

*Pacific  
Journal of  
Mathematics*

A NOTE ON TORUS ACTIONS AND THE WITTEN GENUS

MICHAEL WIEMELER

# A NOTE ON TORUS ACTIONS AND THE WITTEN GENUS

MICHAEL WIEMELER

We show that the Witten genus of a string manifold  $M$  vanishes if there is an effective action of a torus  $T$  on  $M$  such that  $\dim T > b_2(M)$ . We apply this result to study group actions on  $M \times G/T$ , where  $G$  is a compact connected Lie group and  $T$  a maximal torus of  $G$ .

Moreover, we use the methods which are needed to prove these results to the study of torus manifolds. We show that up to diffeomorphism there are only finitely many quasitoric manifolds  $M$  with the same cohomology ring as  $\#_{i=1}^k \pm \mathbb{C}P^n$  with  $k < n$ .

## 1. Introduction

In this note we prove a vanishing result for the Witten genus of a string manifold on which a high dimensional torus acts effectively. Concerning the Witten genus of string manifolds on which a compact connected Lie group acts the following is known:

- It has been shown by Liu [1995, discussion after Theorem 4, page 370] that the Witten genus of a string manifold  $M$  with  $b_2(M) = 0$  vanishes if there is a nontrivial action of  $S^1$  on  $M$ .
- Dessai [1999] showed that the Witten genus of a string manifold  $M$  vanishes if there is an almost effective action of  $SU(2)$  on  $M$ .

Moreover we showed in [Wiemeler 2013] the following stabilizing result: if there is an effective action of a semisimple compact connected Lie group  $G$  with  $\text{rank } G > \text{rank } H$  on  $M \times H/T$ , where  $H$  is a semisimple compact connected Lie group with maximal torus  $T$ , then the Witten genus of  $M$  vanishes.

In this note we generalize the first statement in the following way:

**Theorem 3.2.** *Let  $M$  be a spin manifold such that  $p_1(M)$  is torsion. If there is an almost effective action of a torus  $T$  with  $\text{rank } T > b_2(M)$  on  $M$  then the Witten genus of  $M$  vanishes.*

---

Part of the research for this article was supported by DFG Grant HA 3160/6-1.

MSC2010: 57S15, 58J26.

Keywords: torus actions, Witten genus, quasitoric manifolds, torus manifolds, rigidity.

The main new ingredient to prove this theorem is a spectral sequence argument for actions of tori  $T$  on manifolds  $M$  with  $b_2(M) < \text{rank } T$  (see [Lemma 3.1](#)).

If  $b_1(M) = 0$ , this theorem allows the following generalization, which is also a generalization of the third statement from above.

**Theorem 3.3.** *Let  $M$  be a spin manifold such that  $p_1(M)$  is torsion and  $b_1(M) = 0$ . Moreover, let  $M'$  be a  $2n$ -dimensional  $\text{spin}^c$  manifold,  $n > 0$ , with  $b_1(M') = 0$  such that there are  $x_1, \dots, x_n \in H^2(M'; \mathbb{Z})$  with*

- (1)  $\sum_{i=1}^n x_i = c_1^c(M')$  modulo torsion,
- (2)  $\sum_{i=1}^n x_i^2 = p_1(M')$  modulo torsion,
- (3)  $\langle \prod_{i=1}^n x_i, [M'] \rangle \neq 0$ .

*If there is an almost effective action of a torus  $T$  on  $M \times M'$  such that  $\text{rank } T$  is greater than  $b_2(M \times M')$ , then the Witten genus of  $M$  vanishes. Here  $c_1^c(M')$  denotes the first Chern class of the line bundle associated to the  $\text{spin}^c$  structure on  $M'$ .*

To deduce [Theorem 3.2](#) from [Theorem 3.3](#) in the case that  $b_1(M) = 0$ , let  $M'$  be  $S^2$  and  $x_1$  be the Euler class of  $M'$ . Then  $M'$  satisfies all the assumptions from [Theorem 3.3](#). Moreover there is an almost effective action of  $T \times S^1$  on  $M \times M'$  which is induced from the  $T$ -action on  $M$  and the  $S^1$ -action on  $M'$  given by rotation. Hence, the Witten genus of  $M$  vanishes, because

$$\text{rank}(T \times S^1) = \text{rank } T + 1 > b_2(M) + 1 = b_2(M \times M').$$

If  $H$  is a semisimple compact connected Lie group with maximal torus  $T'$ , then the tangent bundle of  $H/T'$  splits as a sum of complex line bundles and  $H/T'$  has positive Euler characteristic. Therefore  $H/T'$  satisfies the assumptions on  $M'$  in the above theorem. Hence, we get:

**Corollary 4.1.** *Let  $M$  be a spin manifold with  $p_1(M) = 0$  and  $b_1(M) = 0$  and  $H$  a semisimple compact connected Lie group with maximal torus  $T'$  and  $\dim H > 0$ . If there is an almost effective action of a torus  $T$  on  $M \times H/T'$  such that  $\text{rank } T$  is greater than  $\text{rank } H + b_2(M)$ , then the Witten genus of  $M$  vanishes.*

A torus manifold is a  $2n$ -dimensional orientable manifold  $M$  with an effective action of an  $n$ -dimensional torus  $T$  such that  $M^T \neq \emptyset$ . A torus manifold  $M$  is called locally standard, if each orbit in  $M$  has an invariant neighborhood which is weakly equivariantly diffeomorphic to an open invariant subset of  $\mathbb{C}^n$ . Here  $\mathbb{C}^n$  is equipped with the action of  $T = (S^1)^n$  given by componentwise multiplication. If this condition is satisfied, the orbit space of  $M$  is naturally a manifold with corners.

A quasitoric manifold is a locally standard torus manifold whose orbit space  $M/T$  is face-preserving homeomorphic to a simple convex polytope  $P$ . Quasitoric

manifolds were introduced by Davis and Januszkiewicz [1991]. Torus manifolds were introduced by Masuda [1999] and Masuda and Hattori [2003].

By combining our results with results of Dessai [1999, 2000] and a recent result of the author [Wiemeler 2015a] on the rigidity of certain torus manifolds, we also get the following finiteness result for simply connected torus manifolds:

**Theorem 5.1.** *Up to homeomorphism (diffeomorphism, respectively) there are only finitely many simply connected torus manifolds  $M$  (quasitoric manifolds, respectively) such that  $H^*(M; \mathbb{Z}) \cong H^*(\#_{i=1}^k \pm \mathbb{C}P^n; \mathbb{Z})$  with  $k < n$ .*

For an application of our methods to the study of torus actions on complete intersections and homotopy complex projective spaces, see [Dessai and Wiemeler 2016].

This article is structured as follows. In Section 2 we describe background material on vanishing results for indices of certain twisted Dirac operators on  $\text{Spin}^c$  manifolds. In Section 3 we prove Theorems 3.2 and 3.3. Then in Section 4 we deduce Corollary 4.1 and give some applications to computations of the degree of symmetry of certain manifolds. In Section 5 we prove Theorem 5.1.

## 2. Preliminaries

In this section we recall some properties of  $2n$ -dimensional  $\text{spin}^c$  manifolds and certain twisted Dirac operators defined on them. For more details on this subject see [Atiyah et al. 1964; Petrie 1972; Hattori 1978; Dessai 1999; 2000].

A  $\text{spin}^c$  manifold  $M$  is an orientable manifold such that the second Stiefel–Whitney class  $w_2(M)$  is the reduction of an integral class  $c \in H^2(M; \mathbb{Z})$ . If this is the case then the tangent bundle of  $M$  admits a reduction of structure group to the group  $\text{Spin}^c(2n)$ . We call such a reduction a  $\text{spin}^c$  structure on  $M$ . Associated to a  $\text{spin}^c$  structure there is a complex line bundle. We denote by  $c_1^c(M)$  the first Chern class of this line bundle. Its reduction modulo 2 is  $w_2(M)$ . For each class  $c \in H^2(M; \mathbb{Z})$  with  $c \equiv w_2(M) \pmod{2}$ , there is a  $\text{spin}^c$  structure on  $M$  with  $c_1^c(M) = c$ .

Now let  $M$  be a  $2n$ -dimensional  $\text{Spin}^c$  manifold. We assume that  $S^1$  acts on  $M$  and that the  $S^1$ -action lifts into the  $\text{spin}^c$  structure. This is the case if and only if the  $S^1$ -action lifts into the line bundle associated to the  $\text{spin}^c$  structure [Wiemeler 2013, Lemma 2.1].

Then we have an  $S^1$ -equivariant  $\text{spin}^c$  Dirac operator  $\partial_c$ . Its  $S^1$ -equivariant index is an element of the representation ring of  $S^1$  and is defined as

$$\text{ind}_{S^1}(\partial_c) = \ker \partial_c - \text{coker } \partial_c \in R(S^1).$$

We will discuss certain indices of twisted Dirac operators which are related to generalized elliptic genera. Generalized elliptic genera of the type which we discuss here were first studied by Witten [1988].

Let  $V$  be an  $S^1$ -equivariant complex vector bundle over  $M$  and  $W$  an even-dimensional  $S^1$ -equivariant spin vector bundle over  $M$ . From these bundles we construct a power series  $R \in K_{S^1}(M)[[q]]$  defined by

$$\bigotimes_{k=1}^{\infty} S_{q^k}(\widetilde{TM} \otimes_{\mathbb{R}} \mathbb{C}) \otimes \Lambda_{-1}(V^*) \otimes \bigotimes_{k=1}^{\infty} \Lambda_{-q^k}(\widetilde{V} \otimes_{\mathbb{R}} \mathbb{C}) \otimes \Delta(W) \otimes \bigotimes_{k=1}^{\infty} \Lambda_{q^k}(\widetilde{W} \otimes_{\mathbb{R}} \mathbb{C}).$$

Here  $q$  is a formal variable,  $\widetilde{E}$  denotes the reduced vector bundle  $E - \dim E$ ,  $\Delta(W)$  is the full complex spinor bundle associated to the spin vector bundle  $W$ , and  $\Lambda_t$  (resp.  $S_t$ ) denotes the exterior (resp. symmetric) power operation. The tensor products are, if not indicated otherwise, taken over the complex numbers.

We extend  $\text{ind}_{S^1}$  to power series. Then we can define:

**Definition 2.1.** Let  $\varphi^c(M; V, W)_{S^1}$  be the  $S^1$ -equivariant index of the  $\text{spin}^c$  Dirac operator twisted with  $R$ :

$$\varphi^c(M; V, W)_{S^1} = \text{ind}_{S^1}(\partial_c \otimes R) \in R(S^1)[[q]].$$

We denote by  $\varphi^c(M; V, W)$  the nonequivariant version of this index:

$$\varphi^c(M; V, W) = \text{ind}(\partial_c \otimes R) \in \mathbb{Z}[[q]].$$

With the Atiyah–Singer index theorem [1968], we can calculate  $\varphi^c(M; V, W)$  from cohomological data:

$$\varphi^c(M; V, W) = \langle e^{c_1^c(M)/2} \text{ch}(R) \hat{A}(M), [M] \rangle.$$

Here the Chern character of  $R$  is a product,

$$\text{ch}(R) = Q_1(TM) Q_2(V) Q_3(W),$$

with

$$Q_1(TM) = \text{ch} \left( \bigotimes_{k=1}^{\infty} S_{q^k}(\widetilde{TM} \otimes_{\mathbb{R}} \mathbb{C}) \right) = \prod_i \prod_{k=1}^{\infty} \frac{(1 - q^k)^2}{(1 - e^{x_i} q^k)(1 - e^{-x_i} q^k)},$$

$$\begin{aligned} Q_2(V) &= \text{ch} \left( \Lambda_{-1}(V^*) \otimes \bigotimes_{k=1}^{\infty} \Lambda_{-q^k}(\widetilde{V} \otimes_{\mathbb{R}} \mathbb{C}) \right) \\ &= \prod_i (1 - e^{-v_i}) \prod_{k=1}^{\infty} \frac{(1 - e^{v_i} q^k)(1 - e^{-v_i} q^k)}{(1 - q^k)^2}, \end{aligned}$$

$$\begin{aligned} Q_3(W) &= \text{ch} \left( \Delta(W) \otimes \bigotimes_{k=1}^{\infty} \Lambda_{q^k}(\widetilde{W} \otimes_{\mathbb{R}} \mathbb{C}) \right) \\ &= \prod_i (e^{w_i/2} + e^{-w_i/2}) \prod_{k=1}^{\infty} \frac{(1 + e^{w_i} q^k)(1 + e^{-w_i} q^k)}{(1 + q^k)^2}, \end{aligned}$$

where  $\pm x_i$  (resp.  $v_i$  and  $\pm w_i$ ) denote the formal roots of  $TM$  (resp.  $V$  and  $W$ ). If  $c_1^c(M)$  coincides with  $c_1(V)$ , then we have

$$e^{c_1^c(M)/2} Q_2(V) = e(V) \frac{1}{\hat{A}(V)} \prod_i \prod_{k=1}^{\infty} \frac{(1 - e^{v_i} q^k)(1 - e^{-v_i} q^k)}{(1 - q^k)^2} = e(V) Q'_2(V).$$

Note that if  $M$  is a spin manifold, then there is a canonical  $\text{spin}^c$  structure on  $M$ . With respect to this  $\text{spin}^c$  structure the twisted index  $\varphi^c(M; 0, TM)$  is equal to the elliptic genus of  $M$ . Moreover, our definition of  $\varphi^c(M; 0, 0)$  coincides with the index-theoretic definition of the Witten genus of  $M$ .

To prove our results we need the following theorem. It was proven first by Liu [1995] for certain twisted elliptic genera of spin manifolds and almost complex manifolds. Later the more general version for  $\text{spin}^c$  manifolds has been proven by Dessai.

**Theorem 2.2** [Dessai 2000, Theorem 3.2, p. 243]. *Assume that the equivariant Pontrjagin class  $p_1^{S^1}(V + W - TM)$  restricted to  $M^{S^1}$  is equal to  $\pi_{S^1}^*(Ix^2)$  modulo torsion, where  $\pi_{S^1} : BS^1 \times M^{S^1} \rightarrow BS^1$  is the projection on the first factor,  $x \in H^2(BS^1; \mathbb{Z})$  is a generator and  $I$  is an integer. Assume, moreover, that  $c_1^c(M)$  and  $c_1(V)$  are equal modulo torsion. If  $I < 0$ , then  $\varphi^c(M; V, W)_{S^1}$  vanishes identically.*

### 3. Torus actions and the Witten genus

In this section we prove Theorems 3.2 and 3.3. Our methods here are similar to those which were used in Section 4 of [Wiemeler 2013]. We start with a lemma.

**Lemma 3.1.** *Let  $M$  be a  $T$ -manifold with  $\text{rank } T > b_2(M)$  and  $a \in H_T^4(M; \mathbb{Q})$  such that  $\iota^* a = 0 \in H^4(M; \mathbb{Q})$ . Then there is a nontrivial homomorphism  $\rho : S^1 \rightarrow T$  such that  $\rho^* a \in \pi_{S^1}^* H^4(BS^1; \mathbb{Q})$ .*

*Proof.* From the Serre spectral sequence for the fibration  $M \rightarrow M_T \rightarrow BT$  we have the following direct sum decomposition of the  $\mathbb{Q}$ -vector space  $H_T^4(M; \mathbb{Q})$ ,

$$H_T^4(M; \mathbb{Q}) \cong E_{\infty}^{0,4} \oplus E_{\infty}^{2,2} \oplus E_{\infty}^{4,0}.$$

Moreover, we have

$$E_{\infty}^{0,4} \subset H^4(M; \mathbb{Q}), \quad E_{\infty}^{2,2} \subset E_2^{2,2}/d_2(E_2^{0,3}), \quad E_{\infty}^{4,0} = \pi_{S^1}^* H^4(BT; \mathbb{Q}).$$

Let  $a_{0,4}, a_{2,2}, a_{4,0}$  be the components of  $a$  according to this decomposition. Then  $a_{0,4} = 0$  by assumption. Moreover, there is an  $\tilde{a}_{2,2} \in E_2^{2,2}$  such that  $a_{2,2} = [\tilde{a}_{2,2}]$ .

Now it is sufficient to find a nontrivial homomorphism  $\rho : S^1 \rightarrow T$  such that  $\rho^* \tilde{a}_{2,2} = 0$ . We have isomorphisms

$$E_2^{2,2} \cong H^2(BT; \mathbb{Q}) \otimes H^2(M; \mathbb{Q}) \cong (H^2(BT; \mathbb{Q}))^{b_2(M)}.$$

Since  $\text{rank } T > b_2(M)$ , we can find a nontrivial homomorphism  $\phi : H^2(BT; \mathbb{Q}) \rightarrow H^2(BS^1; \mathbb{Q}) = \mathbb{Q}$  such that all components of  $\tilde{a}_{2,2}$  according to the above decomposition of  $E_2^{2,2}$  are mapped to zero by  $\phi$ . After scaling, we may assume that  $\phi$  is induced by a surjective homomorphism  $H^2(BT; \mathbb{Z}) \rightarrow H^2(BS^1; \mathbb{Z})$ . By dualizing we get a homomorphism  $\hat{\phi} : H_2(BS^1; \mathbb{Z}) \rightarrow H_2(BT; \mathbb{Z})$ . Since for any torus,  $H_2(BT; \mathbb{Z})$  is naturally isomorphic to the integer lattice in the Lie algebra  $LT$  of  $T$ ,  $\hat{\phi}$  defines the desired homomorphism.  $\square$

By combining this lemma with the above result of Liu and Dessai ([Theorem 2.2](#)), we get the following theorem.

**Theorem 3.2.** *Let  $M$  be a spin manifold such that  $p_1(M)$  is torsion. If there is an almost effective action of a torus  $T$  with  $\text{rank } T > b_2(M)$  on  $M$  then the Witten genus  $\varphi^c(M; 0, 0)$  of  $M$  vanishes.*

*Proof.* First note that, by replacing the  $T$ -action by the action of a double covering group of  $T$ , we may assume that the  $T$ -action lifts into the spin structure of  $M$ .

Therefore, by [Theorem 2.2](#), it is sufficient to show that there is a homomorphism  $\rho : S^1 \hookrightarrow T$  such that  $\rho^* p_1^T(-TM) = ax^2$ , where  $x \in H^2(BS^1; \mathbb{Z})$  is a generator and  $a \in \mathbb{Z}$ ,  $a < 0$ . By [Lemma 3.1](#), there is a homomorphism  $\rho : S^1 \rightarrow T$  such that

$$p_1^{S^1}(-TM) = \rho^* p_1^T(-TM) = ax^2 \quad \text{with } a \in \mathbb{Z}.$$

Moreover, we have

$$ax^2 = p_1^{S^1}(-TM)|_y = - \sum v_i^2,$$

where  $y \in M^T$  is a  $T$  fixed point and the  $v_i \in H^2(BS^1; \mathbb{Z})$  are the weights of the  $S^1$ -representation  $T_y M$ . We may assume that such a fixed point  $y$  exists because otherwise the Witten genus of  $M$  vanishes by an application of the Lefschetz fixed point formula.

Not all of the  $v_i$  vanish because the  $T$ -action on  $M$  is almost effective, which implies that the  $S^1$ -action on  $M$  is nontrivial. Therefore the theorem is proved.  $\square$

We can also deduce the following partial generalization of the above result. Its proof is similar to the proof of [Theorems 4.1 and 4.4](#) in [\[Wiemeler 2013\]](#). These theorems are concerned with actions of semisimple and simple compact connected Lie groups, whereas the theorem which we present here deals with torus actions.

**Theorem 3.3.** *Let  $M$  be a spin manifold such that  $p_1(M)$  is torsion and  $b_1(M) = 0$ . Moreover, let  $M'$  be a  $2n$ -dimensional  $\text{spin}^c$  manifold,  $n > 0$ , with  $b_1(M') = 0$  such that there are  $x_1, \dots, x_n \in H^2(M'; \mathbb{Z})$  with*

- (1)  $\sum_{i=1}^n x_i = c_1^c(M')$  modulo torsion,
- (2)  $\sum_{i=1}^n x_i^2 = p_1(M')$  modulo torsion,
- (3)  $\langle \prod_{i=1}^n x_i, [M'] \rangle \neq 0$ .

If there is an almost effective action of a torus  $T$  on  $M \times M'$  such that  $\text{rank } T$  is greater than  $b_2(M \times M')$ , then the Witten genus  $\varphi^c(M; 0, 0)$  of  $M$  vanishes.

*Proof.* Let  $L_i, i = 1, \dots, n$ , be the line bundle over  $M'$  with  $c_1(L_i) = x_i$ . Because  $b_1(M \times M') = 0$ , the natural map  $\iota^* : H_T^2(M \times M'; \mathbb{Z}) \rightarrow H^2(M \times M'; \mathbb{Z})$  is surjective.

Therefore by Corollary 1.2 of [Hattori and Yoshida 1976, page 13] the  $T$ -action on  $M \times M'$  lifts into  $p'^*(L_i), i = 1, \dots, n$ . Here  $p' : M \times M' \rightarrow M'$  is the projection. We can choose these lifts in such a way that the torus action on the fibers of  $p'^*(L_i), i = 1, \dots, n$ , over a fixed point  $y \in (M \times M')^T$  are trivial. Moreover, by the above cited corollary and Lemma 2.1 of [Wiemeler 2013], the action of every  $S^1 \subset T$  lifts into the  $\text{spin}^c$  structure on  $M \times M'$  induced by the spin structure on  $M$  and the  $\text{spin}^c$  structure on  $M'$ .

By Lemma 3.1 of [Wiemeler 2013], we have

$$\varphi^c\left(M \times M'; \bigoplus_{i=1}^n p'^* L_i, 0\right) = \varphi^c(M; 0, 0)\varphi^c\left(M'; \bigoplus_{i=1}^n L_i, 0\right).$$

By condition (3), we have

$$\begin{aligned} \varphi^c\left(M'; \bigoplus_{i=1}^n L_i, 0\right) &= \left\langle Q_1(TM') \prod_{i=1}^n x_i Q_2\left(\bigoplus_{i=1}^n L_i\right) \hat{A}(M'), [M'] \right\rangle \\ &= \left\langle \prod_{i=1}^n x_i, [M'] \right\rangle \neq 0. \end{aligned}$$

Hence,  $\varphi^c(M; 0, 0)$  vanishes if and only if  $\varphi^c(M \times M'; \bigoplus_{i=1}^n p'^* L_i, 0)$  vanishes.

By Theorem 2.2, it is sufficient to show that there is a homomorphism  $\rho : S^1 \hookrightarrow T$  such that  $\rho^* p_1^T\left(\bigoplus_{i=1}^n p'^* L_i - T(M \times M')\right) = ax^2$ , where  $x \in H^2(BS^1; \mathbb{Z})$  is a generator and  $a \in \mathbb{Z}, a < 0$ . By Lemma 3.1, there is a homomorphism  $\rho : S^1 \rightarrow T$  such that

$$p_1^{S^1}\left(\bigoplus_{i=1}^n p'^* L_i - T(M \times M')\right) = \rho^* p_1^T\left(\bigoplus_{i=1}^n p'^* L_i - T(M \times M')\right) = ax^2,$$

with  $a \in \mathbb{Z}$ .

Moreover, we have

$$ax^2 = p_1^{S^1}\left(\bigoplus_{i=1}^n p'^* L_i - T(M \times M')\right)\Big|_y = \sum_{i=1}^n a_i^2 - \sum_{i=1}^n v_i^2,$$

where the  $a_i \in H^2(BS^1; \mathbb{Z}), i = 1, \dots, n$ , are the weights of the  $S^1$ -representations  $p'^* L_i|_y$  and the  $v_i \in H^2(BS^1; \mathbb{Z})$  are the weights of the  $S^1$ -representation  $T_y(M \times M')$ . By our choice of the lifted actions the  $a_i$  vanish. Not all of the  $v_i$  vanish because



the  $T$ -action on  $M$  is effective, which implies that the  $S^1$ -action on  $M$  is nontrivial. Therefore the theorem is proved.  $\square$

Examples of manifolds  $M'$  to which the above theorem applies are manifolds whose tangent bundles split as Whitney sums of complex line bundles and which have nonzero Euler characteristic. In particular, if  $H$  is a semisimple compact connected Lie group with maximal torus  $T'$  and  $\dim H > 0$ , then  $M' = H/T'$  satisfies these assumptions. We deal with this case in the following section.

#### 4. Torus actions and stabilizing with $G/T$

In this section we deal with applications of [Theorem 3.3](#) to the particular case where  $M'$  is a homogeneous space  $H/T'$  with  $H$  a semisimple compact connected Lie group and  $T'$  a maximal torus of  $H$  and  $\dim H > 0$ .

It has already been noted that the tangent bundle of  $H/T'$  splits as a sum of complex line bundles. Therefore  $H/T'$  satisfies all the assumptions on  $M'$  from [Theorem 3.3](#). Hence we immediately get the following corollary.

**Corollary 4.1.** *Let  $M$  be a spin manifold with  $p_1(M) = 0$  and  $b_1(M) = 0$  and  $H$  a semisimple compact connected Lie group with maximal torus  $T'$  and  $\dim H > 0$ . If there is an almost effective action of a torus  $T$  on  $M \times H/T'$  such that  $\text{rank } T$  is greater than  $\text{rank } H + b_2(M)$ , then the Witten genus of  $M$  vanishes.*

The degree of symmetry  $N(M)$  of a manifold  $M$  is the maximum of the dimensions of compact connected Lie groups  $G$  which act smoothly and almost effectively on  $M$ . By combining the above corollary with Corollary 4.2 of [\[Wiemeler 2013\]](#) we get the following bounds for the degree of symmetry of the manifolds  $M \times H/T'$ . To state our result we have to introduce some notation. For  $l \geq 1$  let

$$\alpha_l = \max \left\{ \frac{\dim G}{\text{rank } G} \mid G \text{ a simple compact Lie group with } \text{rank } G \leq l \right\}.$$

The values of the  $\alpha_l$  are listed in [Table 1](#).

**Corollary 4.2.** *Let  $M$  be a spin manifold with  $p_1(M) = 0$  and  $b_1(M) = 0$ , such that the Witten-genus of  $M$  does not vanish and let  $H_1, \dots, H_k$  be simple compact connected Lie groups with maximal tori  $T_1, \dots, T_k$ . Then we have*

$$\sum_{i=1}^k \dim H_i \leq N \left( M \times \prod_{i=1}^k H_i/T_i \right) \leq \alpha_l \sum_{i=1}^k \text{rank } H_i + b_2(M),$$

where  $l = \max\{\text{rank } H_i \mid i = 1, \dots, k\}$  and  $\alpha_l$  is defined as above.

*Proof.* Let  $G$  be a compact connected Lie group which acts almost effectively on  $M \times \prod_{i=1}^k H_i/T_i$ . We may assume that  $G = G_{\text{ss}} \times Z$  with a semisimple Lie group  $G_{\text{ss}}$  and a torus  $Z$ .

$l$	$\alpha_l$	$G_l$
1	3	$\text{Spin}(3)$
2	7	$G_2$
3	7	$\text{Spin}(7), \text{Sp}(3)$
4	13	$F_4$
5	13	none
6	13	$E_6, \text{Spin}(13), \text{Sp}(6)$
7	19	$E_7$
8	31	$E_8$
$9 \leq l \leq 14$	31	none
$l \geq 15$	$2l + 1$	$\text{Spin}(2l + 1), \text{Sp}(l)$

**Table 1.** The values of  $\alpha_l$  and the simply connected compact simple Lie groups  $G_l$  of rank  $l$  with  $\dim G_l = \alpha_l \cdot l$ .

By Corollary 4.1, rank  $G$  is bounded from above by  $\sum_{i=1}^k \text{rank } H_i + b_2(M)$ . By Corollary 4.2 of [Wiemeler 2013], rank  $G_{\text{ss}}$  is bounded from above by  $\sum_{i=1}^k \text{rank } H_i$ . Moreover, by the proof of Corollary 4.6 of [Wiemeler 2013] the dimension of  $G_{\text{ss}}$  is bounded from above by  $\alpha_l \text{rank } G_{\text{ss}}$ . Since  $\alpha_l > 1$ , it follows that

$$\begin{aligned} \dim G &= \dim G_{\text{ss}} + \dim Z = \dim G_{\text{ss}} + \text{rank } G - \text{rank } G_{\text{ss}} \\ &\leq (\alpha_l - 1) \text{rank } G_{\text{ss}} + \sum_{i=1}^k \text{rank } H_i + b_2(M) \\ &\leq \alpha_l \sum_{i=1}^k \text{rank } H_i + b_2(M). \end{aligned}$$

This proves the second inequality. The first inequality is trivial. □

Note that if in the situation of Corollary 4.2 the groups  $H_i$  are all equal to one of the groups listed in Table 1 and are all isomorphic and  $b_2(M) = 0$ , then the left and right hand sides of the inequality in Corollary 4.2 are equal. Therefore in this case the degree of symmetry of  $M \times \prod_{i=1}^k H_i / T$  is equal to  $\dim \prod_{i=1}^k H_i$ . This leads to the following corollary.

**Corollary 4.3.** *Let  $G$  be  $\text{Spin}(2l + 1), \text{Sp}(l)$  with  $l \geq 15$ , or an exceptional simple compact connected Lie group with maximal torus  $T$ . Moreover, let  $M$  be a two-connected manifold with  $p_1(M) = 0$  and nonzero Witten genus. Then we have*

$$N\left(M \times \prod_{i=1}^k G/T\right) = k \dim G.$$

### 5. An application to torus manifolds

In this section we prove the following theorem.

**Theorem 5.1.** *Up to homeomorphism (diffeomorphism, respectively) there are only finitely many simply connected torus manifolds  $M$  (quasitoric manifolds, respectively) such that  $H^*(M; \mathbb{Z}) \cong H^*(\#_{i=1}^k \pm \mathbb{C}P^n; \mathbb{Z})$  with  $k < n$ .*

Note that if  $\dim M < 6$  then this theorem follows directly from the classification of simply connected torus manifolds of dimension four given by Orlik and Raymond [1970] and the fact that the sphere is the only two-dimensional torus manifold.

In higher dimensions the proof of the theorem is subdivided into two lemmas.

**Lemma 5.2.** *Let  $M$  be a simply connected torus manifold (a quasitoric manifold, respectively) with  $H^*(M; \mathbb{Z}) \cong H^*(\#_{i=1}^k \pm \mathbb{C}P^n; \mathbb{Z})$ ,  $k \in \mathbb{N}$ ,  $n \geq 3$ . Then up to finite ambiguity the homeomorphism type (diffeomorphism type, respectively) is determined by the first Pontrjagin class of  $M$ .*

*Proof.* By Theorem 1.1 of [Wiemeler 2015a], Theorem 2.2 of [Wiemeler 2012] and Theorem 3.6 of [Wiemeler 2015b], it is sufficient to prove that the Poincaré duals of the characteristic submanifolds of  $M$  are determined up to finite ambiguity by  $p_1(M)$ . The characteristic submanifolds of  $M$  are codimension two submanifolds which are fixed by circle subgroups of the torus which acts on  $M$ . Let

$$u_1, \dots, u_m \in H^2\left(\#_{i=1}^k \pm \mathbb{C}P^n; \mathbb{Z}\right)$$

be their Poincaré duals. Moreover, we have

$$H^* := H^*\left(\#_{i=1}^k \pm \mathbb{C}P^n; \mathbb{Z}\right) = \mathbb{Z}[v_1, \dots, v_k]/(v_i v_j, v_i^n \pm v_j^n \mid 1 \leq i < j \leq k)$$

with  $\deg v_i = 2$  for  $i = 1, \dots, k$ .

Therefore there are  $\alpha_{ij} \in \mathbb{Z}$  such that  $u_i = \sum_{j=1}^k \alpha_{ij} v_j$ .

Since  $M$  is equivariantly formal, it follows from localization in equivariant cohomology that

$$p_1(M) = \sum_{i=1}^m u_i^2 = \sum_{j=1}^k \left(\sum_{i=1}^m \alpha_{ij}^2\right) v_j^2.$$

Because the  $v_j^2$  form a basis of  $H^4$  it follows that for fixed  $p_1(M)$  there are only finitely many possibilities for the  $\alpha_{ij}$ . Therefore the  $u_i$  are contained in a finite set which only depends on  $p_1(M)$ . This proves the lemma. □

**Lemma 5.3.** *Let  $M$  be a torus manifold such that  $H^*(M; \mathbb{Z}) \cong H^*(\#_{i=1}^k \pm \mathbb{C}P^n; \mathbb{Z})$ , with  $k < n$  and  $n \geq 3$ . Then with the notation from the proof of the previous lemma*

we have

$$p_1(M) = \sum_{i=1}^k \beta_i v_i^2, \quad \text{with } 0 < \beta_i \leq n + 1.$$

*Proof.* The inequality  $0 < \beta_i$  follows from the formula for  $p_1(M)$  given in the proof of the previous lemma. Therefore we only have to show that for all  $i$ ,  $\beta_i \leq n + 1$ .

Assume the contrary, i.e.,  $\beta_{i_0} > n + 1$  for some  $i_0 \in \{1, \dots, k\}$ . Since the natural map  $H^2(M; \mathbb{Z}) \rightarrow H^2(M; \mathbb{Z}_2)$  is surjective,  $M$  is a  $\text{Spin}^c$  manifold. Let  $\alpha_i \in \{0, 1\}$ ,  $i = 1, \dots, k$  such that  $w_2(M) \equiv \sum_{i=1}^k \alpha_i v_i \pmod{2}$ .

Then there are two cases,  $\alpha_{i_0} \equiv n + 1 \pmod{2}$  and  $\alpha_{i_0} \equiv n \pmod{2}$ .

We first deal with the first case. Choose a  $\text{Spin}^c$  structure on  $M$  such that  $c_1^c(M) = (n + 1)v_{i_0} + \sum_{i \neq i_0} \alpha_i v_i$ . Because  $b_1(M) = 0$  every  $S^1$ -action on  $M$  lifts into this  $\text{spin}^c$  structure and into all line bundles over  $M$ . We can choose these lifts in such a way that the actions on the fiber of a line bundle over a given fixed point  $y \in M^{S^1}$  is trivial. By the relation  $w_2(M)^2 \equiv p_1(M) \pmod{2}$ , we know that  $\beta_i \equiv \alpha_i^2 \pmod{2}$ . Therefore we have  $\beta_{i_0} \geq n + 3$ . Now for  $x \in H^2(M; \mathbb{Z})$  let  $L(x)$  be the line bundle over  $M$  with first Chern class  $x$ .

Moreover, let

$$V = L(2v_{i_0}) \oplus L\left(v_{i_0} + \sum_{i \neq i_0} \alpha_i v_i\right) \oplus (n - 2)L(v_{i_0}),$$

$$W = \bigoplus_{i \neq i_0} (\beta_i - \alpha_i)L(v_i) \oplus (\beta_{i_0} - n - 3)L(v_{i_0}).$$

Then we have  $c_1(V) = c_1^c(M)$ ,  $p_1(V \oplus W \ominus TM) = 0$  and  $W$  is a spin bundle.

Therefore, as in the proof of [Theorem 3.3](#), it follows from [Theorem 2.2](#) and [Lemma 3.1](#), that  $\varphi^c(M; V, W) = 0$  if  $k < n$ . This gives a contradiction since a direct computation shows that

$$\varphi^c(M; V, W) = \langle e(V), [M] \rangle = \pm 2 \neq 0.$$

The case where  $\alpha_{i_0} \equiv n \pmod{2}$  is similar. In this case one has to choose a  $\text{spin}^c$  structure on  $M$  such that  $c_1^c(M) = nv_{i_0} + \sum_{i \neq i_0} \alpha_i v_i$ . Moreover one has to consider the bundles

$$V = L\left(v_{i_0} + \sum_{i \neq i_0} \alpha_i v_i\right) \oplus (n - 1)L(v_{i_0}),$$

$$W = \bigoplus_{i \neq i_0} (\beta_i - \alpha_i)L(v_i) \oplus (\beta_{i_0} - n)L(v_{i_0}).$$

The details are left to the reader. □

Now [Theorem 5.1](#) follows directly from [Lemmas 5.2](#) and [5.3](#).

## References

- [Atiyah and Singer 1968] M. F. Atiyah and I. M. Singer, “The index of elliptic operators, III”, *Ann. of Math. (2)* **87** (1968), 546–604. [MR Zbl](#)
- [Atiyah et al. 1964] M. F. Atiyah, R. Bott, and A. Shapiro, “Clifford modules”, *Topology* **3**:suppl. 1 (1964), 3–38. [MR Zbl](#)
- [Davis and Januszkiewicz 1991] M. W. Davis and T. Januszkiewicz, “Convex polytopes, Coxeter orbifolds and torus actions”, *Duke Math. J.* **62**:2 (1991), 417–451. [MR Zbl](#)
- [Dessai 1999] A. Dessai, “Spin<sup>c</sup>-manifolds with Pin(2)-action”, *Math. Ann.* **315**:4 (1999), 511–528. [MR Zbl](#)
- [Dessai 2000] A. Dessai, “Rigidity theorems for Spin<sup>c</sup>-manifolds”, *Topology* **39**:2 (2000), 239–258. [MR Zbl](#)
- [Dessai and Wiemeler 2016] A. Dessai and M. Wiemeler, “Complete intersections with  $S^1$ -action”, preprint, 2016. To appear in *Transformation Groups*. [arXiv](#)
- [Hattori 1978] A. Hattori, “Spin<sup>c</sup>-structures and  $S^1$ -actions”, *Invent. Math.* **48**:1 (1978), 7–31. [MR Zbl](#)
- [Hattori and Masuda 2003] A. Hattori and M. Masuda, “Theory of multi-fans”, *Osaka J. Math.* **40**:1 (2003), 1–68. [MR Zbl](#)
- [Hattori and Yoshida 1976] A. Hattori and T. Yoshida, “Lifting compact group actions in fiber bundles”, *Japan. J. Math. (N.S.)* **2**:1 (1976), 13–25. [MR Zbl](#)
- [Liu 1995] K. Liu, “On modular invariance and rigidity theorems”, *J. Differential Geom.* **41**:2 (1995), 343–396. [MR Zbl](#)
- [Masuda 1999] M. Masuda, “Unitary toric manifolds, multi-fans and equivariant index”, *Tohoku Math. J. (2)* **51**:2 (1999), 237–265. [MR Zbl](#)
- [Orlik and Raymond 1970] P. Orlik and F. Raymond, “Actions of the torus on 4-manifolds, I”, *Trans. Amer. Math. Soc.* **152** (1970), 531–559. [MR Zbl](#)
- [Petrie 1972] T. Petrie, “Smooth  $S^1$  actions on homotopy complex projective spaces and related topics”, *Bull. Amer. Math. Soc.* **78** (1972), 105–153. [MR Zbl](#)
- [Wiemeler 2012] M. Wiemeler, “Remarks on the classification of quasitoric manifolds up to equivariant homeomorphism”, *Arch. Math. (Basel)* **98**:1 (2012), 71–85. [MR Zbl](#)
- [Wiemeler 2013] M. Wiemeler, “Dirac operators and symmetries of quasitoric manifolds”, *Algebr. Geom. Topol.* **13**:1 (2013), 277–312. [MR Zbl](#)
- [Wiemeler 2015a] M. Wiemeler, “Equivariantly homeomorphic quasitoric manifolds are diffeomorphic”, *Bol. Soc. Mat. Mex.* (online publication March 2015).
- [Wiemeler 2015b] M. Wiemeler, “Torus manifolds and non-negative curvature”, *J. Lond. Math. Soc. (2)* **91**:3 (2015), 667–692. [MR Zbl](#)
- [Witten 1988] E. Witten, “The index of the Dirac operator in loop space”, pp. 161–181 in *Elliptic curves and modular forms in algebraic topology* (Princeton, NJ, 1986), edited by P. S. Landweber, Lecture Notes in Math. **1326**, Springer, 1988. [MR Zbl](#)

Received July 17, 2015. Revised May 23, 2016.

MICHAEL WIEMELER  
 INSTITUT FÜR MATHEMATIK  
 UNIVERSITÄT AUGSBURG  
 UNIVERSITÄTSSTRASSE 14  
 D-86159 AUGSBURG  
 GERMANY  
[michael.wiemeler@math.uni-augsburg.de](mailto:michael.wiemeler@math.uni-augsburg.de)

# PACIFIC JOURNAL OF MATHEMATICS

Founded in 1951 by E. F. Beckenbach (1906–1982) and F. Wolf (1904–1989)

[msp.org/pjm](http://msp.org/pjm)

## EDITORS

Don Blasius (Managing Editor)  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[blasius@math.ucla.edu](mailto:blasius@math.ucla.edu)

Paul Balmer  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[balmer@math.ucla.edu](mailto:balmer@math.ucla.edu)

Robert Finn  
Department of Mathematics  
Stanford University  
Stanford, CA 94305-2125  
[finn@math.stanford.edu](mailto:finn@math.stanford.edu)

Sorin Popa  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[popa@math.ucla.edu](mailto:popa@math.ucla.edu)

Vyjayanthi Chari  
Department of Mathematics  
University of California  
Riverside, CA 92521-0135  
[chari@math.ucr.edu](mailto:chari@math.ucr.edu)

Kefeng Liu  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[liu@math.ucla.edu](mailto:liu@math.ucla.edu)

Igor Pak  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[pak.pjm@gmail.com](mailto:pak.pjm@gmail.com)

Paul Yang  
Department of Mathematics  
Princeton University  
Princeton NJ 08544-1000  
[yang@math.princeton.edu](mailto:yang@math.princeton.edu)

Daryl Cooper  
Department of Mathematics  
University of California  
Santa Barbara, CA 93106-3080  
[cooper@math.ucsb.edu](mailto:cooper@math.ucsb.edu)

Jiang-Hua Lu  
Department of Mathematics  
The University of Hong Kong  
Pokfulam Rd., Hong Kong  
[jhlu@maths.hku.hk](mailto:jhlu@maths.hku.hk)

Jie Qing  
Department of Mathematics  
University of California  
Santa Cruz, CA 95064  
[qing@cats.ucsc.edu](mailto:qing@cats.ucsc.edu)

## PRODUCTION

Silvio Levy, Scientific Editor, [production@msp.org](mailto:production@msp.org)

## SUPPORTING INSTITUTIONS

ACADEMIA SINICA, TAIPEI  
CALIFORNIA INST. OF TECHNOLOGY  
INST. DE MATEMÁTICA PURA E APLICADA  
KEIO UNIVERSITY  
MATH. SCIENCES RESEARCH INSTITUTE  
NEW MEXICO STATE UNIV.  
OREGON STATE UNIV.

STANFORD UNIVERSITY  
UNIV. OF BRITISH COLUMBIA  
UNIV. OF CALIFORNIA, BERKELEY  
UNIV. OF CALIFORNIA, DAVIS  
UNIV. OF CALIFORNIA, LOS ANGELES  
UNIV. OF CALIFORNIA, RIVERSIDE  
UNIV. OF CALIFORNIA, SAN DIEGO  
UNIV. OF CALIF., SANTA BARBARA

UNIV. OF CALIF., SANTA CRUZ  
UNIV. OF MONTANA  
UNIV. OF OREGON  
UNIV. OF SOUTHERN CALIFORNIA  
UNIV. OF UTAH  
UNIV. OF WASHINGTON  
WASHINGTON STATE UNIVERSITY

These supporting institutions contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its contents or policies.

---

See inside back cover or [msp.org/pjm](http://msp.org/pjm) for submission instructions.

---

The subscription price for 2017 is US \$450/year for the electronic version, and \$625/year for print and electronic. Subscriptions, requests for back issues and changes of subscriber address should be sent to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163, U.S.A. The Pacific Journal of Mathematics is indexed by [Mathematical Reviews](#), [Zentralblatt MATH](#), [PASCAL CNRS Index](#), [Referativnyi Zhurnal](#), [Current Mathematical Publications](#) and [Web of Knowledge \(Science Citation Index\)](#).


---

The Pacific Journal of Mathematics (ISSN 0030-8730) at the University of California, c/o Department of Mathematics, 798 Evans Hall #3840, Berkeley, CA 94720-3840, is published twelve times a year. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices. POSTMASTER: send address changes to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163.

---

PJM peer review and production are managed by EditFLOW<sup>®</sup> from Mathematical Sciences Publishers.

PUBLISHED BY

 **mathematical sciences publishers**  
nonprofit scientific publishing

<http://msp.org/>

© 2017 Mathematical Sciences Publishers

# PACIFIC JOURNAL OF MATHEMATICS

Volume 286    No. 2    February 2017

---

Almost everywhere convergence for modified Bochner–Riesz means at the critical index for $p \geq 2$	257
MARCO ANNONI	
Uniqueness of conformal Ricci flow using energy methods	277
THOMAS BELL	
A functional calculus and restriction theorem on H-type groups	291
HEPING LIU and MANLI SONG	
Identities involving cyclic and symmetric sums of regularized multiple zeta values	307
TOMOYA MACHIDE	
Conformally Kähler Ricci solitons and base metrics for warped product Ricci solitons	361
GIDEON MASCHLER	
Calculating Greene’s function via root polytopes and subdivision algebras	385
KAROLA MÉSZÁROS	
Classifying resolving subcategories	401
WILLIAM SANDERS	
The symplectic plactic monoid, crystals, and MV cycles	439
JACINTA TORRES	
A note on torus actions and the Witten genus	499
MICHAEL WIEMELER	