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We show that the set of *awesome* homogeneous metrics on noncompact manifolds is Ricci flow invariant and that if the universal cover of an awesome homogeneous space is not contractible, the Ricci flow has finite extinction time, confirming the dynamical Alekseevskii conjecture in this case. We also analyze the long-time limits of awesome homogeneous Ricci flows.

1. Introduction

The Ricci flow is the geometric evolution equation given by

$$\frac{\partial g(t)}{\partial t} = -2 \operatorname{ric}(g(t)), \quad g(0) = g_0,$$

where $\operatorname{ric}(g)$ is the Ricci $(2, 0)$ -tensor of the Riemannian manifold (M, g) .

Hamilton [1982] introduced the Ricci flow and proved short-time existence and uniqueness when M is compact. Then Chen and Zhu [2006] proved the uniqueness of the flow within the class of complete and bounded curvature Riemannian manifolds.

A maximal Ricci flow solution $g(t)$, $t \in [0, T)$, is called *immortal* if $T = +\infty$, otherwise we say that the flow has *finite extinction time*.

A Riemannian manifold (M, g) is called *homogeneous* if its isometry group acts transitively on it. From the uniqueness of a Ricci flow solution it follows immediately that the isometries are preserved along the flow; thus a solution $g(t)$ from a homogeneous initial metric g_0 would remain homogeneous for the same isometric action. Hence, the Ricci flow equation given above becomes an autonomous nonlinear ordinary differential equation.

In the homogeneous case, the scalar curvature is increasing along the flow (see [Lafuente 2015]). Furthermore, if the scalar curvature is positive at some point along the flow, then it must blow up in finite time, and hence, the solution is not immortal.

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Lafuente [2015] has shown that actually a homogeneous Ricci flow solution has finite extinction time if and only if the scalar curvature blows up in finite time, or equivalently, if and only if the scalar curvature ever becomes positive along the flow. Bérard-Bergery [1978] has shown that a manifold admits a homogeneous Riemannian metric of positive scalar curvature if and only if its universal cover is not diffeomorphic to Euclidean space.

Böhm and Lafuente [2018] then proposed the problem of showing whether the converse is also true, namely they asked whether the universal cover of an immortal homogeneous Ricci flow solution is always diffeomorphic to \mathbb{R}^n . This got established later as the *dynamical Alekseevskii conjecture* [Naber et al. 2022].

Böhm [2015, Theorem 3.2] showed that the conjecture is true for the case of compact homogeneous manifolds. However, in the noncompact case not much is known in the direction of the dynamical Alekseevskii conjecture other than in low-dimensions. Isenberg and Jackson [1992] thoroughly studied the 3-dimensional homogeneous Ricci flow, and in [Isenberg et al. 2006] the authors studied a large set of metrics on dimension 4. Indeed, up to dimension 4 the conjecture is true (see [Araujo 2024]). In that article, it was shown that the conjecture is true if the isometry group of the homogeneous Riemannian manifold is, up to a covering, a Lie group product with a compact semisimple factor; which generalizes [Böhm 2015, Theorem 3.2].

We study the long-time behavior of the homogeneous Ricci flow solutions on semisimple homogeneous spaces on a special family of Ricci flow invariant metrics, called *awesome metrics*.

Let G be a semisimple Lie group and G/H a homogeneous Riemannian manifold. Let \mathfrak{g} be the Lie algebra of G and \mathfrak{h} the Lie algebra of H . Let $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ be a Cartan decomposition of \mathfrak{g} , K the integral subgroup corresponding to \mathfrak{k} , and $\mathfrak{m} = \mathfrak{l} \oplus \mathfrak{p}$ a reductive complement to \mathfrak{h} . Then a G -invariant metric g on G/H is *awesome* if $g(\mathfrak{l}, \mathfrak{p}) = 0$.

The set of awesome homogeneous metrics was introduced by Nikonorov [2000], where he proved that it contains no Einstein metric. Semisimple homogeneous spaces with inequivalent irreducible summands in its isotropy representation supply the simplest examples of homogeneous spaces G/H such that every G -invariant metric is awesome.

Our first main result is a generalization of [Nikonorov 2000, Theorem 1] to the dynamical setting, giving a partial positive answer to the conjecture.

Theorem A. *Let $(G/H, g_0)$ be a homogeneous Riemannian manifold such that the universal cover is not diffeomorphic to \mathbb{R}^n and G is semisimple. If g_0 is an awesome G -invariant metric, then the Ricci flow solution starting at g_0 has finite extinction time.*

Dotti and Leite [1982] have shown that $SL(n, \mathbb{R})$ for $n \geq 3$ admit left-invariant Ricci negative metrics. Later, Dotti, Leite and Miatello [Dotti et al. 1984] were

able to extend this result by showing that all but a finite collection of noncompact simple Lie groups admit a Ricci negative left-invariant metric. All those metrics are awesome. This shows that for these spaces such that the universal cover \tilde{M} is not contractible the confirmation of the dynamical Alekseevskii conjecture implies a change of regime of the Ricci flow: from one in which the manifold expands in all directions to one such that for some direction it shrinks in finite time. Böhm’s proof [2015, Theorem 3.2] of the finite extinction time of the Ricci flow on nontoral compact homogeneous manifolds works by showing an explicit preferred direction in which the curvature is Ricci positive (the same approach is followed in [Araujo 2024]), but Dotti, Leite and Miatello’s results indicate that we cannot directly do the same here.

Indeed, in order to prove Theorem A we need to first prove some scale-invariant pinching estimates (Proposition 4.4) that will eventually lead to the existence of a Ricci positive direction given by the nontoral compact fibers as in [Böhm 2015]. The estimates obtained can be exploited further to prove the following two convergence results.

Theorem B. *Let $M = G/H$ be a homogeneous manifold, such that the universal cover is not diffeomorphic to \mathbb{R}^n and G is semisimple. Let $(M, g(t)), t \in [0, T)$, be an awesome Ricci flow adapted to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. Let $R(g)$ be the scalar curvature of the metric g . For any sequence $(t_a)_{a \in \mathbb{N}}, t_a \rightarrow T$, there exists a subsequence such that $(M, R(g(t_{\hat{a}})) \cdot g(t_{\hat{a}}))$ converges in pointed C^∞ -topology to the Riemannian product*

$$E_\infty \times \mathbb{E}^d,$$

where E_∞ is a compact homogeneous Einstein manifold with positive scalar curvature and \mathbb{E}^d is the d -dimensional (flat) Euclidean space with $d \geq \dim \mathfrak{p}$.

The geometry of E_∞ just depends on the subsequence of Riemannian submanifolds $(K/H, R(g(t_{\hat{a}})) \cdot g(t_{\hat{a}}))$.

Theorem C. *Let $\tilde{M} = G/H$ be a homogeneous manifold diffeomorphic to \mathbb{R}^n with G semisimple. Let $(\tilde{M}, g(t)), t \in [1, \infty)$, be an awesome Ricci flow adapted to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. Then the parabolic rescaling $(\tilde{M}, t^{-1}g(t))$ converges in pointed C^∞ -topology to the Riemannian product*

$$\Sigma_\infty \times \mathbb{E}^{\dim \mathfrak{l}},$$

where $\Sigma_\infty = (G/K, B|_{\mathfrak{p} \times \mathfrak{p}})$ is the noncompact Einstein symmetric space defined by the pair $(\mathfrak{g}, \mathfrak{k})$ and $\mathbb{E}^{\dim \mathfrak{l}}$ is the $\dim \mathfrak{l}$ -dimensional (flat) Euclidean space.

Theorem B shows that in order to understand the blow-up limits of the Ricci flow on the awesome metrics we can reduce the investigation to the corresponding blow-up of the compact homogeneous fibers given by the Cartan decomposition. Such analysis was done, for example, in [Böhm 2015].

Also since every left-invariant metric on $\overline{\mathrm{SL}(2, \mathbb{R})}$ is awesome, Theorem C is a generalization of the result by Lott [2007] which states that the parabolic blow-down of any left-invariant metric in $\overline{\mathrm{SL}(2, \mathbb{R})}$ converges to the Riemannian product $\mathbb{H}^2 \times \mathbb{R}$.

The structure of this article is the following: In Section 2, we give a quick overview of the homogeneous Ricci flow and show that the space of awesome metrics is Ricci flow invariant. In Section 3, we mainly establish a priori algebraic bounds that exploit the compatibility of the Cartan decomposition and the metric in the awesome case. In Section 4, we use these algebraic bounds to get control quantities to our dynamics which allows us to prove Theorem A. Finally, in Section 5 we conclude with the analysis of the long-time limits. In particular, under the hypothesis of Theorem A, we show in Theorem B a rigidity result for the possible limit geometries as the solution approaches the singularity. We finish by showing in Theorem C which is the limit geometry at infinity for the case when $g(t)$ is an immortal awesome Ricci flow. This generalizes the work on the Ricci flow of left-invariant metrics on $\overline{\mathrm{SL}(2, \mathbb{R})}$ done in [Lott 2007] to \mathbb{R}^d -bundles over Hermitian symmetric spaces.

2. Homogeneous Ricci flow of awesome metrics

A Riemannian manifold (M^n, g) is said to be homogeneous if its isometry group $I(M, g)$ acts transitively on M . If M is connected (which we will assume from here onward unless otherwise stated), then each transitive closed Lie subgroup $G < I(M, g)$ gives rise to a presentation of (M, g) as a homogeneous space with a G -invariant metric $(G/H, g)$, where H is the isotropy subgroup of G fixing some point $p \in M$.

Let us denote the Lie algebra of G by \mathfrak{g} . The G -action induces a Lie algebra homomorphism $\mathfrak{g} \rightarrow \mathfrak{X}(M)$ assigning to each $X \in \mathfrak{g}$ a Killing field on (M, g) , also denoted by X , and given by

$$X(q) := \left(\frac{d}{dt} \exp(tX) \cdot q \right) \Big|_{t=0}, \quad q \in M.$$

If \mathfrak{h} is the Lie algebra of the isotropy subgroup $H < G$ fixing $p \in M$, then it can be characterized as those $X \in \mathfrak{g}$ such that $X(p) = 0$. Given that, we can take a complementary $\mathrm{Ad}(H)$ -module \mathfrak{m} to \mathfrak{h} in \mathfrak{g} and identify $\mathfrak{m} \cong T_p M$ via the above infinitesimal correspondence.

A homogeneous space G/H is called *reductive* if there exists such a complementary vector space \mathfrak{m} such that for the respective Lie algebras of G and H

$$\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{m}, \quad \mathrm{Ad}(H)(\mathfrak{m}) \subset \mathfrak{m}.$$

This is always possible in the case of homogeneous Riemannian manifolds. This is due to a classic result on Riemannian geometry [do Carmo 1992, Chapter VIII,

Lemma 4.2], which states that an isometry is uniquely determined by the image of the point p and its derivative at p ; hence the isotropy subgroup H is a closed subgroup of $\text{SO}(T_p M)$, and in particular it is compact. Indeed, if $\text{Ad}(H)$ is compact, then one can average over an arbitrary inner product over \mathfrak{g} to make it $\text{Ad}(H)$ -invariant and hence take $\mathfrak{m} := \mathfrak{h}^\perp$. Given that, one can identify $\mathfrak{m} \cong T_{eH}G/H$ once and for all and with this identification there is a one-to-one correspondence between homogeneous metrics in $M := G/H$, $p \cong eH$, and $\text{Ad}(H)$ -invariant inner products in \mathfrak{m} .

In full generality, the Ricci flow is a nonlinear partial differential equation. As mentioned in the introduction, in the case where M is compact, Hamilton [1982] proved short-time existence and uniqueness for the Ricci flow. Then Chen and Zhu [2006] proved the uniqueness of the flow within the class of complete and bounded curvature Riemannian manifolds, which includes the class of homogeneous manifolds. From its uniqueness it follows immediately that the Ricci flow preserves isometries. Thus a solution $g(t)$ from a G -invariant initial metric g_0 would remain G -invariant, and hence it is quite natural to consider a *homogeneous Ricci flow*. We then get an autonomous nonlinear ordinary differential equation,

$$(2-1) \quad \frac{dg(t)}{dt} = -2 \text{ric}(g(t)), \quad g(0) = g_0,$$

where the Ricci tensor can be seen as the smooth map

$$\text{ric} : (\text{Sym}^2(\mathfrak{m}))_+^{\text{Ad}(H)} \rightarrow (\text{Sym}^2(\mathfrak{m}))^{\text{Ad}(H)}.$$

Here $(\text{Sym}^2(\mathfrak{m}))^{\text{Ad}(H)}$ is the nontrivial vector space of $\text{Ad}(H)$ -invariant symmetric bilinear forms in \mathfrak{m} and $(\text{Sym}^2(\mathfrak{m}))_+^{\text{Ad}(H)}$ the open set of positive definite ones. By classical ODE theory, given an initial G -invariant metric g_0 corresponding to an initial $\text{Ad}(H)$ -invariant inner product, there is a unique $\text{Ad}(H)$ -invariant inner product solution corresponding to a unique family of G -invariant metrics $g(t)$ in M .

The general formula for the Ricci curvature of a homogeneous Riemannian manifold $(G/H, g)$ [Besse 1987, Corollary 7.38] is given by

$$(2-2) \quad \text{ric}_g(X, X) = -\frac{1}{2}B(X, X) - \frac{1}{2} \sum_i \|[X, X_i]_{\mathfrak{m}}\|_g^2 + \frac{1}{4} \sum_{i,j} g([X_i, X_j]_{\mathfrak{m}}, X)^2 - g([H_g, X]_{\mathfrak{m}}, X),$$

where B is the Killing form, $\{X_i\}_{i=1}^n$ is a g -orthonormal basis of \mathfrak{m} and H_g is the mean curvature vector defined by $g(H_g, X) := \text{Tr}(\text{ad}_X)$. Immediately it follows that $H_g = 0$ if and only if \mathfrak{g} is unimodular.

Let us now consider \mathfrak{g} to be a noncompact semisimple Lie algebra. By classical structure theory on semisimple Lie algebras [Hilgert and Neeb 2012, Chapter 13], \mathfrak{g} can be described in terms of its *Cartan decomposition*

$$\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p},$$

where \mathfrak{k} is a compactly embedded Lie subalgebra of \mathfrak{g} and \mathfrak{p} is a \mathfrak{k} -submodule such that $[\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{k}$.

The Killing form B of \mathfrak{g} is such that

$$B(\mathfrak{k}, \mathfrak{p}) = 0, \quad B|_{\mathfrak{k} \times \mathfrak{k}} < 0, \quad B|_{\mathfrak{p} \times \mathfrak{p}} > 0,$$

and $-B|_{\mathfrak{k} \times \mathfrak{k}} + B|_{\mathfrak{p} \times \mathfrak{p}}$ is an inner product on \mathfrak{g} such that $\text{ad}(\mathfrak{k})$ are skew-symmetric maps and $\text{ad}(\mathfrak{p})$ are symmetric maps [Hilgert and Neeb 2012, Lemma 13.1.3].

Since the flow only depends on the Lie algebra \mathfrak{g} , we can take without loss of generality any G connected with such Lie algebra. So for a $M = G/H$, with G a semisimple noncompact Lie group, we can fix a background Cartan decomposition

$$\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$$

such that the integral subgroup K of \mathfrak{k} is a maximal connected compact subgroup of G with $H \subset K$ [Hilgert and Neeb 2012, Theorem 14.1.3]. We call a homogeneous manifold G/H , with G semisimple and $\text{Ad}(H)$ compact, a *semisimple homogeneous space*.

Consider the orthogonal complement $\mathfrak{l} := \mathfrak{h}^\perp$ of \mathfrak{h} in \mathfrak{k} with respect to the Killing form B . And let us do the identification

$$(2-3) \quad T_e G/H \cong \mathfrak{m} = \mathfrak{l} \oplus \mathfrak{p}.$$

We will call then this reductive complement $\mathfrak{m} = \mathfrak{l} \oplus \mathfrak{p}$ *adapted* to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$.

Definition 2.1 (awesome metric). Let G/H be a homogeneous space with G semisimple and $\text{Ad}(H)$ compact. An $\text{Ad}(H)$ -invariant inner product g on the reductive complement \mathfrak{m} is called *awesome* if for some Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ for which $\mathfrak{m} = \mathfrak{l} \oplus \mathfrak{p}$ is adapted, we have that $g(\mathfrak{l}, \mathfrak{p}) = 0$. In this case, we say that the awesome metric g is adapted to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$, with $\mathfrak{k} = \mathfrak{h} \oplus \mathfrak{l}$.

As it was mentioned in the introduction, this nonempty set of metrics was introduced by Nikonorov [2000], where he proved that the set contains no Einstein metric. We will see that this set is actually Ricci flow invariant, proving to be a good test ground concerning the dynamical Alekseevskii conjecture. Semisimple homogeneous spaces G/H such that the isotropy representation of H on \mathfrak{m} have inequivalent irreducible summands only admit awesome G -invariant metrics, and as such this set of metrics has an obvious spotlight in the literature.

On the other hand, for example, in the case of a Lie group with dimension larger than 3, the set of awesome metrics is a meager subset of the left-invariant metrics, and its dynamical properties under the phase space of the Ricci flow are largely unknown. Nikonorov [2000, Theorem 2] also gave a necessary and sufficient

algebraic condition for a semisimple homogeneous space G/H to be such that every G -invariant metric is awesome.

Via (2-3), we have a one-to-one correspondence between the set of awesome G -invariant metrics on G/H and the open subset of positive definite $\text{Ad}(H)$ -invariant symmetric bilinear forms in \mathfrak{m} such that $\mathfrak{l} \perp \mathfrak{p}$, which in turn is a linear subspace of $(\text{Sym}^2(\mathfrak{m}))^{\text{Ad}(H)}$.

Manipulating the Ricci tensor formula (2-2) on the awesome case we can directly prove the following lemma.

Lemma 2.2. *Let G/H be a semisimple homogeneous space. Then the set of G -invariant awesome metrics in G/H is Ricci flow invariant.*

Proof. Let G/H be a semisimple homogeneous space. We need to show that the Ricci operator $\text{ric} : (\text{Sym}^2(\mathfrak{m}))_+^{\text{Ad}(H)} \rightarrow (\text{Sym}^2(\mathfrak{m}))^{\text{Ad}(H)}$ takes an element g such that $g(\mathfrak{l}, \mathfrak{p}) = 0$ to a symmetric bilinear form ric_g such that $\text{ric}_g(\mathfrak{l}, \mathfrak{p}) = 0$. By polarizing the formula for the Ricci tensor (2-2) on a homogeneous manifold G/H with G unimodular, we get

$$2 \text{ric}_g(X, Y) = -B(X, Y) - \sum_i g([X, X_i]_{\mathfrak{m}}, [Y, X_i]_{\mathfrak{m}}) + \frac{1}{2} \sum_{i,j} g([X_i, X_j]_{\mathfrak{m}}, X)g([X_i, X_j]_{\mathfrak{m}}, Y).$$

In our case

$$\{X_1, \dots, X_{n+m}\} = \{X_1^{\mathfrak{l}}, \dots, X_n^{\mathfrak{l}}, X_1^{\mathfrak{p}}, \dots, X_m^{\mathfrak{p}}\},$$

where $\{X_i^{\mathfrak{l}}\}_{i=1}^n$ and $\{X_i^{\mathfrak{p}}\}_{i=1}^m$ are g -orthonormal bases for \mathfrak{l} and \mathfrak{p} , respectively. We then get that for $X^{\mathfrak{l}} \in \mathfrak{l}$ and $X^{\mathfrak{p}} \in \mathfrak{p}$

$$\begin{aligned} 2 \text{ric}_g(X^{\mathfrak{l}}, X^{\mathfrak{p}}) &= -B(X^{\mathfrak{l}}, X^{\mathfrak{p}}) - \sum_i g([X^{\mathfrak{l}}, X_i]_{\mathfrak{m}}, [X^{\mathfrak{p}}, X_i]_{\mathfrak{m}}) \\ &\quad + \frac{1}{2} \sum_{i,j} g([X_i, X_j]_{\mathfrak{m}}, X^{\mathfrak{l}})g([X_i, X_j]_{\mathfrak{m}}, X^{\mathfrak{p}}) \\ &= -\sum_i g([X^{\mathfrak{l}}, X_i^{\mathfrak{l}}]_{\mathfrak{m}}, [X^{\mathfrak{p}}, X_i^{\mathfrak{l}}]_{\mathfrak{m}}) - \sum_i g([X^{\mathfrak{l}}, X_i^{\mathfrak{p}}]_{\mathfrak{m}}, [X^{\mathfrak{p}}, X_i^{\mathfrak{p}}]_{\mathfrak{m}}) \\ &\quad + \frac{1}{2} \sum_{i,j} g([X_i, X_j]_{\mathfrak{m}}, X^{\mathfrak{l}})g([X_i, X_j]_{\mathfrak{m}}, X^{\mathfrak{p}}) \\ &= \frac{1}{2} \sum_{i,j} g([X_i, X_j]_{\mathfrak{m}}, X^{\mathfrak{l}})g([X_i, X_j]_{\mathfrak{m}}, X^{\mathfrak{p}}). \end{aligned}$$

We used that $B(\mathfrak{l}, \mathfrak{p}) = 0$ in the second equality and in the third equality we used both the Cartan decomposition relations $[\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{k}$, $[\mathfrak{k}, \mathfrak{p}] \subset \mathfrak{p}$, $[\mathfrak{k}, \mathfrak{k}] \subset \mathfrak{k}$ and that

$g(\mathfrak{l}, \mathfrak{p}) = 0$. Moreover, by the same reason, we have that

$$\begin{aligned} \sum_{i,j} g([X_i, X_j]_{\mathfrak{m}}, X^{\mathfrak{l}})g([X_i, X_j]_{\mathfrak{m}}, X^{\mathfrak{p}}) &= \sum_{i,j} g([X_i^{\mathfrak{l}}, X_j^{\mathfrak{l}}]_{\mathfrak{m}}, X^{\mathfrak{l}})g([X_i^{\mathfrak{l}}, X_j^{\mathfrak{l}}]_{\mathfrak{m}}, X^{\mathfrak{p}}) \\ &\quad + \sum_{i,j} g([X_i^{\mathfrak{p}}, X_j^{\mathfrak{p}}]_{\mathfrak{m}}, X^{\mathfrak{l}})g([X_i^{\mathfrak{p}}, X_j^{\mathfrak{p}}]_{\mathfrak{m}}, X^{\mathfrak{p}}) \\ &\quad + 2 \sum_{i,j} g([X_i^{\mathfrak{l}}, X_j^{\mathfrak{p}}]_{\mathfrak{m}}, X^{\mathfrak{l}})g([X_i^{\mathfrak{l}}, X_j^{\mathfrak{p}}]_{\mathfrak{m}}, X^{\mathfrak{p}}) \\ &= 0. \end{aligned}$$

This means that the set of awesome metrics $\{g \in (\text{Sym}^2(\mathfrak{m}))_+^{\text{Ad}(H)} \mid g(\mathfrak{l}, \mathfrak{p}) = 0\}$ is an invariant subset for the Ricci flow equation (2-1). \square

Remark 2.3. Nikonorov [2000, Example 1] had already argued that $\text{ric}_g(\mathfrak{l}, \mathfrak{p}) = 0$ for g awesome, in the particular case of the homogeneous space $\text{SO}(n, 2)/\text{SO}(n)$, $n \geq 2$. The isotropy representation of $\text{SO}(n, 2)/\text{SO}(n)$, $n \geq 2$, has three summands $\mathfrak{l}_1 \subset \mathfrak{k}$, $\mathfrak{p}_1 \subset \mathfrak{p}$, and $\mathfrak{p}_2 \subset \mathfrak{p}$, and moreover \mathfrak{l}_1 is not isomorphic to \mathfrak{p}_1 or \mathfrak{p}_2 ; thus any $\text{SO}(n, 2)$ -invariant metric is awesome. He works this example out in more detail in order to show that $\text{SO}(n, 2)/\text{SO}(n)$ admits $\text{SO}(n, 2)$ -invariant Ricci negative metrics but no Einstein metric.

3. Algebraic bounds for the Ricci curvature

We want to understand the long-time behavior of an awesome metric under the homogeneous Ricci flow. In order to do that we want to compare an arbitrary awesome metric g to a highly symmetric background metric.

Let us fix $Q := -B|_{\mathfrak{l} \times \mathfrak{l}} + B|_{\mathfrak{p} \times \mathfrak{p}}$ as a background metric. For a given $\text{Ad}(H)$ -invariant inner product g on \mathfrak{m} , by Schur's lemma, we can decompose it on Q -orthogonal irreducible \mathfrak{h} -modules $\mathfrak{m} = \bigoplus_{i=1}^N \mathfrak{m}_i$ such that

$$g = x_1 \cdot Q|_{\mathfrak{m}_1 \times \mathfrak{m}_1} \perp \cdots \perp x_N \cdot Q|_{\mathfrak{m}_N \times \mathfrak{m}_N},$$

for some positive numbers $x_1, \dots, x_N \in \mathbb{R}$. Note that this decomposition is not necessarily unique, except in the case where all irreducible modules are pairwise inequivalent. Also by Schur's lemma, in each irreducible summand \mathfrak{m}_i the Ricci tensor is given by $\text{ric}_g|_{\mathfrak{m}_i \times \mathfrak{m}_i} = r_i \cdot g|_{\mathfrak{m}_i \times \mathfrak{m}_i}$, for $r_1, \dots, r_N \in \mathbb{R}$. Observe that, in general, the mixed terms $\text{ric}_g(\mathfrak{m}_i, \mathfrak{m}_j)$ for $i \neq j$ are not zero when \mathfrak{m}_i is equivalent to \mathfrak{m}_j as \mathfrak{h} -modules.

Now let g be an awesome metric. Then there is a Cartan decomposition such that $g(\mathfrak{l}, \mathfrak{p}) = 0$; hence we can adapt the above decomposition so that

$$(3-1) \quad g = l_1 \cdot Q|_{\mathfrak{l}_1 \times \mathfrak{l}_1} \perp \cdots \perp l_n \cdot Q|_{\mathfrak{l}_v \times \mathfrak{l}_v} \perp p_1 \cdot Q|_{\mathfrak{p}_1 \times \mathfrak{p}_1} \perp \cdots \perp p_m \cdot Q|_{\mathfrak{p}_m \times \mathfrak{p}_m},$$

where $(l_1, \dots, l_n, p_1, \dots, p_m) = (m_1, \dots, m_{n+m})$ with

$$l = \bigoplus_{i=1}^n l_i \quad \text{and} \quad p = \bigoplus_{i=1}^m p_i,$$

and $(l_1, \dots, l_n, p_1, \dots, p_m) = (x_1, \dots, x_{n+m})$.

Let us establish the notation $I_l := \{1, \dots, n\}$ and $I_p := \{n+1, \dots, n+m\}$, and let d_i denote the dimension of m_i for all $i \in \{1, \dots, n+m\}$. To simplify notation we are going to write $\hat{i} := n+i$. Finally, let us define

$$(3-2) \quad r_i^l \cdot g|_{l_i \times l_i} = \text{ric}_g|_{l_i \times l_i} \quad \text{for } i = 1, \dots, n$$

and

$$(3-3) \quad r_i^p \cdot g|_{p_i \times p_i} = \text{ric}_g|_{p_i \times p_i} \quad \text{for } i = 1, \dots, m,$$

where $(r_1^l, \dots, r_n^l, r_1^p, \dots, r_m^p) = (r_1, \dots, r_{n+m})$.

Let us take the following Q -orthonormal basis on \mathfrak{g} , $\{E_\alpha^0\}$ for $1 \leq \alpha \leq n$ on \mathfrak{h} , and $\{E_\alpha^i\}$ for $1 \leq \alpha \leq d_i$ on each m_i , $i = 1, \dots, n+m$. Then we can define the brackets coefficients

$$[ijk] := \sum_{\alpha, \beta, \gamma} Q([E_\alpha^i, E_\beta^j], E_\gamma^k)^2.$$

By the Cartan decomposition we get that $\text{ad}(\mathfrak{k})$ are skew-symmetric and $\text{ad}(\mathfrak{p})$ are symmetric [Hilgert and Neeb 2012, Lemma 13.1.3]; therefore the coefficient $[ijk]$ is invariant under permutations of the symbols i, j, k .

By Schur's lemma we have that the Casimir operator of the \mathfrak{h} action on the irreducible module m_i , $C_{m_i, \mathfrak{h}} := -\sum_\alpha \text{ad}(E_\alpha^0) \circ \text{ad}(E_\alpha^0)|_{m_i}$, is given by

$$(3-4) \quad c_i \cdot \text{Id}_{m_i} = -\sum_\alpha \text{ad}(E_\alpha^0) \circ \text{ad}(E_\alpha^0)|_{m_i},$$

with $c_i \geq 0$.

By [Wang and Ziller 1986, Lemma 1.5] (also [Nikonorov 2000, Lemma 1]), we have that, for $i = 1, \dots, n+m$,

$$(3-5) \quad 0 \leq \sum_{j,k} [ijk] = d_i(1 - 2c_i) \leq d_i.$$

Indeed, a direct computation yields

$$\begin{aligned} \sum_{j,k} [ijk] &= \sum_{\substack{\alpha, \beta, \gamma \\ 1 \leq j, k \leq n+m}} Q([E_\alpha^i, E_\beta^j], E_\gamma^k)^2 \\ &= \sum_{\substack{\alpha, \beta, \gamma \\ 0 \leq j, k \leq n+m}} Q([E_\alpha^i, E_\beta^j], E_\gamma^k)^2 - 2 \sum_{\alpha, \beta, \gamma} Q([E_\alpha^i, E_\beta^0], E_\gamma^i)^2 \end{aligned}$$

$$\begin{aligned}
&= \sum_{\substack{\alpha, \beta \\ 0 \leq j \leq n+m}} Q([E_\alpha^i, E_\beta^j], [E_\alpha^i, E_\beta^j]) - 2 \sum_{\alpha, \beta} Q([E_\beta^0, E_\alpha^i], [E_\beta^0, E_\alpha^i]) \\
&= \sum_{\substack{\alpha, \beta, \gamma \\ 0 \leq j \leq n+m}} |Q(E_\beta^j, [E_\alpha^i, [E_\alpha^i, E_\beta^j]])| + 2 \sum_{\alpha, \beta} Q(E_\alpha^i, [E_\beta^0, [E_\beta^0, E_\alpha^i]]) \\
&= \sum_{\alpha} |B(E_\alpha^i, E_\alpha^i)| - 2 \operatorname{Tr} C_{m_i, h} = d_i - 2c_i d_i \leq d_i
\end{aligned}$$

Using the above orthonormal basis, we have, for the Ricci curvature r_i on m_i , $i = 1, \dots, n+m$, of an awesome metric on \mathfrak{m} (see [Nikonorov 2000, Lemma 2]), the formula

$$(3-6) \quad r_i = \frac{b_i}{2x_i} + \frac{1}{4d_i} \sum_{j,k} [ijk] \left(\frac{x_i}{x_k x_j} - \frac{x_k}{x_i x_j} - \frac{x_j}{x_k x_i} \right),$$

where $b_i = 1$ if $i \in I_l$, and $b_i = -1$ if $i \in I_p$. Let us order $\{x_1, \dots, x_n\} = \{l_1, \dots, l_n\}$ as

$$0 < l_1 \leq \dots \leq l_n,$$

and $\{x_{n+1}, \dots, x_{n+m}\} = \{p_1, \dots, p_m\}$ as

$$0 < p_1 \leq \dots \leq p_m.$$

In [Nikonorov 2000] there are the following estimates for r_1^p and r_m^p . First, since $\frac{p_1}{p_j} - \frac{p_j}{p_1} \leq 0$ for $1 \leq j \leq m$,

$$\begin{aligned}
(3-7) \quad r_1^p &= -\frac{1}{2p_1} + \frac{1}{4d_{\hat{1}}} \sum_{j,k} [\hat{1}jk] \left(\frac{p_1}{x_k x_j} - \frac{x_k}{p_1 x_j} - \frac{x_j}{x_k p_1} \right) \\
&= -\frac{1}{2p_1} + \frac{1}{2d_{\hat{1}}} \sum_{\substack{\hat{j} \in I_p \\ k \in I_l}} [\hat{1}\hat{j}k] \left(\left(\frac{p_1}{p_j} - \frac{p_j}{p_1} \right) \frac{1}{l_k} - \frac{l_k}{p_j p_1} \right) \leq -\frac{1}{2p_1} < 0,
\end{aligned}$$

and since $\frac{p_m}{p_j} - \frac{p_j}{p_m} \geq 0$ for $1 \leq j \leq m$,

$$\begin{aligned}
(3-8) \quad r_m^p &= -\frac{1}{2p_m} + \frac{1}{2d_{\hat{m}}} \sum_{\substack{\hat{j} \in I_p \\ k \in I_l}} [\hat{m}\hat{j}k] \left(\left(\frac{p_m}{p_j} - \frac{p_j}{p_m} \right) \frac{1}{l_k} - \frac{l_k}{p_j p_m} \right) \\
&\geq -\frac{1}{2p_m} - \frac{1}{2d_{\hat{m}}} \sum_{\substack{\hat{j} \in I_p \\ k \in I_l}} [\hat{m}\hat{j}k] \frac{l_k}{p_j p_m} \geq -\frac{1}{2p_m} - \frac{l_n}{4p_1 p_m},
\end{aligned}$$

where in the second inequality we used (3-5).

We now observe that for an awesome metric g the Ricci tensor restricted to the tangent space $T_p K/H$, which can be identified with \mathfrak{l} via (2-3), splits nicely in terms of \mathfrak{l} and \mathfrak{p} . Namely, for any $X \in \mathfrak{l}$, the Ricci tensor formula (2-2) for an awesome metric gives us

$$(3-9) \quad \text{ric}_g(X, X) = \text{ric}_{K/H}(X, X) - \frac{1}{2} \text{Tr}(\text{ad}(X) \circ \text{ad}(X)|_{\mathfrak{p}}) - \frac{1}{2} \sum_i \|[X, X_i^{\mathfrak{p}}]_{\mathfrak{m}}\|_g^2 + \frac{1}{4} \sum_{i,j} g([X_i^{\mathfrak{p}}, X_j^{\mathfrak{p}}]_{\mathfrak{m}}, X)^2,$$

where $\{X_i^{\mathfrak{p}}\}$ is a g -orthonormal basis for \mathfrak{p} and $\text{ric}_{K/H}$ is the Ricci tensor on $K/H = K \cdot p$. In particular, we have the following lemma.

Lemma 3.1. *Let $(G/H, g)$ be a semisimple homogeneous space with an awesome G -invariant metric g . Then the Ricci curvature $r_n^{\mathfrak{l}}$ in the largest \mathfrak{l} -eigendirection of g with respect to the background metric Q satisfies*

$$(3-10) \quad r_n^{\mathfrak{l}} \geq \frac{1}{4d_n} \sum_{\hat{j}, \hat{k} \in I_{\mathfrak{p}}} [n\hat{j}\hat{k}] \left(\frac{2}{l_n} + \frac{l_n}{p_j p_k} - \frac{p_j}{l_n p_k} - \frac{p_k}{p_j l_n} \right) + \frac{1}{4d_n l_n} \sum_{j,k \in I_{\mathfrak{l}}} [njk].$$

Proof. Observe that as computed in [Nikonorov 2000, Theorem 1] and in [Böhm 2015, Theorem 3.1] for $i > j \in I_{\mathfrak{l}}$

$$l_j^2 - 2l_i l_j + l_i^2 = (l_j - l_i)^2 \leq (l_n - l_j)(l_i - l_j) = l_n l_i - l_i l_j - l_n l_j + l_j^2 \leq l_n^2 - l_i l_j - l_n l_j + l_j l_n = l_n^2 - l_i l_j.$$

Hence $l_n^2 - l_j^2 - l_i^2 + l_j l_i \geq 0$ and the formula for $r_n^{\mathfrak{l}}$ with the Cartan decomposition relations then yields

$$\begin{aligned} r_n^{\mathfrak{l}} &= \frac{1}{2l_n} + \frac{1}{4d_n} \sum_{j,k} [njk] \left(\frac{l_n}{x_k x_j} - \frac{x_j}{l_n x_j} - \frac{x_j}{x_k l_n} \right) \\ &= \frac{d_n}{2l_n d_n} + \frac{1}{4d_n} \sum_{\hat{j}, \hat{k} \in I_{\mathfrak{p}}} [n\hat{j}\hat{k}] \left(\frac{l_n}{p_k p_j} - \frac{p_i}{l_n p_j} - \frac{p_j}{p_k l_n} \right) \\ &\quad + \frac{1}{4d_n} \sum_{j,k \in I_{\mathfrak{l}}} [njk] \left(\frac{l_n}{l_k l_j} - \frac{l_k}{l_n l_j} - \frac{l_j}{l_k l_n} \right) \\ &\geq \frac{1}{4d_n} \sum_{\hat{j}, \hat{k} \in I_{\mathfrak{p}}} [n\hat{j}\hat{k}] \left(\frac{2}{l_n} + \frac{l_n}{p_k p_j} - \frac{p_k}{l_n p_j} - \frac{p_j}{p_k l_n} \right) \\ &\quad + \frac{1}{4d_n} \sum_{j,k \in I_{\mathfrak{l}}} [njk] \left(\frac{2}{l_n} + \frac{l_n}{l_k l_j} - \frac{l_k}{l_n l_j} - \frac{l_j}{l_k l_n} \right) \end{aligned}$$

$$\begin{aligned}
 &= \frac{1}{4d_n} \sum_{\hat{j}, \hat{k} \in I_p} [n\hat{j}\hat{k}] \left(\frac{2}{l_n} + \frac{l_n}{p_k p_j} - \frac{p_k}{l_n p_j} - \frac{p_j}{p_k l_n} \right) \\
 &\quad + \frac{1}{4d_n l_n} \sum_{j, k \in I_l} [njk] \left(1 + \frac{l_n^2 - l_j^2 - l_k^2 + l_j l_k}{l_k l_j} \right) \\
 &\geq \frac{1}{4d_n} \sum_{\hat{j}, \hat{k} \in I_p} [n\hat{j}\hat{k}] \left(\frac{2}{l_n} + \frac{l_n}{p_k p_j} - \frac{p_k}{l_n p_j} - \frac{p_j}{p_k l_n} \right) + \frac{1}{4d_n l_n} \sum_{j, k \in I_l} [njk],
 \end{aligned}$$

where in the first inequality we used (3-5). □

Remark 3.2. Observe that in general $\sum_{j, k \in I_l} [njk]$ may be equal to zero. But in the case when K/H is not a torus, $[l, \mathfrak{l}]_m \neq 0$ and we can consider the largest eigenvalue $l_{n'}$ such that $[l_{n'}, \mathfrak{l}]_m \neq 0$, for which $\sum_{j, k \in I_l} [n'jk] > 0$.

We have also the following alternative for the values of r_n^l and r_m^p .

Lemma 3.3. *Let $(G/H, g)$ be a semisimple homogeneous space with an awesome G -invariant metric g . We have the following dichotomy for the Ricci curvature of g in the largest \mathfrak{p} -eigendirection (respectively \mathfrak{l} -eigendirection) of g with respect to Q :*

(1) *If $p_m - p_1 \geq l_n$, then*

$$(3-11) \quad r_m^p \geq -\frac{1}{4p_m} - \frac{1}{4p_1} \quad \text{and} \quad r_n^l \geq \frac{1}{4l_n} \left(2 - \frac{p_m}{p_1} - \frac{p_1}{p_m} \right).$$

(2) *If $p_m - p_1 \leq l_n$, then*

$$(3-12) \quad r_m^p \geq -\frac{1}{2p_m} - \frac{l_n}{4p_1 p_m} \quad \text{and} \quad r_n^l \geq 0.$$

Proof. In the first case, i.e., if $p_m - p_1 \geq l_n$, we have

$$\begin{aligned}
 r_m^p &\geq -\frac{1}{2p_m} - \frac{l_n}{4p_1 p_m} \\
 &\geq -\frac{1}{2p_m} + \frac{p_1 - p_m}{4p_1 p_m} \\
 &= -\frac{1}{4p_m} - \frac{1}{4p_1},
 \end{aligned}$$

where in the first inequality we used (3-8). Moreover, by Lemma 3.1 we have that

$$\begin{aligned}
 r_n^l &\geq \frac{1}{4d_n} \sum_{\hat{j}, \hat{k} \in I_p} [n\hat{j}\hat{k}] \left(\frac{2}{l_n} + \frac{l_n}{p_k p_j} - \frac{p_k}{l_n p_j} - \frac{p_j}{p_k l_n} \right) + \frac{1}{4d_n l_n} \sum_{j, k \in I_l} [njk] \\
 &\geq \frac{1}{4l_n} \left(2 - \frac{p_m}{p_1} - \frac{p_1}{p_m} \right),
 \end{aligned}$$

and we get (3-11).

In the second case, as in [Nikonorov 2000], observe that

$$\begin{aligned}
 p_m - p_1 \leq l_n &\iff |p_j - p_k| \leq l_n, \forall j, k \in I_p \\
 &\iff (p_j^2 - 2p_j p_k + p_k^2) \leq l_n^2 \\
 &\iff \left(\frac{p_j}{p_k l_n} - \frac{2}{l_n} + \frac{p_k}{p_j l_n} \right) \leq \frac{l_n}{p_j p_k} \\
 &\iff -\frac{2}{l_n} \leq \frac{l_n}{p_j p_k} - \frac{p_j}{p_k l_n} - \frac{p_k}{p_j l_n},
 \end{aligned}$$

and therefore, substituting this in the estimate for r_n^l in (3-10) we get that $r_n^l \geq 0$. Together with the estimate (3-8) for r_m^p , we get (3-12). \square

We can combine the estimates above to get a first scale-invariant estimate that will be essential for us in the dynamical analysis to come in Section 4.

Lemma 3.4. *Let $(G/H, g)$ be a semisimple homogeneous space with an awesome G -invariant metric g . Let us consider the eigenvalues of g with respect to Q as established in (3-1), (3-2), and (3-3). Then*

$$(3-13) \quad 2(p_m r_m^p + l_n r_n^l) \geq -\frac{p_m + l_n}{p_1}.$$

Proof. We know that if $p_m - p_1 \geq l_n$, then

$$\begin{aligned}
 2(p_m r_m^p + l_n r_n^l) &\geq -\frac{1}{2} - \frac{p_m}{2p_1} + \frac{1}{2} \left(2 - \frac{p_m}{p_1} - \frac{p_1}{p_m} \right) \\
 &= \frac{1}{2} - \frac{p_m}{p_1} - \frac{p_1}{2p_m} = -\frac{p_m}{p_1} + \frac{p_m - p_1}{2p_m} \\
 &\geq -\frac{p_m}{p_1} \geq -\frac{p_m + l_n}{p_1},
 \end{aligned}$$

where in the first inequality we used (3-11). And if $p_m - p_1 \leq l_n$, then

$$2(p_m r_m^p + l_n r_n^l) \geq -1 - \frac{l_n}{2p_1} \geq -\frac{2p_1 + 2l_n}{2p_1} \geq -\frac{p_m + l_n}{p_1},$$

where in the first inequality we used (3-12). \square

We can observe in the above computations that the advantage of working with awesome metrics is that the Ricci curvature in the eigenvectors of the metric tangent to $K/H \subset G/H$ splits nicely, since the algebraic and the metric decompositions are compatible. Lemmas 3.3 and 3.4 give us our first estimates on the Ricci curvature which we will be able to exploit in the next section in order to examine the long-time behavior of the Ricci flow on awesome metrics.

4. The dynamical Alekseevskii conjecture for awesome metrics

We will prove Theorem A. In order to do that, we must use the algebraic estimates we got in the last section in order to get dynamical estimates for the eigenvalues of the Ricci flow solution $g(t)$ (which from here onward we will also denote by g_t) with respect to our background metric $Q := -B|_{\mathfrak{l} \times \mathfrak{l}} + B|_{\mathfrak{p} \times \mathfrak{p}}$.

The next lemma is equivalent to the one in [Chow et al. 2006, Lemma B.40], but here we use for better convenience upper left-hand Dini derivatives.

Lemma 4.1 [Chow et al. 2006, Lemma B.40]. *Let C be a compact metric space, I an interval of \mathbb{R} , and $g : I \times C \rightarrow \mathbb{R}$ a function such that g and $\frac{\partial g}{\partial t}$ are continuous. Define $\phi : I \rightarrow \mathbb{R}$ by*

$$\phi(t) := \sup_{x \in C} g(t, x)$$

and its upper left-hand Dini derivative by

$$\frac{d^- \phi(t)}{dt} := \limsup_{h \rightarrow 0^+} \frac{\phi(t) - \phi(t-h)}{h}.$$

Let $C_t := \{x \in C \mid \phi(t) = g(t, x)\}$. We have that ϕ is continuous and that for any $t \in I$

$$\frac{d^- \phi(t)}{dt} = \min_{x \in C_t} \frac{\partial g}{\partial t}(t, x).$$

At first, we will apply Lemma 4.1 to

$$-p_1(t) = \max\{-g_t(X, X) \mid X \in \mathfrak{p}, \|X\|_Q = 1\},$$

$$p_m(t) = \max\{g_t(X, X) \mid X \in \mathfrak{p}, \|X\|_Q = 1\},$$

$$l_n(t) = \max\{g_t(X, X) \mid X \in \mathfrak{l}, \|X\|_Q = 1\},$$

and

$$\log(p_m + l_n)(t)$$

$$= \log \max\{(g_t(X, X) + g_t(Y, Y)) \mid X \in \mathfrak{p}, Y \in \mathfrak{l}, \|X\|_Q = 1, \|Y\|_Q = 1\}$$

$$= \max\{\log(g_t(X, X) + g_t(Y, Y)) \mid X \in \mathfrak{p}, Y \in \mathfrak{l}, \|X\|_Q = 1, \|Y\|_Q = 1\}.$$

Lemma 4.1 will be fundamental to us when combined with the following elementary real analysis result.

Lemma 4.2. *Let $[a, b]$ be a closed interval of \mathbb{R} and $f : [a, b] \rightarrow \mathbb{R}$ a continuous function such that $\frac{d^- f}{dt} \leq 0$ and $f(a) = 0$. Then $f(t) \leq 0$.*

With Lemmas 4.1 and 4.2 at hand, we can obtain our first main estimate for analyzing the long-time behavior of the Ricci flow on an awesome metric. Indeed, using the algebraic estimates in the previous section we get that:

Lemma 4.3. *Let $(G/H, g(t)), t \in [0, T)$, be an awesome Ricci flow adapted to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. Then we have, for the growth of $p_m(t)$ and $l_n(t)$, the upper bounds*

$$(4-1) \quad t + p_1(0) \leq p_1(t) \quad \text{and} \quad (p_m + l_n)(t) \leq (t + p_1(0)) \frac{(p_m + l_n)(0)}{p_1(0)}.$$

Proof. Let us get first the estimate for $p_1(t)$. Using Lemma 4.1 and the estimate (3-7) we get that

$$(4-2) \quad \frac{d^-(-p_1)}{dt} \leq 2 \operatorname{ric}_t(E^{\hat{1}}, E^{\hat{1}}) = 2r_1^p p_1 \leq -1,$$

where in the second inequality we used (3-7). Hence by Lemma 4.2

$$(4-3) \quad t + p_1(0) \leq p_1(t)$$

Using Lemma 3.3 we get the estimate

$$\begin{aligned} \frac{d^- \log(p_m + l_n)}{dt} &\leq \frac{d}{dt} \log(g_t(E^{\hat{m}}, E^{\hat{m}}) + g_t(E^n, E^n)) \\ &= \frac{g'_t(E^{\hat{m}}, E^{\hat{m}}) + g'_t(E^n, E^n)}{g_t(E^{\hat{m}}, E^{\hat{m}}) + g_t(E^n, E^n)} = \frac{-2r_m^p p_m - 2r_n^l l_n}{p_m + l_n} \\ &\leq \frac{1}{p_1} \leq \frac{1}{t + p_1(0)}, \end{aligned}$$

where in the first, second, and third inequalities we used Lemma 4.1, (3-13), and (4-3), respectively. Hence $(p_m + l_n)(t) \leq (t + p_1(0)) \frac{(p_m + l_n)(0)}{p_1(0)}$. \square

We see then that the maximum eigenvalue of $g(t)$ with respect to Q can grow at most as $O(t)$. In particular, $p_m(t) \leq (1 + c_0)(t + p_1(0))$ for some given positive constant $c_0 > 0$ that only depends on the initial metric g_0 .

Therefore, using (4-3) we get a pinching estimate along the flow for the metric $g(t)|_{\mathfrak{p} \times \mathfrak{p}}$, namely

$$(4-4) \quad \frac{p_m(t)}{p_1(t)} \leq 1 + c_0.$$

We can then reuse this estimate to get the following proposition (for the sake of simplicity, from now on we will mostly omit that we are using the Lemmas 4.1 and 4.2 above).

Proposition 4.4. *Let $(G/H, g(t)), t \in [0, T)$, be an awesome Ricci flow adapted to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. Let us consider the eigenvalues $p_1(t)$, $p_m(t)$, and $l_n(t)$ of g_t with respect to Q . Then for all $t \geq t_0$ in the maximal interval of the*

Ricci flow, there is an explicit constant $c_0 > 0$, which only depends on the initial conditions at t_0 , such that

$$(4-5) \quad p_m(t) \leq t + (p_1(t_0) - t_0) + c_0\sqrt{t + (p_1(t_0) - t_0)} \leq p_1(t) + c_0\sqrt{p_1(t)}$$

and

$$(4-6) \quad l_n(t) \leq c_0\sqrt{(t - t_0 + p_1(t_0))}.$$

Proof. By rescaling the initial metric $g(t_0)$ we may assume without loss of generality that $p_1(t_0) = 1$. Let $t \geq t_0$. We will show by induction that, for all $N \in \mathbb{N}$,

$$p_m(t) \leq \left(1 + \frac{c_0}{2^N}\right)(t - t_0 + 1) + c_0 \sum_{k=0}^{N-1} \frac{(\log(t - t_0 + 1))^k}{2^k k!}$$

and

$$l_n(t) \leq \frac{c_0}{2^N}(t - t_0 + 1) + c_0 \sum_{k=0}^{N-1} \frac{(\log(t - t_0 + 1))^k}{2^k k!},$$

where $c_0 := p_m(t_0) + l_n(t_0) - 1$.

By Lemma 4.3, we have already seen that $p_m(t) \leq (1 + c_0)(t - t_0 + 1)$. Moreover, by the discussion following Lemma 3.3 we get

$$\frac{d^- l_n(t)}{dt} \leq -2r_n(t)l_n(t) \leq \frac{1}{2} \left(\frac{p_m(t)}{p_1(t)} + \frac{p_1(t)}{p_m(t)} - 2 \right) \leq \frac{1}{2} \left(\frac{p_m(t)}{p_1(t)} - 1 \right) \leq \frac{c_0}{2},$$

where in the last inequality we used (4-4). Hence, for $t \geq t_0$,

$$(4-7) \quad l_n(t) \leq \frac{c_0}{2}(t - t_0 + 1) - \frac{c_0}{2} + l_n(t_0) \leq \frac{c_0}{2}(t - t_0 + 1) + c_0.$$

Moreover,

$$\begin{aligned} \frac{d^- p_m(t)}{dt} &\leq -2r_m(t)p_m(t) \leq 1 + \frac{l_n(t)}{2p_1(t)} \\ &\leq \left(1 + \frac{c_0}{2^2}\right) \frac{(t - t_0 + 1)}{p_1(t)} + \frac{c_0}{2p_1(t)} \leq \left(1 + \frac{c_0}{2^2}\right) + \frac{c_0}{2(t - t_0 + 1)}, \end{aligned}$$

where in the second and third inequalities we used (3-8) and (4-7), respectively. Hence, for $t \geq t_0$,

$$p_m(t) \leq \left(1 + \frac{c_0}{2^2}\right)(t - t_0 + 1) + \frac{c_0}{2} \log(t - t_0 + 1) + c_0.$$

This establishes the basis of induction. Suppose that, for $N \geq 2$ and $t \geq t_0$,

$$p_m(t) \leq \left(1 + \frac{c_0}{2^N}\right)(t - t_0 + 1) + c_0 \sum_{k=0}^{N-1} \frac{(\log(t - t_0 + 1))^k}{2^k k!}$$

and

$$l_n(t) \leq \frac{c_0}{2^N}(t - t_0 + 1) + c_0 \sum_{k=0}^{N-1} \frac{(\log(t - t_0 + 1))^k}{2^k k!}.$$

Then we can reuse this to get better estimates for $l_n(t)$ and $p_m(t)$. We have that

$$\frac{d^- l_n(t)}{dt} \leq \frac{1}{2} \left(\frac{p_m(t)}{p_1(t)} - 1 \right) \leq \frac{1}{2} \left(\frac{p_m(t)}{(t - t_0 + 1)} - 1 \right) \leq \frac{c_0}{2^{N+1}} + c_0 \sum_{k=0}^{N-1} \frac{(\log(t - t_0 + 1))^k}{(t - t_0 + 1) 2^{k+1} k!};$$

hence

$$l_n(t) \leq \frac{c_0}{2^{N+1}}(t - t_0 + 1) + c_0 \sum_{k=0}^N \frac{(\log(t - t_0 + 1))^k}{2^k k!},$$

and

$$\frac{d^- p_m(t)}{dt} \leq 1 + \frac{l_n(t)}{2p_1(t)} \leq \left(1 + \frac{c_0}{2^{N+1}} \right) + c_0 \sum_{k=0}^{N-1} \frac{(\log(t - t_0 + 1))^k}{(t - t_0 + 1) 2^{k+1} k!}.$$

Therefore,

$$p_m(t) \leq \left(1 + \frac{c_0}{2^{N+1}} \right) (t - t_0 + 1) + c_0 \sum_{k=0}^N \frac{(\log(t - t_0 + 1))^k}{2^k k!}.$$

Now this is valid for arbitrary $t \geq t_0$ in the maximal interval of the dynamics. Hence, taking the limit at $N \rightarrow \infty$ for the right-hand side, we get

$$p_m(t) \leq (t - t_0 + 1) + c_0 \sqrt{(t - t_0 + 1)} \leq p_1(t) + c_0 \sqrt{p_1(t)}$$

and

$$l_n(t) \leq c_0 \sqrt{(t - t_0 + p_1(t_0))}. \quad \square$$

The next corollary follows immediately from the estimate (4-5) obtained in Proposition 4.4 above.

Corollary 4.5. *Let $(G/H, g(t))$, $t \in [t_0, \infty)$, be an immortal awesome Ricci flow adapted to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. Then*

$$\lim_{t \rightarrow \infty} \frac{p_m(t)}{p_1(t)} = 1.$$

Remark 4.6. Observe that the background metric Q given by $-B|_{\mathfrak{k} \times \mathfrak{k}} + B|_{\mathfrak{p} \times \mathfrak{p}}$ corresponds to a minimum for the moment map of the $SL(\mathfrak{g}, \mathbb{R})$ -action of determinant-one matrices in the space of Lie brackets on \mathfrak{g} [Lauret 2003, Proposition 8.1]. Thus, Corollary 4.5 reinforces the relation between geometric invariant theory and the geometry of G/H by telling us that in the noncompact part \mathfrak{p} the awesome metric under the Ricci flow approximates $-B|_{\mathfrak{p} \times \mathfrak{p}}$ up to scaling and G -equivariant isometry. In Section 5 we will prove a convergence result in this direction (see Theorem 5.2).

The next corollary of Proposition 4.4, about the asymptotic behavior of the metric $g(t)$ restricted to the tangent space of K/H , will also be important for the long-time analysis of immortal awesome homogeneous Ricci flows in Section 5.

Corollary 4.7. *Let $(G/H, g(t))$, $t \in [t_0, \infty)$, be an immortal awesome Ricci flow adapted to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. Then the rescaled metric $\tilde{g}_t := t^{-1}g(t)$ is such that*

$$\lim_{t \rightarrow \infty} \tilde{g}_t|_{\mathfrak{l} \times \mathfrak{l}} = 0.$$

Proof. This follows immediately from the estimate (4-6) for $l_n(t)$, which shows that it may grow at most sublinearly. Hence, $\lim_{t \rightarrow \infty} \frac{l_n(t)}{t} = 0$. □

We can now prove our first main result.

Theorem 4.8. *Let $(M^d = G/H, g_0)$ be a semisimple homogeneous space such that the universal cover is not diffeomorphic to \mathbb{R}^d . If g_0 is an awesome metric, then the Ricci flow solution starting at g_0 has finite extinction time.*

Proof. Let \mathfrak{g} and \mathfrak{h} be the Lie algebras of G and H , respectively. Let us consider the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ with $\mathfrak{h} \subset \mathfrak{k}$ and let us fix as a background metric $Q := -B|_{\mathfrak{l} \times \mathfrak{l}} + B|_{\mathfrak{p} \times \mathfrak{p}}$, where B is the Killing form in \mathfrak{g} . We have then the canonical reductive identification $T_e H G/H \cong \mathfrak{m} = \mathfrak{l} \oplus \mathfrak{p}$, with $\mathfrak{l} := \mathfrak{h}^{\perp_Q} \cap \mathfrak{k}$. First, observe that by hypothesis the universal cover of M is not diffeomorphic to \mathbb{R}^d , which implies that K/H is not a torus; hence $[\mathfrak{k}, \mathfrak{k}] \not\subset \mathfrak{h}$. Moreover, since $[\mathfrak{k}, \mathfrak{k}] \perp_Q \mathfrak{z}(\mathfrak{k})$, where $\mathfrak{z}(\mathfrak{k})$ is the center of \mathfrak{k} , the condition $[\mathfrak{k}, \mathfrak{k}] \subset \mathfrak{h}$ is equivalent to $\mathfrak{l} \subset \mathfrak{z}(\mathfrak{k})$, which in turn is equivalent to $[\mathfrak{l}, \mathfrak{l}] \subset \mathfrak{h}$ and $[\mathfrak{h}, \mathfrak{l}] = 0$.

So in terms of the irreducible representations decomposition (3-1), $[\mathfrak{k}, \mathfrak{k}] \not\subset \mathfrak{h}$ is equivalent to say that for at least one $i \in I_l$ either $[\mathfrak{l}, \mathfrak{l}] \not\subset \mathfrak{h}$ and

$$\sum_{j,k \in I_i} [ijk] > 0;$$

or $[\mathfrak{h}, \mathfrak{l}] \neq 0$ and, using (3-5) in the equality,

$$\sum_{j,k} [ijk] = d_i(1 - 2c_i) < d_i,$$

since then the Casimir operator $C_{\mathfrak{l}, \mathfrak{h}}$ given in (3-4) is not zero.

Therefore, there is a constant $\lambda > 0$ such that for any of the irreducible \mathfrak{h} -modules l_i with $[\mathfrak{l}, \mathfrak{k}] \not\subset \mathfrak{h}$, either

$$\sum_{j,k \in I_i} [ijk] \geq 2\lambda > 0 \quad \text{or} \quad \sum_{j,k} [ijk] \leq d_i - \lambda < d_i.$$

Now let g_t , $t \in [0, T)$, be the Ricci flow (2-1) solution starting at g_0 . By Lemma 2.2 we know that g_t is an awesome homogeneous Ricci flow adapted to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$, with $\mathfrak{k} = \mathfrak{h} \oplus \mathfrak{l}$.

Let us consider the diagonalization of g_t with regard to Q as in (3-1),

$$g_t = l_1(t) \cdot Q|_{l_1 \times l_1} \perp \cdots \perp l_n(t) \cdot Q|_{l_n \times l_n} \perp p_1(t) \cdot Q|_{p_1 \times p_1} \perp \cdots \perp p_m(t) \cdot Q|_{p_m \times p_m}.$$

Let us define the Ricci curvatures, as in (3-2) and (3-3),

$$r_i^l \cdot g(t)|_{l_i \times l_i} = \text{ric}_{g(t)}|_{l_i \times l_i} \quad \text{for } i = 1, \dots, n$$

and

$$r_i^p \cdot g(t)|_{p_i \times p_i} = \text{ric}_{g(t)}|_{p_i \times p_i} \quad \text{for } i = 1, \dots, m.$$

Define the \mathfrak{h} -submodules $V = \{X \in W^{\perp\mathfrak{g}} \mid [L, X] \in \mathfrak{h}\}$ and $W = \{X \in \mathfrak{l} \mid [\mathfrak{h}, X] = 0\}$, and let

$$L(t) := \max\{g_t(X, X) \mid X \in V^{\perp\mathfrak{g}} \cup W^{\perp\mathfrak{g}}, \|X\|_Q = 1\}.$$

Note that $L(t)$ is the largest eigenvalue $l_{n'}(t)$ of g_t such that the corresponding irreducible \mathfrak{h} -module $l_{n'}$ satisfies either $[l_n, \mathfrak{l}] \not\subset \mathfrak{h}$ or $[\mathfrak{h}, l_n] \neq 0$, which we already know is a nonempty condition. Consider $p_1(t) := \min\{g_t(X, X) \mid X \in \mathfrak{p}, \|X\|_Q = 1\}$ and $p_m(t) := \max\{g_t(X, X) \mid X \in \mathfrak{p}, \|X\|_Q = 1\}$. Then using all the estimates we got so far and the fact that for any $j \in I_l$, if $\sum_{k \in I_l} [n'jk] \neq 0$, then $l_{n'} \geq l_j$, we get, for $r_{n'}^l$, the lower bound

$$\begin{aligned} r_{n'}^l &= \frac{1}{2l_{n'}} + \frac{1}{4d_{n'}} \sum_{j,k} [n'jk] \left(\frac{l_{n'}}{x_k x_j} - \frac{x_j}{l_{n'} x_j} - \frac{x_j}{x_k l_{n'}} \right) \\ &= \frac{d_{n'}}{2l_{n'} d_{n'}} + \frac{1}{4d_{n'}} \sum_{\hat{j}, \hat{k} \in I_{\mathfrak{p}}} [n' \hat{j} \hat{k}] \left(\frac{l_{n'}}{p_k p_j} - \frac{p_i}{l_{n'} p_j} - \frac{p_j}{p_k l_{n'}} \right) \\ &\quad + \frac{1}{4d_{n'}} \sum_{j,k \in I_l} [n'jk] \left(\frac{l_{n'}}{l_k l_j} - \frac{l_k}{l_{n'} l_j} - \frac{l_j}{l_k l_{n'}} \right) \\ &\geq \frac{d_{n'}}{2l_{n'} d_{n'}} + \frac{1}{4d_{n'}} \sum_{\hat{j}, \hat{k} \in I_{\mathfrak{p}}} [n' \hat{j} \hat{k}] \left(-\frac{p_i}{l_{n'} p_j} - \frac{p_j}{p_k l_{n'}} \right) \\ &\quad + \frac{1}{4d_{n'} l_{n'}} \sum_{j,k \in I_l} [n'jk] \left(\frac{l_{n'}^2 - l_j^2 - l_k^2}{l_k l_j} \right) \\ &\geq \frac{d_{n'}}{2l_{n'} d_{n'}} - \frac{1}{2d_{n'} l_{n'}} \sum_{\hat{j}, \hat{k} \in I_{\mathfrak{p}}} [n' \hat{j} \hat{k}] \frac{p_m}{p_1} - \frac{1}{4d_{n'} l_{n'}} \sum_{j,k \in I_l} [n'jk], \end{aligned}$$

where in the first equality we used (3-6). Therefore,

$$\frac{d^{-}l_{n'}(t)}{dt} \leq -2r_{n'}^l(t)l_{n'}(t) \leq \frac{1}{d_{n'}} \left(-d_{n'} + \frac{1}{2} \sum_{j,k \in I_l} [n'jk] + \sum_{\hat{j}, \hat{k} \in I_{\mathfrak{p}}} [n' \hat{j} \hat{k}] \frac{p_m(t)}{p_1(t)} \right).$$

Let us assume by contradiction that g_t is immortal. Then by Corollary 4.5, given $\epsilon > 0$ we can assume that t is big enough such that

$$\frac{p_m(t)}{p_1(t)} \leq 1 + \epsilon.$$

Therefore we get that

$$\begin{aligned} \frac{d^-l_{n'}(t)}{dt} &\leq \frac{1}{d_{n'}} \left(-d_{n'} + \frac{1}{2} \sum_{j,k \in I_t} [n'jk] + \sum_{\hat{j}, \hat{k} \in I_p} [n'\hat{j}\hat{k}] + \epsilon \sum_{\hat{j}, \hat{k} \in I_p} [n'\hat{j}\hat{k}] \right) \\ &= \frac{1}{d_{n'}} \left((1 + \epsilon) \sum_{j,k} [n'jk] - d_{n'} - \left(\frac{1}{2} + \epsilon \right) \sum_{j,k \in I_t} [n'jk] \right), \end{aligned}$$

and since either $[\mathfrak{h}, l_{n'}] \neq 0$ or $[l_n, l] \not\subset \mathfrak{h}$, there exists a constant independent of t , $\lambda > 0$, such that either $\sum_{j,k} [n'jk] = d_{n'} - \lambda < d_{n'}$ or $\sum_{j,k \in I_t} [n'jk] \geq 2\lambda > 0$. Therefore, if $d = \dim M$, then either

$$\begin{aligned} \frac{d^-l_{n'}(t)}{dt} &= \frac{1}{d_{n'}} \left((1 + \epsilon) \sum_{j,k} [n'jk] - d_{n'} - \left(\frac{1}{2} + \epsilon \right) \sum_{j,k \in I_t} [n'jk] \right) \\ &= \frac{1}{d_{n'}} ((1 + \epsilon)(d_{n'} - \lambda) - d_{n'}) \leq -\frac{\lambda}{d} + \epsilon \end{aligned}$$

or

$$\begin{aligned} \frac{d^-l_{n'}(t)}{dt} &= \frac{1}{d_{n'}} \left((1 + \epsilon) \sum_{j,k} [n'jk] - d_{n'} - \left(\frac{1}{2} + \epsilon \right) \sum_{j,k \in I_t} [n'jk] \right) \\ &\leq \frac{1}{d_{n'}} ((1 + \epsilon)(d_{n'}) - d_{n'}) - \frac{\lambda}{d} \leq -\frac{\lambda}{d} + \epsilon. \end{aligned}$$

Finally, choosing ϵ small enough we get that $-\frac{\lambda}{d} + \epsilon < 0$, and then for big enough t we get that

$$l_{n'}(t) \leq \left(-\frac{\lambda}{d} + \epsilon \right) t + l_{n'}(0),$$

which means that $l_{n'}(t)$ converges to zero in finite time and the Ricci flow is not immortal. □

5. Convergence results for awesome metrics

We will further our long-time behavior analysis of awesome Ricci flows by examining the long-time limit solitons we obtain by appropriately rescaling the Ricci flow solution $g(t)$. We first investigate the case where the universal cover of G/H is not contractible, i.e., it is not diffeomorphic to \mathbb{R}^n so that by Theorem 4.8 the extinction time is finite and later in Section 5.2, the contractible case, when G/H is diffeomorphic to \mathbb{R}^n , where the flow is immortal.

5.1. The noncontractible case. Let us consider the following formula for the scalar curvature $R(g)$ of an awesome metric g which easily follows from the Ricci curvature formula (3-6):

$$(5-1) \quad R(g) = \sum_{i \in I_l} \frac{d_i}{2l_i} - \sum_{\hat{j} \in I_p} \frac{d_{\hat{j}}}{2p_j} - \sum_{i,j,k} [ijk] \frac{x_i}{x_j x_k}.$$

We immediately see from the equation above that

$$(5-2) \quad R(g) \leq \frac{\dim \mathfrak{l}}{2l_1},$$

which in turn means that if the scalar curvature blows-up, then the smallest eigenvalue in the \mathfrak{l} -direction goes to zero.

Böhm [2015, Theorem 2.1] showed that every homogeneous Ricci flow with finite extinction time develops a type-I singularity, namely, that there is a constant $C(g_0)$ that only depends on the initial metric g_0 such that we have for the norm of the Riemann tensor $\text{Rm}(g)$ along the Ricci flow the upper bound

$$\|\text{Rm}(g(t))\|_{g(t)} \leq \frac{C(g_0)}{T-t},$$

for $t \in [0, T)$, where T is the maximal time for the flow. Even more, if we assume $R(g_0)$ is positive, he showed that along a finite extinction time homogeneous Ricci flow the Riemann tensor is controlled by the scalar curvature [Böhm 2015, Remark 2.2] and that there are constants $c(g_0)$ and $C(g_0)$ only depending on the initial metric g_0 such that

$$\frac{c(g_0)}{T-t} \leq R(g(t)) \leq \frac{C(g_0)}{T-t},$$

for $t \in [0, T)$.

This gives us a natural scaling parameter for a blow-up analysis of the Ricci flow solution $g(t)$. By [Enders et al. 2011], we can extract a nonflat limit shrinking soliton from such a blow-up. In the following theorem, which is our second main result, we show that these limits only depend on the induced geometry on the compact fiber K/H .

Theorem 5.1. *Let $M = G/H$ be a semisimple homogeneous space such that the universal cover is not contractible. Let $(M, g(s))$, $s \in [0, T)$, be an awesome Ricci flow adapted to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$. Let $R(g)$ be the scalar curvature of the metric g . For any sequence $(s_a)_{a \in \mathbb{N}}$, $s_a \rightarrow T$, there exists a subsequence such that $(M, R(g(s_a)) \cdot g(s_a))$ converges in pointed C^∞ -topology to the Riemannian product*

$$E_\infty \times \mathbb{E}^d,$$

where E_∞ is a compact homogeneous Einstein manifold with positive scalar curvature and \mathbb{E}^d is the d -dimensional (flat) Euclidean space with $d \geq \dim \mathfrak{p}$.

The geometry of E_∞ just depends on the subsequence of Riemannian submanifolds $(K/H, R(g(s_{\hat{a}})) \cdot g(s_{\hat{a}}))$.

Proof. By Theorem 4.8 we know that such a solution $g(s)$ has finite extinction time; hence by [Böhm 2015, Theorem 2.1] we know that it is a type-I flow. By [Lafuente 2015, Theorem 1.1] we can assume without loss of generality that $R(g(0)) > 0$, so let us define the parabolic rescaled metric $\tilde{g}_s := R(g(s)) \cdot g(s)$. By the work of Enders, Müller, and Topping on type-I singularities of the Ricci flow [Enders et al. 2011, Theorem 1.1], it follows via Hamilton’s compactness theorem [1982] that along any sequence of times converging to the singularity time T , these scalar curvature normalized parabolic rescalings will subconverge to a nonflat homogeneous gradient shrinking soliton.

Moreover, by work of Petersen and Wylie [2009], we have that a homogeneous gradient shrinking soliton is rigid, in the sense that it is a Riemannian product of an Euclidean factor and a positive scalar curvature homogeneous Einstein manifold.

We have already seen in (3-7) that in the direction of the smallest eigenvalue of $g|_{\mathfrak{p} \times \mathfrak{p}}$ the Ricci curvature is negative, i.e., $r_1^{\mathfrak{p}} < 0$. Since any limit gradient shrinking soliton is Ricci nonnegative, this implies that

$$\lim_{s \rightarrow T} \left(\frac{1}{R(g(s))} \cdot r_1^{\mathfrak{p}}(s) \right) = 0.$$

In particular, for any $\hat{j} \in I_{\mathfrak{p}}$ and $k \in I_t$,

$$\lim_{s \rightarrow T} \left([\hat{1} \hat{j} k]_s \left(\frac{p_1(s)}{p_j(s)} - \frac{p_j(s)}{p_1(s)} \right) \frac{1}{R(g(s))l_k(s)} \right) = 0.$$

This in turn implies that, for the second largest eigenvalue in the \mathfrak{p} -direction p_2 , $\lim r_2^{\mathfrak{p}}(s) \leq 0$ as t approaches the singular time T . Hence, once again we can conclude that, for any $\hat{j} \in I_{\mathfrak{p}}$ and $k \in I_t$,

$$\lim_{s \rightarrow T} \left([\hat{2} \hat{j} k]_s \left(\frac{p_2(s)}{p_j(s)} - \frac{p_j(s)}{p_2(s)} \right) \frac{1}{R(g(s))l_k(s)} \right) = 0.$$

Arguing recursively, we can then conclude that, for any $\hat{i}, \hat{j} \in I_{\mathfrak{p}}$ and $k \in I_t$,

$$(5-3) \quad \lim_{s \rightarrow T} \left([\hat{i} \hat{j} k]_s \left(\frac{p_i(s)}{p_j(s)} - \frac{p_j(s)}{p_i(s)} \right) \frac{1}{R(g(s))l_k(s)} \right) = 0.$$

Therefore, in the limit geometry we find at least as many linear independent directions as $\dim \mathfrak{p}$ such that the Ricci curvature is 0. This can only be the case if the Euclidean factor in the limit has dimension at least as large as $\dim \mathfrak{p}$. Furthermore,

by [Böhm 2015, Theorem 5.2] we know that this dimension does not depend on the subsequence taken.

Observe that this in particular implies

$$(5-4) \quad \sum_{\alpha, \beta, \gamma} (\tilde{g}_s(\tilde{E}_\alpha^{p_i}, [\tilde{E}_\beta^{p_j}, \tilde{E}_\gamma^{l_k}]) - Q(E_\alpha^{p_i}, [E_\beta^{p_j}, \tilde{E}_\gamma^{l_k}])) \rightarrow 0,$$

where

$$\tilde{E}_\alpha^{p_j} = \frac{E_\alpha^{p_j}}{\sqrt{R(g(s)) \cdot p_j(s)}} \quad \left(\text{respectively } \tilde{E}_\gamma^{l_k} = \frac{E_\gamma^{l_k}}{\sqrt{R(g(s)) \cdot l_k(s)}} \right)$$

and $\{E_\alpha^{p_j}\}$ (respectively $\{E_\gamma^{l_k}\}$) is a $g(s)$ -diagonalizing basis of \mathfrak{p} (respectively of \mathfrak{l}) with respect to the $\text{Ad}(K)$ -invariant background metric $Q := -B|_{\mathfrak{l} \times \mathfrak{l}} + B|_{\mathfrak{p} \times \mathfrak{p}}$.

Let $X \in \mathfrak{l}$ and $x_s := \|X\|_{\tilde{g}_s}^2$. The rescaled Ricci curvature on the compact Riemannian submanifold $N_s = (K/H, \tilde{g}_s)$ in the direction $\tilde{X}_s = X/\sqrt{x_s}$, as remarked in (3-9), is given by

$$\begin{aligned} \text{ric}_{\tilde{g}_s}(\tilde{X}_s, \tilde{X}_s) &= \text{ric}_{N_s}(\tilde{X}_s, \tilde{X}_s) - \frac{1}{2} \text{Tr}(\text{ad}(\tilde{X}_s) \circ \text{ad}(\tilde{X}_s)|_{\mathfrak{p}}) \\ &\quad - \frac{1}{2} \sum_{\substack{\hat{i} \in I_{\mathfrak{p}} \\ \alpha}} \|[\tilde{X}_s, \tilde{E}_\alpha^{p_i}]\|_{\tilde{g}_s}^2 + \frac{1}{4} \sum_{\substack{\hat{i}, \hat{j} \in I_{\mathfrak{p}} \\ \alpha, \beta}} \tilde{g}_s([\tilde{E}_\alpha^{p_i}, \tilde{E}_\beta^{p_j}], \tilde{X}_s)^2. \end{aligned}$$

This means that

$$\begin{aligned} &|\text{ric}_{\tilde{g}_s}(\tilde{X}_s, \tilde{X}_s) - \text{ric}_{N_s}(\tilde{X}_s, \tilde{X}_s)| \\ &\leq \frac{1}{2} \left| \sum_{\substack{\hat{i}, \hat{j} \in I_{\mathfrak{p}} \\ \alpha, \beta}} Q(E_\alpha^{p_i}, [E_\beta^{p_j}, \tilde{X}_s])^2 - \sum_{\substack{\hat{i}, \hat{j} \in I_{\mathfrak{p}} \\ \alpha, \beta}} \tilde{g}_s(\tilde{E}_\alpha^{p_i}, [\tilde{E}_\beta^{p_j}, \tilde{X}_s])^2 \right| \\ &\quad + \frac{1}{4} \sum_{\substack{\hat{j}, \hat{k} \in I_{\mathfrak{p}} \\ \alpha, \beta}} \tilde{g}_s([\tilde{E}_\beta^{p_j}, \tilde{E}_\alpha^{p_k}], \tilde{X}_s)^2. \end{aligned}$$

For the first term on the right-hand side we have that

$$\tilde{g}_s(\tilde{E}_\alpha^{p_i}, [\tilde{E}_\beta^{p_j}, \tilde{X}_s])^2 = \left(\sum_{\substack{k \in I_{\mathfrak{l}} \\ \gamma}} \tilde{g}_s(\tilde{X}_s, \tilde{E}_\gamma^{l_k}) \tilde{g}_s(\tilde{E}_\alpha^{p_i}, [\tilde{E}_\beta^{p_j}, \tilde{E}_\gamma^{l_k}]) \right)^2$$

and by what we observed in (5-4) this can be approximated by

$$\left(\sum_{\substack{k \in I_{\mathfrak{l}} \\ \gamma}} \tilde{g}_s(\tilde{X}_s, \tilde{E}_\gamma^{l_k}) \tilde{g}_s(\tilde{E}_\alpha^{p_i}, [\tilde{E}_\beta^{p_j}, \tilde{E}_\gamma^{l_k}]) \right)^2 = \left(\sum_{\substack{k \in I_{\mathfrak{l}} \\ \gamma}} \tilde{g}_s(\tilde{X}_s, \tilde{E}_\gamma^{l_k}) Q(E_\alpha^{p_i}, [E_\beta^{p_j}, \tilde{E}_\gamma^{l_k}]) \right)^2 + \epsilon(s),$$

where $\epsilon(s) \rightarrow 0$ as $s \rightarrow T$.

As for the second term on the right-hand side, observe that, by Lemma 4.3, $l_n(s)$ grows at most linearly and $p_1(s)$ grows at least linearly, which implies that, for any $\hat{i}, \hat{j} \in I_p$ and $k \in I_l$,

$$\lim_{t \rightarrow T} \left(\frac{1}{R(g(s))} \cdot \frac{l_k(s)}{p_i(s)p_j(s)} \right) = 0.$$

Hence, by Cauchy–Schwarz we get that

$$\begin{aligned} & \tilde{g}_s([\tilde{E}_\alpha^{p_i}, \tilde{E}_\beta^{p_j}], \tilde{X}_s)^2 \\ & \leq \|[\tilde{E}_\alpha^{p_i}, \tilde{E}_\beta^{p_j}]\|_{\tilde{g}_s}^2 = \sum_{\substack{k \in I_l \\ \gamma}} Q([E_\alpha^{p_i}, E_\beta^{p_j}], E_\gamma^{l_k})^2 \cdot \frac{1}{R(g(s))} \cdot \frac{l_k(s)}{p_i(s)p_j(s)} \rightarrow 0. \end{aligned}$$

Therefore $|\text{ric}_{\tilde{g}_s}(\tilde{X}_s, \tilde{X}_s) - \text{ric}_{N_s}(\tilde{X}_s, \tilde{X}_s)| \rightarrow 0$ and we conclude that the Ricci $(1, 1)$ -tensor restricted to \mathfrak{l} approximates the Ricci tensor given by the induced metric on $(K/H, \tilde{g}_s)$ as s approaches the singularity time T .

Let us now consider the rescaled Ricci flow solution

$$\tilde{g}_s(t) := R(g(s)) \cdot g\left(s + \frac{t}{R(g(s))}\right)$$

restricted to the submanifold K/H . The argument above could be carried out by taking $\tilde{g}_s(t)$ instead of $\tilde{g}_s(0)$. So we have shown that the family of Ricci flow solutions $(K/H, \tilde{g}_s(t))$ is equivalent, as s approaches the singularity time T , to the Ricci flow solution $(K/H, \hat{g}_s(t))$, where $\hat{g}_s(t)$ is the Ricci flow on K/H with initial metric $\tilde{g}_s(0)|_{K/H}$. In particular, that means that the limit Einstein factor E_∞ only depends on a convergent subsequence of the submanifold geometry of $(K/H, \tilde{g}_s(0)|_{K/H})$. □

5.2. The contractible case. For the sake of completeness, we want now to understand the limit geometry in the case when the universal cover of our semisimple homogeneous space is contractible.

A homogeneous Riemannian manifold \tilde{M} diffeomorphic to \mathbb{R}^n must be a Riemannian product of a noncompact symmetric space and an \mathbb{R}^d -bundle over a Hermitian symmetric space (see [Böhm and Lafuente 2022, Proposition 3.1]). Hermitian symmetric spaces are a special class of noncompact symmetric spaces which are also Hermitian manifolds. Irreducible ones correspond to irreducible symmetric pairs $(\mathfrak{g}, \mathfrak{k})$ with $\dim \mathfrak{z}(\mathfrak{k}) = 1$. In particular, if we write $\mathfrak{k} = \mathfrak{k}_{ss} \oplus \mathfrak{z}(\mathfrak{k})$ and consider the integral subgroup $K_{ss} \subset G$ with Lie algebra \mathfrak{k}_{ss} , then the homogeneous space G/K_{ss} is a homogeneous line bundle over the irreducible Hermitian symmetric space G/K (for more on Hermitian symmetric spaces, see [Helgason 1978, Chapter VIII, Theorem 6.1] and [Besse 1987, 7.104]).

An example is the product $(\overline{\mathrm{SL}(2, \mathbb{R})})^k$ with left-invariant metrics, which is an \mathbb{R}^k -bundle over the product of hyperbolic planes $(\mathbb{H}^2)^k$. It is worth mentioning that semisimple homogeneous \mathbb{R} -bundles over irreducible Hermitian symmetric spaces only admit awesome homogeneous metrics (see [Böhm and Lafuente 2022, Remark 3.2]), which is the case, for example, of $\overline{\mathrm{SL}(2, \mathbb{R})}$.

Let \mathfrak{g} be semisimple of noncompact type with Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ and \mathfrak{h} a compactly embedded subalgebra of \mathfrak{k} . Let G, K and H be Lie groups for the Lie algebras $\mathfrak{g}, \mathfrak{k}$, and \mathfrak{h} , respectively, such that G/H is simply connected. We know that $G/H = K/H \times \mathbb{R}^{\dim \mathfrak{p}}$ is diffeomorphic to \mathbb{R}^n if and only if $[\mathfrak{k}, \mathfrak{k}] \subset \mathfrak{h}$. Given the reductive decomposition $\mathfrak{m} = \mathfrak{l} \oplus \mathfrak{p}$, where $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{m}$, this is equivalent to

$$[\mathfrak{h}, \mathfrak{l}] = 0 \quad \text{and} \quad [\mathfrak{l}, \mathfrak{l}] \subset \mathfrak{h}.$$

This implies that, for any G -invariant metric g on G/H , $(K/H, g|_{K/H})$ is isometric to a flat Euclidean space. If g is awesome, then by Remark 3.2 this implies that

$$r_i^{\mathfrak{l}} = \frac{1}{4d_i} \sum_{\hat{j}, \hat{k} \in I_{\mathfrak{p}}} [i \hat{j} \hat{k}] \left(\frac{2}{l_i} + \frac{l_i}{p_j p_k} - \frac{p_j}{l_i p_k} - \frac{p_k}{l_i p_j} \right).$$

We already know, by Corollary 4.5, that on an immortal awesome Ricci flow, $g(t)|_{\mathfrak{p} \times \mathfrak{p}}$ approximates $t \cdot B|_{\mathfrak{p} \times \mathfrak{p}}$ and, by Corollary 4.7, that the blow-down of $g(t)$ in the \mathfrak{l} -direction goes to 0. These estimates are enough to have the following convergence result, which is our third main result.

Theorem 5.2. *Let $\tilde{M} = G/H$ be a contractible semisimple homogeneous space. Let $(\tilde{M}, g(s))$, $s \in [1, \infty)$, be an immortal awesome Ricci flow adapted to the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$, with $\mathfrak{k} = \mathfrak{h} \oplus \mathfrak{l}$. Then the parabolic rescaling $(\tilde{M}, s^{-1}g(s))$ converges in pointed C^∞ -topology to the Riemannian product*

$$\Sigma_\infty \times \mathbb{E}^{\dim \mathfrak{l}},$$

where Σ_∞ is the noncompact Einstein symmetric space $(G/K, B|_{\mathfrak{p} \times \mathfrak{p}})$ and $\mathbb{E}^{\dim \mathfrak{l}}$ is the $\dim \mathfrak{l}$ -dimensional (flat) Euclidean space.

Proof. Let us fix the background metric $Q := -B|_{\mathfrak{l} \times \mathfrak{l}} + B|_{\mathfrak{p} \times \mathfrak{p}}$ and let $g(s) = Q(P(s) \cdot, \cdot)$. Let us define $\tilde{g}_s := s^{-1}g(s)$. Since $[\mathfrak{k}, \mathfrak{k}] \subset \mathfrak{h}$ without loss of generality we can assume $\mathfrak{l} \subset \mathfrak{z}(\mathfrak{k})$ (just take $\mathfrak{l} \perp_B \mathfrak{h}$). By [Hilgert and Neeb 2012, Theorem 13.1.7] we have the diffeomorphism

$$\phi : \mathfrak{p} \times K/H \xrightarrow{\sim} G/H, \quad (x, kH) \mapsto \exp(x) \cdot kH.$$

Moreover, since $K/H = \mathbb{R}^{\dim \mathfrak{l}}$ is an abelian group, $K/H = \exp(\mathfrak{l})H$.

Let us then define the 1-parameter family of diffeomorphisms

$$\phi_s : \mathfrak{p} \times \mathfrak{l} \xrightarrow{\sim} G/H, \quad (x, u) \mapsto \alpha(x) \cdot \beta_s(u)H,$$

with $\alpha(x) = \exp(x)$ and $\beta_s(u) = \exp(\sqrt{s(P^l(s))^{-1}}u)$, where $P^l(s)$ is the positive definite matrix defined by $g(s)|_{l \times l} = Q(P^l(s) \cdot, \cdot)$.

Let

$$\frac{\partial \phi_s}{\partial E_i^l}(x, u) = \frac{d}{dt} \alpha(x) \cdot \beta_s(u + t E_i^l) H|_{t=0}$$

and notice that since K/H is abelian, we get that

$$\begin{aligned} (L_{(\alpha(x) \cdot \beta_s(u))^{-1}})^* \frac{\partial \phi_s}{\partial E_i^l}(x, u) &= (L_{\beta_s(-u)})_* (L_{\alpha(-x)})_* (L_{\alpha(x)})_* \frac{d}{dt} \beta_s(u + t E_i^l) H|_{t=0} \\ &= (L_{\beta_s(-u)})_* \frac{d}{dt} \beta_s(u + t E_i^l) H|_{t=0} \\ &= \frac{d}{dt} \beta_s(t E_i^l) H|_{t=0}. \end{aligned}$$

This implies

$$\begin{aligned} \phi_s^* \tilde{g}_s(E_i^l, E_j^l)_{(x,u)} &= \tilde{g}_s \left(\frac{\partial \phi_s^\beta}{\partial i}(x, u), \frac{\partial \phi_s^\beta}{\partial j}(x, u) \right)_{\phi_s(x,u)} \\ &= \tilde{g}_s \left(\frac{d}{dt} \beta_s(t E_i^l)|_{t=0}, \frac{d}{dt} \beta_s(t E_j^l)|_{t=0} \right)_{\phi_s(0,0)} \\ &= s^{-1} Q(P^l(s) \cdot \sqrt{s(P^l(s))^{-1}} E_i^l, \sqrt{s(P^l(s))^{-1}} E_j^l) \\ &= Q(E_i^l, E_j^l). \end{aligned}$$

Let

$$\frac{\partial \phi_s}{\partial E_i^p}(x, u) = \frac{d}{dt} \alpha(x + t E_i^p) \cdot \beta_s(u)|_{t=0} \text{ and } \mathcal{A}_s^i(x, u) := (L_{(\alpha(x) \cdot \beta_s(u))^{-1}})^* \frac{\partial \phi_s}{\partial E_i^p}(x, u).$$

Observe that since K belongs to the normalizer of H in G , it acts on the right on G/H . Hence, using that $a^{-1} \exp(x) a = \exp(\text{Ad}(a)x)$ [Hilgert and Neeb 2012, Proposition 9.2.10], we get that

$$\begin{aligned} \mathcal{A}_s^i(x, u) &= (L_{\beta_s(-u)})_* (L_{\alpha(-x)})_* (R_{\beta_s(u)})_* \frac{d}{dt} \alpha(x + t E_i^p)|_{t=0} \\ &= (L_{\beta_s(-u)})_* (R_{\beta_s(u)})_* (L_{\alpha(-x)})_* \frac{d}{dt} \alpha(x + t E_i^p)|_{t=0} \\ &= \text{Ad}(\beta_s(u))(L_{\exp(-x)})_* \frac{d \exp}{dt}(x + t E_i^p)|_{t=0} \end{aligned}$$

and that $\mathcal{A}_s^i(x, 0) = \mathcal{A}^i(x)$ does not depend on s .

Now observe that by [Hilgert and Neeb 2012, Theorem 13.1.5] $\text{Ad}(K)$ is compact. Hence, there is a constant C , such that

$$\|\text{Ad}(\beta_s(u))\|_Q \leq C,$$

in the operator norm with respect to Q . By Corollary 4.7, we have that

$$\begin{aligned} |\phi_s^* \tilde{g}_s(E_i^p, E_j^l)_{(x,u)}| &= \left| \tilde{g}_s \left(\frac{\partial \phi_s}{\partial E_i^p}(x, u), \frac{\partial \phi_s}{\partial E_j^l}(x, u) \right) \right|_{\phi_s(x,u)} \\ &= \left| \tilde{g}_s \left(\mathcal{A}_s^i(x, u), \frac{d}{dt} \beta_s(t E_j^l)|_{t=0} \right) \right|_{\phi_s(0,0)} \\ &= s^{-1} |Q(\mathcal{A}_s^i(x, u), P^l(s) \cdot \sqrt{s(P^l(s))^{-1}} E_j^l)| \\ &= \sqrt{s^{-1}} |Q(\mathcal{A}_s^i(x, u), \sqrt{P^l(s)} E_j^l)| \\ &\leq C \sqrt{\frac{\|P^l(s)\|_Q}{s}} \cdot \|\mathcal{A}^i(x)\|_Q \|E_j^l\|_Q \rightarrow 0, \end{aligned}$$

uniformly on compact sets of $\mathfrak{p} \times \mathfrak{l}$.

Finally, we have that

$$\begin{aligned} \phi_s^* \tilde{g}_s(E_i^p, E_j^p)_{(x,u)} &= \tilde{g}_s \left(\frac{\partial \phi_s}{\partial E_i^p}(x, u), \frac{\partial \phi_s}{\partial E_j^p}(x, u) \right)_{\phi_s(x,u)} \\ &= \tilde{g}_s(\mathcal{A}_s^i(x, u), \mathcal{A}_s^j(x, u))_{\phi_s(0,0)} \\ &= \tilde{g}_s|_{\mathfrak{l} \times \mathfrak{l}}(\mathcal{A}_s^i(x, u), \mathcal{A}_s^j(x, u)) + \tilde{g}_s|_{\mathfrak{p} \times \mathfrak{p}}(\mathcal{A}_s^i(x, u), \mathcal{A}_s^j(x, u)). \end{aligned}$$

Again by the fact that $\text{Ad}(K)$ is compact and by Corollary 4.7, we have that

$$|\tilde{g}_s|_{\mathfrak{l} \times \mathfrak{l}}(\mathcal{A}_s^i(x, u), \mathcal{A}_s^j(x, u))| \leq C^2 \frac{\|P^l(s)\|_Q}{s} \cdot \|\mathcal{A}^i(x)\|_Q \|\mathcal{A}^j(x)\|_Q \rightarrow 0,$$

uniformly on compact subsets of $\mathfrak{p} \times \mathfrak{l}$. Moreover, by Corollary 4.5 we know that $\tilde{g}_s|_{\mathfrak{p} \times \mathfrak{p}} \rightarrow B|_{\mathfrak{p} \times \mathfrak{p}}$ and since B is $\text{Ad}(K)$ -invariant, we get that

$$\tilde{g}_s|_{\mathfrak{p} \times \mathfrak{p}}(\mathcal{A}_s^i(x, u), \mathcal{A}_s^j(x, u)) \rightarrow Q|_{\mathfrak{p} \times \mathfrak{p}}(\mathcal{A}^i(x), \mathcal{A}^j(x)),$$

uniformly on compact subsets of $\mathfrak{p} \times \mathfrak{l}$. Indeed, assume the contrary, then there exists $\epsilon > 0$ and sequences $s_n \rightarrow \infty$ and $(x_n, u_n) \rightarrow (x_\infty, u_\infty)$ such that

$$(5-5) \quad \left| \tilde{g}_{s_n}|_{\mathfrak{p} \times \mathfrak{p}}(\mathcal{A}_{s_n}^i(x_n, u_n), \mathcal{A}_{s_n}^j(x_n, u_n)) - Q|_{\mathfrak{p} \times \mathfrak{p}}(\mathcal{A}^i(x_n), \mathcal{A}^j(x_n)) \right| \geq \epsilon.$$

By the compactness of $\text{Ad}(K/H)$, we can extract a convergent subsequence, $\text{Ad}(\beta_{s_n}(u_n)) \rightarrow \text{Ad}(\exp(u'_\infty))$, $u'_\infty \in \mathfrak{k}$. Therefore, taking the limit on (5-5) as $n \rightarrow \infty$, we get that

$$\begin{aligned} \left| Q|_{\mathfrak{p} \times \mathfrak{p}}(\text{Ad}(\exp(u'_\infty)) \cdot \mathcal{A}^i(x_\infty), \text{Ad}(\exp(u'_\infty)) \cdot \mathcal{A}^j(x_\infty)) \right. \\ \left. - Q|_{\mathfrak{p} \times \mathfrak{p}}(\mathcal{A}^i(x_\infty), \mathcal{A}^j(x_\infty)) \right| = 0, \end{aligned}$$

since Q is $\text{Ad}(K)$ -invariant.

Observe that $Q|_{\mathfrak{p} \times \mathfrak{p}}(\mathcal{A}^i(x), \mathcal{A}^j(x))$ is the pullback by the diffeomorphism

$$\exp : \mathfrak{p} \rightarrow G/K$$

of the Ricci negative Einstein symmetric metric of $\Sigma_\infty := (G/K, B|_{\mathfrak{p} \times \mathfrak{p}})$.

Hence, we have proven that $\phi_s^* \tilde{g}_s$ converges in the C^∞ -topology to the Riemannian product $\Sigma_\infty \times \mathbb{E}^{\dim \mathfrak{l}}$. \square

Remark 5.3. Since every immortal homogeneous Ricci flow is of type III [Böhm 2015, Theorem 4.1], the proof above actually shows that the parabolic rescaled flow $\tilde{g}_s(t) := s^{-1}g(st)$, $t \in (0, \infty)$, converges in Cheeger–Gromov sense to the expanding Ricci soliton given by the Riemannian product of the Ricci negative Einstein metric in Σ_∞ and the flat Euclidean factor $\mathbb{E}^{\dim \mathfrak{l}}$. This generalizes the 3-dimensional case $\overline{\text{SL}(2, \mathbb{R})} \rightarrow \mathbb{H}^2 \times \mathbb{R}$ (see [Lott 2007, Case 3.3.5]) to every semisimple homogeneous \mathbb{R} -bundle over irreducible Hermitian symmetric spaces G/H , since for those every G -invariant metric is awesome [Böhm and Lafuente 2022, Remark 3.2].

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
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