

*Pacific  
Journal of  
Mathematics*

**SPINORIAL REPRESENTATION OF SUBMANIFOLDS  
IN RIEMANNIAN SPACE FORMS**

PIERRE BAYARD, MARIE-AMÉLIE LAWN AND JULIEN ROTH



## SPINORIAL REPRESENTATION OF SUBMANIFOLDS IN RIEMANNIAN SPACE FORMS

PIERRE BAYARD, MARIE-AMÉLIE LAWN AND JULIEN ROTH

**We give a spinorial representation of submanifolds of any dimension and codimension into Riemannian space forms in terms of the existence of generalized Killing spinors. We discuss several applications, among them a new and concise proof of the fundamental theorem of submanifold theory. We also recover results of T. Friedrich, B. Morel and the authors in dimensions 2 and 3.**

### 1. Introduction

One of the fundamental problems in submanifold theory deals with the existence of isometric immersions from a Riemannian manifold  $M^n$  into another fixed Riemannian manifold  $N^{n+p}$ . If the ambient manifold is the space form  $\mathbb{R}^{n+p}$ ,  $\mathbb{S}^{n+p}$  or  $\mathbb{H}^{n+p}$ , the fundamental theorem of submanifold theory states that the Gauss, Ricci and Codazzi equations, also called structure equations, are necessary and sufficient conditions.

In the case of surfaces, another approach is given by the study of Weierstrass representations. Historically, these representations are describing a conformal minimal immersion of a Riemann surface  $M$  into the three-dimensional Euclidean space  $\mathbb{R}^3$ . Precisely, given a pair  $(h, g)$  consisting of a holomorphic and a meromorphic function, the formula

$$f(x, y) = \Re \int ((1 - g^2(z))h(z), (1 + g^2(z))h(z), 2g(z)h(z)) dz,$$

with  $z = x + iy$  some complex coordinate, gives a local parametrization of a minimal surface in Euclidean three-space. Conversely every minimal surface can be parametrized in this way with respect to isothermal coordinates. However, relaxing the condition of holomorphicity on the pair  $(h, g)$ , this representation is much more general and can actually describe all surfaces in  $\mathbb{R}^3$  as shown in [Kenmotsu 1979].

This approach was reformulated in a more concise and simpler way in terms of spinor fields by B.G. Konopelchenko [1996], Konopelchenko and I.A. Taïmanov

---

*MSC2010:* 53C27, 53C40.

*Keywords:* Spin geometry, isometric immersions, Weierstrass representation.

[1996], Taïmanov [1997a] and R. Kusner and N. Schmitt [1996]. These so-called spinorial Weierstrass representations were studied extensively by these authors and many others, in dimension 3 and 4 (see [Taïmanov 1997b; Konopelchenko 2000; Konopelchenko and Landolfi 1999; 2000] and the references there).

However these formulae were given in local coordinates and remained purely computational until Friedrich [1998] gave an elegant and geometrically invariant description using spinor bundles. We point out that the equivalence between the two approaches was recently showed in [Romon and Roth 2013]. The main idea is to use the identification between the ambient spinor bundle restricted to the surface and the spinor bundle of the surface. Note that the condition to be a spin manifold is not restrictive here since any oriented surface is also spin. More generally, the restriction  $\varphi$  of a parallel spinor field on  $\mathbb{R}^{n+1}$  to an oriented Riemannian hypersurface  $M^n$  is a solution of a *generalized Killing equation*

$$\nabla_X^{\Sigma M} \varphi = A(X) \cdot \varphi,$$

where  $\nabla^{\Sigma M}$  and  $\cdot$  are respectively the spin connection and the Clifford multiplication on  $M$ , and  $A$  is the shape operator of the immersion. Conversely, Friedrich showed that in the case where  $M$  is a simply connected surface, if there exists a particular spinor field  $\varphi$  satisfying the generalized Killing equation, where  $A$  is an arbitrary field of symmetric endomorphisms of the tangent bundle, then there exists an isometric immersion of  $M$  into  $\mathbb{R}^3$  with shape operator  $A$ . Moreover,  $\varphi$  is the restriction to  $M$  of a parallel spinor of  $\mathbb{R}^3$ . The proof consists of showing that  $A$  indeed satisfies the structure equations. This result was generalized to surfaces into other three-dimensional ambient spaces [Morel 2005; Nakad and Roth 2012; Roth 2010; Taïmanov 2004], to three-dimensional manifolds into four-dimensional space forms [Lawn and Roth 2010; Nakad and Roth 2012] and also to the two-dimensional pseudo-Riemannian setting [Lawn and Roth 2011]. However the question whether a generalized Killing spinor on a manifold of arbitrary dimension gives rise to an isometric immersion into some Euclidean space remained until now unanswered. Some of the few achievements in this direction were obtained in [Ammann et al. 2013] for real analytic manifolds and in [Bär et al. 2005; Nakad 2011] when  $A$  is a Codazzi tensor, showing the existence of an immersion into a Ricci flat manifold admitting a parallel spinor which restricts to  $\varphi$ .

Similarly, in higher codimension, very little is known. In [Bayard et al. 2013], we extended the approach to the case of surfaces in four-dimensional space forms. The key point was to use the remark due to Bär [1998] that an ambient spinor restricted to an immersed submanifold  $M$  can be identified with a section of the spinor bundle of the submanifold twisted with the spin bundle of the normal bundle. This was then extended to the pseudo-Riemannian setting in [Bayard 2013; Bayard and Patty 2015].

Following the same idea, we use in this paper a particular twisted spin bundle over a spin manifold of arbitrary dimension to give a geometrically invariant spinorial representation of submanifolds of Euclidean spaces in any codimension. Note that our proof does not use the structure equations but merely the existence of a special generalized Killing spinor on the manifold. Precise definitions are given in the first sections of the paper. We later show that one indeed recovers the previously mentioned result of Friedrich [1998] in the case of surfaces in  $\mathbb{R}^3$ , as well as the one of Lawn and Roth [2010] for three-dimensional hypersurfaces and of Bayard, Lawn and Roth [Bayard et al. 2013] for surfaces in  $\mathbb{R}^4$  (Section 7). It is worth pointing out that the study of generalized Killing spinors has revealed very interesting applications. Moroianu and Semmelmann [2014] were for instance able to construct new examples of Lagrangian submanifolds of the nearly Kähler  $\mathbb{S}^3 \times \mathbb{S}^3$  using the existence of such spinors on the sphere  $\mathbb{S}^3$ . Moreover it is well known that there is a close relationship to  $G$ -structures: for instance a generalized Killing spinor defines a cocalibrated  $G_2$ -structure on the manifold in dimension 7 and a half-flat  $SU(3)$ -structure in dimension 6 (see for example [Chioffi and Salamon 2002]). However the existence of such spinors is a nontrivial problem: our construction is therefore of particular interest.

Besides the above mentioned, we discuss several other applications. A notable achievement is a new and concise proof of the fundamental theorem of submanifold theory. In the special case of surfaces, we show that our approach is equivalent to the spinorial Weierstrass representations, i.e., we obtain explicit formulae in terms of functions involving the components of the spinor field which are holomorphic if the surface is minimal. Our result can thus be seen as a generalization of most of the concrete Weierstrass representation formulae existing in the literature: it provides a general framework to understand formulae appearing in a variety of contexts. Moreover, since the basic ideas and constructions behind our representation are fairly simple, we hope that our result will be useful to obtain new concrete Weierstrass representation formulae, once some geometric context is specified: this is especially interesting for surfaces, in low-dimensional pseudo-Riemannian space forms, under some curvature assumptions.

Finally, in the last section, we extend our result to submanifolds immersed into the other space forms  $\mathbb{S}^n$  and  $\mathbb{H}^n$ , and recover the results of Morel [2005] and Taïmanov [2004] if  $n = 3$ .

## 2. Preliminaries

**The spin representation.** Let us denote by  $Cl_n$  the real Clifford algebra on  $\mathbb{R}^n$  with its standard scalar product. We consider the representation

$$\rho : Cl_n \rightarrow \text{End}(Cl_n), \quad a \mapsto (\xi \mapsto a\xi)$$

and its restriction to the group  $\text{Spin}(n)$

$$\rho|_{\text{Spin}(n)} : \text{Spin}(n) \rightarrow \text{GL}(\text{Cl}_n), \quad a \mapsto (\xi \mapsto a\xi).$$

Note that this is not the adjoint representation of the spin group on the Clifford algebra, but rather the representation given by left multiplication.

Moreover we want to point out that we are not taking as usual the restriction of an irreducible representation of the Clifford algebra to the spin group, but that we consider instead the restriction of the entire real Clifford algebra. This real representation splits into a sum of  $2^k$  copies of spinor spaces of dimension  $2^{n-k}$ , where the number  $k$  depends on the dimension  $n$  and can be computed using the Radon–Hurwitz numbers (we refer to [Lounesto 2001] for further details).

If  $p + q = n$ , we have a natural map

$$\text{Spin}(p) \times \text{Spin}(q) \rightarrow \text{Spin}(n)$$

associated to the splitting  $\mathbb{R}^n = \mathbb{R}^p \oplus \mathbb{R}^q$  and to the corresponding isomorphism of Clifford algebras

$$\text{Cl}_n = \text{Cl}_p \hat{\otimes} \text{Cl}_q,$$

where  $\hat{\otimes}$  denotes the  $\mathbb{Z}_2$ -graded tensor product. We get thus the following representation, still denoted by  $\rho$ ,

$$(1) \quad \rho : \text{Spin}(p) \times \text{Spin}(q) \rightarrow \text{GL}(\text{Cl}_n), \quad a \mapsto (\xi \mapsto a\xi).$$

**The twisted spinor bundle  $\Sigma$ .** We consider  $M$  a  $p$ -dimensional Riemannian manifold,  $E \rightarrow M$  a bundle of rank  $q$ , with a fiber metric and a compatible connection. We assume that  $E$  and  $TM$  are oriented and spin, with given spin structures

$$\tilde{Q}_M \xrightarrow{2:1} Q_M \quad \text{and} \quad \tilde{Q}_E \xrightarrow{2:1} Q_E$$

where  $Q_M$  and  $Q_E$  are the bundles of positively oriented orthonormal frames of  $TM$  and  $E$ , and we set

$$\tilde{Q} := \tilde{Q}_M \times_M \tilde{Q}_E;$$

this is a  $\text{Spin}(p) \times \text{Spin}(q)$ -principal bundle. We define the associated bundle

$$\Sigma := \tilde{Q} \times_{\rho} \text{Cl}_n$$

and its restriction

$$(2) \quad U\Sigma := \tilde{Q} \times_{\rho} \text{Spin}(n) \subset \Sigma$$

where  $\rho$  is the representation (1) given by left multiplication. We remark that if we used the adjoint representation instead, we would just get the Clifford algebra bundle. Again we point out that our spinor bundle  $\Sigma$  is a real vector bundle with fiber the entire Clifford algebra and not, as usual, an irreducible complex Clifford module.

The vector bundle  $\Sigma$  is equipped with the covariant derivative  $\nabla$  naturally associated to the spinorial connections on  $\tilde{Q}_M$  and  $\tilde{Q}_E$ .

**Remark.** The bundle  $\Sigma$  is a spinor bundle on  $TM$  twisted by a spinor bundle on  $E$ : indeed, let us consider the representations

$$\rho_1 : \text{Spin}(p) \rightarrow \text{GL}(\text{Cl}_p) \quad \text{and} \quad \rho_2 : \text{Spin}(q) \rightarrow \text{GL}(\text{Cl}_q)$$

given by left multiplication, and the associated bundles

$$\Sigma_1 := \tilde{Q}_M \times_{\rho_1} \text{Cl}_p \quad \text{and} \quad \Sigma_2 := \tilde{Q}_E \times_{\rho_2} \text{Cl}_q$$

equipped with their natural connections  $\nabla^1$  and  $\nabla^2$ ; then

$$\Sigma_1 \otimes \Sigma_2 \simeq \Sigma \quad \text{and} \quad \nabla^1 \otimes \text{id}_{\Sigma_2} \oplus \text{id}_{\Sigma_1} \otimes \nabla^2 \simeq \nabla.$$

This is a consequence of the fact that the natural isomorphism

$$i : \text{Cl}_p \otimes \text{Cl}_q \xrightarrow{\sim} \text{Cl}_n, \quad \xi_1 \otimes \xi_2 \mapsto \xi_1 \xi_2$$

is an equivalence of representations of  $\text{Spin}(p) \times \text{Spin}(q)$ , i.e., for  $g_1 \in \text{Spin}(p)$  and  $g_2 \in \text{Spin}(q)$ ,

$$i \circ \rho_1(g_1) \otimes \rho_2(g_2) = \rho(g_1, g_2) \circ i;$$

indeed, if  $\xi_1 \in \text{Cl}_p$  and  $\xi_2 \in \text{Cl}_q$ ,

$$\begin{aligned} i(\rho_1(g_1) \otimes \rho_2(g_2)(\xi_1 \otimes \xi_2)) &= i(g_1 \xi_1 \otimes g_2 \xi_2) = g_1 \xi_1 g_2 \xi_2 \\ &= g_1 g_2 \xi_1 \xi_2 = \rho(g_1, g_2)(i(\xi_1 \otimes \xi_2)), \end{aligned}$$

where the products in the third and fourth terms are products in  $\text{Cl}_n$  (note that  $\xi_1$  and  $g_2$  commute since  $\xi_1$  belongs to  $\text{Cl}_p$  and  $g_2$  is a product of an even number of vectors belonging to  $\mathbb{R}^q$ ).

As in the usual construction in spin geometry, the spin bundle  $\Sigma$  is endowed with a natural action of the Clifford bundle  $\text{Cl}(TM \oplus E)$ : indeed, the Clifford product

$$\text{Cl}(\mathbb{R}^p \oplus \mathbb{R}^q) \times \text{Cl}_n \rightarrow \text{Cl}_n, \quad (\eta, \xi) \mapsto \eta \cdot \xi$$

is  $\text{Spin}(p) \times \text{Spin}(q)$  equivariant, if the action of  $\text{Spin}(p) \times \text{Spin}(q)$  on  $\text{Cl}(\mathbb{R}^p \oplus \mathbb{R}^q)$  is the adjoint action, and the action on  $\text{Cl}_n$  is the left multiplication: we obviously have, for  $(g_1, g_2) \in \text{Spin}(p) \times \text{Spin}(q)$  and  $g = g_1 g_2 \in \text{Spin}(n)$ ,

$$(g \xi g^{-1}) \cdot (g \eta) = g \cdot (\xi \eta) \quad \text{for } \xi, \eta \in \text{Cl}_n.$$

**A  $\text{Cl}_n$ -valued bilinear map on  $\Sigma$ .** Let us denote by  $\tau : \text{Cl}_n \rightarrow \text{Cl}_n$  the antiautomorphism of  $\text{Cl}_n$  such that

$$\tau(x_1 \cdot x_2 \cdots x_k) = x_k \cdots x_2 \cdot x_1 \quad \text{for all } x_1, x_2, \dots, x_k \in \mathbb{R}^n,$$

where ‘ $\cdot$ ’ denotes as usual the Clifford multiplication, and set

$$(3) \quad \langle \langle \cdot, \cdot \rangle \rangle : \text{Cl}_n \times \text{Cl}_n \rightarrow \text{Cl}_n, \quad (\xi, \xi') \mapsto \tau(\xi')\xi.$$

This map is  $\text{Spin}(n)$ -invariant: for all  $\xi, \xi' \in \text{Cl}_n$  and  $g \in \text{Spin}(n)$  we have

$$\langle \langle g\xi, g\xi' \rangle \rangle = \tau(g\xi')g\xi = \tau(\xi')\tau(g)g\xi = \tau(\xi')\xi = \langle \langle \xi, \xi' \rangle \rangle,$$

since  $\text{Spin}(n) \subset \{g \in \text{Cl}_n^0 : \tau(g)g = 1\}$ ; this map thus induces a  $\text{Cl}_n$ -valued map

$$(4) \quad \langle \langle \cdot, \cdot \rangle \rangle : \Sigma \times \Sigma \rightarrow \text{Cl}_n, \quad (\varphi, \varphi') \mapsto \langle \langle [\varphi], [\varphi'] \rangle \rangle$$

where  $[\varphi]$  and  $[\varphi'] \in \text{Cl}_n$  represent  $\varphi$  and  $\varphi'$  in some spinorial frame  $\tilde{s} \in \tilde{Q}$ .

**Lemma 2.1.** *The map  $\langle \langle \cdot, \cdot \rangle \rangle : \Sigma \times \Sigma \rightarrow \text{Cl}_n$  satisfies the following properties: for all  $\varphi, \psi \in \Gamma(\Sigma)$  and  $X \in \Gamma(TM)$ ,*

$$(5) \quad \langle \langle \varphi, \psi \rangle \rangle = \tau \langle \langle \psi, \varphi \rangle \rangle$$

and

$$(6) \quad \langle \langle X \cdot \varphi, \psi \rangle \rangle = \langle \langle \varphi, X \cdot \psi \rangle \rangle.$$

*Proof.* We have

$$\langle \langle \varphi, \psi \rangle \rangle = \tau[\psi][\varphi] = \tau(\tau[\varphi][\psi]) = \tau \langle \langle \psi, \varphi \rangle \rangle$$

and

$$\langle \langle X \cdot \varphi, \psi \rangle \rangle = \tau[\psi][X][\varphi] = \tau([X][\psi])[\varphi] = \langle \langle \varphi, X \cdot \psi \rangle \rangle,$$

where  $[\varphi]$ ,  $[\psi]$  and  $[X] \in \text{Cl}_n$  represent  $\varphi$ ,  $\psi$  and  $X$  in some given frame  $\tilde{s} \in \tilde{Q}$ .  $\square$

**Lemma 2.2.** *The connection  $\nabla$  is compatible with the product  $\langle \langle \cdot, \cdot \rangle \rangle$ :*

$$\partial_X \langle \langle \varphi, \varphi' \rangle \rangle = \langle \langle \nabla_X \varphi, \varphi' \rangle \rangle + \langle \langle \varphi, \nabla_X \varphi' \rangle \rangle$$

for all  $\varphi, \varphi' \in \Gamma(\Sigma)$  and  $X \in \Gamma(TM)$ .

*Proof.* If  $\varphi = [\tilde{s}, [\varphi]]$  is a section of  $\Sigma = \tilde{Q} \times_\rho \text{Cl}_n$ , we have

$$\nabla_X \varphi = [\tilde{s}, \partial_X[\varphi] + \rho_*(\tilde{s}^* \alpha(X))([\varphi])] \quad \text{for all } X \in TM,$$

where  $\rho$  is the representation (1) and  $\alpha$  is the connection form on  $\tilde{Q}$ ; the term  $\rho_*(\tilde{s}^* \alpha(X))$  is an endomorphism of  $\text{Cl}_n$  given by the multiplication on the left by an element belonging to  $\Lambda^2 \mathbb{R}^n \subset \text{Cl}_n$ , still denoted by  $\rho_*(\tilde{s}^* \alpha(X))$ . Such an element satisfies

$$\tau(\rho_*(\tilde{s}^* \alpha(X))) = -\rho_*(\tilde{s}^* \alpha(X)),$$



and we have

$$\begin{aligned}
 \langle \langle \nabla_X \varphi, \varphi' \rangle \rangle + \langle \langle \varphi, \nabla_X \varphi' \rangle \rangle &= \tau\{[\varphi']\}(\partial_X[\varphi] + \rho_*(\tilde{s}^* \alpha(X))[\varphi]) \\
 &\quad + \tau\{\partial_X[\varphi'] + \rho_*(\tilde{s}^* \alpha(X))[\varphi']\}[\varphi] \\
 &= \tau\{[\varphi']\} \partial_X[\varphi] + \tau\{\partial_X[\varphi']\}[\varphi] \\
 &= \partial_X \langle \langle \varphi, \varphi' \rangle \rangle. \quad \square
 \end{aligned}$$

### 3. The spin geometry of a submanifold in $\mathbb{R}^n$

We keep the notation of the previous section, assuming moreover here that  $M$  is a submanifold of  $\mathbb{R}^n$  and that  $E \rightarrow M$  is its normal bundle. Let as before  $\tilde{Q}_M \xrightarrow{2:1} Q_M$  be a spin structure of  $M$ . Our goal is to construct  $\tilde{Q}$  such that we obtain an identification

$$\Sigma = \tilde{Q} \times_{\rho} Cl_n \simeq M \times Cl_n.$$

Although this type of result is used in several places in the literature, we could not find a complete statement or proof. Therefore we will give a detailed proof, which we believe may be useful in its own right.

Let  $(e_1, \dots, e_p)$  resp.  $(e_{p+1}, \dots, e_{p+q})$  be orthonormal frames of  $TM$  resp.  $E$  and  $Q_{\mathbb{R}^n}$  the bundle of positively oriented orthonormal frames of  $\mathbb{R}^n$ . We can consider the map

$$\begin{aligned}
 \iota : Q_M \times_M Q_E &\rightarrow Q_{\mathbb{R}^n} \\
 ((e_1, \dots, e_p), (e_{p+1}, \dots, e_{p+q})) &\mapsto (e_1, e_2, \dots, e_{p+q})
 \end{aligned}$$

given by the concatenation of frames.

The map

$$\tilde{Q}_M \times_M Q_E \rightarrow Q_M \times_M Q_E$$

is obviously a two-to-one covering of  $Q_M \times_M Q_E$ .

Let now  $\tilde{Q}_{\mathbb{R}^n} \xrightarrow{2:1} Q_{\mathbb{R}^n}$  be the (unique) spin structure of  $\mathbb{R}^n$ . Then the bundle

$$\tilde{Q} := (\tilde{Q}_M \times_M Q_E) \times_{Q_{\mathbb{R}^n}} \tilde{Q}_{\mathbb{R}^n}$$

is a  $\text{Spin}(p) \times \text{Spin}(q)$ -principal bundle over  $M$  and a four-to-one covering of  $Q_M \times_M Q_E$ . Observe that  $\tilde{Q} = \tilde{Q}_M \times_M \tilde{Q}_E$ , where  $\tilde{Q}_E := \tilde{Q} / \text{Spin}(p)$  (and the projection  $\tilde{Q} / \text{Spin}(q) \rightarrow \tilde{Q}_M$  is a map of principal  $\text{Spin}(p)$ -bundles, hence an isomorphism). Moreover,  $\tilde{Q}_E$  is a spin structure on  $E$ , canonically associated to the spin structures on  $M$  and  $\mathbb{R}^n$ .

**Claim.** Consider the bundle

$$\tilde{Q} \times_c \text{Spin}(p+q) := (\tilde{Q} \times \text{Spin}(p+q)) / (\text{Spin}(p) \times \text{Spin}(q)),$$

where

$$c : \text{Spin}(p) \times \text{Spin}(q) \rightarrow \text{Spin}(p+q)$$

is the map corresponding to the isomorphism of Clifford algebras  $\text{Cl}_p \hat{\otimes} \text{Cl}_q \cong \text{Cl}_{p+q}$ . Then there is a canonical isomorphism of  $\text{Spin}(n)$ -principal bundles,

$$\tilde{Q} \times_c \text{Spin}(p+q) \cong \tilde{Q}_{\mathbb{R}^n}|_M.$$

*Proof.* Consider the projection  $\pi : \tilde{Q} \rightarrow \tilde{Q}_{\mathbb{R}^n}$  to the last factor. Then the map

$$\tilde{\pi} : \tilde{Q} \times \text{Spin}(p+q) \rightarrow \tilde{Q}_{\mathbb{R}^n}, \quad (\tilde{q}, s) \mapsto s\pi(\tilde{q})$$

satisfies  $\tilde{\pi}(s_0\tilde{q}, ss_0^{-1}) = \tilde{\pi}(\tilde{q}, s)$  for any  $s_0 \in \text{Spin}(p) \times \text{Spin}(q)$ , so  $\tilde{\pi}$  descends to a map  $\tilde{Q} \times_c \text{Spin}(p+q) \rightarrow \tilde{Q}_{\mathbb{R}^n}$ . The source is clearly a  $\text{Spin}(p+q)$ -principal bundle on  $M$ , as is the target, and the map is  $\text{Spin}(p+q)$ -equivariant and the identity over  $M$ . Hence it is an isomorphism of principal bundles.  $\square$

**Corollary 1.** *If now  $\rho : \text{Spin}(p) \times \text{Spin}(q) \rightarrow \text{GL}(\text{Cl}_n)$  is the map given by  $\tilde{\rho} \circ c$ , where  $\tilde{\rho} : \text{Spin}(n) \rightarrow \text{GL}(\text{Cl}_n)$  is the representation induced by left multiplication, we get*

$$\tilde{Q} \times_\rho \text{Cl}_n \cong \tilde{Q}_{\mathbb{R}^n}|_M \times_{\tilde{\rho}} \text{Cl}_n \cong M \times \text{Cl}_n.$$

*Proof.* The first isomorphism is immediate from the claim, and the second follows since  $\tilde{Q}_{\mathbb{R}^n}$  is trivial.  $\square$

Two connections are thus defined on  $\Sigma$ , the connection  $\nabla$  introduced in the previous section and the trivial connection  $\partial$ ; they satisfy the following Gauss formula:

$$(7) \quad \partial_X \varphi = \nabla_X \varphi + \frac{1}{2} \sum_{j=1}^p e_j \cdot B(X, e_j) \cdot \varphi$$

for all  $\varphi \in \Gamma(\Sigma)$  and all  $X \in \Gamma(TM)$ , where  $B : TM \times TM \rightarrow E$  is the second fundamental form of  $M$  into  $\mathbb{R}^n$ . We refer to [Bär 1998] for the proof (in a slightly different context).

#### 4. Spinorial representation of submanifolds in $\mathbb{R}^n$

We state the main result of the paper. Let  $M$  be a  $p$ -dimensional Riemannian manifold and  $E \rightarrow M$  a bundle of rank  $q$ , with a fiber metric and a compatible connection; we assume that  $E$  and  $TM$  are oriented and spin, with given spin structures. We keep the notation of Section 2.

**Theorem 2.** *We moreover assume that  $M$  is simply connected, and suppose that  $B : TM \times TM \rightarrow E$  is bilinear and symmetric. The following statements are equivalent:*

(1) *There exists a section  $\varphi \in \Gamma(U\Sigma)$  such that*

$$(8) \quad \nabla_X \varphi = -\frac{1}{2} \sum_{j=1}^p e_j \cdot B(X, e_j) \cdot \varphi \quad \text{for all } X \in TM.$$

(2) *There exists an isometric immersion  $F : M \rightarrow \mathbb{R}^n$  with normal bundle  $E$  and second fundamental form  $B$ . Moreover,  $F = \int \xi$  where  $\xi$  is the  $\mathbb{R}^n$ -valued 1-form defined by*

$$(9) \quad \xi(X) := \langle X \cdot \varphi, \varphi \rangle \quad \text{for all } X \in TM.$$

The representation formula (9) generalizes the classical Weierstrass representation formula and most of the spinor representation formulae in the literature. Special cases will be studied in Sections 7 and 8.

**Remark.** Formula (9) also presents the advantage of unifying previously known formulae, and therefore explaining apparent discrepancies in these results. In particular, it is known that the number of spinor fields needed to represent immersions, and also the normalization of these spinor fields, vary depending on the geometric context; for instance, a single spinor field is needed to represent surfaces in  $\mathbb{R}^3$  but two spinor fields are necessary to represent general hypersurfaces in dimension 4 [Lawn and Roth 2010], and the required normalization to represent surfaces in  $\mathbb{R}^{1,2}$ ,  $\mathbb{R}^{1,3}$  or  $\mathbb{R}^{2,2}$  differ to that in  $\mathbb{R}^3$  or  $\mathbb{R}^4$  [Bayard 2013; Bayard et al. 2013; Bayard and Patty 2015; Friedrich 1998; Lawn 2008]. This is now easily explained by the fact that the usual spinor representation has in general to be replaced by a representation on the Clifford algebra (which is a sum of usual real spinorial representations), and that the convenient normalization is in fact determined by the bundle  $U\Sigma$  (whose fiber is the spin group).

**Remark.** Taking the trace of (8) we get

$$D\varphi = \frac{1}{2} p \vec{H} \cdot \varphi,$$

where  $D\varphi = \sum_{j=1}^p e_j \cdot \nabla_{e_j} \varphi$ , and  $\vec{H} = (1/p) \sum_{j=1}^p B(e_j, e_j)$  is the mean curvature vector of  $M$  in  $\mathbb{R}^n$ . This Dirac equation is known to be equivalent to (8) only for  $p = 2$  or 3 (see, e.g., [Friedrich 1998; Lawn and Roth 2010; 2011; Bayard et al. 2013]).

*Proof.* (2)  $\Rightarrow$  (1) is a direct consequence of the Gauss formula (7) for a submanifold of  $\mathbb{R}^n$ : the restriction of parallel spinor fields of the ambient space  $\mathbb{R}^n$  to the submanifold  $M$  are obviously solutions of equation (8) (recall that in the paper the spinors are constructed with the whole Clifford algebra). The immersion takes the form  $F = \int \xi$  where  $\xi$  is given by (9) for the special choice  $\varphi = 1_{\text{Cl}_n|_M}$ , since, in

that case, for all  $X \in TM$ ,

$$\xi(X) = \tau[\varphi][X][\varphi] = [X] \simeq X,$$

where  $[\varphi] = \pm 1_{\text{Cl}_n}$  and  $[X] \in \mathbb{R}^n$  represent  $\varphi$  and  $X$  in one of the two spinorial frames of  $\mathbb{R}^n$  above the canonical basis.

(1)  $\Rightarrow$  (2): We will prove that the 1-form  $\xi$  defined in (9) indeed gives us an immersion preserving the metric, the second fundamental form and the normal connection. This follows directly from Propositions 4.1 and 4.2 below.  $\square$

**Proposition 4.1.** *Assume that  $\varphi \in \Gamma(U\Sigma)$  is a solution of (8) and define  $\xi$  by (9). Then*

- (1)  $\xi$  takes its values in  $\mathbb{R}^n \subset \text{Cl}_n$ ;
- (2)  $\xi$  is a closed 1-form:  $d\xi = 0$ .

*Proof.* (1) By the very definition of  $\xi$ , we have

$$\xi(X) = \tau[\varphi][X][\varphi] \quad \text{for all } X \in TM,$$

where  $[X]$  and  $[\varphi]$  represent  $X$  and  $\varphi$  in a given frame  $\tilde{s}$  of  $\tilde{Q}$ . Since  $[X]$  belongs to  $\mathbb{R}^n \subset \text{Cl}_n$  and  $[\varphi]$  is an element of  $\text{Spin}(n)$ ,  $\xi(X)$  belongs to  $\mathbb{R}^n$ .

(2) We compute, for  $X, Y \in \Gamma(TM)$  such that  $\nabla X = \nabla Y = 0$  at some point  $x_0$ ,

$$\begin{aligned} \partial_X \xi(Y) &= \langle \langle Y \cdot \nabla_X \varphi, \varphi \rangle \rangle + \langle \langle Y \cdot \varphi, \nabla_X \varphi \rangle \rangle \\ &= (\text{id} + \tau) \langle \langle Y \cdot \varphi, \nabla_X \varphi \rangle \rangle \\ &= (\text{id} + \tau) \left\langle \left\langle \varphi, -\frac{1}{2} \sum_{j=1}^p Y \cdot e_j \cdot B(X, e_j) \cdot \varphi \right\rangle \right\rangle. \end{aligned}$$

Hence

$$d\xi(X, Y) = \partial_X \xi(Y) - \partial_Y \xi(X) = (\text{id} + \tau) \langle \langle \varphi, C \cdot \varphi \rangle \rangle$$

with

$$C := -\frac{1}{2} \sum_{j=1}^p \{Y \cdot e_j \cdot B(X, e_j) - X \cdot e_j \cdot B(Y, e_j)\}.$$

Now, for  $X = \sum_{1 \leq k \leq p} x_k e_k$  and  $Y = \sum_{1 \leq k \leq p} y_k e_k$ ,

$$\sum_{j=1}^p X \cdot e_j \cdot B(Y, e_j) = -B(Y, X) + \sum_{j=1}^p \sum_{k \neq j} x_k e_k \cdot e_j \cdot B(Y, e_j)$$

and

$$\sum_{j=1}^p Y \cdot e_j \cdot B(X, e_j) = -B(X, Y) + \sum_{j=1}^p \sum_{k \neq j} y_k e_k \cdot e_j \cdot B(X, e_j),$$

which yields the formula

$$C = -\frac{1}{2} \sum_{j=1}^p \sum_{k \neq j} e_k \cdot e_j \cdot (y_k B(X, e_j) - x_k B(Y, e_j)).$$

This shows that  $\tau[C] = -[C]$ , which implies that

$$\tau \langle \langle \varphi, C \cdot \varphi \rangle \rangle = \tau(\tau[\varphi]\tau[C][\varphi]) = -\tau[\varphi]\tau[C][\varphi] = -\langle \langle \varphi, C \cdot \varphi \rangle \rangle.$$

Thus

$$d\xi(X, Y) = (\text{id} + \tau) \langle \langle \varphi, C \cdot \varphi \rangle \rangle = 0. \quad \square$$

We keep the notation of Proposition 4.1, and moreover assume that  $M$  is simply connected; since  $\xi$  is closed by Proposition 4.1 we can consider

$$F : M \rightarrow \mathbb{R}^n$$

such that  $dF = \xi$ . The next proposition follows from the properties of the Clifford product:

**Proposition 4.2.** (1) *The map  $F : M \rightarrow \mathbb{R}^n$  is an isometry.*

(2) *The map*

$$\Phi_E : E \rightarrow M \times \mathbb{R}^n, \quad X \in E_m \mapsto (F(m), \xi(X))$$

*is an isometry between  $E$  and the normal bundle of  $F(M)$  into  $\mathbb{R}^n$ , preserving connections and second fundamental forms. Here, for  $X \in E$ ,  $\xi(X)$  still stands for the quantity  $\langle \langle X \cdot \varphi, \varphi \rangle \rangle$ .*

*Proof.* For  $X, Y \in \Gamma(TM \oplus E)$ , we have

$$\begin{aligned} \langle \xi(X), \xi(Y) \rangle &= -\frac{1}{2}(\xi(X)\xi(Y) + \xi(Y)\xi(X)) \\ &= -\frac{1}{2}(\tau[\varphi][X][\varphi]\tau[\varphi][Y][\varphi] + \tau[\varphi][Y][\varphi]\tau[\varphi][X][\varphi]) \\ &= -\frac{1}{2}\tau[\varphi]([X][Y] + [Y][X])[\varphi] \\ &= \langle X, Y \rangle, \end{aligned}$$

since  $[X][Y] + [Y][X] = -2\langle [X], [Y] \rangle = -2\langle X, Y \rangle$ . This implies that  $F$  is an isometry, and that  $\Phi_E$  is a bundle map between  $E$  and the normal bundle of  $F(M)$  into  $\mathbb{R}^n$  which preserves the metrics of the fibers. Let us denote by  $B_F$  and  $\nabla'^F$  the second fundamental form and the normal connection of the immersion  $F$ ; we want to show that

$$(10) \quad \xi(B(X, Y)) = B_F(\xi(X), \xi(Y)) \quad \text{and} \quad \xi(\nabla'_X N) = \nabla'_{\xi(X)} \xi(N)$$

for  $X, Y \in \Gamma(TM)$  and  $N \in \Gamma(E)$ . First,

$$B_F(\xi(X), \xi(Y)) = \{\partial_X \xi(Y)\}^N,$$

where the superscript  $N$  means that we consider the component of the vector which is normal to the immersion. We showed in the proof of Proposition 4.1 that fixing a point  $x_0 \in M$ , and assuming that  $\nabla Y = 0$  at  $x_0$  we have

$$\partial_X \xi(Y) = -\frac{1}{2}(\text{id} + \tau) \left\langle \left\langle \varphi, Y \cdot \sum_{j=1}^p e_j \cdot B(X, e_j) \cdot \varphi \right\rangle \right\rangle,$$

and that moreover

$$Y \cdot \sum_{j=1}^p e_j \cdot B(X, e_j) = -B(X, Y) + \mathcal{D},$$

where  $\mathcal{D}$  is a term which satisfies  $\tau \mathcal{D} = -\mathcal{D}$ . This implies that

$$B_F(\xi(X), \xi(Y)) = \left\{ \frac{1}{2}(\text{id} + \tau) \langle \langle \varphi, B(X, Y) \cdot \varphi \rangle \rangle \right\}^N = \xi(B(X, Y)),$$

where the last equality holds since  $\tau[B(X, Y)] = [B(X, Y)]$  and  $\xi(B(X, Y))$  is normal to the immersion. We finally show the second identity in (10): we have

$$\nabla_{\xi(X)}^F \xi(N) = (\partial_X \xi(N))^N = \langle \langle \nabla'_X N \cdot \varphi, \varphi \rangle \rangle^N + \langle \langle N \cdot \nabla_X \varphi, \varphi \rangle \rangle^N + \langle \langle N \cdot \varphi, \nabla_X \varphi \rangle \rangle^N.$$

The first term in the right-hand side is  $\xi(\nabla'_X N)$ , and we only need to show that

$$(11) \quad \langle \langle N \cdot \nabla_X \varphi, \varphi \rangle \rangle^N + \langle \langle N \cdot \varphi, \nabla_X \varphi \rangle \rangle^N = 0.$$

We have

$$\begin{aligned} \langle \langle N \cdot \nabla_X \varphi, \varphi \rangle \rangle + \langle \langle N \cdot \varphi, \nabla_X \varphi \rangle \rangle &= (\text{id} + \tau) \langle \langle N \cdot \nabla_X \varphi, \varphi \rangle \rangle \\ &= \frac{1}{2}(\text{id} + \tau) \left\langle \left\langle \sum_{j=1}^p e_j \cdot N \cdot B(X, e_j) \cdot \varphi, \varphi \right\rangle \right\rangle, \end{aligned}$$

and the identity (11) will thus be proved if we show that this vector is tangent to the immersion. We have

$$\begin{aligned} \sum_{j=1}^p e_j \cdot N \cdot B(X, e_j) &= -\sum_{j=1}^p e_j \cdot B(X, e_j) \cdot N - 2 \sum_{j=1}^p \langle B(X, e_j), N \rangle e_j \\ &= -\sum_{j=1}^p B(X, e_j) \cdot N \cdot e_j - 2B^*(X, N) \\ &= -\tau \left( \sum_{j=1}^p e_j \cdot N \cdot B(X, e_j) \right) - 2B^*(X, N), \end{aligned}$$

where we have set  $B^*(X, N) = \sum_{j=1}^p \langle B(X, e_j), N \rangle e_j$ ; thus

$$\frac{1}{2}(\text{id} + \tau) \left\langle \left\langle \sum_{j=1}^p e_j \cdot N \cdot B(X, e_j) \cdot \varphi, \varphi \right\rangle \right\rangle = -\langle B^*(X, N) \cdot \varphi, \varphi \rangle,$$

which is a vector tangent to the immersion since  $B^*(X, N)$  belongs to  $TM$ ; (11) follows, which finishes the proof.  $\square$

**Remark.** The group  $\text{Spin}(n)$  naturally acts on  $U\Sigma$  by multiplication on the right, and if  $\varphi \in \Gamma(U\Sigma)$  is a solution of (8) and  $g_0$  belongs to  $\text{Spin}(n)$ , then  $\varphi \cdot g_0$  is also a solution of (8); in fact,  $\varphi \cdot g_0$  defines an immersion which is congruent to the immersion defined by  $\varphi$ : indeed, for all  $X \in \Gamma(TM)$ ,

$$\xi_{\varphi \cdot g_0}(X) = \tau[\varphi \cdot g_0][X][\varphi \cdot g_0] = \tau(g_0)\tau[\varphi][X][\varphi]g_0 = \tau(g_0)\xi_\varphi(X)g_0,$$

i.e.,

$$\xi_{\varphi \cdot g_0} = \text{Ad}(g_0^{-1}) \circ \xi_\varphi;$$

the linear part of the rigid motion between the immersions defined by  $\varphi$  and  $\varphi \cdot g_0$  is thus  $\text{Ad}(g_0^{-1}) \in \text{SO}(n)$ .

## 5. An application: the fundamental theorem of submanifold theory

We first recall the equations of Gauss, Ricci and Codazzi for the symmetric bilinear form  $B$ . Let  $R^T$  and  $R^N$  stand respectively for the curvature tensors of the connections on  $TM$  and on  $E$ . Further, let  $B^* : TM \times E \rightarrow TM$  be the bilinear map such that for all  $X, Y \in \Gamma(TM)$  and  $N \in \Gamma(E)$

$$\langle B(X, Y), N \rangle = \langle Y, B^*(X, N) \rangle.$$

Then we have, for all  $X, Y, Z \in \Gamma(TM)$  and  $N \in \Gamma(E)$ ,

(1) the Gauss equation

$$R^T(X, Y)Z = B^*(X, B(Y, Z)) - B^*(Y, B(X, Z)),$$

(2) the Ricci equation

$$R^N(X, Y)N = B(X, B^*(Y, N)) - B(Y, B^*(X, N)),$$

(3) the Codazzi equation

$$\tilde{\nabla}_X B(Y, Z) = \tilde{\nabla}_Y B(X, Z);$$

in the last equation,  $\tilde{\nabla}$  denotes the natural connection on  $T^*M \otimes T^*M \otimes E$ .

**Proposition 5.1.** *The equations of Gauss, Ricci and Codazzi on  $B$  are the integrability conditions of (8).*

*Proof.* We assume that  $\varphi \in \Gamma(U\Sigma)$  is a solution of (8) and compute the curvature

$$R(X, Y)\varphi = \nabla_X \nabla_Y \varphi - \nabla_Y \nabla_X \varphi - \nabla_{[X, Y]}\varphi.$$

We fix a point  $x_0 \in M$ , and assume that  $\nabla X = \nabla Y = 0$  at  $x_0$ . We have

$$\begin{aligned} \nabla_X \nabla_Y \varphi &= -\frac{1}{2} \sum_{j=1}^p e_j \cdot (\tilde{\nabla}_X B(Y, e_j) \cdot \varphi + B(Y, e_j) \cdot \nabla_X \varphi) \\ &= -\frac{1}{2} \sum_{j=1}^p e_j \cdot \tilde{\nabla}_X B(Y, e_j) \cdot \varphi - \frac{1}{4} \sum_{j,k=1}^p e_j \cdot e_k \cdot B(Y, e_j) \cdot B(X, e_k). \end{aligned}$$

Thus

$$\begin{aligned} (12) \quad R(X, Y)\varphi &= -\frac{1}{2} \sum_{j=1}^p e_j \cdot (\tilde{\nabla}_X B(Y, e_j) - \tilde{\nabla}_Y B(X, e_j)) \cdot \varphi \\ &\quad + \frac{1}{4} \sum_{j \neq k} e_j \cdot e_k \cdot (B(X, e_j) \cdot B(Y, e_k) - B(Y, e_j) \cdot B(X, e_k)) \cdot \varphi \\ &\quad - \frac{1}{4} \sum_{j=1}^p (B(X, e_j) \cdot B(Y, e_j) - B(Y, e_j) \cdot B(X, e_j)) \cdot \varphi. \end{aligned}$$

We compute the last two terms in the following lemma:

**Lemma 5.2.** *Let us set*

$$\mathcal{A} := \frac{1}{4} \sum_{j \neq k} e_j \cdot e_k \cdot (B(X, e_j) \cdot B(Y, e_k) - B(Y, e_j) \cdot B(X, e_k))$$

and

$$\mathcal{B} := -\frac{1}{4} \sum_{j=1}^p (B(X, e_j) \cdot B(Y, e_j) - B(Y, e_j) \cdot B(X, e_j)).$$

We have

$$\mathcal{A} = \frac{1}{2} \sum_{j < k} \{ \langle B^*(X, B(Y, e_j)), e_k \rangle - \langle B^*(Y, B(X, e_j)), e_k \rangle \} e_j \cdot e_k$$

and

$$\mathcal{B} = \frac{1}{2} \sum_{k < l} \langle B(X, B^*(Y, n_k)) - B(Y, B^*(X, n_k)), n_l \rangle n_k \cdot n_l.$$

Here,  $e_1, \dots, e_p$  and  $n_1, \dots, n_q$  are orthonormal basis of  $T_{x_0}M$  and  $E_{x_0}$ , respectively.



*Proof.* For the computation of  $\mathcal{A}$ , we notice that

$$\sum_{j \neq k} e_j \cdot e_k \cdot B(Y, e_j) \cdot B(X, e_k) = - \sum_{j \neq k} e_j \cdot e_k \cdot B(Y, e_k) \cdot B(X, e_j),$$

and get

$$\begin{aligned} \mathcal{A} &= \frac{1}{4} \sum_{j \neq k} e_j \cdot e_k \cdot (B(X, e_j) \cdot B(Y, e_k) + B(Y, e_k) \cdot B(X, e_j)) \\ &= -\frac{1}{2} \sum_{j \neq k} \langle B(X, e_j), B(Y, e_k) \rangle e_j \cdot e_k \\ &= -\frac{1}{2} \sum_{j < k} \{ \langle B(X, e_j), B(Y, e_k) \rangle - \langle B(Y, e_j), B(X, e_k) \rangle \} e_j \cdot e_k \\ &= -\frac{1}{2} \sum_{j < k} \{ \langle B^*(Y, B(X, e_j)), e_k \rangle - \langle B^*(X, B(Y, e_j)), e_k \rangle \} e_j \cdot e_k. \end{aligned}$$

For the computation of  $\mathcal{B}$ , we write

$$B(Y, e_j) = \sum_k \langle B(Y, e_j), n_k \rangle n_k \quad \text{and} \quad B(X, e_j) = \sum_l \langle B(X, e_j), n_l \rangle n_l$$

and get

$$\begin{aligned} \sum_j B(Y, e_j) \cdot B(X, e_j) &= \sum_{kl} \sum_j \langle B(Y, e_j), n_k \rangle \langle B(X, e_j), n_l \rangle n_k \cdot n_l \\ &= \sum_{kl} \sum_j \langle e_j, B^*(Y, n_k) \rangle \langle e_j, B^*(X, n_l) \rangle n_k \cdot n_l \\ &= \sum_{kl} \langle B^*(Y, n_k), B^*(X, n_l) \rangle n_k \cdot n_l \\ &= \sum_{kl} \langle B(X, B^*(Y, n_k)), n_l \rangle n_k \cdot n_l; \end{aligned}$$

thus

$$\begin{aligned} \mathcal{B} &= \frac{1}{4} \sum_{kl} \langle B(X, B^*(Y, n_k)) - B(Y, B^*(X, n_k)), n_l \rangle n_k \cdot n_l \\ &= \frac{1}{2} \sum_{k < l} \langle B(X, B^*(Y, n_k)) - B(Y, B^*(X, n_k)), n_l \rangle n_k \cdot n_l. \end{aligned}$$

□

On the other hand, the curvature of the spinorial connection is given by

$$(13) \quad R(X, Y)\varphi = \frac{1}{2} \left( \sum_{1 \leq j < k \leq p} \langle R^T(X, Y)(e_j), e_k \rangle e_j \cdot e_k + \sum_{1 \leq k < l \leq q} \langle R^N(X, Y)(n_k), n_l \rangle n_k \cdot n_l \right) \cdot \varphi.$$

We now compare the expressions (12) and (13) using the calculations in Lemma 5.2: since in a given frame  $\tilde{\mathcal{Q}}$ ,  $\varphi$  is represented by an element which is invertible in  $\text{Cl}_n$  (it is in fact represented by an element belonging to  $\text{Spin}(n)$ ), we may identify the coefficients and get

$$\langle R^T(X, Y)(e_j), e_k \rangle = \langle B^*(X, B(Y, e_j)), e_k \rangle - \langle B^*(Y, B(X, e_j)), e_k \rangle,$$

$$\langle R^N(X, Y)(n_k), n_l \rangle = \langle B(X, B^*(Y, n_k)), n_l \rangle - \langle B(Y, B^*(X, n_k)), n_l \rangle$$

and

$$\tilde{\nabla}_X B(Y, e_j) - \tilde{\nabla}_Y B(X, e_j) = 0$$

for all the indices. These equations are the equations of Gauss, Ricci and Codazzi.

We finally show that the equations of Gauss, Codazzi and Ricci are also sufficient to get a solution of (8): by the computation above, the connection on  $\Sigma$  defined by

$$(14) \quad \nabla'_X \varphi := \nabla_X \varphi + \frac{1}{2} \sum_{j=1}^p e_j \cdot B(X, e_j) \cdot \varphi$$

for all  $\varphi \in \Gamma(\Sigma)$  and  $X \in \Gamma(TM)$  is then a flat connection. Moreover, this connection may be regarded as a connection on the principal bundle  $U\Sigma$  (with the group  $\text{Spin}(n)$  acting from the right): indeed,  $\nabla$  defines such a connection (since it comes from a connection on  $\tilde{\mathcal{Q}}$  and by (2)), and the right-hand side term in (14) defines a linear map

$$TM \rightarrow \chi_V^{\text{inv}}(U\Sigma), \quad X \mapsto \varphi \mapsto \frac{1}{2} \sum_{j=1}^p e_j \cdot B(X, e_j) \cdot \varphi$$

from  $TM$  to the vector fields  $\chi_V^{\text{inv}}(U\Sigma)$  on  $U\Sigma$  which are vertical and invariant under the action of the group (these vector fields are indeed of the form  $\varphi \mapsto \eta \cdot \varphi$ ,  $\eta \in \Lambda^2(TM \oplus E) \subset \text{Cl}(TM \oplus E)$ ). Since a flat connection on a principal bundle admits a local parallel section, there exists a local section  $\varphi \in \Gamma(U\Sigma)$  such that  $\nabla' \varphi = 0$ , and thus a solution of (8).  $\square$

As a consequence of Theorem 2 and Proposition 5.1 we therefore get immediately

**Corollary 3** (fundamental theorem of submanifold theory). *We keep the hypotheses and notation of Section 2, and moreover assume that  $M$  is simply connected and that  $B : TM \times TM \rightarrow E$  is bilinear, symmetric and satisfies the equations of Gauss,*

*Codazzi and Ricci.* Then there exists an isometric immersion of  $M$  into  $\mathbb{R}^n$  with normal bundle  $E$  and second fundamental form  $B$ . The immersion is unique up to a rigid motion in  $\mathbb{R}^n$ .

*Proof.* As proved in Proposition 5.1, the equations of Gauss, Codazzi and Ricci are exactly the integrability conditions of (8). By Theorem 2, with a solution  $\varphi \in \Gamma(U\Sigma)$  of equation (8) at hand,  $F = \int \xi$ , where  $\xi$  is the 1-form defined in (9), is the immersion. Finally, a solution of (8) is unique up to the multiplication on the right by an element of  $\text{Spin}(n)$  (since this is a parallel section of the  $\text{Spin}(n)$  principal bundle  $U\Sigma$ , see the proof of Proposition 5.1); the multiplication on the right of  $\varphi$  by an element of  $\text{Spin}(n)$  and the adding of a constant vector in  $\mathbb{R}^n$  in the last integration give an immersion which is congruent to the immersion defined by  $\varphi$  (see the remark on page 63).  $\square$

### 6. Relation to the Gauss map

We show here that the spinor field representing the immersion is an horizontal lift of the Gauss map. Let us consider the Grassmannian  $\text{Gr}_{p,n} \subset \Lambda^p(\mathbb{R}^n)$  of the oriented  $p$ -dimensional linear spaces in  $\mathbb{R}^n$ . Using the natural isomorphism of vector spaces between the exterior algebra over  $\mathbb{R}^n$  and  $\text{Cl}_n$ ,  $\text{Gr}_{p,n}$  identifies with the set

$$\mathcal{Q}_o = \{e_1 \cdot e_2 \cdots e_p \in \text{Cl}_n, e_i \in \mathbb{R}^n, |e_i| = 1, e_i \perp e_j, i, j = 1, \dots, p, i \neq j\}.$$

We recall that for an oriented  $p$ -dimensional submanifold  $F : M \rightarrow \mathbb{R}^n$  the Gauss map is defined as the map which assigns each point  $x \in M$  to the oriented tangent space  $dF(T_x M)$  considered as a vector subspace of  $\mathbb{R}^n$ . It can hence be seen as the map into the Grassmannian

$$G : M \rightarrow \mathcal{Q}_o, \quad x \mapsto dF(e_1) \cdot dF(e_2) \cdots dF(e_p),$$

where  $e_1, e_2, \dots, e_p$  is a positively oriented orthonormal basis of  $T_x M$ .

We assume that the immersion  $F$  of  $M$  into  $\mathbb{R}^n$  is given by a spinor field  $\varphi$ , as in Theorem 2.

**Proposition 6.1.** *The spinor field  $\varphi$ , which is a section of  $U\Sigma$ , is a lift of the Gauss map: the diagram*

$$\begin{array}{ccc} & & U\Sigma \\ & \nearrow \varphi & \downarrow \chi \\ M & \xrightarrow{\bar{G}} & M \times \mathcal{Q}_o \end{array}$$

commutes, where  $\bar{G}(x) = (x, G(x))$  and the projection  $U\Sigma \rightarrow M \times \mathcal{Q}_o$  is given by

$$(15) \quad \chi : \varphi \in U\Sigma_x \mapsto (x, \langle \omega \cdot \varphi, \varphi \rangle),$$

where  $\omega$  is the volume form in  $\text{Cl}(T_x M)$  (the product of the elements of a positively oriented orthonormal basis of  $T_x M$ ).

It is moreover parallel with respect to the connection

$$\nabla'_X \varphi := \nabla_X \varphi + \frac{1}{2} \sum_{j=1}^p e_j \cdot B(X, e_j) \cdot \varphi$$

on  $U\Sigma$ .

*Proof.* We first explain why the map  $\chi$  as defined indeed has target  $M \times \mathcal{Q}_o$ . Consider the map

$$\Xi : \Sigma \times_M \text{Cl}(TM) \rightarrow M \times \text{Cl}_n, \quad (\psi, c) \mapsto \langle \langle c \cdot \psi, \psi \rangle \rangle =: \Xi_\psi(c).$$

Suppose  $\psi \in U\Sigma$  and  $c = e_1 \cdots e_k$  for  $k$  orthonormal vectors  $e_1, \dots, e_k \in T_x M$ . Then, we can rewrite  $\Xi_\psi(c) = \langle \langle c \cdot \psi, \psi \rangle \rangle$  in any spinorial frame at  $x$  as

$$(16) \quad \tau[\psi][e_1] \cdots [e_k][\psi] = (\tau[\psi][e_1][\psi])(\tau[\psi][e_2][\psi]) \cdots (\tau[\psi][e_k][\psi]).$$

The  $k$  vectors on the right-hand side are still orthonormal, so  $\Xi_\psi(c)$  lies in the corresponding Grassmannian  $\text{Gr}_{k,n}$ . Consequently  $\chi(\psi) = \Xi_\psi(\omega)$  lies in  $M \times \mathcal{Q}_o$ .

We next verify the formula for the Gauss map. Recall that the immersion is given by  $F = \int \xi$ , where  $\xi$  is the 1-form defined by  $\xi(X) = \langle \langle X \cdot \varphi, \varphi \rangle \rangle$  for all  $X \in TM$ . Thus,  $dF = \xi$ . We fix a positively oriented and orthonormal frame  $(e_1, \dots, e_p)$  of  $TM$ , and a spinorial frame  $\tilde{s} \in \tilde{\mathcal{Q}}$  which is above  $(e_1, \dots, e_p)$ . Then,  $\omega = e_1 \cdots e_p$ . In any spinorial frame,  $\tau[\varphi][v][\varphi] = \xi(v)$  for all  $v \in T_x M$ . Therefore (16) yields that  $\chi(\varphi) = \xi(e_1)\xi(e_2) \cdots \xi(e_p) = G(x)$ . This proves the first part of the proposition.

Finally,  $\varphi$  is horizontal with respect to  $\nabla'$  since it is a solution of (8).  $\square$

## 7. Special cases: minimal surfaces, hypersurfaces, and surfaces in $\mathbb{R}^4$

**Minimal surfaces in  $\mathbb{R}^n$ .** If  $J$  denotes the natural complex structure on  $M$ , the 1-form

$$\tilde{\xi}(X) := \xi(X) - i\xi(JX), \quad X \in TM,$$

is  $\mathbb{C}$ -linear, with values in the complexified Clifford algebra  $\tilde{\text{Cl}}_n = \text{Cl}_n \oplus i \text{Cl}_n$ ; in general

$$F = \int \Re \tilde{\xi} = \int \Re(\tilde{f}(z) dz),$$

where  $z$  is a complex parameter of  $M$  and  $\tilde{f}$  is a smooth function. Note that  $\tilde{\xi}$  and  $\tilde{f}$  in fact take their values in  $\mathbb{C}^n := \mathbb{R}^n \oplus i\mathbb{R}^n \subset \tilde{\text{Cl}}_n$ .

**Proposition 7.1.** *The form  $\tilde{\xi}$  is closed (and thus holomorphic) if and only if  $\vec{H} = 0$ . In that case, we have*

$$F = \Re \int \tilde{f}(z) dz,$$

where  $\tilde{f}$  is a holomorphic function.

*Proof.* We assume that  $(e_1, e_2)$  is a local orthonormal frame on  $M$ , positively oriented, such that  $\nabla e_1 = \nabla e_2 = 0$  at a point  $x_0$ . We thus have

$$d\tilde{\xi}(e_1, e_2) = \partial_{e_1}(\xi(e_2) + i\xi(e_1)) - \partial_{e_2}(\xi(e_1) - i\xi(e_2)).$$

Noticing that, for  $j, k \in \{1, 2\}$ ,

$$\partial_{e_j}(\xi(e_k)) = \partial_{e_j} \langle e_k \cdot \varphi, \varphi \rangle = \langle e_k \cdot \nabla_{e_j} \varphi, \varphi \rangle + \langle e_k \cdot \varphi, \nabla_{e_j} \varphi \rangle = (\text{id} + \tau) \langle e_k \cdot \nabla_{e_j} \varphi, \varphi \rangle,$$

we obtain

$$d\tilde{\xi}(e_1, e_2) = i(\text{id} + \tau) \langle e_1 \cdot \nabla_{e_1} \varphi + e_2 \cdot \nabla_{e_2} \varphi, \varphi \rangle + (\text{id} + \tau) \langle e_2 \cdot \nabla_{e_1} \varphi - e_1 \cdot \nabla_{e_2} \varphi, \varphi \rangle.$$

The first term on the right-hand side is

$$i(\text{id} + \tau) \langle e_1 \cdot \nabla_{e_1} \varphi + e_2 \cdot \nabla_{e_2} \varphi, \varphi \rangle = i(\text{id} + \tau) \langle \vec{H} \cdot \varphi, \varphi \rangle = 2i \langle \vec{H} \cdot \varphi, \varphi \rangle,$$

since, by (8),

$$D\varphi := e_1 \cdot \nabla_{e_1} \varphi + e_2 \cdot \nabla_{e_2} \varphi = \vec{H} \cdot \varphi,$$

and  $\tau[\vec{H}] = [\vec{H}]$ . The second term is

$$\begin{aligned} (\text{id} + \tau) \langle e_2 \cdot \nabla_{e_1} \varphi - e_1 \cdot \nabla_{e_2} \varphi, \varphi \rangle &= -(\text{id} + \tau) \langle e_1 \cdot \nabla_{e_1} \varphi + e_2 \cdot \nabla_{e_2} \varphi, e_1 \cdot e_2 \cdot \varphi \rangle \\ &= -(\text{id} + \tau) \langle \vec{H} \cdot \varphi, e_1 \cdot e_2 \cdot \varphi \rangle \\ &= 0, \end{aligned}$$

using again that  $D\varphi = \vec{H} \cdot \varphi$  and since  $\tau([\vec{H}][e_1][e_2]) = -[\vec{H}][e_1][e_2]$ . We thus obtain the formula

$$d\tilde{\xi}(e_1, e_2) = 2i \langle \vec{H} \cdot \varphi, \varphi \rangle,$$

which may be written in the form

$$(17) \quad d\tilde{\xi} = -\mu^2 \langle \vec{H} \cdot \varphi, \varphi \rangle dz \wedge d\bar{z},$$

where  $\mu$  is such that the metric is  $\mu^2 dz d\bar{z}$ . This gives the first part of the lemma. Assuming that  $\vec{H} = 0$ , the 1-form  $\tilde{\xi}$  is closed, and the  $\mathbb{C}^n$ -valued function  $\tilde{f}$  such that  $\tilde{\xi} = \tilde{f} dz$  is holomorphic; the result follows.  $\square$

The aim now is to obtain explicit formulas in terms of holomorphic functions involving the components of the spinor field. We first note the following expression of  $\tilde{f}$  in terms of the spinor field  $\varphi$ :

**Lemma 7.2.** *We have*

$$\tilde{f} = \mu\{\tau[\varphi]e_1^o[\varphi] - i\tau[\varphi]e_2^o[\varphi]\},$$

where the real function  $\mu$  is such that the metric is

$$\mu^2(dx^2 + dy^2) \quad \text{in } z = x + iy,$$

$[\varphi]$  represents the spinor field  $\varphi$  in a spinorial frame above  $((1/\mu)\partial_x, (1/\mu)\partial_y)$ , and  $e_1^o, e_2^o$  are the first two vectors of the canonical basis of  $\mathbb{R}^n \subset \text{Cl}_n$ .

*Proof.* We have

$$\tilde{f} = \tilde{\xi}(\partial_x) = \tau[\varphi][\partial_x][\varphi] - i\tau[\varphi][\partial_y][\varphi],$$

and the result follows since  $[(1/\mu)\partial_x] = e_1^o$  and  $[(1/\mu)\partial_y] = e_2^o$  in such a spinorial frame.  $\square$

*Minimal surfaces in  $\mathbb{R}^3$ .* Assuming that  $n = 3$  and  $H = 0$ , we easily get by a computation using Lemma 7.2 that

$$F = \int \Re(\tilde{f}(z) dz) = \Re\left(\int \tilde{f}(z) dz\right),$$

where  $\tilde{f} = (\frac{1}{2}if(1+g^2), \frac{1}{2}f(1-g^2), fg)$ , with

$$f = 2\mu z_1^2, \quad g = -i \frac{\bar{z}_2}{z_1};$$

the complex functions  $z_1, z_2$  are the components of  $\varphi$  in a spinorial frame above  $((1/\mu)\partial_x, (1/\mu)\partial_y)$ , and the functions  $f$  and  $g$  are holomorphic, since so are  $\sqrt{\mu}z_1$  and  $\sqrt{\mu}\bar{z}_2$  (this is a consequence of the Dirac equation  $D\varphi = 0$ , in  $z = x + iy$ ). This is the classical Weierstrass representation of minimal surfaces in  $\mathbb{R}^3$ .

*Minimal surfaces in  $\mathbb{R}^4$ .* In the case of a surface in  $\mathbb{R}^4$ , we may also recover the explicit formulas of Konopelchenko and Landolfi [1999] expressing a general immersion in terms of 4 complex functions, which are solutions of first order PDEs; the functions are holomorphic if  $\vec{H} = 0$ . We do not include the calculations, since the general representation in Theorem 2 easily reduces to the spinor representation given in [Bayard et al. 2013] if  $p = 2$  and  $n = 4$  (see page 74), and the equivalence of this representation with the Konopelchenko–Landolfi representation is proved in [Romon and Roth 2013].

**Remark.** For surfaces in  $\mathbb{R}^n$ ,  $n \geq 5$ , it is still possible to obtain an explicit representation in terms of the components of the spinor field which represents the surface, with holomorphic data if  $\vec{H} = 0$ , if the bundle  $E$  is assumed to be flat. We do not know if such a representation is possible without this additional assumption.

**Hypersurfaces in  $\mathbb{R}^n$ .** We set  $p = n - 1$ , and assume that  $M$  is a  $p$ -dimensional Riemannian manifold and  $E$  is the trivial line bundle on  $M$ , oriented by a unit section  $\nu \in \Gamma(E)$ . We moreover suppose that  $M$  is simply connected and that  $h : TM \times TM \rightarrow \mathbb{R}$  is a given symmetric bilinear form. According to Theorem 2, an isometric immersion of  $M$  into  $\mathbb{R}^{p+1}$  with normal bundle  $E$  and second fundamental form  $B = h\nu$  is equivalent to a section  $\varphi$  of  $\Gamma(U\Sigma)$  solution of the Killing equation (8). Note that  $Q_E \simeq M$  and the double covering

$$\tilde{Q}_E \rightarrow Q_E$$

is trivial, since  $M$  is assumed to be simply connected. Fixing a section  $\tilde{s}_E$  of  $\tilde{Q}_E$  we get an injective map

$$\tilde{Q}_M \rightarrow \tilde{Q}_M \times_M \tilde{Q}_E =: \tilde{Q}, \quad \tilde{s}_M \mapsto (\tilde{s}_M, \tilde{s}_E).$$

Using

$$\text{Cl}_p \simeq \text{Cl}_{p+1}^0 \subset \text{Cl}_{p+1}$$

(induced by the Clifford map  $\mathbb{R}^p \rightarrow \text{Cl}_{p+1}$ ,  $X \mapsto X \cdot e_{p+1}$ ), we deduce a bundle isomorphism

$$(18) \quad \tilde{Q}_M \times_\rho \text{Cl}_p \rightarrow \tilde{Q} \times_\rho \text{Cl}_{p+1}^0 \subset \Sigma, \quad \psi \mapsto \psi^*.$$

It satisfies the following properties: for all  $X \in TM$  and  $\psi \in \tilde{Q}_M \times_\rho \text{Cl}_p$ ,

$$(X \cdot_M \psi)^* = X \cdot \nu \cdot \psi^* \quad \text{and} \quad \nabla_X(\psi^*) = (\nabla_X \psi)^*.$$

The section  $\varphi \in \Gamma(U\Sigma)$  solution of (8) thus identifies to a section  $\psi$  of  $\tilde{Q}_M \times_\rho \text{Cl}_p$  solution of

$$\nabla_X \psi = -\frac{1}{2} \sum_{j=1}^p h(X, e_j) e_j \cdot_M \psi = -\frac{1}{2} T(X) \cdot_M \psi$$

for all  $X \in TM$ , where  $T : TM \rightarrow TM$  is the symmetric operator associated to  $h$ . We deduce the following result:

**Theorem 4.** *Let  $T : TM \rightarrow TM$  be a symmetric operator. The following two statements are equivalent:*

- (1) *there exists an isometric immersion of  $M$  into  $\mathbb{R}^{p+1}$  with shape operator  $T$ ;*
- (2) *there exists a normalized spinor field  $\psi \in \Gamma(\tilde{Q}_M \times_\rho \text{Cl}_p)$  solution of*

$$(19) \quad \nabla_X \psi = -\frac{1}{2} T(X) \cdot_M \psi \quad \text{for all } X \in TM.$$

Here, a spinor field  $\psi \in \Gamma(\tilde{Q}_M \times_\rho \text{Cl}_p)$  is said to be normalized if it is represented in some frame  $\tilde{s} \in \tilde{Q}_M$  by an element  $[\psi] \in \text{Cl}_p \simeq \text{Cl}_{p+1}^0$  belonging to  $\text{Spin}(p+1)$ .

We will see below explicit representation formulas in the cases of dimension 3 and 4.

*Surfaces in  $\mathbb{R}^3$ .* Since  $\text{Cl}_2 \simeq \Sigma_2$  we have

$$\tilde{Q}_M \times_\rho \text{Cl}_2 \simeq \Sigma M,$$

and  $\varphi$  is equivalent to a normalized spinor field  $\psi \in \Gamma(\Sigma M)$  solution of

$$\nabla_X \psi = -\frac{1}{2} T(X) \cdot_M \psi$$

for all  $X \in TM$ ; this equation is also equivalent to the equation  $D\psi = H\psi$ . This is the result obtained by Friedrich [1998].

We now write the representation formula (9) using a special model for  $\text{Cl}_3$ , and indicate how to recover Friedrich's representation formula. We first consider the Clifford map

$$(x_1, x_2, x_3) \in \mathbb{R}^3 \mapsto \begin{pmatrix} x & 0 \\ 0 & -x \end{pmatrix} \in \mathbb{H}(2),$$

where  $x = -ix_3 + j(x_1 + ix_2)$ , which identifies  $\text{Cl}_3$  to the set

$$\left\{ \begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix}, x, y \in \mathbb{H} \right\}$$

and  $\mathbb{R}^3 \subset \text{Cl}_3$  to the set of the imaginary quaternions; we also consider the ideal of  $\text{Cl}_3$

$$(20) \quad \Sigma_3 = \left\{ \begin{pmatrix} y & 0 \\ 0 & 0 \end{pmatrix}, y \in \mathbb{H} \right\} \subset \text{Cl}_3,$$

which is a model of the spin representation. Now  $\varphi$ , section of  $U\Sigma = \tilde{Q} \times_\rho \text{Spin}(3)$ , is equivalent to a unit spinor field  $\varphi' \in \Gamma(\tilde{Q} \times_\rho \Sigma_3)$  (obtained by projection) and a direct computation yields

$$(21) \quad \langle \langle X \cdot \varphi, \varphi \rangle \rangle = i \Im \langle X \cdot \varphi', \varphi' \rangle + j \langle X \cdot \varphi', \alpha(\varphi') \rangle$$

for all  $X \in TM$ , where the brackets  $\langle \cdot, \cdot \rangle$  stand for the natural hermitian product on  $\Sigma_3$  and  $\alpha : \Sigma_3 \rightarrow \Sigma_3$  is the natural quaternionic structure. The representation formula given by the right-hand side term of (21) appears in [Friedrich 1998]. Finally, the identification (18) for the dimension  $p = 2$

$$\tilde{Q}_M \times_\rho \text{Cl}_2 \rightarrow \tilde{Q} \times_\rho \text{Cl}_3^0 \subset \Sigma, \quad \psi \mapsto \psi^*$$

identifies  $\varphi \in \Gamma(U\Sigma)$  to a unit spinor field  $\psi \in \Gamma(\Sigma M)$ , and it may be proved by a computation that

$$\langle \langle X \cdot \varphi, \varphi \rangle \rangle = i2 \Re \langle X \cdot \psi^+, \psi^- \rangle + j(\langle X \cdot \psi^+, \alpha(\psi^+) \rangle - \langle X \cdot \psi^-, \alpha(\psi^-) \rangle),$$

where the brackets  $\langle \cdot, \cdot \rangle$  stand here for the natural hermitian product on  $\Sigma_2$  and  $\alpha : \Sigma_2 \rightarrow \Sigma_2$  is the natural quaternionic structure; this is the explicit formula of the immersion in terms of  $\psi$  given in [Friedrich 1998].



*Hypersurfaces in  $\mathbb{R}^4$ .* Since  $\text{Cl}_3 \simeq \Sigma_3 \oplus \Sigma'_3$  where  $\Sigma_3$  and  $\Sigma'_3$  are the two (nonequivalent) irreducible representations of  $\text{Cl}_3$ , we get two unit spinor fields  $\psi_1 \in \Gamma(\Sigma M)$ ,  $\psi_2 \in \Gamma(\Sigma' M)$  solutions of (19). Noting finally that there is a natural identification

$$i : \Sigma' M \rightarrow \Sigma M$$

satisfying

$$i(X \cdot \psi) = -X \cdot i(\psi)$$

for all  $X \in TM$  and  $\psi \in \Sigma' M$ , the spinor fields  $\psi_1$  and  $i(\psi_2) \in \Gamma(\Sigma M)$  satisfy

$$(22) \quad \nabla_X \psi_1 = -\frac{1}{2} T(X) \cdot_M \psi_1 \quad \text{and} \quad \nabla_X i(\psi_2) = \frac{1}{2} T(X) \cdot_M i(\psi_2).$$

We thus recover a result of [Lawn and Roth 2010]: the immersion is equivalent to two spinor fields on the hypersurface which are solutions of (22). We may also obtain a new explicit representation formula. On one hand, we note that

$$(23) \quad \langle\langle X \cdot \varphi, \varphi \rangle\rangle = \begin{pmatrix} 0 & \bar{\xi}_1 x \xi_2 \\ \bar{\xi}_2 x \xi_1 & 0 \end{pmatrix}$$

in  $\text{Cl}_4^0$ , where  $\varphi \in \Gamma(U\Sigma)$  and  $X \in TM$  are respectively represented in  $\text{Cl}_4^0$  by

$$\begin{pmatrix} \xi_1 & 0 \\ 0 & \xi_2 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 0 & x \\ x & 0 \end{pmatrix},$$

with  $\xi_1, \xi_2 \in \mathbb{H}$  and  $x \in \Im \mathbb{H}$ . On the other hand,  $\Sigma_3$  naturally identifies to  $\mathbb{H}$  (see (20)) and the bilinear map

$$\Sigma_3 \times \Sigma_3 \rightarrow \mathbb{H}, \quad (\xi, \xi') \mapsto \bar{\xi}' \xi$$

induces a pairing

$$\langle\langle \cdot, \cdot \rangle\rangle_{\Sigma M} : \Sigma M \times \Sigma M \rightarrow \mathbb{H}$$

on  $\Sigma M = \tilde{Q}_M \times_{\rho} \Sigma_3$ . If

$$\psi = \psi_1 + \psi_2, \quad \psi_1 \in \Sigma M, \quad \psi_2 \in \Sigma' M$$

is such that  $\varphi = \psi^*$  (by (18), with  $p = 3$ ), the spinor fields  $\psi_1$  and  $i(\psi_2) \in \Sigma M$  are respectively represented by  $\xi_1$  and  $\xi_2$ , and we readily get

$$(24) \quad \langle\langle X \cdot_M i(\psi_2), \psi_1 \rangle\rangle_{\Sigma M} = \bar{\xi}_1 x \xi_2.$$

The identities (23) and (24) identify

$$\langle\langle X \cdot \varphi, \varphi \rangle\rangle \simeq \langle\langle X \cdot_M i(\psi_2), \psi_1 \rangle\rangle_{\Sigma M};$$

this gives an explicit representation of the immersion into  $\mathbb{R}^4$  in terms of the two spinor fields  $\psi_1$  and  $i(\psi_2)$  of  $\Sigma M$  introduced in [Lawn and Roth 2010].

**Surfaces in  $\mathbb{R}^4$ .** For a surface in  $\mathbb{R}^4$ , Theorem 2 with  $p = 2$  and  $n = 4$  reduces to the result obtained in [Bayard et al. 2013], since the bundle  $\Sigma$  naturally identifies to the bundle  $\Sigma M \otimes \Sigma E$  in that case (see the remark on page 55, observing that the representation of  $\text{Spin}(2)$  on  $\text{Cl}_2$  by left multiplication is also the usual complex spin representation  $\Sigma_2$ ). Note that we may similarly recover the main results in [Bayard 2013; Bayard and Patty 2015] concerning immersions in  $\mathbb{R}^{3,1}$  and  $\mathbb{R}^{2,2}$ , if we consider in our constructions the Clifford algebras  $\text{Cl}_{3,1}$  and  $\text{Cl}_{2,2}$  instead of  $\text{Cl}_4$ .

For completeness, we also want to mention that in [Romon and Roth 2013], the authors give the explicit correspondence between the spinors used in [Bayard et al. 2013] for surfaces of  $\mathbb{R}^4$  and a quaternionic representation which is a quaternionic reformulation of the representation obtained by Konopelchenko [2000] (see [Helein 2001] for this reformulation). The reader can refer to [Kamberov et al. 2002] for a detailed presentation of quaternionic-type representations of surfaces.

## 8. Spinorial representation of submanifolds in $\mathbb{S}^n$ and $\mathbb{H}^n$

We extend here Theorem 2 to the other space forms.

**Submanifolds of  $\mathbb{S}^n$ .** Let  $M$  be a Riemannian manifold of dimension  $p$ , and  $E$  be a bundle on  $M$  of rank  $q = n - p$ , with a fiber metric and a compatible connection; we assume that  $TM$  and  $E$  are spin, and consider

$$\Sigma := \tilde{Q} \times_{\rho} \text{Cl}_{n+1},$$

where  $\tilde{Q} = \tilde{Q}_M \times_M \tilde{Q}_E$  is the  $\text{Spin}(p) \times \text{Spin}(q)$  principal bundle given by the two spin structures and  $\rho : \text{Spin}(p) \times \text{Spin}(q) \rightarrow \text{Aut}(\text{Cl}_{n+1})$  is the representation obtained by the composition of the maps

$$(25) \quad \text{Spin}(p) \times \text{Spin}(q) \rightarrow \text{Spin}(n) \subset \text{Spin}(n+1)$$

and

$$(26) \quad \text{Spin}(n+1) \rightarrow \text{Aut}(\text{Cl}_{n+1}).$$

The maps in (25) correspond to the decompositions

$$\mathbb{R}^p \oplus \mathbb{R}^q =: \mathbb{R}^n \subset \mathbb{R}^n \oplus \mathbb{R}e_{n+1} =: \mathbb{R}^{n+1},$$

and in (26) the action of  $\text{Spin}(n+1)$  on  $\text{Cl}_{n+1}$  is the multiplication on the left. We also define

$$U\Sigma = \tilde{Q} \times_{\rho} \text{Spin}(n+1) \subset \Sigma.$$

Let us denote by  $\nu$  the element of the Clifford bundle  $\tilde{Q} \times_{\text{Ad}} \text{Cl}_{n+1}$  such that its component in an arbitrary frame  $\tilde{s} \in \tilde{Q}$  is the constant vector  $e_{n+1}$  (note that for all  $g \in \text{Spin}(p) \times \text{Spin}(q) \subset \text{Spin}(n) \subset \text{Spin}(n+1)$ ,  $\text{Ad}(g)(e_{n+1}) = e_{n+1}$ ).

**Theorem 5.** *Let  $B : TM \times TM \rightarrow E$  be a symmetric and bilinear map. The following two statements are equivalent:*

- (1) *There exists an isometric immersion  $F$  of  $M$  into  $\mathbb{S}^n$  with normal bundle  $E$  and second fundamental form  $B$ .*
- (2) *There exists a spinor field  $\varphi \in \Gamma(U\Sigma)$  satisfying*

$$(27) \quad \nabla_X \varphi = -\frac{1}{2} \sum_{j=1}^p e_j \cdot B(X, e_j) \cdot \varphi + \frac{1}{2} X \cdot \nu \cdot \varphi \quad \text{for all } X \in TM.$$

Moreover we have the representation formula

$$(28) \quad F = \langle \langle \nu \cdot \varphi, \varphi \rangle \rangle \in \mathbb{S}^n \subset \mathbb{R}^{n+1},$$

where the brackets  $\langle \langle \cdot, \cdot \rangle \rangle$  are defined as in (3)–(4).

*Proof.* We only prove that (2) implies (1), using the explicit formula (28). Setting  $F = \langle \langle \nu \cdot \varphi, \varphi \rangle \rangle$ , we have

$$F = [\varphi]^{-1} e_{n+1} [\varphi] = \text{Ad}([\varphi]^{-1})(e_{n+1}),$$

where  $[\varphi] \in \text{Spin}(n+1)$  represents  $\varphi$  in some frame  $\tilde{\mathcal{Q}}$  and  $\text{Ad} : \text{Spin}(n+1) \rightarrow \text{SO}(n+1)$  is the natural double covering; thus  $F$  belongs to  $\mathbb{S}^n$ . We will need the following:

**Lemma 8.1.** *If  $\varphi \in \Gamma(U\Sigma)$  is a solution of (27) then  $F = \langle \langle \nu \cdot \varphi, \varphi \rangle \rangle$  is such that, for all  $X \in TM$ ,*

$$(29) \quad dF(X) = \langle \langle X \cdot \varphi, \varphi \rangle \rangle.$$

*Proof.* We first observe that  $\nabla \nu = 0$ : if  $\alpha$  is the connection form on  $\tilde{\mathcal{Q}}$  and  $\tilde{s} \in \Gamma(\tilde{\mathcal{Q}})$  is a local frame, then  $\nu = [\tilde{s}, e_{n+1}]$  and

$$\nabla_X \nu = [\tilde{s}, \partial_X e_{n+1} + \text{Ad}_*(\alpha(\tilde{s}_*(X)))(e_{n+1})] = 0 \quad \text{for all } X \in TM,$$

since  $e_{n+1}$  is constant and  $\alpha$  takes values in  $\Lambda^2 \mathbb{R}^n \subset \text{Cl}_n$ . Thus, for all  $X \in TM$ ,

$$\begin{aligned} dF(X) &= \langle \langle \nu \cdot \nabla_X \varphi, \varphi \rangle \rangle + \langle \langle \nu \cdot \varphi, \nabla_X \varphi \rangle \rangle \\ &= (\text{id} + \tau) \langle \langle \nu \cdot \nabla_X \varphi, \varphi \rangle \rangle \\ &= -\frac{1}{2} (\text{id} + \tau) \sum_{j=1}^p \langle \langle \nu \cdot e_j \cdot B(X, e_j) \cdot \varphi, \varphi \rangle \rangle + \frac{1}{2} (\text{id} + \tau) \langle \langle X \cdot \varphi, \varphi \rangle \rangle. \end{aligned}$$

But

$$\begin{aligned} \tau \langle \langle \nu \cdot e_j \cdot B(X, e_j) \cdot \varphi, \varphi \rangle \rangle &= \langle \langle \varphi, \nu \cdot e_j \cdot B(X, e_j) \cdot \varphi \rangle \rangle \\ &= \langle \langle B(X, e_j) \cdot e_j \cdot \nu \cdot \varphi, \varphi \rangle \rangle \\ &= -\langle \langle \nu \cdot e_j \cdot B(X, e_j) \cdot \varphi, \varphi \rangle \rangle \end{aligned}$$

since the three vectors  $B(X, e_j)$ ,  $e_j$  and  $\nu$  are mutually orthogonal, and

$$\tau \langle \langle X \cdot \varphi, \varphi \rangle \rangle = \langle \langle \varphi, X \cdot \varphi \rangle \rangle = \langle \langle X \cdot \varphi, \varphi \rangle \rangle.$$

Thus (29) follows.  $\square$

By the lemma and the properties of the Clifford product,  $F$  is an isometric immersion, and the map

$$E \rightarrow T\mathbb{S}^n, \quad X \in E_m \mapsto (F(m), \langle \langle X \cdot \varphi, \varphi \rangle \rangle)$$

identifies  $E$  with the normal bundle of  $F(M)$  into  $\mathbb{S}^n$ ; it moreover identifies the connection on  $E$  with the normal connection of  $F(M)$  in  $\mathbb{S}^n$  and  $B$  with the second fundamental form. We omit the proof since it is very similar to the proof of Proposition 4.2.  $\square$

**Remark.** Taking the trace of (27) we get

$$(30) \quad D\varphi = \frac{1}{2}p(\vec{H} - \nu) \cdot \varphi,$$

where  $\vec{H} = (1/p) \sum_{j=1}^p B(e_j, e_j)$  is the mean curvature vector of  $M$  in  $\mathbb{S}^n$ .

**Remark.** We may also obtain a proof using spinors of the fundamental theorem of submanifold theory in  $\mathbb{S}^n$ , showing, as in Section 5, that the equations of Gauss, Codazzi and Ricci in a space of constant sectional curvature 1 are exactly the integrability conditions of (27).

We finally show how to recover the spinorial characterization of a surface in  $\mathbb{S}^3$  given by Morel in [2005] and Taïmanov in [2004]. In the model  $\text{Cl}_4 \simeq \mathbb{H}(2)$  we have

$$\varphi = \begin{pmatrix} [\varphi^+] & 0 \\ 0 & [\varphi^-] \end{pmatrix}, \quad F = \begin{pmatrix} 0 & \overline{[\varphi^+]}[\nu][\varphi^-] \\ -\overline{[\varphi^-]}[\nu][\varphi^+] & 0 \end{pmatrix}$$

and

$$\xi(X) = \begin{pmatrix} 0 & \overline{[\varphi^+]}[X][\varphi^-] \\ -\overline{[\varphi^-]}[X][\varphi^+] & 0 \end{pmatrix},$$

where  $[\varphi^+]$ ,  $[\varphi^-]$ ,  $[\nu]$  and  $[X] \in \mathbb{H}$  represent  $\varphi^+$ ,  $\varphi^-$ ,  $\nu$  and  $X$  in some spinor frame adapted to the immersion in  $\mathbb{S}^3$ ; thus Lemma 8.1 gives

$$F \simeq \overline{[\varphi^+]}[\nu][\varphi^-] \quad \text{and} \quad dF(X) \simeq \overline{[\varphi^+]}[X][\varphi^-].$$

If  $[\varphi^+]$  is given, this system has a solution  $[\varphi^-]$ , unique up to the multiplication by  $\mathbb{S}^3$  on the right. The spinor field  $\varphi$  is thus essentially determined by its component  $\varphi^+$ , which may be identified with a spinor field  $\psi \in \Gamma(\Sigma M)$  solution of

$$D\psi = H\psi - i\bar{\psi}, \quad |\psi| = 1;$$

details are given in [Bayard et al. 2013]. This is the spinor characterization of an immersion in  $\mathbb{S}^3$  given in [Morel 2005; Taïmanov 2004].

**Submanifolds of  $\mathbb{H}^n$ .** We now consider the  $n$ -dimensional hyperbolic space  $\mathbb{H}^n$  as a hypersurface of the Minkowski space  $\mathbb{R}^{n,1}$ . Since the constructions of the paper may also be carried out in a linear space with a semi-Riemannian metric, we obtain a spinor representation of a submanifold in  $\mathbb{H}^n$  exactly as we did for a submanifold in  $\mathbb{S}^n$ . We thus only state the results here, and refer to the previous section for the proofs. Let  $M$  be a Riemannian manifold of dimension  $p$ , and  $E$  be a bundle on  $M$  of rank  $q = n - p$ , with a Riemannian fiber metric and a compatible connection; we assume that  $TM$  and  $E$  are spin, and consider

$$\Sigma := \tilde{Q} \times_{\rho} \text{Cl}_{n,1},$$

where  $\tilde{Q} = \tilde{Q}_M \times_M \tilde{Q}_E$  is the  $\text{Spin}(p) \times \text{Spin}(q)$  principal bundle given by the two spin structures and  $\rho : \text{Spin}(p) \times \text{Spin}(q) \rightarrow \text{Aut}(\text{Cl}_{n,1})$  is the representation obtained by the composition of the maps

$$(31) \quad \text{Spin}(p) \times \text{Spin}(q) \rightarrow \text{Spin}(n) \subset \text{Spin}(n, 1)$$

and

$$(32) \quad \text{Spin}(n, 1) \rightarrow \text{Aut}(\text{Cl}_{n,1}).$$

The maps in (31) correspond to the decompositions

$$\mathbb{R}^p \oplus \mathbb{R}^q =: \mathbb{R}^n \subset \mathbb{R}^n \oplus \mathbb{R}e_{n+1} =: \mathbb{R}^{n,1},$$

and in (32) the action of  $\text{Spin}(n, 1)$  on  $\text{Cl}_{n,1}$  is the multiplication on the left; here  $e_{n+1}$  is a vector with negative norm  $-1$ . We also define

$$U\Sigma = \tilde{Q} \times_{\rho} \text{Spin}(n, 1) \subset \Sigma.$$

Let us denote by  $\nu$  the element of the Clifford bundle  $\tilde{Q} \times_{\text{Ad}} \text{Cl}_{n,1}$  such that its component in an arbitrary frame  $\tilde{s} \in \tilde{Q}$  is the constant vector  $e_{n+1}$ .

**Theorem 6.** *Let  $B : TM \times TM \rightarrow E$  be a symmetric and bilinear map. The following two statements are equivalent:*

- (1) *There exists an isometric immersion  $F$  of  $M$  into  $\mathbb{H}^n$  with normal bundle  $E$  and second fundamental form  $B$ .*
- (2) *There exists a spinor field  $\varphi \in \Gamma(U\Sigma)$  satisfying*

$$(33) \quad \nabla_X \varphi = -\frac{1}{2} \sum_{j=1}^p e_j \cdot B(X, e_j) \cdot \varphi - \frac{1}{2} X \cdot \nu \cdot \varphi \quad \text{for all } X \in TM.$$

Moreover we have the representation formula

$$(34) \quad F = \langle \langle \nu \cdot \varphi, \varphi \rangle \rangle \in \mathbb{H}^n \subset \mathbb{R}^{n,1},$$

where the brackets  $\langle \langle \cdot, \cdot \rangle \rangle$  are defined as in (3)-(4).

We may also recover the spinor characterization of an immersion of a surface in  $\mathbb{H}^3$  given by Morel [2005]: if  $M$  is a surface and  $(e_1, e_2)$  is an orthonormal basis of  $TM$ , setting  $\vec{H}_{\mathbb{H}^3} := \frac{1}{2}(B(e_1, e_1) + B(e_2, e_2))$  we see that (33) is equivalent to

$$D\varphi = (\vec{H}_{\mathbb{H}^3} + \nu) \cdot \varphi,$$

where  $\varphi$  is a spinor field which is represented in a frame  $\tilde{s} \in \tilde{Q}$  by  $[\varphi]$  belonging to  $\text{Spin}(3, 1)$ . This is exactly the spinor representation of an immersion in  $\mathbb{H}^3$  as described in [Bayard 2013] Section 5, where it is moreover proved that it is equivalent to the spinor characterization given in [Morel 2005].

**Remark.** The Weierstrass-type representation of the flat surfaces in  $\mathbb{H}^3$  by J.A. Gálvez, A. Martínez and F. Milán [Gálvez et al. 2000] may be recovered from Theorem 6, following the lines of Section 6.4 in [Bayard 2013]: the explicit representation is (34), whereas equation (33) gives rise to holomorphic data, when written in conformal coordinates induced by the Gauss map; we refer to these papers for details.

### Acknowledgments

P. Bayard was supported by the project PAPIIT IA105116.

### References

- [Ammann et al. 2013] B. Ammann, A. Moroianu, and S. Moroianu, “The Cauchy problems for Einstein metrics and parallel spinors”, *Comm. Math. Phys.* **320**:1 (2013), 173–198. MR Zbl
- [Bär 1998] C. Bär, “Extrinsic bounds for eigenvalues of the Dirac operator”, *Ann. Global Anal. Geom.* **16**:6 (1998), 573–596. MR Zbl
- [Bär et al. 2005] C. Bär, P. Gauduchon, and A. Moroianu, “Generalized cylinders in semi-Riemannian and spin geometry”, *Math. Z.* **249**:3 (2005), 545–580. MR Zbl
- [Bayard 2013] P. Bayard, “On the spinorial representation of spacelike surfaces into 4-dimensional Minkowski space”, *J. Geom. Phys.* **74** (2013), 289–313. MR Zbl
- [Bayard and Patty 2015] P. Bayard and V. Patty, “Spinor representation of Lorentzian surfaces in  $\mathbb{R}^{2,2}$ ”, *J. Geom. Phys.* **95** (2015), 74–95. MR Zbl
- [Bayard et al. 2013] P. Bayard, M.-A. Lawn, and J. Roth, “Spinorial representation of surfaces into 4-dimensional space forms”, *Ann. Global Anal. Geom.* **44**:4 (2013), 433–453. MR Zbl
- [Chiossi and Salamon 2002] S. Chiossi and S. Salamon, “The intrinsic torsion of  $SU(3)$  and  $G_2$  structures”, pp. 115–133 in *Differential geometry* (Valencia, 2001), edited by O. Gil-Medrano and V. Miquel, World Sci. Publ., River Edge, NJ, 2002. MR Zbl
- [Friedrich 1998] T. Friedrich, “On the spinor representation of surfaces in Euclidean 3-space”, *J. Geom. Phys.* **28**:1-2 (1998), 143–157. MR Zbl
- [Gálvez et al. 2000] J. A. Gálvez, A. Martínez, and F. Milán, “Flat surfaces in the hyperbolic 3-space”, *Math. Ann.* **316**:3 (2000), 419–435. MR Zbl
- [Helein 2001] F. Helein, “On Konopelchenko’s representation formula for surfaces in 4 dimensions”, preprint, 2001. arXiv

- [Kamberov et al. 2002] G. Kamberov, P. Norman, F. Pedit, and U. Pinkall, *Quaternions, spinors, and surfaces*, Contemporary Mathematics **299**, American Mathematical Society, Providence, RI, 2002. MR Zbl
- [Kenmotsu 1979] K. Kenmotsu, “Weierstrass formula for surfaces of prescribed mean curvature”, *Math. Ann.* **245**:2 (1979), 89–99. MR Zbl
- [Konopelchenko 1996] B. G. Konopelchenko, “Induced surfaces and their integrable dynamics”, *Stud. Appl. Math.* **96**:1 (1996), 9–51. MR Zbl
- [Konopelchenko 2000] B. G. Konopelchenko, “Weierstrass representations for surfaces in 4D spaces and their integrable deformations via DS hierarchy”, *Ann. Global Anal. Geom.* **18**:1 (2000), 61–74. MR Zbl
- [Konopelchenko and Landolfi 1999] B. G. Konopelchenko and G. Landolfi, “Generalized Weierstrass representation for surfaces in multi-dimensional Riemann spaces”, *J. Geom. Phys.* **29**:4 (1999), 319–333. MR Zbl
- [Konopelchenko and Landolfi 2000] B. G. Konopelchenko and G. Landolfi, “Induced surfaces and their integrable dynamics, II: Generalized Weierstrass representations in 4D spaces and deformations via DS hierarchy”, *Stud. Appl. Math.* **104**:2 (2000), 129–169. MR Zbl
- [Konopelchenko and Taïmanov 1996] B. G. Konopelchenko and I. A. Taïmanov, “Constant mean curvature surfaces via an integrable dynamical system”, *J. Phys. A* **29**:6 (1996), 1261–1265. MR Zbl
- [Kusner and Schmitt 1996] R. Kusner and N. Schmitt, “The spinor representation of surfaces in space”, preprint, 1996. arXiv
- [Lawn 2008] M.-A. Lawn, “Immersions of Lorentzian surfaces in  $\mathbb{R}^{2,1}$ ”, *J. Geom. Phys.* **58**:6 (2008), 683–700. MR Zbl
- [Lawn and Roth 2010] M.-A. Lawn and J. Roth, “Isometric immersions of hypersurfaces in 4-dimensional manifolds via spinors”, *Differential Geom. Appl.* **28**:2 (2010), 205–219. MR Zbl
- [Lawn and Roth 2011] M.-A. Lawn and J. Roth, “Spinorial characterizations of surfaces into 3-dimensional pseudo-Riemannian space forms”, *Math. Phys. Anal. Geom.* **14**:3 (2011), 185–195. MR Zbl
- [Lounesto 2001] P. Lounesto, *Clifford algebras and spinors*, 2nd ed., London Mathematical Society Lecture Note Series **286**, Cambridge Univ. Press, 2001. MR Zbl
- [Morel 2005] B. Morel, “Surfaces in  $\mathbb{S}^3$  and  $\mathbb{H}^3$  via spinors”, pp. 131–144 in *Actes du Séminaire de théorie spectrale et géométrie* (Grenoble, 2004–2005), vol. 23, Institut Fourier, Saint-Martin-d’Hères, 2005. MR Zbl
- [Moroianu and Semmelmann 2014] A. Moroianu and U. Semmelmann, “Generalized Killing spinors and Lagrangian graphs”, *Differential Geom. Appl.* **37** (2014), 141–151. MR Zbl
- [Nakad 2011] R. Nakad, “The energy-momentum tensor on  $\text{Spin}^c$  manifolds”, *Int. J. Geom. Methods Mod. Phys.* **8**:2 (2011), 345–365. MR Zbl
- [Nakad and Roth 2012] R. Nakad and J. Roth, “Hypersurfaces of  $\text{Spin}^c$  manifolds and Lawson type correspondence”, *Ann. Global Anal. Geom.* **42**:3 (2012), 421–442. MR Zbl
- [Romon and Roth 2013] P. Romon and J. Roth, “The spinor representation formula in 3 and 4 dimensions”, pp. 261–282 in *Pure and applied differential geometry: PADGE 2012*, edited by J. Van der Veken et al., Shaker Verlag, Aachen, 2013. Zbl arXiv
- [Roth 2010] J. Roth, “Spinorial characterizations of surfaces into three-dimensional homogeneous manifolds”, *J. Geom. Phys.* **60**:6-8 (2010), 1045–1061. MR Zbl

- [Taïmanov 1997a] I. A. Taïmanov, “Modified Novikov–Veselov equation and differential geometry of surfaces”, pp. 133–151 in *Solitons, geometry, and topology: on the crossroad*, edited by V. M. Buchstaber and S. P. Novikov, Amer. Math. Soc. Transl. Ser. 2 **179**, American Mathematical Society, Providence, RI, 1997. MR Zbl
- [Taïmanov 1997b] I. A. Taïmanov, “Surfaces of revolution in terms of solitons”, *Ann. Global Anal. Geom.* **15**:5 (1997), 419–435. MR Zbl
- [Taïmanov 2004] I. A. Taïmanov, “Dirac operators and conformal invariants of tori in three-dimensional space”, *Proc. Steklov Inst. Math.* **244** (2004), 233–263. MR Zbl arXiv

Received May 23, 2016. Revised February 7, 2017.

PIERRE BAYARD  
FACULTAD DE CIENCIAS  
UNAM  
AV. UNIVERSIDAD 3000, CIRCUITO EXTERIOR S/N  
CIUDAD UNIVERSITARIA  
04510 CIUDAD DE MÉXICO,  
MEXICO  
bayard@ciencias.unam.mx

MARIE-AMÉLIE LAWN  
DEPARTMENT OF MATHEMATICS  
IMPERIAL COLLEGE  
LONDON  
SW7 2AZ  
UNITED KINGDOM  
m.lawn@imperial.ac.uk

JULIEN ROTH  
LABORATOIRE D’ANALYSE ET DE MATHÉMATIQUES APPLIQUÉES  
UNIVERSITÉ PARIS-EST MARNE-LA-VALLÉE  
77454 MARNE-LA-VALLÉE CEDEX 2  
FRANCE  
julien.roth@u-pem.fr



# PACIFIC JOURNAL OF MATHEMATICS

Founded in 1951 by E. F. Beckenbach (1906–1982) and F. Wolf (1904–1989)

[msp.org/pjm](http://msp.org/pjm)

## EDITORS

Don Blasius (Managing Editor)  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[blasius@math.ucla.edu](mailto:blasius@math.ucla.edu)

Paul Balmer  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[balmer@math.ucla.edu](mailto:balmer@math.ucla.edu)

Robert Finn  
Department of Mathematics  
Stanford University  
Stanford, CA 94305-2125  
[finn@math.stanford.edu](mailto:finn@math.stanford.edu)

Sorin Popa  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[popa@math.ucla.edu](mailto:popa@math.ucla.edu)

Vyjayanthi Chari  
Department of Mathematics  
University of California  
Riverside, CA 92521-0135  
[chari@math.ucr.edu](mailto:chari@math.ucr.edu)

Kefeng Liu  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[liu@math.ucla.edu](mailto:liu@math.ucla.edu)

Igor Pak  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[pak.pjm@gmail.com](mailto:pak.pjm@gmail.com)

Paul Yang  
Department of Mathematics  
Princeton University  
Princeton NJ 08544-1000  
[yang@math.princeton.edu](mailto:yang@math.princeton.edu)

Daryl Cooper  
Department of Mathematics  
University of California  
Santa Barbara, CA 93106-3080  
[cooper@math.ucsb.edu](mailto:cooper@math.ucsb.edu)

Jiang-Hua Lu  
Department of Mathematics  
The University of Hong Kong  
Pokfulam Rd., Hong Kong  
[jhlu@maths.hku.hk](mailto:jhlu@maths.hku.hk)

Jie Qing  
Department of Mathematics  
University of California  
Santa Cruz, CA 95064  
[qing@cats.ucsc.edu](mailto:qing@cats.ucsc.edu)

## PRODUCTION

Silvio Levy, Scientific Editor, [production@msp.org](mailto:production@msp.org)

## SUPPORTING INSTITUTIONS

ACADEMIA SINICA, TAIPEI  
CALIFORNIA INST. OF TECHNOLOGY  
INST. DE MATEMÁTICA PURA E APLICADA  
KEIO UNIVERSITY  
MATH. SCIENCES RESEARCH INSTITUTE  
NEW MEXICO STATE UNIV.  
OREGON STATE UNIV.

STANFORD UNIVERSITY  
UNIV. OF BRITISH COLUMBIA  
UNIV. OF CALIFORNIA, BERKELEY  
UNIV. OF CALIFORNIA, DAVIS  
UNIV. OF CALIFORNIA, LOS ANGELES  
UNIV. OF CALIFORNIA, RIVERSIDE  
UNIV. OF CALIFORNIA, SAN DIEGO  
UNIV. OF CALIF., SANTA BARBARA

UNIV. OF CALIF., SANTA CRUZ  
UNIV. OF MONTANA  
UNIV. OF OREGON  
UNIV. OF SOUTHERN CALIFORNIA  
UNIV. OF UTAH  
UNIV. OF WASHINGTON  
WASHINGTON STATE UNIVERSITY

These supporting institutions contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its contents or policies.

---

See inside back cover or [msp.org/pjm](http://msp.org/pjm) for submission instructions.

---

The subscription price for 2017 is US \$450/year for the electronic version, and \$625/year for print and electronic. Subscriptions, requests for back issues and changes of subscriber address should be sent to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163, U.S.A. The Pacific Journal of Mathematics is indexed by Mathematical Reviews, Zentralblatt MATH, PASCAL CNRS Index, Referativnyi Zhurnal, Current Mathematical Publications and Web of Knowledge (Science Citation Index).

---

The Pacific Journal of Mathematics (ISSN 0030-8730) at the University of California, c/o Department of Mathematics, 798 Evans Hall #3840, Berkeley, CA 94720-3840, is published twelve times a year. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices. POSTMASTER: send address changes to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163.

---

PJM peer review and production are managed by EditFlow® from Mathematical Sciences Publishers.

PUBLISHED BY

 **mathematical sciences publishers**  
nonprofit scientific publishing

<http://msp.org/>

© 2017 Mathematical Sciences Publishers

# PACIFIC JOURNAL OF MATHEMATICS

Volume 291    No. 1    November 2017

---

Chain transitive homeomorphisms on a space: all or none	1
ETHAN AKIN and JUHO RAUTIO	
Spinorial representation of submanifolds in Riemannian space forms	51
PIERRE BAYARD, MARIE-AMÉLIE LAWN and JULIEN ROTH	
Compact composition operators with nonlinear symbols on the $H^2$ space of Dirichlet series	81
FRÉDÉRIC BAYART and OLE FREDRIK BREVIG	
A local relative trace formula for $\mathrm{PGL}(2)$	121
PATRICK DELORME and PASCALE HARINCK	
Regularity of the analytic torsion form on families of normal coverings	149
BING KWAN SO and GUANGXIANG SU	
Thick subcategories over isolated singularities	183
RYO TAKAHASHI	
Projections in the curve complex arising from covering maps	213
ROBERT TANG	
The local Ginzburg–Rallis model over the complex field	241
CHEN WAN	



0030-8730(201711)291:1;1-Y