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IN LUBIN-TATE EXTENSIONS**

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## EXPLICIT BOUNDS ON TORSION OF CM ABELIAN VARIETIES OVER $p$ -ADIC FIELDS WITH VALUES IN LUBIN–TATE EXTENSIONS

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Let  $K$  and  $k$  be  $p$ -adic fields. Let  $L$  be the composite field of  $K$  and a certain Lubin–Tate extension over  $k$  (including the case where  $L = K(\mu_{p^\infty})$ ). We show that there exists an explicitly described constant  $C$ , depending only on  $K$ ,  $k$  and an integer  $g \geq 1$ , which satisfies the following property: if  $A/K$  is a  $g$ -dimensional CM abelian variety, then the order of the  $p$ -primary torsion subgroup of  $A(L)$  is bounded by  $C$ . We also give a similar bound in the case where  $L = K(\sqrt[p^\infty]{K})$ . Applying our results, we study bounds of orders of torsion subgroups of some CM abelian varieties over number fields with values in full cyclotomic fields.

### 1. Introduction

Let  $p$  be a prime number and  $K$  a  $p$ -adic field (that is, a finite extension of  $\mathbb{Q}_p$ ). It is a theorem of Mattuck [1955] that, for a  $g$ -dimensional abelian variety  $A$  over  $K$  and a finite extension  $L/K$ , the Mordell–Weil group  $A(L)$  is isomorphic to the direct sum of  $\mathbb{Z}_p^{\oplus g \cdot [L:\mathbb{Q}_p]}$  and a finite group. We study some properties of the torsion subgroup  $A(L)_{\text{tor}}$  of  $A(L)$ . Clark and Xarles [2008] gave an explicit upper bound of the order of  $A(L)_{\text{tor}}$  of  $A(L)$  in terms of  $p$ ,  $g$  and some numerical invariants of  $L$  if  $A$  has anisotropic reduction (here, we say that  $A$  has anisotropic reduction if its Néron special fiber does not contain a copy of  $\mathbb{G}_m$ ). This includes the case where  $A$  has potential good reduction. We consider the case where  $L/K$  is of infinite degree. There are some situations in which the torsion part  $A(L)_{\text{tor}}$  is finite. Suppose that  $A$  has potential good reduction. It is a theorem of Imai [1975] that  $A(K(\mu_{p^\infty}))_{\text{tor}}$  is finite. Here,  $K(\mu_{p^\infty})$  is the extension field of  $K$  obtained by adjoining all  $p$ -power roots of unity. Moreover, Kubo and Taguchi [2013] showed that  $A(K(\sqrt[p^\infty]{K}))_{\text{tor}}$  is also finite, where  $K(\sqrt[p^\infty]{K})$  is the extension field of  $K$  obtained by adjoining all  $p$ -power roots of all elements of  $K$ . The author showed in [Ozeki 2024] that there exists a “uniform” bound of the order of  $A(K(\sqrt[p^\infty]{K}))_{\text{tor}}$  under the assumption that  $A$  has complex multiplication. (Here we say that  $A$  has complex multiplication

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if there exists a ring homomorphism  $F \rightarrow \mathbb{Q} \otimes_{\mathbb{Z}} \text{End}_{\bar{K}}(A)$  for some algebraic number field  $F$  of degree  $2g$ .)

The main purpose of this paper is to give explicit upper bounds of the orders of  $A(K(\mu_{p^\infty}))_{\text{tor}}$  and  $A(K(\sqrt[p^\infty]{K}))_{\text{tor}}$  for abelian varieties  $A/K$  with complex multiplication. For this, we should note that to give an upper bound of the order of the prime-to- $p$  part of  $A(K(\mu_{p^\infty}))_{\text{tor}}$  is not so difficult. In fact, the reduction map gives an injection from the prime-to- $p$  part of the group which we want to study into certain rational points of the reduction  $\bar{A}$  of  $A$  (if  $A$  has good reduction), and the order of the target is bounded by the Weil bound. Hence the essential obstruction for our purpose appears in a study of the  $p$ -part  $A(K(\mu_{p^\infty}))[p^\infty]$  of  $A(K(\mu_{p^\infty}))_{\text{tor}}$ .

Let us state our main results. For a  $p$ -adic field  $k$  and a uniformizer  $\pi$  of  $k$ , we denote by  $k_\pi/k$  the Lubin–Tate extension associated with  $\pi$  (that is,  $k_\pi$  is the extension field of  $k$  obtained by adjoining all  $\pi$ -power torsion points of the Lubin–Tate formal group associated with  $\pi$ ; see [Yoshida 2008] for more details). For example, we have  $k_\pi = \mathbb{Q}_p(\mu_{p^\infty})$  if  $k = \mathbb{Q}_p$  and  $\pi = p$ . We set  $d_L := [L : \mathbb{Q}_p]$  for any  $p$ -adic field  $L$ . For any integer  $n > 0$ , we set

$$\begin{aligned} \Phi(n) &:= \text{Max}\{m \in \mathbb{Z}_{>0} \mid \varphi(m) \text{ divides } 2n\}, \\ H(n) &:= \text{gcd}\{\#\text{GSp}_{2n}(\mathbb{Z}/N\mathbb{Z}) \mid N \geq 3\}. \end{aligned}$$

Here,  $\varphi$  is Euler’s totient function. There are some upper bounds related with  $H(n)$  and  $\Phi(n)$  (see Section 5). It is a theorem of Silverberg [1992, Corollary 3.3] that we have  $H(n) < 2(9n)^{2n}$  for any  $n > 0$ . It follows from elementary arguments that we have  $\Phi(n) < 6n\sqrt[3]{n}$  for  $n > 1$ . Furthermore, a lower bound (5-3) of  $\varphi$  proved by Rosser and Schoenfeld [1962] gives  $\Phi(n) < 4n \log \log n$  for  $n > 3^{3^9}$ .

**Theorem 1.1** (a special case of Theorem 3.1). *Let  $g > 0$  be a positive integer. Let  $k$  be a  $p$ -adic field with residue cardinality  $q_k$  and  $\pi$  a uniformizer of  $k$ . Assume the following conditions:*

- (i)  $q_k^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi)$  is a root of unity;<sup>1</sup> and
- (ii)  $d_k$  is prime to  $(2g)!$ .

Denote by  $0 < \mu < p$  the minimum integer such that  $(q_k^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi))^\mu = 1$ . For any  $g$ -dimensional abelian variety  $A$  over a  $p$ -adic field  $K$  with complex multiplication,

$$A(Kk_\pi)[p^\infty] \subset A[p^C],$$

where

$$C := 2g^2 \cdot (2g)! \cdot \Phi(g)H(g) \cdot \mu \cdot d_{Kk} + 12g^2 - 18g + 10.$$

In particular,

$$\#A(Kk_\pi)[p^\infty] \leq p^{2gC}.$$

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<sup>1</sup>This condition is equivalent to saying that some finite extension of  $k_\pi$  contains  $\mathbb{Q}_p(\mu_{p^\infty})$  (see [Ozeki 2020, Lemma 2.7(2)]).

As an immediate consequence of the theorem above, we obtain a result for cyclotomic extensions; see Corollary 3.7. Furthermore, the method of our proof of Theorem 1.1 can be applied to the field  $K(\sqrt[p^\infty]{K})$  discussed in Kubo and Taguchi, which gives a refinement of the main theorem of [Ozeki 2024].

**Theorem 1.2.** *Let  $g > 0$  be a positive integer. For any  $g$ -dimensional abelian variety  $A$  over a  $p$ -adic field  $K$  with complex multiplication, we have*

$$A(K(\sqrt[p^\infty]{K}))[p^\infty] \subset A[p^C],$$

where

$$C := 2g^2 \cdot (2g)! \cdot p^{1+v_p(2)} \cdot (\Phi(g)H(g))^2 \cdot p^{v_p(d_K)} d_K + 12g^2 - 18g + 10.$$

(Here,  $v_p$  is the  $p$ -adic valuation normalized by  $v_p(p) = 1$ .) In particular,

$$\#A(K(\sqrt[p^\infty]{K}))[p^\infty] \leq p^{2gC}.$$

We can consider some further topics. For example, we do not know what will happen if we remove the CM assumption from the above theorems. Our proofs in this paper deeply depend on the theory of locally algebraic representations, which can be adapted only for abelian representations. This is the main reason why we cannot remove the CM assumption from our arguments. To overcome this obstruction, it seems to be helpful for us to study the case of (not necessarily CM) elliptic curves. We will study this case in future work. We are also interested in giving the list of the groups that appear as  $A(Kk_\pi)[p^\infty]$  or  $A(K(\sqrt[p^\infty]{K}))[p^\infty]$ . However, this should be quite difficult; the author does not know such classification results even for  $A(K)[p^\infty]$ .

Combining the cyclotomic case of Theorem 1.1 and Ribet’s arguments given in [Katz and Lang 1981], we can obtain a result on a bound of the order of the torsion subgroup of some CM abelian variety defined over a number field with values in full cyclotomic fields. (Here, a number field is a finite extension of  $\mathbb{Q}$ .)

**Theorem 1.3.** *Let  $g > 0$  be an integer. Let  $K$  be a number field of degree  $d$ , and denote by  $h$  the narrow class number of  $K$ . Let  $K(\mu_\infty)$  be the field obtained by adjoining to  $K$  all roots of unity. Let  $A$  be a  $g$ -dimensional abelian variety over  $K$  with complex multiplication which has good reduction everywhere. Then*

$$A(K(\mu_\infty))_{\text{tor}} \subset A[N],$$

where

$$N := \left( \prod_p \right)^{2g^2 \cdot (2g)! \cdot \Phi(g)H(g) \cdot dh + 12g^2 - 18g + 10}.$$

Here,  $p$  ranges over the prime numbers such that either  $p \leq (1 + \sqrt{2}^{dh})^{2g}$  or  $p$  is ramified in  $K$ .

We should note that Chou [2019] gave the complete list of the groups that appear as  $A(\mathbb{Q}(\mu_\infty))_{\text{tor}}$  as  $A$  ranges over all elliptic curves defined over  $\mathbb{Q}$ . For CM elliptic curves  $A$  over a number field  $K$ , more precise observations for the order of  $A(K(\mu_\infty))_{\text{tor}}$  than ours are studied in [Chou et al. 2021].

**Notation.** For any perfect field  $F$ , we denote by  $G_F$  the absolute Galois group of  $F$ . In this paper, a  $p$ -adic field is a finite extension of  $\mathbb{Q}_p$ . If  $F$  is an algebraic extension of  $\mathbb{Q}_p$ , we denote by  $\mathcal{O}_F$  the ring of integers of  $F$ . We also denote by  $F^{\text{ab}}$  the maximal abelian extension of  $F$  (in a fixed algebraic closure of  $F$ ). We put  $d_F = [F : \mathbb{Q}_p]$  if  $F$  is a  $p$ -adic field. For a finite extension  $F'/F$ , we denote by  $e_{F'/F}$  and  $f_{F'/F}$  the ramification index of  $F'/F$  and the extension degree of the residue field extension of  $F'/F$ , respectively. We set  $e_F := e_{F/\mathbb{Q}_p}$  and  $f_F := f_{F/\mathbb{Q}_p}$ , and also set  $q_F := p^{f_F}$ . Finally, we denote by  $\Gamma_F$  the set of  $\mathbb{Q}_p$ -algebra embeddings of  $F$  into a (fixed) algebraic closure  $\overline{\mathbb{Q}_p}$  of  $\mathbb{Q}_p$ .

## 2. Evaluations of some $p$ -adic valuations for characters

Fix an algebraic closure  $\overline{\mathbb{Q}_p}$  of  $\mathbb{Q}_p$ . Throughout this section, we assume that all  $p$ -adic fields are subfields of  $\overline{\mathbb{Q}_p}$ . Denote by  $v_p$  the  $p$ -adic valuation normalized by  $v_p(p) = 1$ . For any continuous character  $\psi$  of  $G_K$ , we often regard  $\psi$  as a character of  $\text{Gal}(K^{\text{ab}}/K)$ . Denote by  $\text{Art}_K$  the local Artin map  $K^\times \rightarrow \text{Gal}(K^{\text{ab}}/K)$ . We set  $\psi_K := \psi \circ \text{Art}_K$ . Denote by  $\hat{K}^\times$  the profinite completion of  $K^\times$ . Note that the local Artin map induces a topological isomorphism  $\text{Art}_K : \hat{K}^\times \xrightarrow{\sim} \text{Gal}(K^{\text{ab}}/K)$ . For a uniformizer  $\pi_K$  of  $K$ , denote by  $\chi_{\pi_K} : G_K \rightarrow \mathcal{O}_K^\times$  the Lubin–Tate character associated with  $\pi_K$  (see [Serre 1989, Chapter III, A4]). By definition, the character  $\chi_{\pi_K}$  is characterized by  $\chi_{\pi_K, K}(\pi_K) = 1$  and  $\chi_{\pi_K, K}(x) = x^{-1}$  for any  $x \in \mathcal{O}_K^\times$ . Let  $\pi$  be a uniformizer of  $k$  and denote by  $k_\pi$  the Lubin–Tate extension of  $k$  associated with  $\pi$ . The field corresponding to the kernel of the Lubin–Tate character  $\chi_\pi : G_k \rightarrow \mathcal{O}_k^\times$  is  $k_\pi$ , and  $k_\pi$  is a totally ramified abelian extension of  $k$ .

**Proposition 2.1.** *Let  $\psi_1, \dots, \psi_n : G_K \rightarrow \overline{\mathbb{Q}_p}^\times$  be continuous characters. Then*

$$\begin{aligned} \text{Min} \left\{ \sum_{i=1}^n v_p(\psi_i(\sigma) - 1) \mid \sigma \in G_{Kk_\pi} \right\} \\ \leq \text{Min} \left\{ \sum_{i=1}^n v_p(\psi_{i, Kk}(\omega) - 1) \mid \omega \in \text{Nr}_{Kk/k}^{-1}(\pi^{f_{Kk/k}\mathbb{Z}}) \right\}. \end{aligned}$$

*Proof.* This is [Ozeki 2024, Proposition 2.1] but we include a proof here for completeness. Let  $M$  be the maximal unramified extension of  $k$  contained in  $Kk$ . The group  $\text{Art}_k^{-1}(\text{Gal}(k^{\text{ab}}/M))$  contains  $\text{Art}_k^{-1}(\text{Gal}(k^{\text{ab}}/k^{\text{ur}})) = \mathcal{O}_k^\times$ . Furthermore,  $\text{Art}_k^{-1}(\text{Gal}(k^{\text{ab}}/M))$  is a subgroup of  $\hat{k}^\times = \pi^{\hat{\mathbb{Z}}} \times \mathcal{O}_k^\times$  of index  $[M : k] = f_{Kk/k}$ . Thus it holds that  $\text{Art}_k^{-1}(\text{Gal}(k^{\text{ab}}/M)) = \pi^{f_{Kk/k}\hat{\mathbb{Z}}} \times \mathcal{O}_k^\times$ . Since  $\text{Art}_k^{-1}(\text{Gal}(k^{\text{ab}}/k_\pi)) = \pi^{\hat{\mathbb{Z}}}$ ,

we obtain that  $\text{Art}_k^{-1}(\text{Gal}(k^{\text{ab}}/Mk_\pi)) = \pi^{f_{Kk/k}\hat{\mathbb{Z}}}$ . If we denote by  $\text{Res}_{Kk/k}$  the natural restriction map from  $\text{Gal}((Kk)^{\text{ab}}/Kk)$  to  $\text{Gal}(k^{\text{ab}}/k)$ , it is not difficult to check that  $\text{Res}_{Kk/k}^{-1}(\text{Gal}(k^{\text{ab}}/Mk_\pi)) = \text{Gal}((Kk)^{\text{ab}}/Kk_\pi)$ , and therefore we find that  $\text{Art}_{Kk}^{-1}(\text{Gal}((Kk)^{\text{ab}}/Kk_\pi)) = \text{Nr}_{Kk/k}^{-1}(\pi^{f_{Kk/k}\hat{\mathbb{Z}}})$ . Now the lemma follows from

$$\begin{aligned} & \text{Min} \left\{ \sum_{i=1}^n v_p(\psi_i(\sigma) - 1) \mid \sigma \in G_{Kk_\pi} \right\} \\ &= \text{Min} \left\{ \sum_{i=1}^n v_p(\psi_{i,Kk} \circ \text{Art}_{Kk}^{-1}(\sigma) - 1) \mid \sigma \in \text{Gal}((Kk)^{\text{ab}}/Kk_\pi) \right\}. \quad \square \end{aligned}$$

We often use  $p$ -adic Hodge theory, which plays an important role in this paper. For the basic notion of  $p$ -adic Hodge theory, it is helpful for the reader to refer to [Fontaine 1994a; 1994b]. Let  $B_{\text{cris}}$  be the Fontaine’s  $p$ -adic period ring and set  $D_{\text{cris}}^K(V) := (B_{\text{cris}} \otimes_{\mathbb{Q}_p} V)^{G_K}$  for any  $\mathbb{Q}_p$ -representation  $V$  of  $G_K$ . Let us denote by  $K_0$  the maximal unramified subextension of  $K/\mathbb{Q}_p$  and denote by  $\varphi_{K_0}$  the arithmetic Frobenius map of  $K_0$ , that is, the (unique) lift of the  $p$ -th power map on the residue field of  $K_0$ . Since  $B_{\text{cris}}^{G_K} = K_0$ ,  $D_{\text{cris}}^K(V)$  is a  $K_0$ -vector space. Moreover,  $D_{\text{cris}}^K(V)$  is a filtered  $\varphi$ -module over  $K$ ; it is of finite dimension over  $K_0$ , it is equipped with a bijective  $\varphi_{K_0}$ -semilinear Frobenius operator  $\varphi$  and it is equipped with a decreasing exhaustive and separated filtration on  $D_{\text{cris}}^K(V) \otimes_{K_0} K$ . We say that  $V$  is crystalline if the equality  $\dim_{\mathbb{Q}_p} V = \dim_{K_0} D_{\text{cris}}^K(V)$  holds. Let  $M$  be a finite extension of  $\mathbb{Q}_p$  and  $\psi : G_K \rightarrow M^\times$  a continuous character. We denote by  $M(\psi)$  the  $\mathbb{Q}_p$ -representation of  $G_K$  underlying a 1-dimensional  $M$ -vector space endowed with an  $M$ -linear action by  $G_K$  via  $\psi$ . We say that  $\psi$  is crystalline if  $M(\psi)$  is crystalline. On the other hand, we denote by  $\underline{K}^\times$  the Weil restriction  $\text{Res}_{K/\mathbb{Q}_p}(\mathbb{G}_m)$ . This is an algebraic torus such that, for a  $\mathbb{Q}_p$ -algebra  $R$ , the  $R$ -valued points  $\underline{K}^\times(R)$  of  $\underline{K}^\times$  is  $\mathbb{G}_m(R \otimes_{\mathbb{Q}_p} K)$ .

**Proposition 2.2.** *Let  $\psi : G_K \rightarrow M^\times$  be a continuous character.*

- (1)  *$M(\psi)$  is crystalline if and only if there exists a (necessarily unique)  $\mathbb{Q}_p$ -homomorphism  $\psi_{\text{alg}} : \underline{K}^\times \rightarrow \underline{M}^\times$  such that  $\psi_K$  and  $\psi_{\text{alg}}$  (on  $\mathbb{Q}_p$ -points) coincide on  $\mathcal{O}_K^\times (\subset \underline{K}^\times(\mathbb{Q}_p))$ .*
- (2) *Assume  $M(\psi)$  is crystalline, and let  $\psi_{\text{alg}}$  be as in (1). (Note  $M(\psi^{-1})$  is also crystalline.) The filtered  $\varphi$ -module  $D_{\text{cris}}^K(M(\psi^{-1})) = (B_{\text{cris}} \otimes_{\mathbb{Q}_p} M(\psi^{-1}))^{G_K}$  over  $K$  is free of rank 1 over  $K_0 \otimes_{\mathbb{Q}_p} M$ , and its  $K_0$ -linear endomorphism  $\varphi^{f_K}$  is given by the action of the product  $\psi_K(\pi_K) \cdot \psi_{\text{alg}}^{-1}(\pi_K) \in M^\times$ . Here,  $\pi_K$  is any uniformizer of  $K$ .*

*Proof.* This is Proposition B.4 of [Conrad 2011]. □

Let  $\psi : G_K \rightarrow M^\times$  be a crystalline character. For any  $\sigma \in \Gamma_M$ , let  $\chi_{\sigma M} : I_{\sigma M} \rightarrow \sigma M^\times$  be the restriction to the inertia  $I_{\sigma M}$  of the Lubin–Tate character associated

with any choice of uniformizer of  $\sigma M$  (it depends on the choice of a uniformizer of  $\sigma M$ , but its restriction to the inertia subgroup does not). Assume that  $K$  contains the Galois closure of  $M/\mathbb{Q}_p$ . Then

$$\psi = \prod_{\sigma \in \Gamma_M} \sigma^{-1} \circ \chi_{\sigma M}^{h_\sigma}$$

on the inertia  $I_K$  for some integer  $h_\sigma$ . Equivalently, the character  $\psi_{\text{alg}}$  on  $\mathbb{Q}_p$ -points coincides with  $\prod_{\sigma \in \Gamma_M} \sigma^{-1} \circ \text{Nr}_{K/\sigma M}^{-h_\sigma}$ . Note that  $\{h_\sigma \mid \sigma \in \Gamma_M\}$  is the set of Hodge–Tate weights of  $M(\psi)$ , that is,  $C \otimes_{\mathbb{Q}_p} M(\psi) \simeq \bigoplus_{\sigma \in \Gamma_M} C(h_\sigma)$ , where  $C$  is the completion of  $\overline{\mathbb{Q}_p}$ .

For integers  $d, h$  and a  $p$ -adic field  $M$ , we define a constant  $C(d, M, h)$  by

$$(2-1) \quad C(d, M, h) := v_p(d/d_M) + h + \frac{1}{2}d_M \left( d_M + v_p(e_M) - \frac{1}{e_M} + v_p(2)(d_M - 1) \right).$$

**Theorem 2.3.** *Let  $\psi_1, \dots, \psi_n : G_K \rightarrow M^\times$  be crystalline characters and  $h \geq 0$  an integer. Assume that  $M$  is a Galois extension of  $\mathbb{Q}_p$  and  $K$  contains  $M$ . Suppose that, for each  $i$ , we have*

$$\psi_i = \prod_{\sigma \in \Gamma_M} \sigma^{-1} \circ \chi_M^{h_{i,\sigma}}$$

on the inertia  $I_K$ ; thus  $\{h_{i,\sigma} \mid \sigma \in \Gamma_M\}$  is the set of Hodge–Tate weights of  $M(\psi_i)$ . We assume the following conditions:

- (i)  $\{h_{i,\sigma} \mid \sigma \in \Gamma_M\}$  contains at least two different integers for each  $i$ . (In particular, we have  $M \neq \mathbb{Q}_p$ .)
- (ii)  $\text{Min}\{v_p(h_{i,\sigma} - h_{i,\tau}) \mid \sigma, \tau \in \Gamma_M\} \leq h$  for each  $i$ .

Then:

(1) There exists an element  $\hat{\omega} \in \ker \text{Nr}_{M/\mathbb{Q}_p}$  such that for every  $1 \leq i \leq n$ ,

$$(2-2) \quad 1 + v_p(2) \leq v_p(\psi_{i,K}(\hat{\omega})^{-1} - 1) \leq \delta_{(i)} + C(d_K, M, h).$$

Here,

$$\delta_{(i)} := \begin{cases} 0 & \text{if } i = 1, 2, \\ 2i - 5 & \text{if } i \geq 3. \end{cases}$$

(2) Let  $\hat{\omega}$  be as in (1). For any  $x \in K^\times$ , there exists an integer  $0 \leq s(x) \leq n$  such that for every  $1 \leq i \leq n$ ,

$$(2-3) \quad v_p(\psi_{i,K}(x\hat{\omega}^{p^{s(x)}})^{-1} - 1) \leq n + \delta_{(i)} + C(d_K, M, h).$$

*Proof.* Take an element  $x \in \mathcal{O}_M$  such that  $\mathcal{O}_M = \mathbb{Z}_p[x]$ . We set  $p' := p$  or  $p' := 4$  if  $p \neq 2$  or  $p = 2$ , respectively, and put  $x' = p'x$ . Set  $m_{r,\sigma}^\tau := d_{K/M}(h_{r,\tau\sigma} - h_{r,\sigma})$



for  $1 \leq r \leq n$  and  $\sigma, \tau \in \Gamma_M$ . We also set

$$y_{r,\ell}^\tau := \sum_{\sigma \in \Gamma_M} m_{r,\sigma}^\tau (\sigma^{-1}x')^{\ell-1}$$

for  $1 \leq \ell \leq d_M$ . (Note that  $y_{r,1}^\tau = 0$ .) Set

$$\omega_\ell := \exp((x')^{\ell-1}) \quad \text{and} \quad \omega_\ell^\tau := \frac{\tau\omega_\ell}{\omega_\ell}$$

for any  $1 \leq \ell \leq d_M$  and  $\tau \in \Gamma_M$ . Here,  $\exp$  denotes the  $p$ -adic exponential map (see [Neukirch 1999, Chapter II, Proposition 5.5]). By construction,  $\omega_\ell^\tau \in \ker \text{Nr}_{M/\mathbb{Q}_p}$ .

**Lemma 2.4.**  $\exp(y_{r,\ell}^\tau) = \psi_{r,K}(\omega_\ell^\tau)^{-1}$ .

*Proof.* We see

$$\psi_{r,K}(\omega_\ell)^{-1} = \prod_{\sigma \in \Gamma_M} \sigma^{-1} \circ \text{Nr}_{K/M}(\omega_\ell)^{h_{r,\sigma}} = \left( \prod_{\sigma \in \Gamma_M} \sigma^{-1} \omega_\ell^{h_{r,\sigma}} \right)^{d_{K/M}}.$$

We also have  $\psi_{r,K}(\tau\omega_\ell)^{-1} = (\prod_{\sigma \in \Gamma_M} \sigma^{-1} \tau \omega_\ell^{h_{r,\sigma}})^{d_{K/M}} = (\prod_{\sigma \in \Gamma_M} \sigma^{-1} \omega_\ell^{h_{r,\tau\sigma}})^{d_{K/M}}$ . Thus we have

$$\psi_{r,K}(\omega_\ell^\tau)^{-1} = \left( \prod_{\sigma \in \Gamma_M} \sigma^{-1} \omega_\ell^{h_{r,\tau\sigma} - h_{r,\sigma}} \right)^{d_{K/M}} = \prod_{\sigma \in \Gamma_M} \sigma^{-1} \omega_\ell^{m_{r,\sigma}^\tau}.$$

On the other hand, we have

$$\begin{aligned} \exp(y_{r,\ell}^\tau) &= \exp\left( \sum_{\sigma \in \Gamma_M} m_{r,\sigma}^\tau (\sigma^{-1}x')^{\ell-1} \right) = \prod_{\sigma \in \Gamma_M} \exp((\sigma^{-1}x')^{\ell-1})^{m_{r,\sigma}^\tau} \\ &= \prod_{\sigma \in \Gamma_M} \sigma^{-1} \omega_\ell^{m_{r,\sigma}^\tau}. \quad \square \end{aligned}$$

We furthermore need the following evaluation.

**Lemma 2.5.** *For each  $1 \leq r \leq n$ , there exist  $\tau_r \in \Gamma_M$  and an integer  $2 \leq \ell_r \leq d_M$  such that*

$$v_p(y_{r,\ell_r}^{\tau_r}) \leq C(d_K, M, h).$$

*Proof.* Fix  $r$ . By assumption (i), there exist  $\tau_1, \tau_2 \in \Gamma_M$  such that  $h_{r,\tau_1} \neq h_{r,\tau_2}$ . Choose  $\tau_1$  and  $\tau_2$  so that  $v_p(h_{r,\tau_1} - h_{r,\tau_2}) = \text{Min}\{v_p(h_{r,\sigma} - h_{r,\tau}) \mid \sigma, \tau \in \Gamma_M\}$ , and set  $\tau := \tau_2\tau_1^{-1} \in \Gamma_M$ . We write  $\Gamma_M = \{\tau_1, \tau_2, \dots, \tau_{d_M}\}$ . Note that  $m_{r,\tau_1}^\tau = d_{K/M}(h_{r,\tau_2} - h_{r,\tau_1})$  is not zero. We denote by  $X \in M_d(\mathcal{O}_M)$  the matrix whose  $(i, j)$ -component is  $(\tau_i^{-1}x')^{j-1}$ . Then we have

$$(2-4) \quad (y_{r,1}^\tau \cdots y_{r,d_M}^\tau) = (m_{r,\tau_1}^\tau \cdots m_{r,\tau_{d_M}}^\tau)X$$

and

$$\det X = \prod_{1 \leq i < j \leq d_M} (\tau_j^{-1} x' - \tau_i^{-1} x') = (p')^{\frac{1}{2} d_M (d_M - 1)} \prod_{1 \leq i < j \leq d_M} (\tau_j^{-1} x - \tau_i^{-1} x).$$

We also have

$$\begin{aligned} v_p \left( \prod_{1 \leq i < j \leq d_M} (\tau_j^{-1} x - \tau_i^{-1} x) \right) &= \sum_{1 \leq i < j \leq d_M} v_p(\tau_j^{-1} x - \tau_i^{-1} x) \\ &= \frac{1}{2} \sum_{1 \leq i, j \leq d_M, i \neq j} v_p(\tau_j^{-1} x - \tau_i^{-1} x) \\ &= \frac{1}{2} d_M v_p(\mathcal{D}_{M/\mathbb{Q}_p}) \leq \frac{1}{2} d_M \left( 1 + v_p(e_M) - \frac{1}{e_M} \right). \end{aligned}$$

(see [Serre 1979, Chapter 3, Section 6, Proposition 13]), where  $\mathcal{D}_{M/\mathbb{Q}_p}$  is the different ideal of  $M/\mathbb{Q}_p$ . We find

$$(2-5) \quad v_p(\det X) \leq \frac{1}{2} d_M \left( d_M + v_p(e_M) - \frac{1}{e_M} + v_p(2)(d_M - 1) \right).$$

By (2-4), we have  $m_{r, \tau_1}^{\tau} \det X = \sum_{\ell=1}^{d_M} y_{r, \ell}^{\tau} x_{\ell}$  for some  $x_{\ell} \in \mathcal{O}_M$ , which gives the fact that there exists an integer  $\ell_r = \ell$  with the property that  $v_p(y_{r, \ell}^{\tau}) \leq v_p(m_{r, \tau_1}^{\tau} \det X)$ .

By (2-5), we have

$$v_p(y_{r, \ell}^{\tau}) \leq v_p(d_{K/M}) + v_p(h_{r, \tau_1} - h_{r, \tau_2}) + v_p(\det X) \leq C(d_K, M, h),$$

as desired. We remark that  $\ell$  is not equal to 1 since  $y_{r, 1}^{\tau}$  is zero.  $\square$

Now we return to the proof of Theorem 2.3. Take  $\tau_r$  and  $\ell_r$  as in Lemma 2.5 with the additional condition that

$$(2-6) \quad v_p(y_{r, \ell_r}^{\tau_r}) = \text{Min}\{v_p(y_{r, \ell}^{\tau}) \mid \tau \in \Gamma_M, 2 \leq \ell \leq d_M\}.$$

Here we consider an element  $\hat{\omega} \in \ker \text{Nr}_{M/\mathbb{Q}_p}$  which is of the form  $\hat{\omega} = \prod_{r=1}^n (\omega_{\ell_r}^{\tau_r})^{s_r}$ , where  $s_r$  is defined inductively by the following:

$$\begin{aligned} (s_1, s_2) &= \begin{cases} (0, 1) & \text{if } v_p(y_{1, \ell_1}^{\tau_1}) = v_p(y_{1, \ell_2}^{\tau_2}), \\ (1, 0) & \text{if } v_p(y_{1, \ell_1}^{\tau_1}) \neq v_p(y_{1, \ell_2}^{\tau_2}) \text{ and } v_p(y_{2, \ell_1}^{\tau_1}) = v_p(y_{2, \ell_2}^{\tau_2}), \\ (1, 1) & \text{if } v_p(y_{1, \ell_1}^{\tau_1}) \neq v_p(y_{1, \ell_2}^{\tau_2}) \text{ and } v_p(y_{2, \ell_1}^{\tau_1}) \neq v_p(y_{2, \ell_2}^{\tau_2}). \end{cases} \\ s_3 &= \begin{cases} p & \text{if } v_p(s_1 y_{3, \ell_1}^{\tau_1} + s_2 y_{3, \ell_2}^{\tau_2}) \neq v_p(p y_{3, \ell_3}^{\tau_3}), \\ p^2 & \text{if } v_p(s_1 y_{3, \ell_1}^{\tau_1} + s_2 y_{3, \ell_2}^{\tau_2}) = v_p(p y_{3, \ell_3}^{\tau_3}). \end{cases} \end{aligned}$$

For  $r \geq 4$ ,

$$s_r = \begin{cases} p s_{r-1} & \text{if } v_p \left( \sum_{j=1}^{r-1} s_j y_{r, \ell_j}^{\tau_j} \right) \neq v_p(p s_{r-1} y_{r, \ell_r}^{\tau_r}), \\ p^2 s_{r-1} & \text{if } v_p \left( \sum_{j=1}^{r-1} s_j y_{r, \ell_j}^{\tau_j} \right) = v_p(p s_{r-1} y_{r, \ell_r}^{\tau_r}). \end{cases}$$

We claim that we have

$$1 + v_p(2) \leq v_p\left(\sum_{r=1}^n s_r y_{i,\ell_r}^{\tau_r}\right) \leq \delta_{(i)} + C(d_K, M, h)$$

for any  $i$ , where  $\delta_{(i)}$  is as in the statement (1). The inequality  $1 + v_p(2) \leq v_p\left(\sum_{r=1}^n s_r y_{i,\ell_r}^{\tau_r}\right)$  is clear since we always have  $1 + v_p(2) \leq v_p(y_{i,\ell}^{\tau})$  by definition of  $y_{i,\ell}^{\tau}$ . We show  $v_p\left(\sum_{r=1}^n s_r y_{i,\ell_r}^{\tau_r}\right) \leq \delta_{(i)} + C(d_K, M, h)$  by induction on  $i$ .

- Suppose either  $i = 1$  or  $i = 2$ . By (2-6) and the inequality  $0 < v_p(s_r)$  for  $r \geq 3$ , it is not difficult to check  $v_p\left(\sum_{r=1}^n s_r y_{i,\ell_r}^{\tau_r}\right) = v_p(y_{i,\ell_i}^{\tau_i})$ . Furthermore, we have  $v_p(y_{i,\ell_i}^{\tau_i}) \leq C(d_K, M, h) = \delta_{(i)} + C(d_K, M, h)$  by Lemma 2.5.
- Suppose  $i \geq 3$ . By definition of  $s_i$  we have  $v_p\left(\sum_{r=1}^{i-1} s_r y_{i,\ell_r}^{\tau_r}\right) \neq v_p(s_i y_{i,\ell_i}^{\tau_i})$ . We also have  $v_p\left(\sum_{r=i}^n s_r y_{i,\ell_r}^{\tau_r}\right) = v_p(s_i y_{i,\ell_i}^{\tau_i})$  since  $v_p(s_i y_{i,\ell_i}^{\tau_i}) < v_p(s_r y_{i,\ell_r}^{\tau_r})$  for  $i < r$ . Hence, it follows from Lemma 2.5 that we have

$$\begin{aligned} v_p\left(\sum_{r=1}^n s_r y_{i,\ell_r}^{\tau_r}\right) &= \text{Min}\left\{v_p\left(\sum_{r=1}^{i-1} s_r y_{i,\ell_r}^{\tau_r}\right), v_p(s_i y_{i,\ell_i}^{\tau_i})\right\} \\ &\leq v_p(p s_{i-1} y_{i,\ell_i}^{\tau_i}) \leq 1 + v_p(s_{i-1}) + C(d_K, M, h) \end{aligned}$$

if  $i \geq 4$ . Since we have  $v_p(s_{i-1}) \leq 2(i-3)$  if  $i \geq 4$ , the claim for  $i \geq 4$  follows. The claim for  $i = 3$  follows by a similar manner; we have  $v_p\left(\sum_{r=1}^n s_r y_{i,\ell_r}^{\tau_r}\right) \leq v_p(p y_{3,\ell_3}^{\tau_3}) \leq 1 + C(d_K, M, h) = \delta_{(3)} + C(d_K, M, h)$ .

By construction of  $\hat{\omega}$  and Lemma 2.4, we see

$$\psi_{i,K}(\hat{\omega})^{-1} = \prod_{r=1}^n \psi_{i,K}(\omega_{\ell_r}^{\tau_r})^{-s_r} = \prod_{r=1}^n \exp(s_r y_{i,\ell_r}^{\tau_r}) = \exp\left(\sum_{r=1}^n s_r y_{i,\ell_r}^{\tau_r}\right).$$

Thus we find  $v_p(\psi_{i,K}(\hat{\omega})^{-1} - 1) = v_p\left(\sum_{r=1}^n s_r y_{i,\ell_r}^{\tau_r}\right)$ . Therefore, the claim above gives Theorem 2.3(1).

To show Theorem 2.3(2), we set  $m_i := \psi_{i,K}(x)^{-1} - 1$  and  $\theta_i^{(s)} = \psi_{i,K}(\hat{\omega}^{p^s})^{-1} - 1$  for any  $s \geq 0$ . It follows from the condition  $v_p(\psi_{i,K}(\hat{\omega})^{-1} - 1) \geq 1 + v_p(2)$  that the equality  $v_p(\theta_i^{(s)}) = s + v_p(\theta_i^{(0)})$  holds. For each  $1 \leq i \leq n$ , there exists at most only one integer  $s \geq 0$  so that  $v_p(m_i) = v_p(\theta_i^{(s)})$  since  $\{v_p(\theta_i^{(s)})\}_s$  is strictly increasing. Hence, there exists an integer  $0 \leq s(x) \leq n$  with the property that  $v_p(m_i) \neq v_p(\theta_i^{(s(x))})$  for every  $1 \leq i \leq n$  (by the pigeonhole principle). With this choice of  $s(x)$ , we obtain  $v_p(\psi_{i,K}(x \hat{\omega}^{p^{s(x)}})^{-1} - 1) = v_p(m_i + \theta_i^{(s(x))} + m_i \theta_i^{(s(x))}) \leq v_p(\theta_i^{(n)}) = n + v_p(\theta_i^{(0)})$ . This finishes the proof of (2).  $\square$

### 3. Proof of main theorems

The main purpose of this section is to show Theorems 1.1 and 1.2. For Theorem 1.1, we show a slightly refined statement as follows.

**Theorem 3.1.** *Let  $g > 0$  be a positive integer. Let  $k$  be a  $p$ -adic field with residue cardinality  $q_k$  and  $\pi$  a uniformizer of  $k$ . Put  $p' = p$  or  $p' = 4$  if  $p \neq 2$  or  $p = 2$ , respectively. Let  $\mu \geq 1$  be the smallest integer<sup>2</sup> so that*

$$(q_k^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi))^\mu \equiv 1 \pmod{p'}.$$

*Assume the following conditions:<sup>3</sup>*

- (i)  $v_p((q_k^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi))^\mu - 1) > g \cdot (2g)! \cdot \Phi(g)H(g) \cdot \mu \cdot d_{Kk/k} f_k$ , and
- (ii)  $d_k$  is prime to  $(2g)!$ .

*Then, for any  $g$ -dimensional abelian variety  $A$  over a  $p$ -adic field  $K$  with complex multiplication, we have*

$$A(Kk_\pi)[p^\infty] \subset A[p^C],$$

where

$$C := 2g^2 \cdot (2g)! \cdot \Phi(g)H(g) \cdot \mu \cdot d_{Kk} + 12g^2 - 18g + 10.$$

*In particular,*

$$\#A(Kk_\pi)[p^\infty] \leq p^{2gC}.$$

Our proofs of Theorems 3.1 and 1.2 proceed by similar methods. As in the previous section, we fix an algebraic closure  $\overline{\mathbb{Q}_p}$  of  $\mathbb{Q}_p$  and suppose that  $K$  is a subfield of  $\overline{\mathbb{Q}_p}$ . In this section, we often use the following technical constants:

$$L_g(m) := [\log_p(1 + p^{\frac{1}{2}m})^{2g}],$$

$$C(m, M, h) := v_p\left(\frac{m}{d_M}\right) + h + \frac{1}{2}d_M\left(d_M + v_p(e_M) - \frac{1}{e_M} + v_p(2)(d_M - 1)\right).$$

Here,  $m \geq 1$  and  $h \geq 0$  are integers and  $M$  is a  $p$ -adic field.

**Remark 3.2.** (1)  $mg \leq L_g(m) < g(m + 1 + v_p(2))$  for any prime  $p$  and  $m \geq 1$ , and  $L_g(m) < g(m + 1)$  if  $(p, m) \neq (2, 1), (2, 2)$ .

(2) Moreover,<sup>4</sup>

$$L_g(m) = mg \quad \text{for } m \geq 8g.$$

This can be checked as follows: It suffices to show  $(1 + p^{\frac{1}{2}m})^{2g} < p^{mg+1}$  for  $m \geq 8g$ . This inequality is equivalent to  $(1 + p^{-\frac{1}{2}m})^{2g} < p$ . Thus it is enough to show  $(1 + 2^{-\frac{1}{2}m_0})^{2g} < 2$  where  $m_0 := 8g$ . By the inequalities  $2g < 2^{2g}$  and  $\binom{2g}{r} < 2^{2g}$  for  $0 \leq r \leq 2g$ , we find, as desired,

$$(1 + 2^{-\frac{1}{2}m_0})^{2g} = 1 + \sum_{r=1}^{2g} \binom{2g}{r} \left(\frac{1}{2}\right)^{\frac{1}{2}rm_0} < 1 + 2g \cdot 2^{2g} \left(\frac{1}{2}\right)^{\frac{1}{2}m_0} < 1 + \left(\frac{1}{2}\right)^{\frac{1}{2}m_0 - 4g} = 2.$$

<sup>2</sup>If  $q_k^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi)$  is a root of unity, the constant  $\mu$  here coincides with the  $\mu$  in Theorem 1.1.

<sup>3</sup>Condition (i) depends on the choice of  $K$ . However, the author hopes that this condition can be replaced with one that does not depend on  $K$ , as in Theorem 1.1(i).

<sup>4</sup>The value  $8g$  here is “rough” but it is enough for our proofs.

**Special cases.** We consider Theorem 3.1 under some additional hypothesis. In this section, we show:

**Proposition 3.3.** *Let the situation be as in Theorem 3.1 except assuming not (i) but*

$$(i)' \quad v_p((q_k^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi))^\mu - 1) > L_g((2g)! \cdot \mu \cdot d_{Kk/k} f_k).$$

*Moreover, we assume that  $A$  has good reduction over  $K$  and all the endomorphisms of  $A$  are defined over  $K$ . Put*

$$C_g(K, k) = v_p(d_{Kk}) + \frac{1}{2}(2g)!((2g)! + v_p((2g)!)) + v_p(2)((2g)! - 1),$$

$$\Delta_g(K, k) = \text{Max}\{C_g(K, k), L_g((2g)! \cdot \mu \cdot d_{Kk/k} f_k)\}.$$

*Then*

$$A(Kk_\pi)[p^\infty] \subset A[p^C],$$

*where*

$$C := 2g\Delta_g(K, k) + 12g^2 - 18g + 10.$$

*Proof.* Put  $T = T_p(A)$  and  $V = V_p(A)$  for brevity. Let  $\rho : G_K \rightarrow \text{GL}_{\mathbb{Z}_p}(T)$  be the continuous homomorphism obtained by the  $G_K$ -action on  $T$ . Fix an isomorphism  $\iota : T \xrightarrow{\sim} \mathbb{Z}_p^{\oplus 2g}$  of  $\mathbb{Z}_p$ -modules. We have an isomorphism  $\hat{\iota} : \text{GL}_{\mathbb{Z}_p}(T) \simeq \text{GL}_{2g}(\mathbb{Z}_p)$  relative to  $\iota$ . We abuse notation by writing  $\rho$  for the composite map  $G_K \rightarrow \text{GL}_{\mathbb{Z}_p}(T) \simeq \text{GL}_{2g}(\mathbb{Z}_p)$  of  $\rho$  and  $\hat{\iota}$ . Now let  $P \in T$  and denote by  $\bar{P}$  the image of  $P$  in  $T/p^n T$ . By definition, we have  $\iota(\sigma P) = \rho(\sigma)\iota(P)$  for  $\sigma \in G_K$ . Suppose that  $\bar{P} \in (T/p^n T)^{G_{Kk_\pi}}$ . This implies  $\sigma P - P \in p^n T$  for any  $\sigma \in G_{Kk_\pi}$ . This is equivalent to saying that  $(\rho(\sigma) - E)\iota(P) \in p^n \mathbb{Z}_p^{\oplus 2g}$ , and this in particular implies  $\det(\rho(\sigma) - E)\iota(P) \in p^n \mathbb{Z}_p^{\oplus 2g}$  for any  $\sigma \in G_{Kk_\pi}$ . Thus  $\det(\rho(\sigma) - E)P \in p^n T$  for any  $\sigma \in G_{Kk_\pi}$ . Put

$$c = \text{Min}\{v_p(\det(\rho(\sigma) - E)) \mid \sigma \in G_{Kk_\pi}\}.$$

Then we see  $P \in p^{n-c} T$  (if  $c$  is finite and  $n > c$ ) and this shows  $(T/p^n T)^{G_{Kk_\pi}} \subset p^{n-c} T/p^n T$ . This implies an inequality

$$(3-1) \quad A(Kk_\pi)[p^\infty] \subset A[p^c]$$

if  $c$  is finite.

On the other hand, we recall that  $A$  has complex multiplication and all the endomorphisms of  $A$  are defined over  $K$ . Thus there exists an injective ring homomorphism from a number field  $F$  of degree  $2g$  into  $\mathbb{Q} \otimes_{\mathbb{Z}} \text{End}_K(A)$ . By [Serre and Tate 1968, Theorem 5(i)], we know that  $V$  is a free  $F \otimes_{\mathbb{Q}} \mathbb{Q}_p$ -module of rank one and the  $G_K$ -action on  $V$  commutes with  $F \otimes_{\mathbb{Q}} \mathbb{Q}_p$ -action. Let  $\prod_{i=1}^n F_i$  denote the decomposition of  $F \otimes_{\mathbb{Q}} \mathbb{Q}_p$  into a finite product of  $p$ -adic fields. This induces a decomposition  $V \simeq \bigoplus_{i=1}^n V_i$  of  $\mathbb{Q}_p[G_K]$ -modules. Each  $V_i$  is equipped with a structure of one-dimensional  $F_i$ -modules and the  $G_K$ -action on  $V_i$  commutes with

the  $F_i$ -action. Let  $\rho_i : G_K \rightarrow \mathrm{GL}_{\mathbb{Q}_p}(V_i)$  be the homomorphism obtained by the  $G_K$ -action on  $V_i$ . Since  $\rho_i$  is abelian, it follows that  $(V_i \otimes_{\mathbb{Q}_p} \overline{\mathbb{Q}_p})^{\mathrm{ss}} \simeq \bigoplus_{j=1}^{d_{F_i}} \overline{\mathbb{Q}_p}(\psi_{i,j})$  for some continuous characters  $\psi_{i,j} : G_K \rightarrow \overline{\mathbb{Q}_p}^\times$ . Here, the superscript “ss” stands for the semisimplification. As is well known,  $\psi_{i,j}$  satisfies the following properties (since the  $G_K$ -action on  $V_i$  is given by a character  $G_K \rightarrow F_i^\times$ ):

- (a)  $\psi_{i,1}, \dots, \psi_{i,d_{F_i}}$  are  $\mathbb{Q}_p$ -conjugate with each other, that is,  $\psi_{i,k} = \tau_{k\ell} \circ \psi_{i,\ell}$  for some  $\tau_{k\ell} \in G_{\mathbb{Q}_p}$ .
- (b)  $\psi_{i,1}, \dots, \psi_{i,d_{F_i}}$  have values in a  $p$ -adic field  $M_i$  (in the fixed algebraic closure  $\overline{\mathbb{Q}_p}$  of  $\mathbb{Q}_p$ ) which is  $\mathbb{Q}_p$ -isomorphic to the Galois closure of  $F_i/\mathbb{Q}_p$  (in an algebraic closure of  $F_i$ ). We remark that  $d_{M_i}$  divides  $d_{F_i}!$ .

In particular,

$$v_p(\det \rho_i(\sigma) - E) = d_{F_i} v_p(\psi_i(\sigma) - 1),$$

where  $\psi_i := \psi_{i,1}$ . Let  $M$  be the composite field of  $M_1, \dots, M_n$ , and we regard  $\psi_1, \dots, \psi_n$  as characters of  $G_K$  with values in  $M^\times$ , that is,  $\psi_i : G_K \rightarrow M^\times$ . The field  $M$  is a Galois extension of  $\mathbb{Q}_p$  in  $\overline{\mathbb{Q}_p}$  and  $d_M$  divides  $d_{F_1}! d_{F_2}! \cdots d_{F_n}!$ . Since  $\sum_{i=1}^n d_{F_i} = 2g$ , we find

$$(3-2) \quad d_M \mid (2g)!.$$

(Here, we recall that the product of  $n$  natural numbers is divisible by  $n!$  for any natural number  $n$ .) In particular, we have  $M \cap k = \mathbb{Q}_p$  since  $d_k$  is prime to  $(2g)!$ , and then we obtain

$$\ker \mathrm{Nr}_{M/\mathbb{Q}_p} \subset \ker \mathrm{Nr}_{Mk/k} \subset \ker \mathrm{Nr}_{K_Mk/k}.$$

Here,  $K_M$  is the composite  $KM$  of  $K$  and  $M$ . It follows from Proposition 2.1 that

$$\begin{aligned} (3-3) \quad c &\leq \mathrm{Min}\{v_p(\det(\rho(\sigma) - E)) \mid \sigma \in G_{K_Mk_\pi}\} \\ &= \mathrm{Min}\left\{\sum_{i=1}^n d_{F_i} v_p(\psi_i(\sigma) - 1) \mid \sigma \in G_{K_Mk_\pi}\right\} \\ &\leq \mathrm{Min}\left\{\sum_{i=1}^n d_{F_i} v_p(\psi_{i,K_Mk}(\pi\omega)^{-1} - 1) \mid \omega \in \ker \mathrm{Nr}_{K_Mk/k}\right\} \\ &\leq \mathrm{Min}\left\{\sum_{i=1}^n d_{F_i} v_p(\psi_{i,K_Mk}(\pi\omega)^{-1} - 1) \mid \omega \in \ker \mathrm{Nr}_{M/\mathbb{Q}_p}\right\} \\ &\leq \mathrm{Min}\left\{\sum_{i=1}^n d_{F_i} v_p(\psi_{i,K_Mk}^\mu(\pi\omega)^{-1} - 1) \mid \omega \in \ker \mathrm{Nr}_{M/\mathbb{Q}_p}\right\}. \end{aligned}$$

Here,  $\mu$  is the integer appeared in the statement of Theorem 3.1. Note that  $\psi_i$  is a crystalline character since  $A$  has good reduction over  $K$  (see [Fontaine 1982,

Section 6]; see also [Coleman and Iovita 1999, Theorem 1]). By rearranging the numbering of subscripts, we may suppose the following situation for some  $0 \leq r \leq n$ .

- (I) For  $1 \leq i \leq r$ , the set of the Hodge–Tate weights of  $M(\psi_i)$  is  $\{0, 1\}$ .
- (II) For  $r < i \leq n$ , the set of the Hodge–Tate weights of  $M(\psi_i)$  is either  $\{1\}$  or  $\{0\}$ .

**Lemma 3.4.** *For  $r < i \leq n$  and any  $\omega \in \ker \text{Nr}_{M/\mathbb{Q}_p}$ , we have*

$$v_p(\psi_{i,K_M k}^\mu(\pi\omega)^{-1} - 1) \leq L_g((2g)! \cdot d_{Kk/k} f_k \cdot \mu).$$

*Proof.* In this proof we set  $L := K_M k$ . We know that the morphism  $\psi_{i,\text{alg}} : \underline{L}^\times \rightarrow \underline{M}^\times$  corresponding to  $\psi_i|_{G_L}$  is trivial or  $\text{Nr}_{L/\mathbb{Q}_p}^{-1}$  on  $\mathbb{Q}_p$ -points. This in particular gives  $\psi_{i,L}(\omega) = 1$ . Since  $\pi_L^{e_{L/k}} \pi^{-1}$  is a  $p$ -adic unit for any uniformizer  $\pi_L$  of  $L$ , we find

$$\psi_{i,L}(\pi\omega)^{-1} = \psi_{i,L}(\pi)^{-1} = \psi_{i,L}(\pi_L^{-e_{L/k}} \cdot \pi_L^{e_{L/k}} \pi^{-1}) = \alpha_i^{-e_{L/k}} \cdot \psi_{i,\text{alg}}(\pi)^{-1},$$

where  $\alpha_i := \psi_{i,L}(\pi_L) \psi_{i,\text{alg}}(\pi_L)^{-1}$ . Denote by  $L'$  the unramified extension of  $L$  of degree  $\mu e_{L/k}$ .

(I) Suppose that the set of the Hodge–Tate weights of  $M(\psi_i)$  is  $\{0\}$ . In this case,  $\psi_{i,\text{alg}}$  is trivial and thus we have  $\psi_{i,L}^\mu(\pi\omega)^{-1} = \alpha_i^{-\mu e_{L/k}}$ . It follows from Lemma 9 of [Ozeki 2024] that  $\psi_{i,L}^\mu(\pi\omega)^{-1}$  is a unit root of the characteristic polynomial  $f(T)$  of the geometric Frobenius endomorphism of  $\bar{A}/\mathbb{F}_{q_{L'}}$ . Since  $f(1) = \#\bar{A}(\mathbb{F}_{q_{L'}})$ , we see  $v_p(\psi_{i,L}^\mu(\pi\omega)^{-1} - 1) \leq v_p(\#\bar{A}(\mathbb{F}_{q_{L'}})) \leq [\log_p \#\bar{A}(\mathbb{F}_{q_{L'}})]$ . It follows from the Weil bound that  $v_p(\psi_{i,L}^\mu(\pi\omega)^{-1} - 1) \leq L_g(f_{L'})$ . Since we have  $f_{L'} = \mu e_{L/k} f_L = d_{L/Kk} \cdot \mu \cdot d_{Kk/k} f_k \leq (2g)! \cdot \mu \cdot d_{Kk/k} f_k$ , we obtain the desired inequality.

(II) Suppose that the set of the Hodge–Tate weights of  $M(\psi_i)$  is  $\{1\}$ . In this case  $\psi_{i,\text{alg}}$  is  $\text{Nr}_{L/\mathbb{Q}_p}^{-1}$  on  $\mathbb{Q}_p$ -points. If we set  $\beta := q_k^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi)$ , we find

$$\begin{aligned} \psi_{i,L}^\mu(\pi\omega)^{-1} - 1 &= (\alpha_i^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi)^{f_{L/k}})^{\mu e_{L/k}} - 1 \\ &= ((\alpha_i^{-1} q_L)^{\mu e_{L/k}} - 1) \beta^{\mu d_{L/k}} + (\beta^{\mu d_{L/k}} - 1). \end{aligned}$$

It again follows from Lemma 9 of [Ozeki 2024] that  $(\alpha_i^{-1} q_L)^{\mu e_{L/k}}$  is a unit root of the characteristic polynomial  $f^\vee(T)$  of the geometric Frobenius endomorphism of  $\bar{A}^\vee/\mathbb{F}_{q_{L'}}$ . Since  $f^\vee(1) = \#\bar{A}^\vee(\mathbb{F}_{q_{L'}})$ , the same argument as in (I) shows that  $v_p((\alpha_i^{-1} q_L)^{\mu e_{L/k}} - 1) \leq L_g(f_{L'}) \leq L_g((2g)! \cdot \mu \cdot d_{Kk/k} f_k)$ . In particular, we have  $v_p(\beta^{\mu d_{L/k}} - 1) > v_p((\alpha_i^{-1} q_L)^{\mu e_{L/k}} - 1)$  by the assumption (i)'. Since  $\beta$  is a  $p$ -adic unit, we obtain  $v_p(\psi_{i,L}^\mu(\pi\omega)^{-1} - 1) = v_p((\alpha_i^{-1} q_L)^{\mu e_{L/k}} - 1) \leq L_g((2g)! \cdot \mu \cdot d_{Kk/k} f_k)$ , as desired.  $\square$

By (3-3) and the lemma, in the case where  $r = 0$ , we have

$$(3-4) \quad c \leq \sum_{i=1}^n d_{F_i} L_g((2g)! \cdot \mu \cdot d_{Kk/k} f_k) = 2g L_g((2g)! \cdot \mu \cdot d_{Kk/k} f_k).$$

In the rest of the proof, we assume  $r > 0$ . By (3-3) and the lemma again, we have

$$c \leq \text{Min} \left\{ \sum_{i=1}^r d_{F_i} v_p(\psi_{i, K_M k}^\mu(\pi \omega)^{-1} - 1) \mid \omega \in \ker \text{Nr}_{M/\mathbb{Q}_p} \right\} \\ + L_g((2g)! \cdot \mu \cdot d_{K/k} f_k) \sum_{i=r+1}^n d_{F_i}.$$

Here we remark that  $v_p(\mu) = 0$  and the Hodge–Tate weights of  $\psi_i^\mu$  for each  $1 \leq i \leq r$  consist of 0 and  $\mu$ . Hence, applying Theorem 2.3 to the set of characters  $\psi_1^\mu, \dots, \psi_r^\mu : G_{K_M k} \rightarrow M^\times$ , an element  $x = \pi$  and  $h = 0$ , there exists an element  $\hat{\omega} \in \ker \text{Nr}_{M/\mathbb{Q}_p}$  and an integer  $0 \leq s = s(\pi) \leq r$  as in the theorem. Then

$$c \leq \sum_{i=1}^r d_{F_i} v_p(\psi_{i, K_M k}^\mu(\pi \hat{\omega}^{p^s})^{-1} - 1) + L_g((2g)! \cdot \mu \cdot d_{K/k} f_k) \sum_{i=r+1}^n d_{F_i} \\ \leq \sum_{i=1}^r d_{F_i} (r + \delta_{(i)} + C(d_{K_M k}, M, 0)) + L_g((2g)! \cdot \mu \cdot d_{K/k} f_k) \sum_{i=r+1}^n d_{F_i} \\ \leq 2g \Delta_0 + \sum_{i=1}^r d_{F_i} (r + \delta_{(i)}),$$

where  $\Delta_0 := \text{Max}\{C(d_{K_M k}, M, 0), L_g((2g)! \cdot \mu \cdot d_{K/k} f_k)\}$ . Since  $d_M$  divides  $(2g)!$ , we also have

$$C(d_{K_M k}, M, 0) < v_p(d_{K/k}) + \frac{1}{2}(2g)!((2g)! + v_p((2g)!) + v_p(2)((2g)! - 1)).$$

Thus, for the constant  $\Delta_g(K, k)$  defined in the statement of the proposition, we obtain  $\Delta_0 \leq \Delta_g(K, k)$  and  $c \leq 2g \Delta_g(K, k) + \sum_{i=1}^r d_{F_i} (r + \delta_{(i)})$ .

- If  $r \leq 2$ , we have  $\sum_{i=1}^r d_{F_i} (r + \delta_{(i)}) = \sum_{i=1}^r d_{F_i} r \leq r \cdot 2g \leq 4g$ .
- If  $r > 2$ , we have  $\sum_{i=1}^r d_{F_i} (r + \delta_{(i)}) = r \sum_{i=1}^r d_{F_i} + \sum_{i=3}^r d_{F_i} \delta_{(i)} \leq n \sum_{i=1}^n d_{F_i} + \sum_{i=3}^n d_{F_i} (2n-5) \leq n \cdot 2g + (2n-5)(\sum_{i=1}^n d_{F_i} - 2) \leq 2g \cdot 2g + (4g-5) \cdot (2g-2) = 12g^2 - 18g + 10$ .

Therefore, for any  $r > 0$ , we find

$$c \leq 2g \Delta_g(K, k) + 12g^2 - 18g + 10.$$

Note that this inequality holds also for the case  $r = 0$  by (3-4). Now the proposition follows from (3-1).  $\square$

**General cases.** We show Theorems 3.1 and 1.2. For this, we need the following observations given by Serre and Tate [1968] and Silverberg [1992].

**Theorem 3.5.** *Let  $A$  be a  $g$ -dimensional abelian variety over  $K$ .*



- (1) Put  $m = 3$  or  $m = 4$  if  $p \neq 3$  or  $p = 3$ , respectively. Then  $A$  has semistable reduction over  $K(A[m])$  and all the endomorphisms of  $A$  are defined over  $K(A[m])$ .
- (2) Let  $L$  be the intersection of the fields  $K(A[N])$  for all integers  $N > 2$ . Then all the endomorphisms of  $A$  are defined over  $L$  and  $[L : K]$  divides  $H(g)$ .
- (3) Assume  $A$  has potential good reduction. Let  $\rho_{A,\ell} : G_K \rightarrow \mathrm{GL}_{\mathbb{Z}_p}(T_\ell(A))$  be the continuous homomorphism defined by the  $G_K$ -action on the Tate module  $T_\ell(A)$  for any prime  $\ell$ .
- (i) For any prime  $\ell$  not equal to  $p$ , let  $H_\ell$  be the kernel of the restriction of  $\rho_{A,\ell}$  to  $I_K$ . Then  $H_\ell$  is an open subgroup of  $I_K$ , which is independent of the choice of  $\ell$ . Moreover, if we set  $c := [I_K : H_\ell]$ , then there exists a finite totally ramified extension  $L/K$  of degree  $c$  such that  $A$  has good reduction over  $L$ .
- (ii) If  $A$  has complex multiplication and all the endomorphisms of  $A$  are defined over  $K$ , then the constant  $c$  above satisfies  $c \leq \Phi(g)$ .
- (4) Assume  $A$  has complex multiplication. Then there exists a finite extension  $L/K$  of degree at most  $\Phi(g)H(g)$  such that  $A$  has good reduction over  $L$  and all the endomorphisms of  $A$  are defined over  $L$ .

*Proof.* Item (1) follows from [Silverberg 1992, Theorem 4.1] and Raynaud's criterion of semistable reduction [SGA 7<sub>I</sub> 1972, Proposition 4.7]. Item (2) is [Silverberg 1992, Theorem 4.1], and (4) is an immediate consequence of (2) and (3) since  $A$  must have potential good reduction under the condition that  $A$  has complex multiplication. The assertions in (3) are consequences of results given in Sections 2 and 4 of [Serre and Tate 1968] but some of them are not directly mentioned in loc. cit. Thus we give a proof here, just in case. The first statement related to  $H_\ell$  in (3)(i) is [Serre and Tate 1968, Section 2, Theorem 2, p. 496]. The group  $H$  is a closed normal subgroup of  $G_K$ , which is also open in  $I_K$ . Let  $\Gamma$  be the closure of the subgroup of  $G_K$  generated by any choice of a lift of the  $q_K$ -th Frobenius element in  $G_{\mathbb{F}_{q_K}}$ . The projection  $G_K \rightarrow G_{\mathbb{F}_{q_K}}$  gives an isomorphism of  $\Gamma$  onto  $G_{\mathbb{F}_{q_K}}$ ; in particular,  $G_K$  is the semidirect product of  $\Gamma$  and  $I_K$ . Let  $K_\Gamma/K$  be the field extension (of infinite degree) corresponding to  $\Gamma \subset G_K$ , and let  $M/K^{\mathrm{ur}}$  be the finite extension corresponding to  $H := H_\ell \subset I_K$ . Note that  $A$  has good reduction over  $M$ . Now we set  $L := K_\Gamma \cap M$ . Then  $L/K$  is totally ramified since so is  $K_\Gamma/K$ . Furthermore, it is immediate to check  $H\Gamma \cap I_K = H$ ; this shows  $LK^{\mathrm{ur}} = M$ . Hence we obtain that  $A$  has good reduction over  $L$  and  $[L : K] = [M : K^{\mathrm{ur}}] = c$ . This shows (3)(i). Next we show (3)(ii). By assumptions on  $A$ , there exists a number field  $F$  of degree  $2g$  which is a subalgebra of  $\mathbb{Q} \otimes_{\mathbb{Z}} \mathrm{End}_K(A)$ . It follows from [Serre and Tate 1968, Theorem 5(i)] that  $V_\ell(A)$  has a structure of free  $(F \otimes_{\mathbb{Q}} \mathbb{Q}_\ell)$ -module of rank one and the  $G_K$ -action on  $V_\ell(A)$  commutes with  $F \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$ . Thus we may consider  $\rho_{A,\ell}$  as a character  $G_K \rightarrow (F \otimes_{\mathbb{Q}} \mathbb{Q}_\ell)^\times$ . Moreover, the image of this character restricted

to  $I_K$  has values in the group  $\mu(F)$  of roots of unity contained in  $F$  by [Serre and Tate 1968, Section 4, Theorem 6, p. 503]. Thus we obtain the fact that  $c$  divides the order  $m$  of  $\mu(F)$ . On the other hand, since  $\mu_m$  is a subset of  $F$ , we have  $\varphi(m) \mid 2g$ . Therefore, we obtain  $c \leq m \leq \Phi(g)$ , as desired.  $\square$

Now we are ready to show our main theorems. First we show Theorem 3.1.

*Proof of Theorem 3.1.* Let  $A$  be as in the theorem. Since  $A$  has complex multiplication, it follows from Theorem 3.5(4) that there exists a finite extension  $L/K$  such that  $d_{L/K} \leq \Phi(g)H(g)$ ,  $A$  has good reduction over  $L$ , and all the endomorphisms of  $A$  are defined over  $L$ . In addition, we have

$$\begin{aligned} v_p((q_k^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi))^\mu - 1) &> g \cdot (2g)! \cdot \Phi(g)H(g) \cdot \mu \cdot d_{Kk/k} f_k \\ &= L_g((2g)! \cdot \Phi(g)H(g) \cdot \mu \cdot d_{Kk/k} f_k) \\ &\geq L_g((2g)! \cdot \mu \cdot d_{Lk/k} f_k) \end{aligned}$$

by assumption (i) and Remark 3.2(2). So we can apply Proposition 3.3 to  $A/L$ ; we have

$$A(Lk_\pi)[p^\infty] \subset A[p^{C'}],$$

where  $C' = 2g\Delta_g(L, k) + 12g^2 - 18g + 10$ . Here,

$$\begin{aligned} C_g(L, k) &= v_p(d_{Lk}) + \frac{1}{2}(2g)!((2g)! + v_p((2g)! + v_p(2)((2g)! - 1))), \\ \Delta_g(L, k) &= \text{Max}\{C_g(L, k), L_g((2g)! \cdot \mu \cdot d_{Lk/k} f_k)\}. \end{aligned}$$

Note that we have  $v_p(d_{Lk}) < d_{Lk} \leq \Phi(g)H(g) \cdot d_{Kk}$  and  $L_g((2g)! \cdot \mu \cdot d_{Lk/k} f_k) \leq g \cdot (2g)! \cdot \Phi(g)H(g) \cdot \mu \cdot d_{Kk}$ . Therefore, it suffices to show

$$\begin{aligned} \Phi(g)H(g) \cdot d_{Kk} + \frac{1}{2}(2g)!((2g)! + v_p((2g)! + v_p(2)((2g)! - 1))) \\ < g \cdot (2g)! \cdot \Phi(g)H(g) \cdot \mu \cdot d_{Kk} \end{aligned}$$

for the proof but this is clear.  $\square$

**Remark 3.6.** In the proof of Theorem 3.1, we referred to the field extension  $L/K$  of Theorem 3.5(4) and the upper bound  $\Phi(g)H(g)$  of  $[L : K]$ . By Theorem 3.5(1), we may refer to the field  $K(A[m])/K$  instead of the above  $L$ . Since we have a natural embedding from  $\text{Gal}(K(A[m])/K)$  into  $\text{GL}(A[m]) \simeq \text{GL}_{2g}(\mathbb{Z}/m\mathbb{Z})$ , we obtain a bound for the extension degree of  $K(A[m])/K$ ; we have  $[K(A[m]) : K] \leq G(g)$ , where

$$G(n) := \begin{cases} \#\text{GL}_{2n}(\mathbb{Z}/3\mathbb{Z}) = \prod_{i=0}^{2n-1} (3^{2n} - 3^i) & \text{if } p \neq 3, \\ \#\text{GL}_{2n}(\mathbb{Z}/4\mathbb{Z}) = 2^{4n^2} \prod_{i=0}^{2n-1} (2^{2n} - 2^i) & \text{if } p = 3 \end{cases}$$

for  $n > 0$ . Note that we have  $G(n) < m^{4n^2}$ . It is not difficult to check the inequalities  $\Phi(1)H(1) > G(1)$  and  $\Phi(g)H(g) < G(g)$  for  $g > 1$  (see Section 5). Hence, only

in the case  $g = 1$  of elliptic curves, we can obtain smaller bound than that given in Theorem 3.1 by replacing  $\Phi(g)H(g)$  with  $G(1)$ .

Applying Theorem 1.1 with  $k = \mathbb{Q}_p$  and  $\pi = p$ , we immediately obtain the following.

**Corollary 3.7.** *Let  $A$  be a  $g$ -dimensional abelian variety over a  $p$ -adic field  $K$  with complex multiplication. Then we have*

$$A(K(\mu_{p^\infty}))[p^\infty] \subset A[p^C],$$

where

$$C := 2g^2 \cdot (2g)! \cdot \Phi(g)H(g) \cdot d_K + 12g^2 - 18g + 10.$$

In particular,

$$\#A(K(\mu_{p^\infty}))[p^\infty] \leq p^{2gC}.$$

Next we show Theorem 1.2.

*Proof of Theorem 1.2.* We follow essentially the same argument as for Theorem 3.1. Put  $\hat{K} = K(\sqrt[p^\infty]{K})$ .

Step 1: First we consider the case where  $A$  has good reduction over  $K$  and all the endomorphisms of  $A$  are defined over  $K$ . Put  $v = v_p(d_K) + 1 + v_p(2)$  and

$$\begin{aligned} C_g(K) &= v_p(d_K) + v + \frac{1}{2}(2g)!((2g)! + v_p((2g)! + v_p(2)((2g)! - 1)), \\ \Delta_g(K) &= \text{Max}\{C_g(K), L_g((2g)! \cdot p^v \cdot d_K)\}. \end{aligned}$$

Following the proof of Proposition 3.3, we show

$$(3-5) \quad A(\hat{K})[p^\infty] \subset A[p^{C'}],$$

where  $C' := 2g\Delta_g(K) + 12g^2 - 18g + 10$ . Let  $\rho : G_K \rightarrow \text{GL}_{\mathbb{Z}_p}(T_p(A)) \simeq \text{GL}_{2g}(\mathbb{Z}_p)$ ,  $M/\mathbb{Q}_p$  and  $\psi_1, \dots, \psi_n : G_K \rightarrow M^\times$  be as in the proof of Proposition 3.3. If we denote by  $\hat{K}_{\text{ab}}$  the maximal abelian extension of  $K$  contained in  $\hat{K}$ , all the points of  $A(\hat{K})[p^\infty]$  are in fact defined over  $\hat{K}_{\text{ab}}$  since  $\rho$  is abelian. Thus, setting  $c := \text{Min}\{v_p(\det(\rho(\sigma) - E)) \mid \sigma \in G_{\hat{K}_{\text{ab}}}\}$ , we find

$$(3-6) \quad A(\hat{K})[p^\infty] = A(\hat{K}_{\text{ab}})[p^\infty] \subset A[p^c]$$

if  $c$  is finite (see arguments just above (3-1)). On the other hand, we set  $G := \text{Gal}(\hat{K}/K)$  and  $H := \text{Gal}(\hat{K}/K(\mu_{p^\infty}))$ . Let  $\chi_p : G_K \rightarrow \mathbb{Z}_p^\times$  be the  $p$ -adic cyclotomic character. Since we have  $\sigma\tau\sigma^{-1} = \tau\chi_p(\sigma)$  for any  $\sigma \in G$  and  $\tau \in H$ , we see  $(G, G) \supset (G, H) \supset H^{\chi_p(\sigma)^{-1}}$ . Hence we have a natural surjection

$$(3-7) \quad H/H^{\chi_p(\sigma)^{-1}} \twoheadrightarrow H/\overline{(G, G)} = \text{Gal}(\hat{K}_{\text{ab}}/K(\mu_{p^\infty})) \quad \text{for any } \sigma \in G.$$

**Lemma 3.8.**  $\chi_p(\sigma_0) - 1 = p^v$  for some  $\sigma_0 \in G$ .

*Proof.* We set

$$K' := \begin{cases} K(\mu_p) & \text{if } p \neq 2, \\ K(\mu_4) & \text{if } p = 2. \end{cases}$$

If we denote by  $p^\ell$  the order of the set of  $p$ -power roots of unity in  $K'$ , we see  $K' \cap \mathbb{Q}_p(\mu_{p^\infty}) = \mathbb{Q}_p(\mu_{p^\ell})$  and thus  $\chi_p(G_{K'}) = 1 + p^\ell \mathbb{Z}_p$ . Furthermore, since  $[\mathbb{Q}_p(\mu_{p^\ell}) : \mathbb{Q}_p]$  divides  $[K' : K][K : \mathbb{Q}_p]$ , we see  $p^{\ell-1-v_p(2)} \mid d_K$ . Hence we obtain  $\chi_p(G_{K'}) \supset 1 + p^v \mathbb{Z}_p$  and the lemma follows.  $\square$

By the lemma above and (3-7), we see that  $\text{Gal}(\hat{K}_{\text{ab}}/K(\mu_{p^\infty}))$  is of exponent  $p^v$ , that is,  $\sigma \in G_{K(\mu_{p^\infty})}$  implies  $\sigma^{p^v} \in G_{\hat{K}_{\text{ab}}}$ . This shows  $c \leq \text{Min}\{v_p(\det(\rho(\sigma)^{p^v} - E)) \mid \sigma \in G_{K(\mu_{p^\infty})}\}$ . Mimicking the arguments for inequalities (3-3), we find

$$c \leq \text{Min} \left\{ \sum_{i=1}^n d_{F_i} v_p(\psi_{i,K_M}^{p^v}(\pi\omega)^{-1} - 1) \mid \omega \in \ker \text{Nr}_{M/\mathbb{Q}_p} \right\}.$$

Now the inequality (3-6) follows by completely the same method as the proof of Proposition 3.3 (with replacing the pair  $(k, \mu)$  there with  $(\mathbb{Q}_p, p^v)$ ).

Step 2: Next we consider the general case. Since  $A$  has complex multiplication, it follows from Theorem 3.5(4) that there exists a finite extension  $L/K$  such that  $d_{L/K} \leq \Phi(g)H(g)$ ,  $A$  has good reduction over  $L$  and all the endomorphisms of  $A$  are defined over  $L$ . Thus we can apply the result of Step 1 to  $A/L$ ; we have

$$A(\hat{K})[p^\infty] \subset A(\hat{L})[p^\infty] \subset A[p^{C''}],$$

where  $C'' := 2g\Delta_g(L) + 12g^2 - 18g + 10$ . We find

$$\begin{aligned} L_g((2g)! \cdot p^{v_p(d_L)+1+v_p(2)} \cdot d_L) &= L_g((2g)! \cdot p^{1+v_p(2)} \cdot p^{v_p(d_{L/K})} d_{L/K} \cdot p^{v_p(d_K)} d_K) \\ &\leq L_g((2g)! \cdot p^{1+v_p(2)} \cdot (d_{L/K})^2 \cdot p^{v_p(d_K)} d_K) \\ &\leq g \cdot (2g)! \cdot p^{1+v_p(2)} \cdot (\Phi(g)H(g))^2 \cdot p^{v_p(d_K)} d_K. \end{aligned}$$

(For the last equality, see Remark 3.2(2).) Now Theorem 1.2 immediately follows by  $\Delta_g(L) \leq g \cdot (2g)! \cdot p^{1+v_p(2)} \cdot (\Phi(g)H(g))^2 \cdot p^{v_p(d_K)} d_K$ .  $\square$

One of the keys for our arguments above is a theory of locally algebraic representations. Thus our method essentially works also for abelian varieties  $A$  with the property that the  $G_K$ -action on the semisimplification of  $V_p(A) \otimes_{\mathbb{Q}_p} \overline{\mathbb{Q}_p}$  is abelian. For example, this is the case where  $A$  has good ordinary reduction.

**Proposition 3.9.** *Let  $g > 0$  be a positive integer. Let  $K$  and  $k$  be  $p$ -adic fields. Let  $\pi$  be a uniformizer of  $k$ . Assume that  $q_k^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi)$  is a root of unity; we denote by  $0 < \mu < p$  the minimum integer such that  $(q_k^{-1} \text{Nr}_{k/\mathbb{Q}_p}(\pi))^\mu = 1$ . Then, for*

any  $g$ -dimensional abelian variety  $A$  over  $K$  with good ordinary reduction, we have

$$A(Kk_\pi)[p^\infty] \subset A[p^{2gL_g(\mu d_{Kk/k}fk)}].$$

In particular,

$$\#A(Kk_\pi)[p^\infty] \leq p^{4g^2L_g(\mu d_{Kk/k}fk)} < p^{4g^3(\mu d_{Kk/k}fk+1+v_p(2))}.$$

*Proof.* Put  $V = V_p(A)$ ,  $T = T_p(A)$  and  $c = \text{Min}\{v_p(\det(\rho(\sigma) - E)) \mid \sigma \in G_{Kk_\pi}\}$ . By the same argument as the beginning of the proof of Proposition 3.3, we obtain

$$(3-8) \quad A(Kk_\pi)[p^\infty] \subset A[p^c]$$

if  $c$  is finite. Since  $A$  has good ordinary reduction, we have an exact sequence  $0 \rightarrow V_1 \rightarrow V \rightarrow V_2 \rightarrow 0$  of  $\mathbb{Q}_p[G_K]$ -modules with the following properties:

- (i)  $V_1 \simeq W \otimes_{\mathbb{Q}_p} \mathbb{Q}_p(1)$  for some unramified representation  $W$  of  $G_K$ , and
- (ii)  $V_2$  is unramified.

Hence, taking a  $p$ -adic field  $M$  large enough, we have  $(V \otimes_{\mathbb{Q}_p} M)^{\text{ss}} \simeq \bigoplus_{i=1}^{2g} M(\psi_i)$  for some continuous crystalline characters  $\psi_i : G_K \rightarrow M^\times$ . Furthermore, for every  $i$ , the set of the Hodge–Tate weights of  $M(\psi_i)$  is either  $\{1\}$  or  $\{0\}$ . By Proposition 2.1, we have  $c \leq \sum_{i=1}^{2g} v_p(\psi_{i,Kk}^\mu(\pi)^{-1} - 1)$ . Let  $K'$  be the unramified extension of  $Kk$  of degree  $\mu e_{Kk/k}$ . By a similar method of the proof of Lemma 3.4, we find that  $\psi_{i,Kk}^\mu(\pi)^{-1}$  is a unit root of the characteristic polynomial  $f(T)$  of the geometric Frobenius endomorphism of  $\bar{A}/\mathbb{F}_{q_{K'}}$ ; otherwise,  $\psi_{i,Kk}^\mu(\pi)^{-1}$  is a unit root of the characteristic polynomial  $f^\vee(T)$  of the geometric Frobenius endomorphism of  $\bar{A}^\vee/\mathbb{F}_{q_{K'}}$ . We know  $f(1) = \#\bar{A}(\mathbb{F}_{q_{K'}})$  and  $f^\vee(1) = \#\bar{A}^\vee(\mathbb{F}_{q_{K'}})$ , and their  $p$ -adic valuations are bounded by  $L_g(f_{K'})$  by the Weil bound. Since we have  $f_{K'} = f_{K'/Kk}f_{Kk} = \mu d_{Kk/k}fk$ , we obtain  $c \leq \sum_{i=1}^{2g} v_p(\psi_{i,Kk}^\mu(\pi)^{-1} - 1) \leq 2gL_g(\mu d_{Kk/k}fk)$ . Now the result follows from (3-8).  $\square$

#### 4. Abelian varieties over number fields

In this section, we suppose that  $K$  is a number field. The goal of this section is to give a proof of Theorem 1.3. The theorem is an immediate consequence of the following proposition.

**Proposition 4.1.** *Let  $g, K, d$  and  $h$  be as in Theorem 1.3.*

- (1) *Let  $A$  be a  $g$ -dimensional abelian variety over  $K$  with semistable reduction everywhere. Let  $p_0$  be the smallest prime number such that  $A$  has good reduction at some finite place of  $K$  above  $p_0$ . Then  $A(K(\mu_\infty))[p]$  is zero if  $p > (1 + \sqrt{p_0}^{dh})^{2g}$ ,  $p$  is unramified in  $K$ , and  $A$  has good reduction at some finite place of  $K$  above  $p$ .*

(2) Let  $A$  be a  $g$ -dimensional abelian variety over  $K$  with complex multiplication which has good reduction everywhere. Then, for any prime  $p$ , we have

$$A(K(\mu_\infty))[p^\infty] \subset A[p^C],$$

where  $C := 2g^2 \cdot (2g)! \cdot \Phi(g)H(g) \cdot dh + 12g^2 - 18g + 10$ .

*Proof.* Let  $A$  be a  $g$ -dimensional abelian variety over  $K$  with semistable reduction everywhere. Let  $K'$  be the maximal extension of  $K$  contained in  $K(\mu_\infty)$  which is unramified at all finite places of  $K$ . Note that  $K'$  is a finite abelian extension of  $K$ . In particular, it follows from class field theory that  $[K' : K]$  is a divisor of the narrow class number  $h$  of  $K$ . If we denote by  $L_p$  the maximal extension of  $K$  contained in  $K(\mu_\infty)$  which is unramified at all places except for places dividing  $p$  and the infinite places, then it is shown in [Katz and Lang 1981, Appendix, Lemma] that  $L_p = K'(\mu_{p^\infty})$ .

(1) Here we mainly follow Ribet's arguments in [Katz and Lang 1981]. We suppose that  $p$  is prime to  $2p_0$  and also suppose that  $p$  is unramified in  $K$ . Assume that  $A(K(\mu_\infty))[p] \neq O$ . We claim that there exists a  $g$ -dimensional abelian variety  $A'$  over  $K'$  which is  $K'$ -isogenous to  $A$  such that  $A'(K')[p] \neq O$ . We denote by  $G$  and  $H$  the absolute Galois groups of  $K'$  and  $K(\mu_\infty)$ , respectively. The assumption  $A(K(\mu_\infty))[p] \neq O$  is equivalent to the assumption  $A[p]^H \neq O$ . Let  $W$  be a simple  $G$ -submodule of  $A[p]^H$ . Ribet showed in the proof of Theorem 2 of [Katz and Lang 1981] that, since  $A$  has semistable reduction everywhere over  $K'$ ,  $W$  is one-dimensional over  $\mathbb{F}_p$  and the action of  $G$  on  $W$  factors through  $\text{Gal}(K'(\mu_p)/K')$ . Since  $p$  is unramified at  $K'$ , we find that the  $G$ -action on  $W$  is given by  $\bar{\chi}_p^n$  for some  $0 \leq n \leq p-1$ , where  $\bar{\chi}_p$  is the mod  $p$  cyclotomic character. Moreover, since  $A$  has good reduction at some finite place of  $K'$  above  $p$  ( $\neq 2$ ) and  $p$  is unramified in  $K'$ , it follows from the classification of Tate and Oort [1970, pp. 15–16] that  $n$  is equal to 0 or 1. Thus  $W$  is isomorphic to  $\mathbb{F}_p$  or  $\mathbb{F}_p(1)$ . If we are in the former case, we have  $A'(K')[p] \neq O$  for  $A' := A$ . Suppose that we are in the latter case. Then there exists a surjection  $A^\vee[p] \rightarrow \mathbb{F}_p$  of  $G$ -modules. If we denote by  $C$  the kernel of this surjection, then the  $G$ -action on  $A^\vee[p]$  preserves  $C$ . This implies that  $A' := A^\vee/C$  is an abelian variety defined over  $K'$  and we find that there exists a trivial  $G$ -submodule of  $A'[p]$  of order  $p$ . Thus we have  $A'(K')[p] \neq O$ . This finishes the proof of the claim.

Now we take a prime  $\mathfrak{p}'_0$  of  $K'$  above  $p_0$  such that  $A$  has good reduction at  $\mathfrak{p}'_0$ . Since  $A'$  above is  $K'$ -isogenous to  $A$ , we know that  $A'$  has good reduction at  $\mathfrak{p}'_0$  by [Serre and Tate 1968, Section 1, Corollary 2]. If we denote by  $K'_{\mathfrak{p}'_0}$  the completion of  $K'$  at  $\mathfrak{p}'_0$  and also denote by  $\mathbb{F}_{\mathfrak{p}'_0}$  the residue field of  $K'_{\mathfrak{p}'_0}$ , then reduction modulo  $\mathfrak{p}'_0$  gives an injective homomorphism

$$A'(K')[p] \subset A'(K'_{\mathfrak{p}'_0})[p] \hookrightarrow \bar{A}'(\mathbb{F}_{\mathfrak{p}'_0}).$$

We recall that  $A'(K')[p] \neq O$ . Since the order of  $\mathbb{F}_{p_0'}$  is bounded by  $p_0'^{dh}$ , it follows from the Weil bound that  $p < (1 + \sqrt{p_0'^{dh}})^{2g}$ . This finishes the proof.

(2) Let  $A$  be an abelian variety as in the statement. Since  $A$  has good reduction everywhere over  $K$ , it follows from the Néron–Ogg–Shafarevich criterion that the  $G_K$ -action on  $A[p^\infty]$  is unramified outside  $p$ . This gives the fact that the  $G_K$ -action on  $A(K(\mu_{p^\infty}))[p^\infty]$  factors through  $\text{Gal}(L_p/K) = \text{Gal}(K'(\mu_{p^\infty})/K)$ . Thus

$$A(K(\mu_{p^\infty}))[p^\infty] = A(K'(\mu_{p^\infty}))[p^\infty].$$

Since we have  $[K' : \mathbb{Q}] \leq dh$ , the result follows from Corollary 3.7. □

### 5. Bounds on $\Phi(n)$ and $H(n)$

We recall the definitions of  $\Phi(n)$  and  $H(n)$ :

$$\Phi(n) := \text{Max}\{m \in \mathbb{Z}_{>0} \mid \varphi(m) \text{ divides } 2n\},$$

$$H(n) := \text{gcd}\{\#\text{GSp}_{2n}(\mathbb{Z}/N\mathbb{Z}) \mid N \geq 3\}.$$

Here,  $\varphi$  is the Euler’s totient function. The values of  $\Phi(n)$ ,  $H(n)$  (and  $G(n)$  for  $p \neq 3$ ; see Remark 3.6) for small  $n$  are given in Tables 1–3. In this section, we study some upper bounds of  $\Phi$  and  $H$ .

**The function  $H$ .** For the function  $H$ , we refer to results of [Silverberg 1992, Sections 3 and 4]. The exact formula for  $H(n)$  is as follows:

$$H(n) = \frac{1}{2^{n-1}} \prod_q q^{r(q)},$$

where the product is over primes  $q \leq 2n + 1$ ,

$$r(2) = [n] + \sum_{j=0}^{\infty} \left[ \frac{2n}{2^j} \right] \quad \text{and} \quad r(q) = \sum_{j=0}^{\infty} \left[ \frac{2n}{q^j(q-1)} \right] \quad \text{if } q \text{ is odd}.$$

Moreover, we have:

**Theorem 5.1** [Silverberg 1992, Corollary 3.3]. *We have*

$$H(n) < 2(9n)^{2n}$$

for any  $n > 0$ .

**The function  $\Phi$ .** Next we consider the function  $\Phi$ . At first, we remark that  $\Phi(n)$  must be even since  $\varphi(x) = \varphi(2x)$  if  $x$  is odd. Furthermore,  $\Phi(n)$  is not a power of 2. (In fact, we have  $\varphi(2^r) = \varphi(2^{r-1} \cdot 3)$  if  $r \geq 2$ .) Thus it holds that

$$(5-1) \quad \Phi(n) = \text{Max}\{m \in \mathbb{Z}_{>0} \mid \varphi(m) \text{ divides } 2n, \text{ and } m = 2^r x, \\ \text{where } r \geq 1 \text{ and } x \geq 3 \text{ is odd}\}.$$

We show some elementary formulas.

**Proposition 5.2.** (1)  $\Phi(1) = 6$  and  $6 \leq \Phi(n) < 6n\sqrt[3]{n}$  for  $n > 1$ .

(2) Put  $t = v_2(n) + 2$  and let  $p_1 = 2 < p_2 < \cdots < p_t$  be the first  $t$  prime numbers.

Then

$$\Phi(n) \leq 2n \prod_{i=1}^t \frac{p_i}{p_i - 1}.$$

In particular,  $\Phi(n) \leq 6n$  if  $n$  is odd.

(3) If  $n > 3$  is an odd prime, we have<sup>5</sup>

$$\Phi(n) = \begin{cases} 6 & \text{if } 2n + 1 \text{ is not prime,} \\ 4n + 2 & \text{if } 2n + 1 \text{ is prime.} \end{cases}$$

*Proof.* To check  $\Phi(1) = 6$  is an easy exercise. Since  $\varphi(6) = 2 \mid 2n$ , we have  $\Phi(n) \geq 6$  for any  $n$ . Suppose that  $n > 1$ . We take an even integer  $m > 0$  of the form  $2^r x$ , where  $r \geq 1$  and  $x \geq 3$  is odd, such that  $\varphi(m) \mid 2n$ . Let  $m = 2^r \prod_{i=1}^s q_i^{e_i}$  be the prime factorization of  $m$  with  $r, s, e_1, \dots, e_s \geq 1$ . Since  $\varphi(m) = 2^{r-1} \prod_{i=1}^s q_i^{e_i-1} (q_i - 1)$  and  $\varphi(m) \mid 2n$ , we have  $v_2(2n) \geq r - 1 + s$  and thus

$$(5-2) \quad r + s \leq v_2(n) + 2.$$

Then we find

$$2n \geq \varphi(m) = m \left(1 - \frac{1}{2}\right) \prod_{i=1}^s \left(1 - \frac{1}{q_i}\right) \geq m \prod_{i=1}^{s+1} \left(1 - \frac{1}{p_i}\right) \geq m \prod_{i=1}^t \left(1 - \frac{1}{p_i}\right).$$

This shows (2). Furthermore, we have

$$\begin{aligned} \Phi(n) &\leq 2n \prod_{i=1}^t \frac{p_i}{p_i - 1} = 6n \prod_{i=3}^t \frac{p_i}{p_i - 1} \leq 6n \left(\frac{5}{5-1}\right)^{v_2(n)} \\ &\leq 6n \cdot \left(\frac{5}{4}\right)^{\log_2(n)} < 6n \cdot 2^{\frac{1}{3} \log_2(n)}. \end{aligned}$$

Thus we obtain (1). Let us show (3). From now on we assume that  $n > 3$  is an odd prime. Assume that  $m \neq 6$ . Since  $n$  is odd, it follows from (5-2) that the prime factorization of  $m$  is of the form  $m = 2q^e$  for some odd prime  $q$ . Then  $\frac{1}{2}\varphi(m) = q^{e-1} \frac{1}{2}(q-1)$  divides  $n$ . Since  $n > 3$  is a prime and  $m \neq 6$ , we find  $e = 1$  and  $\frac{1}{2}(q-1) = n$ . This implies  $2n + 1$  must be prime and  $m = 4n + 2$ . Now the result follows.  $\square$

<sup>5</sup>A prime number  $p$  is called a *Sophie Germain prime* if  $2p + 1$  is also prime. It is not known whether there exist infinitely many Sophie Germain primes or not. On the other hand, there exist infinitely many primes which are not Sophie Germain primes. In fact, every prime number  $p$  with  $p \equiv 1 \pmod{3}$  is not a Sophie Germain prime.



$n$	$\Phi(n)$	$n$	$\Phi(n)$	$n$	$\Phi(n)$	$n$	$\Phi(n)$
1	$2^1 \cdot 3^1$	31	$2^1 \cdot 3^1$	61	$2^1 \cdot 3^1$	91	$2^1 \cdot 3^1$
2	$2^2 \cdot 3^1$	32	$2^4 \cdot 3^1 \cdot 5^1$	62	$2^2 \cdot 3^1$	92	$2^2 \cdot 3^1 \cdot 47^1$
3	$2^1 \cdot 3^2$	33	$2^1 \cdot 67^1$	63	$2^1 \cdot 127^1$	93	$2^1 \cdot 3^2$
4	$2^1 \cdot 3^1 \cdot 5^1$	34	$2^2 \cdot 3^1$	64	$2^1 \cdot 3^1 \cdot 5^1 \cdot 17^1$	94	$2^2 \cdot 3^1$
5	$2^1 \cdot 11^1$	35	$2^1 \cdot 71^1$	65	$2^1 \cdot 131^1$	95	$2^1 \cdot 191^1$
6	$2^1 \cdot 3^1 \cdot 7^1$	36	$2^1 \cdot 3^3 \cdot 5^1$	66	$2^1 \cdot 3^2 \cdot 23^1$	96	$2^3 \cdot 3^1 \cdot 5^1 \cdot 7^1$
7	$2^1 \cdot 3^1$	37	$2^1 \cdot 3^1$	67	$2^1 \cdot 3^1$	97	$2^1 \cdot 3^1$
8	$2^2 \cdot 3^1 \cdot 5^1$	38	$2^2 \cdot 3^1$	68	$2^1 \cdot 137^1$	98	$2^1 \cdot 197^1$
9	$2^1 \cdot 3^3$	39	$2^1 \cdot 79^1$	69	$2^1 \cdot 139^1$	99	$2^1 \cdot 199^1$
10	$2^1 \cdot 3^1 \cdot 11^1$	40	$2^1 \cdot 3^1 \cdot 5^1 \cdot 11^1$	70	$2^1 \cdot 3^1 \cdot 71^1$	100	$2^1 \cdot 3^1 \cdot 5^3$
11	$2^1 \cdot 23^1$	41	$2^1 \cdot 83^1$	71	$2^1 \cdot 3^1$	101	$2^1 \cdot 3^1$
12	$2^1 \cdot 3^2 \cdot 5^1$	42	$2^1 \cdot 3^1 \cdot 7^2$	72	$2^1 \cdot 3^2 \cdot 5^1 \cdot 7^1$	102	$2^1 \cdot 3^1 \cdot 103^1$
13	$2^1 \cdot 3^1$	43	$2^1 \cdot 3^1$	73	$2^1 \cdot 3^1$	103	$2^1 \cdot 3^1$
14	$2^1 \cdot 29^1$	44	$2^2 \cdot 3^1 \cdot 23^1$	74	$2^1 \cdot 149^1$	104	$2^2 \cdot 3^1 \cdot 53^1$
15	$2^1 \cdot 31^1$	45	$2^1 \cdot 31^1$	75	$2^1 \cdot 151^1$	105	$2^1 \cdot 211^1$
16	$2^3 \cdot 3^1 \cdot 5^1$	46	$2^1 \cdot 3^1 \cdot 47^1$	76	$2^1 \cdot 3^1 \cdot 5^1$	106	$2^1 \cdot 3^1 \cdot 107^1$
17	$2^1 \cdot 3^1$	47	$2^1 \cdot 3^1$	77	$2^1 \cdot 23^1$	107	$2^1 \cdot 3^1$
18	$2^1 \cdot 3^2 \cdot 7^1$	48	$2^2 \cdot 3^1 \cdot 5^1 \cdot 7^1$	78	$2^1 \cdot 3^1 \cdot 79^1$	108	$2^1 \cdot 3^4 \cdot 5^1$
19	$2^1 \cdot 3^1$	49	$2^1 \cdot 3^1$	79	$2^1 \cdot 3^1$	109	$2^1 \cdot 3^1$
20	$2^1 \cdot 3^1 \cdot 5^2$	50	$2^1 \cdot 5^3$	80	$2^2 \cdot 3^1 \cdot 5^1 \cdot 11^1$	110	$2^1 \cdot 3^1 \cdot 11^2$
21	$2^1 \cdot 7^2$	51	$2^1 \cdot 103^1$	81	$2^1 \cdot 3^5$	111	$2^1 \cdot 223^1$
22	$2^1 \cdot 3^1 \cdot 23^1$	52	$2^1 \cdot 3^1 \cdot 53^1$	82	$2^1 \cdot 3^1 \cdot 83^1$	112	$2^1 \cdot 3^1 \cdot 5^1 \cdot 29^1$
23	$2^1 \cdot 47^1$	53	$2^1 \cdot 107^1$	83	$2^1 \cdot 167^1$	113	$2^1 \cdot 227^1$
24	$2^1 \cdot 3^1 \cdot 5^1 \cdot 7^1$	54	$2^1 \cdot 3^3 \cdot 7^1$	84	$2^2 \cdot 3^1 \cdot 7^2$	114	$2^1 \cdot 229^1$
25	$2^1 \cdot 11^1$	55	$2^1 \cdot 11^2$	85	$2^1 \cdot 11^1$	115	$2^1 \cdot 47^1$
26	$2^1 \cdot 53^1$	56	$2^2 \cdot 3^1 \cdot 29^1$	86	$2^1 \cdot 173^1$	116	$2^2 \cdot 3^1 \cdot 59^1$
27	$2^1 \cdot 3^4$	57	$2^1 \cdot 3^2$	87	$2^1 \cdot 59^1$	117	$2^1 \cdot 79^1$
28	$2^1 \cdot 3^1 \cdot 29^1$	58	$2^1 \cdot 3^1 \cdot 59^1$	88	$2^1 \cdot 3^1 \cdot 5^1 \cdot 23^1$	118	$2^2 \cdot 3^1$
29	$2^1 \cdot 59^1$	59	$2^1 \cdot 3^1$	89	$2^1 \cdot 179^1$	119	$2^1 \cdot 239^1$
30	$2^1 \cdot 3^2 \cdot 11^1$	60	$2^1 \cdot 3^1 \cdot 7^1 \cdot 11^1$	90	$2^1 \cdot 3^3 \cdot 11^1$	120	$2^1 \cdot 3^1 \cdot 5^2 \cdot 7^1$

Table 1.  $\Phi(n)$ .

Let us consider an upper bound of  $\Phi$  by using an “analytic” lower bound function of  $\varphi$  given by Rosser and Schoenfeld. If we denote by  $\gamma$  Euler’s constant,<sup>6</sup> it is shown in [Rosser and Schoenfeld 1962, Theorem 15] that<sup>7</sup>

$$(5-3) \quad \varphi(m) > \frac{m}{e^\gamma \log \log m + \frac{3}{\log \log m}}$$

for  $m \geq 3$ . We set

$$\Psi(n) := \text{Max}\{m \in \mathbb{Z}_{>0} \mid \varphi(m) \leq 2n\}.$$

We clearly have  $\Phi(n) \leq \Psi(n)$  for all  $n > 0$ .

**Proposition 5.3.** *For any real number  $C > 2e^\gamma$ , we have*

$$\Psi(n) < Cn \log \log n$$

for any  $n$  large enough.

*Proof.* The result should be well known as a consequence of Mertens’ theorem:

$$\liminf_n \frac{\varphi(n) \log \log n}{n} = e^{-\gamma}.$$

Using (5-3) instead of Mertens’ theorem, we can obtain a slightly refined statement (see Remark 5.4). So, for later use, we write down a proof with using (5-3). Put  $f(x) = C \log \log x$ . Take any integer  $N > 0$  satisfying the following: for all  $x > N$ ,

- (i)  $f(x) > \frac{1}{x} e^{e^2}$ , and
- (ii)  $f(x) > 2e^\gamma (\log \log(xf(x)) + 1)$ .

(The assumption  $C > 2e^\gamma$  asserts the existence of such  $N$ .) Take any integer  $n > N$ . It suffices to show  $n$  satisfies the desired inequality. Assume there exists an integer  $m$  such that both  $\varphi(m) \leq 2n$  and  $m \geq nf(n)$  hold. Since  $e^\gamma > 3/(\log \log x)$  for  $x > e^{e^2}$  and  $m (\geq nf(n)) > e^{e^2}$ , we find

$$\frac{1}{e^\gamma} \cdot \frac{m}{\log \log m + 1} < \frac{m}{e^\gamma \log \log m + \frac{3}{\log \log m}} < \varphi(m) \leq 2n$$

by (5-3). Also,  $nf(n)/(\log \log(nf(n)) + 1) \leq m/(\log \log m + 1)$  since the function  $x/(\log \log x + 1)$  is strictly increasing for  $x > e$  and  $m \geq nf(n) (> e^{e^2}) > e$ . Hence

$$\frac{1}{e^\gamma} \cdot \frac{nf(n)}{\log \log(nf(n)) + 1} < 2n,$$

which gives  $f(n) < 2e^\gamma (\log \log(nf(n)) + 1)$ . This contradicts condition (ii). We conclude that if  $\varphi(m) \leq 2n$ , then  $m < nf(n)$ . This implies that  $\Psi(n) < nf(n) = Cn \log \log n$ .  $\square$

<sup>6</sup> $\gamma = \int_1^\infty \left(\frac{1}{[x]} - \frac{1}{x}\right) dx = 0.57721 \dots$ . Note also  $e^\gamma = 1.78107 \dots$ .

<sup>7</sup>More precisely, that theorem states  $\varphi(m) > m/(e^\gamma \log \log m + 5/(2 \log \log m))$  for  $m \geq 3$  except when  $m$  is the product of the first nine primes,  $m = 223092870 = 2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot 13 \cdot 17 \cdot 19 \cdot 23$ .

$n$	$H(n)$
1	$2^4 \cdot 3^1$
2	$2^8 \cdot 3^2 \cdot 5^1$
3	$2^{11} \cdot 3^4 \cdot 5^1 \cdot 7^1$
4	$2^{16} \cdot 3^5 \cdot 5^2 \cdot 7^1$
5	$2^{19} \cdot 3^6 \cdot 5^2 \cdot 7^1 \cdot 11^1$
6	$2^{23} \cdot 3^8 \cdot 5^3 \cdot 7^2 \cdot 11^1 \cdot 13^1$
7	$2^{26} \cdot 3^9 \cdot 5^3 \cdot 7^2 \cdot 11^1 \cdot 13^1$
8	$2^{32} \cdot 3^{10} \cdot 5^4 \cdot 7^2 \cdot 11^1 \cdot 13^1 \cdot 17^1$
9	$2^{35} \cdot 3^{13} \cdot 5^4 \cdot 7^3 \cdot 11^1 \cdot 13^1 \cdot 17^1 \cdot 19^1$
10	$2^{39} \cdot 3^{14} \cdot 5^6 \cdot 7^3 \cdot 11^2 \cdot 13^1 \cdot 17^1 \cdot 19^1$
11	$2^{42} \cdot 3^{15} \cdot 5^6 \cdot 7^3 \cdot 11^2 \cdot 13^1 \cdot 17^1 \cdot 19^1 \cdot 23^1$
12	$2^{47} \cdot 3^{17} \cdot 5^7 \cdot 7^4 \cdot 11^2 \cdot 13^2 \cdot 17^1 \cdot 19^1 \cdot 23^1$
13	$2^{50} \cdot 3^{18} \cdot 5^7 \cdot 7^4 \cdot 11^2 \cdot 13^2 \cdot 17^1 \cdot 19^1 \cdot 23^1$
14	$2^{54} \cdot 3^{19} \cdot 5^8 \cdot 7^4 \cdot 11^2 \cdot 13^2 \cdot 17^1 \cdot 19^1 \cdot 23^1 \cdot 29^1$
15	$2^{57} \cdot 3^{21} \cdot 5^8 \cdot 7^5 \cdot 11^3 \cdot 13^2 \cdot 17^1 \cdot 19^1 \cdot 23^1 \cdot 29^1 \cdot 31^1$
16	$2^{64} \cdot 3^{22} \cdot 5^9 \cdot 7^5 \cdot 11^3 \cdot 13^2 \cdot 17^2 \cdot 19^1 \cdot 23^1 \cdot 29^1 \cdot 31^1$
17	$2^{67} \cdot 3^{23} \cdot 5^9 \cdot 7^5 \cdot 11^3 \cdot 13^2 \cdot 17^2 \cdot 19^1 \cdot 23^1 \cdot 29^1 \cdot 31^1$
18	$2^{71} \cdot 3^{26} \cdot 5^{10} \cdot 7^6 \cdot 11^3 \cdot 13^3 \cdot 17^2 \cdot 19^2 \cdot 23^1 \cdot 29^1 \cdot 31^1 \cdot 37^1$
19	$2^{74} \cdot 3^{27} \cdot 5^{10} \cdot 7^6 \cdot 11^3 \cdot 13^3 \cdot 17^2 \cdot 19^2 \cdot 23^1 \cdot 29^1 \cdot 31^1 \cdot 37^1$
20	$2^{79} \cdot 3^{28} \cdot 5^{12} \cdot 7^6 \cdot 11^4 \cdot 13^3 \cdot 17^2 \cdot 19^2 \cdot 23^1 \cdot 29^1 \cdot 31^1 \cdot 37^1 \cdot 41^1$
21	$2^{82} \cdot 3^{30} \cdot 5^{12} \cdot 7^8 \cdot 11^4 \cdot 13^3 \cdot 17^2 \cdot 19^2 \cdot 23^1 \cdot 29^1 \cdot 31^1 \cdot 37^1 \cdot 41^1 \cdot 43^1$
22	$2^{86} \cdot 3^{31} \cdot 5^{13} \cdot 7^8 \cdot 11^4 \cdot 13^3 \cdot 17^2 \cdot 19^2 \cdot 23^2 \cdot 29^1 \cdot 31^1 \cdot 37^1 \cdot 41^1 \cdot 43^1$
23	$2^{89} \cdot 3^{32} \cdot 5^{13} \cdot 7^8 \cdot 11^4 \cdot 13^3 \cdot 17^2 \cdot 19^2 \cdot 23^2 \cdot 29^1 \cdot 31^1 \cdot 37^1 \cdot 41^1 \cdot 43^1 \cdot 47^1$
24	$2^{95} \cdot 3^{34} \cdot 5^{14} \cdot 7^9 \cdot 11^4 \cdot 13^4 \cdot 17^3 \cdot 19^2 \cdot 23^2 \cdot 29^1 \cdot 31^1 \cdot 37^1 \cdot 41^1 \cdot 43^1 \cdot 47^1$
25	$2^{98} \cdot 3^{35} \cdot 5^{14} \cdot 7^9 \cdot 11^5 \cdot 13^4 \cdot 17^3 \cdot 19^2 \cdot 23^2 \cdot 29^1 \cdot 31^1 \cdot 37^1 \cdot 41^1 \cdot 43^1 \cdot 47^1$

**Table 2.**  $H(n)$ .

**Remark 5.4.** For a given  $C$ , we can modify the phrase “for any  $n$  large enough” in the statement of Proposition 5.3. For example, let us consider the case  $C = 4$ . By studying (i) and (ii) in the above proof more carefully, we can show

$$\Psi(n) < 4n \log \log n \quad \text{for any } n > e^{(1.001e)^9}.$$

$n$	$G(n)$
1	$2^4 \cdot 3^1$
2	$2^9 \cdot 3^6 \cdot 5^1 \cdot 13^1$
3	$2^{13} \cdot 3^{15} \cdot 5^1 \cdot 7^1 \cdot 11^2 \cdot 13^2$
4	$2^{19} \cdot 3^{28} \cdot 5^2 \cdot 7^1 \cdot 11^2 \cdot 13^2 \cdot 41^1 \cdot 1093^1$
5	$2^{23} \cdot 3^{45} \cdot 5^2 \cdot 7^1 \cdot 11^4 \cdot 13^3 \cdot 41^1 \cdot 61^1 \cdot 757^1 \cdot 1093^1$
6	$2^{28} \cdot 3^{66} \cdot 5^3 \cdot 7^2 \cdot 11^4 \cdot 13^4 \cdot 23^1 \cdot 41^1 \cdot 61^1 \cdot 73^1 \cdot 757^1 \cdot 1093^1 \cdot 3851^1$
7	$2^{32} \cdot 3^{91} \cdot 5^3 \cdot 7^2 \cdot 11^4 \cdot 13^4 \cdot 23^1 \cdot 41^1 \cdot 61^1 \cdot 73^1 \cdot 547^1 \cdot 757^1 \cdot 1093^2 \cdot 3851^1 \cdot 797161^1$

**Table 3.**  $G(n)$  (for  $p \neq 3$ ).

Here we check the above inequality. Condition (ii) is equivalent to

$$(\log x)^{C/(2e^\gamma)-1} > e \left( 1 + \frac{\log(C \log \log x)}{\log x} \right).$$

We assume  $x > e^{e^9}$ . Since  $C/(2e^\gamma) - 1 > \frac{4}{3.6} - 1 = \frac{1}{9}$  and  $\log(C \log \log x)/\log x < 0.001$ , inequality (ii) holds if  $(\log x)^{\frac{1}{9}} > 1.001e$ , that is,  $x > e^{(1.001e)^9}$ . Note that (i) clearly holds for such  $x$ .

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
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