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COUNTING USING HALL ALGEBRAS III: QUIVERS WITH POTENTIALS

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For a quiver with potential, we can associate a vanishing cycle to each representation space. If there is a nice torus action on the potential, the vanishing cycles can be expressed in terms of truncated Jacobian algebras. We study how these vanishing cycles change under the mutation of Derksen, Weyman and Zelevinsky. The wall-crossing formula leads to a categorification of quantum cluster algebras under some assumption. This is a special case of A. Efimov's result, but our approach is more concrete and down-to-earth. We also obtain a counting formula relating the representation Grassmannians under sink-source reflections.

## Introduction

We continue our development on algorithms to count the points of varieties related to quiver representations. In this note, we focus on the quivers with potentials. A *potential* W on a quiver Q is just a linear combination of oriented cycles of Q. It can be viewed as a function on a certain noncommutative space attached to Q. When composed with the usual trace function, it becomes a regular function  $\omega$ on each representation space  $\text{Rep}_{\alpha}(Q)$ . This function further descends to various moduli spaces. In this paper, all potentials are assumed to be polynomial, i.e., a finite linear combination of oriented cycles.

Let f be a regular function on a complex variety X. Consider the scheme theoretic critical locus  $\{df = 0\}$  of f. Behrend, Bryan and Szendrői [Behrend et al. 2013] define a class  $[\varphi_f(X)] \in K_0(\operatorname{Var}_{\mathbb{C}})[\mathbb{L}^{-\frac{1}{2}}]$  associated to each such locus, essentially given by the motivic *Milnor fiber* of the map f. When X admits a suitable torus action, this class can be expressed as<sup>1</sup>

$$[\varphi_f(X)] = [f^{-1}(0)] - [f^{-1}(1)].$$

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*Keywords:* quiver representation, quiver with potential, Ringel–Hall algebra, Donaldson–Thomas invariants, vanishing cycle, virtual motive, moduli space, representation grassmannian, quantum cluster algebra, cluster character, quantum dilogarithm, wall-crossing, BB-tilting, mutation, Jacobian algebra, polynomial count.

<sup>&</sup>lt;sup>1</sup>This definition of  $[\varphi_f(X)]$  differs from the original one by a negative sign.

Deligne's mixed Hodge structure on compactly supported cohomology gives rise to the *E*-polynomial homomorphism  $E: K_0(Var_{\mathbb{C}}) \to \mathbb{Z}[x, y]$  given by

$$E([Y]; x, y) = \sum_{p,q} x^p y^q \sum_i (-1)^i \dim H_{p,q}(H_c^i(Y, \mathbb{Q})).$$

The *E*-polynomial could sometimes be computed using arithmetic method. By a *spreading out* of a complex variety *Y*, we mean a separated scheme  $\mathcal{Y}$  over a finitely generated  $\mathbb{Z}$ -algebra *R* with an embedding  $\varphi : R \hookrightarrow \mathbb{C}$  such that the extension of scalars  $\mathcal{Y}_{\varphi}$  is congruent to *Y*. Following N. Katz [Hausel and Rodriguez-Villegas 2008, Appendix], we say that *Y* is polynomial-count if there is a polynomial  $P_Y(t) \in \mathbb{Z}[t]$  and a spreading out  $\mathcal{Y}$  such that for every homomorphism  $\varphi : R \to \mathbb{F}_q$  to a finite field, the number of  $\mathbb{F}_q$ -points of the scheme  $\mathcal{Y}_{\varphi}$  is  $P_Y(q)$ . Furthermore, the definitions descend to the Grothendieck group  $K_0(\operatorname{Var}_{\mathbb{C}})$ . From [Hausel and Rodriguez-Villegas 2008, Theorems 6.1.2 and 6.1.3], we have the following lemma:

**Lemma 0.1.** Assume that  $\gamma \in K_0(\text{Var}_{\mathbb{C}})$  is **polynomial-count** with counting polynomial  $P_{\gamma}(t) \in \mathbb{Z}[t]$ ; then the *E*-polynomial of  $\gamma$  is given by

$$E(\gamma; x, y) = P_{\gamma}(xy).$$

In this note, we directly work over finite fields  $\mathbb{F}_q$  and follow an algebraic approach to compute  $P_{\gamma}(t)$  in the above quiver setting. Namely,  $\gamma$  is the class  $[\varphi_{\omega}]$ , defined by the regular function  $\omega$  on representation spaces. In fact, we will work with the generating series of the *virtual point counts*  $|\varphi_{\omega}(X)|_{\text{vir}}$  for all dimension vectors:

$$\mathbb{V}(Q, W) := \sum_{\alpha} \frac{|\varphi_{\omega}(\operatorname{Rep}_{\alpha}(Q))|_{\operatorname{vir}}}{|\operatorname{GL}_{\alpha}|_{\operatorname{vir}}} x^{\alpha}.$$

Here, by definition the virtual point count is related to the ordinary point count by a *q*-shift:

$$|\varphi_{\omega}(X)|_{\operatorname{vir}} := q^{-\frac{\dim X}{2}} |\varphi_{\omega}(X)|.$$

Our main results contain two wall-crossing formulas; one for the ordinary  $\mathbb{V}(Q, W)$ , the other for the one with a *framing stability*  $\nu_{\infty}$ :

$$\mathrm{T}(Q, W) := \sum_{\beta} |\varphi_{\omega}(\mathrm{Mod}_{(1,\beta)}^{\nu_{\infty}}(Q))|_{\mathrm{vir}} x^{(1,\beta)},$$

where  $\operatorname{Mod}_{\alpha}^{\nu}(Q)$  denotes the GIT moduli of  $\alpha$ -dimensional  $\nu$ -stable representations. To state these results, let  $\mu_k$  be the mutation of (Q, W) in the sense of Derksen, Weyman, and Zelevinsky [Derksen et al. 2008]. Let  $\mathbb{E}_k := \exp_q\left(\frac{q^{1/2}}{q-1}x_k\right), \ \mathbb{V} := \mathbb{V}(Q, W), \ \mathbb{V}' := \mathbb{V}(\mu_k(Q, W))$  and  $\operatorname{T}' := \operatorname{T}(\mu_k(Q, W)).$ 

**Theorem 0.2.** Under the technical condition (†) of Section 4, we have

(0-1) 
$$\mathbb{E}'_k \Phi^{\vee}_k (\mathbb{V}\mathbb{E}^{-1}_k) = \mathbb{V}' = \Phi_k (\mathbb{E}^{-1}_k \mathbb{V})\mathbb{E}'_k;$$

(0-2) 
$$(\mathbb{E}'_k)^{-1} \Phi_k(\mathbf{T}) \mathbb{E}'_k = \mathbf{T}' = \Phi_k^{\vee} (\mathbb{E}_k \mathbf{T} \mathbb{E}_k^{-1}).$$

Here, multiplications are performed in appropriate completed quantum Laurent series algebras.  $\Phi_k^{\lor}$  and  $\Phi_k$  are certain linear monomial change of variables.

There are two main ingredients in the proof. One is a construction of S. Mozgovoy, which relates the Hall algebra of a quiver to the quantum Laurent series ring. The other is a "dimension reduction" technique used by A. Morrison and K. Nagao. The equation (0-1) already appeared in [Nagao 2011; Keller 2011b], but we use a correct assumption.<sup>2</sup>

Nagao [2011] also suggested that this theory can be used to study quantum cluster algebras. We follow his suggestion and use (0-2) to categorify quantum cluster algebras under the assumption of the existence of certain potentials. If a cluster algebra has such a categorification, then its *strong positivity* will be implied by a result of [Davison et al. 2015] on the purity of the vanishing cycles.

Let *B* be an  $n \times m$  matrix with  $n \leq m$  such that the left  $n \times n$  submatrix of *B* is skew-symmetric. Let  $\Lambda$  be another skew-symmetric matrix of size  $m \times m$ . We assume that  $\Lambda$  and *B* are *unitally compatible*, that is,  $B\Lambda = (-I_n, 0)$ . We can associate to *B* a quiver *Q* without loops and 2-cycles such that

$$b_{ii} = |\operatorname{arrows} j \to i| - |\operatorname{arrows} i \to j|.$$

Such a matrix is called the *B*-matrix of Q. We endow Q with some potential W having nice properties.

Let  $\mu_{k_t}$  be a sequence of *mutations*, and set  $(Q_t, W_t) = \mu_{k_t}(Q, W)$ . Let  $x^g$  $(g \in \mathbb{Z}_{\geq 0}^m)$  be some initial *cluster monomial* in the quantum Laurent polynomial ring  $X_{\Lambda}$  (see (6-1)). We extend QP  $(Q_t^g, W_t)$  from  $(Q_t, W_t)$  by adding a new vertex  $\infty$  and  $g_i$  new arrows from *i* to  $\infty$ . We apply the inverse of  $\mu_{k_t}$  to  $(Q_t^g, W_t)$ , and obtain a QP  $(Q^g, W^g) := \mu_{k_t}^{-1}(Q_t^g, W_t)$ . Let  $\widetilde{B}$  be the *B*-matrix of  $Q^g$ .

**Theorem 0.3.** The mutated cluster monomial  $X_t(g) := \mu_{k_t}(x^g)$  is equal to

$$\sum_{\beta} |\varphi_{\omega^g}(\operatorname{Mod}_{(1,\beta)}^{\nu_{\infty}}(Q^g))|_{\operatorname{vir}} x^{(1,\beta)\widetilde{B}}.$$

This result may just be a special case of [Efimov 2011], where a much weaker condition on the potential *W* was assumed. However, our approach and result are

<sup>&</sup>lt;sup>2</sup>In [Nagao 2011] the author only treats a rather special case when k is a *strict sink/source*. Moreover, the proof contains a gap due to the incorrectness of Lemma 4.3. In [Keller 2011b], the author considered a variation of  $\mathbb{V}$  and assumed an unproven conjecture (Conjecture 3.2).

more down-to-earth and computable. They depend only on [Behrend et al. 2013] rather than [Kontsevich and Soibelman 2011].

The second application is on the generating series counting subrepresentations of representations of quivers (with zero potential). Let *s* be a sink of *Q*, and *M* be a representation of *Q*. We assume that *M* does not contain the simple representation  $S_s$  as a direct summand. Let

$$\mathbf{T}(M) := \sum_{\beta} q^{-\frac{1}{2} \langle \overline{M} - \beta, \beta \rangle_{\mathcal{Q}}} |\mathbf{Gr}^{\beta}(M)| x^{(1,\beta)},$$

where  $\operatorname{Gr}^{\beta}(M)$  is the variety parametrizing  $\beta$ -dimensional quotient representations of *M*.

**Theorem 0.4.** T(M) and  $T(\mu_s M)$  are also related via (0-2). In particular, if M is polynomial-count, that is, all its Grassmannians  $Gr^{\beta}(M)$  are polynomial-count, then so are all reflection equivalent classes of M.

This note is organized as follows. In Section 1, we recall some basics about quiver representations and their Hall algebras. In Section 2, we recall the concept of a quiver with potential and a cut, and its associated algebras. In Section 3, we recall a construction of Mozgovoy and the dimension reduction technique (Lemma 3.2). In Section 4, we recall the mutation of QP with a cut, and set up the key assumption for our main results. The case when this holds is illustrated in Corollary 4.4, whose proof will be given in the Appendix. In Section 5, we prove our first two main results: Theorems 5.2 and 5.3. In Section 6, we prove our third main result, Theorem 6.8, on a categorification of quantum cluster algebras. In Section 7, we prove our last main result, Theorem 7.4, on the representation Grassmannians under reflections.

# Notation and conventions.

- All modules are right modules and all vectors are row vectors.
- For an arrow *a*, *ta* is the tail of *a* and *ha* is the head of *a*.
- For any representation M, we use  $\overline{M}$  to denote its dimension vector.
- $S_i$  is the simple module at the vertex *i*, and  $P_i$  is its projective cover.
- We use superscript \* to denote the trivial dual Hom<sub>*K*</sub>(-, K).

# 1. Basics on quivers and their Hall algebras

From now on, we assume our base field  $K = \mathbb{F}_q$  to be the finite field with q elements. Let Q be a finite quiver with the set of vertices  $Q_0$  and the set of arrows  $Q_1$ . We write  $\langle -, - \rangle_Q$  for the usual Euler form of Q:

$$\langle \alpha, \beta \rangle_{\underline{Q}} = \sum_{i \in Q_0} \alpha(i)\beta(i) - \sum_{a \in Q_1} \alpha(ta)\beta(ha) \quad \text{for } \alpha, \beta \in \mathbb{Z}^{Q_0}.$$

We also have the antisymmetric form (-, -) associated to Q. The matrix of (-, -) denoted by B is given by

(1-1) 
$$b_{ij} = |\operatorname{arrows} j \to i| - |\operatorname{arrows} i \to j|.$$

Let KQ be the path algebra of Q over K. For any three KQ-modules U, V and W with dimension vectors  $\beta$ ,  $\gamma$  and  $\alpha = \beta + \gamma$ , the *Hall number*  $F_{UV}^W$  counts the number of subrepresentations S of W such that  $S \cong V$  and  $W/S \cong U$ . We denote  $a_W := |\operatorname{Aut}_Q(W)|$ , where |X| is the number of K-rational points of X. Let H(Q) be the vector space of all formal (infinite) linear combinations of isomorphism classes of KQ-modules.

**Lemma 1.1** [Ringel 1990; Schiffmann 2012, Proposition 1.1]. *The completed* **Hall** *algebra* H(Q) *is the associative algebra with multiplication* 

$$[U][V] := \sum_{[W]} F_{UV}^{W}[W],$$

and unit [0].

Let mod KQ (resp. mod<sub> $\alpha$ </sub> KQ) be the category of all finite-dimensional (resp.  $\alpha$ -dimensional) KQ-modules. For a subcategory C of mod KQ, we denote  $\chi(C) := \sum_{M \in C} [M]$ . We use the shorthand  $\chi$  and  $\chi_{\alpha}$  for  $\chi \pmod{KQ}$  and  $\chi \pmod{\alpha KQ}$ . Let  $(\mathcal{T}, \mathcal{F})$  be a *torsion pair* ([Assem et al. 2006, Definition VI.1.1]) in mod KQ, then for any  $M \in \mod KQ$ , there exists a short exact sequence  $0 \to L \to M \to N \to 0$  with L unique in  $\mathcal{T}$  and N unique in  $\mathcal{F}$ . In terms of the Hall algebra, this gives:

# **Lemma 1.2.** $\chi = \chi(\mathcal{F})\chi(\mathcal{T}).$

A weight  $\sigma$  is an integral linear functional on  $\mathbb{Z}^{Q_0}$ . A slope function  $\nu$  is a quotient of two weights  $\sigma/\theta$  with  $\theta(\alpha) > 0$  for any nonzero dimension vector  $\alpha$ .

**Definition 1.3.** A representation *M* is called *v*-semistable (resp. *v*-stable) if  $v(\overline{L}) \leq v(\overline{M})$  (resp.  $v(\overline{L}) < v(\overline{M})$ ) for every nontrivial subrepresentation  $L \subset M$ .

We denote by  $\operatorname{Rep}_{\alpha}^{\nu}(Q)$  the variety of  $\alpha$ -dimensional  $\nu$ -semistable representations of Q. By the standard GIT construction [King 1994], there is a *categorical quotient*  $q : \operatorname{Rep}_{\alpha}^{\nu}(Q) \to \operatorname{Mod}_{\alpha}^{\nu}(Q)$  and its restriction to the stable representations  $\operatorname{Rep}_{\alpha}^{\nu \operatorname{vst}}(Q)$ is a *geometric quotient*.

A slope function  $\nu$  is called *coprime* to  $\alpha$  if  $\nu(\gamma) \neq \nu(\alpha)$  for any  $\gamma < \alpha$ . So if  $\nu$  is coprime to  $\alpha$ , then there is no strictly semistable (semistable but not stable) representation of dimension  $\alpha$ . In this case,  $Mod_{\alpha}^{\nu}(A)$  must be a geometric quotient.

**Lemma 1.4** (Harder–Narasimhan filtration). *Every representation M has a unique filtration* 

 $0 = M_0 \subset M_1 \subset \cdots \subset M_{m-1} \subset M_m = M$ 

such that  $N_i = M_i/M_{i-1}$  is v-semistable and  $v(\overline{N}_i) > v(\overline{N}_{i+1})$ .

We fix a slope function  $\nu$ . For a dimension vector  $\alpha$ , let  $\chi_{\alpha}^{\nu} := \sum_{M \in \operatorname{Rep}_{\alpha}^{\nu}(Q)} [M]$ . The existence of the Harder–Narasimhan filtration yields the following identity in the Hall algebra H(Q).

Lemma 1.5 [Reineke 2003, Proposition 4.8].

$$\chi_{\alpha}=\sum\chi_{\alpha_1}^{\nu}\cdots\chi_{\alpha_s}^{\nu},$$

where the sum runs over all decompositions  $\alpha_1 + \cdots + \alpha_s = \alpha$  of  $\alpha$  into nonzero dimension vectors such that  $\nu(\alpha_1) < \cdots < \nu(\alpha_s)$ . In particular, solving recursively for  $\chi^{\nu}_{\alpha}$ , we get

(1-2) 
$$\chi_{\alpha}^{\nu} = \sum_{*} (-1)^{s-1} \chi_{\alpha_1} \cdots \chi_{\alpha_s},$$

where the sum runs over all decompositions  $\alpha_1 + \cdots + \alpha_s = \alpha$  of  $\alpha$  into nonzero dimension vectors such that  $v(\sum_{l=1}^k \alpha_l) < v(\alpha)$  for k < s.

For  $\alpha \in \mathbb{Z}^{Q_0}$ , we write  $x^{\alpha}$  for  $\prod_{i \in Q_0} x_i^{\alpha(i)}$ . Let  $X_{(Q)}$  be the quantum Laurent series algebra  $\mathbb{Q}(q^{\frac{1}{2}})[x_i]_{i \in Q_0}$  with multiplication given by  $x^{\beta}x^{\gamma} = q^{-\frac{1}{2}(\beta,\gamma)}x^{\beta+\gamma}$ .

**Lemma 1.6** [Reineke 2003, Lemma 6.1]. The map  $\int : [M] \mapsto q^{\frac{1}{2}\langle \alpha, \alpha \rangle_Q} x^{\alpha} / a_M$  is an algebra homomorphism  $H(Q) \to X_{(Q)}$ .

Since  $\frac{1}{a_M} = |\operatorname{GL}_{\alpha} \cdot M| / |\operatorname{GL}_{\alpha}|$ , we have that

(1-3) 
$$\int \chi_{\alpha} = q^{\frac{1}{2}\langle \alpha, \alpha \rangle_{Q}} \frac{|\operatorname{Rep}_{\alpha}(Q)|}{|\operatorname{GL}_{\alpha}|} x^{\alpha}.$$

# 2. QP with a cut

We fix a quiver Q without loops or oriented 2-cycles and a potential W on Q. Let  $\widehat{KQ}$  be the completion of the path algebra KQ with respect to the m-adic topology, where m is the two-sided ideal generated by arrows of Q. When dealing with completed path algebras, all its ideals will be assumed to be closed. Recall that a *potential* W is a linear combination of oriented cycles of Q.

For each arrow  $a \in Q_1$ , the cyclic derivative  $\partial_a$  on  $\widehat{KQ}$  is defined for each cycle  $a_1 \cdots a_d$  as

$$\partial_a(a_1\cdots a_d) = \sum_{a_i=a} a_{i+1}\cdots a_d a_1\cdots a_{i-1}.$$

For each potential W, its Jacobian ideal  $\partial W$  is the (closed two-sided) ideal in  $\widehat{KQ}$  generated by all  $\partial_a W$ . Let  $J(Q, W) = \widehat{KQ}/\partial W$  be the Jacobian algebra. If W is polynomial and  $KQ/\partial W$  is finite-dimensional, then the completion is unnecessary. This is the assumption we make throughout the paper.

Let  $\omega : \operatorname{Rep}(Q) \to K$  be the *trace function* corresponding to the potential W defined by  $M \mapsto \operatorname{tr}(W(M))$ . Note that  $\omega$  is an additive function, so it in fact descends to the Grothendieck group:  $\omega : K_0(\operatorname{mod}(Q)) \to K$ . By abuse of notation, we also use  $\omega$  for the same trace function defined on the affine representation varieties and moduli spaces. It is well known that a representation M of Q is a representation of J(Q, W) if and only if it is in the critical locus of  $\omega$  (i.e.,  $d\omega(M) = 0$ ).

Following [Herschend and Iyama 2011], we use the following definition:

**Definition 2.1.** A *cut* of a QP (Q, W) is a subset  $C \subset Q_1$  such that the potential W is homogeneous of degree 1 for the degree function deg :  $Q_1 \rightarrow \mathbb{N}$  defined by deg(a) = 1 for  $a \in C$  and zero otherwise.

This degree function defines a  $K^*$ -action on  $\operatorname{Rep}_{\alpha}(Q)$ 

$$(2-1) \quad (tM)(i) = M(i) \quad \text{for } i \in Q_0, \qquad (tM)(a) = t^{\deg(a)}M(a) \quad \text{for } a \in Q_1.$$

The homogeneity of W implies that if M is a representation of the Jacobian algebra, then so is tM. Moreover, the trace function is equivariant:  $\omega(tM) = t\omega(M)$ .

**Definition 2.2.** The algebra J(Q, W; C) associated to a QP (Q, W) with a cut *C* is the quotient algebra of the Jacobian algebra J(Q, W) by the ideal generated by *C*.

For the degree function given by a cut,  $\partial W$  is a homogeneous ideal so the degree function induces a grading on J(Q, W) as well. Note that J(Q, W; C) is isomorphic to the degree zero part of J(Q, W). We denote by  $Q_C$  the subquiver  $(Q_0, Q_1 \setminus C)$  of Q and by  $\langle \partial_C W \rangle$  the ideal  $\langle \partial_c W | c \in C \rangle$ . It is clear that J(Q, W; C) can also be presented as  $\widehat{KQ_C}/\langle \partial_C W \rangle$ . Readers may skip to Example 7.5 to see these definitions in action.

We put

$$\begin{split} \langle \alpha, \beta \rangle_C &= \sum_{c \in C} \alpha(tc) \beta(hc), \\ \langle \alpha, \beta \rangle_{J_C} &= \langle \alpha, \beta \rangle_Q + \langle \alpha, \beta \rangle_C + \langle \beta, \alpha \rangle_C. \end{split}$$

Definition 2.3 [Herschend and Iyama 2011]. A cut is called *algebraic* if

(1) J(Q, W; C) is a finite-dimensional *K*-algebra of global dimension 2;

(2)  $\{\partial_c W\}_{c \in C}$  is a minimal set of generators of the ideal  $\langle \partial_C W \rangle$  in  $\widehat{KQ}$ .

It is clear that for algebraic cuts, the form  $\langle -, - \rangle_{J_C}$  is exactly the Euler form of J(Q, W; C). From now on, we assume that all cuts are algebraic.

Conversely, any finite-dimensional algebra of global dimension 2 arises as a truncated Jacobian algebra (see [Herschend and Iyama 2011, Proposition 3.3]). Here is the construction. Given any *K*-algebra *A* presented by a quiver *Q* with a minimal set of relations  $\{r_1, r_2, ..., r_l\}$ , we can associate with it a QP ( $Q_A, W_A$ ) with a cut *C* 

as follows:  $Q_{A,0} = Q_0$ ,  $Q_{A,1} = Q_1 \amalg C$  with  $C = \{c_i : h(r_i) \to t(r_i)\}_{i=1...l}$ , and  $W_A = \sum_{i=1}^{l} c_i r_i$ . If *A* has global dimension 2, it is known [Keller 2011a, Theorem 6.10] that  $J(Q_A, W_A)$  is isomorphic to the algebra  $\Pi_3(A) := \prod_{i\geq 0} \operatorname{Ext}_A^2(A^*, A)^{\otimes_A i}$ . In particular,  $J(Q_A, W_A)$  does not depend on the minimal set of relations that we chose. It is now clear that  $J(Q_A, W_A; C) \cong A$ . Moreover, let *C* be an algebraic cut of (Q, W) and A = J(Q, W; C), then (Q, W) and  $(Q_A, W_A)$  are right-equivalent [Derksen et al. 2008, Definition 4.2]. We do not need this construction in this paper, though.

#### **3.** A construction of Mozgovoy

To motivate the definition (3-1), consider a complex (quasiprojective) variety X with a  $\mathbb{C}^*$ -action. Let  $\omega$  be a regular function on X, which is equivariant with respect to a primitive character, i.e., not divisible in the character group of  $\mathbb{C}^*$ . We further assume that  $\lim_{t\to 0} tx$  exists for all  $x \in X$ , then according to [Behrend et al. 2013, Proposition 1.11],  $[\omega^{-1}(1)] \in K_0(\text{Var}_{\mathbb{C}})$  is the *nearby fiber* of  $\omega$ . Its difference with the *central fiber*  $\omega^{-1}(0)$  defines a class called the (*absolute*) vanishing cycle of  $\omega$  on X:

$$[\varphi_{\omega}(X)] := [\omega^{-1}(0)] - [\omega^{-1}(1)].$$

Now let *X* be a variety over  $K = \mathbb{F}_q$  with a  $K^*$ -action, and  $\omega$  still be a regular function on *X*. We set

(3-1) 
$$|\varphi_{\omega}(X)| := |\omega^{-1}(0)| - |\omega^{-1}(1)|.$$

Due to the torus action (2-1), we have

(3-2) 
$$(q-1)|\omega^{-1}(1)| = |X| - |\omega^{-1}(0)|,$$

so

(3-3) 
$$|\varphi_{\omega}(X)| = \frac{q|\omega^{-1}(0)| - |X|}{q-1}$$

We denote the *q*-shifted point count  $q^{-(\dim X)/2}|\varphi_{\omega}(X)|$  by  $|\varphi_{\omega}(X)|_{\text{vir}}$ . We also set  $|\operatorname{GL}_{\alpha}|_{\text{vir}} := q^{-(\dim \operatorname{GL}_{\alpha})/2}|\operatorname{GL}_{\alpha}|.$ 

Let  $\omega : \operatorname{Rep}_{\alpha}(Q) \to K$  be the trace function corresponding to the potential Wwith a cut. Recall that the cut induces a torus action on each  $\operatorname{Rep}_{\alpha}(Q)$  such that  $\omega$  is equivariant. For  $h = \sum c_M[M] \in H(Q)$ , we define  $h_0 := \sum_{\omega(M)=0} c_M[M]$ . Such an h is called *equivariant* if  $c_M = c_{tM}$  for any  $t \in K^*$ . Let  $H_{eq}(Q)$  be the subalgebra of H(Q) consisting of equivariant elements.

**Lemma 3.1** [Mozgovoy 2013, Proposition 5.2]. The map  $\int_{\omega} : H_{eq}(Q) \to X_{(Q)}$ defined by

$$h \mapsto \frac{q \int h_0 - \int h}{q - 1}$$

is an algebra morphism.

Note that if W is zero, then  $\int_{\omega} = \int$ . We see from (3-3) and (1-3) that

$$v_{\alpha} := \int_{\omega} \chi_{\alpha} = \frac{|\varphi_{\omega}(\operatorname{Rep}_{\alpha}(Q))|_{\operatorname{vir}}}{|\operatorname{GL}_{\alpha}|_{\operatorname{vir}}} x^{\alpha}.$$

We denote the generating series  $\int_{\omega} \chi$  by  $\mathbb{V}(Q, W) := \sum_{\alpha} v_{\alpha} x^{\alpha}$ .

Lemma 3.2 [Nagao 2011, Theorem 4.1].

$$|\varphi_{\omega}(\operatorname{Rep}_{\alpha}(Q))| = q^{\langle \alpha, \alpha \rangle_{C}} |\operatorname{Rep}_{\alpha}(J(Q, W; C))|,$$

so

$$v_{\alpha} = q^{\frac{1}{2} \langle \alpha, \alpha \rangle_{J_{C}}} \frac{|\operatorname{Rep}_{\alpha}(J(Q, W; C))|}{|\operatorname{GL}_{\alpha}|}$$

For any stability  $\nu$ , the trace function  $\omega$  restricts to  $\operatorname{Rep}_{\alpha}^{\nu}(Q) \to K$  and descends to the GIT moduli space  $\operatorname{Mod}_{\alpha}^{\nu}(Q)$ . Note that for  $K = \mathbb{C}$ ,  $[\omega^{-1}(0)] - [\omega^{-1}(1)]$ is the vanishing cycle of  $\omega$  on  $\operatorname{Mod}_{\alpha}^{\nu}(Q)$ . Indeed, since the  $\mathbb{C}^*$ -action is induced from a cut, the primitive character condition is trivially satisfied. To apply [Behrend et al. 2013, Proposition 1.11], we can verify as in [Nagao 2011, Lemma 3.2] that  $\lim_{t\to 0} tx$  exists in  $\operatorname{Mod}_{\alpha}^{\nu}(Q)$  for any  $x \in \operatorname{Mod}_{\alpha}^{\nu}(Q)$ .

Apply the Hall character  $\int_{\omega}$  to the identity (1-2); then we obtain:

Proposition 3.3 [Mozgovoy 2013, Theorem 5.7].

$$\frac{|\varphi_{\omega}(\operatorname{Rep}_{\alpha}^{\nu}(Q))|_{\operatorname{vir}}}{|\operatorname{GL}_{\alpha}|_{\operatorname{vir}}} = \sum_{*} (-1)^{s-1} q^{\frac{1}{2}\sum_{i>j}(\alpha_{i},\alpha_{j})} \prod_{k=1}^{s} v_{\alpha_{k}}(q),$$

where the summation \* is the same as in Lemma 1.5.

We denote by  $v_{\alpha}^{\nu}(q)$  the above rational function in  $q^{\frac{1}{2}}$ . Following [Fei 2015], we say an algebra A is polynomial-count if each Rep<sub> $\alpha$ </sub>(A) is polynomial-count.

**Corollary 3.4.** Assume the GIT quotient  $Mod^{\nu}_{\alpha}(Q)$  is geometric. If J(Q, W; C) is polynomial-count, then so is  $\varphi_{\omega}(Mod^{\nu}_{\alpha}(Q))$ .

**Definition 3.5.** A pair  $(\alpha, \nu)$  is called *numb* to a cut *C* on *Q* if the vector bundle  $\pi : \operatorname{Rep}_{\alpha}(Q) \to \operatorname{Rep}_{\alpha}(Q_C)$  restricts to  $\nu$ -semistable representations.

Later we will need the following generalization of Lemma 3.2. The proof is the same as that in [Nagao 2011]. For readers' convenience, we copy the proof here.

**Lemma 3.6.** Suppose that  $(\alpha, \nu)$  is numb to C. Then

$$|\varphi_{\omega}(\operatorname{Rep}_{\alpha}^{\nu}(Q))| = q^{\langle \alpha, \alpha \rangle_{C}} |\operatorname{Rep}_{\alpha}^{\nu}(J(Q, W; C))|,$$

s0

$$v_{\alpha}^{\nu} = q^{\frac{1}{2}\langle \alpha, \alpha \rangle_{J_{C}}} \frac{|\operatorname{Rep}_{\alpha}^{\nu}(J(Q, W; C))|}{|\operatorname{GL}_{\alpha}|}$$

*Proof.* By assumption,  $\pi : \operatorname{Rep}_{\alpha}^{\nu}(Q) \to \operatorname{Rep}_{\alpha}^{\nu}(Q_C)$  is a vector bundle of rank  $d = \langle \alpha, \alpha \rangle_C$ . The restriction of  $\omega$  to the fiber  $\pi^{-1}(M)$  is zero if  $M \in \operatorname{Rep}_{\alpha}^{\nu}(J(Q, W; C))$ , and is a nonzero linear function if  $x \notin \operatorname{Rep}_{\alpha}^{\nu}(J(Q, W; C))$ . Hence,

$$|\omega^{-1}(0)| = q^{d} |\operatorname{Rep}_{\alpha}^{\nu}(J(Q, W; C))| + q^{d-1}(|\operatorname{Rep}_{\alpha}^{\nu}(Q_{C})| - |\operatorname{Rep}_{\alpha}^{\nu}(J(Q, W; C))|).$$

$$|\varphi_{\omega}(\operatorname{Rep}_{\alpha}^{\nu}(Q))| = \frac{q|\omega^{-1}(0)| - |\operatorname{Rep}_{\alpha}^{\nu}(Q)|}{q-1} = q^{\langle \alpha, \alpha \rangle_{C}} |\operatorname{Rep}_{\alpha}^{\nu}(J(Q, W; C))|. \quad \Box$$

Let  $\{e_i\}_i$  be the standard basis of  $\mathbb{Z}^{Q_0}$ . The *k*-th (absolute) framing stability  $v_k$  is the slope function given by  $e_k^*/d$ , where  $d(\alpha) = \sum_{v \in Q_0} \alpha(v)$ . It is not hard to see that if all arrows in *C* end in *k*, then  $(\alpha, v_k)$  with  $\alpha_k = 1$  is numb to *C*.

# 4. Mutation of quivers with potentials

The key notion in [Derksen et al. 2008] is the definition of mutation  $\mu_k$  of a quiver with potentials at some vertex  $k \in Q_0$ . Let us briefly recall it. The first step is to define the following new quiver with potential  $\tilde{\mu}_k(Q, W) = (\tilde{Q}, \tilde{W})$ . We put  $\tilde{Q}_0 = Q_0$  and  $\tilde{Q}_1$  is the union of three different kinds of arrows;

- all arrows of Q not incident to k,
- a composite arrow [ab] from ta to hb for each a and b with ha = tb = k, and
- an opposite arrow  $a^*$  (resp.  $b^*$ ) for each incoming arrow a (resp. outgoing arrow b) at k.

The new potential is given by

$$\widetilde{W} := [W] + \sum_{ha=tb=k} b^* a^* [ab],$$

where [W] is obtained by substituting [ab] for each word ab as above occurring in (any cyclically equivalent) W.

Let *A* be the algebra J(Q, W; C) and *T* be the representation  $A/P_k \oplus \tau^{-1}S_k$ , where  $\tau$  is the classical AR-transformation [Assem et al. 2006]. Clearly,  $\tau^{-1}S_k$  can be presented as  $P_k \xrightarrow{(a)_a} \bigoplus_{ha=k} P_{ta} \to \tau^{-1}S_k \to 0$ , where  $(a)_a$  is the row vector with entries arrows pointing to *k*. Recall that an *A*-module *T* is called *tilting* if *T* has finite projective dimension,  $\operatorname{Ext}_A^i(T, T) = 0$  for all i > 0, and there is an exact sequence

$$0 \to A \to T_1 \to T_2 \to \cdots \to T_n \to 0,$$

where each  $T_i$  is a finite direct sum of direct summands of T.

**Lemma 4.1** [Ladkani 2010, Corollary 2.2.b]. *T* is a tilting module if and only if the map  $P_k \xrightarrow{(a)_a} \bigoplus_{ha=k} P_{ta}$  is injective.

In this case, *T* is called the *BB-tilting module* at *k*. The dual notion of *T* is the BB-cotilting module  $T^{\vee} = A^*/I_k \oplus \tau S_k$ . What we desire is the following nice situation:

(†) There is an algebraic cut 
$$\widetilde{C}$$
 on  $(\widetilde{Q}, \widetilde{W})$  such that  $J(Q, W; C)$  and  $J(\widetilde{Q}, \widetilde{W}; \widetilde{C})$  are tilting equivalent via the functors  $\operatorname{Hom}_A(T, -)$  or  $\operatorname{Hom}_A(-, T^{\vee})$ .

In general, the existence of another (not necessarily algebraic) cut on  $\widetilde{W}$  is not guaranteed. However, if we assume that

(4-1) all arrows ending at 
$$k$$
 do not belong to a cut  $C$ ,

then we can assign a new cut  $\widetilde{C}$  containing all

- $c \in C$  if  $tc \neq k$ ,
- arrows  $b^*$  if  $b \notin C$ ,
- composite arrows [ab] with  $b \in C$ .

This definition is the graded right mutation defined in [Amiot and Oppermann 2014] adapted to our setting. There is a graded version of splitting theorem ([Derksen et al. 2008, Theorem 4.6]). Recall that a (graded) QP (Q, W) is *trivial* if the potential W is in the space  $KQ_2$  spanned by paths of length 2, and if the Jacobian algebra J(Q, W) is isomorphic to the semisimple algebra  $KQ_0$ . A (graded) QP (Q, W) is *reduced* if  $W \cap KQ_2$  is zero. Applied to QP with a cut, we have:

**Lemma 4.2.** (Q, W, C) is graded right-equivalent to the direct sum

 $(Q_{\text{red}}, W_{\text{red}}, C_{\text{red}}) \oplus (Q_{\text{triv}}, W_{\text{triv}}, C_{\text{triv}}),$ 

where  $(Q_{red}, W_{red}, C_{red})$  is **reduced** and  $(Q_{triv}, W_{triv}, C_{triv})$  is **trivial**; both unique up to graded right-equivalence.

We denote the reduced part of  $(\tilde{Q}, \tilde{W}, \tilde{C})$  by  $\mu_k(Q, W, C) := (Q', W', C')$ .

**Theorem 4.3.** Assume that C is a cut satisfying Definition 2.3(2) and (4-1), and that  $\operatorname{Ext}_{A}^{3}(S_{i}, S_{k}) = 0$  for any  $i \neq k$ . Then J(Q, W; C) is tilting equivalent to  $J(\widetilde{Q}, \widetilde{W}; \widetilde{C})$  via  $\operatorname{Hom}_{A}(T, -)$ .

**Corollary 4.4.** If C is an algebraic cut satisfying (4-1), then C' is also algebraic, and J(Q, W; C) is tilting equivalent to  $J(\tilde{Q}, \tilde{W}; \tilde{C})$  via Hom<sub>A</sub>(T, -).

These slightly generalize the main results of [Mizuno 2014]. We will prove them in the Appendix. By Lemma 4.2, the above  $J(\tilde{Q}, \tilde{W}; \tilde{C})$  can be replaced by J(Q', W'; C'). If we want to work with the assumption dual to (4-1), that is, all arrows starting with k do not belong to C, then we should take the functor  $\operatorname{Hom}_A(-, T^{\vee})$ .

The equivalence Hom<sub>A</sub>(T, -) induces a map  $\phi_k$  in the corresponding K<sub>0</sub>-group

(4-2) 
$$\phi_k([S_i]) = \begin{cases} [S'_i] & i \neq k, \\ -[S'_k] + \sum_{ha=k} [S'_{ta}] & i = k; \end{cases}$$

and its dual Hom<sub>A</sub> $(-, T^{\vee})$  induces  $\phi_k^{\vee}$  given by

$$\phi_k^{\vee}([S_i]) = \begin{cases} [S_i'] & i \neq k, \\ -[S_k'] + \sum_{tb=k} [S_{hb}'] & i = k. \end{cases}$$

Since the  $K_0$ -groups of mod(J(Q, W; C)) and mod(J(Q', W'; C')) can be identified with  $\mathbb{Z}^{Q_0}$ , by slight abuse of notation, we also write  $\phi_k$  and  $\phi_k^{\vee}$  for the corresponding linear isometries on  $\mathbb{Z}^{Q_0}$ . Due to the equivalence, we have that  $\langle \alpha, \beta \rangle_{J_C} = \langle \phi_k \alpha, \phi_k \beta \rangle_{J'_C}$ . Moreover, it is easy to verify that  $(\alpha, \beta) = (\phi_k \alpha, \phi_k \beta)'$ , or, equivalently,  $B' = \phi_k B \phi_k^T$ , where (-, -)' is the antisymmetric form of Q'.

We denote

$$\operatorname{mod}(A)_k := \{ M \in \operatorname{mod} A \mid \operatorname{Hom}_A(S_k, M) = 0 \},\\ \operatorname{mod}(A)^k := \{ M \in \operatorname{mod} A \mid \operatorname{Hom}_A(M, S_k) = 0 \}.$$

Note, under the assumption (†),  $mod(J(Q, W; C))^k$  (resp.  $mod(J(Q', W'; C'))_k$ ) is the torsion (resp. torsion-free) class determined by the tilting module *T* [Assem et al. 2006, VI.2]. So

$$\operatorname{mod}(J(Q, W; C))^{k} \cong \operatorname{mod}(J(Q', W'; C'))_{k}.$$

In particular, for  $\alpha' = \phi_k(\alpha)$  we have

(4-3) 
$$\frac{|\operatorname{Rep}_{\alpha}(J(Q,W;C))^{k}|}{|\operatorname{GL}_{\alpha}|} = \frac{|\operatorname{Rep}_{\alpha'}(J(Q',W';C'))_{k}|}{|\operatorname{GL}_{\alpha'}|}.$$

#### 5. Wall-crossing formula

Let  $\langle S_k \rangle$  be the subcategory of mod *KQ* generated by the simple  $S_k$ .

**Definition 5.1.** We denote

$$\mathbb{E}_k := \int_{\omega} \chi(\langle S_k \rangle) = \sum_n \frac{q^{n^2/2}}{|\operatorname{GL}_n|} x_k^n = \exp_q\left(\frac{q^{1/2}}{q-1} x_k\right).$$

Recall the generating series  $\mathbb{V}(Q, W)$  defined before Lemma 3.2 and the quantum Laurent series algebra  $X_{(Q)}$  defined before Lemma 1.6. We set  $\mathbb{V} := \mathbb{V}(Q, W) \in X_{(Q)}$ and  $\mathbb{V}' := \mathbb{V}(\mu_k(Q, W)) \in X_{(Q')}$ . Let  $\Phi_k$  (resp.  $\Phi_k^{\vee}$ ) be the ring homomorphism  $X_{(Q)} \to X_{(Q')}$  defined by  $x^{\alpha} \mapsto (x')^{\phi_k \alpha}$  (resp.  $(x')^{\phi_k^{\vee} \alpha}$ ).

**Theorem 5.2.** Assuming the condition (†), we have

$$\mathbb{E}'_k \Phi_k^{\vee}(\mathbb{V}\mathbb{E}_k^{-1}) = \mathbb{V}' = \Phi_k(\mathbb{E}_k^{-1}\mathbb{V})\mathbb{E}'_k.$$

*Proof.* We apply the character  $\int_{\omega}$  to the torsion-pair identity in H(Q) (Lemma 1.2),

$$\chi(\operatorname{mod}(Q)_k)\chi(\langle S_k\rangle) = \chi = \chi(\langle S_k\rangle)\chi(\operatorname{mod}(Q)^k)$$

By Lemma 3.1 we get

$$\mathbb{E}_{k}^{-1}\mathbb{V} = \int_{\omega} \chi \left( \operatorname{mod}(Q)^{k} \right)$$

$$= \sum_{\alpha} q^{\frac{1}{2}\langle \alpha, \alpha \rangle_{J_{C}}} \frac{|\operatorname{Rep}_{\alpha}(J(Q, W; C))^{k}|}{|\operatorname{GL}_{\alpha}|} x^{\alpha}, \qquad \text{(similar to Lemma 3.6)}$$

$$= \sum_{\alpha} q^{\frac{1}{2}\langle \phi_{k}(\alpha), \phi_{k}(\alpha) \rangle_{J_{C}'}} \frac{|\operatorname{Rep}_{\phi_{k}(\alpha)}(J(Q', W'; C'))_{k}|}{|\operatorname{GL}_{\phi_{k}(\alpha)}|} x^{\alpha}, \qquad (4-3)$$

Similarly

$$\mathbb{V}'\mathbb{E}_k^{\prime-1} = \sum_{\alpha} q^{\frac{1}{2}\langle\alpha,\alpha\rangle_{J_C'}} \frac{|\operatorname{Rep}_{\alpha}(J(Q',W';C'))_k|}{|\operatorname{GL}_{\alpha}|} (x')^{\alpha},$$

Hence

$$\mathbb{V}' = \Phi_k(\mathbb{E}_k^{-1}\mathbb{V})\mathbb{E}_k'.$$

The other half is similar.

**Framing.** We freeze a vertex  $\infty$  of Q, that is, we are not allowed to mutate at  $\infty$ . Let  $\text{mod}_0(Q)$  be all modules supported outside  $\infty$ . Note that  $\text{mod}_0(Q)$  is an exact subcategory of mod(Q). In particular, it is a torsion-free class, and let  $\text{T}_0(Q)$  be its corresponding torsion class.

Let  $\mathbb{T} := \int_{\omega} \chi(T_0(Q))$  and  $\mathbb{V}_0 := \int_{\omega} \chi(\text{mod}_0(Q))$ . It follows from the torsion pair identity that

$$\mathbb{T} = \mathbb{V}_0^{-1} \mathbb{V}.$$

We keep the assumption (†). According to Theorem 5.2, we have

$$\mathbb{E}'_k \Phi_k^{\vee}(\mathbb{V}\mathbb{E}_k^{-1}) = \mathbb{V}' = \Phi_k(\mathbb{E}_k^{-1}\mathbb{V})\mathbb{E}'_k.$$

From the second equality, we get

$$\mathbb{V}_0^{\prime -1}\mathbb{V}^{\prime} = \mathbb{E}_k^{\prime -1}\Phi_k(\mathbb{V}_0^{-1}\mathbb{E}_k)\Phi_k(\mathbb{E}_k^{-1}\mathbb{V})\mathbb{E}_k^{\prime} = \mathbb{E}_k^{\prime -1}\Phi_k(\mathbb{V}_0^{-1}\mathbb{V})\mathbb{E}_k^{\prime};$$

hence we get a formula for  $\mathbb{T}' := \int_{\omega'} \chi(T_0(Q'))$ ,

$$\mathbb{T}' = \mathbb{E}'_k^{-1} \Phi_k(\mathbb{T}) \mathbb{E}'_k.$$

Similarly using the first equality, we obtain

$$\mathbb{T}' = \Phi_k^{\vee}(\mathbb{E}_k \mathbb{T} \mathbb{E}_k^{-1}).$$

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We can also treat  $\text{mod}_0(Q)$  as a torsion class, and work with its torsion-free class  $F_0$ . If we set  $\mathbb{F} = \int_{\omega} F_0$ , then  $\mathbb{F} = \mathbb{V} \mathbb{V}_0^{-1}$ , and we have the dual formula

$$\mathbb{E}'_k \Phi_k^{\vee}(\mathbb{F}) {\mathbb{E}'_k}^{-1} = \mathbb{F}' = \Phi_k(\mathbb{E}_k^{-1} \mathbb{F} \mathbb{E}_k).$$

Consider the subcategory  $T_0^1(Q)$  of  $T_0(Q)$ , which contains all representations having dimension 1 at the vertex  $\infty$ . It is well known that the category  $T_0^1(Q)$ contains exactly the  $\nu_{\infty}$ -stable representations with dimension 1 at the vertex  $\infty$ . Let

(5-1) 
$$T(Q, W) := (q^{\frac{1}{2}} - q^{-\frac{1}{2}}) \int_{\omega} \chi(T_0^1(Q)).$$

Since the dimension vector  $(1, \beta)$  is coprime to the slope function  $\nu_{\infty}$ , the moduli space  $\operatorname{Mod}_{(1,\beta)}^{\nu_{\infty}}(Q)$  is a geometric quotient, and thus we have

(5-2) 
$$T(Q, W) = (q^{\frac{1}{2}} - q^{-\frac{1}{2}}) \frac{|\varphi_{\omega}(\operatorname{Rep}_{(1,\beta)}^{\nu_{\infty}}(Q))|_{\operatorname{vir}}}{|\operatorname{GL}_{(1,\beta)}|_{\operatorname{vir}}} x^{(1,\beta)}.$$
$$= \sum_{\beta} |\varphi_{\omega}(\operatorname{Mod}_{(1,\beta)}^{\nu_{\infty}}(Q))|_{\operatorname{vir}} x^{(1,\beta)}.$$

Now we replace all  $T_0(Q)$  by  $T_0^1(Q)$  in the above argument for  $\mathbb{T}'$ , and we can easily obtain the following theorem.

**Theorem 5.3.** Assuming the condition (†), we have

(5-3) 
$$\mathbb{E}_k^{\prime -1} \Phi_k(\mathbf{T}) \mathbb{E}_k^{\prime} = \mathbf{T}^{\prime} = \Phi_k^{\vee}(\mathbb{E}_k \mathbf{T} \mathbb{E}_k^{-1}).$$

In the next section, by abuse of notation, we will write  $\mu_k$  for the operator  $\mathrm{Ad}^{-1}(\mathbb{E}'_k) \circ \Phi_k$ , and  $\mu_k^{\vee}$  for the operator  $\Phi_k^{\vee} \circ \mathrm{Ad}(\mathbb{E}_k)$ .

#### 6. Application to cluster algebras

Let *B* be an  $n \times m$  matrix with  $n \le m$ . The principal part  $B_p$  of *B* is by definition the left  $n \times n$  submatrix. We assume that  $B_p$  is skew-symmetric. Let  $\Lambda$  be another skew-symmetric matrix of size  $m \times m$ . We assume that  $\Lambda$  and *B* are *unitally compatible*, that is,  $B\Lambda = (-I_n, 0)$ .

We can associate to *B* an (ice) quiver *Q* without loops and 2-cycles satisfying (1-1). The vertices in [n + 1, m] are *frozen vertices*. We denote by  $Q_p$  the principal part of *Q*, that is, the full subquiver of *Q* by forgetting all frozen vertices. The matrix *B* is called the *B*-matrix of *Q*.

Let  $X_{\Lambda}$  be the quantum Laurent polynomial ring  $\mathbb{Z}[q^{\pm \frac{1}{2}}][x_1^{\pm 1}, x_2^{\pm 1}, \dots, x_m^{\pm 1}]$  with multiplication given by

(6-1) 
$$x^{\alpha}x^{\beta} = q^{\frac{1}{2}\Lambda(\alpha,\beta)}x^{\alpha+\beta}.$$

Here, we write  $\Lambda(-, -)$  for the associated bilinear form of  $\Lambda$ . As an Ore domain [Berenstein and Zelevinsky 2005, Appendix],  $X_{\Lambda}$  is contained in its skew-field of fractions  $\mathcal{F}(X_{\Lambda})$ .

**Definition 6.1.** A toric frame is a map  $X : \mathbb{Z}^m \to \mathcal{F}(X_\Lambda)$ , such that  $X(\alpha) = \rho(x^{\eta(\alpha)})$  for some automorphism  $\rho$  of the skew-field  $\mathcal{F}(X_\Lambda)$ , and some automorphism  $\eta$  of the lattice  $\mathbb{Z}^m$ .

By abuse of notation we can view  $X_{\Lambda}$  naturally as the toric frame:  $X_{\Lambda}(\alpha) = x^{\alpha}$ . Let  $\{e_i\}_{1 \le i \le m}$  be the standard basis of  $\mathbb{Z}^m$ . We also denote by  $\phi_k$  the matrix of the linear isometry (4-2), and by  $\phi_k^p$  its restriction on the principal part  $Q_p$ . For any integer *b*, we write  $[b]_+$  for max(0, b).

**Definition 6.2.** A *seed* is a triple  $(\Lambda, B, X)$  such that  $X(g)X(h) = q^{\frac{1}{2}\Lambda(\alpha,\beta)}X(g+h)$  for all  $g, h \in \mathbb{Z}^m$ . The *mutation* of  $(\Lambda, B, X)$  at k is a new triple  $(\Lambda', B', X') = \mu_k(\Lambda, B, X)$  defined by

(6-2) 
$$(\Lambda', B') = (\phi_k^{\mathrm{T}} \Lambda \phi_k, \phi_k^{\mathrm{p}} B \phi_k^{\mathrm{T}}),$$

and X' is determined by the exchange relation

(6-3) 
$$X'(e_k) = X\left(\sum_{1 \le j \le m} [-b_{kj}]_+ e_j - e_k\right) + X\left(\sum_{1 \le j \le m} [b_{kj}]_+ e_j - e_k\right),$$

(6-4)  $X'(e_j) = X(e_j), \qquad 1 \le j \le m, \, j \ne k.$ 

Since  $\phi_k = \phi_k^{-1}$ , we see that  $(\Lambda', B')$  is also unitally compatible. The automorphism  $\rho$  for X' was constructed explicitly in [Berenstein and Zelevinsky 2005, Proposition 4.2]. One should notice that the mutation  $\mu_k$  is an involution.

Let  $\mathbb{T}_n$  be the *n*-regular tree with root  $t_0$ . There is a unique way of associating a seed  $(\Lambda_t, B_t, X_t)$  for each vertex  $t \in \mathbb{T}_n$  such that

- (1)  $(\Lambda_{t_0}, B_{t_0}, X_{t_0}) = (\Lambda, B, X_\Lambda),$
- (2) if t and t' are linked by an edge k, then the seed  $(\Lambda_{t'}, B_{t'}, X_{t'})$  is obtained from  $(\Lambda_t, B_t, X_t)$  by the mutation at k.

**Definition 6.3.** The quantum cluster algebra  $C(\Lambda, B)$  with initial seeds  $(\Lambda, B, X_{\Lambda})$  is the  $\mathbb{Z}[q^{\pm 1}]$ -subalgebra of  $\mathcal{F}(X_{\Lambda})$  generated by all *cluster variables*  $X_t(e_i)$   $(1 \le i \le n)$ , *coefficients*  $X_t(e_i)$  and  $X_t(e_i)^{-1}$   $(n + 1 \le i \le m)$ .

Recall the operators  $\mu_k = \operatorname{Ad}^{-1}(\mathbb{E}'_k) \circ \Phi_k$  and  $\mu_k^{\vee} = \Phi_k^{\vee} \circ \operatorname{Ad}(\mathbb{E}_k)$ . Further recall that  $\mathbb{E}(y) = \exp_q(q^{1/2}/(q-1)y)$  can also be written as the formal product<sup>3</sup>

$$\prod_{l=0}^{\infty} (1+q^{l+\frac{1}{2}}y)^{-1}$$

<sup>&</sup>lt;sup>3</sup>The inverse of this product is the q-Pochhammer symbol  $\left(-q^{\frac{1}{2}}y;q\right)_{\infty}$ .

It satisfies

$$\mathbb{E}(q^{\pm 1}y) = (1 + q^{\pm \frac{1}{2}}y)^{\pm 1}\mathbb{E}(y).$$

Let  $Y_{(Q_p)}$  be the quantum Laurent polynomial (rather than Laurent series) algebra in variables  $\{y_i\}_{i \in Q_0}$  having the same multiplication rule as  $X_{(Q_p)}$ , that is,

$$y^{\beta}y^{\gamma} = q^{-\frac{1}{2}(\beta,\gamma)}y^{\beta+\gamma}.$$

Since

$$y_i \mathbb{E}(y_k) = \mathbb{E}(q^{b_{ki}} y_k) y_i$$

and

$$\mathbb{E}(y_k)^{-1}\mathbb{E}(q^b y_k) = \prod_{l=1}^b (1+q^{l-\frac{1}{2}}y_k),$$

we can easily deduce the following *Y*-seeds mutation formula:

Lemma 6.4 [Keller 2011b, (4.11)].

$$\mu_{k}^{\vee}(y_{i}) = \mu_{k}(y_{i}) = \begin{cases} y_{k}^{-1} & \text{if } i = k, \\ y^{e_{i} + [-b_{ik}]_{+}e_{k}} \prod_{l=1}^{|b_{ik}|} (1 + q^{\operatorname{sgn}(b_{ik})(l - \frac{1}{2})}y_{k})^{\operatorname{sgn}(b_{ik})} & \text{otherwise} \end{cases}$$

Let  $\mathcal{F}(Y_{(Q_p)})$  be its skew-field of fraction of  $Y_{(Q_p)}$ . From the above formula, we see that applying a sequence of mutation operators to a Laurent polynomial, we get an element in  $\mathcal{F}(Y_{(Q_p)})$  rather than an arbitrary series. We consider the lattice map

$$\mathbb{Z}^n \to \mathbb{Z}^m, \qquad \beta \mapsto \beta B.$$

This map induces an operator

$$b: Y_{(Q_p)} \to X_\Lambda, \quad y^\beta \mapsto x^{\beta B}.$$

By the unital compatibility of  $\Lambda$  and B, we have  $\alpha B\beta^{T} = \Lambda(\alpha B, \beta B)$ . So we conclude:

**Lemma 6.5.** The operator b is an algebra homomorphism, and thus induces a skew-field homomorphism  $b : \mathcal{F}(Y_{(Q_p)}) \to \mathcal{F}(X_{\Lambda})$ .

Let  $k_s := (k_1, k_2, ..., k_s)$  be a sequence of edges connecting  $t_0$  and  $t_s$ . We write  $\mu_{k_s}$  for the sequence of mutation  $\mu_{k_s} \cdots \mu_{k_2} \mu_{k_1}$ . For simplicity, we write  $B_r$  for  $B_{t_r}$  and  $X_r$  for  $X_{t_r}$ . The next lemma says that the operator b is compatible with mutations.

**Lemma 6.6.**  $b \circ \mu_{k_s}^{-1}(y^\beta) = X_s(\beta B_s)$  for any  $\beta \in \mathbb{Z}^n$ .

*Proof.* Using the unital compatibility of  $\Lambda$  and B, this is clearly reduced to proving for  $\beta = e_i$ . We prove by induction on s. For s = 0, it is trivial. Suppose that it is

true for s, then

$$\begin{split} \mathbf{b} \circ \mu_{k_{s+1}}^{-1}(y^{e_i}) &= \mathbf{b} \circ \mu_{k_s}^{-1}(\mu_{k_{s+1}}^{-1}y^{e_i}), \\ \overset{\text{Lemma 6.4}}{=} \mathbf{b} \circ \mu_{k_s}^{-1} \left( y^{e_i + [b_{k_i}^{s+1}]_{+}e_k} \prod_{l=1}^{|b_{k_i}^{s+1}|} \left( 1 + q^{\operatorname{sgn}(b_{i_k}^{s+1})(l-\frac{1}{2})}y^{e_k} \right)^{\operatorname{sgn}(b_{i_k}^{s+1})} \right), \\ \overset{\text{Lemma 6.6}}{=} X_s(e_i B_s + [b_{i_k}^s]_{+}e_k B_s) \prod_{l=1}^{|b_{k_i}^s|} \left( 1 + q^{\operatorname{sgn}(b_{k_i}^s)(l-\frac{1}{2})}X_s(e_k B_s) \right)^{\operatorname{sgn}(b_{k_i}^s)} \end{split}$$

On the other hand,

$$\begin{split} X_{s+1}(e_{i}B_{s+1}) &= X_{s+1}\left(\sum_{j}b_{ij}^{s+1}e_{j}\right), \\ &= q^{\frac{1}{2}\Lambda_{s+1}(e_{i}B_{s+1}-b_{ik}^{s}e_{k},b_{ik}^{s}e_{k})}X_{s+1}\left(\sum_{j\neq k}b_{ij}^{s+1}e_{j}\right)X_{s+1}(b_{ik}^{s+1}e_{k}), \\ &= X_{s}\left(\sum_{j\neq k}b_{ij}^{s+1}e_{j}\right)\cdot\left(X_{s}\left(\sum_{1\leq j\leq m}[b_{-kj}^{s}]_{+}e_{j}-e_{k}\right)+X_{s}\left(\sum_{1\leq j\leq m}[b_{kj}^{s}]_{+}e_{j}-e_{k}\right)\right)^{b_{ki}^{s}}, \\ &= X_{s}\left(\sum_{j\neq k}b_{ij}^{s+1}e_{j}\right)\cdot\left(X_{s}\left(\sum_{1\leq j\leq m}[b_{-kj}^{s}]_{+}e_{j}-e_{k}\right)(1+q^{\frac{1}{2}}X_{s}(e_{k}B_{s}))\right)^{b_{ki}^{s}}, \\ &= X_{s}\left(\sum_{j\neq k}(b_{ij}^{s}+[b_{ik}^{s}]_{+}b_{kj}^{s}-b_{ki}^{s}[-b_{kj}^{s}]_{+})e_{j}\right)\cdot X_{s}\left(b_{ki}^{s}\left(\sum_{1\leq j\leq m}[-b_{kj}^{s}]_{+}e_{j}-e_{k}\right)\right) \\ &\quad \cdot\prod_{l=1}^{|b_{ki}^{s}|}\left(1+q^{\operatorname{sgn}(b_{ki}^{s})(l-\frac{1}{2})}X_{s}(e_{k}B_{s})\right)^{\operatorname{sgn}(b_{ki}^{s})}, \\ &= X_{s}(e_{i}B_{s}+[b_{ik}^{s}]_{+}e_{k}B_{s})\prod_{l=1}^{|b_{kl}^{s}|}\left(1+q^{\operatorname{sgn}(b_{ki}^{s})(l-\frac{1}{2})}X_{s}(e_{k}B_{s})\right)^{\operatorname{sgn}(b_{ki}^{s})}. \ \Box$$

For any  $t \in \mathbb{T}_n$ , there is a unique sequence of edges  $k_t$  connecting  $t_0$  and t. Let W be some *nondegenerate* ([Derksen et al. 2008, Definition 7.2, Proposition 7.3]) potential of Q, and set  $(Q_t, W_t) = \mu_{k_t}(Q, W)$ . We shall assume the following condition for W:

(6-5) For any  $t \in \mathbb{T}_n$ , and any  $k \in Q_t$ , the assumption (†) holds for  $(Q_t, W_t)$ .

We do not know if such a potential exists for any quiver without loops or 2-cycles.

To give another definition of  $X_t(g)$  for  $g \in \mathbb{Z}_{\geq 0}^m$ , we consider the extended QP  $(Q_t^g, W_t)$  from  $(Q_t, W_t)$  by adding a new vertex  $\infty$  and  $g_i$  new arrows from i to  $\infty$ .

We apply the inverse of  $\mu_{k_t}$  to  $(Q_t^g, W_t)$ , and obtain a QP  $(Q^g, W^g) := \mu_{k_t}^{-1}(Q_t^g, W_t)$ . Let  $\omega^g$  be the trace function corresponding to the potential  $W^g$ .

We freeze the same set of vertices of  $Q^g$  as that of Q. Although the extended vertex  $\infty$  is not frozen, we will never perform mutation at  $\infty$  henceforth. Let  $\widetilde{B}$  be the *B*-matrix of  $Q^g$ . Note that Q is the full subquiver of  $Q^g$  without the vertex  $\infty$  so  $\widetilde{B}$  is obtained from *B* by adjoining a row corresponding to the vertex  $\infty$ .

**Definition 6.7.** For any  $g \in \mathbb{Z}_{\geq 0}^m$ , we define  $X_t(g) = b(T(\mu_{k_t}^{-1}(Q_t^g, W_t)))$ , where T(Q, W) is defined in (5-1).

By Theorem 5.3, we have

(6-6) 
$$T(\mu_{k_t}^{-1}(Q_t^g, W_t)) = \mu_{k_t}^{-1} \left( (q^{1/2} - q^{-1/2}) \frac{y_\infty}{q^{1/2} - q^{-1/2}} \right) = \mu_{k_t}^{-1}(y_\infty).$$

**Theorem 6.8.** Under the assumption (6-5), Definition 6.7 defines the quantum cluster algebra  $C(\Lambda, B)$ . In particular, we have the **quantum cluster character** 

$$X_t(g) = \sum_{\beta} |\varphi_{\omega^g}(\operatorname{Mod}_{(1,\beta)}^{\nu_{\infty}}(Q^g))|_{\operatorname{vir}} x^{(1,\beta)\widetilde{B}}$$

*Proof.* We trivially extend  $\Lambda$  to

$$\widetilde{\Lambda} := \begin{pmatrix} 0 & 0 \\ 0 & \Lambda \end{pmatrix},$$

and thus we have the natural embedding  $X_{\Lambda} \hookrightarrow X_{\widetilde{\Lambda}}$ . Note that

$$\widetilde{B}\widetilde{\Lambda} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & -I & 0 \end{pmatrix},$$

so they are *not* unitally compatible. We say that they are unitally compatible on the principal part. However, it still makes perfect sense if we define  $(\tilde{B}', \tilde{\Lambda}')$  by (6-2) and  $\tilde{X}'(e_i)$  by the relations (6-3) and (6-4). Clearly, for any  $k \neq \infty$ ,  $(\tilde{B}', \tilde{\Lambda}')$  is also unitally compatible on the principal part. This is all we need for the following analogue (6-7) of Lemma 6.6 to hold: For each  $t \in \mathbb{T}_n$ , we associate  $\tilde{X}_t$  as before, then

(6-7) 
$$\mathbf{b} \circ \mu_{k_t}^{-1}(y^\beta) = \widetilde{X}_t(\beta \widetilde{B}_t)$$

Moreover,  $\widetilde{\Lambda}'$  extends  $\Lambda'$  in the same way:  $\widetilde{\Lambda}' := \begin{pmatrix} 0 & 0 \\ 0 & \Lambda' \end{pmatrix}$  so that we have the natural embedding  $X_t(\mathbb{Z}^m) \hookrightarrow \widetilde{X}_t(\mathbb{Z}^{m+1})$  for each  $t \in \mathbb{T}_n$ . Hence,

$$\mathbf{b} \circ \boldsymbol{\mu}_{\boldsymbol{k}_t}^{-1}(y_\infty) = \widetilde{X}_t(\boldsymbol{e}_\infty \widetilde{B}_t) = X_t(g).$$

The last statement on the explicit formula of  $X_t(g)$  follows from (6-6), (5-2), and Lemma 6.5.

**Remark 6.9.** We can view  $(1, \beta)\tilde{B}$  as  $\beta B - g_t$ , where  $g_t$  is the *extended g-vector* corresponding to the mutated cluster monomial.

**Example 6.10.** Consider the quiver



with potential *abc*. We perform a sequence of mutations  $\{1, 2, 3, 1\}$ , and obtain the quiver



with the same potential. We choose *c* as the cut. It is easy to count the vanishing cycles for each dimension vector. For example, for  $\beta = (1, 1, 1)$ ,

$$|\varphi_{\omega^g}(\operatorname{Mod}_{(1,\beta)}^{\nu_{\infty}}(Q^g))| = q(2q+2).$$

Note that 2q + 2 counts neither the representation Grassmannian of  $P_1 \oplus P_2 \oplus P_3$  of the Jacobian algebra



nor that of the algebra

Here, the dotted line between two arrows means a relation given by the vanishing of composition. In particular, the condition "numb" cannot be removed from Lemma 3.6.

# 7. Application: representation Grassmannians and reflections

Let s be a sink of Q, and M be a representation of Q. We assume that M does not contain the simple representation  $S_s$  as a direct summand. Let

$$\mathbf{T}(M) := \sum q^{-\frac{1}{2} \langle \overline{M} - \beta, \beta \rangle_{\mathcal{Q}}} |\mathbf{Gr}^{\beta}(M)| x^{(1,\beta)}.$$

We want to compare T(M) with  $T(\mu_s(M))$ .

We say an algebra A is extended from Q by M if  $A = KQ[M] := \binom{KQ \ 0}{M \ K}$ . This is an algebra of global dimension 2, so we can complete it to a QP  $(Q_A, W_A)$  with a cut C such that  $J(Q_A, W_A; C) = A$  (see Section 2). We freeze the extended vertex  $\infty$  of A, giving:

**Lemma 7.1.** 
$$T(Q_A, W_A) = T(M).$$

*Proof.* Since all arrows in C end in  $\infty$ , by Lemma 3.6,

$$T(Q_A, W_A) = \int_{\omega} \chi(T_0^1(Q_A))$$
  
=  $(q^{\frac{1}{2}} - q^{-\frac{1}{2}}) \sum_{\alpha} q^{\frac{1}{2}\langle (1,\beta), (1,\beta) \rangle_{Q[M]}} \frac{|\operatorname{Rep}_{(1,\beta)}^{\nu_{\infty}}(Q[M])|}{|\operatorname{GL}_{(1,\beta)}|} x^{(1,\beta)}$ 

where  $\langle -, - \rangle_{Q[M]}$  is the Euler form of KQ[M], and  $\nu_{\infty}$  is the framing stability. Rep $_{(1,\beta)}^{\nu_{\infty}}(Q[M])$  can be identified with

 $\{(N, f) \in \operatorname{Rep}_{\beta}(Q) \times \operatorname{Hom}(M, K^{\beta}) \mid f \in \operatorname{Hom}_{Q}(M, N) \text{ is surjective}\}.$ 

So the quotient  $\operatorname{Rep}_{(1,\beta)}^{\nu_{\infty}}(Q[M])/\operatorname{GL}_{\beta}$  is the representation Grassmannian  $\operatorname{Gr}^{\beta}(M)$ , and thus

$$T(Q_A, W_A) = (q^{\frac{1}{2}} - q^{-\frac{1}{2}}) \sum_{\beta} q^{\frac{1}{2}} q^{-\frac{1}{2} \langle \overline{M} - \beta, \beta \rangle_{\mathcal{Q}}} \frac{|\operatorname{Rep}_{\alpha}^{\nu_{\infty}}(\mathcal{Q}[M])|}{|\operatorname{GL}_{\beta}| |\operatorname{GL}_{1}|} x^{(1,\beta)},$$
$$= \sum_{\beta} q^{-\frac{1}{2} \langle \overline{M} - \beta, \beta \rangle_{\mathcal{Q}}} |\operatorname{Gr}^{\beta}(M)| x^{(1,\beta)}.$$

**Remark 7.2.** The analogous statement does not hold for quivers with potentials in general (see Example 6.10).

For any  $s \in Q_0$  (not necessarily a sink), the cut *C* of  $Q_A$  satisfies the conditions in Corollary 4.4, so we get another algebra  $A' = \text{End}_A(T) = J(Q'_A, W'_A; C')$ .

**Lemma 7.3.** The algebra A' is extended from  $Q' := \mu_s(Q)$  by  $M' := \mu_s(M)$ .

*Proof.* Let  $\infty$  be the extended vertex of Q[M], and  $P_{\hat{\infty}} := A/P_{\infty}$ . Then

 $\operatorname{End}_A(P_{\hat{\infty}}) = KQ$  and  $\operatorname{Hom}_A(P_{\hat{\infty}}, P_{\infty}) = M$ .

Since there are no incoming arrows to  $\infty$  for Q[M], we have that  $P_{\hat{\infty}} \cong KQ$ . Let  $T = A/P_s \oplus T_s$  be the BB-tilting module of A at s, and  $T_{\hat{\infty}} := T/P_{\infty}$ . We need to show that

$$\operatorname{End}_A(T_{\hat{\infty}}) = K \mu_s(Q)$$
 and  $\operatorname{Hom}_A(T_{\hat{\infty}}, P_{\infty}) = \mu_s(M)$ .

Let  $T' = KQ/P_s \oplus T'_s$  be the BB-tilting module of KQ at s. Since M does not contain  $S_s$  as a direct summand, the quiver of Q[M] has no arrow from  $\infty$  to s. So

 $T_s = T'_s$ , and thus  $T_{\hat{\infty}} = T'$ . Hence,  $\operatorname{End}_A(T_{\hat{\infty}}) = K \mu_s(Q)$ . For the second equation, we consider

$$\mu_s(M) = \operatorname{Hom}_Q(T', \operatorname{Hom}_A(P_{\hat{\infty}}, P_{\infty}))$$
  
=  $\operatorname{Hom}_A(T' \otimes_{KQ} P_{\hat{\infty}}, P_{\infty}) = \operatorname{Hom}_A(T_{\hat{\infty}}, P_{\infty}).$ 

The following theorem follows from Lemmas 7.1 and 7.3.

**Theorem 7.4.** T(M) and T(M') are related via (5-3). In particular, if M is polynomial-count, that is, all its Grassmannians  $\operatorname{Gr}^{\beta}(M)$  are polynomial-count, then so are all reflection equivalent classes of M.

Example 7.5. Consider the quiver



with potential  $W = \sum_{I:=(i,j,k)\in S_3} (-1)^{\text{sgn}I} a_i b_j c_k$  and an algebraic cut  $C = \{c_1, c_2, c_3\}$ . Then the algebra J(Q, W; C) is Beilinson's quiver algebra for  $\mathbb{P}^2$ . This algebra is extended from the quiver  $2 \xrightarrow{b_1, b_2, b_3} 3$  by a representation M of dimension (3, 6) (see [Fei 2015, Example 7.8]). To compute T(M), we can first compute  $T(\mu_3(M))$ , where  $\mu_3(M)$  can be presented by the following base diagram:



The black (resp. white) dots are a basis at vertex 3 (resp. vertex 2); The letter on an arrow represents the identity map on the arrow of the same letter.

$$T(\mu_3(M)) = 1 + x^{(1,0,3)} + x^{(1,3,3)} + [3](x^{(1,0,1)} + x^{(1,0,2)} + x^{(1,1,3)} + x^{(1,2,3)}) + 3q^{\frac{1}{2}}x^{(1,1,2)}.$$

Here [n] is the quantum number

$$q^{\frac{1-n}{2}}\left(\frac{q^n-1}{q-1}\right).$$

Using Theorem 7.4, we find that

$$T(M) = 1 + x^{(1,3,0)} + x^{(1,3,6)} + [3](x^{(1,1,0)} + x^{(1,2,0)} + x^{(1,2,3)}) + 3q^{\frac{1}{2}}x^{(1,1,1)} + [3][3](x^{(1,2,1)} + x^{(1,2,2)}) + (q^{\frac{3}{2}} + q^{-\frac{3}{2}})[3](x^{(1,3,1)} + x^{(1,3,5)}) + (q - 1 + q^{-1})[3][5](x^{(1,3,2)} + x^{(1,3,4)}) + (q - 1 + q^{-1})[4][5]x^{(1,3,3)}.$$

Employing the methods developed in [Fei 2015], we can compute  $|\varphi_{\omega}(\operatorname{Mod}_{\alpha}^{\nu}(Q))|$ and  $|\operatorname{Mod}_{\alpha}^{\nu}(J(Q, W; C))|$  for all  $\alpha$  with  $\alpha_1 = 1$  and generic  $\nu$ .

# **Appendix: Proof of Theorem 4.3**

Theorem 4.3 generalizes the main result of [Mizuno 2014] from APR-tilting modules to BB-tilting modules (see after Lemma 4.1). We slightly simplify its proof as well. We follow the matrix notation  $_r(-)_c$  in [Mizuno 2014], that is, we write the row index r and column index c as left and right subscripts respectively.

**Lemma A.1** [Buan et al. 2011, Proposition 3.3]. Let Q be a finite quiver and A be a finite-dimensional basic algebra. Let R be a set of relations in Q, and we assume that any  $r \in R$  is a formal linear sum of paths in Q with a common start tr and a common end hr. Then A can be presented as  $\widehat{KQ}/\langle R \rangle$  if and only if there is an algebra homomorphism  $\pi : \widehat{KQ} \to A$  such that the sequence

$$\bigoplus_{tr=i} \pi(e_{hr}) A \xrightarrow{r(\pi(a^{-1}r))_a} \bigoplus_{ta=i} \pi(e_{ha}) A \xrightarrow{a(\pi(a))} \operatorname{rad}(\pi(e_i)A) \to 0$$

is exact for any  $i \in Q_0$ . Here,  $a^{-1}$  is the formal inverse of a defined by

$$a^{-1}(a_1a_2\cdots a_m) = \begin{cases} a_2\cdots a_m & \text{if } a_1 = a, \\ 0 & \text{otherwise.} \end{cases}$$

We set  $P_{in} = \bigoplus_{ha=k} P_{ta}$  and  $P_{out} = \bigoplus_{tb=k, b\notin C} P_{hb}$ . Recall from Lemma 4.1 that the summand  $T_k := \tau^{-1} S_k$  in the BB-tilting module can be presented as

(A-1) 
$$0 \to P_k \xrightarrow{\alpha} P_{\text{in}} \xrightarrow{g} T_k \to 0,$$

where  $\alpha := (a)_a$  and  $g := {}_a(g_a)$ .

Using the presentation  $\widehat{KQ_C}/\langle \partial_C W \rangle$  of J(Q, W; C), we have, for  $i \neq k$ ,

(A-2) 
$$\cdots \to P' \to \bigoplus_{hc=i,c\in C} P_{tc} \xrightarrow{\partial_{cb}} \bigoplus_{tb=i} P_{hb} \xrightarrow{\beta} P_i \to S_i \to 0,$$

where  $\beta := {}_{b}(b)$  and  $\partial_{cb} := {}_{c}(\partial_{c}\partial_{b}W)_{b}$ . Since the cut *C* satisfies Definition 2.3.(2), the first three terms are part of the minimal projective resolution of  $S_{i}$ . We assume that the projective *P'* is minimal as well.

This fits in the following commutative diagram:

Here  $c_{ki} = |C \cap Q(k, i)|$ , and the first row is a direct sum of  $c_{ki}$  copies of (A-1); The map  $\iota$  is the natural embedding, the map  $\partial_{acb}$  is given by the matrix  ${}_{a,c}(\partial_a \partial_c \partial_b W)_b$ , and f is induced from  $\partial_{acb}$ . We then take the mapping cone of the above diagram, and cancel out the last term  $c_{ki} P_k$ . We end up with

(A-3) 
$$P' \xrightarrow{h} c_{ki} P_{in} \oplus \bigoplus_{hc=i, tc \neq k} P_{tc} \xrightarrow{g':=\begin{pmatrix} \oplus g & \partial_{acb} \\ 0 & \partial_{cb} \end{pmatrix}} c_{ki} T_k \oplus \bigoplus_{tb=i} P_{hb} \xrightarrow{f':=\begin{pmatrix} -f \\ \beta \end{pmatrix}} P_i \to S_i.$$

Recall our setting in Section 4. Let  $\widetilde{Q}_{\widetilde{C}}$  be the quiver obtained from  $\widetilde{Q}$  by forgetting all arrows in  $\widetilde{C}$ . To apply Lemma A.1, we construct an algebra homomorphism

$$\pi: \widetilde{K}\widetilde{Q}_{\widetilde{C}} \to \operatorname{End}_A(T)$$

as follows. For any direct summands  $T_i$ ,  $T_j$  of T, we will view  $\text{Hom}_A(T_i, T_j)$ under the natural embedding into  $\text{Hom}_A(T, T)$ . Let  $\text{id}_i$  be the identity map in  $\text{Hom}_A(T_i, T_i)$ . We define

- $\pi(e_i) = \mathrm{id}_i$ ,
- $\pi(a) = a \in \operatorname{Hom}_A(P_i, P_j)$  for  $i, j \neq k$ ,
- $\pi(a^*) = g_a \in \operatorname{Hom}_A(P_{ta}, T_k),$
- $\pi(b^*) = -f_c \in \operatorname{Hom}_A(T_k, P_{hc})$  for  $b \in C$ ,
- $\pi([ab]) = ba \in \operatorname{Hom}_A(P_{ha}, P_{tb})$  for  $b \notin C$ .

Recall that  $\widetilde{W} := [W] + \sum_{ha=tb=k} b^* a^* [ab]$ , and  $\widetilde{C}$  contains all

- (1)  $c \in C$  if  $tc \neq k$ ,
- (2) arrows  $b^*$  if  $b \notin C$ ,
- (3) composite arrows [ab] with  $b \in C$ .

So the corresponding relations  $\partial_{\widetilde{C}} \widetilde{W}$  are given by

- $R_0 = \{\partial_c[W]\}_{tc \neq k},$
- $R_1 = \{a^*[ab]\}_{b \notin C},$
- $R_2 = \{\partial_{ab}W + b^*a^*\}_{b \in C}.$

We will see that Theorem 4.3 is an immediate consequence of the following two lemmas.

Lemma A.2. We have the exact sequence

 $\operatorname{Hom}_{A}(T, P_{\operatorname{out}}) \xrightarrow{\circ r_{1}} \operatorname{Hom}_{A}(T, P_{\operatorname{in}}) \xrightarrow{\circ g} \operatorname{rad}(\operatorname{Hom}_{A}(T, T_{k})) \to 0,$ 

where  $r_1$  is the matrix  $_b\{ba\}_a$ .

*Proof.* We apply  $Hom_A(T, -)$  to the exact sequence (A-1), and get

$$0 \to \operatorname{Hom}_{A}(T, P_{k}) \xrightarrow{\circ \alpha} \operatorname{Hom}_{A}(T, P_{\operatorname{in}}) \xrightarrow{\circ g} \operatorname{Hom}_{A}(T, T_{k})$$
$$\to \operatorname{Ext}_{A}^{1}(T, P_{k}) \to \operatorname{Ext}_{A}^{1}(T, P_{\operatorname{in}}).$$

The last term  $\operatorname{Ext}_{A}^{1}(T, P_{\operatorname{in}})$  vanishes because the first map below is surjective:

$$\operatorname{Hom}_{A}(P_{\operatorname{in}}, P_{\operatorname{in}}) \to \operatorname{Hom}_{A}(P_{k}, P_{\operatorname{in}}) \to \operatorname{Ext}_{A}^{1}(T_{k}, P_{\operatorname{in}}) \to 0$$

Next,  $\operatorname{Ext}_{A}^{1}(T, P_{k})$  is one-dimensional because of the exact sequence

$$\operatorname{Hom}_A(P_{\operatorname{in}}, P_k) \to \operatorname{Hom}_A(P_k, P_k) \to \operatorname{Ext}_A^1(T_k, P_k) \to 0.$$

Finally, we claim the image of  $\circ r_1$  is exactly the image of  $\circ \alpha$ . By the definition of  $r_1$ , it suffices to show that  $\operatorname{Hom}_A(T, P_{\text{out}}) \xrightarrow{\circ \beta} \operatorname{Hom}_A(T, P_k)$  is surjective. But the cokernel of  $\circ \beta$  is  $\operatorname{Hom}_A(T, S_k) = 0$ .

Applying  $\text{Hom}_A(T, -)$  to (A-3), we get the complex

$$\operatorname{Hom}_{A}\left(T, c_{ki} P_{\mathrm{in}} \oplus \bigoplus_{hc=i, tc \neq k} P_{tc}\right) \xrightarrow{\circ g'} \operatorname{Hom}_{A}\left(T, c_{ki} T_{k} \oplus \bigoplus_{tb=i} P_{hb}\right)$$
$$\xrightarrow{\circ f'} \operatorname{Hom}_{A}(T, P_{i}) \to \operatorname{Hom}_{A}(T, S_{i}).$$

**Lemma A.3.** If  $\operatorname{Ext}_{A}^{3}(S_{i}, S_{k}) = 0$  for any  $i \neq k$ , this complex is exact and induces

$$\operatorname{Hom}_{A}\left(T, c_{ki} P_{in} \oplus \bigoplus_{hc=i, tc \neq k} P_{tc}\right) \to \operatorname{Hom}_{A}\left(T, c_{ki} T_{k} \oplus \bigoplus_{tb=i} P_{hb}\right) \to \operatorname{rad}(\operatorname{Hom}_{A}(T, P_{i})).$$

*Proof.* We first show that the complex is exact at  $\text{Hom}_A(T, c_{ki}T_k \oplus \bigoplus_{tb=i} P_{hb})$ . We apply  $\text{Hom}_A(T, -)$  to the exact sequence

$$0 \to \operatorname{Im} h \to c_{ki} P_{\mathrm{in}} \oplus \bigoplus_{hc=i} P_{tc} \to \operatorname{Im} g' \to 0,$$

and get

$$\operatorname{Hom}_{A}\left(T, c_{ki} P_{\mathrm{in}} \oplus \bigoplus_{hc=i, tc \neq k} P_{tc}\right) \to \operatorname{Hom}_{A}(T, \operatorname{Im} g') \to \operatorname{Ext}_{A}^{1}(T, \operatorname{Im} h).$$

If  $\varphi \in \text{Hom}_A(T, c_{ki}T_k \oplus \bigoplus_{tb=i} P_{hb})$  such that  $\varphi f' = 0$ , then  $\varphi(T) \subseteq \text{Im } g'$ . So it suffices to show that  $\text{Ext}^1_A(T, \text{Im } h) = 0$ . The condition  $\text{Ext}^3_A(S_i, S_k) = 0$  implies that P' has no  $P_k$  as its summands. So  $\text{Ext}^1_A(T, P') = 0$ , and thus

$$0 \to \operatorname{Ext}_{A}^{1}(T, \operatorname{Im} h) \to \operatorname{Ext}_{A}^{2}(T, \operatorname{Ker} h) = 0.$$

Since  $c_{ki} P_{in} \bigoplus \bigoplus_{hc=i, tc \neq k} P_{tc}$  has no  $P_k$  as its direct summands, for the same reason the complex is exact at Hom<sub>A</sub>(T,  $P_i$ ).

It remains to show that the cokernel of  $\circ f'$  is one-dimensional. Let  $\Omega S_i$  be the first syzygy of  $S_i$ . We apply  $\operatorname{Hom}_A(T, -)$  to

$$0 \to \Omega S_i \xrightarrow{f''} P_i \to S_i \to 0,$$

and obtain

$$\operatorname{Hom}_{A}(T, \Omega S_{i}) \xrightarrow{\circ f''} \operatorname{Hom}_{A}(T, P_{i}) \to \operatorname{Hom}_{A}(T, S_{i}) \\ \to \operatorname{Ext}_{A}^{1}(T, \Omega S_{i}) \to \operatorname{Ext}_{A}^{1}(T, P_{i}) = 0.$$

Since  $\operatorname{Ext}_{A}^{1}(T, c_{ki} P_{\operatorname{in}} \oplus \bigoplus_{hc=i, tc \neq k} P_{tc})$  vanishes, the cokernel of  $\circ f'$  is the same as that of  $\circ f''$ . By applying  $\operatorname{Hom}_{A}(S_{i}, -)$  to (A-1), we see that

(A-4) 
$$\operatorname{Hom}_{A}(T, S_{i}) = \operatorname{Hom}_{A}(T_{k}, S_{i}) \oplus K \cong \operatorname{Ext}_{A}^{1}(S_{i}, S_{k})^{*} \oplus K.$$

In the meanwhile,

$$\operatorname{Ext}_{A}^{1}(T, \Omega S_{i}) = \operatorname{Ext}_{A}^{1}(\tau^{-1}S_{k}, \Omega S_{i}) = \overline{\operatorname{Hom}}_{A}(\Omega S_{i}, S_{k})^{*} = \operatorname{Hom}_{A}(\Omega S_{i}, S_{k})^{*},$$

and

 $0 = \operatorname{Hom}_{A}(P_{i}, S_{k}) \to \operatorname{Hom}_{A}(\Omega S_{i}, S_{k}) \to \operatorname{Ext}_{A}^{1}(S_{i}, S_{k}) \to \operatorname{Ext}_{A}^{1}(P_{i}, S_{k}) = 0.$ So  $\operatorname{Ext}_{A}^{1}(T, \Omega S_{i}) = \operatorname{Ext}_{A}^{1}(S_{i}, S_{k})^{*}.$ 

Together with (A-4), we conclude that the cokernel of  $\circ f'$  is *K*.

*Proof of Theorem 4.3.* We need to show that the endomorphism algebra  $\operatorname{End}_A(T)$  of the BB-tilting module *T* is isomorphic to  $J(\widetilde{Q}, \widetilde{W}; \widetilde{C})$ . Recall that the BB-tilting module *T* is obtained from  $\bigoplus_{i \in Q_0} P_i$  by just replacing  $P_k$  with  $T_k$ . So according to Lemma A.1, it suffices to check that:

- (1) The maps g in Lemma A.2 and f' in Lemma A.3 agree with the map  $\pi$ .
- (2) The maps  $r_1$  in Lemma A.2 and g' in Lemma A.3 agree with the desired relations  $R_0$ ,  $R_1$  and  $R_2$  (defined before Lemma A.2).

Condition (1) is clear from the definition of g, f', and  $\pi$ . For (2), we observe that

- the map  $r_1$  agrees with  $\pi(a^{*-1}r)$  for  $r \in R_1$  and  $\pi(a^*) = g_a$ ;
- the component map  $\partial_{cb}$  in g' agrees with  $\pi(b^{-1}r)$  for  $r \in R_0$  and  $\pi(b) = b$ ;
- similarly, for  $b \in C$  the component map  $\partial_{acb}$  (resp. g) in g' is responsible for the summand  $\partial_{ab}W$  (resp.  $b^*a^*$ ) in  $R_2$ .

Finally, we prove Corollary 4.4.

*Proof of Corollary 4.4.* Since the cut satisfies (4-1), there is no relation starting from  $S_k$ . So  $S_k$  has projective dimension 1, and we have  $0 \rightarrow P_{out} \rightarrow P_k \rightarrow S_k \rightarrow 0$ . Hence  $Hom_A(T, P_{out}) = Hom_A(T, P_k)$ , and the map  $\circ r_1$  in Lemma A.2 is in fact injective. Now J(Q, W; C) has global dimension 2, so P' in (A-3) is zero, and

thus the map  $\circ g'$  of Lemma A.3 is injective. We conclude that  $J(\widetilde{Q}, \widetilde{W}; \widetilde{C})$  has global dimension 2 as well. The two resolutions of Lemma A.2 and A.3 also imply that  $\{\partial_c \widetilde{W}\}_{c \in \widetilde{C}}$  is a minimal set of generators in  $\langle \partial_{\widetilde{C}} \widetilde{W} \rangle$ .

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