copyright © 2012 Pacific Journal of Mathematics Daryl Cooper Department of Mathematics University of California Santa Barbara, CA 93106-3080 cooper@math.ucsb.edu

Jiang-Hua Lu Department of Mathematics The University of Hong Kong Pokfulam Rd., Hong Kong jhlu@maths.hku.hk

Jie Qing Department of Mathematics University of California Santa Cruz, CA 95064 qing@cats.ucsc.edu

PACIFIC JOURNAL OF MATHEMATICS

Volume 260 No. 2 December 2012

Special issue devoted to the memory of Jonathan Rogawski

In memoriam: Jonathan Rogawski	257
DON BLASIUS, DINAKAR RAMAKRISHNAN and V. S. VARADARAJAN	
<i>p</i> -adic Rankin <i>L</i> -series and rational points on CM elliptic curves	261
MASSIMO BERTOLINI, HENRI DARMON and KARTIK PRASANNA	
The syntomic regulator for K_4 of curves	305
AMNON BESSER and ROB DE JEU	
Unique functionals and representations of Hecke algebras BENJAMIN BRUBAKER, DANIEL BUMP and SOLOMON FRIEDBERG	381
A relative trace formula for PGL(2) in the local setting BROOKE FEIGON	395
On the degrees of matrix coefficients of intertwining operators TOBIAS FINIS, EREZ LAPID and WERNER MÜLLER	433
Comparison of compact induction with parabolic induction GUY HENNIART and MARIE-FRANCE VIGNERAS	457
The functional equation and beyond endoscopy P. EDWARD HERMAN	497
A correction to <i>Conducteur des Représentations du groupe linéaire</i> HERVÉ JACQUET	515
Modular L-values of cubic level	527
ANDREW KNIGHTLY and CHARLES LI	
On occult period maps STEPHEN KUDLA and MICHAEL RAPOPORT	565
A prologue to "Functoriality and reciprocity", part I	583
ROBERT LANGLANDS	505
Truncation of Eisenstein series	665
EREZ LAPID and KEITH OUELLETTE	
Some comments on Weyl's complete reducibility theorem	687
JONATHAN ROGAWSKI and V. S. VARADARAJAN	
On equality of arithmetic and analytic factors through local Langlands correspondence	695
Freydoon Shahidi	

