

*Pacific  
Journal of  
Mathematics*

**A REDUCTION THEOREM  
FOR SIMPLE GROUPS WITH  $e(G) = 3$**

RICHARD LYONS AND RONALD SOLOMON

# A REDUCTION THEOREM FOR SIMPLE GROUPS WITH $e(G) = 3$

RICHARD LYONS AND RONALD SOLOMON

*In memory of our dear friend, Gary Seitz*

**This paper highlights the use of transfer to analyze an unbalancing configuration in the GLS classification project for finite simple groups. The configuration occurs when  $e(G) = 3$ ,  $m_{2,p}(G) = m_p(G) = 3$  for some odd prime  $p$ , and a  $p$ -component of  $p$ -rank 1 is responsible for the unbalancing.**

## 1. Introduction

An important step in the GLS approach to the classification of the  $\mathcal{K}$ -proper finite simple groups  $G$  of restricted even type with  $e(G) \geq 3$ , as it was for Michael Aschbacher [1981; 1983] in his classification of such groups of characteristic-2 type with  $e(G) = 3$ , is to establish a dichotomy quite analogous to the Gorenstein–Walter alternative for generic groups [Gorenstein et al. 2002, Theorem  $\mathbb{C}_7^*$ : Stage 1, Chapter 3, p. 49].

Throughout this paper,  $G$  is a  $\mathcal{K}$ -proper finite simple group of restricted even type.

For a suitable odd prime divisor  $p$  of  $G$  such that  $m_{2,p}(G) = e(G)$ , and a suitable subgroup  $A \cong E_{p^n}$  of  $G$ ,  $n \geq 3$ , the dichotomy is, roughly speaking:

(1A1) the  $p$ -layer  $L_{p'}(C_G(a))$  of  $C_G(a)$  is semisimple for all  $a \in A^\#$ ; or

(1A2)  $G$  has a strong  $p$ -uniqueness subgroup  $M$ .

Without defining the technical term “strong  $p$ -uniqueness subgroup”, we remark that the archetype of a strong  $p$ -uniqueness subgroup is a strongly  $p$ -embedded subgroup such that  $O_{p'}(M)$  has even order. However, various weaker conditions also suffice to qualify a subgroup  $M$  as a strong  $p$ -uniqueness subgroup. See, for example, [Gorenstein et al. 1994, Chapter 2, Section 8] for the case  $m_{2,p}(G) \geq 4$ . And for the case  $m_{2,p}(G) = 3 = e(G)$ , GLS is using the condition  $\Gamma_{p,2}^o(G) \leq M$  for some  $P \in \text{Syl}_p(G)$  instead of requiring strong  $p$ -embedding.

We shall call this the fundamental dichotomy, and its verification when  $e(G) \geq 4$ , along with the identification of  $G$  in (1A1), forms a large part of [Gorenstein et al.

*MSC2020:* 20D05.

*Keywords:* finite simple groups, classification, even type,  $e(G) = 3$ .

2002; 2018a; 2018b]. When  $e(G) = 3 = m_{2,p}(G) < m_p(G)$  for some odd prime  $p$ ,  $G$  has been classified in [Capdeboscq et al. 2023] (and without any reference to strong  $p$ -uniqueness subgroups).

In this paper, we shall consider the remaining case in which  $e(G) = 3$ , so that  $m_p(G) = 3$  for any odd prime  $p$  such that  $m_{2,p}(G) = 3$ . To avoid complications that are of little interest, we shall assume for simplicity that there exists such a prime  $p$  for which  $p > 3$ . In Section 3, we will reduce the proof of the fundamental dichotomy to one very specific subcase, and in Section 4, we will outline our proof that the fundamental dichotomy holds in this subcase as well. As noted above, when  $G$  is of characteristic-2 type (a somewhat more restrictive condition than restricted even type) and  $e(G) = 3$ , this result was proved by Aschbacher. Our approach to the necessary signalizer analysis, however, is different from his, using elementary  $p$ -transfer arguments to reduce to the single subcase of Section 4, where signalizer functors seem to be unavoidable. We thank Michael for his assistance in the treatment of this subcase.

Throughout we shall focus on an odd prime divisor  $p$  of  $|G|$ . For any subgroup  $H$  of  $G$  such that  $H = L_{p'}(H)$ , we shall let

$$H^\dagger = H/O_{p'}(H).$$

In the Gorenstein–Walter alternative, the second option is that  $G$  is  $\frac{3}{2}$ -balanced with respect to  $A$ , and  $\Theta_{3/2}(G; A)$  is a nontrivial  $p'$ -group. This option is then to be pursued further, to the existence of a strong  $p$ -uniqueness subgroup, the first approximation being  $N_G(\Theta_{3/2}(G; A))$ . A similar connection between  $\frac{3}{2}$ -balance and strong  $p$ -uniqueness subgroups can be seen in the context of this paper. Hence, given our purpose here, we shall assume the following proposition.

**Proposition 1.1.** *Let  $G$  be a  $\mathcal{K}$ -proper finite group of even type with  $e(G) = 3$ . Let  $p > 3$  be a prime such that  $m_{2,p}(G) = m_p(G) = 3$ . Let  $A \leq G$  with  $A \cong E_{p^3}$ . Then either the fundamental dichotomy holds for  $G$ ,  $p$ , and  $A$ , or  $A$  can be chosen such that for some  $x, a, b \in A^\#$  and  $L \leq C_G(x)$ ,  $A = \langle x, a, b \rangle$ ,  $L$  is an  $A$ -invariant  $p$ -component of  $C_G(x)$ , and*

$$[O_{p'}(C_{\text{Aut}_{C_G(x)} L^\dagger}(b)), a] \neq 1.$$

The conclusion of this proposition, in the language of [Gorenstein et al. 2002, Chapter 2, 3.2–3.4], is that  $(x, L)$  is a  $\frac{3}{2}$ ,  $A$ -obstruction, i.e., an obstruction to  $A$ 's being  $\frac{3}{2}$ -balanced in  $G$ . In the absence of such obstructions, the fundamental dichotomy follows by applications of [Gorenstein et al. 1996, 20.7, 5.19(i)], and the standard construction (due to Aschbacher) of a  $\frac{3}{2}$ -balanced functor on  $A$  [Gorenstein et al. 1996, 21.9, 21.10]. Accordingly, as this functor is trivial or nontrivial, (1A1) or (1A2) can be proved. (The reader may have noticed that there is a second type of

possible obstruction, due to failure of local 2-balance. But such obstructions cannot occur, it turns out, under our hypotheses that  $p > 3$ ,  $e(G) = 3$ , and  $G$  is  $\mathcal{K}$ -proper.

### 2. Statement of results

In view of the foregoing, we shall proceed under the following hypothesis:

- (2A1)  $G$  is a  $\mathcal{K}$ -proper finite simple group of restricted even type with  $e(G) = 3$ .
- (2A2) For all odd primes  $q$  such that  $m_{2,q}(G) = 3$ , we have  $m_q(G) = 3$ .
- (2A3) There is a prime  $p > 3$  such that  $m_p(G) = m_{2,p}(G) = 3$ .
- (2A4) There is an elementary abelian  $p$ -subgroup  $A$  of  $G$  with  $m_p(A) = 3$  and a  $\frac{3}{2}$ ,  $A$ -obstruction  $(x, L)$  in  $C_G(x)$  for some  $x \in A^\#$ .

As  $G$  is a  $\mathcal{K}$ -proper finite simple group, every proper simple section of  $G$  is a known simple group. The principal application of the  $\mathcal{K}$ -proper assumption (in conjunction with the assumption that  $p > 3$  and  $m_p(G) = 3$ ) will be via the following  $\mathcal{K}$ -group fact, which we accept here without proof. The assumption  $p > 3$  is critical for this proposition.

**Proposition 2.1.** *Let  $G$ ,  $p$  and  $A$  be as above with  $(x, L)$  a  $\frac{3}{2}$ ,  $A$ -obstruction. Then  $L^\dagger$  is a simple group of Lie type in characteristic  $s \neq p$  with  $m_p(L) = 1$  and  $L \triangleleft N_G(\langle x \rangle)$ . Also,  $A = \langle x, a, b \rangle$ , where  $b \in L$  and  $a$  induces a field automorphism of order  $p$  on  $L^\dagger$ . Moreover,  $[O_{p'}(C_{L^\dagger}(b)), a] \neq 1$  and  $L_{p'}(C_{L^\dagger}(a)) \neq 1$ .*

We will therefore assume that the following configuration holds (here we let  $C_y := C_G(y)$  for all  $y \in A^\#$ ):

- (2B1)  $x \in \mathcal{I}_p(G)$  ( $\mathcal{I}_p(X)$  is the set of elements of  $X$  of order  $p$ ).
- (2B2)  $P \in \text{Syl}_p(G)$  and  $T = C_P(x) \in \text{Syl}_p(C_x)$ .
- (2B3)  $L$  is a  $p$ -component of  $C_x$  and  $R = \langle r \rangle = T \cap L$  is cyclic with  $\Omega_1(R) = \langle b \rangle$ .
- (2B4)  $Q = C_T(L^\dagger)$ .
- (2B5)  $\mathcal{I}_p(T - QR) \neq \emptyset$ .
- (2B6) For any  $a \in \mathcal{I}_p(T - QR)$ ,  $Q_a = C_Q(a)$ , and  $P_a \in \text{Syl}_p(C_a)$  with  $C_P(a) \leq P_a$ .
- (2B7) For any  $a \in \mathcal{I}_p(T - QR)$ ,  $a$  induces a nontrivial field automorphism on  $L^\dagger$ ,  $L_a := L_{p'}(C_L(a)) \neq 1$ , and  $K_a$  is the subnormal closure of  $L_a$  in  $C_a$ .

Our main aim in this paper is to prove:

**Theorem 2.2.** *Assume (2A1)–(2A4) and (2B1)–(2B7). Then:*

- (a)  $T = P$ .
- (b)  $Q \cong R$ .

- (c)  $P/QR$  is cyclic and embeds in  $\text{Out}(L^\dagger)$ ; the image of  $P/QR$  consists of images of field automorphisms.
- (d) There exists  $a \in \mathcal{I}_p(P - QR)$  such that  $a^P = a\langle x, b \rangle = a\Omega_1(QR)$ .
- (e)  $L_{p'}(C_a) = L_1L_2$  with  $L_1^\dagger \cong L_2^\dagger \cong L_a^\dagger$ ,  $Q_a \in \text{Syl}_p(L_1)$ , and  $R_a := C_R(a) \in \text{Syl}_p(L_2)$ .
- (f) There exists  $t \in N_G(P)$  inverting  $a$  and interchanging  $\langle x \rangle$  with  $\langle b \rangle$ ,  $Q$  with  $R$ , and  $L_1$  with  $L_2$ .

As a consequence, GLS will obtain the following theorem in a forthcoming volume. We shall make a few remarks about the proof of this result in Section 4.

**Theorem 2.3.** *Suppose that (2A1)–(2A4) and (2B1)–(2B7) hold. Then  $G$  has a strongly  $p$ -embedded subgroup  $M$  such that  $O_{p'}(M)$  has even order.*

### 3. Proof of Theorem 2.2

In this section we prove Theorem 2.2. We let

$$(3A) \quad \begin{aligned} \mathfrak{A} &= \{a_0 \in \mathcal{I}_p(T - QR) \mid K_{a_0} \text{ is a nontrivial pumpup of } L_{a_0}\}; \\ E &= \langle x, b \rangle = A \cap QR. \end{aligned}$$

**Lemma 3.1.** *The following conditions hold:*

- (a)  $L \triangleleft C_x$ .
- (b) For every  $a \in \mathfrak{A}$ ,  $K_a$  is a vertical pumpup of  $L_a$ .
- (c)  $\Omega_1(T) \leq Q\langle a \rangle \times \langle b \rangle$  for any  $a \in \mathcal{I}_p(T - PQ)$ .
- (d)  $Q_a$  is cyclic for any  $a \in \mathcal{I}_p(T - PQ)$ .
- (e)  $E = \Omega_1(Z(T))$ .

*Proof.* Proposition 2.1 contains (a). As  $m_p(G) = 3$ , (d) holds and  $K_a$  cannot be a diagonal pumpup of  $L_a$ . Then (b) holds by  $L_{p'}$ -balance and the definition of  $\mathfrak{A}$ . Since  $\Omega_1(T/QR) = \langle QRa \rangle$ , we have  $\Omega_1(T/Q) \leq QR\langle a \rangle/Q$ . As  $R$  is cyclic,  $\Omega_1(R\langle a \rangle) = \langle b, a \rangle$ , and (c) follows. As  $m_p(G) = 3$  and  $L \triangleleft C_x$ , we have  $E \leq \Omega_1(Z(T)) \leq E\langle a \rangle$  for any  $a \in \mathcal{I}_p(T - PQ)$ . But as  $a$  induces a field automorphism of order  $p$  on  $L^\dagger$ ,  $[R, a] \neq 1$ . This implies (e). □

**Lemma 3.2.** *We have that  $m_p(Q) = 1$ .*

*Proof.* Suppose not and choose  $B \cong E_{p^2}$  with  $B \triangleleft T$  and  $B \leq Q$ .

Suppose first that  $x^g \in E - \langle x \rangle$  for some  $g \in G$ . Then by Lemma 3.1(e) and Burnside’s lemma, we may assume that  $g \in N_G(T)$ . Now,  $E = E^g$  and so  $E \cap L^g = (E \cap L)^g = \langle b^g \rangle$ . Let  $Q_0 = C_{Q\langle a \rangle}((L^g)^\dagger)$ . As  $Q\langle a \rangle$  normalizes  $L^g$ , we have  $Q_0 \triangleleft Q\langle a \rangle$ . Hence, if  $Q_0 \neq 1$ , then  $Q_0 \cap \Omega_1(Z(Q\langle a \rangle)) \neq 1$ , and so in view of Lemma 3.1(d),  $x \in Q_0$ . But then  $E = \langle x^g, x \rangle \leq C_T((L^g)^\dagger)$ , contrary to

$E \cap L^s \neq 1$ . Hence,  $Q\langle a \rangle$  acts faithfully on  $(L^s)^\dagger$ , so  $Q\langle a \rangle$  embeds in  $T/Q$ . But  $\Omega_1(T/Q) \cong \Omega_1(R\langle a \rangle) = \langle a, b \rangle$ , so  $\Omega_1(Q\langle a \rangle) = \langle a, x \rangle$ . But  $B\langle a \rangle \leq \Omega_1(Q\langle a \rangle)$ , a contradiction. Hence  $x^G \cap E \subseteq \langle x \rangle$ . Thus,  $\langle x \rangle \triangleleft N_G(P)$ , so  $P = T$ .

Since  $Q_a$  is cyclic,  $[B, a] \neq 1$ , so  $B$  shears  $a$  to  $\langle x \rangle$ , centralizing  $\langle b \rangle$ . Likewise  $R$  shears  $a$  to  $\langle b \rangle$ , centralizing  $\langle x \rangle$ .

Suppose that  $a^g \in E$  for some  $g \in G$ . Then  $P_a \in \text{Syl}_p(G)$ . Then  $E$  and  $\Omega_1(Z(P_a))$  are commuting  $E_{p^2}$ -subgroups of  $P_a$ , so  $E \cap \Omega_1(Z(P_a))$  contains some  $d \neq 1$ . As  $a \notin E$ ,  $\Omega_1(Z(P_a)) = \langle a, d \rangle$ . But then by the previous paragraph, some  $p$ -element in  $\text{Aut}_G(\langle a, d \rangle)$  shears  $a$  to  $\langle d \rangle$ . As  $\langle a, d \rangle$  is contained in a Sylow  $p$ -center, however,  $\text{Aut}_G(\langle a, d \rangle)$  is a  $p'$ -group, a contradiction. Hence  $a^G \cap E = \emptyset$ . Consequently,  $\langle x \rangle$  is weakly closed in  $\langle a, b, x \rangle$ .

Now let  $d$  be an extremal conjugate of  $a$  in  $P$ . Then we may choose  $g \in G$  such that  $a^g = d$  and  $C_P(a)^g \leq C_P(d)$ . As  $d \notin E$ , we have  $\langle b, x, d \rangle = \Omega_1(C_P(d))$ , so  $\langle a, b, x \rangle^g = \langle b, x, d \rangle$ . By the weak closedness of  $\langle x \rangle$ ,  $g \in N := N_G(\langle x \rangle)$ . As  $QR \in \text{Syl}_p(LC_N(L^\dagger))$ ,  $LC_N(L^\dagger) \triangleleft N$ , and the image of  $P/QR$  in  $\text{Out}(L^\dagger)$  is disjoint from  $[\text{Out}(L^\dagger), \text{Out}(L^\dagger)]$ , we must have  $a^g \in QRa$ . But  $P/QR$  is cyclic, so by the Thompson transfer lemma [Gorenstein et al. 1996, 15.15],  $a \notin O^p(G)$ , contradicting the simplicity of  $G$ . The proof is complete.  $\square$

We immediately deduce:

**Lemma 3.3.** *We have  $A = \Omega_1(T)$ .*

**Lemma 3.4.** *Suppose that  $a \in \mathfrak{A}$ , with  $x$  inducing a nontrivial field automorphism on  $K_a^\dagger$ . Then:*

- (a)  $C_Q(a) = \langle x \rangle$  and  $|Q| \leq p^2$ .
- (b) If  $|Q| = p^2$ , then  $T = QR\langle a \rangle$  and  $\mathcal{E}_1(T) - \mathcal{E}_1(QR) \subseteq \langle a \rangle^{C_x}$ .
- (c) There is a complement  $F$  to  $QR$  in  $T$  such that  $F$  faithfully induces field automorphisms on  $L^\dagger$ .

*Proof.* As  $C_Q(a)$  acts faithfully on  $K_a^\dagger$  centralizing the image of  $L_a = L_{p'}(C_{K_a}(x))$ , we have  $C_Q(a) = \langle x \rangle$ . Therefore  $|Q| \leq p^2$ , so (a) holds. By the structure of  $\text{Aut}(L^\dagger)$ , there is a complement  $F_1$  to  $R$  in  $T$  with  $a \in F_1$ .

Suppose first that  $Q = \langle x \rangle$ . Then  $F_1/\langle x \rangle$  is cyclic. If  $F_1$  is cyclic, then  $\Omega_1(T) = \langle x \rangle \times \Omega_1(R)$ , contrary to  $a \in \Omega_1(T)$ . Hence,  $F_1$  is noncyclic abelian with  $F_1/\langle x \rangle$  cyclic, whence  $F_1 = F \times \langle x \rangle$  for some  $F$  which induces field automorphisms on  $L^\dagger$ , so (c) holds in this case.

If  $Q$  has order  $p^2$ , then as  $C_Q(a) = \langle x \rangle$ ,  $[Q, a] \neq 1$ . But  $T/QR$  is cyclic and  $[QR, Q] = 1$ , so  $T/QR$  embeds in  $\text{Aut}(Q)$  and hence has order  $p$ . Thus  $T = QR\langle a \rangle$  and  $Q$  shears  $a$  to  $\langle x \rangle$ . As  $R$  shears  $a$  to  $\langle b \rangle$ , (b) and (c) hold and the proof is complete.  $\square$

**Lemma 3.5.** *If  $\mathfrak{A} = \emptyset$ , then the conclusions of Theorem 2.2 hold.*

*Proof.* If  $\mathfrak{A} = \emptyset$ , then  $L_a$  pumps up trivially in  $C_a$  for every  $a \in A - E$ . As  $L = L_{p'}(C_x) \not\cong L_a$  and  $A = \Omega_1(T)$ ,  $x^G \cap T \subseteq E$ . If  $\langle x \rangle$  is weakly closed in  $E$ , then  $T = P$  and  $\langle x \rangle$  is weakly closed in  $P$ ; so by [Gorenstein et al. 1996, 16.20],  $N_G(\langle x \rangle)$  controls  $G$ -fusion, hence  $G$ -transfer, in  $P$ . As  $a \notin [N_G(\langle x \rangle), N_G(\langle x \rangle)]$ , we conclude that  $a \notin [G, G]$ , contradicting the simplicity of  $G$ . So there exists  $t \in N_G(T)$  such that  $x_1 := x^t \in x^G \cap E - \langle x \rangle$ . Let  $L'_0 = L_{p'}(C_{L^t}(a))$  and let  $M_a$  be the (trivial) pumpup of  $L'_0$  in  $C_a$ . As  $C_E(K_a^\dagger) = \langle x \rangle \neq \langle x_1 \rangle = C_E(M_a^\dagger)$ , while  $K_a$  and  $M_a$  are normal in  $L_{p'}(C_a)$ , we have  $[K_a, M_a] \leq O_{p'}(C_a)$  with  $\bar{U}^1(R) \in \text{Syl}_p(K_a)$  and  $b^t \in M_a$ . Now  $\langle b^t \rangle \in C_E(K_a^\dagger) = \langle x \rangle$ . But  $t$  was arbitrary in  $N_G(T) - N_G(\langle x \rangle)$ . Hence we must have  $\langle x \rangle^{N_G(T)} = \{\langle x \rangle, \langle b \rangle\}$ . In particular,  $P = T$ , as  $p$  is odd.

If  $Q = Q_a$ , then  $\langle b \rangle = [T, \Omega_1(T)] \triangleleft N_G(T)$ , contradicting  $b^t = x$ . Hence,  $|Q : Q_a| = p$  and  $a^T = Ea$ . Also  $K_a M_a = L_{p'}(C_G(a))$  as  $m_p(G) = 3$ . Also  $Q \times R = J_a(T)$ . In particular,  $\langle a \rangle^{N_G(T)} = \langle a \rangle^T$ , whence we may modify  $t$  by an element of  $T$  and assume that  $t \in N_G(\langle a \rangle)$ .

Indeed, there exists a  $p'$ -element  $h \in N_L(QR)$  such that  $[QR, h] = R$  and  $C_{QR}(h) = Q$ . As  $T^t = T$ ,  $QR = (QR)^t$  induces inner automorphisms on  $(L^t)^\dagger = L_{p'}(C_G(b))^\dagger$ , and  $QR$  is invariant under  $h \in N_G(\langle b \rangle)$ . Therefore the only two largest  $h$ -invariant cyclic subgroups of  $QR$  are  $\{Q, R\} = \{C_{QR}(h), [QR, h]\} = \{C_{QR}((L^t)^\dagger), QR \cap L^t\}$ , so  $R = C_{QR}((L^t)^\dagger)$  and  $Q = QR \cap L^t$ . So  $t$  interchanges  $Q$  and  $R$ .

Now  $E = Z(P)$  is the weak closure of  $\langle x \rangle$  in  $P$ , so by the Hall–Wielandt theorem (see [Gorenstein et al. 1996, 15.27]) and the simplicity of  $G$ , we have  $N_G(E) = O^p(N_G(E))$ . However,  $N_G(E) = (N_G(E) \cap N_G(\langle x \rangle)) \langle t \rangle$  with

$$t^2 \in N_G(\langle x \rangle) \cap N_G(\langle a \rangle) \leq C_G(a).$$

If  $[t, a] = 1$ , then  $a \in N_G(E) - O^p(N_G(E))$ , an impossibility. Hence as  $t \in N_G(\langle a \rangle)$ ,  $t$  inverts  $a$ . All the other conclusions of Theorem 2.2 then follow easily, completing the proof of the lemma.  $\square$

Our remaining strategy for Theorem 2.2 is to consider various cases for the possible isomorphism types of  $K_a^\dagger$ , as  $a$  varies over  $\mathfrak{A}$ . By inspection of the possibilities, we reduce to the following cases.

**Lemma 3.6.** *Let  $a \in \mathfrak{A}$ . Then one of the following holds:*

- (a)  $m_p(K_a) = 1$  and  $x$  induces a nontrivial field automorphism on  $K_a^\dagger$ ;
- (b)  $P_a \cap K_a$  is abelian of rank 2; or
- (c)  $P_a \cap K_a \cong p^{1+2}$ , and  $(p, K_a^\dagger) = (5, \text{HS}), (5, \text{Ru}),$  or  $(7, \text{He})$ .

*In particular,  $O_p(K_a^\dagger) = 1$ .*

*Proof.* If  $m_p(K_a) = 1$ , then as  $L_a = L_{p'}(C_{K_a}(x))$ ,  $x$  induces an outer automorphism on  $K_a^\dagger$ . Then as  $p > 3$ , certainly  $K_a^\dagger \in \text{Chev}(s)$ ,  $s \neq p$ , and (a) follows as  $m_p(K_a) = 1$ .

Suppose that  $m_p(K_a) > 1$ . Since  $m_p(G) = 3$ ,  $O_p(K_a^\dagger) = 1$  by inspection, and then  $m_p(K_a) = 2$  and again  $K_a^\dagger \in \text{Chev}(s) \cup \text{Alt} \cup \text{Spor}$ ,  $s \neq p$ . Again by inspection since  $p > 3$ ,  $p$  does not divide the order of the Weyl group of  $K_a^\dagger$  if  $K_a \in \text{Chev}(s)$ , so  $P_a \cap K_a$  is abelian and (b) holds in that case. Finally we may assume that  $K_a \in \text{Alt} \cup \text{Spor}$  and  $P_a \cap K_a$  is not abelian, and (c) follows easily by inspection.  $\square$

We next eliminate case (b).

**Lemma 3.7.** *Let  $a \in \mathfrak{A}$ . Then  $P_a \cap K_a$  is not abelian of rank 2.*

*Proof.* In the case that  $K_a^\dagger \in \text{Chev}(s)$ , as  $p > 3$ , we have that  $s \neq p$ ,  $p$  does not divide  $|\text{Outdiag}(K_a^\dagger)|$ , and  $K_a^\dagger$  is simple. If  $x$  induces a field automorphism on  $K_a^\dagger$ , then  $m_p(K_a) = m_p(L_a) = 1$ , contradiction. Hence  $x$  induces an inner automorphism on  $K_a^\dagger$ , corresponding to an element  $x_0 \in P_a \cap K_a$ . This conclusion is also obvious if  $K_a^\dagger \in \text{Alt} \cup \text{Spor}$ . In any case, since  $A = \Omega_1(P) \leq P_a$ ,  $A = A_0 \times \langle a \rangle$  where  $A_0 = A \cap K_a$ .

In all cases,  $N_{K_a}(A)$  is irreducible on  $A_0$  [Gorenstein and Lyons 1983, I-(11.1)]. But also  $\text{Aut}_R(A)$  contains a transvection shearing  $a$  onto  $\langle b \rangle \leq A \cap L_a \leq A_0$  and centralizing  $x$ . If  $\text{Aut}_G(A)$  is irreducible on  $A$ , then by McLaughlin's theorem [1967], it contains  $\text{SL}(A)$ , so it is transitive on  $A^\#$ . But  $a \notin x^G$ . Thus  $\text{Aut}_G(A)$  is reducible on  $A$ . As the irreducible constituents of  $N_{K_a}(A)$  on  $A$  are  $A_0$  and  $\langle a \rangle$ ,  $\text{Aut}_G(A)$  embeds in the maximal parabolic subgroup  $M$  of  $\text{GL}(A)$  stabilizing  $A_0$ , with  $O_p(\text{Aut}_G(A)) \cong E_{p^2}$  centralizing  $A_0$  and  $\text{Aut}_G(A)$  irreducible on  $A_0$ . As the  $p^2$  members of  $\mathcal{E}_1(A) - \mathcal{E}_1(A_0)$  are permuted transitively by  $\text{Aut}_G(A)$  and  $\langle a \rangle \not\leq A_0$ , we must have  $\langle x \rangle \leq A_0$ . Hence,  $A_0 = \langle x, b \rangle = E$ .

Suppose that  $|\text{Aut}_G(A)|_p = p^3$ . As  $\text{Aut}_G(A)$  is irreducible on  $E$ , it follows that  $\text{Aut}_G(E)$  covers  $O^{p'}(M/O_p(M)) \cong \text{SL}(E)$ . In particular as

$$\Omega_1(Z(P)) \leq \Omega_1(Z(T)) = E,$$

every element of  $E^\#$  is  $p$ -central in  $G$  and  $T \in \text{Syl}_p(G)$ . But then  $p$  does not divide  $|\text{Aut}_G(E)|$ , a contradiction.

Hence,  $|\text{Aut}_G(A)|_p = p^2$ , so if  $T \leq T^* \in \text{Syl}_p(N_G(A))$ , then

$$T^* = C_{T^*}(E) = T.$$

As  $A = \Omega_1(T) \text{ char } T$ ,  $T \in \text{Syl}_p(G)$ ; and then  $N_G(A)$  controls  $G$ -fusion in  $T$  by [Gorenstein et al. 1996, 16.20].

We have  $E = \Omega_1(P_a \cap K_a)$ . Suppose that  $a^{N_G(A)} \cap \langle a \rangle = \{a\}$ . As  $a$  is  $T$ -conjugate to every element of order  $p$  in  $Ea$ , i.e., in  $QRa$ , it follows that if  $g \in G$  with  $a^g \in T$ , then  $a^g \in QRa$ . Then  $V_{G \rightarrow T/QR}(a) \neq 1$ , so  $a \notin [G, G]$ , a contradiction.

We conclude that  $a^g \in \langle a \rangle - \{a\}$  for some  $g \in N_G(A)$ . Since  $a$  does not belong to  $[N_G(\langle x \rangle), N_G(\langle x \rangle)]$ ,  $N_G(\langle a \rangle) \cap N_G(\langle x \rangle) \leq C_a$ , so

$$(3B) \quad K_a(N_G(\langle a \rangle) \cap N_G(\langle x \rangle)) \leq C_a < N_G(\langle a \rangle).$$

In particular,  $N_{C_a}(P_a \cap K_a)$  does not control  $N_{N_G(\langle a \rangle)}(P_a \cap K_a)$ -fusion in  $\mathcal{E}_1(E)$  (otherwise equality would hold in (3B), by a Frattini-type argument). It follows<sup>1</sup> that  $K_a^\dagger \cong \text{Sp}_4(2^n)$  for some  $n > 1$ , and  $p$  divides  $2^{2n} - 1$ . Moreover,  $N_{C_a}(P_a \cap K_a)$  maps into the subgroup  $X$  of  $\text{Out}(K_a^\dagger)$  of index 2 consisting of images of field automorphisms, whereas the image of  $N_{N_G(\langle a \rangle)}(P_a \cap K_a)$  in  $\text{Out}(K_a^\dagger)$  does not lie in  $X$ . Therefore  $N_G(\langle a \rangle)/C_a$  has even order, so there exists  $g \in N_G(\langle a \rangle)$  inverting  $a$ . As  $E = Z(T)$  is weakly closed and  $p$ -central we may take  $g \in N_E := N_G(E)$ . Also  $N_0 := N_{K_a}(E) \leq N_E$ . Set  $\bar{N}_E = N_E/O_{p'}(N_E)$ . By the structure of  $C_x$ ,  $\bar{T} \triangleleft \bar{N}_E$ . Since  $[Q, a] \neq 1 \neq [R, a]$ , we have  $QR = J_a(T)$ , so  $\overline{QR} \triangleleft \bar{N}_E$ . Now  $\bar{N}_0 \cong D_8$  has equivalent absolutely irreducible representations on  $\bar{E} = \Omega_1(\overline{QR})$  and  $\overline{QR}/\Phi(\overline{QR})$ , and one equivalence is the  $p^m$ -power mapping, where  $Q \cong R \cong Z_{p^{m+1}}$ . By absolute irreducibility, the mapping  $\overline{QR}/\Phi(\overline{QR}) \rightarrow \bar{E}$  induced by commutation with  $a$  must also be a power mapping, and so it commutes with the conjugation action of  $g$ . That is,

$$[y^g, a] = [y, a]^g \quad \text{for all } y \in QR.$$

Hence  $[y^g, a] = [y^g, a^g] = [y^g, a^{-1}]$  for all  $y \in QR$ , so  $a^2 \in C_G(QR)$ . As  $a$  has order  $p$ ,  $[QR, a] = 1$ , a final contradiction.  $\square$

**Lemma 3.8.** *Let  $a \in \mathfrak{A}$ . Then  $\langle b \rangle$  is weakly closed in  $A$ .*

*Proof.* Let  $P_a \leq S \in \text{Syl}_p(G)$ . Since  $R$  shears  $\langle a \rangle$  to  $\langle b \rangle$ ,  $\langle b \rangle = Z(S)$  is weakly closed in  $E$ . Suppose that  $d \in A - E$  and  $\langle d \rangle \in \langle b \rangle^G$ . Since  $d$  is  $p$ -central in  $G$  and  $K_d \neq 1$ ,  $O_p(K_d^\dagger) \neq 1$ . As  $O_p(L_d^\dagger) = 1$ , we must have  $d \in \mathfrak{A}$ . But then by Lemma 3.6,  $O_p(K_d^\dagger) = 1$ , completing the proof.  $\square$

Finally, we eliminate Lemma 3.6(c).

**Lemma 3.9.** *Let  $a \in \mathfrak{A}$ . Then  $m_p(K_a) = 1$ , and  $x$  induces a nontrivial field automorphism on  $K_a^\dagger$ .*

*Proof.* Suppose not. Then  $K_a^\dagger \cong \text{Ru}$  or HS (with  $p = 5$ ) or He (with  $p = 7$ ). Let  $S_0 = P_a \cap K_a \in \text{Syl}_p(K_a)$ , so that  $S_0 \cong p^{1+2}$ . Let  $S_1 = \langle a \rangle \times S_0 \leq S \in \text{Syl}_p(G)$ , so that  $Z(S_1) = \langle a, b \rangle$  and  $S_1 = \Omega_1(C_S(a))$ , and set  $S_2 = N_S(S_1)$ . Now  $a$  is sheared to  $\langle b \rangle$  by  $R$ , and that fusion must occur in  $S_2$  as well. So  $\langle a \rangle \in \text{Syl}_3(C(a, K_a))$  and  $S_1 \in \text{Syl}_p(C_a)$ , and we may assume that  $S_2 = S_1 R$ .

Let  $N_0 = N_G(S_1)$  and  $N_a = N_{N_0}(\langle a \rangle)$ . Let  $\bar{S}_1 = S_1/\langle b \rangle \cong E_{p^3}$  and  $\tilde{S}_1 = \bar{S}_1/\langle \bar{a} \rangle \cong S_1/Z(S_1)$ . Then as  $\langle b \rangle$  is not conjugate to  $\langle a \rangle$ , but  $a^R = a\langle b \rangle$ ,  $N_0$  acts on  $\bar{S}_1$  and  $\tilde{S}_1$ . Moreover,  $a^{N_0} \supseteq a\langle b \rangle$ , so  $|N_0 : N_a| = p$  and  $N_0 = N_a R_0$  for some  $R_0 \in \text{Syl}_p(N_0)$ .

<sup>1</sup>By the following  $\mathcal{K}$ -group lemma, whose proof we omit. Suppose  $K$  is a known finite simple group with  $e(K) \leq 3$ , and  $R \in \text{Syl}_p(K)$  is abelian of rank 2 for some prime  $p > 3$ . Suppose also that  $L_{p'}(C_K(x)) \neq 1$  for some  $x \in P^\#$ . Let  $g \in N_{\text{Aut}(K)}(R)$  and suppose that for some  $X \in \mathcal{E}_1(R)$ ,  $X^g \notin X^{N_K(R)}$ . Then for some  $q = 2^n > 2$ ,  $K \cong \text{Sp}_4(q)$ ,  $p$  divides  $q^2 - 1$ , and the image of  $g$  in  $\text{Out}(K)$  is not the image of a field automorphism.

Let  $X_0 = \text{Aut}_{N_0}(\bar{S}_1)$  and  $X_a = \text{Aut}_{N_a}(\bar{S}_1)$ , and  $Y_0 = \text{Aut}_{N_0}(\tilde{S}_1)$  and  $Y_a = \text{Aut}_{N_a}(\tilde{S}_1)$ . Thus  $|X_0 : X_a|$  divides  $p$ , as does  $|Y_0 : Y_a|$ . From [Gorenstein et al. 1998, 5.3],  $|Y_a| = 16m, 18m$ , or  $32$  according as  $K_a \cong \text{HS}, \text{He}$ , or  $\text{Ru}$ . Here  $m = 1$  or  $2$ . In any case,  $|\text{SL}_2(p)|$  does not divide  $p|Y_a|$ , so  $Y_0$  does not contain  $\text{SL}_2(p)$ . But  $Y_a$  is irreducible on  $\tilde{S}_1$ , so  $p$  does not divide  $|Y_0|$ . Therefore  $Y_0 = Y_a$ .

The image of  $R_0$  in  $X_0$  therefore stabilizes the chain

$$\bar{S}_1 > \langle \bar{a} \rangle > 1.$$

But the stabilizer of this chain in  $\text{Aut}(\bar{S}_1) \cong \text{GL}_3(p)$  is of order  $p^2$  and isomorphic to  $\tilde{S}_1$  as  $\text{GL}(\bar{S}_1) \times \text{GL}(\langle \bar{a} \rangle)$ -module. In particular,  $X_a$  is irreducible on  $O_p(X_0)$ . As  $|O_p(X_0)| \leq p$ ,  $O_p(X_0) = 1$ . Hence  $X_0 = X_a$  stabilizes  $S_0/\langle b \rangle$  and  $S_0$ , and it follows that  $S_1R_0$  is extraspecial of order  $p^{1+4}$  and exponent  $p^2$ , with  $S_1 = \Omega_1(S_1R_0)$ . Thus,  $N_S(S_1R_0) \leq N_S(S_1)$ , forcing  $S = S_1R_0$ . As  $R_0 \cong Z_{p^2}$ ,  $N_G(S)$  has equivalent representations on  $S/S_1$  and  $Z(S)$ , so  $[S/C_S(R_0), N_G(S)] = 1$ . By theorems of Yoshida or Wielandt [Gorenstein et al. 1996, 15.19, 15.20],  $G$  is not simple, a final contradiction.  $\square$

For the rest of this section, we assume for a contradiction that

(3C1)  $\mathfrak{A} \neq \emptyset$ ;

(3C2) for all  $a \in \mathfrak{A}$ ,  $m_p(K_a) = 1$ ; and

(3C3)  $x$  induces a nontrivial field automorphism on  $K_a^\dagger$ .

We first prove:

**Lemma 3.10.** *Assume (3C1)–(3C3). Then:*

(a)  $\langle x \rangle^{N_G(T)} = \mathcal{E}_1(E) - \langle b \rangle$ .

(b)  $T < P$  and  $\Omega_1(Z(P)) = \langle b \rangle$ .

*Proof.* As  $b \in L_a \leq K_a$ , and  $m_p(K_a) = 1$  with  $x$  inducing a nontrivial field automorphism on  $K_a^\dagger$ , we have  $\mathcal{E}_1(E) - \langle b \rangle = \langle x \rangle^S$  for some  $S \in \text{Syl}_p(C_{K_a}(b))$ . In particular,  $p$  divides  $|\text{Aut}_G(E)|$ . As  $E = \Omega_1(Z(T))$ , we have  $T < P$  and  $\Omega_1(Z(P)) \leq C_{\Omega_1(Z(T))}(S) = \langle b \rangle R$ , so (b) holds. But then  $x$  is not  $p$ -central in  $G$  so (a) follows as well.  $\square$

**Lemma 3.11.** *Assume (3C1)–(3C3). Then  $\mathfrak{A} = A - E$ , and (2B1)–(2B7) are satisfied with any element of  $A - \langle b \rangle$  in place of  $a$ .*

*Let  $a_1 \in \mathcal{I}_p(T - \langle b \rangle)$  and set  $K_1 = L_{p'}(C_G(a_1))$ . Then  $K_1^\dagger \cong L^\dagger$ . Let  $T_1 \in \text{Syl}_p(C_G(a_1))$  and  $Q_1 = C_{T_1}(L_1^\dagger)$ . Then  $|Q_1| \leq p^2$ . Finally, if  $a_1 \notin E$ , then  $C_{Q_1}(x) = \langle a_1 \rangle$  and  $x$  acts as a nontrivial field automorphism on  $K_1^\dagger$ .*

*Proof.* If  $a_1 \in E - \langle b \rangle$ , then  $\langle a_1 \rangle \in \langle x \rangle^G$  by Lemma 3.10 and the lemma holds by Lemma 3.4(a). Suppose then that the lemma fails for some  $a_1 \in \langle a, x \rangle - \langle x \rangle$  with  $L_{a_1} := L_{p'}(C_L(a_1))$  and with  $K_{a_1}$  the trivial pumpup of  $L_{a_1}$  in  $C_G(a_1)$ .

Let  $x_1 \in x\langle b \rangle - \{x\}$ . By [Lemma 3.10](#),  $x_1 \in x^{C_L(b)}$ , and we take  $g \in C_L(b)$  with  $x_1 = x^g$ . Let  $L_2 := L_{p'}(C_{L^g}(a_1))$  and let  $K_2$  be the pumpup of  $L_2$  in  $C_G(a_1)$ . Then  $L_2 \leq L_{p'}(C_G(a_1))$  with  $b \in K_{a_1} \cap K_2$ , so  $K_2 = [\langle b \rangle, K_{a_1}] \leq K_{a_1}$ . Thus  $K_2$  is a trivial pumpup of  $L_2$ , so  $K_2^\dagger$  is centralized by both  $x_1$  and (like  $K_{a_1}^\dagger$ )  $x$ . Hence,  $K_2^\dagger$  is centralized by  $b$ , a contradiction.

Therefore,  $K_{a_1}$  is a vertical pumpup of  $L_{a_1}$ , and  $x$  acts as a nontrivial field automorphism on  $L_{a_1}^\dagger$  by [\(3C1\)–\(3C3\)](#). We then apply [Lemma 3.4](#) to  $a_1, L_{a_1}, x, T_1$ , and  $Q_1$  in place of  $x, L, a, T$ , and  $Q$  to obtain the conclusions of the lemma.  $\square$

We now fix  $U \triangleleft P$  with  $U \cong E_{p^2}$ , and set  $P_0 = C_P(U)$ .

**Lemma 3.12.** *Assume [\(3C1\)–\(3C3\)](#). Then the following conditions hold for some  $x_0 \in A - \langle b \rangle$  satisfying [\(2B1\)–\(2B7\)](#) (in the role of  $x$ ).*

- (a) *One of the following holds:*
  - (1)  $\langle a \rangle \in \text{Syl}_p(C(a, K_a))$  for all  $a \in A - E$ ; or
  - (2)  $\mathcal{E}_1(A) - \{\langle b \rangle\}$  is completely fused in  $G$ .
- (b)  $x_0 \in U \leq A$ .
- (c)  $|P : T_{x_0}| = p$  for some  $T_{x_0} \in \text{Syl}_p(C_G(x_0))$ .

*Proof.* Suppose that (a1) fails, so that for every  $x_0 \in A - \langle b \rangle$ , there is  $y \in A - \langle x_0, b \rangle$  such that  $|C(y, K_y)|_p = p^2$ . Then we may assume we started with  $x_0 \in A - \langle b \rangle$  such that  $|C(x_0, K_{x_0})| = p^2$ . By [Lemma 3.4](#),  $y$  is sheared to  $\langle x_0 \rangle$ . Applying [Lemma 3.4](#) again in  $C_G(y)$ , we see that  $x_0$  is sheared to  $\langle y \rangle$ . Hence  $\mathcal{E}_1(\langle x_0, y \rangle)$  is completely fused in  $G$ . As  $x_0$  and  $y$  are each fused to  $\langle x_0 y \rangle$  in the centralizer of the other, (a2) holds, and (a) follows.

Next, assume that  $x_0$  has been chosen so that (a) holds. Let  $a_0 \in A - \langle x_0, b \rangle$ . Since  $E_{p^2} \cong U \triangleleft P$ , there exists  $1 \neq y \in C_{\langle x_0, a_0 \rangle}(U)$ . Then  $U \leq C_G(y)$ . If (a2) holds, then we may vary  $x_0$  to satisfy (b). We have  $y \in U$ , and taking a Sylow  $p$ -subgroup  $T_y$  of  $C_G(y)$  containing  $C_P(y) \geq \langle U, A \rangle$ , we have  $U \leq \Omega_1(T_y) = A$ , so (b) holds for  $y$ . So assume that (a1) holds (for  $x_0$ ). Let  $Q_{x_0} \in \text{Syl}_p(C(x_0, K_{x_0}))$ . If  $|Q_{x_0}| = p$ , then  $\langle a \rangle \in \text{Syl}_p(C(a, K_a))$  for all  $a \in A - \langle b \rangle$ . (We set  $K_x = L$  and  $K_{x'} = L^g$  for any  $x' \in \langle x, b \rangle - \langle x \rangle - \langle b \rangle$ , where  $g \in N_P(\langle x, b \rangle)$  is such that  $x^g = x'$ .) Hence we can again vary  $x_0$  to satisfy (b). We again use  $y \in C_{\langle x_0, a_0 \rangle}(U)$  in place of  $x_0$  to get (b). If, on the other hand,  $|Q_{x_0}|_p = p^2$ , then  $\langle x_0 \rangle = \Phi(Q_{x_0}) \leq C_P(U)$  so  $U \leq C_P(x_0) =: T_{x_0}$ . If  $U \neq \langle x_0, b \rangle$  then by [Lemma 3.4](#) again,  $\langle x_0 \rangle = [Q_{x_0}, U] \leq U$  so  $U = \langle x_0, b \rangle$ , contradiction. Thus (b) holds in all cases. Finally  $\langle b \rangle$  is 3-central and weakly closed in  $A$ , so  $x_0$  must be half  $p$ -central, proving (c) and the lemma.  $\square$

We replace our original  $x$  by  $x_0$  as in [Lemma 3.12](#).

**Lemma 3.13.** *Let  $a \in \mathfrak{A}$ . Then  $Q = \langle x \rangle$  and  $T = \langle x \rangle \times R\langle a \rangle$ .*

*Proof.* Suppose first that  $|Q| = p^2$ , and let  $a \in \mathfrak{A}$ . Note that if  $y \in P - T$ , then  $C_Q(y) = 1$ . Also  $C_{Q \times R}(a) = \langle x \rangle \times \Phi(R)$ . So  $Q \times R$  is the unique largest abelian subgroup of  $P$ . By Lemma 3.4(b),  $T = QR\langle a \rangle$ . Consider  $N := N_G(QR)$ ,  $C = C_G(QR)$ , and  $\bar{N} = N/C$ . Then  $C_{\bar{N}}(E) \leq O_p(\bar{N})$  and so  $C_{\bar{N}}(E) = \langle \bar{a} \rangle$ . Let  $\tilde{N} = N/C_N(E)$ . Then  $\tilde{N}$  embeds in  $\text{GL}(E)$  and  $|\tilde{N} : N_{\tilde{N}}(\langle x \rangle)| = p$ . It follows that  $\tilde{N} = \tilde{P}N_{\tilde{N}}(\langle x \rangle)$ , and so  $\bar{N} = \bar{P}N_{\bar{N}}(\langle x \rangle)$ . As  $|\bar{P}| = p^2$  and  $[\bar{a}, N_{\bar{N}}(\langle x \rangle)] = 1$ , we have  $\bar{a} \notin [\bar{N}, \bar{N}]$ . By the generalized Hall–Wielandt theorem of Yoshida [Gorenstein et al. 1996, 15.27] applied to the weakly closed subgroup  $QR$  of  $P$ ,  $a \notin [G, G]$ , contrary to the simplicity of  $G$ . Therefore,  $Q = \langle x \rangle$ .

Now, there is a complement  $F$  to  $R$  in  $T$  containing  $\langle x \rangle$ . Then  $F/\langle x \rangle$  is cyclic, so  $F = \langle x \rangle \times F_1$  for some  $F_1$  as  $m_p(C_x) = 3$ . Write  $\Omega_1(F_1) = \langle a \rangle$ , so that  $a \in \mathfrak{A}$ . Then  $F_1/\langle a \rangle$  acts on  $K_a$  and faithfully induces field automorphisms on  $L_a^\dagger \cong L_{p'}(C_{K_a^\dagger}(x))$ . As  $x$  induces a field automorphism of order  $p$  on  $K_a^\dagger$ ,  $F_1/\langle a \rangle = 1$ . Hence  $T = \langle x \rangle \times R\langle a \rangle$ , as claimed.  $\square$

**Lemma 3.14.**  $\mathfrak{A} = \emptyset$ .

*Proof.* Suppose this is false and continue the above argument. Again let  $a \in \mathfrak{A}$  and set  $N = N_G(A)$ ,  $C = O_{p'}(C_G(A))$ , and  $\bar{N} = N/C$ . Let  $Z = C_R(a) = \Phi(R)$ . Thus,  $C_G(A) = \langle \langle a, x \rangle \times Z \rangle C$  and  $|\text{Aut}_G(A)|_p = p^2$ , by Lemma 3.13. Moreover a generator  $r$  of  $R$  acts as a transvection on  $A$ .

Now,  $\text{Aut}_G(A)$  is  $p$ -closed.<sup>2</sup> As  $C_G(A)$  is  $p$ -nilpotent,  $\bar{P} \triangleleft \bar{N} = \bar{N}_0$  where  $N_0 = N_{N_G(A)}(P)$ . As  $A \triangleleft T_1$  for some  $T_1 \in \text{Syl}_p(C_a)$ ,  $C_P(a)$  contains some  $r_1$  centralizing  $\langle a, b \rangle$  and shearing  $x$  to  $b$ . Modifying  $r_1$  by an element of  $\langle a, x \rangle$ , we may choose  $r_1 \in K_a$ . Then  $P \cap K_a \geq \langle r_1, Z \rangle =: R_1$ , so  $R_1 = P \cap K_a = \langle r_1 \rangle$  and  $P = \langle Z, a, x, r, r_1 \rangle$ . Hence,  $Z \leq Z(P)$ .

We consider the structure of

$$P/Z = \langle aZ, xZ, rZ, r_1Z \rangle = \Omega_1(P/Z).$$

Now  $|P : C_P(a)| = |P : C_P(x)| = p$ , so  $[r, r_1] \in C_P(A) = ZA$  and  $[P/Z, P/Z] = \langle [r, r_1]Z \rangle \leq Z(P/Z)$ . Thus, either  $[P, P] = \langle b \rangle$  and  $P/[P, P] \cong E_{p^4}$ , or, by Lemma 3.12(a), we may choose notation so that  $[r, r_1] = x$  and  $[P, P] = E$ .

Suppose first that  $[P, P] = E$ . Then  $E \text{ char } P$  and so  $\bar{E} \triangleleft \bar{N}_0$ . Hence  $\langle x \rangle^{N_0} = \langle x \rangle^P$  and so  $N_0 = PN_{N_0}(\langle x \rangle)$ . Also  $P/Z \cong Z_p \times p^{1+2}$  with  $[P/Z, P/Z] = \langle xZ \rangle$ . Now,  $\langle b \rangle \triangleleft N_0$  and so  $\tilde{N}_0 := N_0/C_{N_0}(E)$  embeds in a Borel subgroup of  $\text{GL}(E)$ . Also, there is a  $p'$ -element  $t \in N_{L_a}(\langle b \rangle)$  such that for some integer  $\lambda \not\equiv 1 \pmod{p}$ ,  $b^t = b^\lambda$ . Then if  $\tilde{H}$  is a complement to  $\tilde{R}_1$  in  $\tilde{N}_0$  containing  $\tilde{t}$ , then  $\tilde{H}$  normalizes  $C_E(t) = \langle x \rangle$ . Let  $H$  be a Hall  $p'$ -subgroup of  $N_0$  mapping onto  $\tilde{H}$ . Then  $H$  normalizes  $T = C_P(E)$  and as  $TH \leq N_G(\langle x \rangle)$ , we have  $[T, H] \leq T \cap [N_G(\langle x \rangle), N_G(\langle x \rangle)] \leq R\langle x \rangle$ . Then  $RE \triangleleft N_0$  and  $[H, a] \leq RE$ . Set  $\hat{N}_0 = N_0/RE$ . Then  $\hat{P} = \langle \hat{a}, \hat{r}_1 \rangle \triangleleft \hat{N}_0$

<sup>2</sup>As is any  $H \leq \text{GL}_3(p)$  with  $|H|_p = p^2$  [Gorenstein et al. 1998, 6.5.3]

with  $\widehat{P} \cong E_{p^2}$  and  $\widehat{a} \notin [\widehat{P}, \widehat{H}]$ . Hence  $O^p(N_0) \cap P < P$ . Now,  $Z = Z(P)$  and  $Z(P/Z) = \langle aZ, xZ \rangle$ . Thus  $Z_2(P) = \langle a, x, Z \rangle$  and  $A = \Omega_1(Z_2(P))$  char  $P$ . So  $N_0 = N_G(P)$ . By Yoshida's transfer theorem [Gorenstein et al. 1996, 15.19],  $P$  has a quotient  $P/Y \cong Z_p \wr Z_p$ . As  $[[P, P]] = p^2$  and  $p > 3$ , this is impossible. This proves that  $[P, P] = \langle b \rangle$ .

It follows that  $RR_1 \leq P$  with  $RR_1$  having distinct cyclic maximal subgroups  $R$  and  $R_1$ . Thus  $RR_1 = R\Omega_1(RR_1) = R\langle e \rangle$  where  $e$  has order  $p$ . Now the eigenvalues of  $t$  on  $R/\Phi(R)$ ,  $\langle b \rangle = \Omega_1(R) = \Omega_1(R_1)$ , and  $R_1/\Phi(R_1)$  are all equal, so  $[RR_1, t] = RR_1$ . But if  $RR_1$  is not abelian, then  $[R, e] = \Omega_1(R)$ , and conjugating by  $t$  we see that  $[e, t] = 1$ , whence  $[RR_1, t] = R$ , a contradiction.

It follows that  $RR_1 = R \times \langle e \rangle$  for some  $e \in \mathcal{I}_p(P)$ . Then  $(\langle x \rangle \times R)\langle e \rangle = C_P(R) \in \text{Syl}_p(C_G(R))$ . So,  $C_P(R) = \langle x, e \rangle * R$  with  $\langle x, e \rangle \cong p^{1+2}$ . Similarly  $C_P(R_1) = \langle a, e \rangle * R_1$  for some  $a \in \mathfrak{A}$ . Hence  $e$  normalizes  $A$  and  $S := A\langle e \rangle \cong Z_p \times p^{1+2}$ . Let  $e_1 \in Z(S) - \langle b \rangle$ . As  $e_1 \in C_P(A)$ ,  $e_1 \in A$  and  $[e_1, e] = 1$ . Let  $S \leq P_1 \in \text{Syl}_p(C_G(e_1))$ . As  $e_1 \in A - \langle b \rangle$ ,  $A = \Omega_1(P_1)$ , so  $\Omega_1(S) \leq A$ , contrary to  $S = \Omega_1(S)$ , a final contradiction.  $\square$

Now Lemmas 3.5 and 3.14 prove Theorem 2.2.

#### 4. Remarks on Theorem 2.3

As the hypotheses of Theorem 2.3 yield that  $\Omega_1(P) = A \cong E_{p^3}$ , the theorem will be proved once we prove that  $\Gamma_{A,1}(G) \leq M$  for some  $M \leq G$  such that  $O_{p'}(M)$  has even order. Using Theorem 2.2 and the hypothesis that  $G$  has even type, we prove that  $L^\dagger \in \text{Chev}(2)$ . (Recall that  $L := L_{p'}(C_G(x))$ .) We are then able to prove that for all  $e \in E^\#$ ,  $C_G(e)$  is  $p$ -solvable unless  $e \in \langle x \rangle \cup \langle b \rangle$ , in which case  $L_{p'}(C_G(e)) \cong L$ . Then, as  $e(G) = 3$ , we can show that  $L/O_2(L)$  is quasisimple, and for every  $a \in A - E$ , each 2-component of  $L_{p'}(C_G(a))/O_2(L_{p'}(C_G(a)))$  is quasisimple as well. Next we follow Aschbacher [1981] and use a functor  $\Theta_{3/2}^{(2)}$  which is an analogue of the standard  $\frac{3}{2}$ -balanced functor, but with  $O_2$  replacing  $O_{p'}$ . The standard  $\frac{3}{2}$ -balanced functor does not exist in this case because  $L$  is not weakly locally balanced with respect to  $A$ ; but the saving grace is that the obstructing cores are of odd order. We then set  $\Sigma = \Theta_{3/2}^{(2)}(G; A)$ . If  $\Sigma \neq 1$ , it is immediate that  $\Gamma_{A,2}(G) \leq N_G(\Sigma) =: M < G$ . On the other hand, if  $\Sigma = 1$ , then  $L_{p'}(C_G(a))$  is semisimple for all  $a \in A^\#$ , and setting  $\Gamma(B) = \langle L_{p'}(C_G(a)) \mid a \in B^\# \rangle$  for any hyperplane  $B$  of  $A$ , we deduce that  $\Gamma(B) = LL^t$  (for  $t$  as in Theorem 2.2(f)) and  $\Gamma_{A,2}(G) \leq N_G(LL^t) =: M < G$ . This step uses Gary Seitz's fundamental generation theorem for finite groups of Lie type [Seitz 1982]. Further applications of that result yield in both cases that  $\Gamma_{A,1}(G) \leq M$ . Finally, since  $e(G) = 3$ ,  $A$  normalizes a nontrivial 2-subgroup of  $G$ , which fairly quickly leads to a contradiction if  $O_{p'}(M)$  has odd order, completing the proof of Theorem 2.3.

## 5. Concluding remarks

We take this opportunity to recognize Gary Seitz's fundamental contributions to the classification of finite simple groups in the 1970s and early 1980s, when he was also a player in the concurrent effort to classify the finite doubly transitive groups. This of course represents only a small fraction of his complete mathematical work. Alone or with collaborators, he proved many landmark results that each served to propel these classification efforts. We give several examples.

On doubly transitive groups, he worked with Christoph Hering, Bill Kantor, and Mike O'Nan [Kantor and Seitz 1971; 1972; Hering et al. 1972; Kantor et al. 1972], in particular completing the classification of finite split  $BN$ -pairs of rank 1. Seitz and Paul Fong then classified finite split  $BN$ -pairs of rank 2 [1973; 1974].

He made major contributions to the classification of finite simple groups of component type. With Michael Aschbacher he classified simple groups with a known quasisimple standard subgroup centralized by a four-group [1976b; 1981]. Partly with Bob Griess and David Mason he classified most simple groups with a standard subgroup in  $\text{Chev}(2)$  whose centralizer has a cyclic Sylow 2-subgroup [Seitz 1979a; 1979b; 1979c; Griess et al. 1978].

On top of all these, Gary proved many useful results illuminating the structure of finite groups of Lie type. Outstanding examples are the classification of involutions in groups in  $\text{Chev}(2)$  [Aschbacher and Seitz 1976a], and the generation properties of  $r'$  elementary abelian subgroups of odd order acting on groups in  $\text{Chev}(r)$  [Seitz 1982]. The latter has been mentioned in the above discussion of [Theorem 2.3](#), and in general is vital for the ultimate success of the signalizer functor method.

## References

- [Aschbacher 1981] M. Aschbacher, “Finite groups of rank 3, I”, *Invent. Math.* **63**:3 (1981), 357–402. [MR](#) [Zbl](#)
- [Aschbacher 1983] M. Aschbacher, “Finite groups of rank 3, II”, *Invent. Math.* **71**:1 (1983), 51–163. [MR](#)
- [Aschbacher and Seitz 1976a] M. Aschbacher and G. M. Seitz, “Involutions in Chevalley groups over fields of even order”, *Nagoya Math. J.* **63** (1976), 1–91. Correction in **72** (1978), 135–136. [MR](#) [Zbl](#)
- [Aschbacher and Seitz 1976b] M. Aschbacher and G. M. Seitz, “On groups with a standard component of known type”, *Osaka Math. J.* **13**:3 (1976), 439–482. [MR](#) [Zbl](#)
- [Aschbacher and Seitz 1981] M. Aschbacher and G. M. Seitz, “On groups with a standard component of known type, II”, *Osaka Math. J.* **18**:3 (1981), 703–723. [MR](#) [Zbl](#)
- [Capdeboscq et al. 2023] I. Capdeboscq, D. Gorenstein, R. Lyons, and R. Solomon, *The classification of the finite simple groups, X*, Mathematical Surveys and Monographs **40**, Amer. Math. Soc., Providence, RI, 2023. [MR](#) [Zbl](#)
- [Fong and Seitz 1973] P. Fong and G. M. Seitz, “Groups with a  $(B, N)$ -pair of rank 2, I”, *Invent. Math.* **21** (1973), 1–57. [MR](#) [Zbl](#)

- [Fong and Seitz 1974] P. Fong and G. M. Seitz, “Groups with a  $(B, N)$ -pair of rank 2, II”, *Invent. Math.* **24** (1974), 191–239. [MR](#) [Zbl](#)
- [Gorenstein and Lyons 1983] D. Gorenstein and R. Lyons, *The local structure of finite groups of characteristic 2 type*, Mem. Amer. Math. Soc. **276**, Amer. Math. Soc., Providence, RI, 1983. [MR](#) [Zbl](#)
- [Gorenstein et al. 1994] D. Gorenstein, R. Lyons, and R. Solomon, *The classification of the finite simple groups*, Mathematical Surveys and Monographs **40.1**, Amer. Math. Soc., Providence, RI, 1994. [MR](#) [Zbl](#)
- [Gorenstein et al. 1996] D. Gorenstein, R. Lyons, and R. Solomon, *The classification of the finite simple groups, II*, Mathematical Surveys and Monographs **40.2**, Amer. Math. Soc., Providence, RI, 1996. [MR](#) [Zbl](#)
- [Gorenstein et al. 1998] D. Gorenstein, R. Lyons, and R. Solomon, *The classification of the finite simple groups, III*, Mathematical Surveys and Monographs **40.3**, Amer. Math. Soc., Providence, RI, 1998. [MR](#) [Zbl](#)
- [Gorenstein et al. 2002] D. Gorenstein, R. Lyons, and R. Solomon, *The classification of the finite simple groups, V*, Mathematical Surveys and Monographs **40.5**, Amer. Math. Soc., Providence, RI, 2002. [MR](#) [Zbl](#)
- [Gorenstein et al. 2018a] D. Gorenstein, R. Lyons, and R. Solomon, *The classification of the finite simple groups, VII*, Mathematical Surveys and Monographs **40.7**, Amer. Math. Soc., Providence, RI, 2018. [MR](#) [Zbl](#)
- [Gorenstein et al. 2018b] D. Gorenstein, R. Lyons, and R. Solomon, *The classification of the finite simple groups, VIII*, Mathematical Surveys and Monographs **40.8**, Amer. Math. Soc., Providence, RI, 2018. [MR](#) [Zbl](#)
- [Griess et al. 1978] R. L. Griess, Jr., D. R. Mason, and G. M. Seitz, “Bender groups as standard subgroups”, *Trans. Amer. Math. Soc.* **238** (1978), 179–211. [MR](#) [Zbl](#)
- [Hering et al. 1972] C. Hering, W. M. Kantor, and G. M. Seitz, “Finite groups with a split  $BN$ -pair of rank 1, I”, *J. Algebra* **20** (1972), 435–475. [MR](#)
- [Kantor and Seitz 1971] W. M. Kantor and G. M. Seitz, “Some results on 2-transitive groups”, *Invent. Math.* **13** (1971), 125–142. [MR](#) [Zbl](#)
- [Kantor and Seitz 1972] W. M. Kantor and G. M. Seitz, “Finite groups with a split  $BN$ -pair of rank 1, II”, *J. Algebra* **20** (1972), 476–494. [MR](#) [Zbl](#)
- [Kantor et al. 1972] W. M. Kantor, M. E. O’Nan, and G. M. Seitz, “2-transitive groups in which the stabilizer of two points is cyclic”, *J. Algebra* **21** (1972), 17–50. [MR](#) [Zbl](#)
- [McLaughlin 1967] J. McLaughlin, “Some groups generated by transvections”, *Arch. Math. (Basel)* **18** (1967), 364–368. [MR](#) [Zbl](#)
- [Seitz 1979a] G. M. Seitz, “Chevalley groups as standard subgroups, I”, *Illinois J. Math.* **23**:1 (1979), 36–57. [MR](#) [Zbl](#)
- [Seitz 1979b] G. M. Seitz, “Chevalley groups as standard subgroups, II”, *Illinois J. Math.* **23**:4 (1979), 516–553. [MR](#) [Zbl](#)
- [Seitz 1979c] G. M. Seitz, “Chevalley groups as standard subgroups, III”, *Illinois J. Math.* **23**:4 (1979), 554–578. [MR](#) [Zbl](#)
- [Seitz 1982] G. M. Seitz, “Generation of finite groups of Lie type”, *Trans. Amer. Math. Soc.* **271**:2 (1982), 351–407. [MR](#) [Zbl](#)

RICHARD LYONS  
DEPARTMENT OF MATHEMATICS  
RUTGERS UNIVERSITY  
PISCATAWAY, NJ  
UNITED STATES

[lyons@math.rutgers.edu](mailto:lyons@math.rutgers.edu)

RONALD SOLOMON  
DEPARTMENT OF MATHEMATICS  
THE OHIO STATE UNIVERSITY  
COLUMBUS, OH  
UNITED STATES

[solomon@math.ohio-state.edu](mailto:solomon@math.ohio-state.edu)

# PACIFIC JOURNAL OF MATHEMATICS

Founded in 1951 by E. F. Beckenbach (1906–1982) and F. Wolf (1904–1989)

[msp.org/pjm](http://msp.org/pjm)

## EDITORS

Don Blasius (Managing Editor)  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[blasius@math.ucla.edu](mailto:blasius@math.ucla.edu)

Matthias Aschenbrenner  
Fakultät für Mathematik  
Universität Wien  
Vienna, Austria  
[matthias.aschenbrenner@univie.ac.at](mailto:matthias.aschenbrenner@univie.ac.at)

Vyjayanthi Chari  
Department of Mathematics  
University of California  
Riverside, CA 92521-0135  
[chari@math.ucr.edu](mailto:chari@math.ucr.edu)

Atsushi Ichino  
Department of Mathematics  
Kyoto University  
Riverside, CA 92521-0135  
[atsushi.ichino@gmail.com](mailto:atsushi.ichino@gmail.com)

Robert Lipshitz  
Department of Mathematics  
University of Oregon  
Eugene, OR 97403  
[lipshitz@uoregon.edu](mailto:lipshitz@uoregon.edu)

Kefeng Liu  
School of Sciences  
Chongqing University of Technology  
Chongqing 400054, China  
[liu@math.ucla.edu](mailto:liu@math.ucla.edu)

Sucharit Sarkar  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[sucharit@math.ucla.edu](mailto:sucharit@math.ucla.edu)

Dimitri Shlyakhtenko  
Department of Mathematics  
University of California  
Los Angeles, CA 90095-1555  
[shlyakht@ipam.ucla.edu](mailto:shlyakht@ipam.ucla.edu)

Ruixiang Zhang  
Department of Mathematics  
University of California  
Berkeley, CA 94720-3840  
[ruixiang@berkeley.edu](mailto:ruixiang@berkeley.edu)

## PRODUCTION

Silvio Levy, Scientific Editor, [production@msp.org](mailto:production@msp.org)

---

See inside back cover or [msp.org/pjm](http://msp.org/pjm) for submission instructions.

---

The subscription price for 2025 is US \$677/year for the electronic version, and \$917/year for print and electronic. Subscriptions, requests for back issues and changes of subscriber address should be sent to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163, U.S.A. The Pacific Journal of Mathematics is indexed by [Mathematical Reviews](#), [Zentralblatt MATH](#), [PASCAL CNRS Index](#), [Referativnyi Zhurnal](#), [Current Mathematical Publications](#) and [Web of Knowledge \(Science Citation Index\)](#).


---

The Pacific Journal of Mathematics (ISSN 1945-5844 electronic, 0030-8730 printed) at the University of California, c/o Department of Mathematics, 798 Evans Hall #3840, Berkeley, CA 94720-3840, is published twelve times a year. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices. POSTMASTER: send address changes to Pacific Journal of Mathematics, P.O. Box 4163, Berkeley, CA 94704-0163.

---

PJM peer review and production are managed by EditFLOW® from Mathematical Sciences Publishers.

PUBLISHED BY

 **mathematical sciences publishers**  
nonprofit scientific publishing

<http://msp.org/>

© 2025 Mathematical Sciences Publishers

# PACIFIC JOURNAL OF MATHEMATICS

Volume 336    No. 1-2    May 2025

---

## In memoriam Gary Seitz

Gary Seitz (1943–2023): In memoriam	1
MARTIN W. LIEBECK, GERHARD RÖHRLE and DONNA TESTERMAN	
Intrinsic components in involution centralizers of fusion systems	7
MICHAEL ASCHBACHER	
On good $A_1$ subgroups, Springer maps, and overgroups of distinguished unipotent elements in reductive groups	29
MICHAEL BATE, SÖREN BÖHM, BENJAMIN MARTIN and GERHARD RÖHRLE	
The $q$ -Schur category and polynomial tilting modules for quantum $GL_n$	63
JONATHAN BRUNDAN	
The binary actions of simple groups of Lie type of characteristic 2	113
NICK GILL, PIERRE GUILLOT and MARTIN W. LIEBECK	
Finite simple groups have many classes of $p$ -elements	137
MICHAEL GIUDICI, LUKE MORGAN and CHERYL E. PRAEGER	
Monogamous subvarieties of the nilpotent cone	161
SIMON M. GOODWIN, RACHEL PENGELLY, DAVID I. STEWART and ADAM R. THOMAS	
An extension of Gow's theorem	181
ROBERT M. GURALNICK and PHAM HUU TIEP	
On dimensions of RoCK blocks of cyclotomic quiver Hecke superalgebras	191
ALEXANDER KLESHCHEV	
Representation growth of Fuchsian groups and modular forms	217
MICHAEL J. LARSEN, JAY TAYLOR and PHAM HUU TIEP	
$D_4$ -type subgroups of $F_4(q)$	249
R. LAWThER	
Constructible representations and Catalan numbers	339
GEORGE LUSZTIG and ERIC SOMMERS	
A reduction theorem for simple groups with $e(G) = 3$	351
RICHARD LYONS and RONALD SOLOMON	
Decomposition numbers in the principal block and Sylow normalisers	367
GUNTER MALLE and NOELIA RIZO	
Levi decompositions of linear algebraic groups and nonabelian cohomology	379
GEORGE J. MCNINCH	
On the intersection of principal blocks	399
GABRIEL NAVARRO, A. A. SCHAEFFER FRY and PHAM HUU TIEP	
Hesselink strata in small characteristic and Lusztig–Xue pieces	415
ALEXANDER PREMÉT	
Multiplicity-free representations of the principal $A_1$ -subgroup in a simple algebraic group	433
ALUNA RIZZOLI and DONNA TESTERMAN	