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In memory of Robert Steinberg

Let *K* be a global field of characteristic not 2. The embedding problem for maximal tori in a classical group *G* can be described in terms of algebras with involution. The aim of this paper is to give an explicit description of the obstruction group to the Hasse principle in terms of ramification properties of certain commutative étale algebras, and to show that this group is isomorphic to one previously defined by the second author. This builds on our previous work as well as on results of Borovoi. In particular, we show that this explicit obstruction group can be identified with the group of Borovoi (*J. Reine Angew. Math.* 473 (1996), 181–194), where *X* is the homogeneous space associated to the embedding functor defined by the second author (*Comment. Math. Helv.* 89 (2014), 671–717).

Introduction

Let *K* be a field of characteristic $\neq 2$, and let *G* be a reductive linear algebraic group defined over *K*. The paper [Lee 2014] is concerned with embeddings of maximal tori into *G*. In particular, if *K* is a global field, then results of Borovoi [1999] are used to show that the Brauer–Manin obstruction is the only obstruction to the Hasse principle. More precisely, the paper [Lee 2014] defines a homogeneous space *X* over *G* having the property that the obstruction to the Hasse principle can be seen as an element of the dual of the group $\mathbb{B}(X)$, where $\mathbb{B}(X)$ is the locally trivial subgroup of the algebraic Brauer group of *X* (see [Borovoi 1999, p. 493, p. 499]).

If G is a classical group, then the above-mentioned embedding problem can be described in terms of embeddings of algebras with involution. The aim of the present paper is to give an explicit description of the obstruction group B(X) in terms of ramification properties of certain commutative étale algebras.

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We are not aware of similar descriptions in the case of exceptional groups. Note however that when *G* is of type G_2 , the group $\mathbb{B}(X)$ vanishes; in particular, the Hasse principle holds (see [Beli et al. 2015, Proposition 6.1]).

The paper is structured as follows. In Section 1, we recall from [Lee 2014] the definition of the oriented embedding functor, and we discuss its relationship with embedding questions of algebras with involution. In Sections 2–5, we assume moreover that *K* is a global field. In these sections we give the description of the obstruction group \mathbb{B} , and prove that $\mathbb{B} \simeq \mathbb{B}(X)$ (see Theorem 2.1). Finally, Section 6 discusses Brauer–Manin obstructions to the Hasse principle, and the relationship of the results of the present paper with those of [Bayer-Fluckiger et al. 2014].

1. Embedding functor, algebras with involution and orientation

1.1. *The embedding functor.* Let *K* be a field of characteristic $\neq 2$, let K_s be a separable closure of *K*, and let $\Gamma_K = \text{Gal}(K_s/K)$. Let *G* be a reductive group over *K*. Let *T* be a torus and let Ψ be a root datum attached to *T* (see [Demazure and Grothendieck 2011, Exposé XXI, Definition 1.1.1]). For a maximal torus *T'* in *G*, we let $\Phi(G, T')$ be the root datum of *G* with respect to *T'*. If $\Phi(G, T')_{K_s}$ and Ψ_{K_s} are isomorphic, then we say that *G* and Ψ have the same type.

Assume that *G* and Ψ have the same type. Let $\underline{\text{Isom}}(\Psi, \Phi(G, T'))$ be the scheme of isomorphisms between the root data Ψ and $\Phi(G, T')$. Define

$$\underline{\text{Isomext}}(\Psi, \Phi(G, T')) = \underline{\text{Isom}}(\Psi, \Phi(G, T')) / W(\Psi),$$

where $W(\Psi)$ is the Weyl group of Ψ . The scheme $\underline{\text{Isomext}}(\Psi, \Phi(G, T'))$ is independent of the choice of the maximal torus T', and we denote it by $\underline{\text{Isomext}}(\Psi, G)$. An *orientation* is by definition an element of $\underline{\text{Isomext}}(\Psi, G)(K)$.

The *embedding functor* $E(G, \Psi)$ is defined as follows: for any *K*-algebra *C*, let $E(G, \Psi)(C)$ be the set of embeddings $f : T_C \to G_C$ such that f is both a closed immersion and a group homomorphism which induces an isomorphism $f^{\Psi} : \Psi_C \xrightarrow{\sim} \Phi(G_C, f(T_C))$ such that $f^{\Psi}(\alpha) = \alpha \circ f^{-1}|_{f(T_C)}$ for all the *C'*-roots α in $\Psi_{C'}$ for each *C*-algebra *C'* (see [Lee 2014, §2.1]). Given an orientation ν in Isomext(Ψ, G)(*K*), we define the *oriented embedding functor* as follows (see [Lee 2014, §2.2]): for any *K*-algebra *C*, set

$$E(G, \Psi, \nu)(C) = \{ f : T_C \hookrightarrow G_C \mid f \in E(G, \Psi)(C) \text{ and}$$

the image of f^{Ψ} in $\underline{\text{Isomext}}(\Psi, G)(C)$ is $\nu \}.$

The oriented embedding functor is a homogeneous space under the adjoint action of G. For each root datum Ψ , we can associate a simply connected root datum sc(Ψ) to it (see [Demazure and Grothendieck 2011, Exposé XXI, §6.5.5 (iii)]). Let sc(T) be the torus associated to sc(Ψ). **1.2.** Algebras with involution and the embedding functor. Let *L* be a field of characteristic $\neq 2$, and let *A* be a central simple algebra over *L*. Let τ be an involution of *A*, and let *K* be the fixed field of τ in *L*. Recall that τ is said to be of the first kind if K = L and of the second kind if $K \neq L$; in this case, *L* is a quadratic extension of *K*. Let dim_{*L*}(*A*) = n^2 . Let *E* be a commutative étale algebra of rank *n* over *L*, and let $\sigma : E \rightarrow E$ be a *K*-linear involution such that $\sigma | L = \tau | L$. An embedding of (E, σ) in (A, τ) is by definition an injective homomorphism $f : E \rightarrow A$ such that $\tau(f(e)) = f(\sigma(e))$ for all $e \in E$.

The unitary groups $U(A, \tau)$ and $U(E, \sigma)$ are defined as follows. For any commutative *K*-algebra *C*, set

$$U(A, \tau)(C) = \{x \in A \otimes_K C \mid x\tau(x) = 1\}$$

and

$$U(E, \sigma)(C) = \{x \in E \otimes_K C \mid x\sigma(x) = 1\}.$$

Let $G = U(A, \tau)^{\circ}$ be the connected component of $U(A, \tau)$ containing the neutral element, and let $T = U(E, \sigma)^{\circ}$ be the connected component of $U(E, \sigma)$ containing the neutral element.

Set $F = \{e \in E \mid \sigma(e) = e\}$. If $L \neq K$, then we have $\dim_K(F) = n$ (see for instance [Prasad and Rapinchuk 2010, Proposition 2.1]). If L = K, then let us assume that $\dim_K(F) = [(n+1)/2]$.

Then one can associate a root datum Ψ to the torus *T* such that *G* is of type Ψ (see [Lee 2014, §2.3.1]). Moreover, except for *A* of degree 2 with τ orthogonal, there exists a *K*-embedding from (E, σ) to (A, τ) if and only if there exists an orientation ν such that $E(G, \Psi, \nu)(K)$ is nonempty (see [Lee 2014, Theorem 2.15 and Proposition 2.17]).

1.3. Orientations in terms of algebras. Let (E, σ) and (A, τ) be as above. Assume moreover that (A, τ) is orthogonal, and that the degree of A is even. Let $\Delta(E)$ be the discriminant of the étale algebra E (see [Knus et al. 1998, Chapter V, §18, p. 290]), and let $Z(A, \tau)$ be the center of the Clifford algebra of (A, τ) (see [Knus et al. 1998, Chapter II (8.7)]). Then an orientation can be thought of as the choice of an isomorphism $\Delta(E) \rightarrow Z(A, \tau)$. More precisely:

Proposition 1.3.1. We have an isomorphism

 $\underline{\text{Isom}}(\Delta(E), Z(A, \tau)) \simeq \underline{\text{Isomext}}(\Psi, G).$

Proof. Let E_{τ} be a maximal τ -invariant étale subalgebra of A. Let $T_{\tau} = U(E_{\tau}, \tau)^{\circ}$; then T_{τ} is a maximal torus of G. Let $\Phi(G, T_{\tau})$ be the root datum of G with respect to T_{τ} . Then we have a natural map $\alpha : \underline{\text{Isom}}((E, \sigma), (E_{\tau}, \tau)) \to \underline{\text{Isom}}(\Psi, \Phi(G, T_{\tau}))$. Using the identification of $\underline{\text{Aut}}(E, \sigma)$ and $\underline{\text{Aut}}(\Psi)$, we see that α is equivariant under the action of $\text{Aut}(E, \sigma)$. Let Γ_0 be the subgroup of $\text{Aut}(E, \sigma)$ corresponding to the Weyl group of Ψ under this identification. Note that Γ_0 is the twisted constant scheme which consists of even permutations in $\underline{\operatorname{Aut}}(E, \sigma) \subset \underline{\operatorname{Aut}}(E)$. Indeed, by [Lee 2014, Lemma 2.1.1 (2)] the automorphisms of (E, σ) are in bijection with those of the root datum Ψ . By [Bourbaki 1981, Planche IV, numéro X], these consist of even permutations. Let us consider the following commutative diagram:

Recall that $\underline{\text{Isom}}(\Psi, \Phi(G, T_{\tau}))/W(\Psi) = \underline{\text{Isomext}}(\Psi, \Phi(G, T_{\tau}))$, and note that we have $\underline{\text{Isom}}((E, \sigma), (E_{\tau}, \tau))/\Gamma_0 \simeq \underline{\text{Isom}}(\Delta(E), \Delta(E_{\tau}))$.

If we pick another maximal étale subalgebra E'_{τ} of A invariant by τ , then the method used for <u>Isomext</u>(Ψ, Ψ_{τ}) in [Lee 2014, §2.2.1] shows that we have a canonical isomorphism between Isom($\Delta(E), \Delta(E'_{\tau})$) and Isom($\Delta(E), \Delta(E_{\tau})$).

Let us fix an isomorphism $\Delta(E_{\tau}) \rightarrow Z(A, \tau)$ as in [Bayer-Fluckiger et al. 2014, §2.3]. This gives an isomorphism $\underline{\text{Isom}}(\Delta(E), \Delta(E_{\tau})) \rightarrow \underline{\text{Isom}}(\Delta(E), Z(A, \tau))$. Hence, we have

Isom
$$(\Delta(E), Z(A, \tau)) \simeq$$
 Isomext $(\Psi, \Phi(G, T_{\tau})) =$ Isomext (Ψ, G) .

See [Bayer-Fluckiger et al. 2014, §2] for details concerning the construction and properties of orientation in terms of algebras with involution.

2. Obstruction groups

Assume now that *K* is a global field, let (E, σ) , (A, τ) be as in Section 1, and suppose that τ is either orthogonal or unitary. Note that L = K in the first case, and $L \neq K$ in the second case. The aim of this section and the following ones is to recall the definition of the obstruction group to the Hasse principle defined in [Bayer-Fluckiger et al. 2014, §3, §5.1], and show that it is isomorphic to the obstruction group of [Lee 2014] (see Proposition 2.2), as well as to the one considered by Borovoi [1996; 1999] (see Theorem 2.1). As we will see, the group $\mathbb{E}(E, \sigma)$ is defined in terms of ramification properties of the algebra (E, σ) .

Let us denote by Ω_K the set of places of *K*. For all $v \in \Omega_K$, we denote by K_v the completion of *K* at *v*. For all *K*-algebras *B*, set $B^v = B \otimes_K K_v$.

The commutative étale algebra *E* is by definition a product of separable field extensions of *L*. Let us write $E = E_1 \times \cdots \times E_m$, with $\sigma(E_i) = E_i$ for all $i = 1, \ldots, m$, and such that E_i is either a field stable by σ or a product of two fields exchanged by σ . Recall that $F = E^{\sigma}$.

Set $I = \{1, ..., m\}$. We have $F = F_1 \times \cdots \times F_m$, where F_i is the fixed field of σ in E_i for all $i \in I$. Note that either $E_i = F_i = K$ or $E_i = F_i \times F_i$ or E_i is a quadratic field extension of F_i .

Let us write

$$E = E_1 \times \cdots \times E_{m_1} \times E_{m_1+1} \times \cdots \times E_m,$$

where E_i/F_i is a quadratic extension for all $i = 1, ..., m_1$ and where $E_i = F_i \times F_i$ or $E_i = K$ if $i = m_1 + 1, ..., m$. Set $E' = E_1 \times \cdots \times E_{m_1}$ and $I' = \{1, ..., m_1\}$. If $i \in I'$, let Σ_i be the set of places $v \in \Omega_K$ such that all the places of F_i over v split in E_i . Given an m_1 -tuple $x = (x_1, ..., x_{m_1}) \in (\mathbb{Z}/2\mathbb{Z})^{m_1}$, set

$$I_0 = I_0(x) = \{i \mid x_i = 0\}, \quad I_1 = I_1(x) = \{i \mid x_i = 1\}.$$

Note that (I_0, I_1) is a partition of I'. Let S' be the set

$$S' = \{(x_1, \ldots, x_m) \in (\mathbb{Z}/2\mathbb{Z})^{m_1} \mid \left(\bigcap_{i \in I_0} \Sigma_i\right) \cup \left(\bigcap_{j \in I_1} \Sigma_j\right) = \Omega_K\},\$$

and set

$$S = S' \cup (0, ..., 0) \cup (1, ..., 1)$$

We define an equivalence relation on S by

$$(x_1, \ldots, x_{m_1}) \sim (x'_1, \ldots, x'_{m_1})$$
 if $(x_1, \ldots, x_{m_1}) + (x'_1, \ldots, x'_{m_1}) = (1, \ldots, 1)$
or $(x_1, \ldots, x_{m_1}) = (x'_1, \ldots, x'_{m_1}).$

Let us denote by $\mathbb{B}(E, \sigma)$ the set of equivalence classes of *S* under the above equivalence relation. It is easy to check that $\mathbb{B}(E, \sigma)$ is a group under componentwise addition (see [Bayer-Fluckiger et al. 2014, Lemma 3.1.1]). Note that in [Bayer-Fluckiger et al. 2014], the group $\mathbb{B}(E, \sigma)$ is denoted by $\mathrm{III}(E', \sigma)$ (see [Bayer-Fluckiger et al. 2014, §3, §5.1]).

Set $X = E(G, \Psi, u)$. Recall that we are assuming that τ is either orthogonal (and L = K) or unitary (and $L \neq K$). The group $\mathbb{B}(X)$ is defined in [Borovoi 1999, §3].

Theorem 2.1. The groups $B(E, \sigma)$ and B(X) are isomorphic.

This theorem is a consequence of Propositions 2.2 and 2.3 below.

Proposition 2.2. The groups $\operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$ and $\operatorname{E}(E, \sigma)$ are isomorphic.

Proposition 2.3. The groups $\coprod^1(K, \operatorname{sc}(\hat{T}))$ and $\operatorname{E}(X)$ are isomorphic.

The proofs of these propositions will be given in the next sections. Let us start by introducing some notation that will be used in both proofs. For any finite separable field extension \mathbb{N}/\mathbb{N}' and any discrete $\Gamma_{\mathbb{N}}$ -module M, set $I_{\mathbb{N}/\mathbb{N}'}(M) = \operatorname{Ind}_{\Gamma_{\mathbb{N}}'}^{\Gamma_{\mathbb{N}}'}(M)$. Note that $I_{\mathbb{N}/\mathbb{N}'}(\mathbb{Z})$ is the character group of $\mathbb{R}_{\mathbb{N}/\mathbb{N}'}(\mathbb{G}_m)$. Let $\hat{S}_{\mathbb{N}/\mathbb{N}'}$ be the character group of the norm-one torus $\mathbb{R}_{\mathbb{N}/\mathbb{N}'}^{(1)}(\mathbb{G}_m)$.

3. Proof of Proposition 2.2 when L = K and τ is orthogonal

We keep the notation of the previous sections, and assume that L = K and that τ is orthogonal. The aim of this section is to prove Proposition 2.2 in this case. The proof of Proposition 2.2 when $L \neq K$ is the subject matter of Section 4.

Let us consider the diagram

where the first row (see [Lee 2014, Lemma 3.16]) and the columns are exact. Then consider the corresponding diagram of character groups:



Note that we have $I_{E/K}(\mathbb{Z}) = \bigoplus_{i=1}^{m} I_{E_i/K}(\mathbb{Z})$ and $I_{F/K}(\mathbb{Z}) = \bigoplus_{i=1}^{m} I_{F_i/K}(\mathbb{Z})$. The module $I_{E_i/K}(\mathbb{Z})$ can also be written as $I_{F_i/K}(I_{E_i/F_i}(\mathbb{Z}))$. Let *d* be the degree map from $I_{E_i/F_i}(\mathbb{Z}) \simeq \mathbb{Z} \oplus \mathbb{Z}$ to \mathbb{Z} , which sends (x, y) to x + y. Then on each $I_{F_i/K}(I_{E_i/F_i}(\mathbb{Z}))$, the map π is the map induced by the degree map from $I_{E_i/F_i}(\mathbb{Z})$ to \mathbb{Z} .

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Set $\Gamma = \Gamma_K$. We derive the following long exact sequence from diagram (2):

$$0 \to \operatorname{sc}(\hat{T})^{\Gamma} \to (\hat{S}_{E/K})^{\Gamma} \xrightarrow{\pi} (\hat{S}_{F/K})^{\Gamma} \to \operatorname{H}^{1}(K, \operatorname{sc}(\hat{T})) \to \operatorname{H}^{1}(K, \hat{S}_{E/K}).$$

Thus we have the exact sequence

$$0 \to (\hat{\mathbf{S}}_{F/K})^{\Gamma} / \pi((\hat{\mathbf{S}}_{E/K})^{\Gamma}) \xrightarrow{\delta} \mathrm{H}^{1}(K, \mathrm{sc}(\hat{T})) \to \mathrm{H}^{1}(K, \hat{\mathbf{S}}_{E/K}).$$

Note that $H^2(K, \mathbb{R}^{(1)}_{E/K}(\mathbb{G}_m))$ injects into $H^2(K, \mathbb{R}_{E/K}(\mathbb{G}_m))$ by Hilbert's Theorem 90. By the Brauer–Hasse–Noether Theorem, $\mathrm{III}^2(K, \mathbb{R}_{E/K}(\mathbb{G}_m))$ vanishes, hence so does $\mathrm{III}^2(K, \mathbb{R}^{(1)}_{E/K}(\mathbb{G}_m))$. By Poitou–Tate duality, we have

$$\operatorname{III}^{1}(K, \widehat{S}_{E/K}) \simeq \operatorname{III}^{2}(K, \mathsf{R}_{E/K}^{(1)}(\mathbb{G}_{m}))^{*} = 0.$$

Therefore, $\operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$ is in the image of $(\hat{S}_{F/K})^{\Gamma}/\pi((\hat{S}_{E/K})^{\Gamma})$.

Since the F_i s are field extensions of K, we have $I_{F_i/K}(\mathbb{Z})^{\Gamma} \simeq \mathbb{Z}$. Thus, we have $I_{F/K}(\mathbb{Z})^{\Gamma} \simeq \bigoplus_{i=1}^{m} I_{F_i/K}(\mathbb{Z})^{\Gamma} \simeq \mathbb{Z}^m$, and $(\hat{S}_{F/K})^{\Gamma} \simeq \mathbb{Z}^m/(1, ..., 1)$.

If $E_i = F_i \times F_i$, then π sends $I_{E_i/K}(\mathbb{Z})^{\Gamma} \simeq I_{F_i/K}(\mathbb{Z})^{\Gamma} \times I_{F_i/K}(\mathbb{Z})^{\Gamma}$ surjectively onto $I_{F_i/K}(\mathbb{Z})^{\Gamma} \simeq \mathbb{Z}$. If $E_i = K$, then $I_{E_i/K}(\mathbb{Z}) \simeq \mathbb{Z} \simeq I_{F_i/K}(\mathbb{Z})$. If E_i is a quadratic field extension of F_i , the map π sends $I_{E_i/K}(\mathbb{Z})^{\Gamma} \simeq \mathbb{Z}$ to $I_{F_i/K}(\mathbb{Z})^{\Gamma} \simeq \mathbb{Z}$ by multiplication by 2. Recall that $m = m_1 + m_2$, where m_1 is the number of indices *i* such that E_i is a quadratic field extension of F_i , and m_2 is the number of indices *i* such that either $E_i = F_i \times F_i$ or $E_i = K$. Then we have

$$(\hat{\mathbf{S}}_{F/K})^{\Gamma}/\pi((\hat{\mathbf{S}}_{E/K})^{\Gamma}) \simeq (\mathbb{Z}/2\mathbb{Z})^{m_1}/(1,\ldots,1).$$

We claim that the map $\delta : (\hat{\mathbf{S}}_{F/K})^{\Gamma} / \pi((\hat{\mathbf{S}}_{E/K})^{\Gamma}) \to \mathrm{H}^1(K, \mathrm{sc}(\hat{T}))$ sends bijectively $\mathbb{B}(E, \sigma)$ to $\mathrm{III}^1(K, \mathrm{sc}(\hat{T}))$.

Let $(I_0, I_1) \in \mathbb{B}(E, \sigma)$, let *a* be the corresponding element in

$$(\hat{\mathbf{S}}_{F/K})^{\Gamma}/\pi((\hat{\mathbf{S}}_{E/K})^{\Gamma})$$

and let x be the image of a in $H^1(K, \operatorname{sc}(\hat{T}))$. We claim that x is in $\operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$. It suffices to prove that, for any $v \in \Omega_K$, we have $a^v = 0$.

For a place $v \in \bigcap_{i \in I_1} \Sigma_i$, we have that E_i^v splits over F_i^v for all $i \in I_1$. Hence, π maps $I_{E_i^v/K_v}(\mathbb{Z})^{\Gamma_v} \simeq I_{F_i^v/K_v}(\mathbb{Z})^{\Gamma_v} \oplus I_{F_i^v/K_v}(\mathbb{Z})^{\Gamma_v}$ onto $I_{F_i^v/K_v}(\mathbb{Z})^{\Gamma_v}$ for each $i \in I_1$, and so $(\hat{S}_{F/K})^{\Gamma_v}/\pi((\hat{S}_{E/K})^{\Gamma_v}) = 0$ for each $i \in I_1$ and $a_i^v = 0$. On the other hand, for each $i \in I_0$, we have $a_i = 0$ by definition. Therefore, $a^v = 0$.

For a place $v \in \bigcap_{i \in I_0} \Sigma_i$, we replace (a_1, \ldots, a_{m_1}) by $(a_1, \ldots, a_{m_1}) + (1, \ldots, 1)$. Note that $(a_1, \ldots, a_{m_1}) + (1, \ldots, 1)$ and (a_1, \ldots, a_{m_1}) represent the same class a in $(\hat{S}_{F/K})^{\Gamma}/\pi((\hat{S}_{E/K})^{\Gamma})$. By the same argument as above, we have $a_v = 0$. Since $(\bigcap_{i \in I_0} \Sigma_i) \cup (\bigcap_{j \in I_1} \Sigma_j) = \Omega_K$, we have $a^v = 0$ for all $v \in \Omega_K$, which proves that x is an element of $\coprod^1(K, \operatorname{sc}(\hat{T}))$. This proves that δ induces a map $\mathbb{B}(E, \sigma) \to \mathrm{III}^1(K, \mathrm{sc}(\hat{T}))$. We already know that this map is injective. Let us prove that it is also surjective.

Let $0 \neq x \in \operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$. Let $a \in (\hat{S}_{F/K})^{\Gamma}/\pi((\hat{S}_{E/K})^{\Gamma})$ be the preimage of x, let a^v be the localization of a at the place v, and let (a_1, \ldots, a_{m_1}) be a lift of a in $(\mathbb{Z}/2\mathbb{Z})^{m_1}$. Let (I_0, I_1) be the corresponding partition. Now we claim that $(\bigcap_{i \in I_0} \Sigma_i) \cup (\bigcap_{j \in I_1} \Sigma_j) = \Omega_K$. Suppose that $(\bigcap_{i \in I_0} \Sigma_i) \cup (\bigcap_{j \in I_1} \Sigma_j) \neq \Omega_K$, and let $v \in \Omega_K \setminus (\bigcap_{i \in I_0} \Sigma_i) \cup (\bigcap_{j \in I_1} \Sigma_j)$. Therefore, there exist $i_0 \in I_0$ and $i_1 \in I_1$ such that $E_{i_0}^v$ is not split over $F_{i_0}^v$ and $E_{i_1}^v$ is not split over $F_{i_1}^v$. Let $F_i^v = \prod_{j=1}^{n_i} L_{i,j}$, where the $L_{i,j}$ s are field extensions of K_v . Let $E_i^v = \prod_{j=1}^{n_i} M_{i,j}$, where $M_{i,j}$ is a quadratic étale algebra over $L_{i,j}$. Set $\Gamma_v = \Gamma_{K_v}$. Then we have

$$\mathbf{I}_{F_i^v/K_v}(\mathbb{Z})^{\Gamma_v}/\pi(\mathbf{I}_{E_i^v/K_v}(\mathbb{Z})^{\Gamma_v}) = \bigoplus_{j=1}^{n_i} \mathbf{I}_{L_{i,j}/K_v}(\mathbb{Z})^{\Gamma_v}/\pi(\mathbf{I}_{M_{i,j}/K_v}(\mathbb{Z})^{\Gamma_v}).$$

If $M_{i,j}$ is split over $L_{i,j}$, then

$$\mathbf{I}_{M_{i,j}/K_{v}}(\mathbb{Z})^{\Gamma_{v}} = \mathbf{I}_{L_{i,j} \times L_{i,j}/K_{v}}(\mathbb{Z})^{\Gamma_{v}} = \mathbf{I}_{L_{i,j}/K_{v}}(\mathbb{Z})^{\Gamma_{v}} \oplus \mathbf{I}_{L_{i,j}/K_{v}}(\mathbb{Z})^{\Gamma_{v}},$$

so π sends $I_{M_{i,j}/k_v}(\mathbb{Z})^{\Gamma_v}$ surjectively to $I_{L_{i,j}/k_v}(\mathbb{Z})^{\Gamma_v}$. On the other hand, if $M_{i,j}$ is a field extension over $L_{i,j}$, then π maps $I_{M_{i,j}/K_v}(\mathbb{Z})^{\Gamma_v} \simeq \mathbb{Z}$ to $2\mathbb{Z} \subseteq \mathbb{Z} \simeq I_{L_{i,j}/K_v}(\mathbb{Z})^{\Gamma_v}$ and we have

$$\mathrm{I}_{L_{i,j}/K_v}(\mathbb{Z})^{\Gamma_v}/\pi(\mathrm{I}_{M_{i,j}/K_v}(\mathbb{Z})^{\Gamma_v})\simeq \mathbb{Z}/2\mathbb{Z}.$$

For $a_i \in I_{F_i/K}(\mathbb{Z})^{\Gamma}/\pi(I_{E_i/K}(\mathbb{Z})^{\Gamma}) \simeq \mathbb{Z}/2\mathbb{Z}$, the localization map sends a_i diagonally into

$$\mathbf{I}_{F_i^{v}/K_v}(\mathbb{Z})^{\Gamma_v}/\pi(\mathbf{I}_{E_i^{v}/K_v}(\mathbb{Z})^{\Gamma_v}) \simeq \bigoplus_{\substack{j \text{ where } M_{i,j} \\ \text{ is nonsplit}}} \mathbb{Z}/2\mathbb{Z}.$$

Let a_i^v be the image of a_i in $I_{F_i^v/K_v}(\mathbb{Z})^{\Gamma_v}/\pi(I_{E_i^v/K_v}(\mathbb{Z})^{\Gamma_v})$. By our choice of v, we have that $I_{F_{i_0}^v/K_v}(\mathbb{Z})^{\Gamma_v}/\pi(I_{E_{i_0}^v/K_v}(\mathbb{Z})^{\Gamma_v})$ (resp. $I_{F_{i_1}^v/K_v}(\mathbb{Z})^{\Gamma_v}/I_{E_{i_1}^v/K_v}(\mathbb{Z})^{\Gamma_v}$) is non-trivial. In particular, a_i^v is nonzero as a_{i_1} is nonzero. Note that

$$\bigoplus_{i} (\hat{S}_{F_{i}^{v}/K_{v}})^{\Gamma_{v}}/\pi((\hat{S}_{E_{i}^{v}/K_{v}})^{\Gamma_{v}}) = \frac{\bigoplus_{i} I_{F_{i}^{v}/K_{v}}(\mathbb{Z})^{I_{v}}/\pi(I_{E_{i}^{v}/K_{v}}(\mathbb{Z})^{I_{v}})}{(\bar{1},\ldots,\bar{1})},$$

where 1 denotes the image of the diagonal element of $I_{F_v}/K_v(\mathbb{Z})^{\Gamma_v}$ in

$$\mathrm{I}_{F_i^v/K_v}(\mathbb{Z})^{\Gamma_v}/\pi(\mathrm{I}_{E_i^v/K_v}(\mathbb{Z})^{\Gamma_v}).$$

Since $a^v = 0$, we have either $a_i^v = 0 \in I_{F_i^v/K_v}(\mathbb{Z})^{\Gamma_v}/\pi(I_{E_i^v/K_v}(\mathbb{Z})^{\Gamma_v})$ for all *i*, or $a_i^v = \overline{1} \in I_{F_i^v/K_v}(\mathbb{Z})^{\Gamma_v}/\pi(I_{E_i^v/K_v}(\mathbb{Z})^{\Gamma_v})$ for all *i*. In particular, this implies that $a_{i_0}^v$ and $a_{i_1}^v$ are either both 0 or both 1, which is a contradiction. Therefore, we have $\left(\bigcap_{i \in I_0} \Sigma_i\right) \cup \left(\bigcap_{j \in I_1} \Sigma_j\right) = \Omega_K$ and $(I_0, I_1) \in \mathcal{B}(E, \sigma)$.

4. Proof of Proposition 2.2 when $L \neq K$

We keep the notation of the previous sections and assume that $L \neq K$. The aim of this section is to prove Proposition 2.2 in this case.

In this case, the torus sc(T) fits in the following exact sequence:

(3)
$$1 \to \operatorname{sc}(T) \to \operatorname{R}_{F/K}(\operatorname{R}^{(1)}_{E/F}(\mathbb{G}_m)) \to \operatorname{R}^{(1)}_{L/K}(\mathbb{G}_m) \to 1.$$

We take the dual sequence of exact sequence (3):

(4)
$$0 \to \hat{\mathbf{S}}_{L/K} \xrightarrow{\iota} \mathbf{I}_{F/K}(\hat{\mathbf{S}}_{E/F}) \xrightarrow{p} \mathrm{sc}(\hat{T}) \to 0,$$

from which we derive the long exact sequence

(5)

$$\cdots \to \mathrm{H}^{1}(K, \hat{\mathrm{S}}_{E/K}) \xrightarrow{\iota^{1}} \mathrm{H}^{1}(K, \mathrm{I}_{F/K}(\hat{\mathrm{S}}_{E/F})) \xrightarrow{p^{1}} \mathrm{H}^{1}(K, \mathrm{sc}(\hat{T})) \to \mathrm{H}^{2}(K, \hat{\mathrm{S}}_{E/K}).$$

By Poitou–Tate duality, we have $\operatorname{III}^2(K, \hat{S}_{E/K}) \simeq \operatorname{III}^1(K, \mathsf{R}^{(1)}_{E/K}(\mathbb{G}_m))^*$. We claim that $\operatorname{III}^2(K, \hat{S}_{E/K}) \simeq \operatorname{III}^1(K, \mathsf{R}^{(1)}_{E/K}(\mathbb{G}_m))^* = 0$. To see this, we consider the following exact sequence:

$$1 \to \mathsf{R}_{L/K}^{(1)}(\mathbb{G}_m) \to \mathsf{R}_{L/K}(\mathbb{G}_m) \to \mathbb{G}_m \to 1.$$

By Hilbert Theorem 90, we have $H^1(K, R_{L/K}^{(1)}(\mathbb{G}_m)) = K^{\times}/N_{L/K}(L^{\times})$, where $N_{L/K}$ is the norm map from *L* to *K*. Since the norms of the quadratic extension *L* over *K* satisfy the local-global principle, we have $\operatorname{III}^1(K, R_{L/K}^{(1)}(\mathbb{G}_m)) = 0$. Hence $\operatorname{III}^2(K, \hat{S}_{L/K}) = 0$. Therefore, the group $\operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$ is contained in the image of $H^1(K, \operatorname{I}_{F/K}(\hat{S}_{E/F}))$.

Let us consider the following exact sequence:

(6)
$$1 \to \mathbb{G}_m \to \mathrm{R}_{L/K}(\mathbb{G}_m) \xrightarrow{\pi} \mathrm{R}_{L/K}^{(1)}(\mathbb{G}_m) \to 1,$$

where $\pi(x) = x/\sigma(x)$. Considering the dual sequence, we get

(7)
$$0 \to \hat{\mathbf{S}}_{L/K} \to \mathbf{I}_{L/K}(\mathbb{Z}) \xrightarrow{d} \mathbb{Z} \to 0,$$

where *d* is the degree map which maps $(a, b) \in \mathbb{Z} \oplus \mathbb{Z} \simeq I_{L/K}(\mathbb{Z})$ to a + b. Taking the long exact sequence associated to (7), we have

(8)
$$I_{L/K}(\mathbb{Z})^{\Gamma} \xrightarrow{d} \mathbb{Z} \to H^{1}(K, \hat{S}_{L/K}) \to H^{1}(K, I_{L/K}(\mathbb{Z})) = 0.$$

Since L is a quadratic field extension of K, we obtain

$$\mathrm{H}^{1}(K, \hat{\mathrm{S}}_{L/K}) \simeq \mathbb{Z}/d(\mathrm{I}_{L/K}(\mathbb{Z})^{\Gamma}) = \mathbb{Z}/2\mathbb{Z}.$$

Similarly, we have

$$\mathrm{H}^{1}(K, \mathrm{I}_{F/K}(\hat{\mathrm{S}}_{E/F})) = \mathrm{H}^{1}(F, \hat{\mathrm{S}}_{E/F}) = \prod_{i=1}^{m} \mathrm{H}^{1}(F_{i}, \hat{\mathrm{S}}_{E_{i}/F_{i}}).$$

If $E_i = F_i \times F_i$, then $H^1(F_i, \hat{S}_{E_i/F_i}) = 0$ since *d* is surjective. If E_i is a quadratic extension of F_i , then $H^1(F_i, \hat{S}_{E_i/F_i}) = \mathbb{Z}/2\mathbb{Z}$. Recall that $m = m_1 + m_2$, where m_1 is the number of indices *i* such that E_i is a quadratic extension of F_i , and m_2 is the number of indices *i* such that $E_i = F_i \times F_i$. Then $H^1(K, I_{F/K}(\hat{S}_{E/F})) \simeq (\mathbb{Z}/2\mathbb{Z})^{m_1}$.

The map $\iota^1 : \mathrm{H}^1(K, \hat{\mathrm{S}}_{L/K}) \to \mathrm{H}^1(K, \mathrm{I}_{F/K}(\hat{\mathrm{S}}_{E/F}))$ maps $\mathbb{Z}/2\mathbb{Z}$ diagonally into $(\mathbb{Z}/2\mathbb{Z})^{m_1}$. Therefore, we have

$$\operatorname{III}^{1}(k,\operatorname{sc}(\hat{T})) \subseteq \operatorname{Im}(p^{1}) \simeq (\mathbb{Z}/2\mathbb{Z})^{m_{1}}/(1,\ldots,1).$$

Let us show that p^1 maps $\mathbb{B}(E, \sigma)$ bijectively to $\mathrm{III}(K, \mathrm{sc}(\hat{T}))$.

Let (I_0, I_1) be in $\mathbb{B}(E, \sigma)$, and let a in $\mathrm{H}^1(K, \mathrm{I}_{F/K}(\hat{\mathrm{S}}_{E/F}))$ be the corresponding element. We want to show that $p^1(a)$ is an element of $\mathrm{III}^1(K, \mathrm{sc}(\hat{T}))$. Let $v \in \Omega_K$. If $v \in \bigcap_{j \in \mathrm{I}_1} \Sigma_j$, then $a^v = 0$. Hence, it suffices to prove that, for $v \in \Omega_K \setminus \bigcap_{i \in \mathrm{I}_1} \Sigma_i$, we have $a^v = \iota_v^1(1) = \iota^1(1)_v$. Now, since $(\bigcap_{i \in \mathrm{I}_0} \Sigma_i) \cup (\bigcap_{j \in \mathrm{I}_1} \Sigma_j) = \Omega_K$, we have $v \in \bigcap_{i \in \mathrm{I}_0} \Sigma_i$. Consequently, $\mathrm{H}^1(F_i, \hat{\mathrm{S}}_{E_i^v/F_i^v}) = 0$ for all $i \in \mathrm{I}_0$, and the projection of $\iota_v^1(1)$ to these components are trivial. For $i \in \mathrm{I}_1$, we have that a_i and the *i*-th coordinate of $\iota^1(1)$ are both 1, so their images in $\mathrm{H}^1(F_i^v, \hat{\mathrm{S}}_{E_i^v/F_i^v})$ are equal. This proves that $a^v = \iota_v^1(1)$, hence $p^1(a^v) = 0$.

We next show that the restriction of the map p^1 to $\mathbb{B}(E, \sigma)$ is surjective onto $\mathrm{III}^1(K, \mathrm{sc}(\hat{T}))$.

Let $a = (a_1, \ldots, a_{m_1}) \in (\mathbb{Z}/2\mathbb{Z})^{m_1} \simeq \mathrm{H}^1(K, \mathrm{I}_{E^{\sigma}/k}(\hat{\mathrm{S}}_{E/F}))$ and let (I_0, I_1) be the associated partition. If a = 0 or $a = (1, \ldots, 1)$, then a is in the image of ι^1 and we have $p^1(a) = 0 \in \mathrm{III}^1(K, \mathrm{sc}(\hat{T}))$. Hence, we may assume that I_0 and I_1 are nonempty.

We claim that $0 \neq p^1(a) \in \operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$ if and only if I_0 and I_1 are nonempty and $(\bigcap_{i \in I_0} \Sigma_i) \cup (\bigcap_{j \in I_1} \Sigma_j) = \Omega_K$. Suppose $0 \neq p^1(a) \in \operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$. Let $v \in \Omega_K \setminus \bigcap_{i \in I_0} \Sigma_i$. Then $L^v \ncong K^v \times K^v$

Suppose $0 \neq p^1(a) \in \operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$. Let $v \in \Omega_K \setminus \bigcap_{i \in I_0} \Sigma_i$. Then $L^v \ncong K^v \times K^v$ and we have $\operatorname{H}^1(L^v, \hat{S}_{L^v/K_v}) = \mathbb{Z}/2\mathbb{Z}$. Let a^v denote the localization of a at v. Since $p^1(a) \in \operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$, we have a^v in the image of ι_v^1 , so either $a^v = 0$ or $a^v = \iota_v^1(1)$. It suffices to show that $v \in \bigcap_{i \in I_1} \Sigma_i$. Consider the i-th component of $(\mathbb{Z}/2\mathbb{Z})^{m_1}$, which corresponds to $\operatorname{H}^1(K, \operatorname{I}_{F_i/K}(\hat{S}_{E_i/F_i})) = \operatorname{H}^1(F_i, \hat{S}_{E_i/F_i})$. If E_i splits over F_i at a place $v \in \Omega_K$, then by the exact sequence (8), the map d is surjective and $\operatorname{H}^1(F_i^v, \hat{S}_{E_i^v/F_i^v}) = 0$, which means that the i-th component vanishes at place v. Since $v \notin \bigcap_{i \in I_0} \Sigma_i$, there exists an $i \in I_0$ such that E_i^v is not split over F_i^v . Let $F_i^v = \prod_{j=1}^{n_i} L_{i,j}$, where the $L_{i,j}$ s are field extensions of K_v . Let $E_i^v = \prod_{j=1}^{n_i} M_{i,j}$, where $M_{i,j}$ is a quadratic étale algebra over $L_{i,j}$. Then

$$\mathrm{H}^{1}(F_{i}^{\nu}, \hat{\mathrm{S}}_{E_{i}^{\nu}/F_{i}^{\nu}}) = \prod_{j} \mathrm{H}^{1}(L_{i,j}, \hat{\mathrm{S}}_{M_{i,j}/L_{i,j}}).$$

By the choice of *i*, there is a *j* such that $M_{i,j}$ is not split over $L_{i,j}$, and hence $\mathrm{H}^1(L_{i,j}, \hat{\mathrm{S}}_{M_{i,j}/L_{i,j}}) \neq 0$. Therefore, the projection of $\iota_v^1(1)$ to $\mathrm{H}^1(L_{i,j}, \hat{\mathrm{S}}_{M_{i,j}/L_{i,j}})$ is 1. On the other hand, the projection of a^v to the same component is 0 since $i \in \mathrm{I}_0$. Therefore, $a^v = 0$ which means that $\mathrm{H}^1(F_i^v, \hat{\mathrm{S}}_{E_i^v/F_i^v}) = 0$ for all $i \in \mathrm{I}_1$, hence $v \in \bigcap_{i \in \mathrm{I}_1} \Sigma_i$. This proves that $a \in \mathrm{E}(E, \sigma)$.

5. The proofs of Proposition 2.3 and Theorem 2.1

We keep the notation of the previous sections. As we will see, Theorem 2.1 follows from Propositions 2.2 and 2.3, which we'll now prove.

Proposition 2.3. The groups $\operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$ and $\operatorname{E}(X)$ are isomorphic.

For a connected reductive group H, we denote by H^{tor} the quotient of H by its derived group. Note that H^{tor} is a torus.

Proof of Proposition 2.3. Let sc(G) be the simply connected cover of G. Recall that $X = E(G, \Psi, u)$. Since X is a homogeneous space under the adjoint action of G, we can view X as a homogeneous space under sc(G). Let x be in $X(K_s)$ and let $\overline{H} = \operatorname{Stab}_{\operatorname{sc}(G)_{K_s}}(x)$ be the stabilizer of x over K_s . Then \overline{H} is isomorphic to $sc(T)_{K_s}$ (see [Lee 2014, Lemma 3.9]). Let H^m be the K-form of the multiplicative quotient of \overline{H} constructed in [Borovoi 1999, §§1.1–1.2, pp. 493–494] (note that the hypotheses of [Borovoi 1999, §1.1]. are satisfied: (1.1.1) holds since sc(G) is simply connected, and (1.1.2) is satisfied since $\overline{H} \simeq sc(T)_{K_s}$. We have $H^m \simeq sc(T)$ (see [Lee 2014, Lemma 3.9]). Let $i: H^m \to sc(G)^{tor}$ be the morphism of algebraic groups constructed in [Borovoi 1999, §1.2, p. 494]. Let \hat{H}^m (resp. sc(\hat{G})^{tor}) be the character group of H^m (resp. sc(G)^{tor}). We view the dual map of *i* as a complex of finitely generated Galois modules $sc(\hat{G})^{tor} \rightarrow \hat{H}^m$, where $sc(\hat{G})^{tor}$ is in degree 0 and \hat{H}^m is in degree 1. Then we have $\mathbb{E}(X) = \mathrm{III}^2(K, \mathrm{sc}(\hat{G})^{\mathrm{tor}} \to \hat{H}^m)$. This follows from [Borovoi and van Hamel 2012, Theorem 3] (note that the statement was already proved in [Borovoi 1999, Theorem 3.3] under the condition that $X(K_v) \neq \emptyset$ for all $v \in \Omega_K$, and that Theorem 3 of [Borovoi and van Hamel 2012] was conjectured in [Borovoi 1999, Conjecture 3.2]). Since sc(G) is semisimple, we have $sc(G)^{tor} = 1$. Therefore, we have $\operatorname{III}^2(K, \operatorname{sc}(\hat{G})^{\operatorname{tor}} \to \hat{H}^m) = \operatorname{III}^2(K, 1 \to \hat{H}^m)$. On the other hand, we have $\operatorname{III}^2(K, 1 \to \hat{H}^m) = \operatorname{III}^1(K, \hat{H}^m)$ by the definition of hypercohomology. Recall that $H^m \simeq \operatorname{sc}(T)$. Therefore, $\operatorname{E}(X) \simeq \operatorname{III}^1(K, \operatorname{sc}(\hat{T}))$.

Theorem 2.1. *The groups* $\mathbb{B}(E, \sigma)$ *and* $\mathbb{B}(X)$ *are isomorphic.*

Proof of Theorem 2.1. By Proposition 2.3 we have $\mathbb{B}(X) \simeq \mathrm{III}^1(K, \mathrm{sc}(\hat{T}))$, and Proposition 2.2 implies that $\mathrm{III}^1(K, \mathrm{sc}(\hat{T})) \simeq \mathbb{B}(E, \sigma)$.

6. Hasse principle and Brauer-Manin obstruction

We keep the notation of the previous sections and assume that K is a global field. In particular, (E, σ) is an étale algebra with involution and (A, τ) is a central simple algebra with involution, as in Section 2.

The embeddings of (E, σ) into (A, τ) were investigated in several papers; see for instance [Prasad and Rapinchuk 2010; Lee 2014; Bayer-Fluckiger et al. 2014]. In particular, Prasad and Rapinchuk proved in [2010, Theorem 5.1] that the Hasse principle holds if τ is symplectic, and they obtained partial results for τ orthogonal and unitary as well (see the introduction of the same paper).

Since the case where τ is symplectic is covered by the results of Prasad and Rapinchuk, we henceforth assume that τ *is either orthogonal or unitary*.

In [Bayer-Fluckiger et al. 2014] we defined the obstruction group $\mathbb{B}(E, \sigma)$ (see Section 4 of the present paper; note that this group is denoted by $\mathrm{III}(E', \sigma)$ in [Bayer-Fluckiger et al. 2014, §3, §5.1]). Under the hypothesis that (E, σ) can be embedded into (A, τ) everywhere locally, we also defined an element $\overline{f} = \overline{f}((E, \sigma), (A, \tau))$ of $\mathbb{B}(E, \sigma)^*$ which gives a complete obstruction to the Hasse principle:

Theorem 6.1. (E, σ) can be embedded into (A, τ) if and only if

$$\bar{f}((E,\sigma),(A,\tau)) = 0.$$

This is proved in [Bayer-Fluckiger et al. 2014, Theorem 4.6.1 and Proposition 5.1.1].

On the other hand, Borovoi [1996] studied the Hasse principle for homogeneous spaces of connected linear algebraic groups with connected or abelian stabilizers. If *Y* is such a space, he defined a group $\mathbb{B}(Y)$ and, provided $Y(K_v) \neq \emptyset$ for all $v \in \Omega_K$, an element $m_H(Y) \in \mathbb{B}(Y)^*$ such that $Y(K) \neq \emptyset$ if and only if $m_H(Y) = 0$.

Borovoi's results were applied to the embedding problem of algebras with involution in [Lee 2014]. Recall that $G = U(A, \tau)^{\circ}$ and $T = U(E, \sigma)^{\circ}$ (see Section 1), and that $X = E(G, \Psi, u)$ (see Sections 1 and 4). By Theorem 2.1 we have $E(E, \sigma) \simeq E(X)$.

We don't know whether the isomorphism between $\mathbb{B}(E, \sigma)$ and $\mathbb{B}(X)$ carries $\overline{f}((E, \sigma), (A, \tau))$ to $m_H(X)$. However, these elements vanish simultaneously, and they both provide complete obstructions to the Hasse principle. More precisely:

Theorem 6.2. Assume that (E, σ) can be embedded into (A, τ) everywhere locally (or, equivalently, that $X(K_v) \neq \emptyset$ for all $v \in \Omega_K$). Then the following assertions are equivalent:

- (i) (E, σ) can be embedded into (A, τ) .
- (ii) $X(K) \neq \emptyset$.
- (iii) $\bar{f}((E, \sigma), (A, \tau)) = 0.$

(iv) $m_H(X) = 0$.

Proof. The equivalence of (i) and (ii) follows from [Lee 2014, Theorem 2.1.5]. The equivalence of (i) and (iii) is proved in [Bayer-Fluckiger et al. 2014, Theorem 4.6.1 and Proposition 5.1.1]. Finally, the equivalence between (ii) and (iv) follows from [Borovoi 1996, Theorem 2.2].

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