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**CHORDAL GENERATORS AND  
THE HYDRODYNAMIC NORMALIZATION  
FOR THE UNIT BALL**

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# CHORDAL GENERATORS AND THE HYDRODYNAMIC NORMALIZATION FOR THE UNIT BALL

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Let  $c \geq 0$  and denote by  $\mathcal{K}(\mathbb{H}, c)$  the set of all infinitesimal generators  $G : \mathbb{H} \rightarrow \mathbb{C}$  on the upper half-plane  $\mathbb{H}$  such that  $\limsup_{y \rightarrow \infty} y \cdot |G(iy)| \leq c$ . This class is related to univalent functions  $f : \mathbb{H} \rightarrow \mathbb{H}$  with hydrodynamic normalization and appears in the so-called chordal Loewner equation.

In this paper, we generalize the class  $\mathcal{K}(\mathbb{H}, c)$  and the hydrodynamic normalization to the Euclidean unit ball in  $\mathbb{C}^n$ . The generalization is based on the observation that  $G \in \mathcal{K}(\mathbb{H}, c)$  can be characterized by an inequality for the hyperbolic length of  $G(z)$ .

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## 1. Introduction

**One-parameter semigroups.** Let  $\mathbb{B}_n = \{z \in \mathbb{C}^n \mid \|z\| < 1\}$  be the Euclidean unit ball in  $\mathbb{C}^n$ . In one dimension we write  $\mathbb{D} := \mathbb{B}_1$  for the unit disc.

**Definition 1.1.** A continuous one-real-parameter semigroup of holomorphic functions on  $\mathbb{B}_n$  is a map  $[0, \infty) \ni t \mapsto \Phi_t \in \mathcal{H}(\mathbb{B}_n, \mathbb{B}_n)$  satisfying the following conditions:

- (1)  $\Phi_0$  is the identity.
- (2)  $\Phi_{t+s} = \Phi_t \circ \Phi_s$  for all  $t, s \geq 0$ .
- (3)  $\Phi_t$  tends to the identity locally uniformly in  $\mathbb{B}_n$ , when  $t$  tends to 0.

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Given such a semigroup  $\{\Phi_t\}_{t \geq 0}$  and a point  $z \in \mathbb{B}_n$ , the limit

$$G(z) := \lim_{t \rightarrow 0} \frac{\Phi_t(z) - z}{t}$$

exists and the vector field  $G : \mathbb{B}_n \rightarrow \mathbb{C}^n$ , called the *infinitesimal generator*<sup>1</sup> of  $\Phi_t$ , is a holomorphic function (see, e.g., [Abate 1992]). We denote by  $\text{Inf}(\mathbb{B}_n)$  the set of all infinitesimal generators of semigroups in  $\mathbb{B}_n$ . For any  $z \in \mathbb{B}_n$ , the map  $w(t) := \Phi_t(z)$  is the solution of the initial value problem

$$(1-1) \quad \frac{dw(t)}{dt} = G(w(t)), \quad w(0) = z.$$

There are various characterizations of holomorphic functions  $G : \mathbb{B}_n \rightarrow \mathbb{C}^n$  that are infinitesimal generators; see [Reich and Shoikhet 2005, Section 7.3], [Bracci et al. 2010, Theorem 0.2], [Bracci et al. 2014, p. 193].

The set  $\text{Inf}(\mathbb{D})$ , i.e., all infinitesimal generators in the unit disc, can be characterized completely by the Berkson–Porta representation formula [1978]

$$(1-2) \quad \text{Inf}(\mathbb{D}) = \{z \mapsto (\tau - z)(1 - \bar{\tau}z)p(z) \mid \tau \in \bar{\mathbb{D}}, p \in \mathcal{H}(\mathbb{D}, \mathbb{C}) \\ \text{with } \text{Re}(p(z)) \geq 0 \text{ for all } z \in \mathbb{D}\}.$$

**Remark 1.2.** Let  $F : \mathbb{D} \rightarrow \mathbb{D}$  be a holomorphic self-map. Recall the Denjoy–Wolff theorem (see, e.g., [Reich and Shoikhet 2005, Theorem 5.1]): If  $F$  is not an elliptic automorphism (i.e., an automorphism with exactly one fixed point in  $\mathbb{D}$ ), then there exists one point  $\tau \in \bar{\mathbb{D}}$  (the Denjoy–Wolff point of  $F$ ) such that the iterates  $F^n$  converge locally uniformly in  $\mathbb{D}$  to the constant map  $\tau$ .

If  $\{\Phi_t\}_{t \geq 0}$  is a semigroup on  $\mathbb{D}$ , then we call  $\tau \in \bar{\mathbb{D}}$  the Denjoy–Wolff point of  $\{\Phi_t\}_{t \geq 0}$  if  $\tau$  is the Denjoy–Wolff point of  $\Phi_1$ , which is equivalent to  $\lim_{t \rightarrow \infty} \Phi_t = \tau$  locally uniformly.

If an infinitesimal generator in the unit disc does not generate a semigroup of elliptic automorphisms of  $\mathbb{D}$ , then the point  $\tau \in \bar{\mathbb{D}}$  from formula (1-2) is exactly the Denjoy–Wolff point of the semigroup.

There are two special cases of infinitesimal generators in  $\mathbb{D}$  that have been studied intensively and turned out to be quite useful in Loewner theory and its applications. The two different cases arise from certain normalizations of the Berkson–Porta data  $\tau$  and  $p$  from formula (1-2). In the *radial* case, one considers those elements  $G \in \text{Inf}(\mathbb{D})$  whose Berkson–Porta data  $\tau$  and  $p$  satisfy

$$\tau = 0 \quad \text{and} \quad p(0) = 1,$$

i.e.,  $G(z) = -zp(z)$ .

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<sup>1</sup>There is no standard convention in the literature and often  $-G$  is called the infinitesimal generator of the semigroup.

This class plays a central role in studying the class  $S$  of all univalent functions  $f : \mathbb{D} \rightarrow \mathbb{C}$  with  $f(0) = 0$ ,  $f'(0) = 1$ , via the powerful tools of Loewner's theory, which considers a nonautonomous version of (1-1); see, e.g., [Pommerenke 1975, Chapter 6]. The class of radial generators as well as the class  $S$  have been generalized in this context to the polydisc  $\mathbb{D}^n$  (see [Poreda 1987a; 1987b]), and to the unit ball  $\mathbb{B}_n$  (see [Graham and Kohr 2003] for a collection of several results and references).

The second class, the set of all *chordal* generators<sup>2</sup>, consists of all  $G \in \text{Inf}(\mathbb{D})$  whose Berkson–Porta data  $\tau$  and  $p$  satisfy

$$\tau = 1 \quad \text{and} \quad \angle \lim_{z \rightarrow 1} \frac{p(z)}{z-1} \text{ is finite.}$$

The aim of this paper is to introduce a generalization of the chordal class for the unit ball  $\mathbb{B}_n$ .

**The hydrodynamic normalization in one dimension.** Instead of fixing an interior point, like in the class  $S$ , it can be of interest to investigate univalent self-mappings of  $\mathbb{D}$  that fix a boundary point. In this case, one usually passes from  $\mathbb{D}$  to the upper half-plane  $\mathbb{H} = \{z \in \mathbb{C} \mid \text{Im}(z) > 0\}$ .

A class of such mappings that is easy to describe and that appears in several applications is the set of all univalent mappings  $f : \mathbb{H} \rightarrow \mathbb{H}$  that fix the boundary point  $\infty$  and have the so-called *hydrodynamic normalization*. Basic properties of this class can be found in [Goryainov and Ba 1992]; see also [Bauer 2005; Contreras et al. 2010]. One of its main applications is the chordal Loewner equation; see [Abate et al. 2010, Section 4] for further references.

A univalent function  $f : \mathbb{H} \rightarrow \mathbb{H}$  has *hydrodynamic normalization* (at  $\infty$ ) if  $f$  has the expansion

$$f(z) = z - \frac{c}{z} + \gamma(z),$$

where  $c \geq 0$ , which is usually called *half-plane capacity*, and  $\gamma$  satisfies

$$\angle \lim_{z \rightarrow \infty} z \cdot \gamma(z) = 0.$$

We denote by  $\mathfrak{P}$  the set of all these functions. Then  $\mathfrak{P}$  is a semigroup and the functional  $l : \mathfrak{P} \rightarrow [0, \infty)$ ,  $l(f) = c$ , is additive: if  $f_1, f_2 \in \mathfrak{P}$ , then  $f_1 \circ f_2 \in \mathfrak{P}$  and  $l(f_1 \circ f_2) = l(f_1) + l(f_2)$ .

**Remark 1.3.** Let  $f \in \mathfrak{P}$  with  $l(f) = c$ . If we transfer  $f$  to the unit disc by conjugation by the Cayley transform, then we obtain a function  $\tilde{f} : \mathbb{D} \rightarrow \mathbb{D}$  having

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<sup>2</sup> Note that there is no standard use of the words “radial” and “chordal” in the literature. In [Contreras et al. 2010], e.g., an element  $G \in \text{Inf}(\mathbb{D})$  is called *radial* if  $\tau \in \mathbb{D}$  and *chordal* if  $\tau \in \partial\mathbb{D}$ .

the expansion

$$\tilde{f}(z) = z - \frac{c}{4}(z-1)^3 + \tilde{\gamma}(z),$$

where  $\angle \lim_{z \rightarrow 1} \tilde{\gamma}(z)/(z-1)^3 = 0$ .

If  $\{\Phi_t\}_{t \geq 0}$  is a one-real-parameter semigroup contained in  $\mathfrak{P}$  with  $l(\Phi_1) = a$ , then it is easy to see that  $l(\Phi_t) = a \cdot t$ . If  $H$  is the generator of this semigroup, then we also define  $l(H) := a$ .

We will be interested in the following set of chordal generators.

**Definition 1.4.** By  $\mathcal{K}(\mathbb{H}, c)$  we denote the set of all infinitesimal generators  $H$  of one-real-parameter semigroups  $\{\Phi_t\}_{t \geq 0}$  contained in  $\mathfrak{P}$  with  $l(H) \leq c$ .

**Remark 1.5.** The set  $\mathcal{K}(\mathbb{H}, c)$  can be characterized in various ways; see [Goryaĭnov and Ba 1992, Section 1] and [Maassen 1992, Proposition 2.2].

It is known that  $H \in \mathcal{K}(\mathbb{H}, c)$  for some  $c \geq 0$  if and only if  $H$  maps  $\mathbb{H}$  into  $\bar{\mathbb{H}}$  and

$$(1-3) \quad \limsup_{y \rightarrow \infty} y |H(iy)| \leq c.$$

In fact,  $l(H) = \limsup_{y \rightarrow \infty} y |H(iy)|$ .

Furthermore, this is equivalent to  $H$  maps  $\mathbb{H}$  into  $\bar{\mathbb{H}}$  and

$$(1-4) \quad |H(z)| \leq \frac{c}{\operatorname{Im}(z)}$$

for all  $z \in \mathbb{H}$ . The number  $l(H)$  is the smallest constant such that this inequality holds.

Finally, it is known that this property is equivalent to the fact that  $-G$  is the Cauchy transform of a finite, nonnegative Borel measure  $\mu$  on  $\mathbb{R}$ , i.e.,

$$(1-5) \quad H(z) = \int_{\mathbb{R}} \frac{\mu(du)}{u - z}.$$

The number  $l(H)$  can be calculated by  $l(H) = \mu(\mathbb{R})$ .

**Remark 1.6.** It is easy to see that the following holds: if  $f \in \mathfrak{P}$  with  $c = l(f)$ , then  $H := f - \operatorname{id} \in \mathcal{K}(\mathbb{H}, c)$  with  $l(H) = c$ .

Let  $C : \mathbb{H} \rightarrow \mathbb{D}$ ,  $C(z) = (z-i)/(z+i)$ , be the Cayley map. We define  $\mathcal{K}(\mathbb{D}, c)$  by

$$\mathcal{K}(\mathbb{D}, c) = \{C'(C^{-1}) \cdot (H \circ C^{-1}) \mid H \in \mathcal{K}(\mathbb{H}, c)\}^3$$

The rest of this paper is organized as follows: In Section 2 we look for an invariant characterization of chordal generators, i.e., of the sets  $\mathcal{K}(\mathbb{H}, c)$  and  $\mathcal{K}(\mathbb{D}, c)$ , and we introduce the class  $\mathcal{K}(\mathbb{B}_n, c)$  for the higher-dimensional unit ball. It will turn out to be quite useful to study “slices” of this class, which is done in Section 3. In Section 4 we introduce and study the class  $\mathfrak{P}_n$ , a higher-dimensional analog of the class  $\mathfrak{P}$ .

<sup>3</sup>If  $\{\Phi_t\}_{t \geq 0}$  is a semigroup in  $\mathbb{H}$  with generator  $H$ , then  $\{C \circ \Phi_t \circ C^{-1}\}_{t \geq 0}$  is a semigroup in  $\mathbb{D}$  and its generator is given by  $C'(C^{-1}) \cdot (H \circ C^{-1})$ .

## 2. Chordal generators in higher dimensions

**Invariant formulation for  $\mathcal{K}(\mathbb{D}, c)$  and  $\mathcal{K}(\mathbb{H}, c)$ .** For  $R > 0$ , we let  $E_{\mathbb{D}}(1, R)$  be the horodisc in  $\mathbb{D}$  with center 1 and radius  $R$ , i.e.,

$$E_{\mathbb{D}}(1, R) = \left\{ z \in \mathbb{D} \mid \left| \frac{1}{u_{\mathbb{D}}(z)} \right| < R \right\},$$

where  $u_{\mathbb{D}}(z) = -(1 - |z|^2)/(1 - z)$  is the Poisson kernel in  $\mathbb{D}$  with respect to 1.

By using the Cayley map, we define analogously

$$E_{\mathbb{H}}(\infty, R) = C^{-1}(E_{\mathbb{D}}(1, R)) = \left\{ z \in \mathbb{H} \mid \left| \frac{1}{\text{Im}(z)} \right| < R \right\}.$$

For  $z \in \mathbb{D}$  and a tangent vector  $v \in \mathbb{C}$ , we denote by  $|v|_{\mathbb{D}, z}$  the hyperbolic length of  $v$ , i.e.,

$$|v|_{\mathbb{D}, z} := \frac{2|v|}{1 - |z|^2}.$$

Furthermore, we let  $R_{\mathbb{D}}(z)$  be the radius  $R$  of the horodisc  $E_{\mathbb{D}}(1, R)$  that satisfies  $z \in \partial E(1, R)$ ; in short,  $R_{\mathbb{D}}(z) = 1/|u_{\mathbb{D}}(z)|$ . Analogously, for  $z \in \mathbb{H}$  and  $v \in \mathbb{C}$ , we define  $R_{\mathbb{H}}(z) := 1/\text{Im}(z)$  and the hyperbolic length  $|v|_{\mathbb{H}, z} := |v|/\text{Im}(z)$ .

According to (1-4), we know that  $H \in \mathcal{K}(\mathbb{H}, c)$  if and only if  $H$  maps  $\mathbb{H}$  into  $\bar{\mathbb{H}}$  and  $|H(z)| \leq c/\text{Im}(z)$  for all  $z \in \mathbb{H}$ . By using the Berkson–Porta formula, it is easy to see that we can rephrase this to:  $H \in \mathcal{K}(\mathbb{H}, c)$  if and only if  $H \in \text{Inf}(\mathbb{H})$  and  $|H(z)| \leq c/\text{Im}(z)$  for all  $z \in \mathbb{H}$ .

The last inequality is equivalent to  $|H(z)|/\text{Im}(z) \leq c/\text{Im}(z)^2$  or

$$|H(z)|_{\mathbb{H}, z} \leq \frac{c}{\text{Im}(z)^2} = c \cdot R_{\mathbb{H}}(z)^2.$$

If we pass from  $\mathbb{H}$  to  $\mathbb{D}$  and transform  $H$  into  $G = C'(C^{-1}) \cdot (H \circ C^{-1})$ , then  $G$  satisfies  $|G(C(z))|_{\mathbb{D}, C(z)} = |H(z)|_{\mathbb{H}, z}$  and we immediately get the following characterization.

**Proposition 2.1.** *Let  $G \in \text{Inf}(\mathbb{D})$ . Then*

$$G \in \mathcal{K}(\mathbb{D}, c) \iff |G(z)|_{\mathbb{D}, z} \leq c \cdot R_{\mathbb{D}}(z)^2 \text{ for all } z \in \mathbb{D}.$$

*Let  $H \in \text{Inf}(\mathbb{H})$ . Then*

$$H \in \mathcal{K}(\mathbb{H}, c) \iff |H(z)|_{\mathbb{H}, z} \leq c \cdot R_{\mathbb{H}}(z)^2 \text{ for all } z \in \mathbb{H}.$$

**Chordal generators in the unit ball.** For  $n \in \mathbb{N}$ , let  $u_n$  be the pluricomplex Poisson kernel in  $\mathbb{B}_n$  with pole at  $e_1 := (1, 0, \dots, 0)$ , i.e.,

$$u_{\mathbb{B}_n, p} = -\frac{1 - \|z\|^2}{|1 - z_1|^2}.$$

The level sets of  $u_{\mathbb{B}_n}$  are exactly the boundaries of horospheres with center  $e_1$ ; more precisely, the set

$$E_{\mathbb{B}_n}(e_1, R) := \{z \in \mathbb{B}_n \mid |u_{\mathbb{B}_n}(z)|^{-1} < R\}, \quad R > 0,$$

is the horosphere with center  $e_1$  and radius  $R$ .

Furthermore, for  $z \in \mathbb{B}_n$  and  $v \in \mathbb{C}^n$ , we denote by  $\|v\|_{\mathbb{B}_n, z}$  the Kobayashi-hyperbolic length of the vector  $v$  with respect to  $z$ .

Motivated by Proposition 2.1, we make the following definition.

**Definition 2.2.** Let  $c \geq 0$ . We define the class  $\mathcal{K}(\mathbb{B}_n, c)$  to be the set of all infinitesimal generators  $G$  on  $\mathbb{B}_n$  such that, for all  $z \in \mathbb{B}_n$ ,

$$(2-1) \quad \|G(z)\|_{\mathbb{B}_n, z} \leq \frac{c}{u_{\mathbb{B}_n}(z)^2}.$$

**Remark 2.3.**  $\mathcal{K}(\mathbb{B}_n, c)$  is a compact family: Montel's theorem and the definition of  $\mathcal{K}(\mathbb{B}_n, c)$  immediately imply that it is a normal family. If a sequence  $(G_n) \subset \mathcal{K}(\mathbb{B}_n, c)$  converges locally uniformly to  $G : \mathbb{B}_n \rightarrow \mathbb{C}^n$ , then  $G$  is holomorphic and also an infinitesimal generator, which can be seen by using the characterization given in [Bracci et al. 2010, Theorem 0.2]. Of course,  $G$  also satisfies (2-1) and we conclude  $G \in \mathcal{K}(\mathbb{B}_n, c)$ .

Just as we passed from  $\mathbb{D}$  to  $\mathbb{H}$  in one dimension, we can pass from the unit ball  $\mathbb{B}_n$  to the Siegel upper half-space  $\mathbb{H}_n = \{(z_1, \tilde{z}) \in \mathbb{C}^n \mid \operatorname{Im}(z_1) > \|\tilde{z}\|^2\}$  in order to get simpler formulas:

The Cayley map

$$C : \mathbb{H}_n \rightarrow \mathbb{B}_n, \quad C(z) = (C_1(z), \dots, C_n(z)) = \left( \frac{z_1 - i}{z_1 + i}, \frac{2z_2}{z_1 + i}, \dots, \frac{2z_n}{z_1 + i} \right),$$

maps  $\mathbb{H}_n$  biholomorphically onto  $\mathbb{B}_n$ . It extends to a homeomorphism from the one-point compactification  $\widehat{\mathbb{H}}_n = \mathbb{H}_n \cup \partial\mathbb{H}_n \cup \{\infty\}$  of  $\mathbb{H}_n \cup \partial\mathbb{H}_n$  to the closure of  $\mathbb{B}_n$ .

The pluricomplex Poisson kernel transforms as follows:

$$u_{\mathbb{H}_n}(z) := u_{\mathbb{B}_n}(C(z)) = -\operatorname{Im}(z_1) + \|\tilde{z}\|^2.$$

Thus, we define the horosphere  $E_{\mathbb{H}_n}(\infty, R)$  with center  $\infty$  and radius  $R > 0$  by

$$E_{\mathbb{H}_n}(\infty, R) := \left\{ z \in \mathbb{H}_n \mid \operatorname{Im}(z_1) - \|\tilde{z}\|^2 > \frac{1}{R} \right\}.$$

For  $v \in \mathbb{C}^n$  and  $z \in \mathbb{H}_n$ , we let  $\|v\|_{\mathbb{H}_n, z}$  be the Kobayashi hyperbolic length of  $v$ .

Let  $c \geq 0$ . We define the class  $\mathcal{K}(\mathbb{H}_n, c)$  to be the set of all infinitesimal generators  $H$  on  $\mathbb{H}_n$  satisfying the inequality

$$\|H(z)\|_{\mathbb{H}_n, z} \leq \frac{c}{u_{\mathbb{H}_n}(z)^2}$$



for all  $z \in \mathbb{H}_n$ . Then we have

$$\mathcal{K}(\mathbb{B}_n, c) = \{C'(C^{-1}) \cdot (H \circ C^{-1}) \mid H \in \mathcal{K}(\mathbb{H}_n, c)\}.$$

From now on we will stay in the upper half-space  $\mathbb{H}_n$ , where most of the computations we need take a simpler form.

### 3. Slices

**Normalized geodesics and slices.** For any  $H \in \text{Inf}(\mathbb{H}_n)$ , one can consider one-dimensional slices by using the so-called *Lempert projection devices*; see [Bracci and Shoikhet 2014, Section 3].

If  $w \in \mathbb{H}_n$ , then there exists a unique complex geodesic passing through  $w$  and  $\infty$ . Let us choose a parametrization  $\varphi : \mathbb{H} \rightarrow \mathbb{H}_n$  of this geodesic. There exists a unique holomorphic map  $P : \mathbb{H}_n \rightarrow \mathbb{H}_n$  with  $P^2 = P$  and  $P \circ \varphi = \varphi$ . Define  $\tilde{P} = \varphi^{-1} \circ P$ . Then

$$h_\varphi : \mathbb{H} \rightarrow \mathbb{C}, \quad h_\varphi(\zeta) = d\tilde{P}(\varphi(\zeta)) \cdot H(\varphi(\zeta)),$$

is an infinitesimal generator on  $\mathbb{H}$ ; see [Bracci and Shoikhet 2014, p. 6].

We will need special parametrizations of these geodesics: In [Bracci and Patrizio 2005, p. 516], it is shown that for any complex geodesic  $\varphi : \mathbb{H} \rightarrow \mathbb{H}_n$  with  $\varphi(\infty) = \infty$ , there exists  $a_\varphi > 0$  such that

$$u_{\mathbb{H}_n}(\varphi(\zeta)) = a_\varphi \cdot u_{\mathbb{H}}(\zeta)$$

for all  $\zeta \in \mathbb{H}$ . Call a geodesic  $\varphi : \mathbb{H} \rightarrow \mathbb{H}_n$  *normalized* if  $\varphi(\infty) = \infty$  and  $a_\varphi = 1$ .

**Lemma 3.1.** *Let  $a \in \mathbb{C}$  and  $\gamma \in \mathbb{C}^{n-1}$  such that  $(a, \gamma) \in \mathbb{H}_n$ . Then the map*

$$\varphi_\gamma : \mathbb{H} \rightarrow \mathbb{H}_n, \quad \varphi_\gamma(\zeta) := (\zeta + i\|\gamma\|^2, \gamma),$$

*is a normalized geodesic through  $(a, \gamma)$ . Furthermore, if  $H = (H_1, \tilde{H}) \in \text{Inf}(\mathbb{H}_n)$ , then the slice  $h_\gamma := h_{\varphi_\gamma}$  of  $H$  with respect to  $\varphi_\gamma$  is given by*

$$(3-1) \quad h_\gamma(\zeta) = H_1(\varphi_\gamma(\zeta)) - 2i\bar{\gamma}^T \cdot \tilde{H}(\varphi_\gamma(\zeta)).$$

*Proof.* Let  $\psi : \mathbb{D} \rightarrow \mathbb{B}_n$  be a complex geodesic with  $\psi(1) = e_1$ . As a parametrization for  $\psi$ , one can choose (see [Bracci and Shoikhet 2014, Section 3])

$$\psi(\zeta) = (\alpha^2(\zeta - 1) + 1, \alpha(\zeta - 1)\beta),$$

where  $\alpha > 0$  and  $\beta \in \mathbb{C}^{n-1}$  such that  $\|\beta\|^2 = 1 - \alpha^2$ . Then

$$C^{-1}(\psi(\zeta)) = \left( i \frac{2 + \alpha^2(\zeta - 1)}{\alpha^2(1 - \zeta)}, i\beta/\alpha \right)$$

and

$$\begin{aligned}\zeta \mapsto C^{-1}(\psi(C_1(\zeta))) &= \left(-i + \frac{\zeta + i}{\alpha^2}, i\beta/\alpha\right) \\ &= \left(\frac{\zeta}{\alpha^2} + i\frac{1-\alpha^2}{\alpha^2}, i\beta/\alpha\right) = \left(\frac{\zeta}{\alpha^2} + i\left\|\frac{\beta}{\alpha}\right\|^2, i\beta/\alpha\right)\end{aligned}$$

is a complex geodesic from  $\mathbb{H}$  to  $\mathbb{H}_n$ . A reparametrization  $(\zeta/\alpha^2$  to  $\zeta)$  and setting  $\gamma = i\beta/\alpha$  gives the geodesic

$$(3-2) \quad \varphi_\gamma(\zeta) = (\zeta + i\|\gamma\|^2, \gamma).$$

This complex geodesic is normalized because it satisfies  $\varphi_\gamma(\infty) = \infty$  and

$$u_{\mathbb{H}_n}(\varphi_\gamma(\zeta)) = \text{Im}(\zeta + i\|\gamma\|^2) - \|\gamma\|^2 = \text{Im}(\zeta) = u_{\mathbb{H}}(\zeta).$$

The projection onto  $\varphi_\gamma(\mathbb{H})$  is given by

$$(3-3) \quad P(z_1, \tilde{z}) = (z_1 - 2i\tilde{\gamma}^T \cdot \tilde{z} + 2i\|\gamma\|^2, \gamma).$$

Clearly,  $P$  is holomorphic and maps  $\mathbb{H}_n$  onto  $\varphi_\gamma(\mathbb{H})$  because

$$\begin{aligned}\text{Im}(z_1 - 2i\tilde{\gamma}^T \cdot \tilde{z} + 2i\|\gamma\|^2) &= \text{Im}(z_1) - 2\text{Im}(i\tilde{\gamma}^T \cdot \tilde{z}) + 2\|\gamma\|^2 \\ &\geq \|\tilde{z}\|^2 - 2\|\gamma\| \|\tilde{z}\| + \|\gamma\|^2 + \|\gamma\|^2 \\ &= (\|\gamma\| - \|\tilde{z}\|)^2 + \|\gamma\|^2 \geq \|\gamma\|^2.\end{aligned}$$

Furthermore,

$$\begin{aligned}(P \circ P)(z_1, \tilde{z}) &= (z_1 - 2i\tilde{\gamma}^T \tilde{z} + 2i\|\gamma\|^2 - 2i\tilde{\gamma}^T \gamma + 2i\|\gamma\|^2, \gamma) \\ &= (z_1 - 2i\tilde{\gamma}^T \tilde{z} + 2i\|\gamma\|^2, \gamma) = P(z_1, \tilde{z}).\end{aligned}$$

Thus, the inverse  $\tilde{P} : \mathbb{H}_2 \rightarrow \mathbb{H}$ ,  $\tilde{P} = \varphi_\gamma^{-1} \circ P$ , is given by

$$\tilde{P}(z_1, \tilde{z}) = (z_1 - 2i\tilde{\gamma}^T \tilde{z} + i\|\gamma\|^2).$$

If  $H(z) = (H_1(z), \tilde{H}(z))$  is a generator on  $\mathbb{H}_n$ , we get the slice reduction

$$\begin{aligned}h_{\varphi_\gamma}(\zeta) &= d\tilde{P}(\varphi_\gamma(\zeta)) \cdot H(\varphi_\gamma(\zeta)) \\ &= H_1(\varphi_\gamma(\zeta)) - 2i\tilde{\gamma}^T \cdot \tilde{H}(\varphi_\gamma(\zeta)).\end{aligned}$$

□

**Some explicit formulas.** Later on we will need explicit formulas of the Kobayashi norms of  $dP(z)H(z)$  and  $H(z) - dP(z) \cdot H(z)$ . The following lemma is proven in the Appendix.

**Lemma 3.2.** *Let  $a \in \mathbb{C}$ ,  $p, v \in \mathbb{C}^{n-1}$  and  $z = (z_1, \tilde{z}) \in \mathbb{H}_n$ . Then the following formulas hold:*

$$(3-4) \quad \left\| \begin{pmatrix} a \\ 0 \end{pmatrix} \right\|_{\mathbb{H}_n, z} = \frac{|a|}{|u_{\mathbb{H}_n}(z)|},$$

$$(3-5) \quad \left\| \begin{pmatrix} 2i \tilde{p}^T v \\ v \end{pmatrix} \right\|_{\mathbb{H}_n, z} = 2 \frac{\sqrt{\|v\|^2 |u_{\mathbb{H}_n}(z)| + |(p - \tilde{z})^T v|^2}}{|u_{\mathbb{H}_n}(z)|},$$

$$(3-6) \quad \left\| \begin{pmatrix} a - 2i \tilde{z}^T v \\ 0 \end{pmatrix} + \begin{pmatrix} 2i \tilde{z}^T v \\ v \end{pmatrix} \right\|_{\mathbb{H}_n, z}^2 = \left\| \begin{pmatrix} a - 2i \tilde{z}^T v \\ 0 \end{pmatrix} \right\|_{\mathbb{H}_n, z}^2 + \left\| \begin{pmatrix} 2i \tilde{z}^T v \\ v \end{pmatrix} \right\|_{\mathbb{H}_n, z}^2.$$

By using Lemma 3.2 we obtain the following explicit expressions.

**Lemma 3.3.** *Let  $H = (H_1, \tilde{H}) \in \text{Inf}(\mathbb{H}_n)$  and fix  $z \in \mathbb{H}_n$ . Denote by  $P$  the projection onto the complex geodesic through  $z$  and  $\infty$ . Then the following formulas hold:*

$$(3-7) \quad \begin{aligned} dP(z) \cdot H(z) &= (H_1(z) - 2i \tilde{z}^T \tilde{H}(z), 0), \\ H(z) - dP(z) \cdot H(z) &= (2i \tilde{z}^T \tilde{H}(z), \tilde{H}(z)). \end{aligned}$$

Furthermore,

$$(3-8) \quad \|H(z)\|_{\mathbb{H}_n, z}^2 = \|dP(z) \cdot H(z)\|_{\mathbb{H}_n, z}^2 + \|H(z) - dP(z) \cdot H(z)\|_{\mathbb{H}_n, z}^2,$$

$$(3-9) \quad \|dP(z)H(z)\|_{\mathbb{H}_n, z} = \frac{|H_1(z) - 2i \tilde{z}^T \tilde{H}(z)|}{|u_{\mathbb{H}_n}(z)|},$$

$$(3-10) \quad \|H(z) - dP(z) \cdot H(z)\|_{\mathbb{H}_n, z} = 2 \frac{\|\tilde{H}(z)\|}{\sqrt{|u_{\mathbb{H}_n}(z)|}}.$$

*Proof.* The formulas for  $dP(z)H(z)$  and  $H(z) - dP(z)H(z)$  follow from the explicit form (3-3).

Equation (3-8) follows from (3-6) with  $a = H_1(z)$  and  $v = \tilde{H}(z)$ .

Furthermore, (3-9) follows directly from (3-4) with  $a = H_1(z) - 2i \tilde{z}^T \tilde{H}(z)$  and (3-10) from (3-5) by setting  $p = \tilde{z}$  and  $v = \tilde{H}$ .  $\square$

### *Slices of generators in $\mathcal{K}(\mathbb{H}_n, c)$ and examples.*

**Proposition 3.4.** *Let  $c \geq 0$  and  $H \in \mathcal{K}(\mathbb{H}_n, c)$ . Then every normalized slice  $h_\gamma$  of  $H$  belongs to  $\mathcal{K}(\mathbb{H}, c)$ .*

*Proof.* Fix  $\gamma \in \mathbb{C}^{n-1}$  and  $\zeta \in \mathbb{H}$  and let  $z = \varphi_\gamma(\zeta)$ .

Furthermore, let  $P$  be the projection onto  $\varphi_\gamma(\mathbb{H})$ . Now we write  $H(z)$  as

$$H(z) = dP(z) \cdot H(z) + (H(z) - dP(z)H(z)).$$

As  $H \in \mathcal{K}(\mathbb{H}_n, c)$ , equation (3-8) implies

$$\|H(z)\|_{\mathbb{H}_n, z}^2 = \|dP(z) \cdot H(z)\|_{\mathbb{H}_n, z}^2 + \|H(z) - dP(z)H(z)\|_{\mathbb{H}_n, z}^2 \leq \frac{c^2}{u_{\mathbb{H}_n}(z)^4}.$$

In particular,

$$(3-11) \quad \|dP(z) \cdot H(z)\|_{\mathbb{H}_n, z} \leq \frac{c}{u_{\mathbb{H}_n}(z)^2}.$$

By the definition of the slice  $h_\gamma$ , we have

$$dP(\varphi_\gamma(\zeta)) \cdot H(\varphi_\gamma(\zeta)) = (d\varphi_\gamma)(\zeta) \cdot h_\gamma(\zeta),$$

and consequently

$$\|dP(\varphi_\gamma(\zeta)) \cdot H(\varphi_\gamma(\zeta))\|_{\mathbb{H}_n, \varphi_\gamma(\zeta)} = \|(d\varphi_\gamma)(\zeta) \cdot h_\gamma(\zeta)\|_{\mathbb{H}_n, \varphi_\gamma(\zeta)} = |h_\gamma(\zeta)|_{\mathbb{H}, \zeta}.$$

The last equality holds as  $\varphi_\gamma$  is a complex geodesic. Equation (3-11) implies

$$|h_\gamma(\zeta)|_{\mathbb{H}, \zeta} \leq \frac{c}{u_{\mathbb{H}_n}(\varphi_\gamma(\zeta))^2} = \frac{c}{u_{\mathbb{H}}(\zeta)^2},$$

where the last equality holds as  $\varphi_\gamma$  is normalized. Hence,  $h_\gamma \in \mathcal{K}(\mathbb{H}, c)$ .  $\square$

**Remark 3.5.** If two holomorphic functions  $H_1, H_2 : \mathbb{H}_n \rightarrow \mathbb{C}^n$  have the same slices, i.e.,  $dP(z)H_1(z) = dP(z)H_2(z)$  for all  $z \in \mathbb{H}_n$ , then  $H_1 = H_2$ ; see the proof of Theorem 3.2 in [Casavecchia 2010].

**Example 3.6.** The family  $\{\Phi_t(z) = (z_1, e^{-it/z_1} z_2)\}_{t \geq 0}$  is a semigroup on  $\mathbb{H}_2$ . Its generator  $H$  is given by

$$H(z_1, z_2) = \begin{pmatrix} 0, -i \frac{z_2}{z_1} \end{pmatrix}.$$

Thus, for  $\gamma \in \mathbb{C}$ , the slice  $h_\gamma$  has the form

$$h_\gamma(z) = -2i \bar{\gamma} \cdot -i \frac{\gamma}{z + i|\gamma|^2} = \frac{-2|\gamma|^2}{z + i|\gamma|^2}.$$

Consequently, the limit  $\lim_{y \rightarrow \infty} y \cdot |h(iy)| = 2|\gamma|^2$  exists, but does not have an upper bound that is independent of  $\gamma$ . Proposition 3.4 implies that for any  $c \geq 0$ ,  $H \notin \mathcal{K}(\mathbb{H}_2, c)$ .

**Example 3.7.** Let

$$H : \mathbb{H}_2 \rightarrow \mathbb{C}^2, \quad H(z_1, z_2) = \begin{pmatrix} -1/z_1 \\ z_2/2z_1^2 \end{pmatrix}.$$

For  $\gamma \in \mathbb{C}$ , the slice  $h_\gamma$  is given by

$$\begin{aligned} h_\gamma(\zeta) &= \frac{-1}{\zeta + i|\gamma|^2} - 2i\bar{\gamma} \cdot \frac{\gamma}{2(\zeta + i|\gamma|^2)^2} \\ &= \frac{-\zeta - 2i|\gamma|^2}{(\zeta + i|\gamma|^2)^2} = \frac{(-\zeta - 2i|\gamma|^2)(\bar{\zeta}^2 - 2i|\gamma|^2\bar{\zeta} - |\gamma|^4)}{|\zeta + i|\gamma|^2|^4}. \end{aligned}$$

Let us write  $\zeta = x + iy$ ,  $x \in \mathbb{R}$ ,  $y \in (0, \infty)$ . Then a small calculation gives

$$\operatorname{Im}(h_\gamma(\zeta)) = \frac{y(x^2 + y^2) + 4y^2|\gamma|^2 + 5y|\gamma|^4 + 2|\gamma|^6}{|\zeta + i|\gamma|^2|^4} > 0.$$

Furthermore,

$$\limsup_{y \rightarrow \infty} y|h_\gamma(iy)| = 1.$$

Hence,  $h_\gamma \in \mathcal{K}(\mathbb{H}, 1)$ . So each slice is an infinitesimal generator in  $\mathbb{H}$  and by [Bracci and Shoikhet 2014, Proposition 3.8], the function  $H$  is an infinitesimal generator in  $\mathbb{H}_2$ .

Now let  $(z_1, z_2) \in \mathbb{H}_2$  and write  $z_1 = x + iy$ ,  $x, y \in \mathbb{R}$ . Then we get

$$\begin{aligned} u_{\mathbb{H}_2}(z)^4 \cdot \|H(z)\|_{\mathbb{H}_2, z}^2 &= (y - |z_2|^2)^2 \cdot \frac{x^2 + y^2 + 3|z_2|^2 y}{(x^2 + y^2)^2} \\ &\leq_{y \geq |z_2|^2} y^2 \cdot \frac{x^2 + y^2 + 3y^2}{(x^2 + y^2)^2} \leq \frac{x^2 + 4y^2}{x^2 + y^2} \leq 4 \end{aligned}$$

(an explicit formula of the Kobayashi metric is given in the Appendix). Consequently,  $H \in \mathcal{K}(\mathbb{H}_2, 2)$ .

**Question 3.8.** Let  $H : \mathbb{H}_n \rightarrow \mathbb{C}^n$  be an infinitesimal generator. Assume there exists  $c \geq 0$  such that  $h_\gamma \in \mathcal{K}(\mathbb{H}, c)$  for every  $\gamma \in \mathbb{C}^{n-1}$ . Does this imply that  $H \in \mathcal{K}(\mathbb{H}_n, C)$  for some  $C \geq c$ ?

#### 4. Univalent functions with hydrodynamic normalization

Motivated by Remark 1.6, we define the following generalization of the class  $\mathfrak{P}$ , where  $\operatorname{id}$  stands for the identity mapping on  $\mathbb{H}_n$ .

**Definition 4.1.**

$$\mathfrak{P}_n := \{f : \mathbb{H}_n \rightarrow \mathbb{H}_n \mid f \text{ is univalent and } f - \operatorname{id} \in \mathcal{K}(\mathbb{H}_n, c) \text{ for some } c \geq 0\}.$$

**Remark 4.2.** It is important to note that if  $f : \mathbb{H}_n \rightarrow \mathbb{H}_n$  is a holomorphic self-mapping, then the map  $f - \operatorname{id}$  is automatically an infinitesimal generator; see [Reich and Shoikhet 2005, p. 207].

**Basic properties of  $\mathfrak{P}_n$ .** The following proposition summarizes some basic properties of  $\mathfrak{P}_n$ .

**Proposition 4.3.** (a)  $\mathfrak{P}_n$  contains no automorphism of  $\mathbb{H}_n$  except the identity.

(b) Let  $\alpha : \mathbb{H}_n \rightarrow \mathbb{H}_n$  be an automorphism of  $\mathbb{H}_n$  with  $\alpha(\infty) = \infty$ . If  $f \in \mathfrak{P}_n$ , then  $\alpha^{-1} \circ f \circ \alpha \in \mathfrak{P}_n$ .

(c) Let  $f \in \mathfrak{P}_n$ . Then  $f(E_{\mathbb{H}_n}(\infty, R)) \subset E_{\mathbb{H}_n}(\infty, R)$  for every  $R > 0$ .

(d) Let  $f \in \mathfrak{P}_n$  and write  $f(z) = z + H(z)$  with  $H = (H_1, \tilde{H}) \in \mathcal{K}(\mathbb{H}_n, c)$ . Then

$$(4.1) \quad \|\tilde{H}(z)\|^2 \leq |H_1(z) - 2i\tilde{z}^T \tilde{H}| \quad \text{for all } z = (z_1, \tilde{z}) \in \mathbb{H}_n.$$

(e) Let  $f \in \mathfrak{P}_n$ . Then there exists  $R > 0$  such that  $E_{\mathbb{H}_n}(\infty, R) \subset f(\mathbb{H}_n)$ .

*Proof.* The statements (a) and (b) can easily be shown by using the explicit form of automorphisms of  $\mathbb{H}_n$ ; see [Abate 1989, Proposition 2.2.4].

The statement (c) is just Julia's lemma: Write  $f(z) = z + H(z)$  and let us pass to the unit ball and define  $\tilde{f} : \mathbb{B}_n \rightarrow \mathbb{B}_n$ ,  $\tilde{f} = C \circ f \circ C^{-1}$ . Then

$$\tilde{f} = \frac{1}{2i + H_1(C^{-1}(z)) - z_1 H_1(C^{-1}(z))} \left( \left( \frac{(1 - z_1)H_1(C^{-1}(z))}{2(1 - z_1)\tilde{H}(C^{-1}(z))} \right) + 2iz \right).$$

By taking the sequence  $z_n = (1 - 1/n, 0)$ , it is easy to see that

$$\lim_{n \rightarrow \infty} \tilde{f}(z_n) = e_1 \quad \text{and} \quad \lim_{n \rightarrow \infty} \frac{1 - \|\tilde{f}(z_n)\|}{1 - \|z_n\|} = 1,$$

i.e.,  $e_1$  is a boundary regular fixed point of  $\tilde{f}$  with boundary dilatation coefficient  $\leq 1$ . Julia's lemma (see [Abate 1989, Theorem 2.2.21]) implies that  $\tilde{f}(E_{\mathbb{B}_n}(e_1, R)) \subset E_{\mathbb{B}_n}(e_1, R)$  for any  $R > 0$ .

Inequality (d) follows directly from (c): Let  $z = (z_1, \tilde{z}) \in \mathbb{H}_n$ . Another formulation of (c) is  $-u_{\mathbb{H}_n}(z + H(z)) \geq -u_{\mathbb{H}_n}(z)$ , or more explicitly

$$\begin{aligned} \operatorname{Im}(z_1) + \operatorname{Im}(H_1(z)) - \|\tilde{z} + \tilde{H}(z)\|^2 &\geq \operatorname{Im}(z_1) - \|\tilde{z}\|^2 \\ \iff \operatorname{Im}(H_1(z)) &\geq \|\tilde{z} + \tilde{H}(z)\|^2 - \|\tilde{z}\|^2 = 2\operatorname{Re}(\tilde{z}^T \tilde{H}(z)) + \|\tilde{H}(z)\|^2 \\ \iff \operatorname{Im}(H_1(z) - 2i\tilde{z}^T \tilde{H}(z)) &\geq \|\tilde{H}(z)\|^2. \end{aligned}$$

From this inequality it follows that  $\|\tilde{H}(z)\|^2 \leq |H_1(z) - 2i\tilde{z}^T \tilde{H}|$  for all  $z \in \mathbb{H}_n$ .

Finally we prove (e):

Let  $f \in \mathfrak{P}_n$  and write  $f(z) = z + H(z)$  with  $H \in \mathcal{K}(\mathbb{H}_n, c)$ . Because of (c),  $f$  maps the horosphere  $E_{\mathbb{H}_n}(\infty, 1)$  into itself. Hence the statement is proven if we can show that  $u_{\mathbb{H}_n}$  is bounded on  $f(\partial E_{\mathbb{H}_n}(\infty, 1))$ .

Let  $z \in \mathbb{H}_n$  with  $z \in \partial E_{\mathbb{H}_n}(\infty, 1)$ , i.e.,  $|u_{\mathbb{H}_n}(z)| = 1$ . Furthermore, we choose  $\zeta \in \mathbb{H}$  and  $\gamma \in \mathbb{C}$  such that  $\varphi_\gamma(\zeta) = z$ . Note that this implies  $|u_{\mathbb{H}}(\zeta)| = \operatorname{Im}(\zeta) = 1$ .

Let  $P$  be the projection onto  $\varphi_\gamma(\mathbb{H})$ .

Then we have

$$|u_{\mathbb{H}_n}(f(z))| = |u_{\mathbb{H}_n}(z + H(z))| = |u_{\mathbb{H}_n}(\underbrace{z + dP(z)H(z)}_{=: w} + \underbrace{H(z) - dP(z)H(z)}_{=: v})|.$$

As  $dP(z) \cdot dP(z) = dP(z)$ , we have  $dP(z) \cdot v = 0$ . A small calculation (see also [Casavecchia 2010, Lemma 3.1]) gives  $v \in T_z^{\mathbb{C}} \partial E_{\mathbb{H}_n}(\infty, 1)$ . Furthermore, also  $w \in \varphi_{\gamma}(\mathbb{H})$  and  $dP(z) = dP(w)$  and we get  $v \in T_w^{\mathbb{C}} \partial E_{\mathbb{H}_n}(\infty, |u_{\mathbb{H}_n}(w)|^{-1})$ . As  $E_{\mathbb{H}_n}(\infty, |u_{\mathbb{H}_n}(w)|^{-1}) = \{z \in \mathbb{H}_n \mid |u_{\mathbb{H}_n}(z)| > |u_{\mathbb{H}_n}(w)|\}$  is convex, this implies

$$\begin{aligned} |u_{\mathbb{H}_n}(w+v)| &\leq |u_{\mathbb{H}_n}(w)| = |u_{\mathbb{H}_n}(z + dP(z)H(z))| \stackrel{\text{Lemma 3.3}}{=} |u_{\mathbb{H}_n}(z + (h_{\gamma}(\zeta), 0))| \\ &= \text{Im}(z_1) - \|\tilde{z}\|^2 + \text{Im}(h_{\gamma}(\zeta)) \leq \text{Im}(z_1) - \|\tilde{z}\|^2 + |h_{\gamma}(\zeta)| \\ &= |u_{\mathbb{H}_n}(z)| + |h_{\gamma}(\zeta)| = 1 + |h_{\gamma}(\zeta)| \leq 1 + \frac{c}{\text{Im}(\zeta)} = 1 + c. \end{aligned}$$

Consequently,  $f(\mathbb{H}_n) \supset f(E_{\mathbb{H}_n}(\infty, 1)) \supset E_{\mathbb{H}_n}(\infty, 1 + c)$ .  $\square$

**Theorem 4.4.**  $\mathfrak{P}_n$  is a semigroup: if  $f, g \in \mathfrak{P}_n$ , then  $f \circ g \in \mathfrak{P}_n$ .

*Proof.* Let  $f, g \in \mathfrak{P}_n$  with  $F = (F_1, \tilde{F}) := f - \text{id}$ ,  $G = (G_1, \tilde{G}) := g - \text{id}$  and

$$\|F(z)\|_{\mathbb{H}_n, z} \leq \frac{c}{u_{\mathbb{H}_n}(z)^2}, \quad \|G(z)\|_{\mathbb{H}_n, z} \leq \frac{d}{u_{\mathbb{H}_n}(z)^2}$$

for all  $z \in \mathbb{H}_n$ . Let  $z = (z_1, \tilde{z}) \in \mathbb{H}_n$  and  $p = (p_1, \tilde{p}) := z + G(z)$ .

From Remark 4.2, we know that  $f \circ g - \text{id}$  is an infinitesimal generator on  $\mathbb{H}_n$ . It remains to estimate the hyperbolic metric of this generator. We have

$$\begin{aligned} \|(f \circ g)(z) - z\|_{\mathbb{H}_n, z} &= \|G(z) + F(z + G(z))\|_{\mathbb{H}_n, z} \\ &\leq \|G(z)\|_{\mathbb{H}_n, z} + \|F(z + G(z))\|_{\mathbb{H}_n, z} \leq \frac{d}{u_{\mathbb{H}_n}(z)^2} + \|F(p)\|_{\mathbb{H}_n, z} \\ &\leq \frac{d}{u_{\mathbb{H}_n}(z)^2} + \|(F_1(p) - 2i\tilde{p}^T \tilde{F}(p), 0)\|_{\mathbb{H}_n, z} + \|(2i\tilde{p}^T \tilde{F}(p), \tilde{F}(p))\|_{\mathbb{H}_n, z}. \end{aligned}$$

Note that  $F_1(p) - 2i\tilde{p}^T \tilde{F}(p)$  corresponds to the slice of  $F$  with respect to the geodesic through  $p$  and infinity. Because of Proposition 3.4, we know that

$$|F_1(p) - 2i\tilde{p}^T \tilde{F}(p)| \leq \frac{c}{|u_{\mathbb{H}_n}(p)|} \leq \frac{c}{|u_{\mathbb{H}_n}(z)|},$$

where the second inequality follows from Proposition 4.3 (c). Together with (3-4), this implies

$$(4-2) \quad \|(F_1(p) - 2i\tilde{p}^T \tilde{F}(p), 0)\|_{\mathbb{H}_n, z} = \frac{|(F_1(p) - 2i\tilde{p}^T \tilde{F}(p))|}{|u_{\mathbb{H}_n}(z)|} \leq \frac{c}{u_{\mathbb{H}_n}(z)^2}.$$

It remains to show that there exists a constant  $C > 0$  such that

$$\|(2i \tilde{p}^T \tilde{F}(p), \tilde{F}(p))\|_{\mathbb{H}_n, z} \leq \frac{C}{|u_{\mathbb{H}_n}(z)|^2}.$$

First, (3-5) gives

(4-3)

$$\begin{aligned} \|(2i \tilde{p}^T \tilde{F}(p), \tilde{F}(p))\|_{\mathbb{H}_n, z} &= 2 \frac{\sqrt{\|\tilde{F}(p)\|^2 |u_{\mathbb{H}_n}(z)| + |(\tilde{p} - \tilde{z})^T \tilde{F}(p)|^2}}{|u_{\mathbb{H}_n}(z)|} \\ &\leq 2 \frac{\sqrt{\|\tilde{F}(p)\|^2 |u_{\mathbb{H}_n}(z)| + \|\tilde{p} - \tilde{z}\|^2 \cdot \|\tilde{F}(p)\|^2}}{|u_{\mathbb{H}_n}(z)|} \\ &= 2 \frac{\|\tilde{F}(p)\|}{|u_{\mathbb{H}_n}(z)|} \sqrt{|u_{\mathbb{H}_n}(z)| + \|\tilde{G}(z)\|^2}. \end{aligned}$$

Now we differentiate between two cases.

**Case 1:**  $|u_{\mathbb{H}_n}(z)| \geq 1$ . The equations (3-8) and (3-10) imply

$$2 \frac{\|\tilde{F}(p)\|}{\sqrt{|u_{\mathbb{H}_n}(p)|}} \leq \|\tilde{F}(p)\|_{\mathbb{H}_n, p} \leq \frac{c}{|u_{\mathbb{H}_n}(p)|^2};$$

thus

$$(4-4) \quad \|\tilde{F}(p)\| \leq \frac{c}{2|u_{\mathbb{H}_n}(p)|^{3/2}} \leq \frac{c}{2|u_{\mathbb{H}_n}(z)|^{3/2}}.$$

In the same way, we get

$$(4-5) \quad \|\tilde{G}(z)\| \leq \frac{d}{2|u_{\mathbb{H}_n}(z)|^{3/2}}.$$

Combining (4-4) with (4-3) gives

$$\begin{aligned} \|(2i \tilde{p}^T \tilde{F}(p), \tilde{F}(p))\|_{\mathbb{H}_n, z} &\leq \frac{c}{|u_{\mathbb{H}_n}(z)| |u_{\mathbb{H}_n}(z)|^{3/2}} \sqrt{|u_{\mathbb{H}_n}(z)| + \|\tilde{G}(z)\|^2} \\ &= \frac{c}{|u_{\mathbb{H}_n}(z)|^2} \sqrt{1 + \frac{\|\tilde{G}(z)\|^2}{|u_{\mathbb{H}_n}(z)|}} \\ &\stackrel{(4-5)}{\leq} \frac{c}{|u_{\mathbb{H}_n}(z)|^2} \sqrt{1 + \frac{d^2}{4|u_{\mathbb{H}_n}(z)|^4}} \\ &\leq \frac{c \sqrt{1 + d^2/4}}{|u_{\mathbb{H}_n}(z)|^2}. \end{aligned}$$



**Case 2:**  $|u_{\mathbb{H}_n}(z)| \leq 1$ . From (4-2) we know that  $|F_1(p) - 2i \bar{p}^T \tilde{F}(p)| \leq c/|u_{\mathbb{H}_n}(z)|$ , and (4-1) implies

$$\|\tilde{F}(p)\| \leq \frac{\sqrt{c}}{\sqrt{|u_{\mathbb{H}_n}(z)|}}.$$

Similarly we get

$$\|\tilde{G}(z)\| \leq \frac{\sqrt{d}}{\sqrt{|u_{\mathbb{H}_n}(z)|}}.$$

Hence, with (4-3) we obtain

$$\begin{aligned} \|(2i \bar{p}^T \tilde{F}(p), \tilde{F}(p))\|_{\mathbb{H}_n, z} &\leq 2 \frac{\sqrt{c}}{|u_{\mathbb{H}_n}(z)|^{3/2}} \sqrt{|u_{\mathbb{H}_n}(z)| + \|\tilde{G}(z)\|^2} \\ &\leq 2 \frac{\sqrt{c}}{|u_{\mathbb{H}_n}(z)|^{3/2}} \sqrt{|u_{\mathbb{H}_n}(z)| + \frac{d}{|u_{\mathbb{H}_n}(z)|}} \\ &= 2 \frac{\sqrt{c}}{|u_{\mathbb{H}_n}(z)|^2} \sqrt{|u_{\mathbb{H}_n}(z)|^2 + d} \\ &\leq 2 \frac{\sqrt{c}}{|u_{\mathbb{H}_n}(z)|^2} \sqrt{1 + d}. \end{aligned} \quad \square$$

**On the Loewner equation with a  $\mathcal{K}(\mathbb{H}_n, c)$ -Herglotz vector field.** Let  $\{\Phi_t\}_{t \geq 0}$  be a semigroup on  $\mathbb{H}_n$  with generator  $H \in \mathcal{K}(\mathbb{H}_n, c)$ . Next we will show that this implies  $\Phi_t \in \mathfrak{P}_n$  for every  $t \geq 0$ .

In fact we can prove a little more by considering a nonautonomous version of (1-1). To this end, let  $\{H_t : \mathbb{H}_n \rightarrow \mathbb{C}^n\}_{t \geq 0}$  be a  $\mathcal{K}(\mathbb{H}_n, c)$ -Herglotz vector field, i.e.,  $H_t \in \mathcal{K}(\mathbb{H}_n, c)$  for almost every  $t \geq 0$  and the map  $t \mapsto H_t(z)$  is measurable for every  $z \in \mathbb{H}_n$ ; see [Arosio and Bracci 2011, Definition 1.2]. In this case, one can solve the nonautonomous version of (1-1), namely the Loewner equation

$$(4-6) \quad \frac{\partial \varphi_t(z)}{\partial t} = H_t(\varphi_t(z)), \quad \varphi_0(z) = z \in \mathbb{H}_n,$$

which gives a family  $\{\varphi_t\}_{t \geq 0}$  of univalent self-mappings of  $\mathbb{H}_n$ ; see [Arosio and Bracci 2011, Theorem 1.4].

**Theorem 4.5.** *If  $\{H_t\}_{t \geq 0}$  is a  $\mathcal{K}(\mathbb{H}_n, c)$ -Herglotz vector field and  $\{\varphi_t\}_{t \geq 0}$  the solution to (4-6), then  $\varphi_t \in \mathfrak{P}_n$  for every  $t \geq 0$ .*

*Proof.* Firstly, for every  $t \geq 0$  and  $R > 0$ , the map  $\varphi_t$  maps the horosphere  $E_{\mathbb{H}_n}(\infty, R)$  into itself, i.e.,

$$(4-7) \quad |u_{\mathbb{H}_n}(\varphi_t(z))| \geq |u_{\mathbb{H}_n}(z)|$$

for every  $z \in \mathbb{H}_n$ . This can be seen as follows:

First, consider the autonomous case  $H_t(z) = J(z)$  for every  $t \geq 0$  and some  $J \in \mathcal{K}(\mathbb{H}_n, c)$ . Let  $G$  be the corresponding generator in the unit ball, i.e.,  $G = C'(C^{-1}) \cdot (J \circ C^{-1})$ . Then  $G$  satisfies the inequality

$$\|G(z)\| \leq \|G(z)\|_{\mathbb{B}_n, z} \leq \frac{c}{u_{\mathbb{B}_n}(z)^2} = \frac{c|1 - z_1|^4}{(1 - \|z\|^2)^2}.$$

Putting  $z = r \cdot e_1$  gives

$$\|G(re_1)\| \leq \frac{c(1-r)^4}{(1-r^2)^2} = \frac{c(1-r)^2}{(1+r)^2}.$$

From this it follows immediately that

$$\lim_{(0,1) \ni r \rightarrow 1} G(re_1) = 0 \quad \text{and} \quad \lim_{(0,1) \ni r \rightarrow 1} \frac{G_1(re_1)}{r-1} = 0.$$

Theorem 0.3 in [Bracci et al. 2010] implies that  $e_1$  is a boundary regular fixed point for the generated semigroup with boundary dilatation coefficient 1. Hence we can apply Julia's lemma and obtain (4-7).

Now assume that  $H_t(z)$  is piecewise constant with respect to time. By using the previous case, we see that (4-7) also holds in this case.

Finally, for a general  $\mathcal{K}(\mathbb{H}_n, c)$ -Herglotz vector field  $H_t(z)$ , we can approximate the solution  $\varphi_t$  by a sequence  $\varphi_{t,n}$  such that for each  $n$ , the family  $\{\varphi_{t,n}\}_{t \geq 0}$  solves (4-6) with a piecewise constant  $\mathcal{K}(\mathbb{H}_n, c)$ -Herglotz vector field. By using the continuity of  $u_{\mathbb{H}_n}(z)$ , we see that (4-7) also holds for  $\varphi_t$ .

Let  $z = (z_1, z_2) \in \mathbb{H}_n$  and write  $\varphi_t = (\varphi_{1,t}, \tilde{\varphi}_t)$ ,  $H_t = (H_{1,t}, \tilde{H}_t)$ . The mapping  $\varphi_t$  satisfies the integral equation

$$\varphi_t(z) = z + \int_0^t H_s(\varphi_s(z)) \, ds.$$

Similarly to the proof of Theorem 4.4, (4-4), we deduce from the fact that  $H_t \in \mathcal{K}(\mathbb{H}_n, c)$  for almost every  $t \geq 0$  and equations (3-8) and (3-10) that

$$(4-8) \quad \|\tilde{H}_t(\varphi_t(z))\| \leq \frac{c}{2|u_{\mathbb{H}_n}(z)|^{3/2}}$$

for every  $z \in \mathbb{H}_n$  and almost every  $t \geq 0$ , and similarly to (4-2), we deduce that

$$(4-9) \quad \|(H_{1,t}(\varphi_t(z)) - 2i\tilde{\varphi}_t^T \tilde{H}_t(\varphi_t(z)), 0)\|_{\mathbb{H}_n, z} \leq \frac{c}{u_{\mathbb{H}_n}(z)^2}$$

for every  $z \in \mathbb{H}_n$  and almost every  $t \geq 0$ .

First we get

$$(4-10) \quad \|\tilde{\varphi}_s - \tilde{z}\| \leq \int_0^s \|\tilde{H}_\tau(\varphi_\tau(z))\| \, d\tau \leq \int_0^s \frac{c}{2|u_{\mathbb{H}_n}(z)|^{3/2}} \, d\tau = \frac{cs}{2|u_{\mathbb{H}_n}(z)|^{3/2}}.$$

Suppose  $|u_{\mathbb{H}_n}(z)| \geq 1$ . Then we have

$$\begin{aligned}
 \|\varphi_t(z) - z\|_{\mathbb{H}_n, z} &\leq \int_0^t \|H_s(\varphi_s(z))\|_{\mathbb{H}_n, z} ds \\
 &\leq \int_0^t \left\| \begin{pmatrix} H_{1,s}(\varphi_s(z)) - 2i\tilde{\varphi}_s^T \tilde{H}_s(\varphi_s(z)) \\ 0 \end{pmatrix} \right\|_{\mathbb{H}_n, z} ds \\
 &\quad + \int_0^t \left\| \begin{pmatrix} 2i\tilde{\varphi}_s^T \tilde{H}_s(\varphi_s(z)) \\ \tilde{H}_s(\varphi_s(z)) \end{pmatrix} \right\|_{\mathbb{H}_n, z} ds \\
 &\stackrel{(4-9), (3-5)}{\leq} \int_0^t \frac{c}{|u_{\mathbb{H}_n}(z)|^2} ds + \int_0^t 2 \frac{\|\tilde{H}_s(\varphi_s(z))\|}{|u_{\mathbb{H}_n}(z)|} \sqrt{|u_{\mathbb{H}_n}(z)| + \|\tilde{\varphi}_s - \tilde{z}\|^2} ds \\
 &\stackrel{(4-8), (4-10)}{\leq} \int_0^t \frac{c}{|u_{\mathbb{H}_n}(z)|^2} ds + \int_0^t \frac{c}{|u_{\mathbb{H}_n}(z)|^{5/2}} \sqrt{|u_{\mathbb{H}_n}(z)| + \frac{c^2 s^2}{4|u_{\mathbb{H}_n}(z)|^3}} ds \\
 &= \frac{ct}{|u_{\mathbb{H}_n}(z)|^2} + \int_0^t \frac{c}{|u_{\mathbb{H}_n}(z)|^2} \sqrt{1 + \frac{c^2 s^2}{4|u_{\mathbb{H}_n}(z)|^4}} ds \\
 &\leq \frac{ct}{|u_{\mathbb{H}_n}(z)|^2} + \int_0^t \frac{c}{|u_{\mathbb{H}_n}(z)|^2} \sqrt{1 + c^2 s^2} ds \\
 &= c \cdot \frac{t + \int_0^t \sqrt{1 + c^2 s^2} ds}{|u_{\mathbb{H}_n}(z)|^2}.
 \end{aligned}$$

The case  $|u_{\mathbb{H}_n}(z)| \leq 1$  is treated similarly, compare with the proof of Theorem 4.4, and we conclude that for every  $t \geq 0$ , there exists  $C > 0$  such that  $\|\varphi_t(z) - z\|_{\mathbb{H}_n} \leq C/|u_{\mathbb{H}_n}(z)|^2$  for all  $z \in \mathbb{H}_n$ . Together with Remark 4.2, this implies that  $\varphi_t \in \mathfrak{P}_n$ .  $\square$

**Question 4.6.** Let  $f \in \mathfrak{P}_1$ . In [Goryaĭnov and Ba 1992, Section 4], it is shown that there exists a  $\mathcal{K}(\mathbb{H}, c)$ -Herglotz vector field  $H_t$  and a time  $T \geq 0$  such that  $f = \varphi_T$ , where  $\{\varphi_t\}_{t \geq 0}$  is the solution of (4-6). What can be said in the higher-dimensional case?

**On the behavior of iterates.** Let  $F : \mathbb{B}_n \rightarrow \mathbb{B}_n$  be holomorphic. We say that  $p \in \overline{\mathbb{B}_n}$  is the Denjoy–Wolff point of  $F$  if  $F^n \rightarrow p$  for  $n \rightarrow \infty$  locally uniformly. The basic results about the behavior of the iterates  $F^n$  for  $n \rightarrow \infty$  can be found in [Abate 1989, Chapter 2.2]. In particular we have (Theorem 2.2.31)

(4-11)

$F$  has a Denjoy–Wolff point on the boundary  $\partial \mathbb{B}_n \iff F$  has no fixed points.

Now let  $f \in \mathfrak{P}_n$ . For  $n = 1$ ,  $f$  has the Denjoy–Wolff point  $\infty$  if  $f$  is not the identity: As  $f$  is not an elliptic automorphism, the classical Denjoy–Wolff theorem

implies that  $f$  has a Denjoy–Wolff point. This point has to be  $\infty$ , e.g., because of Proposition 4.3 (c).

Next we will show that this is also true in higher dimensions, provided that  $f$  extends smoothly to the boundary point  $\infty$ . There are different possible definitions of smoothness of  $f$  near  $\infty$ . We will use the following one: Let  $H(z) = f(z) - z$ , and denote by  $G : \mathbb{B}_n \rightarrow \mathbb{C}^n$  the corresponding generator on  $\mathbb{B}_n$ ; i.e., we have

$$H(z) = (C^{-1})'(C(z)) \cdot G(C(z))$$

and a small computation shows

$$H_1(z) = -\frac{i}{2}(z_1 + i)^2 \cdot G_1(C(z)).$$

Our smoothness condition will be that  $G_1$  has a  $C^3$ -extension to  $e_1$ ; i.e., we can write

$$G_1(z) = \sum_{\substack{k_1 + \dots + k_n \leq 3 \\ k_1, \dots, k_n \geq 0}} a_{k_1, \dots, k_n} (z_1 - 1)^{k_1} \cdot z_2^{k_2} \dots z_n^{k_n} + \mathcal{O}(\|z - e_1\|^3),$$

which translates to

$$H_1(z) = -\frac{i}{2}(z_1 + i)^2.$$

$$\sum_{k_1 + \dots + k_n \leq 3} a_{k_1, \dots, k_n} \left( \frac{-2i}{z_1 + i} \right)^{k_1} \cdot \left( \frac{2z_2}{z_1 + i} \right)^{k_2} \dots \left( \frac{2z_n}{z_1 + i} \right)^{k_n} + \mathcal{O}(\|C(z) - e_1\|^3),$$

or

(4-12)

$$\begin{aligned} H_1(z) = & b_{0, \dots, 0} \cdot (z_1 + i)^2 + (z_1 + i) \cdot \sum_{k_1 + \dots + k_n = 1} b_{k_1, \dots, k_n} z_2^{k_2} \dots z_n^{k_n} \\ & + \sum_{k_1 + \dots + k_n = 2} b_{k_1, \dots, k_n} z_2^{k_2} \dots z_n^{k_n} + (z_1 + i)^{-1} \cdot \sum_{k_1 + \dots + k_n = 3} b_{k_1, \dots, k_n} z_2^{k_2} \dots z_n^{k_n} \\ & + \mathcal{O}(|z_1 + i|^{-1} \cdot \|(1, z_2, \dots, z_n)\|^3) \end{aligned}$$

for some coefficients  $b_{k_1, \dots, k_n} \in \mathbb{C}$ .

**Theorem 4.7.** *Let  $f \in \mathfrak{P}_n$ ,  $f \neq \text{id}$ , and assume that (4-12) is satisfied. Then  $\infty$  is the Denjoy–Wolff point of  $f$ .*

*Proof.* Write  $f(z) = z + H(z)$ , where  $H \in \mathcal{K}(\mathbb{H}_n, c)$  and  $H = (H_1, \tilde{H})$ . Let  $\gamma \in \mathbb{C}^{n-1}$ . If we can show that the slice  $h_\gamma(\zeta) = H_1(\varphi(\zeta)) - 2i \bar{\gamma}^T \tilde{H}(\varphi_\gamma(\zeta))$  has no zeros, then we are done:

This implies that  $H$  has no zeros because of (3-7) and (3-8). Hence,  $f$  has no fixed points and (4-11) implies that  $f$  has a Denjoy–Wolff point. This point has to be  $\infty$  because of Proposition 4.3 (c).

Similarly to the proof of Theorem 4.4, (4-4), we have

$$\|\tilde{H}(z)\| \leq \frac{c}{2|u_{\mathbb{H}_n}(z)|^{3/2}},$$

and thus

$$\|\tilde{H}(\varphi_\gamma(\zeta))\| \leq \frac{c}{2|u_{\mathbb{H}_n}(\varphi_\gamma(\zeta))|^{3/2}} = \frac{c}{2\operatorname{Im}(\zeta)^{3/2}}.$$

Consequently,

$$\lim_{y \rightarrow \infty} y|\bar{\gamma}^T \tilde{H}(\varphi_\gamma(iy))| = 0.$$

On the other hand, we know from Proposition 3.4 that  $h_\gamma \in \mathcal{K}(\mathbb{H}, c)$ , which implies (see Remark 1.5)

$$\limsup_{y \rightarrow \infty} y|h_\gamma(iy)| = \limsup_{y \rightarrow \infty} y|H_1(\varphi(iy)) - 2i\bar{\gamma}^T \tilde{H}(\varphi_\gamma(iy))| \leq c,$$

which gives us

$$(4-13) \quad \limsup_{y \rightarrow \infty} |iy \cdot H_1(\varphi_\gamma(iy))| \leq c.$$

Now we use the assumption of the smoothness of  $H_1$ :

Because of (4-13), all coefficients  $b_{k_1, \dots, k_n}$  from (4-12) with  $k_1 + \dots + k_n \leq 2$  have to be 0. Thus,

$$\lim_{y \rightarrow \infty} iy \cdot H_1(\varphi_\gamma(iy)) =: K(\gamma)$$

exists and is a polynomial in  $\gamma = (\gamma_2, \dots, \gamma_n)$ :

$$K(\gamma) = \sum_{k_1 + \dots + k_n = 3} b_{k_1, \dots, k_n} \gamma_2^{k_2} \dots \gamma_n^{k_n}.$$

As  $K(\gamma)$  is bounded, it has to be constant.

If  $K(\gamma) \equiv 0$ , then all slices of  $H$  are zero; hence  $H = 0$  by Remark 3.5 and  $f$  is the identity, a contradiction.

Hence  $K(\gamma)$  is a nonzero constant and  $h_\gamma(\zeta)$  is not identically zero, which implies (e.g., by using the representation (1-5)) that  $h_\gamma(\zeta)$  has no zeros.  $\square$

**Question 4.8.** Is  $\infty$  the Denjoy–Wolff point for every  $f \in \mathfrak{P}_n$ ?

### Appendix: Proof of Lemma 3.2

**Lemma 3.2.** *Let  $a \in \mathbb{C}$ ,  $p, v \in \mathbb{C}^{n-1}$  and  $z = (z_1, \tilde{z}) \in \mathbb{H}_n$ . Then the following formulas hold:*

$$(3-4) \quad \left\| \begin{pmatrix} a \\ 0 \end{pmatrix} \right\|_{\mathbb{H}_n, z} = \frac{|a|}{|u_{\mathbb{H}_n}(z)|},$$

$$(3-5) \quad \left\| \begin{pmatrix} 2i \bar{p}^T v \\ v \end{pmatrix} \right\|_{\mathbb{H}_n, z} = 2 \frac{\sqrt{\|v\|^2 |u_{\mathbb{H}_n}(z)| + |\overline{(p - \tilde{z})^T v}|^2}}{|u_{\mathbb{H}_n}(z)|},$$

$$(3-6) \quad \left\| \begin{pmatrix} a - 2i \bar{\tilde{z}}^T v \\ 0 \end{pmatrix} + \begin{pmatrix} 2i \bar{\tilde{z}}^T v \\ v \end{pmatrix} \right\|_{\mathbb{H}_n, z}^2 = \left\| \begin{pmatrix} a - 2i \bar{\tilde{z}}^T v \\ 0 \end{pmatrix} \right\|_{\mathbb{H}_n, z}^2 + \left\| \begin{pmatrix} 2i \bar{\tilde{z}}^T v \\ v \end{pmatrix} \right\|_{\mathbb{H}_n, z}^2.$$

*Proof.* We write  $\tilde{z} = (z_2, \dots, z_n)$ ,  $v = (v_2, \dots, v_n)$ ,  $p = (p_2, \dots, p_n)$ .

An explicit formula of the Kobayashi metric for the unit ball is given in [Abate 2004, Theorem 3.4].<sup>4</sup> It coincides with the Bergman metric and by using the Cayley map, we get the following formula for the upper half-space:

$$\|w\|_{\mathbb{H}_n, z}^2 = w^T \cdot (g_{j,k})_{j,k} \cdot \bar{w},$$

where  $w \in \mathbb{C}^n$  and  $(g_{j,k})_{j,k}$  is an  $n \times n$ -matrix with

$$g_{j,k} = -4 \frac{\partial^2}{\partial z_j \partial \bar{z}_k} \log \left( \operatorname{Im}(z_1) - \sum_{l=2}^n |z_l|^2 \right),$$

and we get for  $j, k \geq 2$ ,

$$\begin{aligned} g_{1,1} &= \frac{1}{u_{\mathbb{H}_n}(z)^2}, & g_{1,k} &= \frac{2iz_k}{u_{\mathbb{H}_n}(z)^2}, & g_{j,1} &= \frac{-2i\bar{z}_j}{u_{\mathbb{H}_n}(z)^2}, \\ g_{j,j} &= 4 \frac{\operatorname{Im}(z_1) - \sum_{l=2, l \neq j}^n |z_l|^2}{u_{\mathbb{H}_n}(z)^2}, & g_{j,k} &= \frac{4z_k \bar{z}_j}{u_{\mathbb{H}_n}(z)^2}, & k &\neq j. \end{aligned}$$

The formulas (3-4) and (3-5) are now straightforward calculations. We obtain

$$\|(a, 0)\|_{\mathbb{H}_n, z} = \sqrt{(a, 0) \cdot (g_{j,k})_{j,k} \cdot \overline{(a, 0)^T}} = \sqrt{a \cdot g_{1,1} \cdot \bar{a}} = \frac{|a|}{|u_{\mathbb{H}_n}(z)|},$$

<sup>4</sup>Note, however, that the Kobayashi metric in [Abate 2004] differs by a factor of 2 from the one we are using here.

and

$$\begin{aligned}
& u_{\mathbb{H}_n}(z)^2 \cdot \|(2i \bar{p}^T v, v)\|_{\mathbb{H}_n, z}^2 \\
&= u_{\mathbb{H}_n}(z)^2 \cdot (2i \bar{p}^T v, v^T) \cdot (g_{j,k})_{j,k} \cdot \overline{(2i \bar{p}^T v, v^T)}^T \\
&= u_{\mathbb{H}_n}(z)^2 \cdot \left( \sum_{j=2}^n g_{j,j} |v_j|^2 + g_{1,1} |2i \bar{p}^T v|^2 \right. \\
&\quad \left. + \sum_{j=2}^n g_{j,1} v_j \overline{2i \bar{p}^T v} + \sum_{k=2}^n g_{1,k} \bar{v}_j 2i \bar{p}^T v + \sum_{j,k \geq 2, j \neq k}^n g_{j,k} v_j \bar{v}_k \right) \\
&= 4 \sum_{j=2}^n (\text{Im}(z_1) - \|\tilde{z}\|^2) \cdot |v_j|^2 + 4 \sum_{j=2}^n |z_j|^2 \cdot |v_j|^2 + 4 \sum_{j,k \geq 2}^n p_j \bar{p}_k v_j \bar{v}_k \\
&\quad - 4 \sum_{j,k \geq 2}^n \bar{z}_j p_k v_j \bar{v}_k - 4 \sum_{j,k \geq 2}^n z_j \bar{p}_k \bar{v}_j v_k + 4 \sum_{j,k \geq 2, j \neq k}^n \bar{z}_j z_k v_j \bar{v}_k \\
&= 4 \|v\|^2 \cdot |u_{\mathbb{H}_n}(z)| + 4 \sum_{j=2}^n z_j \bar{z}_j v_j \bar{z}_j \\
&\quad + 4 \sum_{j,k \geq 2}^n (p_j \bar{p}_k v_j \bar{v}_k - \bar{z}_j p_k v_j \bar{v}_k - z_j \bar{p}_k \bar{v}_j v_k) + 4 \sum_{j,k \geq 2, j \neq k}^n \bar{z}_j z_k v_j \bar{v}_k \\
&= 4 \|v\|^2 \cdot |u_{\mathbb{H}_n}(z)| + 4 \sum_{j,k \geq 2}^n (p_j \bar{p}_k v_j \bar{v}_k - \bar{z}_j p_k v_j \bar{v}_k - z_j \bar{p}_k \bar{v}_j v_k + \bar{z}_j z_k v_j \bar{v}_k) \\
&= 4 \|v\|^2 \cdot |u_{\mathbb{H}_n}(z)| + 4 |(\bar{p} - \tilde{z})^T v|^2.
\end{aligned}$$

For formula (3-6) we just need to show that

$$(2i \tilde{z}^T v, v^T) \cdot (g_{j,k})_{j,k} \cdot \overline{(a - 2i \tilde{z}^T v, 0)}^T = 0.$$

Indeed, we have

$$\begin{aligned}
& u_{\mathbb{H}_n}(z)^2 \cdot (g_{j,k})_{j,k} \cdot \overline{(a - 2i \tilde{z}^T v, 0)}^T \\
&= (\bar{a} + 2i \tilde{z}^T \bar{v}, -2i \bar{z}_2 \bar{a} + 4\bar{z}_2 \tilde{z}^T \bar{v}, \dots, -2i \bar{z}_n \bar{a} + 4\bar{z}_n \tilde{z}^T \bar{v})^T
\end{aligned}$$

and

$$\begin{aligned}
& (2i \tilde{z}^T v, v^T) (\bar{a} + 2i \tilde{z}^T \bar{v}, -2i \bar{z}_2 \bar{a} + 4\bar{z}_2 \tilde{z}^T \bar{v}, \dots, -2i \bar{z}_n \bar{a} + 4\bar{z}_n \tilde{z}^T \bar{v})^T \\
&= 2i \bar{a} \tilde{z}^T v - 4 |\tilde{z}^T \bar{v}|^2 - 2i \bar{a} \tilde{z}^T v + 4 |\tilde{z}^T \bar{v}|^2 = 0. \quad \square
\end{aligned}$$

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