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**DEFORMATION OF PAIRS AND SEMIREGULARITY**

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# DEFORMATION OF PAIRS AND SEMIREGULARITY

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**We study relative deformation of a map into a Kähler manifold whose image is a divisor. We show that if the map satisfies a condition called semiregularity, then it allows relative deformations if and only if the cycle class of the image remains Hodge in the family. This gives a refinement of the so-called variational Hodge conjecture. We also show that the semiregularity of maps is related to classical notions such as Cayley–Bacharach conditions and d-semistability.**

## 1. Introduction

Let  $\pi : \mathfrak{X} \rightarrow D$  be a deformation of a compact Kähler manifold  $X_0$  of dimension  $n \geq 2$  over a disk  $D$  in the complex plane. Let  $C_0$  be a compact reduced curve (when  $n = 2$ ) or a compact smooth complex manifold of dimension  $n - 1$  (when  $n > 2$ ). Let  $\varphi_0 : C_0 \rightarrow X_0$  be a map which is an immersion, that is, for any  $p \in C_0$ , there is an open neighborhood  $p \in V_p \subset C_0$  such that  $\varphi_0|_{V_p}$  is an embedding. Then, the image of  $\varphi_0$  determines an integral cohomology class  $[\varphi_0(C_0)]$  of type  $(1, 1)$ , that is, a Hodge class which is the Poincaré dual of the cycle  $\varphi_0(C_0)$ . Note that the class  $[\varphi_0(C_0)]$  naturally determines an integral cohomology class of each fiber of  $\pi$ . Therefore, it makes sense to ask whether this class remains Hodge in these fibers or not. Clearly, the condition that the class  $[\varphi_0(C_0)]$  remains Hodge is necessary for the existence of deformations of the map  $\varphi_0$  to other fibers.

The notion of *semiregularity* plays a role in this context, though it was introduced [21] and developed [16] originally for submanifolds of codimension one in a *fixed* complex manifold. The main result of these studies is that if a submanifold of codimension one is semiregular, then the obstruction to deforming it in the ambient manifold vanishes. Bloch [5] generalized the notion of semiregularity to subvarieties of any codimension in a projective manifold which are local complete intersection. He generalized the results of [16; 21] to this case, and also related the notion of semiregularity to deformation of pairs. Namely, he proved that if  $C_0$  is a subvariety of a projective manifold  $X_0$  which is local complete intersection and semiregular, and if the class  $[C_0]$  remains Hodge in an algebraic family  $\mathfrak{X} \rightarrow \mathbb{C}$

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whose central fiber is  $X_0$ , then there is a deformation of  $C_0$  relative to the base. In other words, a local complete intersection subvariety which is semiregular satisfies the *variational Hodge conjecture*. More precisely, the variational Hodge conjecture asks the existence of a family of cycles of the class  $[C_0]$  which need not restrict to  $C_0$  on the central fiber. Therefore, Bloch's theorem in fact shows that the semiregularity gives a result stronger than the variational Hodge conjecture. We also note that Ran [20] generalized Bloch's result to cases where a weaker version of semiregularity holds. However, although Bloch's and Ran's theorems guarantee the existence of a relative deformation of a cycle on the central fiber  $X_0$ , it gives little control of the geometry of the deformed cycle.

More recently, the notion of semiregularity has been generalized to maps between varieties [6; 11]. In [11], maps between compact Kähler manifolds were investigated, and it was shown that if the map is semiregular, then it deforms in a fixed target manifold. In [6], the notion of semiregularity was generalized to a very broad context using cotangent complexes, and many known results were generalized. The case of maps was also considered (see [6, Theorem 7.23]), but not in the context of the variational Hodge conjecture as we will do. See also [2; 3; 12; 17; 19] for recent developments related to semiregularity.

Our purpose is to show that the semiregularity in fact suffices to control the geometry of the deformed cycles when the cycle is of codimension one, and also that we can extend the result to maps to a family of Kähler manifolds. Recall that  $\varphi_0 : C_0 \rightarrow X_0$  is an immersion where  $\dim C_0 = \dim X_0 - 1$ .

**Theorem 1.** *Assume that the map  $\varphi_0$  is semiregular in the sense of Definition 4. If the class  $[\varphi_0(C_0)]$  remains Hodge, then the map  $\varphi_0$  deforms to other fibers.*

For example, if the image  $\varphi_0(C_0)$  has normal crossing singularity, then there is a natural map  $\tilde{\varphi}_0 : \tilde{C}_0 \rightarrow X_0$ , where  $\tilde{C}_0$  is the normalization of  $C_0$  (when  $n > 2$ ,  $C_0 = \tilde{C}_0$ ). Then, if  $\tilde{\varphi}_0$  is semiregular, Theorem 1 implies that it deforms to a general fiber and the singularity of the image remains the same (e.g., it gives a relative equigeneric deformation when  $n = 2$ ).

On the other hand, if the image  $\varphi_0(C_0)$  has normal crossing singularity, the semiregularity turns out to be related to some classical notions appeared in different contexts. Namely, we will prove the following (see Corollary 17).

**Theorem 2.** *Assume that the subvariety  $\varphi_0(C_0)$  is semiregular in the classical sense. That is, the inclusion of  $\varphi_0(C_0)$  into  $X_0$  is semiregular in the sense of Definition 4. Then, if the map  $H^0(\varphi_0(C_0), \mathcal{N}_l) \rightarrow H^0(\varphi_0(C_0), \mathcal{S})$  is surjective, the map  $\varphi_0$  is semiregular. In particular, if the class  $[\varphi_0(C_0)]$  remains Hodge on the fibers of  $\mathfrak{X}$ , the map  $\varphi_0$  can be deformed to general fibers of  $\mathfrak{X}$ .*

Here,  $\mathcal{N}_l$  is the normal sheaf of  $\varphi_0(C_0)$  in  $X_0$  and  $\mathcal{S}$  is the infinitesimal normal sheaf of the variety  $\varphi_0(C_0)$ , see Section 6 for the definition. A variety with normal

crossing singularity is called *d-semistable* if the infinitesimal normal sheaf is trivial, see [8]. The notion of d-semistability is known to be related to the existence of log-smooth deformations (see [13; 14]). By the above theorem, it turns out that it is also related to deformations of pairs, see [Corollary 19](#).

In the case where  $n = 2$ , if  $X_0$  is a K3 surface, any immersion  $\varphi_0$  is semiregular, and [Theorem 1](#) can be applied to any such  $\varphi_0$ . This result is well known and was proved, for example, using the twistor family associated with the hyperkähler structure of K3 surfaces. [Theorem 1](#) gives a generalization of it to general surfaces. In general, we need to check whether a given map  $\varphi_0$  is semiregular or not. For that purpose, [Theorem 31](#) in [18] combined with [Theorem 1](#) above implies the following. Let  $\varphi_0 : C_0 \rightarrow X_0$  be an immersion such that the image  $\varphi_0(C_0)$  is a reduced nodal curve. Let  $p : C_0 \rightarrow \varphi_0(C_0)$  be the natural map (which is a partial normalization of  $\varphi_0(C_0)$ ) and  $P = \{p_i\}$  be the set of nodes of  $\varphi_0(C_0)$  whose inverse image by  $p$  consists of two points.

**Theorem 3.** *Assume that  $\varphi_0(C_0)$  is semiregular in the classical sense and the class  $[\varphi_0(C_0)]$  remains Hodge on the fibers of  $\mathfrak{X}$ . Then, the map  $\varphi_0$  deforms to general fibers of  $\mathfrak{X}$  if for each  $p_i \in P$ , there is a first-order deformation of  $\varphi_0(C_0)$  which smooths  $p_i$ , but does not smooth the other nodes of  $P$ .  $\square$*

The condition in [Theorem 20](#) is related (in a sense opposite) to the classical *Cayley–Bacharach condition*, see [4], which requires that if a first-order deformation does not smooth the nodes  $P \setminus \{p_i\}$ , then it does not smooth  $p_i$ , either. Using this, we can also deduce a geometric criterion for the existence of deformations of pairs, see [Corollary 21](#).

**Notation.** We will work in the complex analytic category. Later in the paper, we will study nonconstant maps  $\varphi_0 : C_0 \rightarrow X_0$  from a variety  $C_0$  to a Kähler manifold  $X_0$  and their deformations. We denote by  $\mathfrak{X}$  a family of compact Kähler manifolds over a disk  $D \subset \mathbb{C}$  whose central fiber is  $X_0$ . A deformation of  $\varphi_0$  over  $\text{Spec } \mathbb{C}[t]/t^{k+1}$  will be written as  $\varphi_k : C_k \rightarrow X_k = \mathfrak{X} \times_D \text{Spec } \mathbb{C}[t]/t^{k+1}$ . By the image of a map  $\varphi_0$  or  $\varphi_k$ , we mean the analytic locally ringed space with the annihilator structure, see [9, Chapter I, Definition 1.45]. That is, if  $U$  is an open subset of  $C_k$  with the induced structure of an analytic locally ringed space, and  $V$  is an open subset of  $X_k$  such that  $\varphi_k(U)$  is closed in  $V$ , we associate the structure sheaf

$$\mathcal{O}_V / \text{Ann}_{\mathcal{O}_V}((\varphi_k)_* \mathcal{O}_U)$$

to the image  $\varphi_k(U)$ .

## 2. Semiregularity for local embeddings

Let  $n$  and  $p$  be positive integers with  $p < n$ . Let  $M$  be a complex variety (not necessarily smooth or reduced) of dimension  $n - p$  and  $X$  a compact Kähler

manifold of dimension  $n$ . Let  $\varphi : M \rightarrow X$  be a map which is an immersion, that is, for any  $p \in M$ , there is an open neighborhood  $p \in U_p \subset M$  such that  $\varphi|_{U_p}$  is an embedding. We assume that the image is a local complete intersection. Then, the normal sheaf  $\mathcal{N}_\varphi$  is locally free of rank  $p$ . Define the locally free sheaves  $\mathcal{K}_\varphi$  and  $\omega_M$  on  $M$  by

$$\mathcal{K}_\varphi = \wedge^p \mathcal{N}_\varphi^\vee \quad \text{and} \quad \omega_M = \mathcal{K}_\varphi^\vee \otimes \varphi^* \mathcal{K}_X,$$

where  $\mathcal{K}_X$  is the canonical sheaf of  $X$ .

When  $\varphi$  is an inclusion, the natural inclusion

$$\varepsilon : \mathcal{N}_\varphi^\vee \rightarrow \varphi^* \Omega_X^1$$

gives rise to an element

$$\begin{aligned} \wedge^{p-1} \varepsilon \in \text{Hom}_{\mathcal{O}_M}(\wedge^{p-1} \mathcal{N}_\varphi^\vee, \varphi^* \Omega_X^{p-1}) &= \Gamma(M, (\varphi^* \Omega_X^{n-p+1})^\vee \otimes \varphi^* \mathcal{K}_X \otimes \mathcal{K}_\varphi^\vee \otimes \mathcal{N}_\varphi^\vee) \\ &= \text{Hom}_{\mathcal{O}_X}(\Omega_X^{n-p+1}, \omega_M \otimes \mathcal{N}_\varphi^\vee). \end{aligned}$$

This induces a map on cohomology:

$$\wedge^{p-1} \varepsilon : H^{n-p-1}(X, \Omega_X^{n-p+1}) \rightarrow H^{n-p-1}(M, \omega_M \otimes \mathcal{N}_\varphi^\vee).$$

When  $\varphi$  is not an inclusion, then  $\Gamma(M, (\varphi^* \Omega_X^{n-p+1})^\vee \otimes \varphi^* \mathcal{K}_X \otimes \mathcal{K}_\varphi^\vee \otimes \mathcal{N}_\varphi^\vee)$  is not necessarily isomorphic to  $\text{Hom}_{\mathcal{O}_X}(\Omega_X^{n-p+1}, \omega_M \otimes \mathcal{N}_\varphi^\vee)$ , but the map

$$\wedge^{p-1} \varepsilon : H^{n-p-1}(X, \Omega_X^{n-p+1}) \rightarrow H^{n-p-1}(M, \omega_M \otimes \mathcal{N}_\varphi^\vee)$$

is still defined.

**Definition 4.** We call  $\varphi$  *semiregular* if the natural map  $\wedge^{p-1} \varepsilon$  is surjective.

In this paper, we are interested in the case where  $p = 1$  and  $M$  is reduced when  $n = 2$ , and  $M$  is smooth when  $n > 2$ . In this case, we have  $\omega_M \otimes \mathcal{N}_\varphi^\vee \cong \varphi^* \mathcal{K}_X$  and the map  $\wedge^{p-1} \varepsilon$  will be

$$H^{n-2}(X, \mathcal{K}_X) \rightarrow H^{n-2}(M, \varphi^* \mathcal{K}_X).$$

**Remark 5.** As we mentioned in the introduction, in [6; 11], Buchweitz–Flenner and Iacono also considered semiregularity of maps between varieties in broader contexts. In the case of maps we consider in this paper, their definitions coincide with ours.

### 3. Local calculation

Let  $\pi : \mathfrak{X} \rightarrow D$  be a deformation of a compact Kähler manifold  $X_0$  of dimension  $n \geq 2$ . Here,  $D$  is a disk on the complex plane centered at the origin. Let

$$\{(U_i, (x_{i,1}, \dots, x_{i,n}))\}$$

be a coordinate system of  $X_0$ . Taking  $D$  small enough, the sets

$$\{\mathfrak{U}_i = U_i \times D, (x_{i,1}, \dots, x_{i,n}, t)\}$$

gives a coordinate system of  $\mathfrak{X}$ . Precisely, we fix an isomorphism between  $\mathfrak{U}_i$  and a suitable open subset of  $\mathfrak{X}$  which is compatible with  $\pi$  and the inclusion  $U_i \rightarrow X_0$ . Here,  $t$  is a coordinate on  $D$  pulled back to  $\mathfrak{U}_i$ . The functions  $x_{i,l}$  are also pulled back to  $\mathfrak{U}_i$  from  $U_i$  by the natural projection.

Take coordinate neighborhoods  $\mathfrak{U}_i, \mathfrak{U}_j$  and  $\mathfrak{U}_k$ . On the intersections of these open subsets, the coordinate functions on one of them can be written in terms of those on another. Namely, on  $\mathfrak{U}_i \cap \mathfrak{U}_j$ ,  $x_{i,l}$  can be written as  $x_{i,l}(\mathbf{x}_j, t)$ , here we write

$$\mathbf{x}_j = (x_{j,1}, \dots, x_{j,n}).$$

Similarly, on  $\mathfrak{U}_j \cap \mathfrak{U}_k$ , we have  $x_{j,l} = x_{j,l}(\mathbf{x}_k, t)$ . Then, on  $\mathfrak{U}_i \cap \mathfrak{U}_j \cap \mathfrak{U}_k$ , we have

$$x_{i,l} = x_{i,l}(\mathbf{x}_k, t) = x_{i,l}(\mathbf{x}_j(\mathbf{x}_k, t), t).$$

For simplicity we often write  $x_{i,l}(\mathbf{x}_k, t)$  as  $x_{i,l}(\mathbf{x}_k)$  and  $x_{i,l}(\mathbf{x}_j(\mathbf{x}_k, t), t)$  as  $x_{i,l}(\mathbf{x}_j(\mathbf{x}_k))$ .

Let  $X_t = \pi^{-1}(t)$  be the fiber of the family  $\pi$  over  $t \in D$ . Assume that the map

$$\varphi_0 : C_0 \rightarrow X_0$$

exists from a variety  $C_0$  of dimension  $n - 1$  to  $X_0$ , which is an immersion.

We can take an open covering  $\{V_i\}$  of  $C_0$  such that the restriction of  $\varphi_0$  to  $V_i$  is an embedding, the image  $\varphi_0(V_i)$  is contained in  $U_i$  and is defined by an equation  $f_{i,0} = 0$  for some holomorphic function  $f_{i,0}$ . Moreover, we assume that if  $V_i \cap V_j$  is nonempty, we have

$$\varphi_0(V_i \cup V_j) \cap (U_i \cap U_j) = \varphi_0(V_i \cap V_j).$$

Let  $\text{Spec } \mathbb{C}[t]/t^{m+1}$  be the  $m$ -th order infinitesimal neighborhood of the origin of  $D$ . Note that

$$\{U_{i,m} = \mathfrak{U}_i \times_D \text{Spec } \mathbb{C}[t]/t^{m+1}\}$$

gives a covering by coordinate neighborhoods of  $X_m = \mathfrak{X} \times_D \text{Spec } \mathbb{C}[t]/t^{m+1}$ . We write by  $x_{i,l,m}$  the restriction of  $x_{i,l}$  to  $U_{i,m}$ . Let us write

$$\mathbf{x}_{i,m} = \{x_{i,1,m}, \dots, x_{i,n,m}\}.$$

Assume that we have constructed an  $m$ -th order deformation  $\varphi_m : C_m \rightarrow X_m$  of  $\varphi_0$ . Here,  $m$  is a nonnegative integer and  $C_m$  is an  $m$ -th order deformation of  $C_0$ . Let  $V_{i,m}$  be the locally ringed space obtained by restricting the structure of a locally ringed space on  $C_m$  to  $V_i$ .

Let  $\{f_{i,m}(\mathbf{x}_{i,m}, t)\}$  be the set of local defining functions of  $\varphi_m(V_{i,m})$  in  $U_{i,m}$ . We will often write  $f_{i,m}(\mathbf{x}_{i,m}, t)$  as  $f_{i,m}(\mathbf{x}_{i,m})$  for notational simplicity. In particular,

on the intersection  $U_{i,m} \cap U_{j,m}$ , there is an invertible function  $g_{ij,m}$  which satisfies

$$f_{i,m}(\mathbf{x}_{i,m}(\mathbf{x}_{j,m}, t), t) = g_{ij,m}(\mathbf{x}_{j,m}, t) f_{j,m}(\mathbf{x}_{j,m}, t) \pmod{t^{m+1}}.$$

Define a holomorphic function  $v_{ij,m}$  on  $U_{i,m} \cap U_{j,m}$  by

$$\begin{aligned} t^{m+1} v_{ij,m}(\mathbf{x}_{j,m+1}) &= t^{m+1} v_{ij,m}(\mathbf{x}_{j,0}) \\ &= f_{i,m}(\mathbf{x}_{i,m+1}(\mathbf{x}_{j,m+1})) - g_{ij,m}(\mathbf{x}_{j,m+1}) f_{j,m}(\mathbf{x}_{j,m+1}), \end{aligned}$$

which is an equality over  $\mathbb{C}[t]/t^{m+2}$ . Note that  $v_{ij,m}$  can be regarded as a function on  $U_i \cap U_j$ .

**Proposition 6.** *Assume that the intersection  $U_i \cap U_j \cap U_k$  is nonempty. Then, on  $U_i \cap U_j \cap U_k \cap \varphi_0(V_i)$ , the following identities hold:*

$$v_{ik,m}(\mathbf{x}_{k,m+1}) = v_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) + g_{ij,0}(\mathbf{x}_{j,0}(\mathbf{x}_{k,0})) v_{jk,m}(\mathbf{x}_{k,m+1})$$

and

$$v_{ij,m} = -g_{ij,0} v_{ji,m}.$$

**Remark 7.** The equality

$$U_i \cap U_j \cap U_k \cap \varphi_0(V_i) = U_i \cap U_j \cap U_k \cap \varphi_0(V_j) = U_i \cap U_j \cap U_k \cap \varphi_0(V_k)$$

holds by the way we took  $\{V_i\}$ .

*Proof.* We have

$$\mathbf{x}_{i,m+1}(\mathbf{x}_{k,m+1}) \equiv \mathbf{x}_{i,m+1}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) \pmod{t^{m+2}},$$

on  $U_{i,m+1} \cap U_{j,m+1} \cap U_{k,m+1}$ . Then,

$$\begin{aligned} &t^{m+1} v_{ik,m}(\mathbf{x}_{k,m+1}) \\ &= f_{i,m}(\mathbf{x}_{i,m+1}(\mathbf{x}_{k,m+1})) - g_{ik,m}(\mathbf{x}_{k,m+1}) f_{k,m}(\mathbf{x}_{k,m+1}) \\ &= f_{i,m}(\mathbf{x}_{i,m+1}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1}))) - g_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) f_{j,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) \\ &\quad + g_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) f_{j,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) - g_{ik,m}(\mathbf{x}_{k,m+1}) f_{k,m}(\mathbf{x}_{k,m+1}) \\ &= t^{m+1} v_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) + g_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) (f_{j,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) \\ &\quad - g_{jk,m}(\mathbf{x}_{k,m+1}) f_{k,m}(\mathbf{x}_{k,m+1})) \\ &\quad + g_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) g_{jk,m}(\mathbf{x}_{k,m+1}) f_{k,m}(\mathbf{x}_{k,m+1}) \\ &\quad - g_{ik,m}(\mathbf{x}_{k,m+1}) f_{k,m}(\mathbf{x}_{k,m+1}) \\ &= t^{m+1} v_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) + t^{m+1} g_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) v_{jk,m}(\mathbf{x}_{k,m+1}) \\ &\quad + (g_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) g_{jk,m}(\mathbf{x}_{k,m+1}) - g_{ik,m}(\mathbf{x}_{k,m+1})) f_{k,m}(\mathbf{x}_{k,m+1}), \end{aligned}$$

mod  $t^{m+2}$ . Since

$$(g_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) g_{jk,m}(\mathbf{x}_{k,m+1}) - g_{ik,m}(\mathbf{x}_{k,m+1})) f_{k,m}(\mathbf{x}_{k,m+1}) \equiv 0 \pmod{t^{m+1}},$$

we have

$$g_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) g_{jk,m}(\mathbf{x}_{k,m+1}) \equiv g_{ik,m}(\mathbf{x}_{k,m+1}) \pmod{t^{m+1}}.$$

Therefore, we have

$$\begin{aligned} &(g_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) g_{jk,m}(\mathbf{x}_{k,m+1}) - g_{ik,m}(\mathbf{x}_{k,m+1})) f_{k,m}(\mathbf{x}_{k,m+1}) \\ &\equiv (g_{ij,m}(\mathbf{x}_{j,m+1}(\mathbf{x}_{k,m+1})) g_{jk,m}(\mathbf{x}_{k,m+1}) - g_{ik,m}(\mathbf{x}_{k,m+1})) f_{k,0}(\mathbf{x}_{k,m+1}) \pmod{t^{m+2}}. \end{aligned}$$

Since  $f_{k,0}(\mathbf{x}_k) = 0$  on the image of  $\varphi_0|_{V_k}$ , we have the first identity. The second identity follows from this by taking  $k = i$ . □

Note that the pull back  $\varphi_0^*|_{V_i \cap V_j} g_{ij,0}$  of the set of functions  $\{g_{ij,0}\}$  is the set of transition functions for the normal sheaf of  $\varphi_0$ . Thus, the proposition shows that the pull back  $\{\varphi_0^*|_{V_i \cap V_j} v_{ij,m+1}\}$  of the set of functions  $\{v_{ij,m+1}\}$  behaves as a Čech 1-cocycle with values in the normal sheaf  $\mathcal{N}_{\varphi_0}$  of  $\varphi_0$ . Then, the following is a straightforward generalization of an argument in [16, Section 3], whose proof we omit.

**Lemma 8.** *The cohomology class of the cocycle  $\{\varphi_0^*|_{V_i \cap V_j} v_{ij,m+1}\}$  represents the obstruction to deforming  $\varphi_m$  one step further.* □

The assumption that  $\varphi_0 : C_0 \rightarrow X_0$  is an immersion and  $\dim C_0 = \dim X_0 - 1$  is crucial for this lemma. For simplicity, we will write  $\{\varphi_0^*|_{V_i \cap V_j} v_{ij,m+1}\}$  as  $\{v_{ij,m+1}\}$  if no confusion would occur.

### 4. Explicit description of the Kodaira–Spencer class

Let  $\pi : \mathfrak{X} \rightarrow D$  be a deformation of a compact Kähler manifold  $X_0$  as before. We have the exact sequence

$$0 \rightarrow \pi^* \Omega_D^1 \rightarrow \Omega_{\mathfrak{X}}^1 \rightarrow \Omega_{\mathfrak{X}/D}^1 \rightarrow 0$$

The Kodaira–Spencer class is, by definition, the corresponding class in

$$\mu \in \text{Ext}^1(\Omega_{\mathfrak{X}/D}^1, \pi^* \Omega_D^1).$$

**Lemma 9.** *The class  $\mu$  is represented by the Čech 1-cocycle*

$$\mu_{ij} = \sum_{l=1}^n \frac{\partial x_{i,l}(\mathbf{x}_j, t)}{\partial t} \partial_{x_{i,l}} dt.$$

*Proof.* See [10, Section II.1]. □

From now on, we drop  $dt$  from these expressions since it plays no role below. Restricting this to a presentation over  $\mathbb{C}[t]/t^{m+1}$ , we obtain the Kodaira–Spencer class for the deformation  $X_{m+1} := \mathfrak{X} \times_D \text{Spec } \mathbb{C}[t]/t^{m+2}$ . We denote this class by  $\mu_m$ .



Assume that we have constructed an  $m$ -th order deformation  $\varphi_m : C_m \rightarrow X_m$  of  $\varphi_0$ . Let  $\mathcal{N}_{m/D}$  be the relative normal sheaf of  $\varphi_m$  and

$$p_m : \varphi_m^* \mathcal{T}_{X_m/D} \rightarrow \mathcal{N}_{m/D}$$

be the natural map, where  $\mathcal{T}_{X_m/D}$  is the relative tangent sheaf of  $X_m$ . Pulling  $\mu_m$  back to  $C_m$  and taking the image by  $p_m$ , we obtain a class  $\bar{\mu}_m \in H^1(C_m, \mathcal{N}_{m/D})$ .

As before, let  $\{f_{i,m}(\mathbf{x}_{i,m}, t)\}$  be the set of local defining functions of  $\varphi_m(V_{i,m})$  on  $U_{i,m}$ .

**Lemma 10.** *The class  $\bar{\mu}_m$  is represented by the pull back of*

$$\eta_{ij,m} = \sum_{l=1}^n \frac{\partial x_{i,l}(\mathbf{x}_j, t)}{\partial t} \partial_{x_{i,l}} f_{i,m}(\mathbf{x}_i, t)$$

to  $C_m$ .

*Proof.* We check the cocycle condition. Namely, we have

$$\begin{aligned} & \eta_{ik,m} - \eta_{ij,m} - g_{ij,m} \eta_{jk,m} \\ &= \sum_{l=1}^n \frac{\partial x_{i,l}(\mathbf{x}_k, t)}{\partial t} \partial_{x_{i,l}} f_{i,m}(\mathbf{x}_i, t) - \sum_{l=1}^n \frac{\partial x_{i,l}(\mathbf{x}_j, t)}{\partial t} \partial_{x_{i,l}} f_{i,m}(\mathbf{x}_i, t) \\ & \quad - g_{ij,m} \sum_{l=1}^n \frac{\partial x_{j,l}(\mathbf{x}_k, t)}{\partial t} \partial_{x_{j,l}} f_{j,m}(\mathbf{x}_j, t) \\ &= \sum_{l=1}^n \frac{\partial x_{i,l}(\mathbf{x}_k, t)}{\partial t} \partial_{x_{i,l}} f_{i,m}(\mathbf{x}_i, t) - \sum_{l=1}^n \frac{\partial x_{i,l}(\mathbf{x}_j, t)}{\partial t} \partial_{x_{i,l}} f_{i,m}(\mathbf{x}_j, t) \\ & \quad - g_{ij,m} \sum_{l=1}^n \frac{\partial x_{j,l}(\mathbf{x}_k, t)}{\partial t} \partial_{x_{j,l}} (g_{ij,m}^{-1} f_{i,m}(\mathbf{x}_i(\mathbf{x}_j, t), t)) \\ &= (\mu_{ik} - \mu_{ij} - \mu_{jk}) f_{i,m} - g_{ij,m} f_{i,m}(\mathbf{x}_i(\mathbf{x}_j, t), t) \sum_{l=1}^n \frac{\partial x_{j,l}(\mathbf{x}_k, t)}{\partial t} \partial_{x_{j,l}} (g_{ij,m}^{-1}). \end{aligned}$$

Since  $\mu_{ik} - \mu_{ij} - \mu_{jk} = 0$  by the cocycle condition, and  $f_{i,m}(\mathbf{x}_i(\mathbf{x}_j, t), t)$  is zero on the image of  $\varphi_m$ , we see that  $\eta_{ik,m} = \eta_{ij,m} + g_{ij,m} \eta_{jk,m}$  on  $C_m$ . Also, note that  $g_{ij,m}$  is the transition function of the normal sheaf  $\mathcal{N}_{m/D}$ . Then, it is clear that  $\eta_{ij,m}$  represents the class  $\bar{\mu}_m$ .  $\square$

Recall that a complex analytic cycle of codimension  $r$  in a Kähler manifold determines a cohomology class of type  $(r, r)$ , which is the Poincaré dual of the homology class of the cycle. Let  $\zeta_{C_0} \in H^1(X_0, \Omega_{X_0/D}^1)$  be the class corresponding to the image of  $\varphi_0$ . Note that since the family  $\mathfrak{X}$  is differential geometrically trivial, the class  $\zeta_{C_0}$  determines a cohomology class in  $H^2(\mathfrak{X}, \mathbb{C})$ . We denote it by  $\tilde{\zeta}_{C_0}$ . Then, we have:

**Lemma 11.** *When  $\varphi_0$  is semiregular, the class  $\tilde{\zeta}_{C_0}$  remains Hodge in  $X_{m+1}$  if and only if the class  $\bar{\mu}_m$  is zero.*

*Proof.* Since we are assuming we have constructed  $\varphi_m : C_m \rightarrow X_m$ , the class  $\tilde{\zeta}_{C_0}$  is Hodge on  $X_m$ . That is,

$$\tilde{\zeta}_{C_0}|_{X_m} \in H^1(X_m, \Omega_{X_m/D}^1).$$

Bloch [5, Proposition 4.2] showed that  $\tilde{\zeta}_{C_0}$  remains Hodge on  $X_{m+1}$  if and only if the cup product

$$\tilde{\zeta}_{C_0}|_{X_m} \cup \mu_m \in H^2(X_m, \mathcal{O}_{X_m})$$

is zero. This is the same as the claim that the cup product  $\tilde{\zeta}_{C_0}|_{X_m} \cup \mu_m \cup \alpha$  is zero for any  $\alpha \in H^{2n-2}(X_m, \mathbb{C})$ . On the other hand, we have:

**Claim 12.** *The cup product  $\tilde{\zeta}_{C_0}|_{X_m} \cup \mu_m \cup \alpha$  is zero for any  $\alpha \in H^{2n-2}(X_m, \mathbb{C})$  if and only if the cup product  $\bar{\mu}_m \cup \varphi_m^* \alpha$  is zero on  $C_m$ .*

*Proof of Claim 12.* By definition of  $\tilde{\zeta}_{C_0}|_{X_m}$ , the class  $\tilde{\zeta}_{C_0}|_{X_m} \cup \mu_m \cup \alpha$  is zero if and only if the class  $\varphi_m^* \mu_m \cup \varphi_m^* \alpha$  is zero. Note that the cohomology group  $H^{2n-2}(X_m, \mathbb{C})$  decomposes as

$$H^{2n-2}(X_m, \mathbb{C}) \cong H^n(X_m, \Omega_{X_m/D}^{n-2}) \oplus H^{n-1}(X_m, \Omega_{X_m/D}^{n-1}) \oplus H^{n-2}(X_m, \mathcal{K}_{X_m/D}),$$

here,  $\mathcal{K}_{X_m/D}$  is the relative canonical sheaf. By dimensional reason, the cup product between  $\varphi_m^* \mu_m$  and the pull back of the classes in

$$H^n(X_m, \Omega_{X_m/D}^{n-2}) \oplus H^{n-1}(X_m, \Omega_{X_m/D}^{n-1})$$

is zero. Therefore, we can assume that  $\alpha$  belongs to  $H^{n-2}(X_m, \mathcal{K}_{X_m/D})$ , and so the class  $\varphi_m^* \alpha$  belongs to  $H^{n-2}(C_m, \varphi_m^* \mathcal{K}_{X_m/D})$ . On the other hand,  $\varphi_m^* \mu_m$  belongs to  $H^1(C_m, \varphi_m^* \mathcal{T}_{X_m/D})$  and we have the natural map

$$H^1(C_m, \varphi_m^* \mathcal{T}_{X_m/D}) \rightarrow H^1(C_m, \mathcal{N}_{m/D}).$$

Here,  $\bar{\mu}_m$  is the image of  $\varphi_m^* \mu_m$  by this map. Recall that the dual of  $H^1(C_m, \mathcal{N}_{m/D})$  is given by  $H^{n-2}(C_m, \varphi_m^* \mathcal{K}_{X_m/D})$ . So, it follows that the cup product  $\varphi_m^* \mu_m \cup \varphi_m^* \alpha$  reduces to  $\bar{\mu}_m \cup \varphi_m^* \alpha$ . This proves the claim.  $\square$

It immediately follows that if  $\bar{\mu}_m$  is zero, then  $\tilde{\zeta}_{C_0}$  remains Hodge in  $X_{m+1}$ . For the converse, assume that  $\tilde{\zeta}_{C_0}$  remains Hodge in  $X_{m+1}$ . There is a natural map

$$\iota : H^{2n-2}(X_m, \mathbb{C}) \rightarrow H^1(C_m, \mathcal{N}_{m/D})^\vee$$

as in the proof of the claim. Namely, for a class  $\alpha$  of

$$H^{2n-2}(X_m, \mathbb{C}) = H^n(X_m, \Omega_{X_m/D}^{n-2}) \oplus H^{n-1}(\Omega_{X_m/D}^{n-1}) \oplus H^{n-2}(\Omega_{X_m/D}^n)$$

and  $\beta \in H^1(C_m, \mathcal{N}_{m/D})$ , let  $\iota(\alpha)(\beta)$  be the cup product  $\beta \cup \varphi_m^* \alpha$  composed with the trace map  $H^{n-1}(C_m, \omega_{C_m}) \rightarrow \mathbb{C}$ . Here,  $\omega_{C_m}$  is the dualizing sheaf of  $C_m$ , see [1]. The restriction of this map to  $X_0$  is a surjection by the semiregularity of  $\varphi_0$ . Since the surjectivity is an open condition,  $\iota$  is also a surjection. This shows that  $\bar{\mu}_m \cup \varphi_m^* \alpha$  is zero for any  $\alpha \in H^{2n-2}(X_m, \mathbb{C})$  is equivalent to the claim that  $\bar{\mu}_m$  is zero.  $\square$

Thus, when the class  $\tilde{\zeta}_{C_0}$  remains Hodge in  $X_{m+1}$ , we can write  $\bar{\mu}_m$  as the coboundary of a Čech 0-cochain with values in  $\mathcal{N}_{m/D}$  on  $C_m$ . We choose one such representative  $\{\delta_i\}$  where  $\delta_i \in \Gamma(V_{i,m}, \mathcal{N}_{m/D})$  such that

$$\delta_i - g_{ij,m} \delta_j = \eta_{ij,m}.$$

Here,  $\{\eta_{ij,m}\}$  is a representative of  $\bar{\mu}_m$  (see Lemma 10). Also, note that by the exact sequence

$$0 \rightarrow \mathcal{O}_{U_{i,m}} \rightarrow \mathcal{O}_{U_{i,m}}(\varphi_m(V_{i,m})) \rightarrow \mathcal{N}_{m/D}|_{V_{i,m}} \rightarrow 0,$$

there is a section  $\tilde{\delta}_i$  of  $\mathcal{O}_{U_{i,m}}(\varphi_m(V_{i,m}))$  which maps to  $\delta_i$ . Then, we have a lift of  $\eta_{ij,m}$  to an open subset of  $X_0$  as follows.

**Lemma 13.** *When the class  $\tilde{\zeta}_{C_0}$  remains Hodge in  $X_{m+1}$ , the section*

$$\tilde{\eta}_{ij,m} = \tilde{\delta}_i(\mathbf{x}_i(\mathbf{x}_j, t), t) - g_{ij,m}(\mathbf{x}_j, t) \tilde{\delta}_j(\mathbf{x}_j, t)$$

*of  $\mathcal{O}_{U_{i,m} \cap U_{j,m}}(\varphi_m(V_{i,m} \cap V_{j,m}))$  coincides with  $\eta_{ij,m}$  when restricted to  $V_{i,m}$ .  $\square$*

## 5. Proof of Theorem 1

As we mentioned in the introduction, in [16], it was shown that if  $C_0 \subset X_0$  is a submanifold of codimension one that is semiregular, then the obstruction to deforming  $C_0$  in  $X_0$  vanishes. The point of their proof is to construct a Čech 1-cocycle on  $X_0$  with values in the sheaf  $\mathcal{O}_X(C_0)$ , whose restriction to  $C_0$  is the relevant obstruction class. Then, the vanishing of such a class in cohomology is a straightforward consequence of the definition of semiregularity. Thus, it is important to represent the obstruction as a restriction of a Čech cocycle on the ambient space. In the case which was studied in [16], the construction of such a cocycle on the ambient space can be done by a direct calculation. In our case of maps where  $\varphi_0(C_0)$  may be singular, we need an additional argument which is a variant of that in [18]. Also, we need to take account of the effect of the deformation of the ambient space, but it is covered by Lemma 13. In this section, we unify these arguments and complete the proof of the main theorem.

Recall that the obstruction to deforming  $\varphi_m$  is given by a cocycle

$$\{\varphi_0^*|_{V_i \cap V_j} v_{ij,m+1}\} \text{ on } C_0,$$

where  $v_{ij,m}$  is defined by

$$t^{m+1} v_{ij,m}(\mathbf{x}_j) = f_{i,m}(\mathbf{x}_i(\mathbf{x}_j, t), t) - g_{ij,m}(\mathbf{x}_j, t) f_{j,m}(\mathbf{x}_j, t).$$

For the explicit calculation of the obstruction, we eliminate  $g_{ij,m}(\mathbf{x}_j, t)$  from this expression as follows.

**Lemma 14.** *On  $U_{i,m} \cap U_{j,m}$ , we have*

$$(*) \quad (m+1) t^m \frac{v_{ij,m}(\mathbf{x}_j)}{f_{i,m}(\mathbf{x}_i, t)} = \frac{1}{f_{i,m}(\mathbf{x}_i, t)} \left( \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} + \tilde{\delta}_i \right) - \frac{1}{f_{j,m}(\mathbf{x}_j, t)} \left( \frac{\partial f_{j,m}(\mathbf{x}_j, t)}{\partial t} + \tilde{\delta}_j \right),$$

*modulo functions holomorphic on the image of  $\varphi_m|_{V_{i,m} \cap V_{j,m}}$ .*

*Proof.* First, by differentiating the equation

$$t^{m+1} v_{ij,m}(\mathbf{x}_j) = f_{i,m}(\mathbf{x}_i(\mathbf{x}_j, t), t) - g_{ij,m}(\mathbf{x}_j, t) f_{j,m}(\mathbf{x}_j, t)$$

with respect to  $t$ , we have

$$\begin{aligned} (m+1) t^m v_{ij,m}(\mathbf{x}_j) &= \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} + \sum_{l=1}^n \frac{\partial x_{i,l}(\mathbf{x}_j, t)}{\partial t} \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial x_{i,l}} \\ &\quad - g_{ij,m}(\mathbf{x}_j, t) \frac{\partial f_{j,m}(\mathbf{x}_j, t)}{\partial t} - \frac{\partial g_{ij,m}(\mathbf{x}_j, t)}{\partial t} f_{j,m}(\mathbf{x}_j, t) \\ &= \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} - g_{ij,m}(\mathbf{x}_j, t) \frac{\partial f_{j,m}(\mathbf{x}_j, t)}{\partial t} + \eta_{ij,m} - \frac{\partial g_{ij,m}(\mathbf{x}_j, t)}{\partial t} f_{j,m}(\mathbf{x}_j, t) \end{aligned}$$

on  $V_{i,m} \cap V_{j,m}$ . Since  $f_{j,m}$  is zero on the image of  $\varphi_m|_{V_{j,m}}$ , we can ignore the last term. By the same reason, we can replace  $\eta_{ij,m}$  by  $\tilde{\eta}_{ij,m}$  introduced in [Lemma 13](#), and we can regard the above equation as an equation on  $U_{i,m} \cap U_{j,m}$ .

Dividing this by  $f_{i,m}(\mathbf{x}_i, t)$ , we have

$$\begin{aligned} (*) \quad (m+1) t^m \frac{v_{ij,m}(\mathbf{x}_j)}{f_{i,m}(\mathbf{x}_i, t)} &= \frac{1}{f_{i,m}(\mathbf{x}_i, t)} \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} - \frac{g_{ij,m}(\mathbf{x}_j, t)}{f_{i,m}(\mathbf{x}_i, t)} \frac{\partial f_{j,m}(\mathbf{x}_j, t)}{\partial t} + \frac{\eta_{ij,m}}{f_{i,m}(\mathbf{x}_i, t)} \\ &= \frac{1}{f_{i,m}(\mathbf{x}_i, t)} \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} - \frac{g_{ij,m}(\mathbf{x}_j, t)}{f_{i,m}(\mathbf{x}_i, t)} \frac{\partial f_{j,m}(\mathbf{x}_j, t)}{\partial t} + \frac{\tilde{\delta}_i}{f_{i,m}(\mathbf{x}_i, t)} - \frac{g_{ij,m} \tilde{\delta}_j}{f_{i,m}(\mathbf{x}_i, t)} \\ &= \frac{1}{f_{i,m}(\mathbf{x}_i, t)} \left( \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} + \tilde{\delta}_i \right) - \frac{1}{f_{j,m}(\mathbf{x}_j, t)} \left( \frac{\partial f_{j,m}(\mathbf{x}_j, t)}{\partial t} + \tilde{\delta}_j \right) \end{aligned}$$

modulo functions holomorphic on  $C_m$ . Note that this is an equation over  $\mathbb{C}[t]/t^{m+1}$ , and so we have

$$\frac{g_{ij,m}(\mathbf{x}_j, t) f_{j,m}(\mathbf{x}_j, t)}{f_{i,m}(\mathbf{x}_i, t)} = 1. \quad \square$$

Let

$$\left[ \frac{1}{f_{i,m}(\mathbf{x}_i, t)} \left( \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} + \tilde{\delta}_i \right) \right]_m$$

be the coefficient of  $t^m$  in

$$\frac{1}{f_{i,m}(\mathbf{x}_i, t)} \left( \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} + \tilde{\delta}_i \right).$$

Note that the above equation still holds when we replace

$$\frac{1}{f_{i,m}(\mathbf{x}_i, t)} \left( \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} + \tilde{\delta}_i \right) \quad \text{and} \quad \frac{1}{f_{j,m}(\mathbf{x}_j, t)} \left( \frac{\partial f_{j,m}(\mathbf{x}_j, t)}{\partial t} + \tilde{\delta}_j \right)$$

by

$$\left[ \frac{1}{f_{i,m}(\mathbf{x}_i, t)} \left( \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} + \tilde{\delta}_i \right) \right]_m \quad \text{and} \quad \left[ \frac{1}{f_{j,m}(\mathbf{x}_j, t)} \left( \frac{\partial f_{j,m}(\mathbf{x}_j, t)}{\partial t} + \tilde{\delta}_j \right) \right]_m,$$

respectively. Also, we can think of

$$\left[ \frac{1}{f_{i,m}(\mathbf{x}_i, t)} \left( \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} + \tilde{\delta}_i \right) \right]_m$$

as a function on  $U_i$  by forgetting  $t^m$ .

Now, introduce any Riemannian metric on  $X_0$ . Recall that we fixed an open covering  $\{V_i\}$  of  $C_0$ . If  $V_i$  does not contain a singular point of  $C_0$ , we write  $\mathring{V}_i = V_i$ . If  $V_i$  contains a singular point of  $C_0$ , we write by  $\mathring{V}_i$  the complement of a small closed disk around the singular point in  $V_i$ . For each  $\mathring{V}_i$ , let  $N_{\varphi_0}|_{\mathring{V}_i}$  be the normal bundle of  $\varphi_0$  restricted to  $\mathring{V}_i$ . Let  $S_\delta|_{\mathring{V}_i}$  be the circle bundle of radius  $\delta$  in  $N_{\varphi_0}|_{\mathring{V}_i}$ . Here,  $\delta$  is a small positive real number. If  $\delta$  is small enough, the exponential map gives an embedding of  $S_\delta|_{\mathring{V}_i}$  into a small neighborhood of the image  $\varphi_0(\mathring{V}_i)$ . We can assume that the image of  $S_\delta|_{\mathring{V}_i}$  is disjoint from  $\varphi_0(V_i)$  even if  $V_i$  contains a singular point of  $C_0$ . Note that the bundles  $S_\delta|_{\mathring{V}_i}$  on each  $\mathring{V}_i$  glue and give a circle bundle  $S_\delta$  on the open subset  $\bigcup_i \mathring{V}_i$  of  $C_0$ . When  $n \geq 3$ , this is actually a bundle over  $C_0$ .

Note that the obstruction class

$$[\varphi_0^*|_{V_i \cap V_j} \nu_{ij, m+1}] \in H^1(C_0, \mathcal{N}_{\varphi_0})$$

is zero if and only if the pairing of it with any class in  $H^{n-2}(C_0, \varphi^* \mathcal{K}_{X_0})$  is zero. By semiregularity, any class in  $H^{n-2}(C_0, \varphi^* \mathcal{K}_{X_0})$  is a restriction of an element

of  $H^{n-2}(X_0, \mathcal{K}_{X_0})$ . Let  $\Theta$  be any closed  $C^\infty$   $(2n-2)$ -form on  $X_0$ . In particular,  $\Theta$  represents a class in

$$H^{2n-2}(X_0, \mathbb{C}) = H^{n-2}(X_0, \mathcal{K}_{X_0}) \oplus H^{n-1}(X_0, \Omega_{X_0}^{n-1}) \oplus H^n(X_0, \Omega_{X_0}^{n-2}).$$

Here,  $\Omega_{X_0}^i$  is the sheaf of holomorphic  $i$ -forms on  $X_0$ . Integrating the restriction of the singular  $(2n-2)$ -form

$$\left[ \frac{1}{f_{i,m}(\mathbf{x}_i, t)} \left( \frac{\partial f_{i,m}(\mathbf{x}_i, t)}{\partial t} + \tilde{\delta}_i \right) \right]_m \Theta$$

to the circle bundle along the fibers, we obtain a closed  $(2n-3)$ -forms  $\gamma_i$  on  $\mathring{V}_i$ . Then, we have:

**Lemma 15.** *On  $\mathring{V}_i \cap \mathring{V}_j$ , the limit  $\lim_{\delta \rightarrow 0} \gamma_i - \gamma_j$  exists, and is  $m+1$  times the fiberwise pairing between  $\varphi_0^*|_{V_i \cap V_j} v_{ij,m}(\mathbf{x}_j)$  and  $\varphi_0^* \Theta$ .*

*Proof.* Note that  $v_{ij,m}(\mathbf{x}_j)$  is a local section of the normal sheaf  $\mathcal{N}_{\varphi_0}$  of  $\varphi_0 : C_0 \rightarrow X_0$ . Thus, it naturally pairs with the pull back of  $\Theta$  and gives a  $(2n-3)$ -form on  $\mathring{V}_i \cap \mathring{V}_j$ . Then, the claim is a consequence of the equation  $(*)$  and standard estimates of integrations.

Now, if  $C_0$  is nonsingular (in particular if  $n \geq 3$ ), let  $\mathcal{C}^{2n-3}(C_0)$  be the sheaf of smooth closed  $(2n-3)$ -forms on  $C_0$ . It has a resolution

$$0 \rightarrow \mathcal{C}^{2n-3}(C_0) \rightarrow \mathcal{A}^{2n-3}(C_0) \rightarrow \mathcal{A}^{2n-2}(C_0) \rightarrow 0$$

by flabby sheaves. Here,  $\mathcal{A}^i(C_0)$  is the sheaf of complex valued smooth  $i$ -forms on  $C_0$ . Thus, the cohomology group  $H^1(C_0, \mathcal{C}^{2n-3})$  is naturally isomorphic to  $H^{2n-2}(C_0, \mathbb{C}) \cong H^{n-1}(C_0, \mathcal{K}_{C_0})$ .

By [Lemma 15](#), as the radius  $\delta$  goes to zero, the Čech 1-cocycle  $\{\gamma_{ij}\}$  with values in closed  $(2n-3)$ -forms obtained as the differences of  $\{\gamma_i\}$  converges to the obstruction class  $[v_{ij,m}]$  paired with the pull back of  $\Theta$  by  $\varphi_0$ , considered as a class in  $H^1(C_0, \mathcal{C}^{2n-3})$ . However, by the above isomorphism between  $H^1(C_0, \mathcal{C}^{2n-3})$  and  $H^{n-1}(C_0, \mathcal{K}_{C_0})$ , this class is the same as the obstruction class paired with  $\varphi_0^* \Theta$ . Thus, the obstruction to deforming  $\varphi_m$  vanishes if and only if the limit class in [Lemma 15](#) vanishes for any  $\Theta \in H^{n-2}(X_0, \mathcal{K}_{X_0})$ .

If  $C_0$  is nonsingular,  $\{\gamma_i\}$  is defined on a genuine open covering of  $C_0$ . Thus, the Čech cocycle  $\{\gamma_{ij}\}$  vanishes for all  $\delta$ . So, the limit also vanishes. This finishes the proof of [Theorem 1](#) for  $C_0$  nonsingular.

When  $n=2$  and  $C_0$  is singular,  $\bigcup_i \mathring{V}_i$  covers only an open subset of  $C_0$ . However, one can show that the Čech 1-cocycle defined by  $\gamma_{ij} = \gamma_i - \gamma_j$  still does not depend on the radius  $\delta$ , and  $\lim_{\delta \rightarrow 0} \gamma_i - \gamma_j$  gives the obstruction class paired with  $\varphi_0^* \Theta$ . Thus, it suffices to prove the vanishing of the class  $[v_{ij,m}]$  for a small  $\delta$ . This can be reduced to an application of the Stokes theorem. See [\[18\]](#) for full details.  $\square$

## 6. Criterion for semiregularity

In this section, we give necessary conditions for a map  $\varphi_0 : C_0 \rightarrow X_0$  to be semiregular. It turns out that some classical notions which appeared in different contexts such as Cayley–Bacharach condition and d-semistability are related to relative deformations of maps.

**The case  $n > 2$ .** First, we consider the case  $n > 2$ . Let  $\pi : \mathfrak{X} \rightarrow D$  be a family of  $n$ -dimensional Kähler manifolds. Let  $\varphi_0 : C_0 \rightarrow X_0$  be a map from a compact smooth complex manifold of dimension  $n - 1$  which is an immersion. We also assume that the image  $\varphi_0(C_0)$  has normal crossing singularity.

Consider the exact sequence on  $\varphi_0(C_0)$  given by

$$0 \rightarrow \iota^* \mathcal{K}_{X_0} \rightarrow p_* \varphi_0^* \mathcal{K}_{X_0} \rightarrow \mathcal{Q} \rightarrow 0,$$

where  $\iota : \varphi_0(C_0) \rightarrow X_0$  is the inclusion, and  $p : C_0 \rightarrow \varphi_0(C_0)$  is the normalization. The sheaf  $\mathcal{Q}$  is defined by this sequence. It is supported on the singular locus  $\text{sing}(\varphi_0(C_0))$  of  $\varphi_0(C_0)$ . We have an associated exact sequence of cohomology groups

$$(1) \quad \begin{aligned} \cdots \rightarrow H^{n-2}(\varphi_0(C_0), \iota^* \mathcal{K}_{X_0}) &\rightarrow H^{n-2}(\varphi_0(C_0), p_* \varphi_0^* \mathcal{K}_{X_0}) \\ &\rightarrow H^{n-2}(\varphi_0(C_0), \mathcal{Q}) \\ &\rightarrow H^{n-1}(\varphi_0(C_0), \iota^* \mathcal{K}_{X_0}) \\ &\rightarrow H^{n-1}(\varphi_0(C_0), p_* \varphi_0^* \mathcal{K}_{X_0}) \\ &\rightarrow H^{n-1}(\varphi_0(C_0), \mathcal{Q}). \end{aligned}$$

By dimensional reason, we have  $H^{n-1}(\varphi_0(C_0), \mathcal{Q}) = 0$ . Also, note that

$$H^i(\varphi_0(C_0), p_* \varphi_0^* \mathcal{K}_{X_0}) \cong H^i(C_0, \varphi_0^* \mathcal{K}_{X_0})$$

for  $i = n - 2, n - 1$ , by the Leray spectral sequence. Therefore, if  $\varphi_0(C_0)$  is semiregular in the classical sense, that is, the natural map

$$H^{n-2}(X_0, \mathcal{K}_{X_0}) \rightarrow H^{n-2}(\varphi_0(C_0), \iota^* \mathcal{K}_{X_0})$$

is surjective, then the map  $\varphi_0$  is semiregular if and only if the map

$$H^{n-2}(\varphi_0(C_0), \iota^* \mathcal{K}_{X_0}) \rightarrow H^{n-2}(\varphi_0(C_0), p_* \varphi_0^* \mathcal{K}_{X_0})$$

is surjective.

**Corollary 16.** *Assume that  $\varphi_0(C_0)$  is semiregular in the classical sense and the class  $[\varphi_0(C_0)]$  remains Hodge on the fibers of  $\mathfrak{X}$ . Then, if the map*

$$H^{n-2}(\varphi_0(C_0), \iota^* \mathcal{K}_{X_0}) \rightarrow H^{n-2}(\varphi_0(C_0), p_* \varphi_0^* \mathcal{K}_{X_0})$$

*is surjective,  $\varphi_0$  can be deformed to general fibers of  $\mathfrak{X}$ .* □

On the other hand, consider the exact sequence

$$0 \rightarrow p_* \mathcal{N}_{\varphi_0} \rightarrow \mathcal{N}_l \rightarrow \mathcal{S} \rightarrow 0,$$

of sheaves on  $\varphi_0(C_0)$ , where  $\mathcal{S}$  is defined by this sequence. The associated exact sequence of cohomology groups is

$$(2) \quad \begin{aligned} 0 \rightarrow H^0(\varphi_0(C_0), p_* \mathcal{N}_{\varphi_0}) &\rightarrow H^0(\varphi_0(C_0), \mathcal{N}_l) \\ &\rightarrow H^0(\varphi_0(C_0), \mathcal{S}) \\ &\rightarrow H^1(\varphi_0(C_0), p_* \mathcal{N}_{\varphi_0}) \\ &\rightarrow H^1(\varphi_0(C_0), \mathcal{N}_l) \rightarrow \dots \end{aligned}$$

We have

$$H^i(\varphi_0(C_0), p_* \mathcal{N}_{\varphi_0}) \cong H^i(C_0, \mathcal{N}_{\varphi_0})$$

again by the Leray spectral sequence. Note that the group  $H^i(C_0, \mathcal{N}_{\varphi_0})$  is isomorphic to the dual of  $H^{n-1-i}(C_0, \varphi_0^* \mathcal{K}_{X_0})$ ,  $i = 0, 1$ . Similarly, the group  $H^i(\varphi_0(C_0), \mathcal{N}_l)$  is isomorphic to the dual of  $H^{n-1-i}(\varphi_0(C_0), \iota^* \mathcal{K}_{X_0})$ ,  $i = 0, 1$ .

Comparing the dual of the cohomology exact sequence (1) with (2), we obtain  $H^{n-2}(\varphi_0(C_0), \mathcal{Q})^\vee \cong H^0(\varphi_0(C_0), \mathcal{S})$ . In particular, we can restate Corollary 16 as follows.

**Corollary 17.** *Assume that  $\varphi_0(C_0)$  is semiregular in the classical sense and the class  $[\varphi_0(C_0)]$  remains Hodge on the fibers of  $\mathfrak{X}$ . Then, if the map*

$$H^0(\varphi_0(C_0), \mathcal{N}_l) \rightarrow H^0(\varphi_0(C_0), \mathcal{S})$$

*is surjective,  $\varphi_0$  can be deformed to general fibers of  $\mathfrak{X}$ .* □

The sheaf  $\mathcal{S}$  is the *infinitesimal normal sheaf* of the singular locus of  $\varphi_0(C_0)$ , as we will see below. Recall that we assume that the image  $\varphi_0(C_0)$  has normal crossing singularity. Then, for any point  $p \in \varphi_0(C_0)$ , we can take a coordinate system  $(z_1, \dots, z_n)$  on a neighborhood  $U$  of  $p$  in  $X_0$  so that  $U \cap \varphi_0(C_0)$  is given by  $x_1 \cdots x_k = 0$ ,  $1 \leq k \leq n$ . Let  $\mathcal{I}_j$  be the ideal of  $\mathcal{O}_U$  generated by  $x_j$  and let  $\mathcal{I}$  be the ideal defining  $\varphi_0(C_0) \cap U$  in  $U$ . Then,

$$\mathcal{I}_1/\mathcal{I}_1\mathcal{I} \otimes \cdots \otimes \mathcal{I}_k/\mathcal{I}_k\mathcal{I}$$

gives an invertible sheaf on the singular locus of  $\varphi_0(C_0) \cap U$ . Globalizing this construction, we obtain an invertible sheaf on the singular locus of  $\varphi_0(C_0)$ . Then, the dual invertible sheaf of this is called the infinitesimal normal sheaf of the singular locus of  $\varphi_0(C_0)$ , see [8]. We note that the infinitesimal normal sheaf is canonically isomorphic to the sheaf (see [8, Proposition 2.3])

$$\mathrm{Ext}_{\mathcal{O}_{\varphi_0(C_0)}}^1(\Omega_{\varphi_0(C_0)}^1, \mathcal{O}_{\varphi_0(C_0)}).$$



**Lemma 18.** *The sheaf  $\mathcal{S}$  is isomorphic to the infinitesimal normal sheaf.*

*Proof.* Note that the sheaf  $\mathcal{I}_1/\mathcal{I}_1\mathcal{I} \otimes \cdots \otimes \mathcal{I}_k/\mathcal{I}_k\mathcal{I}$  is generated by the element  $x_1 \otimes \cdots \otimes x_k$ . The sheaf  $p_* \mathcal{N}_{\varphi_0}$  is given by

$$\bigoplus_{i=1}^k \text{Hom}(\mathcal{I}_i/\mathcal{I}_i^2, \mathcal{O}_U) \quad \text{on } U.$$

The sheaf  $\mathcal{N}_i$  is given by  $\text{Hom}(\mathcal{I}/\mathcal{I}^2, \mathcal{O}_U)$ . The sheaf  $\mathcal{N}_i$  is an invertible sheaf and generated by the morphism which maps  $x_1 \cdots x_k$  to  $1 \in \mathcal{O}_U$ . In particular, by multiplying any  $x_1 \cdots \check{x}_i \cdots x_k$ , the generator is mapped into the image of  $p_* \mathcal{N}_{\varphi_0} \rightarrow \mathcal{N}_i$ , namely, the image of the generator of  $\text{Hom}(\mathcal{I}_i/\mathcal{I}_i^2, \mathcal{O}_U)$ . Also, note that the ideal of the singular locus of  $\varphi_0(C_0)$  is generated by  $x_1 \cdots \check{x}_i \cdots x_k$ ,  $i = 1, \dots, k$ . From these, it is easy to see that the cokernel of the map  $p_* \mathcal{N}_{\varphi_0} \rightarrow \mathcal{N}_i$  is isomorphic to the dual of  $\mathcal{I}_1/\mathcal{I}_1\mathcal{I} \otimes \cdots \otimes \mathcal{I}_k/\mathcal{I}_k\mathcal{I}$ .  $\square$

Recall that the infinitesimal normal sheaf is related to deformations of  $\varphi_0(C_0)$  which smooth the singular locus, see [8]. In particular,  $\varphi_0(C_0)$  is called *d-semistable* if the infinitesimal normal sheaf is trivial, and d-semistable variety carries a log structure log smooth over a standard log point, so that one can study its deformations via log smooth deformation theory [13; 14; 15].

By Corollary 17, the infinitesimal normal sheaf plays a crucial in the deformation theory even if it is not d-semistable.

On the other hand, the notion of d-semistability gives a sufficient condition for the existence of deformations in this situation, too, as follows.

**Corollary 19.** *Let the image  $\varphi_0(C_0)$  be very ample and  $H^1(X_0, \mathcal{O}_{X_0}(\varphi_0(C_0))) = 0$ . Let  $\varphi_0(C_0)$  be d-semistable and the singular locus of  $\varphi_0(C_0)$  is connected. Then, the map  $\varphi_0$  is semiregular.*

*Proof.* First, we note that the subvariety  $\varphi_0(C_0)$  is semiregular in the classical sense. Namely, consider the cohomology exact sequence

$$\cdots \rightarrow H^1(X_0, \mathcal{O}_{X_0}(\varphi_0(C_0))) \rightarrow H^1(\varphi_0(C_0), \mathcal{N}_i) \rightarrow H^2(X_0, \mathcal{O}_{X_0}) \rightarrow \cdots,$$

here  $\iota : \varphi_0(C_0) \rightarrow X_0$  is the inclusion and  $\mathcal{N}_i$  is the normal sheaf of it. When  $H^1(X_0, \mathcal{O}_{X_0}(\varphi_0(C_0))) = 0$ , the map  $H^1(\varphi_0(C_0), \mathcal{N}_i) \rightarrow H^2(X_0, \mathcal{O}_{X_0})$  is injective. Since this map is the dual of the semiregularity map

$$H^{n-2}(X_0, \mathcal{K}_{X_0}) \rightarrow H^{n-2}(\varphi_0(C_0), \iota^* \mathcal{K}_{X_0}),$$

it follows that  $\varphi_0(C_0)$  is semiregular.

To prove that  $\varphi_0$  is semiregular, it suffices to show the map

$$H^0(\varphi_0(C_0), \mathcal{N}_i) \rightarrow H^0(\varphi_0(C_0), \mathcal{S})$$

is surjective. When  $\varphi_0(C_0)$  is d-semistable, the sheaf  $\mathcal{S}$  is the trivial line bundle on the singular locus of  $\varphi_0(C_0)$ . Since we assume that the singular locus is connected, it suffices to show that the map  $H^0(\varphi_0(C_0), \mathcal{N}_l) \rightarrow H^0(\varphi_0(C_0), \mathcal{S})$  is not the zero map. This in turn is equivalent to the claim that the injection

$$H^0(\varphi_0(C_0), p_* \mathcal{N}_{\varphi_0}) \rightarrow H^0(\varphi_0(C_0), \mathcal{N}_l)$$

is not an isomorphism. Since  $\varphi_0(C_0)$  is very ample, there is a section  $s$  of  $\mathcal{O}_X(\varphi_0(C_0))$  which does not entirely vanish on the singular locus of  $\varphi_0(C_0)$ . Then, if  $\sigma$  is a section of  $\mathcal{O}_X(\varphi_0(C_0))$  defining  $\varphi_0(C_0)$ , the sections  $\sigma + \tau s$ , where  $\tau \in \mathbb{C}$  is a parameter, deforms  $\varphi_0(C_0)$ , and the nonvanishing of  $s$  on the singular locus of  $\varphi_0(C_0)$  implies that this smooths a part of the singular locus of  $\varphi_0(C_0)$ . Since the sections of  $H^0(\varphi_0(C_0), p_* \mathcal{N}_{\varphi_0})$  give first-order deformations which does not smooth the singular locus, it follows that the map

$$H^0(\varphi_0(C_0), p_* \mathcal{N}_{\varphi_0}) \rightarrow H^0(\varphi_0(C_0), \mathcal{N}_l)$$

is not an isomorphism. This proves the claim.  $\square$

**The case  $n = 2$ .** Now, let us consider the case  $n = 2$ . Although we can work in a more general situation, we assume  $\varphi_0(C_0)$  is a reduced nodal curve for simplicity. However  $C_0$  need not be smooth. Let  $p : C_0 \rightarrow \varphi_0(C_0)$  be the natural map, which is a partial normalization. In this case, we can deduce very explicit criterion for the semiregularity. Again, we have the exact sequence

$$\begin{aligned} 0 \rightarrow H^0(\varphi_0(C_0), p_* \mathcal{N}_{\varphi_0}) &\rightarrow H^0(\varphi_0(C_0), \mathcal{N}_l) \\ &\rightarrow H^0(\varphi_0(C_0), \mathcal{S}) \\ &\rightarrow H^1(\varphi_0(C_0), p_* \mathcal{N}_{\varphi_0}) \rightarrow H^1(\varphi_0(C_0), \mathcal{N}_l) \rightarrow \dots, \end{aligned}$$

and if  $\varphi_0(C_0)$  is semiregular in the classical sense, then  $\varphi_0$  is semiregular if and only if the map  $H^0(\varphi_0(C_0), \mathcal{N}_l) \rightarrow H^0(\varphi_0(C_0), \mathcal{S})$  is surjective. Let  $P = \{p_i\}$  be the set of nodes of  $\varphi_0(C_0)$  whose inverse image by  $p$  consists of two points. Then, the sheaf  $\mathcal{S}$  is isomorphic to  $\bigoplus_i \mathbb{C}_{p_i}$ , where  $\mathbb{C}_{p_i}$  is the skyscraper sheaf at  $p_i$ . By an argument similar to the one in the previous subsection, we proved [Theorem 20](#) below in [18].

**Theorem 20.** *Assume that  $\varphi_0(C_0)$  is semiregular in the classical sense. Then, the map  $\varphi_0$  is semiregular if and only if for each  $p_i \in P$ , there is a first-order deformation of  $\varphi_0(C_0)$  which smooths  $p_i$ , but does not smooth the other nodes of  $P$ .*  $\square$

For applications, it will be convenient to write this in a geometric form. Consider the exact sequence

$$0 \rightarrow \mathcal{O}_{X_0} \rightarrow \mathcal{O}_{X_0}(\varphi_0(C_0)) \rightarrow \mathcal{N}_l \rightarrow 0$$

of sheaves on  $X_0$  and the associated cohomology sequence

$$\begin{aligned} 0 \rightarrow H^0(X_0, \mathcal{O}_{X_0}) &\rightarrow H^0(X_0, \mathcal{O}_{X_0}(\varphi_0(C_0))) \\ &\rightarrow H^0(\varphi_0(C_0), \mathcal{N}_l) \rightarrow H^1(X_0, \mathcal{O}_{X_0}) \rightarrow \cdots \end{aligned}$$

Let  $V$  be the image of the map  $H^0(\varphi_0(C_0), \mathcal{N}_l) \rightarrow H^1(X_0, \mathcal{O}_{X_0})$ . Since we are working in the analytic category, we have the exact sequence

$$0 \rightarrow \mathbb{Z} \rightarrow \mathcal{O}_{X_0} \rightarrow \mathcal{O}_{X_0}^* \rightarrow 0$$

of sheaves on  $X$ . Let  $\bar{V}$  be the image of  $V$  in  $\text{Pic}^0(X_0) = H^1(X_0, \mathcal{O}_{X_0}^*)$ . In [18], we proved the following.

**Corollary 21.** *In the situation of Theorem 20, the map  $\varphi_0$  is unobstructed if for each  $p_i \in P$ , there is an effective divisor  $D$  such that  $\mathcal{O}_X(\varphi_0(C_0) - D) \in \bar{V}$  which avoids  $p_i$  but passes through all points in  $P \setminus \{p_i\}$ .*

A particularly nice case is when the map  $H^0(\varphi_0(C_0), \mathcal{N}_l) \rightarrow H^1(X_0, \mathcal{O}_{X_0})$  is surjective. This is the case when  $\varphi_0(C_0)$  is sufficiently ample. Then, if for each  $p_i \in P$  there is an effective divisor  $D$  which is algebraically equivalent to  $\varphi_0(C_0)$  which avoids  $p_i$  but passes through all points in  $P \setminus \{p_i\}$ , the map  $\varphi_0$  is semiregular. This is, in a sense, the opposite to the classical Cayley–Bacharach property, see, for example, [4].

Combined with Theorem 1, we have:

**Corollary 22.** *Assume that  $\varphi_0(C_0)$  is reduced, nodal and semiregular in the classical sense and the class  $[\varphi_0(C_0)]$  remains Hodge on the fibers of  $\mathfrak{X}$ . Then, the map  $\varphi_0$  deforms to general fibers of  $\mathfrak{X}$  if the condition in Theorem 20 or Corollary 21 is satisfied.  $\square$*

In the case of  $n = 2$ , the original exact sequence

$$\begin{aligned} \cdots \rightarrow H^0(\varphi_0(C_0), \iota^* \mathcal{K}_{X_0}) &\rightarrow H^0(\varphi_0(C_0), p_* \varphi_0^* \mathcal{K}_{X_0}) \\ &\rightarrow H^0(\varphi_0(C_0), \mathcal{Q}) \\ &\rightarrow H^1(\varphi_0(C_0), \iota^* \mathcal{K}_{X_0}) \\ &\rightarrow H^1(\varphi_0(C_0), p_* \varphi_0^* \mathcal{K}_{X_0}) \rightarrow H^1(\varphi_0(C_0), \mathcal{Q}) \end{aligned}$$

before taking the dual is sometimes also useful. In this case, if  $\varphi_0(C_0)$  is semiregular in the classical sense, then  $\varphi_0$  is semiregular if and only if the map

$$H^0(\varphi_0(C_0), \iota^* \mathcal{K}_{X_0}) \rightarrow H^0(\varphi_0(C_0), p_* \varphi_0^* \mathcal{K}_{X_0}) \cong H^0(C_0, \varphi_0^* \mathcal{K}_X)$$

is surjective. For example, when the canonical sheaf  $\mathcal{K}_{X_0}$  is trivial, then it is clear that this map is surjective and also  $\varphi_0(C_0)$  is semiregular in the classical sense. In fact, in this case it is not necessary to assume that the image  $\varphi_0(C_0)$  is nodal or

reduced, and any immersion  $\varphi_0$  from a reduced curve  $C_0$  is semiregular. It is known that when  $X_0$  is a K3 surface and the image  $\varphi_0(C_0)$  is reduced, then the map  $\varphi_0$  deforms to general fibers if the class  $[\varphi_0(C_0)]$  remains Hodge. This claim is proved using the twistor family associated with the hyperkähler structure of K3 surfaces, see, for example, [7]. [Corollary 22](#) gives a generalization of this fact to general surfaces.

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