

*Pacific
Journal of
Mathematics*

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GIULIO PERUGINELLI

Volume 324 No. 2

June 2023

POLYNOMIAL DEDEKIND DOMAINS WITH FINITE RESIDUE FIELDS OF PRIME CHARACTERISTIC

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To the everlasting memory of Robert Gilmer

We show that every Dedekind domain R lying between the polynomial rings $\mathbb{Z}[X]$ and $\mathbb{Q}[X]$ with the property that its residue fields of prime characteristic are finite fields is equal to a generalized ring of integer-valued polynomials; that is, for each prime $p \in \mathbb{Z}$ there exists a finite subset E_p of transcendental elements over \mathbb{Q} in the absolute integral closure $\overline{\mathbb{Z}}_p$ of the ring of p -adic integers such that $R = \{f \in \mathbb{Q}[X] \mid f(E_p) \subseteq \overline{\mathbb{Z}}_p, \text{ for each prime } p \in \mathbb{Z}\}$. Moreover, we prove that the class group of R is isomorphic to a direct sum of a countable family of finitely generated abelian groups. Conversely, any group of this kind is the class group of a Dedekind domain R between $\mathbb{Z}[X]$ and $\mathbb{Q}[X]$.

1. Introduction

Given a Dedekind domain D , the class group of D measures how far D is from being a UFD and it is therefore an important object in the study of factorization problems in the ring D . It is well-known that the class group of the ring of integers of a number field is a finite abelian group. In contrast with this result, Claborn [1966] proved the groundbreaking result that every abelian group occurs as the class group of a suitable Dedekind domain.

Eakin and Heinzer [1973] showed that every finitely generated abelian group is the class group of a Dedekind domain between $\mathbb{Z}[X]$ and $\mathbb{Q}[X]$. More generally, they proved that if V_1, \dots, V_n are distinct DVRs with same quotient field K and, for each $i = 1, \dots, n$, $\{V_{i,j}\}_{j=1}^{g_i}$ is a finite collection of DVRs extending V_i to $K(X)$, each of which is residually algebraic over V_i (i.e., the extension of the residue fields is algebraic), then

$$R = \bigcap_{i,j} V_{i,j} \cap K[X]$$

is a Dedekind domain. They also give an explicit description of the class group of such a domain R , thanks to which they showed the quoted result by considering

MSC2020: 13B25, 13F05, 13F20, 20K99.

Keywords: Dedekind domain, class group, integer-valued polynomials.

suitable residually algebraic extensions of a finite set of DVRs of \mathbb{Q} to $\mathbb{Q}(X)$.

Actually, if we suppose that each residue field extension of $V_{i,j}$ over V_i is finite, a ring R constructed as above can be represented as a ring of integer-valued polynomials in the following way. For each i, j , by [Peruginelli 2017, Theorem 2.5 and Proposition 2.2], there exists an element $\alpha_{i,j}$ in the algebraic closure \widehat{K}_i of the V_i -adic completion \widehat{K} of K , $\alpha_{i,j}$ transcendental over K , such that

$$V_{i,j} = V_{i,\alpha_{i,j}} = \{\varphi \in K(X) \mid \varphi(\alpha_{i,j}) \in \widehat{V}_i\},$$

where \widehat{V}_i is the absolute integral closure of \widehat{V}_i , the completion of V_i . Hence, the above ring R can be represented as $R = \{f \in K[X] \mid f(\alpha_{i,j}) \in \widehat{V}_i, \forall i, j\}$ (for more details, see [Peruginelli 2017, Remark 2.8]).

More recently, Glivický and Šaroch [2013] investigated a family of quasiaeclidean subrings of $\mathbb{Q}[X]$ depending on a parameter $\alpha \in \widehat{\mathbb{Z}}$, the profinite completion of \mathbb{Z} . A ring of this family is always a Bézout domain (i.e., finitely generated ideals are principal) and might be a PID or not, according to the finiteness of some set of primes depending on α and the set of polynomials in $\mathbb{Z}[X]$. Glivická et al. [2023] observed that these rings can be realized as overrings of the classical ring of integer-valued polynomials $\text{Int}(\mathbb{Z}) = \{f \in \mathbb{Q}[X] \mid f(\mathbb{Z}) \subseteq \mathbb{Z}\}$, which is a two-dimensional nonnoetherian Prüfer domain; such overrings have been completely characterized in [Chabert and Peruginelli 2016]. We will review this representation in Section 2.

In the same area, Chang [2022] generalized Eakin and Heinzer's result, proving that there exists an almost Dedekind domain R (i.e., R_M is a DVR for each maximal ideal M of R) which is not noetherian, lies between $\mathbb{Z}[X]$ and $\mathbb{Q}[X]$ and has class group isomorphic to a direct sum of a prescribed countable family of finitely generated abelian groups. As before, assuming the finiteness of the residue field extensions of the involved DVRs, Chang's construction falls in the class of integer-valued polynomial rings that we consider in this paper.

Here, we provide a complete description of the class of Dedekind domains R lying between $\mathbb{Z}[X]$ and $\mathbb{Q}[X]$ such that their residue fields of prime characteristic are finite fields. Throughout the paper, for short we denote the last property by saying that R has finite residue fields of prime characteristic. We remark that the residue fields of such a domain R cannot be all finite fields. In fact, since $R \subseteq \mathbb{Q}[X]_{(q)}$ for every irreducible $q \in \mathbb{Q}[X]$, the residue field of the center of the DVR $\mathbb{Q}[X]_{(q)}$ on R is a finite extension of \mathbb{Q} , hence an infinite field. However, since R is supposed to be Dedekind (in particular, a Prüfer domain) the residue fields of prime characteristic are algebraic extensions of the corresponding prime field (see, for example, [Peruginelli 2018, Theorem 3.14]). Infinite algebraic extensions of the prime fields of prime characteristic are also allowed, and that is the content of another work on this subject [Peruginelli 2023].

The paper is organized as follows. We first set the notation we will use throughout the paper and introduce the class of *generalized rings of integer-valued polynomials*, which are subrings of $\mathbb{Q}[X]$ formed by polynomials which are simultaneously integer-valued over different subsets of integral elements over \mathbb{Z}_p , the ring of p -adic integers, for p running over the set of integer primes. In Section 2, we review Loper and Werner's construction [2012] of Prüfer domains and recall that it falls into the class of generalized rings of integer-valued polynomials, as already observed in [Peruginelli 2017, Remark 2.8]. We then characterize when a ring of their construction is a Dedekind domain in Theorem 2.15. In order to accomplish this objective, we introduce the definition of *polynomially factorizable* subsets E of $\widehat{\mathbb{Z}} = \prod_p \overline{\mathbb{Z}_p}$ (we refer to Section 1 for unexplained notation), which turns out to be the key assumption for such a ring to be of finite character (hence, a noetherian Prüfer domain, thus Dedekind). Furthermore, we show in Theorem 2.17 that every Dedekind domain R with finite residue fields of prime characteristic lying between $\mathbb{Z}[X]$ and $\mathbb{Q}[X]$ is equal to a generalized ring of integer-valued polynomials with class group equal to a direct sum of a countable family of finitely generated abelian groups (Recall that the Picard group of $\text{Int}(\mathbb{Z})$ is a free abelian group of countably infinite rank [Gilmer et al. 1990]). Among other things, we will also characterize the PIDs among these class of domains, generalizing the aforementioned work of Glivický and Šaroch [2013] (see also [Glivická et al. 2023]). We will also give a criteria for when two such generalized rings of integer-valued polynomials are equal. Finally, in Section 3, by means of a suitable modification of Chang's construction, given a group G which is the direct sum of a countable family of finitely generated abelian groups, we prove that there exists a Dedekind domain R with finite residue fields of prime characteristic, $\mathbb{Z}[X] \subset R \subseteq \mathbb{Q}[X]$, with class group G , thus giving a positive answer to a question raised by Chang [2022]. By the previous results, such a domain is a generalized ring of integer-valued polynomials.

It has come to our attention that Theorem 7 of [Chang and Geroldinger 2024] shows the existence of a Dedekind domain with class group equal to a direct sum of a countable family of prescribed finitely generated abelian groups. However, that construction is based on a polynomial ring with an infinite set of indeterminates with the additional property that each ideal class contains infinitely many height-one prime ideals.

Notation. The generalized rings of integer-valued polynomials considered in this paper fall into the class of integer-valued polynomials on algebras (see for example [Frisch 2013; 2014; Peruginelli and Werner 2017]), which encompasses also the classical definition of ring of integer-valued polynomials. We now recall the latter definition. Let D be an integral domain with quotient field K and A a torsion-free D -algebra such that $A \cap K = D$. We may evaluate polynomials $f \in K[X]$ at

any element $a \in A$ inside the extended algebra $A \otimes_D K$. The D -algebra A clearly embeds into $A \otimes_D K$ and if $f(a) \in A$ we say that f is integer-valued at a . In general, given a subset S of A , we define the ring of integer-valued polynomials over S as

$$\text{Int}_K(S, A) = \{f \in K[X] \mid f(s) \in A, \forall s \in S\}.$$

Note that when $A = D$ we get the usual definition of ring of integer-valued polynomials on a subset S of D , and in that case we omit the subscript K . If $S = D = A$, then we set $\text{Int}(D, D) = \text{Int}(D)$.

For an integral domain D , we define the Picard group of D , denoted by $\text{Pic}(D)$, as the quotient of the abelian group of the invertible fractional ideals of D by the subgroup generated by the nonzero principal fractional ideals, where the operation is the ideal multiplication (see [Cahen and Chabert 1997, §VIII.1]). If D is a Dedekind domain, then $\text{Pic}(D)$ is the usual ideal class group of D .

Let \mathbb{P} be the set of all prime numbers. For a fixed $p \in \mathbb{P}$, we adopt the following notation:

- $\mathbb{Z}_{(p)}$ denotes the localization of \mathbb{Z} at $p\mathbb{Z}$.
- \mathbb{Z}_p and \mathbb{Q}_p denote the ring of p -adic integers and the field of p -adic numbers, respectively.
- $\overline{\mathbb{Q}_p}$ and $\overline{\mathbb{Z}_p}$ denote a fixed algebraic closure of \mathbb{Q}_p and the absolute integral closure of \mathbb{Z}_p , respectively.
- For a finite extension K of \mathbb{Q}_p , we denote by O_K the ring of integers of K .
- v_p denotes the unique extension of the p -adic valuation on \mathbb{Q}_p to $\overline{\mathbb{Q}_p}$.
- If $\alpha \in \overline{\mathbb{Q}_p}$, we denote the ramification index $e(\mathbb{Q}_p(\alpha) \mid \mathbb{Q}_p)$ by e_α .
- $\widehat{\mathbb{Z}} = \prod_{p \in \mathbb{P}} \mathbb{Z}_p$, the profinite completion of \mathbb{Z} .
- $\overline{\widehat{\mathbb{Z}}} = \prod_{p \in \mathbb{P}} \overline{\mathbb{Z}_p}$.
- For $\alpha \in \overline{\mathbb{Q}_p}$, we set

$$V_{p,\alpha} = \{\varphi \in \mathbb{Q}(X) \mid \varphi(\alpha) \in \overline{\mathbb{Z}_p}\}.$$

Clearly, $V_{p,\alpha}$ is a valuation domain of $\mathbb{Q}(X)$ extending $\mathbb{Z}_{(p)}$ with maximal ideal equal to $M_{p,\alpha} = \{\varphi \in V_{p,\alpha} \mid v_p(\varphi(\alpha)) > 0\}$. Moreover, $V_{p,\alpha}$ is a DVR if α is transcendental over \mathbb{Q} and it has rank 2 otherwise. In the former case, the ramification index $e(V_{p,\alpha} \mid \mathbb{Z}_{(p)})$ is equal to e_α . In either case, let O_α and M_α be the valuation domain and maximal ideal of $\mathbb{Q}_p(\alpha)$, respectively. Then, the residue field of $V_{p,\alpha}$ is equal to O_α/M_α and $pO_\alpha = M_\alpha^e$, for some integer e , which is equal to e_α (for all these results, see [Peruginelli 2017, Proposition 2.2 and Theorem 2.5]).

The following result, mentioned in the introduction, characterizes residually algebraic extensions of $\mathbb{Z}_{(p)}$ to $\mathbb{Q}(X)$ of a certain kind; the valuation overrings of the Dedekind domains we are dealing with belong to this class.

Theorem 1.1 [Peruginelli 2017, Theorems 2.5 and 3.2]. *Let $W \subset \mathbb{Q}(X)$ be a valuation domain with maximal ideal M extending $\mathbb{Z}_{(p)}$ for some $p \in \mathbb{P}$. If $pW = M^e$ for some $e \geq 1$ and $W/M \supseteq \mathbb{Z}/p\mathbb{Z}$ is a finite extension, then there exists $\alpha \in \overline{\mathbb{Q}}_p$ such that $W = V_{p,\alpha}$. Moreover, for $\alpha, \beta \in \overline{\mathbb{Q}}_p$, we have $V_{p,\alpha} = V_{p,\beta}$ if and only if α, β are conjugate over \mathbb{Q}_p .*

Clearly, if W is as in the assumptions of Theorem 1.1 and $\mathbb{Z}[X] \subset W$, then $\alpha \in \overline{\mathbb{Z}}_p$.

Given $f \in \mathbb{Q}[X]$, the evaluation of $f(X)$ at an element $\alpha = (\alpha_p) \in \widehat{\mathbb{Z}}$ is done componentwise:

$$f(\alpha) = (f(\alpha_p)) \in \prod_{p \in \mathbb{P}} \overline{\mathbb{Q}}_p.$$

We say that f is *integer-valued* at α if $f(\alpha) \in \widehat{\mathbb{Z}}$, which is equivalent to $f \in V_{p,\alpha_p}$ for all $p \in \mathbb{P}$.

Definition 1.2. Given a subset \underline{E} of $\widehat{\mathbb{Z}}$, we define the *generalized ring of integer-valued polynomials on \underline{E}* as:

$$\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}}) = \{f \in \mathbb{Q}[X] \mid f(\alpha) \in \widehat{\mathbb{Z}}, \forall \alpha \in \underline{E}\}.$$

If $\underline{E} = \widehat{\mathbb{Z}}$, then $\text{Int}_{\mathbb{Q}}(\widehat{\mathbb{Z}}, \widehat{\mathbb{Z}}) = \text{Int}_{\mathbb{Q}}(\widehat{\mathbb{Z}}, \widehat{\mathbb{Z}}) = \text{Int}(\mathbb{Z})$; in fact, the first equality follows easily from the fact that the polynomials have rational coefficients; for the last equality, see [Chabert and Peruginelli 2016, Remark 6.4] (essentially, \mathbb{Z} is dense in $\widehat{\mathbb{Z}}$). We recall that the family of overrings of $\text{Int}(\mathbb{Z})$ which are contained in $\mathbb{Q}[X]$ is formed exactly by the rings $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$, as \underline{E} ranges through the subsets of $\widehat{\mathbb{Z}}$ of the form $\prod_{p \in \mathbb{P}} E_p$, where for each prime p , E_p is a closed (possibly empty) subset of \mathbb{Z}_p [Theorem 6.2]. In the study of a generalized ring of integer-valued polynomials $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$, without loss of generality we may suppose that the subset \underline{E} of $\widehat{\mathbb{Z}}$ is of the form $\underline{E} = \prod_{p \in \mathbb{P}} E_p$ (see the arguments given in [Remark 6.3]). Note that we allow each component E_p of \underline{E} to be equal to the empty set.

2. Polynomial Dedekind domains

Loper and Werner [2012] exhibited a construction of Prüfer domains between $\mathbb{Z}[X]$ and $\mathbb{Q}[X]$ in order to show the existence of a Prüfer domain strictly contained in $\text{Int}(\mathbb{Z})$. As earlier in [Eakin and Heinzer 1973], their construction is obtained by intersecting a suitable family of valuation domains of $\mathbb{Q}(X)$ indexed by \mathbb{P} with $\mathbb{Q}[X]$. A valuation domain of this family is equal to $V_{p,\alpha}$, for some $\alpha \in \overline{\mathbb{Z}}_p$, by Theorem 1.1 and the fact that X is in every valuation domain of this family. By [Peruginelli 2017, Remark 2.8], a ring in Loper and Werner’s construction can be represented as a generalized ring of integer-valued polynomials $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$, for a suitable subset \underline{E} of $\widehat{\mathbb{Z}}$ which satisfies the following definition.

Definition 2.1. Let $\underline{E} = \prod_{p \in \mathbb{P}} E_p \subset \overline{\mathbb{Z}}$. We say that \underline{E} is locally bounded, if, for each prime p , E_p is a subset of $\overline{\mathbb{Z}}_p$ of bounded degree, that is, $\{[\mathbb{Q}_p(\alpha) : \mathbb{Q}_p] \mid \alpha \in E_p\}$ is bounded.

As we have already said above, some of the components E_p of \underline{E} may be equal to the empty set. Since \mathbb{Q}_p has at most finitely many extensions of degree bounded by some fixed positive integer, if $E_p \subset \overline{\mathbb{Z}}_p$ has bounded degree then E_p is contained in a finite extension of \mathbb{Q}_p .

By Theorem 1.1, a Prüfer domain constructed in [Loper and Werner 2012] can be represented as an intersection of valuation domains (see also [Chabert and Peruginelli 2016]):

$$(2.2) \quad \text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}}) = \bigcap_{p \in \mathbb{P}} \bigcap_{\alpha_p \in E_p} V_{p, \alpha_p} \cap \bigcap_{q \in \mathcal{P}^{\text{irr}}} \mathbb{Q}[X]_{(q)}.$$

Here $\underline{E} = \prod_{p \in \mathbb{P}} E_p \subset \overline{\mathbb{Z}}$ is locally bounded and \mathcal{P}^{irr} denotes the set of irreducible polynomials in $\mathbb{Q}[X]$; note that the intersection on the right in this display equals $\mathbb{Q}[X]$. Similarly, for the ring $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p) = \{f \in \mathbb{Q}[X] \mid f(E_p) \subseteq \overline{\mathbb{Z}}_p\}$ we have

$$(2.3) \quad \text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p) = \bigcap_{\alpha_p \in E_p} V_{p, \alpha_p} \cap \bigcap_{q \in \mathcal{P}^{\text{irr}}} \mathbb{Q}[X]_{(q)}.$$

In particular, $\text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}}) = \bigcap_{p \in \mathbb{P}} (\mathbb{Z} \setminus p\mathbb{Z})^{-1} \text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}}) = \bigcap_{p \in \mathbb{P}} \text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$ by Lemma 2.5.

By means of the representation (2.2), the main result of [Loper and Werner 2012, Corollary 2.12] can now be restated as follows:

Theorem 2.4. *Let $\underline{E} \subset \overline{\mathbb{Z}}$ be locally bounded. Then the ring $\text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}})$ is a Prüfer domain.*

We want to characterize when a ring of the form $\text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}})$, $\underline{E} \subset \overline{\mathbb{Z}}$, is a Dedekind domain. In order to accomplish this objective, we need to describe the prime spectrum of this ring when E is locally bounded. It is customary for rings of integer-valued polynomials to distinguish the prime ideals into two different kinds, and we do the same here in our setting: given a prime ideal P of $R = \text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}})$, we say that P is *nonunitary* if $P \cap \mathbb{Z} = (0)$ and that P is *unitary* if $P \cap \mathbb{Z} = p\mathbb{Z}$ for some $p \in \mathbb{P}$.

It is a classical result that each nonunitary prime ideal of R is equal to

$$\mathfrak{P}_q = q(X)\mathbb{Q}[X] \cap R$$

for some $q \in \mathcal{P}^{\text{irr}}$ (see for example [Cahen and Chabert 1997, Corollary V.1.2]).

If $P \cap \mathbb{Z} = p\mathbb{Z}$, $p \in \mathbb{P}$, and $\alpha \in E_p$, the following is a unitary prime ideal of R :

$$\mathfrak{M}_{p, \alpha} = \{f \in R \mid v_p(f(\alpha)) > 0\}.$$

If E_p is a closed subset of $\overline{\mathbb{Z}}_p$ for each prime p , and $\underline{E} = \prod_p E_p$ is locally bounded, we are going to show that each unitary prime ideal of R is equal to $\mathfrak{M}_{p,\alpha}$, for some $p \in \mathbb{P}$ and $\alpha \in E_p$.

Lemma 2.5. *Let $\underline{E} \subseteq \overline{\mathbb{Z}}$ be any subset, P be a finite subset of \mathbb{P} and S the multiplicative subset of \mathbb{Z} generated by $\mathbb{P} \setminus P$. Then $S^{-1} \text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}}) = \bigcap_{p \in P} \text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$.*

In particular, for each $p \in \mathbb{P}$, $(\mathbb{Z} \setminus p\mathbb{Z})^{-1} \text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}}) = \text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$.

Proof. The proof follows by an argument similar to the one of [Chabert and Peruginelli 2018, Proposition 4.2]. Let $R = \text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}})$ and $R_p = \text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$, for each $p \in P$. The containment $S^{-1}R \subseteq \bigcap_{p \in P} R_p$ is clear, since $R \subseteq R_p$ and for every $d \in S$, d is a unit in R_p , for each $p \in P$. Conversely, let $f \in \bigcap_{p \in P} R_p$. Let $d \in \mathbb{Z}$, $d \neq 0$, be such that $df \in \mathbb{Z}[X]$ and let $d = t \prod_{p \in P} p^{a_p}$, $a_p \geq 0$ and $t \in \mathbb{Z}$ not divisible by any $p \in P$. Then, letting $g = tf$, we have that g is in $\mathbb{Z}_{(q)}[X] \subset R_q$ for each $q \notin P$ and g is in R_p for each $p \in P$ because t is a unit in $\mathbb{Z}_{(p)}$, for all $p \in P$. Hence, $f = \frac{g}{t} \in S^{-1}R$, as desired. \square

Proposition 2.6. *Let $\underline{E} = \prod_p E_p \subset \overline{\mathbb{Z}}$ be locally bounded and closed. If M is a unitary prime ideal of $\text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}})$ such that $M \cap \mathbb{Z} = p\mathbb{Z}$ for some $p \in \mathbb{P}$, then M is maximal and there exists $\alpha \in E_p$ such that $M = \mathfrak{M}_{p,\alpha}$.*

Proof. Let $R = \text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}})$. We use the fact that R is a Prüfer domain by Theorem 2.4.

Let M be a unitary prime ideal of R and let $V = R_M$. Then, by Lemma 2.5, we have $R_p = \text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p) \subset V$, since $(\mathbb{Z} \setminus p\mathbb{Z})^{-1}V = V$. Let M' be the center of V on R_p . Since $M' \cap R = M$, it is sufficient to show that

$$M' = \mathfrak{M}_{p,\alpha} = \{f \in R_p \mid v_p(f(\alpha)) > 0\},$$

for some $\alpha \in E_p$ (with a slight abuse of notation, we denote the unitary prime ideals of R and R_p in the same way). Let $f \in R_p$. Let K be a finite extension of \mathbb{Q}_p such that O_K contains E_p and let $i_0, \dots, i_{q-1} \in O_K$ be a set of representatives for $O_K/\pi O_K \cong \mathbb{F}_q$, where π is a uniformizer of O_K (i.e., a generator of the maximal ideal of O_K). For each $\alpha \in E_p$, there exists some $j \in \{0, \dots, q-1\}$ such that $f(\alpha) - i_j \in \pi O_K$. In particular, $\prod_{j=0}^{q-1} (f(\alpha) - i_j) \in \pi O_K$ for each $\alpha \in E_p$. Observe that the polynomials $X^q - X$ and $\prod_{j=0}^{q-1} (X - i_j)$ coincide modulo π , so in particular $f(\alpha)^q - f(\alpha) \in \pi O_K$. If $e = e(O_K \mid \mathbb{Q}_p)$, we have $(f(\alpha)^q - f(\alpha))^e \in pO_K$. Equivalently, $(f^q - f)^e \in pR_p$, which is contained in M' . Since M' is a prime ideal, it follows that $f^q - f \in M'$, so modulo M' , f satisfies the equation $X^q - X = 0$. This shows that R_p/M' is contained in the finite field \mathbb{F}_q , so it is a finite domain, hence a field. This proves that M' is maximal. Note that, since $R/M \subseteq R_p/M'$ and the latter is a finite field, it follows also that M is a maximal ideal of R .

Since R_p is countable, M' is countably generated, say $M' = \bigcup_{n \in \mathbb{N}} I_n$, where $I_n = (p, f_1, \dots, f_n)$ for each $n \in \mathbb{N}$. By [Gilmer and Heinzer 1968, Proposition 1.4], for each $n \in \mathbb{N}$, there exists $\alpha_n \in E_p$ such that $I_n \subset \mathfrak{M}_{p, \alpha_n}$ (we may exclude the nonunitary prime ideals of R_p because they do not contain p , hence neither I_n for every n). Suppose first that E_p is finite. Then there exists $\alpha \in E_p$ such that the set $J = \{n \in \mathbb{N} \mid I_n \subset \mathfrak{M}_{p, \alpha}\}$ is a cofinal subset of \mathbb{N} . Hence, for each $f \in M'$, there exists $n \in J$ such that $f \in I_n \subset \mathfrak{M}_{p, \alpha}$, so that $M' \subseteq \mathfrak{M}_{p, \alpha}$ and therefore equality holds since M' is maximal. If E_p is infinite, since it is a closed subset (because \underline{E} is closed) contained in a finite extension of \mathbb{Q}_p , by compactness we may extract a sequence $\{\alpha_n\}_{n \in \mathbb{N}}$ from E_p converging to some element $\alpha \in E_p$. Without loss of generality we suppose that $\alpha_n \rightarrow \alpha$. Now, for each $f \in M'$, $f \in I_n \subset \mathfrak{M}_{p, \alpha_n}$ for some n . Since $I_n \subseteq I_{n+1}$ for each $n \in \mathbb{N}$, $f \in \mathfrak{M}_{p, \alpha_m}$ for each $m \geq n$, that is, $v_p(f(\alpha_m)) > 0$. By continuity we get that $v_p(f(\alpha)) > 0$, that is, $f \in \mathfrak{M}_{p, \alpha}$. Therefore as before we conclude that $M' = \mathfrak{M}_{p, \alpha}$. \square

Thus, if $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ is a Prüfer domain, given a maximal unitary ideal $\mathfrak{M}_{p, \alpha}$, $p \in \mathbb{P}$ and $\alpha \in E_p$, we have

$$(2.7) \quad \text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})_{\mathfrak{M}_{p, \alpha}} = V_{p, \alpha}.$$

Similarly, for $q \in \mathcal{P}^{\text{irr}}$, we have

$$(2.8) \quad \text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})_{\mathfrak{P}_q} = \mathbb{Q}[X]_{(q)}.$$

We call the valuation domains $V_{p, \alpha}$ unitary, and the others $\mathbb{Q}[X]_{(q)}$ nonunitary. Similar equalities hold for the Prüfer domain $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}_p})$. Note that the residue field of $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ at a unitary prime ideal is a finite field (by the property of the unitary valuation overrings we discussed about in Section 1), while the residue field of a nonunitary prime ideal of $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ is a finite extension of the rationals, hence an infinite field.

We finish this section with the following remark.

Remark 2.9. By Theorem 1.1, given a ring $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}_p})$, without loss of generality we may assume that the elements of E_p are pairwise nonconjugate over \mathbb{Q}_p . Under this further assumption and if E_p is bounded (i.e., contained in a finite extension of \mathbb{Q}_p), Theorem 2.4, (2.7) and Proposition 2.6 imply that there is a one-to-one correspondence between the elements of E_p and the unitary valuation overrings V_{p, α_p} , $\alpha_p \in E_p$, of $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}_p})$.

2A. The local case. For a fixed $p \in \mathbb{P}$, we characterize in this section the subsets E_p of $\overline{\mathbb{Z}_p}$ for which the corresponding ring of integer-valued polynomials $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}_p})$ is a Dedekind domain. The following proposition is a generalization of [Chang 2022, Theorem 4.3 (2)].

Proposition 2.10. *Let E_p be a subset of $\overline{\mathbb{Z}}_p$. Then $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$ is a Dedekind domain with finite residue fields of prime characteristic if and only if E_p is a finite subset of transcendental elements over \mathbb{Q} .*

Suppose that $E_p = \{\alpha_1, \dots, \alpha_n\}$ and the α_i 's are pairwise nonconjugate over \mathbb{Q}_p . Then, the class group of $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$ is isomorphic to $\mathbb{Z}/e\mathbb{Z} \oplus \mathbb{Z}^{n-1}$, where $e = \gcd\{e_{\alpha_i} \mid i = 1, \dots, n\}$. Thus $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$ is a PID if and only if E_p contains at most one element $\alpha_p \in \overline{\mathbb{Z}}_p$, such that α_p is transcendental over \mathbb{Q} and unramified over \mathbb{Q}_p .

Proof. Let $R_p = \text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$. Note that, if E_p is the empty set, then $R_p = \mathbb{Q}[X]$. We assume henceforth that $E_p \neq \emptyset$.

Suppose R_p is a Dedekind domain with finite residue fields of prime characteristic. We show first that each maximal unitary ideal M of R_p is equal to $\mathfrak{M}_{p,\alpha_p}$, for some $\alpha_p \in E_p$. Let V be a unitary valuation overring of R_p which is centered on M . By Theorem 1.1, there exists $\alpha_0 \in \overline{\mathbb{Z}}_p$ such that $V = V_{p,\alpha_0}$. Then, $M = \mathfrak{M}_{p,\alpha_0}$. Since M is finitely generated and R_p is Prüfer, by [Gilmer and Heinzer 1968, Proposition 1.4] $M \subseteq \mathfrak{M}_{p,\alpha_p}$ for some $\alpha_p \in E_p$ (we may exclude the nonunitary prime ideals of R_p because they do not contain p , hence neither M). Since M is maximal, it follows that $M = \mathfrak{M}_{p,\alpha_p}$, which means that α_0 and α_p are conjugate over \mathbb{Q}_p by [Peruginelli 2017, Theorem 3.2]. Hence, without loss of generality, we may suppose that $\alpha_0 \in E_p$. Note that each $\alpha_p \in E_p$ is transcendental over \mathbb{Q} , otherwise the valuation overring V_{p,α_p} of R_p would have rank 2. Since R_p is Dedekind, p is contained in only finitely many maximal ideals of this ring; necessarily, such ideals are unitary. By the previous argument, such ideals are equal to $\mathfrak{M}_{p,\alpha_p}$, for $\alpha_p \in E_p$. Since by Theorem 1.1 and (2.7), $\mathfrak{M}_{p,\alpha_p} = \mathfrak{M}_{p,\beta_p}$ if and only if $\alpha_p, \beta_p \in E_p$ are conjugate over \mathbb{Q}_p , it follows that E_p is a finite subset of $\overline{\mathbb{Z}}_p$.

Conversely, suppose now that $E_p \subset \overline{\mathbb{Z}}_p$ is a finite subset of transcendental elements over \mathbb{Q} . The fact that $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$ is a Dedekind domain follows from [Eakin and Heinzer 1973, Theorem], but we give a different self-contained argument based on the previous results. We know that E_p has bounded degree, so R_p is Prüfer, by Theorem 2.4. By (2.3), R_p is equal to an intersection of DVRs which are essential over it. Moreover, each nonzero $f \in R_p$ belongs to finitely many maximal ideals, since E_p is finite and f has finitely many irreducible factors in $\mathbb{Q}[X]$. Hence, R_p is a Krull domain, so, by [Gilmer 1992, Theorem 43.16], R_p is a Dedekind domain. Finally, R_p has finite residue fields of prime characteristic, because each of the unitary valuation overrings of R_p (namely, V_{p,α_p} , $\alpha_p \in E_p$) have finite residue field.

Assuming that the elements of E_p are pairwise nonconjugate over \mathbb{Q}_p , the claim regarding the class group follows easily from [Eakin and Heinzer 1973, Theorem], taking into account the representation (2.3). If $E_p = \{\alpha_1, \dots, \alpha_n\}$, let

$\mathbf{e} = (e_{\alpha_1}, \dots, e_{\alpha_n}) \in \mathbb{Z}^n$ and $e = \gcd(e_{\alpha_1}, \dots, e_{\alpha_n})$. Then, the class group of R_p is isomorphic to

$$\mathbb{Z}^n / \langle \mathbf{e} \rangle \cong \mathbb{Z} / e\mathbb{Z} \oplus \mathbb{Z}^{n-1}.$$

The last claim follows at once from the description of the class group. □

2B. The global case. If, for each $p \in \mathbb{P}$, $E_p \subset \overline{\mathbb{Z}}_p$ is a finite subset of transcendental elements over \mathbb{Q} and $\underline{E} = \prod_p E_p$, then, by [Chang 2022, Corollary 2.6], $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ is an almost Dedekind domain. However, this ring might not be noetherian, that is, a Dedekind domain. See for example the construction of [Chang 2022, Theorem 3.1], in which the polynomial X is divisible by infinitely many primes $p \in \mathbb{P}$. In general, an almost Dedekind domain R is Dedekind if and only if it has finite character, that is, each nonzero $f \in R$ belongs to finitely many maximal ideals of R [Gilmer 1992, Theorem 37.2], or, equivalently, $v(f) \neq 0$ only for finitely many valuation overrings V of R (which are only DVRs). We aim to characterize the subsets $\underline{E} = \prod_p E_p$ of $\widehat{\mathbb{Z}}$ such that $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ is Dedekind.

Definition 2.11. We say that \underline{E} is *polynomially factorizable* if, for each $g \in \mathbb{Z}[X]$ and $\alpha = (\alpha_p) \in \underline{E}$, there exist $n, d \in \mathbb{Z}$, $n, d \geq 1$ such that $g(\alpha)^n / d$ is a unit of $\widehat{\mathbb{Z}}$, that is, $v_p(g(\alpha_p)^n / d) = 0$, for all $p \in \mathbb{P}$.

Note that $g(\alpha)^n = (g(\alpha_p)^n) \in \widehat{\mathbb{Z}}$. Loosely speaking, a subset \underline{E} of $\widehat{\mathbb{Z}}$ is polynomially factorizable if, for every $g \in \mathbb{Z}[X]$ and $\alpha \in \underline{E}$, $g(\alpha) \in \widehat{\mathbb{Z}}$ is divisible only by finitely many primes $p \in \mathbb{P}$ (up to some exponent $n \geq 1$), or, equivalently, all but finitely many components of $g(\alpha)$ are units. Note that, if the above condition of the definition holds, then $g(\alpha)^n$ and d generate the same principal ideal of $\widehat{\mathbb{Z}}$.

The next lemma gives a simple characterization of polynomially factorizable subsets \underline{E} of $\widehat{\mathbb{Z}}$ in terms of the finiteness of some sets of primes associated to every polynomial in $\mathbb{Z}[X]$. For every $g \in \mathbb{Z}[X]$ and subset $\underline{E} = \prod_p E_p \subseteq \widehat{\mathbb{Z}}$, we set

$$\mathbb{P}_{g, \underline{E}} = \{p \in \mathbb{P} \mid \exists \alpha_p \in E_p \text{ such that } v_p(g(\alpha_p)) > 0\}.$$

The next result shows that \underline{E} is polynomially factorizable if and only if $\mathbb{P}_{g, \underline{E}}$ is finite for every $g \in \mathbb{Z}[X]$.

Lemma 2.12. *Let $g \in \mathbb{Z}[X]$ and $\underline{E} = \prod_p E_p \subset \widehat{\mathbb{Z}}$, where each $E_p \subset \overline{\mathbb{Z}}_p$ is a closed set of transcendental elements over \mathbb{Q} . Then the following conditions are equivalent:*

- i) *The set $\mathbb{P}_{g, \underline{E}}$ is finite.*
- ii) *For each $\alpha \in \underline{E}$, there exist $n, d \in \mathbb{Z}$, $n, d \geq 1$ such that $g(\alpha)^n / d$ is a unit of $\widehat{\mathbb{Z}}$.*

Proof. We use the following easy remark: for $\alpha = (\alpha_p) \in \widehat{\mathbb{Z}} = \prod_p \mathbb{Z}_p$, the set $\{p \in \mathbb{P} \mid v_p(\alpha_p) > 0\}$ is finite if and only if there exists $d \in \mathbb{Z}$, $d \geq 1$, such that $\alpha \widehat{\mathbb{Z}} = d \widehat{\mathbb{Z}}$.

Suppose i) holds and let $\alpha = (\alpha_p) \in \underline{E}$. By assumption, there are only finitely many $p \in \mathbb{P}$ such that $v_p(g(\alpha_p)) > 0$, for some $\alpha_p \in E_p$, say, p_1, \dots, p_k . Let $\alpha \in \underline{E}$ be fixed; in particular, there exists $n \in \mathbb{N}$ such that $nv_p(g(\alpha_p)) = a_p \in \mathbb{Z}$ for each prime p (where $a_p = 0$ for all $p \notin \{p_1, \dots, p_k\}$). Hence, if we let $d = \prod_{i=1}^k p_i^{a_{p_i}}$ we get $v_p(g(\alpha_p)^n) = v_p(d)$ for all $p \in \mathbb{P}$, thus ii) holds.

Assume now that ii) holds and suppose that $\mathbb{P}_{g, \underline{E}}$ is infinite. For each $p \in \mathbb{P}_{g, \underline{E}}$, let $\alpha_p \in E_p$ be such that $v_p(g(\alpha_p)) > 0$ and consider the element $\alpha = (\alpha_p) \in \underline{E}$, where α_p is any element of E_p for $p \notin \mathbb{P}_{g, \underline{E}}$. If there is no $n \geq 1$ such that $nv_p(g(\alpha_p)) = a_p \in \mathbb{Z}$ for all $p \in \mathbb{P}$ we immediately get a contradiction. Suppose instead that such an n exists. Since a_p is nonzero for infinitely many $p \in \mathbb{P}$, there is no $d \in \mathbb{Z}$ such that $v_p(g(\alpha_p)^n/d) = 0$ for each $p \in \mathbb{P}$, which again is a contradiction. \square

Remark 2.13. By Lemma 2.12, it follows easily that a subset $\underline{E} \subseteq \widehat{\mathbb{Z}}$ is polynomially factorizable if and only if $\mathbb{P}_{g, \underline{E}}$ is finite for each irreducible $g \in \mathbb{Z}[X]$. In fact, if $g = \prod_i g_i$, where $g_i \in \mathbb{Z}[X]$ are irreducible, then $\mathbb{P}_{g, \underline{E}} = \bigcup_i \mathbb{P}_{g_i, \underline{E}}$.

It is well-known that, given a nonconstant $q \in \mathbb{Z}[X]$, there exist infinitely many $p \in \mathbb{P}$ for which there exists $n \in \mathbb{Z}$ such that $q(n)$ is divisible by p (see for example the proof of [Cahen and Chabert 1997, Proposition V.2.8]). In particular, $\widehat{\mathbb{Z}}$ is not polynomially factorizable by Lemma 2.12.

The next lemma describes the Picard group of $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ in terms of the Picard groups of the localizations $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$, $p \in \mathbb{P}$ (see Lemma 2.5).

Lemma 2.14. *Let $\underline{E} = \prod_p E_p \subset \widehat{\mathbb{Z}}$ be a subset. Then*

$$\text{Pic}(\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})) \cong \bigoplus_{p \in \mathbb{P}} \text{Pic}(\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)).$$

Proof. Let $R = \text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ and $R_p = (\mathbb{Z} \setminus p\mathbb{Z})^{-1}R$, for $p \in \mathbb{P}$; by Lemma 2.5, $R_p = \text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$. Since the proof follows by the same arguments of [Gilmer et al. 1990, Theorem 1], we just sketch it and refer to the cited paper for the details. By a classical argument (see for example [McQuillan 1985, Lemma 1]), every finitely generated ideal J of R (in particular, every invertible ideal of R) is isomorphic to a finitely generated unitary ideal I , that is, $I \cap \mathbb{Z} = d\mathbb{Z} \neq (0)$. For such an ideal, $(I \cap \mathbb{Z})_{(p)} = \mathbb{Z}_{(p)}$ for all $p \in \mathbb{P}$ not dividing d , so $IR_p = R_p$. This argument shows that we have a well-defined map from $\text{Pic}(R)$ to $\bigoplus_{p \in \mathbb{P}} \text{Pic}(R_p)$.

If I is a unitary ideal of R , say $I \cap \mathbb{Z} = d\mathbb{Z}$, such that IR_p is principal, it is generated by d . Hence, I and dR have the same localizations at each prime $p \in \mathbb{P}$, so they are equal. This shows that the previous map is injective.

For the surjectivity, it is sufficient to show that, if J_p is an invertible unitary ideal of R_p , for some $p \in \mathbb{P}$, then there exists an invertible ideal J of R such that

$JR_p = J_p$ and $JR_q = R_q$ for each $q \in \mathbb{P} \setminus \{p\}$. The ideal $J = J_p \cap R$ has the required properties. \square

Now we may characterize when a generalized ring of integer-valued polynomials $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ is Dedekind and describe its class group.

Theorem 2.15. *Let $\underline{E} = \prod_p E_p \subset \widehat{\mathbb{Z}}$ be a subset. Then $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ is a Dedekind domain with finite residue fields of prime characteristic if and only if E_p is a finite set of transcendental elements over \mathbb{Q} for each $p \in \mathbb{P}$ and \underline{E} is polynomially factorizable.*

In this case, the class group of $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ is equal to a direct sum of a countable family of finitely generated abelian groups.

Proof. Let $R = \text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ and suppose the conditions for \underline{E} in the statement are satisfied. Then \underline{E} is locally bounded and closed so, by Theorem 2.4, R is Prüfer. For R to be Dedekind, it is sufficient to show that it is a Krull domain [Gilmer 1992, Theorem 43.16]. By assumption, each of the unitary valuation overrings of R in the representation (2.2) is a DVR with finite residue field, so R has finite residue fields of prime characteristic by Proposition 2.6. We have to show that R has finite character, that is, for each nonzero $f = \frac{g}{n} \in R$, $g \in \mathbb{Z}[X]$ and $n \in \mathbb{Z} \setminus \{0\}$, f is contained in only finitely many maximal ideals of R . As in the proof of Proposition 2.10, f is contained in only finitely many nonunitary prime ideals of R . We now check the maximal unitary ideals of R , described in the Proposition 2.6, which contain f . Since the denominator n of f is divisible by only finitely many $p \in \mathbb{P}$, f is contained in only finitely many maximal unitary ideals if and only if the same condition holds for g . Since E_p is finite for each $p \in \mathbb{P}$, this is equivalent to the finiteness of the set $\mathbb{P}_{g, \underline{E}}$. Since \underline{E} is polynomially factorizable, by Lemma 2.12, $\mathbb{P}_{g, \underline{E}}$ is finite.

Conversely, if $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ is a Dedekind domain with finite residue fields of prime characteristic, then, for each prime p , the overring $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$ is a Dedekind domain with finite residue fields of prime characteristic [Gilmer 1992, Theorem 40.1]. By Proposition 2.10, E_p is a finite subset of $\overline{\mathbb{Z}}_p$ formed by transcendental elements over \mathbb{Q} (so, in particular, \underline{E} is locally bounded). If there exists some $g \in \mathbb{Z}[X]$ such that the set $\mathbb{P}_{g, \underline{E}}$ is infinite, then $g(X)$ would be contained in infinitely many unitary prime ideals of $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$, a contradiction with [Gilmer 1992, Theorem 37.2]. Therefore, \underline{E} is polynomially factorizable by Lemma 2.12.

The final claim follows from Lemma 2.14 and Proposition 2.10. \square

The next corollary is a generalization of [Glivický and Šaroch 2013, Lemma 3.3]: it characterizes the elements α in $\widehat{\mathbb{Z}}$ for which the ring $\text{Int}_{\mathbb{Q}}(\{\alpha\}, \widehat{\mathbb{Z}})$ is a PID.

Corollary 2.16. *Let $\underline{E} = \prod_p E_p \subset \widehat{\mathbb{Z}}$ be a subset such that, for each $p \in \mathbb{P}$, the elements of E_p are pairwise nonconjugate over \mathbb{Q}_p . Then $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ is a PID with*

finite residue fields of prime characteristic if and only if, for each prime p , E_p contains at most one element of $\overline{\mathbb{Z}}_p$, unramified over \mathbb{Q}_p and transcendental over \mathbb{Q} , and \underline{E} is polynomially factorizable.

Note that if the conditions of Corollary 2.16 occur, namely, $E_p = \{\alpha_p\}$ for each $p \in \mathbb{P}$, then \underline{E} is the singleton $\{\alpha\}$, where $\alpha = (\alpha_p) \in \widehat{\mathbb{Z}}$. The condition that \underline{E} is polynomially factorizable appears in other equivalent forms in [Glivický and Šaroch 2013, Lemma 3.3] and [Glivická et al. 2023, Proposition 1.1], in the case $\alpha \in \widehat{\mathbb{Z}}$.

Proof. The proof follows from Theorem 2.15, Lemma 2.14 and Proposition 2.10. \square

An argument similar to the one in the proof of [Eakin and Heinzer 1973, Theorem] shows that a PID $\text{Int}_{\mathbb{Q}}(\{\alpha\}, \widehat{\mathbb{Z}})$ as in the statement of Corollary 2.16 is never a Euclidean domain.

We now show that each Dedekind domain with finite residue fields of prime characteristic between $\mathbb{Z}[X]$ and $\mathbb{Q}[X]$ is indeed a generalized ring of integer-valued polynomials.

Theorem 2.17. *Let R be a Dedekind domain with finite residue fields of prime characteristic such that $\mathbb{Z}[X] \subset R \subseteq \mathbb{Q}[X]$. Then R is equal to $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$, for some subset $\underline{E} = \prod_p E_p \subset \widehat{\mathbb{Z}}$ such that E_p is a finite set of transcendental elements over \mathbb{Q} for each prime p and \underline{E} is polynomially factorizable.*

In particular, the class group of R is isomorphic to a direct sum of a countable family of finitely generated abelian groups.

Proof. Let $\mathbb{P}_R = \{p \in \mathbb{P} \mid \exists P \in \text{Spec}(R) \text{ such that } P \cap \mathbb{Z} = p\mathbb{Z}\}$. Clearly, \mathbb{P}_R is empty if and only if $R = \mathbb{Q}[X]$; in this case for \underline{E} equal to the empty set we have the claim. Suppose \mathbb{P}_R is not empty. For each $p \in \mathbb{P}_R$, we denote by $\mathbb{P}_{R,p}$ the set of unitary prime ideals of R lying above p . By assumption, for each $P \in \mathbb{P}_{R,p}$, $p \in \mathbb{P}$, R_P is a DVR of $\mathbb{Q}(X)$ with finite residue field extending $\mathbb{Z}_{(p)}$. By Theorem 1.1, there exists $\alpha_p \in \overline{\mathbb{Z}}_p$, transcendental over \mathbb{Q} , such that $R_P = V_{p,\alpha_p}$. Let E_p be the subset of $\overline{\mathbb{Z}}_p$ formed by such α_p 's, for each $P \in \mathbb{P}_{R,p}$. Since R is Dedekind and by (2.2) and (2.3), we have the equalities

$$\begin{aligned} R &= \bigcap_{p \in \mathbb{P}_R} \bigcap_{P \in \mathbb{P}_{R,p}} R_P \cap \mathbb{Q}[X] = \bigcap_{p \in \mathbb{P}_R} \bigcap_{\alpha_p \in E_p} V_{p,\alpha_p} \cap \mathbb{Q}[X] \\ &= \bigcap_{p \in \mathbb{P}_R} \text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p) = \text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}}), \end{aligned}$$

where $\underline{E} = \prod_{p \in \mathbb{P}_R} E_p \subset \widehat{\mathbb{Z}}$. By Theorem 2.15, for each $p \in \mathbb{P}$, E_p is a finite subset of $\overline{\mathbb{Z}}_p$ of transcendental elements over \mathbb{Q} , \underline{E} is polynomially factorizable and the class group of R is isomorphic to a direct sum of a countable family of finitely generated abelian groups. \square

It was shown in [Glivický and Šaroch 2013, Proposition 3.4] that the cardinality of the set of $\alpha \in \widehat{\mathbb{Z}}$ such that $\text{Int}_{\mathbb{Q}}(\{\alpha\}, \widehat{\mathbb{Z}})$ is a PID is 2^{\aleph_0} . The next corollary

describes all the PIDs with finite residue fields of prime characteristic between $\mathbb{Z}[X]$ and $\mathbb{Q}[X]$.

Corollary 2.18. *Let R be a PID with finite residue fields of prime characteristic such that $\mathbb{Z}[X] \subset R \subset \mathbb{Q}[X]$. Then R is equal to $\text{Int}_{\mathbb{Q}}(\{\alpha\}, \widehat{\mathbb{Z}})$, for some $\alpha = (\alpha_p) \in \widehat{\mathbb{Z}}$ such that, for each $p \in \mathbb{P}$, α_p is transcendental over \mathbb{Q} , α_p is unramified over \mathbb{Q}_p and $\{\alpha\}$ is polynomially factorizable.*

Proof. The proof follows from Theorem 2.17 and Corollary 2.16. □

2C. Equality of generalized rings of integer-valued polynomials. Given two locally bounded closed subsets $\underline{E}, \underline{F}$ of $\widehat{\mathbb{Z}}$, we characterize when the associated generalized ring of integer-valued polynomials $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$, $\text{Int}_{\mathbb{Q}}(\underline{F}, \widehat{\mathbb{Z}})$ are the same.

The following is a general result about integral extensions of rings of integer-valued polynomials. For an integral domain D with quotient field K , let \overline{K} and \overline{D} be the algebraic closure of K and the absolute integral closure of D , respectively. We let $G_K = \text{Gal}(\overline{K}/K)$ be the absolute Galois group of K . For a subset Ω of \overline{K} we set $G_K(\Omega) = \{\sigma(a) \mid \sigma \in G_K, a \in \Omega\} = \bigcup_{\sigma \in G_K} \sigma(\Omega)$. We say that Ω is G_K -invariant if $G_K(\Omega) = \Omega$. Note that in general we have

$$(2.19) \quad \text{Int}_K(\Omega, \overline{D}) = \text{Int}_K(G_K(\Omega), \overline{D})$$

because if $f(\alpha) \in \overline{D}$ for some $f \in K[X]$ and $\alpha \in \Omega$, then, for every $\sigma \in G_K$, we have $f(\sigma(\alpha)) = \sigma(f(\alpha)) \in \overline{D}$ because $\sigma(\overline{D}) \subseteq \overline{D}$.

Lemma 2.20. *Let D be an integrally closed domain with quotient field K . Let $\Omega \subset \overline{D}$ be G_K -invariant. Let F be an algebraic extension of K containing Ω . Then $\text{Int}_F(\Omega, \overline{D})$ is the integral closure of $\text{Int}_K(\Omega, \overline{D})$ in $F(X)$.*

Proof. By [Cahen and Chabert 1997, Proposition IV.4.1], $\text{Int}_{\overline{K}}(\Omega, \overline{D})$ is integrally closed. In particular, $\text{Int}_F(\Omega, \overline{D}) = \text{Int}_{\overline{K}}(\Omega, \overline{D}) \cap F(X)$ is integrally closed, too. Hence, we just need to show that $\text{Int}_K(\Omega, \overline{D}) \subseteq \text{Int}_F(\Omega, \overline{D})$ is an integral ring extension.

Without loss of generality, we may enlarge F and suppose that F is normal over K (e.g., we may take $F = \overline{K}$). Let $f \in \text{Int}_F(\Omega, \overline{D}) \subset F[X]$. In particular, f is integral over $K[X]$, that is, it satisfies a monic equation of the form

$$f^n + g_{n-1}f^{n-1} + \dots + g_1f + g_0 = 0,$$

where $g_i \in K[X]$, for $i = 0, \dots, n - 1$. We claim that $g_i \in \text{Int}_K(\Omega, \overline{D})$, for $i = 0, \dots, n - 1$, which will prove the claim. In fact, let

$$\Phi(T) = T^n + g_{n-1}T^{n-1} + \dots + g_0 \in K[X][T],$$

and suppose that $\Phi(T)$ is irreducible over $K(X)$. The roots of $\Phi(T)$ are the conjugates of f under the action of the Galois group $\text{Gal}(F(X)/K(X)) \cong \text{Gal}(F/K)$, which acts on the coefficients of the polynomial f . If $\sigma \in \text{Gal}(F/K)$, then $\sigma(f) \in \text{Int}_F(\Omega, \bar{D})$. In fact, for each $\alpha \in \Omega$, since Ω is $\text{Gal}(F/K)$ -invariant, we have $\alpha = \sigma(\alpha')$ for some $\alpha' \in \Omega$, therefore $\sigma(f)(\alpha) = \sigma(f(\alpha'))$ which still is an element of \bar{D} (which likewise is left invariant under the action of $\text{Gal}(F/K)$). Now, since each coefficient g_i of $\Phi(T)$ is an elementary symmetric function of the elements $\sigma(f)$, $\sigma \in \text{Gal}(F/K)$, we have $g_i(\alpha) \in \bar{D}$, for each $\alpha \in \Omega$; thus $g_i \in \text{Int}_K(\Omega, \bar{D})$, as claimed. \square

To ease notation, we denote the absolute Galois group of \mathbb{Q}_p (p prime) by G_p .

Theorem 2.21. *Suppose $\underline{E} = \prod_p E_p$ and $\underline{F} = \prod_p F_p$ are locally bounded closed subsets of $\widehat{\mathbb{Z}}$. Then the rings $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ and $\text{Int}_{\mathbb{Q}}(\underline{F}, \widehat{\mathbb{Z}})$ are equal if and only if $G_p(E_p) = G_p(F_p)$, for each $p \in \mathbb{P}$.*

Proof. Clearly, $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}}) = \text{Int}_{\mathbb{Q}}(\underline{F}, \widehat{\mathbb{Z}})$ if and only if the two rings have the same localization at each $p \in \mathbb{P}$, that is, by Lemma 2.5, $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p) = \text{Int}_{\mathbb{Q}}(F_p, \overline{\mathbb{Z}}_p)$. Such a condition is equivalent to $\text{Int}_{\mathbb{Q}_p}(E_p, \overline{\mathbb{Z}}_p) = \text{Int}_{\mathbb{Q}_p}(F_p, \overline{\mathbb{Z}}_p)$. In fact, one implication is obvious because $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)$ is the contraction to $\mathbb{Q}[X]$ of $\text{Int}_{\mathbb{Q}_p}(E_p, \overline{\mathbb{Z}}_p)$. Conversely, suppose that $\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p) = \text{Int}_{\mathbb{Q}}(F_p, \overline{\mathbb{Z}}_p)$ and let $f \in \text{Int}_{\mathbb{Q}_p}(E_p, \overline{\mathbb{Z}}_p)$, say $f(X) = \sum_i \alpha_i X^i$. We can choose $g \in \mathbb{Q}[X]$ sufficiently v_p -adically close to $f(X)$, that is, $g(X) = \sum_i a_i X^i$, where $v_p(\alpha_i - a_i) \geq n$ for each $i \geq 0$, where $n \in \mathbb{N}$ is arbitrary large. Then $h = f - g \in p^n \mathbb{Z}_p[X]$, so, if $\alpha_p \in E_p$, it follows that $g(\alpha_p) = f(\alpha_p) + h(\alpha_p) \in \overline{\mathbb{Z}}_p$. Hence, $g \in \text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p) = \text{Int}_{\mathbb{Q}}(F_p, \overline{\mathbb{Z}}_p)$. If now $\beta_p \in F_p$, we have $f(\beta_p) = g(\beta_p) + h(\beta_p) \in \overline{\mathbb{Z}}_p$, which proves that $f \in \text{Int}_{\mathbb{Q}_p}(F_p, \overline{\mathbb{Z}}_p)$. The other containment $\text{Int}_{\mathbb{Q}_p}(F_p, \overline{\mathbb{Z}}_p) \subseteq \text{Int}_{\mathbb{Q}_p}(E_p, \overline{\mathbb{Z}}_p)$ follows in the same way.

Let $p \in \mathbb{P}$ be a fixed prime and set $\widehat{R}_{p,E_p} = \text{Int}_{\mathbb{Q}_p}(E_p, \overline{\mathbb{Z}}_p)$ and $\widehat{R}_{p,F_p} = \text{Int}_{\mathbb{Q}_p}(F_p, \overline{\mathbb{Z}}_p)$. Since E_p, F_p are subsets of $\overline{\mathbb{Z}}_p$ of bounded degree, there exists a finite Galois extension K of \mathbb{Q}_p containing both of them. By (2.19), $\widehat{R}_{p,E_p} = \text{Int}_{\mathbb{Q}_p}(G_p(E_p), \overline{\mathbb{Z}}_p)$ and $\widehat{R}_{p,F_p} = \text{Int}_{\mathbb{Q}_p}(G_p(F_p), \overline{\mathbb{Z}}_p)$. Clearly, \widehat{R}_{p,E_p} and \widehat{R}_{p,F_p} are equal if and only if they have the same integral closure in $K(X)$. By Lemma 2.20, this amounts to say that

$$(2.22) \quad \text{Int}_K(G_p(E_p), \overline{\mathbb{Z}}_p) = \text{Int}_K(G_p(F_p), \overline{\mathbb{Z}}_p).$$

Note that the rings of (2.22) are equal to $\text{Int}_K(G_p(E_p), O_K)$, $\text{Int}_K(G_p(F_p), O_K)$, respectively, where O_K is the ring of integers of K . Moreover, $G_p(E_p)$ is a closed subset of O_K , being a finite union of closed sets $\sigma(E_p)$, $\sigma \in \text{Gal}(K/\mathbb{Q}_p)$. Similarly, $G_p(F_p)$ is closed.

Finally, by [McQuillan 1991, Lemma 2], (2.22) holds if and only if $G_p(E_p) = G_p(F_p)$. \square

Theorem 2.21 implies that the rings $\text{Int}_{\mathbb{Q}}(\{\alpha\}, \widehat{\mathbb{Z}})$, $\alpha \in \widehat{\mathbb{Z}}$, are in one-to-one correspondence with the elements of $\widehat{\mathbb{Z}}$.

3. Construction of a Dedekind domain with prescribed class group

We review Chang’s construction [2022] mentioned in the introduction and modify it in order to show that, given a group G which is the direct sum of a countable family of finitely generated abelian groups, there exists a Dedekind domain R with finite residue fields of prime characteristic, $\mathbb{Z}[X] \subset R \subseteq \mathbb{Q}[X]$, such that the class group of R is G . As in [Eakin and Heinzer 1973], we show first that the ring constructed by Chang can also be represented as a generalized ring of integer-valued polynomials. In [Chang 2022, Lemma 3.4] it is proved that for each $n \in \mathbb{N}$ and $p \in \mathbb{P}$, there exists a DVR of $\mathbb{Q}(X)$ which is a residually algebraic extension of $\mathbb{Z}_{(p)}$ with ramification index equal to n ; by means of Theorem 1.1, we can give an explicit representation of such an extension in terms of a valuation domain $V_{p,\alpha}$ associated to some $\alpha \in \overline{\mathbb{Z}}_p$ which generates a totally ramified extension of \mathbb{Q}_p of degree n .

Let I be a countable set and $G = \bigoplus_{i \in I} G_i$ be a direct sum of finitely generated abelian groups G_i . Suppose that for each $i \in I$ we have

$$G_i \cong \mathbb{Z}^{m_i} \oplus \mathbb{Z}/n_{i,1}\mathbb{Z} \oplus \cdots \oplus \mathbb{Z}/n_{i,k_i}\mathbb{Z}$$

for some uniquely determined nonnegative integers $m_i, n_{i,1}, \dots, n_{i,k_i}$ satisfying $n_{i,j} \mid n_{i,j+1}$. We partition \mathbb{P} into a family of finite subsets $\{\mathbb{P}_i\}_{i \in I}$ each of which contains arbitrary chosen $1 + k_i$ primes, namely $\mathbb{P}_i = \{p_i, q_{i,1}, \dots, q_{i,k_i}\}$ and correspondingly for each $i \in I$ we fix the following $1 + k_i$ sets:

- i) E_{p_i} is a subset of \mathbb{Z}_{p_i} of $m_i + 1$ elements $\{\alpha_{p_i,1}, \dots, \alpha_{p_i,m_i+1}\}$ which are transcendental over \mathbb{Q} .
- ii) For $j = 1, \dots, k_i$, $E_{q_{i,j}} = \{\alpha_{q_{i,j}}\}$ a singleton of $\overline{\mathbb{Z}}_{q_{i,j}}$ such that $\alpha_{q_{i,j}}$ is transcendental over \mathbb{Q} and $n_{i,j} = e_{\alpha_{q_{i,j}}}$, the ramification index of $\mathbb{Q}_p(\alpha_{q_{i,j}})$ over \mathbb{Q}_p .

We set $\underline{E}_i = E_{p_i} \times \prod_{j=1}^{k_i} E_{q_{i,j}}$ and also

$$R_i = \text{Int}_{\mathbb{Q}}(E_{p_i}, \mathbb{Z}_{p_i}) \cap \bigcap_{j=1}^{k_i} \text{Int}_{\mathbb{Q}}(E_{q_{i,j}}, \overline{\mathbb{Z}}_{q_{i,j}}) = \text{Int}_{\mathbb{Q}}(\underline{E}_i, \widehat{\mathbb{Z}}).$$

Since each of the unitary valuation overrings of R_i , namely V_{p,α_p} , $p \in \mathbb{P}_i$ and $\alpha_p \in E_p$, is a DVR which is residually algebraic over \mathbb{F}_p [Peruginelli 2017, Proposition 2.2], by [Eakin and Heinzer 1973, Theorem and Corollary] R_i is a Dedekind domain with class group isomorphic to G_i .

We also set

$$R = \bigcap_{i \in I} R_i = \text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}}),$$

where $\underline{E} = \prod_i \underline{E}_i$. By [Chang 2022, Corollary 2.6], R is an almost Dedekind domain with class group isomorphic to G .

As we already mentioned at the beginning of Section 2B, the ring $R = \text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}})$ is not Dedekind in general. By Theorem 2.15, this happens precisely when \underline{E} is polynomially factorizable. By a suitable modification of the above construction, we are going to show that there exists a polynomially factorizable subset \underline{E} of $\overline{\mathbb{Z}}$ such that R is Dedekind with class group isomorphic to G , thus giving a positive answer to [Chang 2022, Question 3.7].

Theorem 3.1. *Let G be a direct sum of a countable family $\{G_i\}_{i \in I}$ of finitely generated abelian groups (which are not necessarily distinct). Then there exists a Dedekind domain R between $\mathbb{Z}[X]$ and $\mathbb{Q}[X]$ with class group isomorphic to G . Moreover, for each $i \in I$, there exists a multiplicative subset S_i of \mathbb{Z} such that $S_i^{-1}R$ is a Dedekind domain with class group G_i .*

Proof. We keep the notation used in the above construction. Let $\mathbb{P}_r = \bigcup_{i \in I} (\mathbb{P}_i \setminus \{p_i\})$. For each $q = q_{i,j} \in \mathbb{P}_r$, for some $i \in I$ and $j \in \{1, \dots, k_i\}$, we set $n_q = n_{i,j}$. We choose a uniformizer \tilde{q} of \mathbb{Z}_q which is transcendental over \mathbb{Q} . Let $\tilde{\alpha}_q \in \overline{\mathbb{Z}_q}$ be a root of the Eisenstein polynomial $X^{n_q} - \tilde{q}$. Clearly, $\tilde{\alpha}_q$ is still transcendental over \mathbb{Q} and it is well-known that $\mathbb{Q}_q(\tilde{\alpha}_q)$ is a totally ramified extension of \mathbb{Q}_q of degree n_q . We now let $\alpha_q = \tilde{\alpha}_q + \lfloor \log q \rfloor$: this is another generator of $\mathbb{Q}_q(\tilde{\alpha}_q)$ over \mathbb{Q}_q which still is transcendental over \mathbb{Q} and has v_q -adic valuation zero. We then set $E_q = \{\alpha_q\}$ in the above construction.

Similarly, for each $p = p_i \in \mathbb{P} \setminus \mathbb{P}_r$, for some $i \in I$, let $m_p = m_{p_i}$. We choose distinct elements $\alpha_{p,i} \in \lfloor \log p \rfloor + p\mathbb{Z}_p$, for $i = 1, \dots, m_p + 1$, which are transcendental over \mathbb{Q} and set $E_p = \{\alpha_{p,1}, \dots, \alpha_{p,m_p+1}\}$.

We show now that with these choices the subset $\underline{E} = \prod_p E_p \subset \overline{\mathbb{Z}}$ is polynomially factorizable, and therefore the corresponding domain $R = \text{Int}_{\mathbb{Q}}(\underline{E}, \overline{\mathbb{Z}})$ is a Dedekind domain by Theorem 2.15. By Lemma 2.12, we need to show that for each $g \in \mathbb{Z}[X]$, $\mathbb{P}_{g, \underline{E}}$ is finite. Let $g \in \mathbb{Z}[X]$ be a fixed polynomial. For $\alpha = (\alpha_p) \in \underline{E}$, we have:

- $\alpha_p = pa + \lfloor \log p \rfloor$, for some $a \in \mathbb{Z}_p$, if $p \in \mathbb{P} \setminus \mathbb{P}_r$.
- $\alpha_p = \tilde{\alpha}_p + \lfloor \log p \rfloor$, if $p \in \mathbb{P}_r$, where $\tilde{\alpha}_p$ is a root of an Eisenstein polynomial, so, in particular, $v_p(\tilde{\alpha}_p) > 0$.

For each $p \in \mathbb{P}$, let π_p be a uniformizer of $\mathbb{Q}_p(\alpha_p)$ (which is just p if $p \notin \mathbb{P}_r$). We then have

$$g(\alpha_p) \equiv g(\lfloor \log p \rfloor) \pmod{\pi_p}.$$

Now, for all p sufficiently large, $g(\lfloor \log p \rfloor)$ is not divisible by p , since

$$\lim_{x \rightarrow \infty} \frac{g(\log x)}{x} = 0.$$

Hence, $\mathbb{P}_{g, \underline{E}}$ is finite.

The fact that $\text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}})$ has class group equal to G follows either by [Chang 2022, Corollary 2.6] or by applying Lemma 2.14 and Proposition 2.10, by noting that $\text{Pic}(\text{Int}_{\mathbb{Q}}(E_p, \overline{\mathbb{Z}}_p)) = \mathbb{Z}^{m_p}$ for each $p \in \mathbb{P} \setminus \mathbb{P}_r$ and $\text{Pic}(\text{Int}_{\mathbb{Q}}(E_q, \overline{\mathbb{Z}}_q)) = \mathbb{Z}/n_q\mathbb{Z}$ for each $q \in \mathbb{P}_r$.

For the last claim, if $i \in I$, we let S_i be the multiplicative subset of \mathbb{Z} generated by $\mathbb{P} \setminus \mathbb{P}_i$. Then, by Lemma 2.5, $S_i^{-1} \text{Int}_{\mathbb{Q}}(\underline{E}, \widehat{\mathbb{Z}}) = \text{Int}_{\mathbb{Q}}(\underline{E}_i, \widehat{\mathbb{Z}})$ which has class group isomorphic to G_i by Lemma 2.14 and Proposition 2.10. \square

Acknowledgments

The author wishes to thank Jean-Luc Chabert for reading a preliminary version of this paper. The author also wishes also to thank the anonymous referees for helpful remarks.

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Received July 9, 2022. Revised April 19, 2023.

GIULIO PERUGINELLI
DIPARTIMENTO DI MATEMATICA “TULLIO LEVI-CIVITA”
UNIVERSITÀ DI PADOVA
PADOVA
ITALY
gperugin@math.unipd.it

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University of California
Los Angeles, CA 90095-1555
balmer@math.ucla.edu

Vyjayanthi Chari
Department of Mathematics
University of California
Riverside, CA 92521-0135
chari@math.ucr.edu

Robert Lipshitz
Department of Mathematics
University of Oregon
Eugene, OR 97403
lipshitz@uoregon.edu

Kefeng Liu
Department of Mathematics
University of California
Los Angeles, CA 90095-1555
liu@math.ucla.edu

Sorin Popa
Department of Mathematics
University of California
Los Angeles, CA 90095-1555
popa@math.ucla.edu

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Princeton University
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
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The Pacific Journal of Mathematics (ISSN 1945-5844 electronic, 0030-8730 printed) at the University of California, c/o Department of Mathematics, 798 Evans Hall #3840, Berkeley, CA 94720-3840, is published twelve times a year. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices. POSTMASTER: send address changes to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163.

PJM peer review and production are managed by EditFLOW® from Mathematical Sciences Publishers.

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Volume 324 No. 2 June 2023

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