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## FORMAL GROUPS OF ELLIPTIC CURVES WITH POTENTIAL GOOD SUPERSINGULAR REDUCTION

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Let *L* be a number field and let E/L be an elliptic curve with potentially supersingular reduction at a prime ideal  $\wp$  of *L* above a rational prime *p*. In this article we describe a formula for the slopes of the Newton polygon associated to the multiplication-by-*p* map in the formal group of *E*, depending only on the congruence class of *p* mod 12, the  $\wp$ -adic valuation of the discriminant of a model for *E* over *L*, and the valuation of the *j*-invariant of *E*. The formula is applied to prove a divisibility formula for the ramification indices in the field of definition of a *p*-torsion point.

#### 1. Introduction

Let *L* be a number field with ring of integers  $\mathbb{O}_L$ , let  $p \ge 2$  be a prime, let  $\wp$  be a prime ideal of  $\mathbb{O}_L$  lying above *p*, and let  $L_{\wp}$  be the completion of *L* at  $\wp$ . Let *E* be an elliptic curve defined over *L* with potential good (supersingular) reduction at  $\wp$ . Let us fix an embedding  $\iota : \overline{L} \hookrightarrow \overline{L}_{\wp}$ . Via  $\iota$ , we may regard *E* as defined over  $L_{\wp}$ . Let  $L_{\wp}^{\text{nr}}$  be the maximal unramified extension of  $L_{\wp}$ , and let  $K_E$  be the extension of  $L_{\wp}^{\text{nr}}$  of minimal degree such that *E* has good reduction over  $K_E$  (see Section 3 for more details). Let  $K = K_E$ , and let  $\nu_K$  be a valuation on *K* such that  $\nu_K(p) = e$  and  $\nu_K(\pi) = 1$ , where  $\pi$  is a uniformizer for *K*. Let *A* be the ring of elements of *K* with nonnegative valuation. We fix a minimal model of *E* over *A* with good reduction, given by

$$y^2 + a_1 x y + a_3 y = x^3 + a_2 x^2 + a_4 x + a_6,$$

with  $a_i \in A$ . In particular, the discriminant  $\Delta$  is a unit in A. Let  $\hat{E}/A$  be the formal group associated to E/A, with formal group law given by a power series  $F(X, Y) \in A[[X, Y]]$ , as defined in [Silverman 2009, Chapter IV]. Let

$$[p](Z) = \sum_{i=1}^{\infty} s_i Z^i$$

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be the multiplication-by-*p* homomorphism in  $\hat{E}$ , for some  $s_i \in A$  for all  $i \ge 1$ . Since E/K has good supersingular reduction, the formal group  $\hat{E}/A$  associated to *E* has height 2; see [Silverman 2009, Chapter V, Theorem 3.1]. Thus,  $s_1 = p$ and the coefficients  $s_i$  satisfy  $v_K(s_i) \ge 1$  if  $i < p^2$  and  $v_K(s_{p^2}) = 0$ . Let  $q_0 = 1$ ,  $q_1 = p$  and  $q_2 = p^2$ , and put  $e_i = v_K(s_{q_i})$ . In particular  $e_0 = v_K(s_1) = v_K(p) = e$ and  $e_2 = v_K(s_{p^2}) = 0$ . Let  $e_1 = v_K(s_p)$ . Then, the multiplication-by-*p* map can be expressed as

$$[p](Z) = pf(Z) + \pi^{e_1}g(Z^p) + h(Z^{p^2}),$$

where f(Z), g(Z) and h(Z) are power series in  $Z \cdot A[[Z]]$ , with

$$f'(0) = g'(0) = h'(0) \in A^{\times}$$

In this article, we are interested in determining the value of  $e_1$ . In the next section we discuss three examples that will be used during the rest of the paper to fix ideas. In Section 3, we prove consecutive refinements of a formula for  $e_1$  that culminate in Theorem 3.9 and Corollary 3.12, where we show a formula that only depends on the congruence class of  $p \mod 12$ , the  $\wp$ -adic valuation of the discriminant of a model for E over L, and the valuation of the j-invariant of E. In Section 4 we use the formula to calculate the value of  $e_1$  for several interesting examples, and we show that if p > 3, the ramification index of  $\wp$  in  $L/\mathbb{Q}$  is  $e(\wp, L) = 1$ , and  $e_1 < e$ , then the numbers  $e_1$  and  $e - e_1$  can only take the values 1, 2, or 4 (see Corollary 4.7). Finally, in Section 5, we apply our formula to prove the following divisibility formulas for the ramification indices in the field of definition of a p-torsion point (see Theorem 5.2 and Corollary 5.4):

**Theorem 1.1.** Let E/L be an elliptic curve with potential good supersingular reduction at a prime  $\wp$  above a prime p > 3, and let e and  $e_1$  be defined as above. Let  $P \in E[p]$  be a nontrivial p-torsion point.

- (1) Suppose  $e_1 \ge pe/(p+1)$ . Then the ramification index of any prime over  $\wp$  in the extension L(P)/L is divisible by  $(p^2-1)/\gcd(p^2-1, e)$ .
- (2) *Suppose*  $e_1 < pe/(p+1)$ .
  - There are  $p^2 p$  points P in E[p] such that the ramification index of a prime above  $\wp$  in L(P)/L is divisible by  $(p-1)p/gcd(p(p-1), e_1)$ .
  - There are p-1 points P in E[p] such that the ramification index of any prime above  $\wp$  in L(P)/L is divisible by  $(p-1)/gcd(p-1, e-e_1)$ .

In particular, suppose that  $e(\wp, L) = 1$ .

- If e<sub>1</sub> < e, then e<sub>1</sub> < pe/(p+1) and the ramification index of any prime over ℘ in L(P)/L is divisible by (p−1)/gcd(p−1, 4).</li>
- If  $p \equiv 1 \mod 12$ , then  $e_1 \ge e$  and the ramification index of any prime over  $\wp$  in L(P)/L is divisible by  $(p^2-1)/\gcd(p^2-1, e)$ .

#### 2. First examples

Before we dive deeper into the theory, let us exhibit two examples of elliptic curves over  $L = \mathbb{Q}$  and one curve defined over a quadratic field  $L = \mathbb{Q}(\sqrt{13})$ , together with their minimal fields of good reduction (over  $L_{\beta}^{nr}$ ), and the values of e and  $e_1$ . The calculations have been completed with the aid of Sage [Stein et al. 2012] and Magma [Bosma et al. 2010].

**Example 2.1.** Let  $E/\mathbb{Q}$  be the elliptic curve with Cremona label 121c2, with  $j(E) = -11 \cdot 131^3$ , given by a Weierstrass equation

$$y^2 + xy = x^3 + x^2 - 3632x + 82757.$$

The elliptic curve *E* has bad additive reduction at p = 11, but potentially good supersingular reduction at the same prime. The extension  $K = K_E$  of  $\mathbb{Q}_{11}^{nr}$  is given by adjoining  $\pi = \sqrt[3]{11}$ , thus e = 3. The curve *E* has a minimal model with good supersingular reduction of the form

$$y^{2} + \sqrt[3]{11}xy = x^{3} + \sqrt[3]{11^{2}}x^{2} + 3\sqrt[3]{11}x + 2$$

over  $\mathbb{Q}_{11}^{nr}(\pi)$ , where  $\pi = \sqrt[3]{11}$ , and the discriminant of this model is  $\Delta = -1$ . The multiplication-by-11 map on the associated formal group  $\hat{E}$  is given by a power series:

$$\begin{aligned} [11](Z) &= 11Z - 55\pi Z^2 - 275\pi^2 Z^3 + 42350 Z^4 - 181148\pi Z^5 - 659417\pi^2 Z^6 \\ &+ 96265708 Z^7 - 341161040\pi Z^8 - 1521191342\pi^2 Z^9 \\ &+ 183261837077 Z^{10} - 497606935519\pi Z^{11} + O(Z^{12}). \end{aligned}$$

Since  $497606935519 = 17 \cdot 23 \cdot 151 \cdot 8428159$  is relatively prime to 11, we conclude that  $e_1 = v_K(s_{11}) = v_K(-497606935519\pi) = 1$ .

**Example 2.2.** Let  $E/\mathbb{Q}$  be the elliptic curve with Cremona label 27a4, with  $j(E) = -2^{15} \cdot 3 \cdot 5^3$ , given by a Weierstrass equation

$$y^2 + y = x^3 - 30x + 63.$$

The elliptic curve *E* has bad additive reduction at p = 3, but potentially good supersingular reduction at the same prime. The extension  $K = K_E$  of  $\mathbb{Q}_3^{nr}$  is given by adjoining  $\alpha = \sqrt[4]{3}$  and a root  $\beta$  of  $x^3 - 120x + 506 = 0$ . The result is an extension  $K = \mathbb{Q}_3^{nr}(\alpha, \beta)$  of degree e = 12. For convenience we write  $K = \mathbb{Q}_3^{nr}(\gamma)$  where  $\gamma$  is a root of p(x) = 0, with

$$p(x) = x^{12} - 480x^{10} - 2024x^9 + 86391x^8 + 728640x^7 - 5378664x^6$$
  
-87509664x<sup>5</sup> - 161677413x<sup>4</sup> + 2979983776x<sup>3</sup>  
+22119216120x<sup>2</sup> + 62098532232x + 65301304309.

The curve *E* has a minimal model with good supersingular reduction (which we will not write here, because the coefficients are unwieldy expressions in  $\gamma$ ). The multiplication-by-3 map on the associated formal group  $\hat{E}$  is given by a power series

$$[3](Z) = 3Z + s_3 Z^3 + O(Z^4),$$

where

$$s_{3} = \frac{91366247104560778}{113527481110579959} \gamma^{11} - \frac{1556952329592412502}{340582443331739877} \gamma^{10} + \frac{3943076616393619924}{340582443331739877} \gamma^{9} + \dots + \frac{495013631117553848}{340582443331739877} \gamma^{2} - \frac{544095024526171682}{113527481110579959} \gamma - \frac{3353034524919522230}{340582443331739877}$$

The valuation we sought (computed with Sage) is  $v_K(s_3) = 2$ . Hence,  $e_1 = 2$  in this case.

**Example 2.3.** Let  $j_0$  be a root of the polynomial

 $x^2 - 6896880000x - 567663552000000$ ,

and let  $L = \mathbb{Q}(j_0) = \mathbb{Q}(\sqrt{13})$ . Let p = 13 and let  $\wp = (\sqrt{13})$  be the ideal above pin  $\mathbb{O}_L$ . Let E/L be the elliptic curve with j-invariant equal to  $j_0$ . The curve E has complex multiplication by  $\mathbb{Z}[\sqrt{-13}]$ , that is,  $\operatorname{End}(E/\mathbb{C}) \cong \mathbb{Z}[\sqrt{-13}]$  and, in fact, all the endomorphisms are defined over  $\mathbb{Q}(\sqrt{13}, i)$ ; see [Silverman 1994, Chapter 2, Theorem 2.2(b)]. Since 13 ramifies in L, it follows from Deuring's criterion (see [Lang 1987, Chapter 13, §4, Theorem 12]) that the reduction of E at  $\wp$  is potentially supersingular. We choose a model for E/L given by

$$y^{2} = x^{3} + \frac{5231j_{0} - 50692880808000}{3825792}x + \frac{-550711j_{0} + 4485396184200000}{239112}x$$

The discriminant of this model is

$$\Delta_L = \frac{13546495176890000 j_0 - 93429639900045292464000000}{29889}$$

and  $\nu_{\wp}(\Delta_L) = 0$ . Hence, E/L has good supersingular reduction at  $\wp$ . In particular  $K_E = L_{\wp}^{\text{nr}}$  and e = 2. The multiplication-by-13 map on the associated formal group  $\hat{E}$  is given by a power series:

$$[13](Z) = 13Z + \frac{-8092357j_0 + 78421886609976000}{39852}Z^5 + \dots + s_{13}Z^{13} + O(Z^{15}),$$

where

$$s_{13} = (-193923815261040770875476640000 j_0 + 137010996199743136349627803628966400000)/29889.$$

Since  $\nu_K(s_{13}) = \nu_{\wp}(s_{13}) = 1$ , we conclude that  $e_1 = 1$ . The formal group and the valuation of  $s_{13}$  were calculated using Magma. Thanks to Harris Daniels for providing the polynomial that defines  $j_0$ .

**Remark 2.4.** Let *N* be the part of the Newton polygon of [p](Z) that describes the roots of valuation > 0. Let  $P_0 = (1, e)$ ,  $P_1 = (p, e_1)$ , and  $P_2 = (p^2, 0)$ . The slope of the segment  $P_0P_1$  is  $-(e - e_1)/(p - 1)$ , while the slope of the segment  $P_0P_2$  is  $-e/(p^2 - 1)$ . It follows from the theory of Newton polygons (see [Serre 1972, p. 272]) that:

- (1) If  $pe/(p+1) < e_1$ , then N is given by a single segment  $P_0P_2$ .
- (2) Otherwise, if  $pe/(p+1) \ge e_1$ , then N is given by two segments  $P_0P_1$  and  $P_1P_2$ .

In particular, if  $e_1 \ge e$ , then *N* has one single segment. We will frequently focus on the case  $e_1 < e$ , in which case the Newton polygon may have two segments. In this case, we shall show later (Corollary 3.2) that  $e_1$  is independent of the chosen minimal model for E/K.

#### 3. A formula for $e_1$

In this section we prove a formula for  $e_1$  in terms of the valuations of the constants  $c_4$  and  $c_6$  of a minimal model for E/A. We need a number of preliminary results before we state and prove our formulas in Theorem 3.9 and Corollary 3.12. Let us begin with some further details about the extension  $K_E/L_{\wp}^{\rm nr}$  that was mentioned in the introduction. We follow [Serre and Tate 1968] (see in particular p. 498, Corollary 3 there) to define an extension  $K_E$  of  $L_{\wp}^{\rm nr}$  of minimal degree such that E has good reduction over  $K_E$ . Let  $\ell$  be any prime such that  $\ell \neq p$ , and let  $T_{\ell}(E)$  be the  $\ell$ -adic Tate module. Let  $\rho_{E,\ell}$  :  $\operatorname{Gal}(\overline{L_{\wp}^{\rm nr}}/L_{\wp}^{\rm nr}) \to \operatorname{Aut}(T_{\ell}(E))$  be the usual representation induced by the action of Galois on  $T_{\ell}(E)$ . We define the field  $K_E$  as the extension of  $L_{\wp}^{\rm nr}$  such that

$$\operatorname{Ker}(\rho_{E,\ell}) = \operatorname{Gal}(\overline{L_{\wp}^{\operatorname{nr}}}/K_E).$$

In particular, the field  $K_E$  enjoys the following properties:

- (1)  $E/K_E$  has good (supersingular) reduction.
- (2)  $K_E$  is the smallest extension of  $L_{\wp}^{nr}$  such that  $E/K_E$  has good reduction, that is, if  $K'/L_{\wp}^{nr}$  is another extension such that E/K' has good reduction, then  $K_E \subseteq K'$ .
- (3)  $K_E/L_{\wp}^{nr}$  is finite and Galois. Moreover (see [Serre 1972, §5.6, p. 312] when  $L = \mathbb{Q}$ , but the same reasoning holds over number fields, as the work of Néron [1964, p. 124–125] is valid for any local field):
  - If p > 3, then  $K_E/L_{\wp}^{nr}$  is cyclic of degree 1, 2, 3, 4, or 6.
  - If p = 3, the degree of  $K_E/L_{\wp}^{nr}$  is a divisor of 12.
  - If p = 2, the degree of  $K_E / L_{\omega}^{nr}$  is 2, 3, 4, 6, 8, or 24.

As before, we will write  $K = K_E$ . Let  $\nu_K$  be a valuation on K such that  $\nu_K(p) = e$ and  $\nu_K(\pi) = 1$ , where  $\pi$  is a uniformizer for K. Let A be the ring of elements of K with valuation  $\geq 0$ .

**Proposition 3.1.** Let  $\omega(Z) = (1 + \sum_{i=1}^{\infty} w_i Z^i) dZ$  be the unique normalized invariant differential associated to  $\hat{E}$  (as in [Silverman 2009, IV, §4]), with  $w_i \in A$  for all  $i \ge 1$ . Then,

$$[p](Z) = \sum_{i=1}^{\infty} s_i Z^i \equiv w_{p-1} Z^p + O(Z^{p+1}) \mod pA.$$

In particular,  $s_p \equiv w_{p-1} \mod pA$ . Thus, if  $v_K(w_{p-1}) < e$ , then

$$e_1 = v_K(s_p) = v_K(w_{p-1}).$$

*Otherwise*, if  $v_K(w_{p-1}) \ge e$ , then  $e_1 \ge e$ .

*Proof.* The congruence is shown in [Katz 1973, Lemma 3.6.5], so here we just give the key ingredients in the proof. Let  $\varphi(Z) = Z + \sum_{k=2}^{\infty} (w_{k-1}/k)Z^k$  so that  $\omega = d(\varphi(Z))$ , and let  $\psi(Z)$  be the inverse series to  $\varphi(Z)$ , so that  $\psi(\varphi(Z)) = Z$ . Since  $\omega$  is the normalized invariant differential for  $\hat{E}$ , it follows that  $p\omega(Z) = (\omega \circ [p])(Z)$  (see [Silverman 2009, Chapter IV, Corollary 4.3]), therefore,  $[p](Z) = \psi(p\varphi(Z))$ . The desired congruence falls out from this and the equality  $\psi(\varphi(Z)) = Z$ .

The congruence implies that  $s_p = w_{p-1} + p\alpha$ , for some  $\alpha \in A$ . In particular,

$$\nu_K(s_p) \ge \min\{\nu_K(w_{p-1}), \nu_K(p\alpha)\} = \min\{\nu_K(w_{p-1}), e + \nu_K(\alpha)\}.$$

If we assume that  $v_K(w_{p-1}) < e$ , then  $v_K(w_{p-1}) < e + v_K(\alpha)$ , and the inequality is in fact an equality and  $v_K(s_p) = v_K(w_{p-1})$ . Otherwise, if  $v_K(w_{p-1}) \ge e$ , then  $e_1 = v_K(s_p) \ge e$ , as claimed.

#### Corollary 3.2. Let

$$y^{2}+a_{1}xy+a_{3}y=x^{3}+a_{2}x^{2}+a_{4}x+a_{6}$$
 and  $y^{2}+a_{1}'xy+a_{3}'y=x^{3}+a_{2}'x^{2}+a_{4}'x+a_{6}'$ 

be two minimal models for an elliptic curve E/A and let  $[p](Z) = \sum s_i Z$  and  $[p]'(Z) = \sum s'_i(Z)$  be the multiplication-by-p maps for their respective formal groups. Then, there is a constant  $u \in A^{\times}$  such that  $s_p \equiv u^{p-1}s'_p \mod pA$ . In particular, if  $e_1 < e$ , then the number  $e_1 = v_K(s_p)$  as defined above is independent of the chosen minimal model for the elliptic curve E/A.

Proof. Let

$$y^{2} + a_{1}xy + a_{3}y = x^{3} + a_{2}x^{2} + a_{4}x + a_{6}$$
 and  $y^{2} + a'_{1}xy + a'_{3}y = x^{3} + a'_{2}x^{2} + a'_{4}x + a'_{6}$ 

be two minimal models, with  $a_i, a'_i \in A$ , for the same elliptic curve E/A, and let  $\hat{E}/A$  and  $\hat{E}'/A$  be the formal groups associated to each model, with formal group

laws given by F(X, Y) and F'(X, Y), respectively. Since these are minimal models for the same curve E/A, it follows that  $(\hat{E}, F)$  and  $(\hat{E}', F')$  are isomorphic formal groups; see [Silverman 2009, Chapter VII, Proposition 2.2]. Thus, there is a power series  $f(Z) = uZ + O(Z^2)$ , for some  $u \in A^{\times}$ , such that

$$f(F(X, Y)) = F'(f(X), f(Y)).$$

Let  $\omega(Z) = \sum w_n Z^n$ ,  $[p](Z) = \sum s_i Z$  and  $\omega'(Z) = \sum w'_n Z^n$ ,  $[p]'(Z) = \sum s'_i(Z)$  be the invariant differentials, and multiplication-by-*p* maps, for  $\hat{E}$  and  $\hat{E}'$ , respectively. Then, by Proposition 3.1,

$$f([p](Z)) = [p]'(f(Z))$$
  
=  $\sum s'_i(f(Z)) \equiv w'_{p-1}(f(Z))^p + \dots \equiv u^p \cdot w'_{p-1}Z^p + O(Z^{p+1}),$   
 $f([p](Z)) = u([p](Z)) + \dots \equiv u(w_{p-1}Z^p + \dots) + \dots \equiv u \cdot w_{p-1}Z^p + O(Z^{p+1}).$ 

Therefore,  $u^p \cdot w'_{p-1} \equiv u \cdot w_{p-1} \mod pA$ , or  $w_{p-1} \equiv u^{p-1}w'_{p-1} \mod pA$ . Hence  $s_p \equiv u^{p-1}s'_p \mod pA$ , as claimed.

In particular, if  $e_1 < e$ , and  $e_1 = v_K(s_p)$  and  $e'_1 = v_K(s'_p)$ , then there is some  $\alpha \in A$  such that  $s_p = u^{p-1}s'_p + p\alpha$ . Hence,

$$e_1 = v_K(s_p) = v_K(u^{p-1}s'_p + p\alpha) = \min\{v_K(s'_p), e + v_K(\alpha)\} = v_K(s'_p) = e'_1.$$

Thus, the valuation of  $s_p$  is independent of the chosen minimal model for E/A.  $\Box$ 

**Remark 3.3.** Here is an alternative proof of Corollary 3.2 using the Hasse invariant  $\mathcal{H}(E, \omega)$  as defined in [Katz 1973, Section 2.0]. Let E/A be given by a minimal model

$$y^2 + a_1 x y + a_3 y = x^3 + a_2 x^2 + a_4 x + a_6,$$

with  $a_i \in A$ , and let  $\omega = dx/(2y + a_1x + a_3)$  be an invariant differential for E/A. Let  $\mathcal{H}(E, \omega)$  be the Hasse invariant. Moreover, let  $\hat{E}/A$  be the associated formal group, let

$$\omega(Z) = \left(1 + \sum_{n=1}^{\infty} w_n Z^n\right) dZ = (1 + a_1 Z + (a_1^2 + a_2) Z^2 + \cdots) dZ,$$

be the unique normalized invariant differential associated to  $\hat{E}$  and write

$$[p](Z) = \sum_{i=1}^{\infty} s_i Z^i,$$

as before. Then, Lemmas 3.6.1 and 3.6.5 of [Katz 1973] imply that  $a_p \equiv \mathcal{H}(E, \omega)$  mod *pA*.

Now, if

$$y^{2} + a'_{1}xy + a'_{3}y = x^{3} + a'_{2}x^{2} + a'_{4}x + a'_{6}$$

is another minimal model for E/A, then there is a constant  $u \in A^{\times}$  such that the new invariant differential  $\omega'$  and  $\omega$  are related by  $\omega' = u\omega$ , and  $\mathcal{H}(E, \omega) = u^{p-1}\mathcal{H}(E, u\omega)$ ; see [Katz 1973, p. Ka-29]. If  $\hat{E}'/A$  is the formal group associated to this new minimal model, and  $[p]'(Z) = \sum_{i=1}^{\infty} s_i' Z^i$ , then

$$s_p \equiv \mathcal{H}(E, \omega) \equiv u^{p-1} \mathcal{H}(E, u\omega) \equiv u^{p-1} s'_p \mod pA$$

Since we have assumed that  $e' = v(a_p) < e$ , the coefficients  $s_p$  and  $s'_p$  have the same valuation.

**Lemma 3.4.** Let E/A be given by a model  $y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$ , with  $a_i \in A$ , and let  $\omega(Z) = (1 + \sum_{i=1}^{\infty} w_i Z^i) dZ$  be the unique normalized invariant differential associated to  $\hat{E}$ . Then,  $w(Z) \in \mathbb{Z}[a_1, a_2, a_3, a_4, a_6][[Z]]$ . Moreover, if  $\mathbb{Z}[a_1, a_2, a_3, a_4, a_6]$  is made into a graded ring by assigning weights wt $(a_i) = i$ , then  $w_n \in \mathbb{Z}[a_1, a_2, a_3, a_4, a_6]$  is homogeneous of weight n.

*Proof.* Let  $f(x, y) = y^2 + a_1xy + a_3y - (x^3 + a_2x^2 + a_4x + a_6)$  and let  $v(Z) \in A[[Z]]$  be the unique power series such that v(Z) = f(Z, v(Z)). The existence of v(Z) is shown in [Silverman 2009, Chapter IV, Proposition 1.1], and, moreover, it is also shown that  $v(Z) = Z^3(1 + \sum_{k=1}^{\infty} A_k Z^k) \in \mathbb{Z}[a_1, \dots, a_6][[Z]]$ . When we assign weights wt $(a_i) = i$ , then  $A_n$  is homogeneous of weight n.

Now define x(Z) = Z/v(Z) and y(Z) = -1/v(Z). It follows that the coefficients of  $Z^n$  in  $Z^2x(Z)$ ,  $Z^3\frac{d}{dZ}(x(Z))$ , and  $Z^3y(Z)$  are homogeneous of weight *n*. Since

$$\omega(Z) = \left(\frac{\frac{d}{dZ}(x(Z))}{2y(Z) + a_1 X(Z) + a_3}\right) dZ = \left(\frac{Z^3 \frac{d}{dZ}(x(Z))}{2Z^3 y(Z) + (a_1 Z)(Z^2 x(Z)) + a_3 Z^3}\right) dZ,$$

it follows that  $w_n$ , the coefficient of  $Z^n$  in  $\omega(Z)$ , must be homogeneous of degree n, as claimed.

**Lemma 3.5.** Let E/A be given by a model  $y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$ , with  $a_i \in A$ , with discriminant  $\Delta(E)$  and *j*-invariant j(E), and let  $\omega(Z) = \sum w_n Z^n$ be the normalized invariant differential on  $\hat{E}/A$ . Define the constants  $b_2$ ,  $b_4$ ,  $b_6$ ,  $b_8$ ,  $c_4$ , and  $c_6 \in A$  as usual, such that  $y^2 = x^3 - 27c_4x - 54c_6$  is an alternative model for E/A (which is also minimal as long as  $p \neq 2$  or 3), and such that

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$$\Delta(E) = c_4^3 - c_6^2$$
 and  $j(E) = \frac{c_4^3}{\Delta}$ 

- (1) With the grading wt( $a_k$ ) = k, the constants  $b_{2k}$ ,  $c_4$ ,  $c_6 \in \mathbb{Z}[a_1, a_2, a_3, a_4, a_6]$  have weights 2k, 4 and 6, respectively.
- (2) We have  $w_1^4 \equiv a_1^4 \equiv c_4 \mod 2A$ , and  $w_2^2 \equiv (a_1^2 + a_2)^2 \equiv c_4 \mod 3A$ .

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(3) Let p > 3 and let  $R = \mathbb{Z}[X, Y]$  be a graded ring with wt(X) = 4 and wt(Y) = 6. Then, there is a constant  $u \in A^{\times}$  and a homogeneous polynomial  $P_p(X, Y) \in R$ of degree p - 1 such that  $w_{p-1} \equiv u^{p-1}P_p(c_4, c_6) \mod pA$ .

*Proof.* Part (1) follows by inspection of the formulas that define  $b_2, \ldots, b_8, c_4, c_6$  (see for instance [Silverman 2009, Chapter III.1], but notice that there is a typo in the formula for  $b_2$ : the correct formula is  $b_2 = a_1^2 + 4a_2$ ).

Part (2) follows from the expression of  $\omega(Z)$  in terms of  $a_1, \ldots, a_6$ ,

$$\omega(Z) = (1 + a_1 Z + (a_1^2 + a_2) Z^2 + (a_1^3 + 2a_1 a_2 + 2a_3) Z^3 + \cdots) dZ,$$

together with the fact that from the formulas one can easily check that  $c_4 \equiv b_2^2 \mod 6$ ,  $b_2 = a_1^2 + 4a_2 \equiv a_1^2 \mod 2$ , and  $b_2 \equiv a_1^2 + a_2 \mod 3$ .

To show part (3), let us assume that p > 3. Thus, E/A has a minimal model of the form  $y^2 = x^3 - 27c_4x - 54c_6$ . Let  $\hat{E}'/A$  be the formal group associated to this model, and let  $\omega'(Z) = \sum w'_n Z^n$  be its normalized invariant differential. By Lemma 3.4,  $w_{p-1}$  may be expressed as a homogeneous polynomial in  $\mathbb{Z}[a'_4, a'_6]$ , where  $a'_4 = -27c_4$  and  $a'_6 = -54c_6$ . Hence, there is a polynomial  $P_p \in R = \mathbb{Z}[X, Y]$ such that  $w_{p-1} = P_p(c_4, c_6)$ . Now, if E/A is given by any other minimal model, Proposition 3.1 and Corollary 3.2 combined say that there exists some  $u \in A^{\times}$ such that, as claimed,

$$w_{p-1} \equiv s_p \equiv u^{p-1} s'_p \equiv u^{p-1} w'_{p-1} \equiv u^{p-1} P_p(c_4, c_6) \mod pA.$$

Before we state the next result, we define quantities r(p) and s(p) for each prime p > 3, by

$$r(p) = \begin{cases} 1, & \text{if } p \equiv 5 \text{ or } 11 \mod 12, \\ 0, & \text{if } p \equiv 1 \text{ or } 7 \mod 12, \end{cases} \text{ and } s(p) = \begin{cases} 1, & \text{if } p \equiv 3 \mod 4, \\ 0, & \text{if } p \equiv 1 \mod 4. \end{cases}$$

Equivalently,  $r(p) = \frac{1}{2} \left( 1 - \left( \frac{-3}{p} \right) \right)$  and  $s(p) = \frac{1}{2} \left( 1 - \left( \frac{-4}{p} \right) \right)$ , where  $\left( \frac{\cdot}{p} \right)$  is the Legendre symbol.

**Lemma 3.6.** Let p > 3 be a prime, and let  $R = \mathbb{Z}[X, Y]$  be a graded ring with wt(X) = 4 and wt(Y) = 6. Suppose  $P(X, Y) \in R$  is homogeneous of degree p - 1, and let  $\Delta$  and j be two extra variables such that  $1728\Delta = X^3 - Y^2$  and  $\Delta \cdot j = X^3$ . Then, there is some polynomial  $Q(T) \in \mathbb{Z}[T]$  such that

$$P(X,Y) = X^{r(p)}Y^{s(p)}\Delta^{\frac{p-\alpha}{12}}Q(j),$$

where  $\alpha = 1, 5, 7 \text{ or } 11$ , and such that  $p \equiv \alpha \mod 12$ .

*Proof.* Suppose that p > 3 is a prime with  $p \equiv \alpha \mod 12$ , with  $\alpha = 1, 5, 7$  or 11. Since P(X, Y) is homogeneous of degree p - 1, we can write

$$P(X,Y) = \sum c_{a,b} X^a Y^b$$

such that  $a, b \ge 0$ , 4a + 6b = p - 1, and  $c_{a,b} \in \mathbb{Z}$ . Since  $p \equiv \alpha \mod 12$ , there is some integer  $t \ge 0$  such that  $p = \alpha + 12t$ . In particular,  $4a + 6b = (\alpha - 1) + 12t$ , or  $2a + 3b = (\alpha - 1)/2 + 6t$ . Notice that  $2r(p) + 3s(p) = (\alpha - 1)/2$ . It follows that a, b > 0, and we may write

$$P(X, Y) = \sum c_{a,b} X^{a} Y^{b} = X^{r(p)} Y^{s(p)} \sum c_{a,b} X^{a-r(p)} Y^{b-s(p)}$$

and 2(a - r(p)) + 3(b - s(p)) = 6t. We conclude that  $a - r(p) \equiv 0 \mod 3$ , and  $b - s(p) \equiv 0 \mod 2$ . Let us write a - r(p) = 3f and b - s(p) = 2g, so that

$$P(X, Y) = X^{r(p)} Y^{s(p)} \sum c_{3f+r(p), 2g+s(p)} (X^3)^f (Y^2)^g,$$

where  $f, g \ge 0$  and  $f + g = t = (p - \alpha)/12$ . Put  $d_{f,g} = c_{3f+r(p),2g+s(p)}$ . Then,

$$\begin{split} P(X,Y) &= X^{r(p)} Y^{s(p)} \sum d_{f,g} (X^3)^f (Y^2)^g \\ &= X^{r(p)} Y^{s(p)} \sum d_{f,g} (X^3)^f (X^3 - 1728\Delta)^{\frac{p-\alpha}{12} - f} \\ &= X^{r(p)} Y^{s(p)} \Delta^{\frac{p-\alpha}{12}} \sum d_{f,g} \Big(\frac{X^3}{\Delta}\Big)^f \Big(\frac{X^3 - 1728\Delta}{\Delta}\Big)^{\frac{p-\alpha}{12} - f} \\ &= X^{r(p)} Y^{s(p)} \Delta^{\frac{p-\alpha}{12}} \sum d_{f,g} j^f (j - 1728)^{\frac{p-\alpha}{12} - f}. \end{split}$$

Hence, if we define a polynomial

$$Q(T) = \sum d_{f,g} T^{f} (T - 1728)^{\frac{p-\alpha}{12} - f} \in \mathbb{Z}[T],$$

then  $P(X, Y) = X^{r(p)} Y^{s(p)} \Delta^{\frac{p-\alpha}{12}} Q(j)$ , as desired.

**Definition 3.7.** Let p > 3 be a prime and let  $P_p(X, Y)$  be the polynomial whose existence was shown in Lemma 3.5. We define  $Q_p(T) \in \mathbb{Z}[T]$  as the unique polynomial with integer coefficients such that

$$P_p(X, Y) = X^{r(p)} Y^{s(p)} \Delta^{\frac{p-\alpha}{12}} Q_p(j),$$

where, as usual,  $1728\Delta = X^3 - Y^2$  and  $\Delta \cdot j = X^3$ , and  $\alpha = 1, 5, 7$  or 11 such that  $p \equiv \alpha \mod 12$ .

**Remark 3.8.** Let p > 3. The polynomial  $P_p(c_4, c_6)$  of Lemma 3.5 can be explicitly calculated (mod pA) as follows. Let E/A be given by

$$y^2 + a_1 x y + a_3 y = x^3 + a_2 x^2 + a_4 x + a_6$$

with  $a_i \in A$ , and let  $\omega = dx/(2y + a_1x + a_3)$  be an invariant differential for E/A. Let  $\mathcal{H}(E, \omega)$  be the Hasse invariant (as in Remark 3.3). Then  $w_{p-1} \equiv \mathcal{H}(E, \omega) \mod pA$ . The curve E/A is also given by a minimal model  $E'/A : y^2 = x^3 - 27c_4x - 54c_6$  and it is well known that the Hasse invariant  $\mathcal{H}(E', \omega')$  of a curve given by  $y^2 = f(x)$ 

is congruent to the coefficient of  $x^{p-1}$  in  $f(x)^{(p-1)/2}$  modulo pA; see, for instance, [Silverman 2009, Chapter V, Theorem 4.1(a)]. Thus,

$$P_{p}(c_{4}, c_{6}) \equiv \sum_{\substack{\frac{p-1}{6} \le k \le \frac{p-1}{4}} (-1)^{k} {\binom{\frac{p-1}{2}}{k}} {\binom{k}{3k - \frac{p-1}{2}}} (27c_{4})^{3k - \frac{p-1}{2}} (54c_{6})^{\frac{p-1}{2} - 2k}$$
$$\equiv \sum_{\substack{m,n \ge 0\\4m+6n=p-1}} (-1)^{m+n} {\binom{\frac{p-1}{2}}{m+n}} {\binom{m+n}{m}} (27c_{4})^{m} (54c_{6})^{n} \mod pA.$$

For instance,  $P_5 = -54c_4$ ,  $P_7 = -162c_6$ ,  $P_{11} = 29160c_4c_6$ , and

$$P_{13} = -393660c_4^3 + 43740c_6^2 = \Delta(E)(-349920j(E) - 75582720).$$

Notice these polynomials satisfy the conclusions of Lemma 3.6, with  $Q_5(T) = -54$ ,  $Q_7(T) = -162$ ,  $Q_{11}(T) = 29160$ ,  $Q_{13}(T) = -349920T - 75582720$ .

**Theorem 3.9.** Let E/L be an elliptic curve with potential good supersingular reduction at a prime  $\wp$  above a prime p. Let  $K = K_E$  be the extension of  $L_{\wp}^{nr}$ defined above, let A,  $e = v_K(p)$ , and  $e_1$  be as before, and let  $e(\wp, L)$  be the ramification index of  $\wp$  in  $L/\mathbb{Q}$ . Let  $y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$  be a minimal model for E/A with good reduction, and let  $c_4, c_6 \in A$  be the usual quantities associated to this model.

(1) If p = 2, and  $(v_K(c_4))/4 < e$ , then

$$e_1 = \frac{\nu_K(c_4)}{4} = \frac{\nu_K(j(E))}{12} = \frac{e \cdot \nu_{\wp}(j(E))}{12e(\wp, L)}.$$

(2) If p = 3, and  $(v_K(c_4))/2 < e$ , then

$$e_1 = \frac{\nu_K(c_4)}{2} = \frac{\nu_K(j(E))}{6} = \frac{e \cdot \nu_{\wp}(j(E))}{6e(\wp, L)}$$

(3) If 
$$p > 3$$
, and  $\lambda = r(p)\nu_K(c_4) + s(p)\nu_K(c_6) + \nu_K(Q_p(j(E))) < e$ , then

$$e_{1} = \lambda = r(p)\frac{\nu_{K}(j(E))}{3} + s(p)\frac{\nu_{K}(j(E) - 1728)}{2} + \nu_{K}(Q_{p}(j(E)))$$
$$= \frac{e}{e(\wp, L)} \cdot \left(r(p)\frac{\nu_{\wp}(j(E))}{3} + s(p)\frac{\nu_{\wp}(j(E) - 1728)}{2} + \nu_{\wp}(Q_{p}(j(E)))\right).$$

*Otherwise*,  $e_1 \ge e$ .

*Proof.* Let  $\hat{E}/A$  be the formal group associated to E and let  $[p](Z) = \sum_{i=1}^{\infty} s_i Z^i$  be the multiplication-by-p map on  $\hat{E}$ . By definition,  $e = v_K(p)$  and  $e_1 = v_K(s_p)$ . Moreover, by Proposition 3.1, we know that if  $v_K(w_{p-1}) < e$ , then  $e_1 = v_K(w_{p-1})$  where  $\omega(Z) = (1 + \sum_{i=1}^{\infty} w_i Z^i) dZ$  is the normalized invariant differential for  $\hat{E}$ , and  $e_1 \ge e$  otherwise. Let us assume that  $v_K(w_{p-1}) < e$ . Now we can use Lemma 3.5:

- (1) If p = 2, then  $w_1^4 \equiv c_4 \mod 2A$ . Since we are assuming  $\nu_K(2) = e > \nu_K(w_1)$ , we must have  $4\nu_K(w_1) = \nu_K(w_1^4) = \nu_K(c_4)$ , and it follows that  $e_1 = \nu_K(c_4)/4$ .
- (2) Similarly, if p = 3, then  $w_2^2 \equiv c_4 \mod 3A$ . Hence,  $e_1 = \nu_K(c_4)/2$ .
- (3) Suppose p > 3. Then, there is a constant  $u \in A^{\times}$  and a homogeneous polynomial  $P_p(X, Y) \in R$  of degree p 1 (where wt(X) = 4 and wt(Y) = 6) such that  $w_{p-1} \equiv u^{p-1}P_p(c_4, c_6) \mod pA$ . Let  $\alpha = 1, 5, 7$ , or 11, such that  $p \equiv \alpha \mod 12$ . Then, by Lemma 3.6, there is a polynomial  $Q_p(T) \in \mathbb{Z}[T]$  such that

$$w_{p-1} \equiv u^{p-1} c_4^{r(p)} c_6^{s(p)} \Delta(E)^{\frac{p-\alpha}{12}} Q_p(j(E)) \mod pA.$$

Since E/L has potential good reduction, the *j*-invariant j(E) is integral at  $\wp$  (see [Silverman 2009, Chapter VII, Proposition 5.5]), thus via our fixed embedding  $\iota$ , we have  $j(E) \in A$ . Since  $j(E) \in A \cap L_{\wp}$ , and  $Q_p(T) \in \mathbb{Z}[T]$ , it follows that  $Q_p(j(E)) \in A \cap L_{\wp}$ . Therefore,  $v_K(Q_p(j(E)))$  is a nonnegative multiple of  $e/e(\wp, L)$ . Define  $\lambda$  as in the statement of the theorem, so that  $\lambda$ equals  $v_K(u^{p-1}c_4^{r(p)}c_6^{s(p)}\Delta(E)^{(p-\alpha)/12}Q_p(j(E)))$ . Thus, if  $\lambda < e$ , it follows that  $v_K(w_{p-1}) = \lambda$  and Proposition 3.1 implies that  $e_1 = \lambda$ , as desired.  $\Box$ 

When  $p \equiv 1 \mod 12$ , the quantities r(p) and s(p) vanish simultaneously and we obtain the following simpler formula.

**Corollary 3.10.** Let E/L be an elliptic curve with potential good supersingular reduction at a prime  $\wp$  above a prime  $p \equiv 1 \mod 12$ . Let  $K_E$ , A, e and  $e_1$  be as before, and let  $e(\wp, L)$  be the ramification index of  $\wp$  in  $L/\mathbb{Q}$ . Let  $Q_p(T) \in \mathbb{Z}[T]$  be as in Definition 3.7, and define an integer  $\lambda$  by

$$\lambda = \nu_K(Q_p(j(E))) = \frac{e}{e(\wp, L)} \cdot \nu_{\wp}(Q_p(j(E))).$$

If  $\lambda < e$ , then  $e_1 = \lambda \ge 1$ . Otherwise, if  $\lambda \ge e$ , then  $e_1 \ge e$ . In particular, if  $e(\wp, L) = 1$  or  $v_{\wp}(Q_p(j(E))) = 0$ , then  $e_1 \ge e$ .

The value of  $e/e(\wp, L)$ , and therefore the value of e, can be obtained directly from a model of E/L, thanks to the classification of Néron models. As a reference for the following theorem, the reader can consult [Néron 1964, p. 124–125] or [Serre 1972, §5.6, p. 312], where  $\text{Gal}(K_E/L_{\wp}^{\text{nr}})$  is denoted by  $\Phi_p$ , and therefore  $e/e(\wp, L) = \text{Card}(\Phi_p)$ . Notice, however, that the section we cite of [Serre 1972] restricts its attention to the case  $L = \mathbb{Q}$ .

**Theorem 3.11.** Let p > 3, let E/L be an elliptic curve with potential good reduction, and let  $\Delta_L$  be the discriminant of any model of E defined over L. Let  $K_E$  be the smallest extension of  $L^{\text{nr}}_{\wp}$  such that  $E/K_E$  has good reduction. Then  $e/e(\wp, L) = [K_E : L^{\text{nr}}_{\wp}] = 1, 2, 3, 4, \text{ or } 6$ . Moreover:

•  $e/e(\wp, L) = 2$  if and only if  $v_{\wp}(\Delta_L) \equiv 6 \mod 12$ ,

- $e/e(\wp, L) = 3$  if and only if  $v_{\wp}(\Delta_L) \equiv 4$  or 8 mod 12,
- $e/e(\wp, L) = 4$  if and only if  $v_{\wp}(\Delta_L) \equiv 3$  or 9 mod 12,
- $e/e(\wp, L) = 6$  if and only if  $\nu_{\wp}(\Delta_L) \equiv 2$  or 10 mod 12.

Therefore, our formula for  $e_1$  only depends on the  $\wp$ -adic valuation of j(E), j(E) - 1728, and  $\Delta_L$ .

**Corollary 3.12.** Let p > 3 be a prime and let E/L be an elliptic curve with potentially supersingular good reduction at a prime  $\wp$  above p. Let  $e(\wp, L)$  be the ramification index of  $\wp$  in  $L/\mathbb{Q}$ . Let  $j(E) \in L$  be its j-invariant, let  $\Delta_L$  be the discriminant of a model for E over L, and define an integer  $\lambda$  as follows:

- If  $v_{\wp}(\Delta_L) \equiv 6 \mod 12$ , then  $e/e(\wp, L) = 2$ . Let  $\lambda = \frac{2}{3}r(p)v_{\wp}(j(E)) + s(p)v_{\wp}(j(E) - 1728) + 2v_{\wp}(Q_p(j(E))).$
- If  $v_{\wp}(\Delta_L) \equiv 4 \text{ or } 8 \mod 12$ , then  $e/e(\wp, L) = 3$ . Let

$$\lambda = r(p)v_{\wp}(j(E)) + \frac{3}{2}s(p)v_{\wp}(j(E) - 1728) + 3v_{\wp}(Q_p(j(E))).$$

• If  $v_{\wp}(\Delta_L) \equiv 3 \text{ or } 9 \mod 12$ , then  $e/e(\wp, L) = 4$ . Let

$$\lambda = \frac{4}{3}r(p)v_{\wp}(j(E)) + 2s(p)v_{\wp}(j(E) - 1728) + 4v_{\wp}(Q_p(j(E))).$$

• If  $v_{\wp}(\Delta_L) \equiv 2 \text{ or } 10 \mod 12$ , then  $e/e(\wp, L) = 6$ . Let

$$\lambda = 2r(p)\nu_{\wp}(j(E)) + 3s(p)\nu_{\wp}(j(E) - 1728) + 6\nu_{\wp}(Q_p(j(E))).$$

If  $\lambda < e$ , then  $e_1 = \lambda$ . Otherwise, if  $\lambda \ge e$ , then  $e_1 \ge e$ .

#### 4. More examples

In this section we provide a few examples of usage of the formula for  $e_1$  developed in Theorem 3.9.

**Example 4.1.** Let us return to the curve  $E/\mathbb{Q}$  with label 121c2. In Example 2.1 we showed a minimal model over  $\mathbb{Q}_{11}^{nr}(\sqrt[3]{11})$  and we proved that  $e_1 = 1$ . We can verify the value  $e_1 = 1$  using the formula of Theorem 3.9. Here p = 11, so r(11) = s(11) = 1, and  $L = \mathbb{Q}$ , so  $e(\wp, L) = 1$ . Moreover, for the chosen minimal model we have quantities

$$c_4 = 131\sqrt[3]{11}$$
, and  $c_6 = -4973$ .

Moreover, we saw in Remark 3.8 that  $Q_{11}(T) = 29160 = 2^3 \cdot 3^6 \cdot 5$ . Thus,

$$\lambda = \nu_K(c_4) + \nu_K(c_6) + \nu_K(Q_p(j))$$
  
=  $\nu_K(131\sqrt[3]{11}) + \nu_K(-4973) + \nu_K(29160) = 1 + 0 + 0 = 1.$ 

Since  $\lambda < e = 3$ , we conclude that  $e_1 = \lambda = 1$ . We may also verify this value using the formula in Corollary 3.12. The discriminant of the model for  $E/\mathbb{Q}$  given in Example 2.1 is  $\Delta_{\mathbb{Q}} = -11^8$ ; we have  $j(E) = -11 \cdot 131^3$  and  $j(E) - 1728 = -4973^2$ . Hence,

$$\begin{split} \lambda &= r(p)\nu_p(j(E)) + \frac{3}{2}s(p)\nu_p(j(E) - 1728) + 3\nu_p(\mathcal{Q}_p(j(E))) \\ &= 1 \cdot 1 + \frac{3}{2} \cdot 1 \cdot 0 + 3 \cdot 0 = 1, \end{split}$$

and so  $e_1 = \lambda = 1$ .

**Example 4.2.** Let  $E'/\mathbb{Q}$  be the curve with label 121a1, given by a Weierstrass equation

$$y^2 + xy + y = x^3 + x^2 - 30x - 76.$$

The *j*-invariant of E' is  $j(E') = -11 \cdot 131^3$ , equal to j(E), where *E* is curve 121c2 as in Examples 2.1 and 4.1. Thus, E' is a quadratic twist of *E*. Indeed, E' is the quadratic twist of *E* by -11. In particular, *E* and E' are isomorphic over  $\mathbb{Q}(\sqrt{-11})$ . Since  $K_E = \mathbb{Q}_{11}^{nr}(\sqrt[3]{11})$ , it follows that

$$K_{E'} = \mathbb{Q}_{11}^{\mathrm{nr}}(\sqrt[3]{11}, \sqrt{-11}) = \mathbb{Q}_{11}^{\mathrm{nr}}(\sqrt[6]{-11}).$$

Thus, e = e(E') = 6, while e = e(E) = 3, and  $\nu_{K_{E'}}(\kappa) = 2\nu_{K_E}(\kappa)$  for any  $\kappa \in K_E \subseteq K_{E'}$ . Moreover, since  $K_E \subseteq K_{E'}$ , the minimal model for *E* over  $K_E$ ,

$$y^{2} + \sqrt[3]{11}xy = x^{3} + \sqrt[3]{11^{2}}x^{2} + 3\sqrt[3]{11}x + 2,$$

is also a minimal model for E' over  $K_{E'}$ . It follows that

$$\lambda(E') = \nu_{K_{E'}}(c_4) + \nu_{K_{E'}}(c_6) + \nu_{K_{E'}}(Q_{11}(j))$$
  
=  $2\nu_{K_E}(c_4) + 2\nu_{K_E}(c_6) + 2\nu_{K_E}(Q_{11}(j)) = 2 \cdot 1 + 0 + 0 = 2,$ 

where we have used the fact that  $c_4, c_6 \in K_E$ . Since  $\lambda(E') < e(E') = 6$ , we conclude that  $e_1(E') = 2$ .

Alternatively, we can verify  $e_1(E') = 2$  using the formula of Corollary 3.12. The discriminant of the rational model for  $E'/\mathbb{Q}$  listed above is  $\Delta_{\mathbb{Q}} = -11^2$ . Moreover,  $j(E') = -11 \cdot 131^3$ , and  $j(E') - 1728 = -4973^2$ . Hence

$$\lambda = 2r(p)\nu_p(j) + 3s(p)\nu_p(j-1728) + 6\nu_p(Q_p(j)) = 2 \cdot 1 \cdot 1 + 3 \cdot 1 \cdot 0 + 6 \cdot 0 = 2,$$

and so  $e_1 = \lambda = 2$ .

**Example 4.3.** In Example 2.2 we looked at the elliptic curve  $E/\mathbb{Q}$  with label 27a4, for p = 3, and concluded that  $e_1 = 2$ . The constant  $c_4$  (which we will not write explicitly here due again to its unwieldy form in terms of  $\gamma$ ) for the minimal model we used to compute  $e_1$  has valuation  $v_K(c_4) = 4$ , in agreement with the formula

 $e_1 = v_K(c_4)/2$  given by Theorem 3.9. Alternatively, and much easier to compute,

$$\lambda = \frac{e \cdot \nu_3(j(E))}{6} = \frac{12 \cdot \nu_3(-2^{15} \cdot 3 \cdot 5^3))}{6} = 2.$$

Since  $2 = \lambda < e = 12$ , we conclude that  $e_1 = \lambda = 2$ .

**Example 4.4.** Let  $L = \mathbb{Q}(\sqrt{13})$ , put p = 13 and  $\wp = (\sqrt{13})$ , and let E/L be the elliptic curve with *j*-invariant  $j_0$  as described in Example 2.3. There we found that  $K = L_{\wp}^{\text{nr}}$ . Thus,  $e = e(\wp, L) = 2$ , and we calculated directly that  $e_1 = 1$ . Since  $p \equiv 1 \mod 12$ , we may use Corollary 3.10 to verify that indeed  $e_1 = 1$ . Here  $e(\wp, L) = 2$ , and we know from Remark 3.8 that  $Q_{13}(T) = -349920T - 75582720$ . One can verify (using Sage or Magma) that

$$\nu_{\wp}(Q_{13}(j_0)) = \nu_{\wp}(-349920j_0 - 75582720) = 1.$$

Thus,

$$\lambda = \nu_K(Q_{13}(j(E))) = \frac{e}{e(\wp, L)}\nu_\wp(Q_{13}(j_0)) = \nu_\wp(Q_{13}(j_0)) = 1.$$

Since  $1 = \lambda < 2 = e$ , it follows from Corollary 3.10 that  $e_1 = \lambda = 1$ , as desired.

**Example 4.5.** In this example (see Table 1) we provide the values of *e* and *e*<sub>1</sub>, calculated using our formula, and verified using the multiplication-by-*p* map on the formal group, for all those elliptic curves with potentially supersingular reduction that appear as rational points on modular curves  $X_0(p)$  of genus > 0 (if the curve  $X_0(p)$  has genus 0, then p = 2, 3, 5, 7, or 13, and there are infinitely many rational points given by a 1-parameter family; see [Maier 2009]). These points are well-known, but seem to be spread out across the literature. Our main references are [Birch and Kuyk 1975, pp. 78–80; Mazur 1978; Kenku 1982].

The reader may notice that in Table 1 the difference  $e - e_1$ , and the value  $e_1$ , are always 1 or 2, for all p > 3. In addition, in Example 4.2 we have seen an example of a curve with  $e - e_1 = 6 - 2 = 4$ . A priori, we know that e = 1, 2, 3, 4 or 6 for elliptic curves over  $\mathbb{Q}$  (see [Serre 1972, §5.6, p. 312]), so if we assume  $e_1 < e$ , then  $e_1$  and  $e - e_1$  may take the values 1, 2, 3, 4, or 5. In fact, we will show next that the difference  $e - e_1$  and  $e_1$  may only take the values 1, 2, or 4, when  $L = \mathbb{Q}$  and more generally whenever  $e(\wp, L) = 1$ .

**Corollary 4.6.** Let E/L be an elliptic curve with potentially supersingular reduction at a prime  $\wp$  lying above a prime p > 3, and let e and  $e_1$  be defined as in Section 1. Assume that  $e_1 < e$ , and also assume that  $e(\wp, L) = 1$ . Then  $e_1$  and  $e - e_1$  can only take the values 1, 2, or 4. Moreover,  $j(E) \equiv 0$  or 1728 mod  $\wp$ , and

- (1) If  $j(E) \equiv 0 \mod \wp$ , then e = 3 or 6, and  $e_1 = ek/3$ , where  $k = v_{\wp}(j(E)) = 1$  or 2.
- (2) If  $j(E) \equiv 1728 \mod \wp$ , then e = 2 or 4, and  $e_1 = e/2$ .

<i>j</i> -invariant	р	Cremona label(s)	Good reduction over	е	$e_1$
$-2^{15}  3 \cdot 5^3$	3	27A2, 27A4	L (see caption)	12	2
$-11 \cdot 131^{3}$	11	121C2	$\mathbb{Q}(\sqrt[3]{11})$	3	1
$-2^{15}$		121B1, 121B2	$\mathbb{Q}(\sqrt[4]{11})$	4	2
$-11^{2}$		121C1	$\mathbb{Q}(\sqrt[3]{11})$	3	2
$-17^2  101^3 / 2$	17	14450P1	$\mathbb{Q}(\sqrt[3]{17})$	3	2
$-17 \cdot 373^3 / 2^{17}$		14450P2	$\mathbb{Q}(\sqrt[3]{17})$	3	1
$-2^{15} 3^3$	19	361A1, 361A2	$\mathbb{Q}(\sqrt[4]{19})$	4	2
$-2^{18}  3^3  5^3$	43	1849A1, 1849A2	$\mathbb{Q}(\sqrt[4]{43})$	4	2
$-2^{15} 3^3 5^3 11^3$	67	4489A1, 4489A2	$\mathbb{Q}(\sqrt[4]{67})$	4	2
$-2^{18} 3^3 5^3 23^3 29^3$	163	26569A1, 26569A2	$\mathbb{Q}(\sqrt[4]{163})$	4	2

**Table 1.** *j*-invariants with potentially supersingular reduction in  $X_0(p)$ . In the first row,  $L = \mathbb{Q}(\sqrt[4]{3}, \beta)$ , where  $\beta^3 - 120\beta + 506 = 0$ .

*Proof.* Let p > 3 be a prime, assume that  $e_1 < e$ , let  $K_E$  be the extension of degree e of  $L_{\wp}^{nr}$  defined above, and fix a minimal model of E over  $K_E$  with good supersingular reduction. Let  $\Delta$  be its discriminant, and let  $c_4$  and  $c_6$  be the usual quantities. Let  $\lambda = r(p)v_K(c_4) + s(p)v_K(c_6) + v_K(Q_p(j(E)))$  as in Theorem 3.9. If  $\lambda \ge e$  then  $e_1 \ge e$ , but we have assumed that  $e_1 < e$ , and hence  $e_1 = \lambda$ . Notice that we have assumed  $e(\wp, L) = 1$ . In this case,  $v_K(Q_p(j(E))) = e \cdot v_{\wp}(Q_p(j(E)))$  is a multiple of e. Since  $e_1 = \lambda < e$ , it follows that  $v_K(Q_p(j(E))) = 0$ , and under our assumptions

(4-1) 
$$e_1 = r(p)v_K(c_4) + s(p)v_K(c_6).$$

Since  $v_K(\Delta) = 0$  and  $p \neq 2$ , 3, the equality  $1728\Delta = c_4^3 - c_6^2$  implies that  $v_K(c_4)$  and  $v_K(c_6)$  cannot be simultaneously positive. If both were zero, then our formula (4-1) would say  $1 \le e_1 = 0$ , a contradiction, so one of the valuations must be positive and the other one must vanish.

If  $v_K(c_4) > 0$  and  $v_K(c_6) = 0$ , then  $v_K(j(E)) = v_K(c_4^3/\Delta) = 3v_K(c_4) > 0$ . Since  $j(E) \in L$ , it follows that  $j(E) \equiv 0 \mod \wp$ . In particular,  $v_K(j)$  is a multiple of  $e/e(\wp, L) = e$ , say  $v_K(j) = ek$ , for some  $k \ge 1$ . Theorem 3.9 says that  $e_1 = r(p)v_K(c_4) + s(p)v_K(c_6) = r(p)v_K(c_4)$ . Thus, we must have r(p) = 1 (in particular,  $p \equiv 5 \mod 6$  in this case) and  $e_1 = v_K(c_4)$ , otherwise  $0 = e_1 \ge 1$ , a contradiction. Hence,

$$e_1 = v_K(c_4) = \frac{v_K(j)}{3} = \frac{ek}{3}.$$

Since  $e_1 < e$  by assumption, it follows that  $1 \le k < 3$ . In addition,  $e_1$  is a positive integer, so  $ek \equiv 0 \mod 3$ , hence  $e \equiv 0 \mod 3$ . Finally, e = 1, 2, 3, 4, or 6, so e = 3 or 6 in this case, and  $e_1 = 1, 2,$ or 4, as claimed.

If instead we have  $\nu_K(c_4) = 0$  and  $\nu_K(c_6) > 0$ , we have  $e_1 = \nu_K(c_6)$  (we must have  $p \equiv 3 \mod 4$  in this case). The equality  $c_6^2 = \Delta \cdot (j(E) - 1728)$  implies that

$$e_1 = v_K(c_6) = \frac{v_K(j - 1728)}{2} > 0.$$

It follows that  $j \equiv 1728 \mod \wp$  and  $\nu_K(j - 1728) = eh$  for some  $h \ge 1$ . Since  $e_1 < e$ , we have h < 2 so h = 1, and since  $e_1$  is an integer, we have  $e \equiv 0 \mod 2$ . Thus, e = 2, 4, or 6, and therefore,  $e_1 = 1$ , 2, or 3. However, we shall show next that  $j \equiv 1728 \mod \wp$  and e = 6 is not possible. Thus,  $e_1 = 1$ , or 2, and the proof of the corollary would be finished.

Indeed, suppose  $j \equiv 1728 \mod \wp$  and e = 6. Let  $\Delta_L$ ,  $c_{4,L}$  and  $c_{6,L}$  be the discriminant and the usual constants associated to the original model of E over L. By the work of Néron on minimal models (Theorem 3.11), the degree e = 6 if and only if  $v_{\wp}(\Delta_L) \equiv 2$  or 10 mod 12. Since  $\Delta_L \cdot j(E) = (c_{4,L})^3$ , and  $j \equiv 1728 \mod \wp$ , with p > 3, it follows that  $v_{\wp}(\Delta_L) = 3v_{\wp}(c_{4,L})$  and therefore  $v_{\wp}(\Delta_L) \equiv 0 \mod 3$ , and we cannot have  $v_{\wp}(\Delta_L) \equiv 2$  or 10 mod 12. This is a contradiction, and therefore e = 6 and  $j \equiv 1728 \mod \wp$  are incompatible. This ends the proof of the corollary.  $\Box$ 

**Corollary 4.7.** Under the notation and assumptions of Corollary 4.6, if p > 3 and  $e_1 < e$ , then  $e_1 \le 2e/3$ . In particular,  $pe/(p+1) > e_1$ .

*Proof.* Let  $p \ge 5$  and  $e_1 < e$ . It follows from Corollary 4.6 that, in all cases, we have  $e_1 = e/3$ , or  $e_1 = 2e/3$  or  $e_1 = e/2$ . Thus,  $e_1 \le 2e/3$ . In particular,

$$\frac{pe}{p+1} \ge \frac{5e}{6} > \frac{2e}{3} \ge e_1.$$

#### 5. Torsion points

**Lemma 5.1** (Serre). Let E/L be an elliptic curve with potential good supersingular reduction at a prime  $\wp$  above p. Let  $K = K_E$  be the smallest extension of  $L_{\wp}^{nr}$  such that E/K has good (supersingular) reduction at  $\wp$ , and let  $e = v_K(p)$  be its ramification index. Let A,  $e_1 = v(s_p)$  and  $\pi$  be as above, so that  $[p](Z) = pf(Z) + \pi^{e_1}g(Z^p) + h(Z^{p^2})$ , where f(Z), g(Z) and h(Z) are power series in  $Z \cdot A[[Z]]$ , with  $f'(0) = g'(0) = h'(0) \in A^{\times}$ .

- (1) If  $pe/(p+1) \le e_1$ , then [p](Z) = 0 has  $p^2 1$  roots of valuation  $e/(p^2 1)$ .
- (2) If  $pe/(p+1) > e_1$ , then [p](Z) = 0 has p-1 roots of valuation  $(e-e_1)/(p-1)$ and  $p^2 - p$  roots with valuation  $e_1/(p(p-1))$ .

*Proof.* This is shown in [Serre 1972, §1.10, pp. 271–272]. If  $pe/(p+1) < e_1$ , the Newton polygon for [p](Z) has only one segment and if  $pe/(p+1) \ge e_1$ , then the polygon has two segments (see Remark 2.4).

**Theorem 5.2.** Let E/L be an elliptic curve with potential good supersingular reduction at a prime  $\wp$  above a prime p > 3, and let e and  $e_1$  be defined as above. Let  $P \in E[p]$  be a nontrivial p-torsion point.

- (1) Suppose  $e_1 \ge pe/(p+1)$ . Then the ramification index of any prime over  $\wp$  in the extension L(P)/L is divisible by  $(p^2-1)/\gcd(p^2-1, e)$ .
- (2) *Suppose*  $e_1 < pe/(p+1)$ .
  - There are  $p^2 p$  points P in E[p] such that the ramification index of a prime above  $\wp$  in L(P)/L is divisible by  $(p-1)p/gcd(p(p-1), e_1)$ .
  - There are p-1 points P in E[p] such that the ramification index of any prime above  $\wp$  in L(P)/L is divisible by  $(p-1)/gcd(p-1, e-e_1)$ .

In particular, if  $e(\wp, L) = 1$  and  $e_1 < e$ , then  $e_1 < pe/(p+1)$  and the ramification index of any prime over  $\wp$  in L(P)/L is divisible by (p-1)/gcd(p-1, 4).

*Proof.* Let E/L be an elliptic curve with potentially supersingular reduction at  $\wp$  above p > 3, and let  $P \in E(\overline{L})[p]$  be a point of exact order p. Let  $\iota : \overline{L} \hookrightarrow \overline{L}_{\wp}$  be a fixed embedding. Let F = L(P) and let  $\mathfrak{P}$  be the prime of F above  $\wp$  associated to the embedding  $\iota$ . Let K be the smallest extension of  $L_{\wp}^{\mathrm{nr}}$  such that E/K has good (supersingular) reduction at  $\wp$ . Choose a model E'/K with good reduction and isomorphic to E over K, and let  $T \in E'(K)[p]$  be the point that corresponds to  $\iota(P)$  on  $E(\overline{L}_{\wp})$ . Suppose that the degree of the extension K(T)/K is g. Since  $K/L_{\wp}^{\mathrm{nr}}$  is of degree  $e/e(\wp, L)$ , it follows that the degree of  $K(T)/L_{\wp}^{\mathrm{nr}}$  is  $eg/e(\wp, L)$ .

Let  $\mathscr{F} = \iota(F) \subseteq \overline{L}_{\wp}$ . Since *E* and *E'* are isomorphic over *K*, it follows that  $K(T) = K\mathscr{F}$  and, therefore, the degree of the extension  $K\mathscr{F}/L_{\wp}^{nr}$  is  $eg/e(\wp, L)$ . Since  $K/L_{\wp}^{nr}$  is Galois (see Section 1),  $g = [K(T) : K] = [\mathscr{F}L_{\wp}^{nr} : K \cap \mathscr{F}L_{\wp}^{nr}]$ , so the degree of  $[\mathscr{F}L_{\wp}^{nr} : L_{\wp}^{nr}]$  equals  $g \cdot k$  where  $k = [K \cap \mathscr{F}L_{\wp}^{nr} : L_{\wp}^{nr}]$ . Hence, the degree of  $\mathscr{F}/L_{\wp}$  is divisible by gk and, in particular, the ramification index of the prime ideal  $\mathfrak{P}$  over  $\wp$  in the extension L(P)/L is divisible by gk, where g = [K(T) : K]. Thus, we just need to show that [K(T) : K] satisfies the divisibility properties that are claimed in the statement of the theorem.

Let  $T \in E'[p]$  be an arbitrary point on  $E'(\overline{K})$  of exact order p, and write t for the corresponding torsion point in the formal group, that is,  $t = -x(T)/y(T) \in \hat{E}'(\mathcal{M}_p)$ .

- (1) Let us first assume that  $e_1 \ge pe/(p+1)$ . By Lemma 5.1, the valuation of  $t \in \hat{E}'[p]$  is  $e/(p^2-1)$ . Hence, the ramification index in the extension K(T)/K is divisible by the quantity  $(p^2-1)/\gcd(p^2-1, e)$ , as claimed.
- (2) Now let us suppose that  $e_1 < pe/(p+1)$ . By Lemma 5.1, there are p-1 points in  $\hat{E}'[p]$  with valuation  $(e-e_1)/(p-1)$  and  $p^2-p$  points with valuation

 $e_1/(p(p-1))$ , respectively. Thus, the ramification index of K(T)/K is divisible by  $(p-1)/\text{gcd}(p-1, e-e_1)$  or  $p(p-1)/\text{gcd}(p(p-1), e_1)$ , respectively.

Finally, suppose that  $e(\wp, L) = 1$  and  $e_1 < e$ . Then, Corollary 4.7 shows that  $pe/(p+1) > e_1$ . Moreover, we showed in Corollary 4.6 that, when p > 3 and  $e_1 < e$ , the numbers  $e_1$  and  $e-e_1$  can only take the values 1, 2, or 4. Thus, the ramification index in K(T)/K is divisible by at least (p-1)/gcd(p-1, 4), as claimed. This concludes the proof of the theorem.

**Example 5.3.** Let  $E/\mathbb{Q}$  be the elliptic curve with Cremona label "121c2", which we already studied in Examples 2.1 and 4.1, and we calculated e = 3 and  $e_1 = 1$ . Hence, if *P* is any nontrivial 11-torsion point on  $E(\overline{\mathbb{Q}})$ , then the ramification of any prime above p = 11 in the extension  $\mathbb{Q}(P)/\mathbb{Q}$  must be divisible by, at least,  $(p-1)/\gcd(p-1, 4) = 10/2 = 5$ . Let us show that there is a 11-torsion point where the ramification index is exactly 5.

Indeed, let  $F = \mathbb{Q}(\zeta)$ , where  $\zeta = \zeta_{11}$  is a primitive 11-th root of unity. Then,  $E(F)_{\text{tors}} \cong \mathbb{Z}/11\mathbb{Z}$  and there is a point  $P \in E(F)$  of order 11 with coordinates

$$\begin{aligned} x(P) &= 11\zeta^9 + 11\zeta^8 + 22\zeta^7 + 22\zeta^6 + 22\zeta^5 + 22\zeta^4 + 11\zeta^3 + 11\zeta^2 + 39, \\ y(P) &= 44\zeta^9 - 55\zeta^8 - 66\zeta^7 - 99\zeta^6 - 99\zeta^5 - 66\zeta^4 - 55\zeta^3 + 44\zeta^2 + 85. \end{aligned}$$

Notice, however, that x(P) and y(P) are stable under complex conjugation. Hence,  $P \in E(\mathbb{Q}(\zeta)^+)$ , and in fact  $\mathbb{Q}(P) = \mathbb{Q}(x(P), y(P)) = \mathbb{Q}(\zeta)^+ = \mathbb{Q}(\zeta + \zeta^{-1})$ . Thus,  $\mathbb{Q}(P)/\mathbb{Q}$  is totally ramified at 11 and the ramification index is 5.

Corollary 3.10 implies that if  $p \equiv 1 \mod 12$ , and  $e(\wp, L) = 1$ , then  $e_1 \ge e$ . When we combine this with Theorem 5.2 we obtain:

**Corollary 5.4.** Let E/L be an elliptic curve with potential good supersingular reduction at a prime  $\wp$  above a rational prime  $p \equiv 1 \mod 12$ , let e be as above, and suppose  $e(\wp, L) = 1$ . Let  $P \in E[p]$  be a nontrivial p-torsion point. Then the ramification index of any prime over  $\wp$  in L(P)/L is divisible by  $(p^2 - 1)/\text{gcd}(p^2 - 1, e)$ .

However, the conclusion of the previous corollary is not valid when  $e(\wp, L) > 1$ .

**Example 5.5.** Let  $L = \mathbb{Q}(\sqrt{13})$ , and let E/L be the elliptic curve with *j*-invariant  $j_0$  as described in Example 2.3 and 4.4. There is a point  $P \in E(\overline{L})$  such that L(P) is given by  $L(\alpha)$ , where  $\alpha$  is a root of a polynomial  $q(x) \in L[x] = \mathbb{Q}(j_0)[x]$ ,

$$q(x) = x^{12} + \frac{34960589j_0 - 281342663307000000}{478224}x^{10} + \cdots$$

of degree 12, and such that L(P)/L is totally ramified above  $\wp$ . Recall that we have calculated e = 2 and  $e_1 = 1$  for this curve, so the ramification in this extension agrees with the conclusion of Theorem 5.2 which predicts the existence of 12 points in E[p] such that the ramification index of any prime above  $\wp$  in L(P)/L is divisible by  $12/\text{gcd}(12, e - e_1) = 12/\text{gcd}(12, 2 - 1) = 12$ .

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