

# *Algebra & Number Theory*

Volume 20  
2026  
No. 1

Syntomic complex and  $p$ -adic nearby cycles

Abhinandan



# Syntomic complex and $p$ -adic nearby cycles

Abhinandan

In local relative  $p$ -adic Hodge theory, we show that the Galois cohomology of a finite-height crystalline representation (up to a twist) is essentially computed via the (Fontaine–Messing) syntomic complex with coefficients in the associated  $F$ -isocrystal. In global applications, for smooth ( $p$ -adic formal) schemes, we establish a comparison between the syntomic complex with coefficients in a locally free Fontaine–Laffaille module and the  $p$ -adic nearby cycles of the associated étale local system on the (rigid) generic fibre.

1. Introduction	17
2. Relative $p$ -adic Hodge theory	25
3. Finite-height $p$ -adic representations	47
4. Galois cohomology complexes	61
5. Syntomic complexes and finite-height representations	65
6. Syntomic complexes and $(\varphi, \Gamma)$ -modules	78
7. Crystals and syntomic cohomology	99
8. $p$ -adic nearby cycles	101
Acknowledgements	106
References	106

## 1. Introduction

Let  $p$  denote a fixed prime and  $\kappa$  a perfect field of characteristic  $p$ . Let  $K$  be a mixed characteristic complete discrete valuation field with ring of integers  $O_K$  and residue field  $\kappa$ , and let  $F := W(\kappa)[1/p]$  be the fraction field of the ring of  $p$ -typical Witt vectors with coefficients in  $\kappa$ . Fontaine’s *crystalline conjecture* for a proper and smooth  $O_K$ -scheme relates the  $p$ -adic étale cohomology of its generic fibre to the crystalline cohomology of its special fibre. Fontaine and Messing [1987] initiated a program for proving the crystalline conjecture via *syntomic* methods. By subsequent works of Kato and Messing [1992] and Kato [1994] and with the remarkable work of Tsuji [1999], the crystalline conjecture was shown to be true. There have been several proofs and generalisations of the crystalline comparison theorem: [Andreatta and Iovita 2013; Beilinson 2012; Bhatt et al. 2018; Colmez and Nizioł 2017; Diao et al. 2023; Faltings 1989; 2002; Guo and Reinecke 2024; Nizioł 1998; Scholze 2013; Tsuji 1999; Yamashita and Yasuda 2014].

MSC2020: primary 11S25, 14F20, 14F30; secondary 14F40.

Keywords:  $p$ -adic Hodge theory, crystalline cohomology, syntomic complex,  $(\varphi, \Gamma)$ -modules.

**1.1.  $p$ -adic nearby cycles.** Let  $\mathfrak{X}$  be a smooth ( $p$ -adic formal)  $O_K$ -scheme with (rigid) generic fibre  $X$  and special fibre  $\mathfrak{X}_\kappa$ . Let  $j : X_{\acute{e}t} \rightarrow \mathfrak{X}_{\acute{e}t}$  and  $i : \mathfrak{X}_{\kappa, \acute{e}t} \rightarrow \mathfrak{X}_{\acute{e}t}$  denote natural morphisms of sites. For  $r \geq 0$ , let  $\mathcal{G}_n(r)_{\mathfrak{X}}$  denote the syntomic sheaf modulo  $p^n$  on  $\mathfrak{X}_{\kappa, \acute{e}t}$  (see Sections 7 and 8 for the definition of the syntomic complex). Fontaine and Messing [1987] constructed a period morphism from the syntomic complex to the complex of  $p$ -adic nearby cycles,

$$\alpha_{r,n}^{\text{FM}} : \mathcal{G}_n(r)_{\mathfrak{X}} \rightarrow i^* \mathbf{R}j_* \mathbb{Z}/p^n(r)'_X, \quad (1-1)$$

where

$$\mathbb{Z}_p(r)' := \frac{1}{a(r)! p^{a(r)}} \mathbb{Z}_p(r)$$

for  $r = (p-1)a(r) + b(r)$  with  $0 \leq b(r) < p-1$ . For  $\mathfrak{X}$  a smooth and proper  $O_K$ -scheme and  $0 \leq r \leq p-1$ , by truncating (1-1) in degree  $\leq r$ , the map  $\alpha_{r,n}^{\text{FM}}$  is known to be a quasi-isomorphism by [Kato 1987; 1994; Kurihara 1987; Tsuji 1999]. Tsuji [1996] generalised this result to proper and semistable schemes and nontrivial étale local systems arising from (the pullback of) Fontaine–Laffaille modules over  $O_F$ ; see [Fontaine and Laffaille 1982]. Moreover, Colmez and Nizioł [2017] proved a similar result for semistable ( $p$ -adic formal) schemes and constant coefficients, without any restrictions on  $r$ . In particular, for a smooth ( $p$ -adic formal) scheme, we have the following.

**Theorem 1.1** [Colmez and Nizioł 2017, Theorem 1.1]. *For  $0 \leq k \leq r$ , the natural map*

$$\alpha_{r,n}^{\text{FM}} : \mathcal{H}^k(\mathcal{G}_n(r)_{\mathfrak{X}}) \rightarrow i^* \mathbf{R}^k j_* \mathbb{Z}/p^n(r)'_X$$

*is a  $p^N$ -isomorphism; i.e., its kernel and cokernel are killed by  $p^N$ , where  $N = N(e, p, r) \in \mathbb{N}$  depends on the absolute ramification index  $e$  of  $K$ , prime  $p$  and twist  $r$ , but not on  $X$  or  $n$ .*

The proof of Theorem 1.1 in [Colmez and Nizioł 2017] works by reducing the problem to the local setting; i.e., one works over the  $p$ -adic completion of an étale algebra over  $O_K[X_1^{\pm 1}, \dots, X_d^{\pm 1}]$  for some indeterminates  $X_1, \dots, X_d$ . Locally, Colmez and Nizioł also show that it is enough to work with  $p$ -adic formal schemes and deduce the result for schemes by invoking Elkik’s approximation theorem and a form of rigid GAGA; see [Colmez and Nizioł 2017, §5.1].

For simplicity in the introduction, we will let  $R$  be the  $p$ -adic completion of  $O_F[X_1^{\pm 1}, \dots, X_d^{\pm 1}]$  and  $S := O_K \otimes_{O_F} R$ ; see Assumption 2.1 for a more general setup. Let  $G_S := \pi_1^{\acute{e}t}(S[1/p], \bar{\eta})$  for a fixed geometric generic point of  $\text{Sp}(S[1/p])$ . Denote by  $\text{Syn}(S, r)$  the  $r$ -th Tate twist of the (log-) syntomic complex; see [Colmez and Nizioł 2017, §3.3] for details.

**Theorem 1.2** [Colmez and Nizioł 2017, Theorem 1.6]. *If  $K$  contains enough roots of unity, then the maps*

$$\alpha_r^{\mathcal{L}\text{az}} : \tau_{\leq r} \text{Syn}(S, r) \rightarrow \tau_{\leq r} \mathbf{R}\Gamma_{\text{cont}}(G_S, \mathbb{Z}_p(r)),$$

$$\alpha_{r,n}^{\mathcal{L}\text{az}} : \tau_{\leq r} \text{Syn}(S, r)_n \rightarrow \tau_{\leq r} \mathbf{R}\Gamma_{\text{cont}}(G_S, \mathbb{Z}/p^n(r)) \rightarrow \tau_{\leq r} \mathbf{R}\Gamma((\text{Sp } S[1/p])_{\acute{e}t}, \mathbb{Z}/p^n(r))$$

*are  $p^{Nr}$ -quasi-isomorphisms for a universal constant  $N$ ; i.e.,  $N$  does not depend on  $p$ ,  $X$ ,  $K$ ,  $n$  or  $r$ .*

One of our main goals in this article is to generalise [Theorem 1.2](#) by studying syntomic complexes with coefficients. Subsequently, by “glueing” the local results for relative Fontaine–Laffaille modules, we will obtain a global generalisation of [Theorem 1.1](#). Note that, in the local setting, on the étale side, by using a  $K(\pi, 1)$ -lemma (see [[Scholze 2013](#), Theorem 4.9]), we can reduce to the setting of  $\mathbb{Z}_p$ -representations of  $G_R$ . Then, due to the “crystalline” nature of our goal, we will consider  $G_R$ -stable  $\mathbb{Z}_p$ -lattices inside “finite-height” crystalline representations of  $G_R$  and certain natural invariants attached to such representations as in [[Abhinandan 2025](#), §4].

**1.2. Finite-height representations.** Fix  $p \geq 3$ ,  $m \in \mathbb{N}_{\geq 2}$ ,  $K = F(\zeta_{p^m})$  and  $\varpi = \zeta_{p^m} - 1$  (see [Remark 1.8](#) on the rationale behind our assumptions). Fix an algebraically closed field  $\overline{F}$  containing  $\overline{F}$  an algebraic closure of  $F$ , and set  $F_\infty := F(\mu_{p^\infty}) \subset \overline{F}$ . Let  $\overline{R}$  denote the union of finite  $R$ -subalgebras  $R' \subset \overline{F}(\overline{R})$  such that  $R'[1/p]$  is étale over  $R[1/p]$ . Set

$$\begin{aligned} R_\infty &:= \bigcup_{n \in \mathbb{N}} R[\mu_{p^n}, X_1^{1/p^n}, \dots, X_d^{1/p^n}], \\ G_R &:= \text{Gal}(\overline{R}[1/p]/R[1/p]), \\ \Gamma_R &:= \text{Gal}(R_\infty[1/p]/R[1/p]), \\ H_R &:= \text{Ker}(G_R \rightarrow \Gamma_R), \end{aligned}$$

and note that  $\Gamma_R = \Gamma'_R \rtimes \Gamma_F$ , where we have the isomorphisms

$$\begin{aligned} \Gamma'_R &:= \text{Gal}(R_\infty[1/p]/F_\infty R[1/p]) \xrightarrow{\sim} \mathbb{Z}_p(1)^d, \\ \Gamma_F &:= \text{Gal}(F_\infty/F) \xrightarrow{\sim} \mathbb{Z}_p^\times. \end{aligned}$$

Recall that [[Fontaine 1990](#)] showed a categorical equivalence between  $\mathbb{Z}_p$ -representations of  $G_F$  and étale  $(\varphi, \Gamma_F)$ -modules over a certain period ring  $A_F$ . These results were generalised to the relative setting in [[Andreata 2006](#)] to establish a categorical equivalence between  $\mathbb{Z}_p$ -representations of  $G_R$  and étale  $(\varphi, \Gamma_R)$ -modules over a certain period ring  $A_R$ ; see [Section 2.4](#). Moreover, the work of Fontaine [[1982](#); [1994a](#); [1994b](#)] on crystalline representations of  $G_F$  was generalised to the relative case in [[Brinon 2008](#)] via the construction of a fully faithful functor  $\mathcal{O}D_{\text{cris}}$  from the category of crystalline representations of  $G_R$  to the category of filtered  $(\varphi, \partial)$ -modules over  $R[1/p]$ ; see [Section 2.3](#).

Let  $q = \varphi(\pi)/\pi$  belong to  $A_R$ , where  $\pi$  is the usual element of Fontaine; see [Section 2.2](#). In [[Abhinandan 2025](#)], we studied finite  $q$ -height representations of  $G_R$ , a notion parallel to the arithmetic case, i.e.,  $R = O_F$  in [[Berger 2004](#); [Colmez 1999](#); [Wach 1996](#); [1997](#)]; see [[Abhinandan 2025](#), Remark 1.4]. A representation  $T$  in  $\text{Rep}_{\mathbb{Z}_p, \text{free}}(G_R)$  is of finite  $q$ -height if it admits a unique  $(\varphi, \Gamma_R)$ -module over a certain subring  $A_R^+ \subset A_R$  satisfying certain conditions on the  $(\varphi, \Gamma_R)$ -action (see [Definition 3.1](#)); the aforementioned  $A_R^+$ -module is called the *Wach module* associated to  $T$  and denoted by  $N(T)$ . Moreover, we showed that finite  $q$ -height representations are closely related to crystalline representations via a certain period ring  $\mathcal{O}A_{R, \varpi}^{\text{PD}} \subset \mathcal{O}A_{\text{cris}}(\overline{R})$ , where the former is equipped with structures induced from the latter; see [[Abhinandan 2025](#), §4.3].

**Theorem 1.3** [Abhinandan 2025, Theorem 4.24 and Proposition 4.27]. *Let  $T$  be a  $\mathbb{Z}_p$ -representation of  $G_R$  and assume that  $T$  is of positive finite  $q$ -height. Then  $V := T[1/p]$  is a positive crystalline representation and we have an isomorphism of  $R[1/p]$ -modules*

$$\mathcal{O}\mathbf{D}_{\text{cris}}(V) \xleftarrow{\sim} (\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T))^{\Gamma_R}[1/p]$$

*compatible with the respective Frobenii, filtrations and connections.*

**1.3. Syntomic coefficients and  $(\varphi, \Gamma)$ -modules.** In this subsection, we will assume the following: Let  $T$  be a  $\mathbb{Z}_p$ -representation of  $G_R$  of positive finite  $q$ -height  $s \in \mathbb{N}$  and set  $V := T[1/p]$ ; see Definition 3.1. Assume that  $N(T)$  is free of rank  $\text{rk}_{\mathbb{Z}_p} T$  over  $A_R^+$  and  $M \subset \mathcal{O}\mathbf{D}_{\text{cris}}(V)$  is a finite free  $R$ -submodule of rank  $\text{rk}_{\mathbb{Z}_p} T$  such that  $M[1/p] \xrightarrow{\sim} \mathcal{O}\mathbf{D}_{\text{cris}}(V)$  is an isomorphism and satisfies Assumption 5.1; see Example 5.2 for obtaining  $M$  from  $N(T)$ .

Our objective is to compute the continuous  $G_R$ -cohomology of  $T(r)$  using the syntomic complex for  $R$  with coefficients in  $M \subset \mathcal{O}\mathbf{D}_{\text{cris}}(V)$ . Set  $S = R[\varpi]$  and note that we have a divided power thickening  $R_{\varpi}^{\text{PD}} \rightarrow S$  (using an ‘‘arithmetic’’ variable  $X_0$ , see Section 2.5), and the ring  $R_{\varpi}^{\text{PD}}$  is equipped with a Frobenius endomorphism  $\varphi$ ; let  $\Omega_{R_{\varpi}^{\text{PD}}}^1$  denote the  $p$ -adic completion of the module of differentials of  $R_{\varpi}^{\text{PD}}$  with respect to  $\mathbb{Z}$ . Set

$$M_{\varpi}^{\text{PD}} := R_{\varpi}^{\text{PD}} \otimes_R M$$

and equip it with the induced supplementary structures to obtain a filtered de Rham complex (see Section 5.1)

$$\text{Fil}^r \mathcal{D}_{S,M}^{\bullet} := \text{Fil}^r M_{\varpi}^{\text{PD}} \rightarrow \text{Fil}^{r-1} M_{\varpi}^{\text{PD}} \otimes_{R_{\varpi}^{\text{PD}}} \Omega_{R_{\varpi}^{\text{PD}}}^1 \rightarrow \text{Fil}^{r-2} M_{\varpi}^{\text{PD}} \otimes_{R_{\varpi}^{\text{PD}}} \Omega_{R_{\varpi}^{\text{PD}}}^2 \rightarrow \cdots .$$

**Definition 1.4.** Define the *syntomic complex* of  $S$  with coefficients in  $M$  and its modulo  $p^n$ -version as

$$\begin{aligned} \text{Syn}(S, M, r) &:= [\text{Fil}^r \mathcal{D}_{S,M}^{\bullet} \xrightarrow{p^r - p^{\bullet}\varphi} \mathcal{D}_{S,M}^{\bullet}], \\ \text{Syn}(S, M, r)_n &:= \text{Syn}(S, M, r) \otimes \mathbb{Z}/p^n \end{aligned}$$

for  $n \geq 1$ .

**Theorem 1.5** (Theorem 5.5). *Let  $T$  be a positive finite  $q$ -height  $\mathbb{Z}_p$ -representation of  $G_R$  of height  $s$  as above, and take  $r \in \mathbb{N}$  such that  $r \geq s + 1$ . Then, there exist  $p^N$ -quasi-isomorphisms*

$$\begin{aligned} \alpha_r^{\mathcal{L}\text{az}} : \tau_{\leq r-s-1} \text{Syn}(S, M, r) &\simeq \tau_{\leq r-s-1} \text{R}\Gamma_{\text{cont}}(G_S, T(r)), \\ \alpha_{r,n}^{\mathcal{L}\text{az}} : \tau_{\leq r-s-1} \text{Syn}(S, M, r)_n &\simeq \tau_{\leq r-s-1} \text{R}\Gamma_{\text{cont}}(G_S, T/p^n(r)), \end{aligned}$$

where  $N = N(T, e, r) \in \mathbb{N}$  depends on the representation  $T$ ,  $e = [K : F]$  and the twist  $r$ .

Similarly, we have a filtered de Rham complex with coefficients in  $M$ , and one can also define the *syntomic complex* of  $R$  with coefficients in  $M$ . Using Theorem 1.5 for  $\varpi = \zeta_{p^2} - 1$  and Galois descent (see Lemma 6.21), we obtain the following.

**Corollary 1.6** (Corollary 5.9). *Let  $T$  be a positive finite  $q$ -height  $\mathbb{Z}_p$ -representation of  $G_R$  of height  $s$  as above, and take  $r \in \mathbb{N}$  such that  $r \geq s + 1$ . Then, there exist  $p^N$ -quasi-isomorphisms*

$$\begin{aligned} \alpha_r^{\mathcal{L}az} &: \tau_{\leq r-s-1} \mathrm{Syn}(R, M, r) \simeq \tau_{\leq r-s-1} \mathrm{R}\Gamma_{\mathrm{cont}}(G_R, T(r)), \\ \alpha_{r,n}^{\mathcal{L}az} &: \tau_{\leq r-s-1} \mathrm{Syn}(R, M, r)_n \simeq \tau_{\leq r-s-1} \mathrm{R}\Gamma_{\mathrm{cont}}(G_R, T/p^n(r)), \end{aligned}$$

where  $N = N(p, r, s) \in \mathbb{N}$  depends on the prime  $p$ , twist  $r$  and height  $s$  of  $T$ .

The proof of Theorem 5.5 is broadly divided into two main steps. First, we modify the syntomic complex with coefficients in  $M$  and relate it to a “differential” Koszul complex with coefficients in  $N(T)$ ; see Proposition 5.28. Next, we modify the Koszul complex from the first step to obtain a Koszul complex computing the continuous  $G_S$ -cohomology of  $T(r)$ ; see Theorem 5.5 and Proposition 6.1. The key idea behind relating these two steps is the comparison isomorphism in [Abhinandan 2025, Theorem 4.24] and a Poincaré lemma; see Section 5.6. Our proof of Theorem 5.5 is inspired by [Colmez and Nizioł 2017], however our setting demands several nontrivial generalisations of the ideas in [loc. cit.].

**Remark 1.7.** Setting  $T = \mathbb{Z}_p$  in Theorem 1.5, we obtain a statement similar to Theorem 1.1 (note that we truncate in degree  $\leq r - 1$  as we are working with the syntomic complex instead of the log-syntomic complex as in [Colmez and Nizioł 2017]).

**Remark 1.8.** In Theorem 1.5 we restrict to a finite cyclotomic  $K/F$  because we are using the cyclotomic Frobenius ( $X_0 \mapsto (1 + X_0)^p - 1$ ) in Definition 1.4 instead of the Kummer Frobenius ( $X_0 \mapsto X_0^p$ ) as in [Colmez and Nizioł 2017]. For  $K/F$  finite, one should use Kummer Frobenius to define a log-syntomic complex (log-structure with respect to  $X_0$ ). Then it should be possible to obtain Theorem 1.5 for all finite extensions  $K/F$  (with truncation in degree  $\leq r - s$  as in [Colmez and Nizioł 2017]). Furthermore, to obtain the statement over  $\bar{F}$ , one could pass to the limit over all finite extensions  $K/F$ . Alternatively, one could directly work over  $\mathbb{C}_p = \hat{\bar{F}}$  as in [Gilles 2023] to avoid complications arising from Frobenius on  $X_0$ . In the latter case, our proofs can be adapted to obtain Theorem 1.5 for  $S = R \hat{\otimes}_{O_F} O_{\mathbb{C}_p}$  (with truncation in degrees  $\leq r - s - 1$ ).

**Remark 1.9.** The case  $p = 2$  is different from  $p \geq 3$  as, for  $p = 2$ , the constant  $N$  in Theorem 1.5 also depends on the relative dimension of  $R/O_F$ ; see [Colmez and Nizioł 2017, Lemma 3.11].

Next, using the fundamental exact sequence in  $p$ -adic Hodge theory (2-2), one can define a local Fontaine–Messing period map for  $T$  as in Theorem 1.5; see Section 6.7. Then, we show the following.

**Theorem 1.10** (Theorem 6.19). *The period map  $\tilde{\alpha}_{r,n,S}^{\mathrm{FM}}$  is  $p^{N(T,e,r)}$ -equal to  $\alpha_{r,n}^{\mathcal{L}az}$  from Theorem 1.5.*

**1.4. Fontaine–Laffaille modules and  $p$ -adic nearby cycles.** In this subsection, we will specialise Theorem 1.5 to the case of global relative Fontaine–Laffaille modules introduced by Faltings [1989, §II]. Let  $R$  denote the  $p$ -adic completion of an étale algebra over  $O_F[X_1^{\pm 1}, \dots, X_d^{\pm 1}]$  with nonempty geometrically integral special fibre; see Section 2.1 for details. Note that Theorem 1.5 and Corollary 1.6 are true in this setting as well. In [Abhinandan 2025, §5], we considered the category  $\mathrm{MF}_{[0,s],\mathrm{free}}(R, \Phi, \partial)$

of free relative Fontaine–Laffaille modules of level  $[0, s]$  (see [Remark 3.26 \(i\)](#)) as a full subcategory of  $\mathfrak{MF}_{[0,s]}^\nabla(R)$  in [\[Faltings 1989, §II\]](#). To any  $M$  in  $\mathrm{MF}_{[0,s],\mathrm{free}}(R, \Phi, \partial)$ , one can functorially attach a representation  $T_{\mathrm{cris}}(M)$  in  $\mathrm{Rep}_{\mathbb{Z}_p, \mathrm{free}}(G_R)$ , which admits a Wach module  $N(T)$  (see [\[Abhinandan 2025, Theorem 5.4\]](#)) and satisfies [Assumption 5.1](#) (see [Example 5.2 \(iii\)](#)). Next, let  $\mathfrak{X}$  be a smooth ( $p$ -adic formal) scheme defined over  $O_F$  and cover it by affine ( $p$ -adic formal) schemes  $\{\mathfrak{U}_i\}_{i \in I}$ , where, for all  $i \in I$ , we have  $\mathfrak{U}_i = \mathrm{Spec} A_i$  ( $\mathfrak{U}_i = \mathrm{Spf} A_i$ ) such that its  $p$ -adic completion  $\hat{A}_i$  is an étale algebra as above; we also fix compatible Frobenius lifts  $\varphi_i : \hat{A}_i \rightarrow \hat{A}_i$ . Take  $\mathrm{MF}_{[0,s],\mathrm{free}}(\mathfrak{X}, \Phi, \partial)$  to be the category of finite locally free filtered  $\mathcal{O}_{\mathfrak{X}}$ -modules  $\mathcal{M}$  equipped with a quasinilpotent integrable connection satisfying Griffiths transversality such that there exists a covering  $\{\mathfrak{U}_i\}_{i \in I}$  of  $\mathfrak{X}$  as above with  $\mathcal{M}_{\mathfrak{U}_i} \in \mathrm{MF}_{[0,s],\mathrm{free}}(\hat{A}_i, \Phi, \partial)$  for all  $i \in I$ ; see [Section 8.1](#).

To state the main global result, let  $\mathfrak{X}$  be a smooth ( $p$ -adic formal) scheme defined over  $O_F$  (for  $\mathfrak{X}$  a scheme, assume that it is proper or an open subscheme of a proper semistable scheme defined over  $O_F$ ). Let  $\mathcal{M}$  be an object of  $\mathrm{MF}_{[0,s],\mathrm{free}}(\mathfrak{X}, \Phi, \partial)$  with  $0 \leq s \leq p - 2$  (for  $\mathfrak{X}$  an open scheme, further assume that  $\mathcal{M}$  extends to the compactification of  $\mathfrak{X}$ , see [Remark 8.4](#)). Let  $\mathbb{L}$  denote the associated  $\mathbb{Z}_p$ -local system on the (rigid) generic fibre  $X$  of  $\mathfrak{X}$ . Then, we show the following:

**Theorem 1.11** ([Theorem 8.8](#)). *For  $r \geq s + 1$  and  $0 \leq k \leq r - s - 1$ , the Fontaine–Messing period map*

$$\alpha_{r,n,\mathfrak{X}}^{\mathrm{FM}} : \mathcal{H}^k(\mathcal{G}_n(\mathcal{M}, r)_{\mathfrak{X}}) \rightarrow i^* \mathbf{R}^k j_* \mathbb{L} / p^n(r)'_X$$

*is a  $p^N$ -isomorphism, where  $N = N(p, r, s) \in \mathbb{N}$  depends on  $p, r$  and  $s$  but not on  $\mathfrak{X}$  or  $n$ .*

The proof of [Theorem 1.11](#) proceeds by reducing to the local setting, whence we may directly apply [Theorem 1.5](#).

**Remark 1.12.** In personal communications with Takeshi Tsuji, I learned that, in some unpublished work, he obtained similar results over  $\bar{F}$  and large enough  $p$ . However, our respective approaches are different and this article includes more general local results and the arithmetic case as well.

**Remark 1.13.** Note that, from [\[Bhatt et al. 2019, §10\]](#), we have a prismatic syntomic complex and it is known to compute  $p$ -adic nearby cycles in the case of constant coefficients. Using the results of [\[Morrow and Tsuji 2020\]](#) on coefficients in integral  $p$ -adic Hodge theory and prismatic cohomology, it should be possible to obtain an integral version of our results (in the geometric case, i.e., over  $\bar{F}$ ). Moreover, using the theory of analytic prismatic  $F$ -crystals on the absolute prismatic site from [\[Du et al. 2024; Guo and Reinecke 2024\]](#), we should be able to generalise those results to the arithmetic case as well. We will report on these ideas in the future.

**1.5. Outline of the paper.** Sections 2–6 comprise the local part of the paper, while Sections 7 and 8 consist of global applications. In [Section 2.1](#) we describe our local setup, notation and some conventions. In Sections 2.2–2.4 we quickly recall basics of period rings, crystalline representations and relative étale  $(\varphi, \Gamma)$ -modules. [Section 2.5](#) introduces “good” crystalline coordinates, and we define certain rings of analytic functions convergent on some annulus following [\[Colmez and Nizioł 2017, §2\]](#); these rings are

denoted as  $R_{\varpi}^{\star}$  for  $\star \in \{+, \text{PD}, [u], [u, v], (0, v) +\}$ , where we can take  $u = p/(p-1)$  and  $v = p-1$ . In [Section 2.6](#), we equip these rings with a Frobenius endomorphism and, in [Section 2.7](#), we consider their Frobenius-equivariant ‘‘cyclotomic’’ embedding  $\iota_{\text{cycl}}$  into period rings and define  $A_{R, \varpi}^{\star}$  as the image of  $R_{\varpi}^{\star}$  under  $\iota_{\text{cycl}}$ . The latter enables us to relate differential operators on the ring  $R_{\varpi}^{[u, v]}$  to the infinitesimal action of  $\Gamma_S := \text{Gal}(R_{\infty}[1/p]/S[1/p])$  on its ‘‘cyclotomic’’ image, i.e.,  $A_{R, \varpi}^{[u, v]}$ . Finally, in [Section 2.8](#), we introduce certain big period rings, in particular  $E_{R, \varpi}^{\star}$  and  $E_{\bar{R}}^{\star}$ , we study a natural filtration on the scalar extension of  $M$  to these rings and we prove a version of the filtered Poincaré lemma. The latter, together with the results of [Section 3.3](#), are key ingredients in relating syntomic complexes with coefficients in  $M$  to Koszul complexes with coefficients in  $N(T)$ . The motivation for our approach comes from the computations of [\[Colmez and Nizioł 2017, §2.6\]](#).

In [Sections 3.1](#) and [3.2](#), we recall the notion of finite-height representations and their relationship to crystalline representations from [\[Abhinandan 2025\]](#), as well as prove some useful technical lemmas. In [Section 3.3](#), we study a filtration on scalar extensions of Wach modules and prove another filtered Poincaré lemma. The local theory of relative Fontaine–Laffaille modules is recalled in [Section 3.4](#). [Section 4](#) recalls the definition of Koszul complexes computing continuous  $\Gamma_S$ -cohomology (see [Section 4.2](#)) and Lie  $\Gamma_S$ -cohomology (see [Section 4.3](#)).

In [Section 5](#), we formulate our main local result, [Theorem 1.5](#), and carry out the local syntomic computations for its proof. The aim of [Section 6](#) is to carry out the  $(\varphi, \Gamma)$ -module side computations for the proof of [Theorem 1.5](#). To explain the content of these two sections to the reader, we introduce the following commutative diagram of complexes (see the discussion after [Theorem 6.19](#) for a more complete picture and explanations), where all isomorphisms are  $p$ -power quasi-isomorphisms; i.e., the kernel and the cokernel of the induced map on cohomology are killed by a fixed bounded power of  $p$ .

$$\begin{array}{ccccccc}
 \mathbf{K}_{\partial, \varphi}(\mathbf{F}^r M_{\varpi}^{\text{PD}}) & \longrightarrow & C_G(\mathbf{K}_{\partial, \varphi}(\mathbf{F}^r \Delta^{\text{PD}})) & \xleftarrow[\sim]{\text{PL}} & C_G(\mathbf{K}_{\varphi}(\mathbf{F}^r \Delta^{\text{PD}, \partial})) & \longrightarrow & C_G(\mathbf{K}_{\varphi}(\mathbf{F}^r T A_{\text{cris}})) \\
 \wr \downarrow \tau_{\leq r} & & & & & & \wr \uparrow \text{FES} \\
 \mathbf{K}_{\partial, \varphi}(\mathbf{F}^r M_{\varpi}^{[u, v]}) & & & & & & C_G(T(r)) \\
 \wr \downarrow \text{PL} & & & & & & \wr \uparrow \text{AS} \\
 \mathbf{K}_{\partial, \varphi, \partial_A}(\mathbf{F}^r \Delta_{\varpi}^{[u, v]}) & & & & & & C_G(\mathbf{K}_{\varphi}(T A_{\bar{S}}(r))) \\
 \wr \uparrow \text{PL} & & & & & & \wr \uparrow \\
 \mathbf{K}_{\varphi, \partial_A}(\mathbf{F}^r N_{\varpi}^{[u, v]}) & & & & & & C_{\Gamma}(\mathbf{K}_{\varphi}(D_{R_{\infty}}(r))) \\
 \tau_{\leq r} \wr \downarrow \iota^{\bullet} & & & & & & \wr \uparrow \\
 \mathcal{K}_{\varphi, \text{Lie } \Gamma}(\mathbf{F}^r N_{\varpi}^{[u, v]}) & & & & & & C_{\Gamma}(\mathbf{K}_{\varphi}(D_{\varpi}(r))) \\
 \wr \uparrow \iota^r & & & & & & \wr \uparrow \\
 \mathcal{K}_{\varphi, \text{Lie } \Gamma}(N_{\varpi}^{[u, v]}(r)) & \xleftarrow[\sim]{\mathcal{L}\ddot{\text{a}}\text{z}} & \mathcal{K}_{\varphi, \Gamma}(N_{\varpi}^{[u, v]}(r)) & \xleftarrow[\sim]{\text{can}} & \mathcal{K}_{\varphi, \Gamma}(N_{\varpi}^{(0, v] +}(r)) & \xrightarrow{\sim} & \mathbf{K}_{\varphi, \Gamma}(D_{\varpi}(r)).
 \end{array}$$

In the diagram, we set

$$\begin{aligned} M_{\varpi}^{\star} &= R_{\varpi}^{\star} \otimes_R M, & N_{\varpi}^{\star} &= A_{R,\varpi}^{\star} \otimes_{A_R^+} N(T), & N_{\varpi}^{\star}(r) &= A_{R,\varpi}^{\star} \otimes_{A_R^+} N(T(r)), \\ \Delta^{\text{PD}} &= E_{\bar{R}}^{\text{PD}} \otimes_R M, & \Delta^{\text{PD},\partial} &= (\Delta^{\text{PD}})^{\partial=0}, & \Delta_{\varpi}^{[u,v]} &= E_{R,\varpi}^{[u,v]} \otimes_R M, & TA_{\text{cris}} &= A_{\text{cris}}(\bar{R}) \otimes_{\mathbb{Z}_p} T. \end{aligned}$$

Moreover, using the rings from the theory of  $(\varphi, \Gamma)$ -modules (see [Section 2.4](#)), we set

$$TA^{[u,v]} = A_{\bar{R}}^{[u,v]} \otimes_{\mathbb{Z}_p} T, \quad TA_{\bar{R}}(r) = A_{\bar{R}} \otimes_{\mathbb{Z}_p} T(r), \quad D_{\varpi}(r) = A_{R,\varpi} \otimes_{A_R^+} N(T(r))$$

(see [Section 2.7](#) for  $A_{R,\varpi}$ ), and  $D_{R_{\infty}}(r) = A_{R_{\infty}} \otimes_{A_{R,\varpi}} D_{\varpi}(r)$ . Furthermore, we have  $G = G_S$  and  $\Gamma = \Gamma_S$ , with  $C_G$  and  $C_{\Gamma}$  denoting the complex of continuous cochains for  $G$  and  $\Gamma$ , respectively. The letter “ $\mathbf{K}$ ” denotes the Koszul complex with subscripts;  $\partial$  denotes the operators  $((1 + X_0)\partial/\partial X_0, \dots, X_d\partial/\partial X_d)$ ; the subscript  $\Gamma$  denotes the operators  $(\gamma_0 - 1, \dots, \gamma_d - 1)$  for our choice of topological generators of  $\Gamma$ ; the subscript  $\text{Lie } \Gamma$  denotes the operators  $(\nabla_0, \dots, \nabla_d)$ , with  $\nabla_i = \log \gamma_i$ ; and the subscript  $\partial_A$  denotes  $((1 + X_0)\partial/\partial X_0, X_1\partial/\partial X_1, \dots, X_d\partial/\partial X_d)$  as operators on  $A_{\bar{R}}^{[u,v]}$  and  $E_{\bar{R}}^{[u,v]}$  via the isomorphism  $\iota_{\text{cycl}} : R_{\varpi}^{[u,v]} \xrightarrow{\sim} A_{R,\varpi}^{[u,v]}$ . The letter “ $\mathcal{K}$ ” denotes a certain subcomplex of the Koszul complex; see [Sections 6.2–6.5](#).

Let us now describe the maps in the diagram. FES denotes a map coming from the fundamental exact sequences in [\(2-2\)](#) and [\(2-5\)](#). AS denotes a map originating from the Artin–Schreier theory in [\(2-4\)](#). PL denotes the maps coming from the filtered Poincaré lemma of [Section 2.8](#). In the first column, the map from the first to the second row is induced by the inclusion  $R_{\varpi}^{\text{PD}} \subset R_{\varpi}^{[u,v]}$  (the  $p$ -power quasi-isomorphism is shown by using the operator  $\psi$  — the left inverse of  $\varphi$  — and  $p$ -power acyclicity of the  $\psi = 0$  eigencomplexes similar to [\[Colmez and Nizioł 2017, §3\]](#), see [Sections 5.3](#) and [5.4](#)); the maps from the second to the third row and from the fourth to the third row are applications of the filtered Poincaré lemma (see [Sections 5.5](#) and [5.6](#), in particular [Proposition 5.28](#)); the map from the fourth to the fifth row is given by multiplication by suitable powers of  $t$ , exploiting the relation  $\partial_i = (\log \gamma_i)/t$ , and the map from the sixth to the fifth row is multiplication by  $t^r$  (see [Section 6.2](#)). In the fourth column, the map from the fourth to the third row is the inflation map from  $\Gamma_S$  to  $G_S$  using the inclusion  $A_{R_{\infty}} \subset A_{\bar{R}}$  (one could use almost étale descent to obtain the quasi-isomorphism); the map from the fifth to the fourth row uses the inclusion  $A_{R,\varpi} \subset A_{R_{\infty}}$  (the quasi-isomorphism is obtained by decompletion techniques); the map from the sixth to the fifth row is the comparison between the complex computing the continuous cohomology of  $\Gamma_S$  and the Koszul complex as in [Section 4.2](#). The top two maps from the first to the second column are induced by the respective inclusions  $R_{\varpi}^{\text{PD}} \subset E_{\bar{S}}^{\text{PD}}$  and  $R_{\varpi}^{[u,v]} \subset E_{\bar{S}}^{[u,v]}$ . The bottom map  $\mathcal{L}az$  between the first and the second column is the Lazard isomorphism discussed in [Section 6.3](#). The bottom map from the third to the second column is induced canonically from the inclusion  $A_{R,\varpi}^{(0,v)+} \subset A_{R,\varpi}^{[u,v]}$  (see [Section 6.4](#)). From the third to the fourth column, the top horizontal map is induced similar to [\(6-11\)](#) and the bottom horizontal map is induced by the inclusion  $A_{R,\varpi}^{(0,v)+} \subset A_{R,\varpi}$  (the  $p$ -power quasi-isomorphism is proven by using the operator  $\psi$  — the left inverse of  $\varphi$  — and  $p$ -power acyclicity of the  $\psi = 0$  eigencomplexes, a standard technique in the theory of  $(\varphi, \Gamma)$ -modules, see [Sections 6.5](#) and [6.6](#)).

Composition of the left vertical, bottom horizontal and right vertical arrows produces the  $p$ -power quasi-isomorphism  $\alpha_r^{\mathcal{L}az}$  of [Theorem 1.5](#); composition of the top horizontal arrows gives the  $p$ -adic version of the map  $\tilde{\alpha}_{r,n,S}^{\text{FM}}$  of [Theorem 1.10](#). The proof of [Theorem 1.5](#) follows from the discussion above, and the proof of [Theorem 1.10](#) is the content of [Section 6.7](#).

In [Section 7](#) we describe our global setup and define the syntomic complex with coefficients globally. In [Sections 8.1](#) and [8.2](#), we describe global relative Fontaine–Laffaille modules and the global Fontaine–Messing period map as in [[Tsuji 1996](#), §5; [1999](#), §3.1]. Finally, in [Section 8.3](#), we state and prove [Theorem 1.11](#) by first reducing the problem to the local setting via cohomological descent [[Tsuji 1996](#); [1999](#)] and then to the computation of Galois cohomology by a  $K(\pi, 1)$ -lemma [[Scholze 2013](#)], whence the claim follows from [Corollary 1.6](#).

**Notation.** Let  $f : C_1 \rightarrow C_2$  be a morphism of complexes. The *mapping cone* of  $f$  is the complex  $\text{Cone}(f)$  whose degree  $n$  part is given as  $C_1^{n+1} \oplus C_2^n$  and the differential is given by

$$d(c_1, c_2) = (-d(c_1), d(c_2) - f(c_1)).$$

Furthermore, we denote the *mapping fibre* of  $f$  by

$$[C_1 \xrightarrow{f} C_2] := \text{Cone}(f)[-1].$$

We also set

$$\left[ \begin{array}{ccc} C_1 & \xrightarrow{f} & C_2 \\ \downarrow & & \downarrow \\ C_3 & \xrightarrow{g} & C_4 \end{array} \right] := [[C_1 \xrightarrow{f} C_2] \rightarrow [C_3 \xrightarrow{g} C_4]].$$

In other words, this amounts to taking the total complex of the associated double complex.

## 2. Relative $p$ -adic Hodge theory

In this section, we will recall some constructions and results in local relative  $p$ -adic Hodge theory from [[Andreatta 2006](#); [Andreatta and Brinon 2008](#); [Brinon 2008](#)] and describe some properties of the objects to be considered in [Sections 3–6](#).

**2.1. Setup and notation.** Let  $p \geq 3$  be a fixed prime and  $\kappa$  a perfect field of characteristic  $p$ , and set  $O_F := W(\kappa)$  the ring of  $p$ -typical Witt vectors with coefficients in  $\kappa$  and  $F := O_F[1/p]$ . Let  $\bar{F}$  be a fixed algebraic closure of  $F$ , so that its residue field  $\bar{\kappa}$  is an algebraic closure of  $\kappa$ , and set  $G_F := \text{Gal}(\bar{F}/F)$ .

**Convention.** We will work under the convention that  $0 \in \mathbb{N}$ , the set of natural numbers.

Let  $Z = (Z_1, \dots, Z_s)$  denote a set of indeterminates and, for  $\mathbf{k} = (k_1, \dots, k_s) \in \mathbb{N}^s$  a multi-index, we will write  $Z^{\mathbf{k}} := Z_1^{k_1} \cdots Z_s^{k_s}$ . For a topological algebra  $\Lambda$ , we set

$$\Lambda\{Z\} := \left\{ \sum_{\mathbf{k} \in \mathbb{N}^s} a_{\mathbf{k}} Z^{\mathbf{k}} \mid a_{\mathbf{k}} \in \Lambda \text{ and } p\text{-adically } a_{\mathbf{k}} \rightarrow 0 \text{ as } |\mathbf{k}| = \sum k_i \rightarrow +\infty \right\}.$$

**Assumption 2.1.** Fix  $d \in \mathbb{N}$  and  $X = (X_1, X_2, \dots, X_d)$  a set of indeterminates. Let  $R$  be the  $p$ -adic completion of an étale algebra over  $O_F\{X, X^{-1}\}$  with nonempty geometrically integral special fibre. In particular, we assume that  $R = O_F\{X, X^{-1}\}\{Z_1, \dots, Z_s\}/(Q_1, \dots, Q_s)$ , where  $Q_i(Z_1, \dots, Z_s)$  is in  $O_F\{X, X^{-1}\}[Z_1, \dots, Z_s]$  for  $1 \leq i \leq s$  are multivariate polynomials such that  $\det(\partial Q_i / \partial Z_j)_{1 \leq i, j \leq s}$  is invertible in  $R$ .

Fix an algebraic closure  $\overline{\text{Fr}(R)}$  of  $\text{Fr}(R)$  containing  $\overline{F}$ . Let  $\overline{R}$  denote the union of finite  $R$ -subalgebras  $S \subset \overline{\text{Fr}(R)}$  such that  $S[1/p]$  is étale over  $R[1/p]$ . Let  $\overline{\eta}$  denote a geometric point of the generic fibre  $\text{Sp}(R[1/p])$  (corresponding to  $\overline{\text{Fr}(R)}$ ), and let

$$G_R := \pi_1^{\text{ét}}(\text{Sp}(R[1/p]), \overline{\eta}) = \text{Gal}(\overline{R}[1/p]/R[1/p])$$

denote the étale fundamental group.

For  $n \in \mathbb{N}$ , let  $F_n := F(\mu_{p^n})$ . Fix some  $m \in \mathbb{N}_{\geq 1}$  and set  $K := F_m$ , with ring of integers  $O_K$ . The element  $\varpi = \zeta_{p^m} - 1$  in  $O_K$  is a uniformiser of  $K$  and its minimal polynomial

$$P_{\varpi}(X) := \frac{(1+X)^{p^m} - 1}{(1+X)^{p^{m-1}} - 1}$$

is an Eisenstein polynomial in  $O_F[X]$  of degree  $e := [K : F] = p^{m-1}(p-1)$ . Moreover,

$$S = R[\varpi] = O_K \otimes_{O_F} R$$

is totally ramified over the prime  $(p) \subset R$ . Observe that we have Galois groups  $G_K \triangleleft G_F$  and  $G_S \triangleleft G_R$  such that  $G_R/G_S = G_F/G_K = \text{Gal}(K/F)$ . Moreover,  $R$  and  $R[\varpi]$  are *small* algebras in the sense of [Faltings 1988, §II 1(a)].

For  $k \in \mathbb{N}$ , let  $\Omega_R^k$  denote the  $p$ -adic completion of the module of  $k$ -differentials of  $R$  relative to  $\mathbb{Z}$ . Then, we have  $\Omega_R^1 = \bigoplus_{i=1}^d R d \log X_i$  and  $\Omega_R^k = \bigwedge_R^k \Omega_R^1$ . More explicitly, for  $1 \leq i \leq d$ , let us set  $\partial_i := X_i d / dX_i$  as an operator on  $R$ . Then, for any  $f$  in  $R$ , its differential can be written as  $df = \sum_{i=1}^d \partial_i(f) d \log X_i$  in  $\Omega_R^1$ . Furthermore, note that  $R/pR \xrightarrow{\sim} S/\varpi S$  is an isomorphism and, for any  $n \in \mathbb{N}$ ,  $R/p^n R$  is a smooth  $\mathbb{Z}/p^n \mathbb{Z}$ -algebra. Finally, we fix a lift  $\varphi : R \rightarrow R$  of the absolute Frobenius  $x \mapsto x^p$  over  $R/pR$  such that  $\varphi(X_i) = X_i^p$  for  $1 \leq i \leq d$ .

Note that, to carry out some computations in later sections, we will need to extend our base field (hence the base ring) by adjoining a  $p$ -power root of unity (see  $K$  and  $S = R[\varpi]$  above). As a consequence, we will also require period rings defined for such rings. However, we will only recall the results by fixing our base as  $R$ , because the period rings that we consider will only depend on  $\overline{R}$  and we have  $\overline{S} = \overline{R} \subset \overline{\text{Fr}(R)} = \overline{\text{Fr}(\overline{S})}$ ; see [Andreatta 2006; Andreatta and Brinon 2008; Brinon 2008] for general constructions.

**Convention.** Let  $A$  be a ring and  $I \subsetneq A$  an ideal. An  $A$ -module  $M$  is said to be  $I$ -adically complete if  $M \xrightarrow{\sim} \lim_n M/I^n M$  is an isomorphism.

**Notation.** Let  $A$  be a  $\mathbb{Z}_p$ -algebra. A morphism  $f : M \rightarrow N$  of two  $A$ -modules is called a  $p^n$ -isomorphism for some  $n \in \mathbb{N}$  if the kernel and cokernel of  $f$  are killed by  $p^n$ .

**2.2. Period rings.** Let  $\mathbb{C}_p$  denote the  $p$ -adic completion of  $\bar{F}$ . We recall that  $\bar{R}$  is the union of finite  $R$ -subalgebras  $S \subset \overline{\text{Fr}(\bar{R})} = \overline{\text{Fr}(R[\varpi])}$  such that  $S[1/p]$  is étale over  $R[1/p]$ . Let  $\mathbb{C}^+(\bar{R})$  denote the  $p$ -adic completion of  $\bar{R}$ , and let  $\mathbb{C}(\bar{R}) = \mathbb{C}^+(\bar{R})[1/p]$ . We define the tilt of  $\mathbb{C}^+(\bar{R})$  as

$$\mathbb{C}^+(\bar{R})^b := \lim_{x \rightarrow x^p} \mathbb{C}^+(\bar{R})/p = \lim_{x \rightarrow x^p} \bar{R}/p$$

and equip it with the inverse limit topology (where we equip  $\bar{R}/p$  with the discrete topology), and let  $\mathbb{C}(\bar{R})^b := \mathbb{C}^+(\bar{R})^b[1/p^b]$  for  $p^b := (p, p^{1/p}, p^{1/p^2}, \dots) \in \mathbb{C}^+(\bar{R})^b$  equipped with the coarsest ring topology such that  $\mathbb{C}^+(\bar{R})^b$  is an open subring. These rings admit a continuous action of  $G_R$ .

Let us fix  $\varepsilon := (1, \zeta_p, \zeta_{p^2}, \dots)$  in  $\mathbb{C}_p^b$  and  $X_i^b := (X_i, X_i^{1/p}, X_i^{1/p^2}, \dots)$  in  $\mathbb{C}^+(\bar{R})^b$  for  $1 \leq i \leq d$ . Set  $\mathbf{A}_{\text{inf}}(\bar{R}) := W(\mathbb{C}^+(\bar{R})^b)$ , the ring of  $p$ -typical Witt vectors with coefficients in  $\mathbb{C}^+(\bar{R})^b$ . The absolute Frobenius on  $\mathbb{C}^+(\bar{R})^b$  lifts to an endomorphism  $\varphi : \mathbf{A}_{\text{inf}}(\bar{R}) \rightarrow \mathbf{A}_{\text{inf}}(\bar{R})$ , and the  $G_R$ -action extends to a continuous (for the weak topology, see [Andreatta and Iovita 2008, §2.10]) action on  $\mathbf{A}_{\text{inf}}(\bar{R})$ . For  $x \in \mathbb{C}^+(\bar{R})^b$ , let  $[x] = (x, 0, 0, \dots)$  in  $\mathbf{A}_{\text{inf}}(\bar{R})$  denote its Teichmüller representative. So we have  $[\varepsilon]$  in  $\mathbf{A}_{\text{inf}}(\bar{R})$  with  $\varphi([\varepsilon]) = [\varepsilon]^p$  and  $g[\varepsilon] = [\varepsilon]^{\chi(g)}$  for  $g$  in  $G_R$  and  $\chi : G_R \rightarrow \mathbb{Z}_p^\times$  the  $p$ -adic cyclotomic character. Furthermore, let  $\pi := [\varepsilon] - 1$ ,  $\pi_1 := \varphi^{-1}(\pi) = [\varepsilon^{1/p}] - 1$  and  $\xi := \pi/\pi_1$ . Clearly, we have that  $g(\pi) = (1 + \pi)^{\chi(g)} - 1$  for  $g \in G_R$  and  $\varphi(\pi) = (1 + \pi)^p - 1$ .

We will use the de Rham period rings  $\mathbf{B}_{\text{dR}}^+(\bar{R})$  and  $\mathbf{B}_{\text{dR}}(\bar{R})$  defined in [Brinon 2008, Chapitre 5] and [Abhinandan 2025, §2.1]. These are  $F$ -algebras equipped with a natural action of  $G_R$  and a  $G_R$ -stable filtration. We have that

$$t := \log[\varepsilon] = \log(1 + \pi) = \sum_{k \in \mathbb{N}} (-1)^k \frac{\pi^{k+1}}{k+1}$$

converges in  $\mathbf{B}_{\text{dR}}^+(\bar{R})$  and the action on  $t$  of any  $g$  in  $G_R$  can be described by the formula  $g(t) = \chi(g)t$ . Moreover, we will use fat period rings  $\mathcal{O}\mathbf{B}_{\text{dR}}^+(\bar{R})$  and  $\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R})$  defined in [Brinon 2008, Chapitre 5] and [Abhinandan 2025, §2.1]. These are  $R[1/p]$ -algebras equipped with a natural action of  $G_R$ , a  $G_R$ -stable filtration and a  $G_R$ -equivariant connection satisfying Griffiths transversality with respect to the filtration. Furthermore, we have

$$(\mathcal{O}\mathbf{B}_{\text{dR}}^+(\bar{R}))^{\partial=0} = \mathbf{B}_{\text{dR}}^+(\bar{R}), \quad (\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R}))^{\partial=0} = \mathbf{B}_{\text{dR}}(\bar{R}) \quad \text{and} \quad (\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R}))^{G_R} = R[1/p].$$

We will also use the crystalline period rings  $\mathbf{A}_{\text{cris}}(\bar{R})$ ,  $\mathbf{B}_{\text{cris}}^+(\bar{R})$  and  $\mathbf{B}_{\text{cris}}(\bar{R})$ , from [Brinon 2008, Chapitre 6] and [Abhinandan 2025, §2.2], as subrings of  $\mathbf{B}_{\text{dR}}(\bar{R})$ . The ring  $\mathbf{A}_{\text{cris}}(\bar{R})$  is an  $O_F$ -algebra and  $\mathbf{B}_{\text{cris}}^+(\bar{R})$  and  $\mathbf{B}_{\text{cris}}(\bar{R})$  are  $F$ -algebras. These rings are equipped with a natural action of  $G_R$ , a  $G_R$ -stable filtration (induced from the filtration on  $\mathbf{B}_{\text{dR}}(\bar{R})$ ) and a  $G_R$ -equivariant Frobenius endomorphism  $\varphi$ . Note that  $t$  converges in  $\mathbf{A}_{\text{cris}}(\bar{R})$  and  $\varphi(t) = pt$ . Moreover, we will use fat period rings  $\mathcal{O}\mathbf{A}_{\text{cris}}(\bar{R})$ ,  $\mathcal{O}\mathbf{B}_{\text{cris}}^+(\bar{R})$  and  $\mathcal{O}\mathbf{B}_{\text{cris}}(\bar{R})$ , defined in [Brinon 2008, Chapitre 6] and [Abhinandan 2025, §2.2], as subrings of  $\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R})$ . The ring  $\mathcal{O}\mathbf{A}_{\text{cris}}(\bar{R})$  is an  $R$ -algebra and  $\mathcal{O}\mathbf{B}_{\text{cris}}^+(\bar{R})$  and  $\mathcal{O}\mathbf{B}_{\text{cris}}(\bar{R})$  are  $R[1/p]$ -algebras. These rings are equipped with a natural action of  $G_R$ , a  $G_R$ -stable induced filtration (from  $\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R})$ ), a  $G_R$ -equivariant Frobenius endomorphism  $\varphi$  and a  $G_R$ -equivariant induced connection (from  $\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R})$ )

satisfying Griffiths transversality with respect to the filtration and commuting with  $\varphi$ . Taking the horizontal sections for the connection, we get

$$(\mathcal{O}A_{\text{cris}}(\bar{R}))^{\partial=0} = A_{\text{cris}}(\bar{R}), \quad (\mathcal{O}B_{\text{cris}}^+(\bar{R}))^{\partial=0} = B_{\text{cris}}^+(\bar{R}) \quad \text{and} \quad (\mathcal{O}B_{\text{cris}}(\bar{R}))^{\partial=0} = B_{\text{cris}}(\bar{R}),$$

and by taking  $G_R$ -invariants we get

$$(\mathcal{O}A_{\text{cris}}(\bar{R}))^{G_R} = R \quad \text{and} \quad (\mathcal{O}B_{\text{cris}}^+(\bar{R}))^{G_R} = (\mathcal{O}B_{\text{cris}}(\bar{R}))^{G_R} = R[1/p].$$

**2.2.1. Fundamental exact sequence.** From the Artin–Schrier theory in [Andreatta and Iovita 2008, §8.1.1], we have an exact sequence

$$0 \rightarrow \mathbb{Z}_p \rightarrow A_{\text{inf}}(\bar{R}) \xrightarrow{1-\varphi} A_{\text{inf}}(\bar{R}) \rightarrow 0. \quad (2-1)$$

Let  $r \in \mathbb{N}$ , write  $r = (p-1)a(r) + b(r)$ , with  $0 \leq b(r) < p-1$ , and set  $\mathbb{Z}_p(r)' = \mathbb{Z}_p(r)/p^{a(r)}$ . From [Tsuji 1999, Theorem A3.26] and [Colmez and Nizioł 2017, Lemma 2.23], we have a  $p^r$ -exact sequence called the fundamental exact sequence in  $p$ -adic Hodge theory:

$$0 \rightarrow \mathbb{Z}_p(r)' \rightarrow \text{Fil}^r A_{\text{cris}}(\bar{R}) \xrightarrow{p^r - \varphi} A_{\text{cris}}(\bar{R}) \rightarrow 0. \quad (2-2)$$

**2.3.  $p$ -adic Galois representations.** For the ring  $B = \mathcal{O}B_{\text{dR}}(\bar{R})$  and  $\mathcal{O}B_{\text{cris}}(\bar{R})$ , we consider  $B$ -admissible  $p$ -adic representations in the sense of [Brinon 2008, Chapitre 8] and [Abhinandan 2025, §2.3]. We note that  $\mathcal{O}B_{\text{dR}}(\bar{R})$  is a  $G_R$ -regular  $R[1/p]$ -algebra. Let  $V$  be a  $p$ -adic representation of  $G_R$ , and set  $\mathcal{O}D_{\text{dR}}(V) := (\mathcal{O}B_{\text{dR}}(\bar{R}) \otimes_{\mathbb{Q}_p} V)^{G_R}$ . We say that  $V$  is de Rham if it is  $\mathcal{O}B_{\text{dR}}(\bar{R})$ -admissible. The  $R[1/p]$ -module  $\mathcal{O}D_{\text{dR}}(V)$  is equipped with a decreasing, separated and exhaustive filtration and an integrable connection satisfying Griffiths transversality with respect to the filtration (all induced from the corresponding structures on  $\mathcal{O}B_{\text{dR}}(\bar{R}) \otimes_{\mathbb{Q}_p} V$ ). Furthermore,  $\mathcal{O}D_{\text{dR}}(V)$  is projective over  $R[1/p]$  and of rank  $\leq \dim(V)$ . If  $V$  is de Rham, then, for all  $r \in \mathbb{Z}$ , the  $R[1/p]$ -modules  $\text{Fil}^r \mathcal{O}D_{\text{dR}}(V)$  and  $\text{gr}^r \mathcal{O}D_{\text{dR}}(V)$  are projective of finite type, and the collection of integers  $r_i$  for  $1 \leq i \leq \dim_{\mathbb{Q}_p}(V)$  such that  $\text{gr}^{-r_i} \mathcal{O}D_{\text{dR}}(V) \neq 0$  are called the *Hodge–Tate weights* of  $V$ ; see [Brinon 2008, §8.3]. Moreover, we say that  $V$  is *positive* if and only if  $r_i \leq 0$  for all  $1 \leq i \leq \dim_{\mathbb{Q}_p}(V)$ .

Next, we note that  $\mathcal{O}B_{\text{cris}}(\bar{R})$  is also a  $G_R$ -regular  $R[1/p]$ -algebra. Let  $V$  be a  $p$ -adic representation of  $G_R$ , and set  $\mathcal{O}D_{\text{cris}}(V) := (\mathcal{O}B_{\text{cris}}(\bar{R}) \otimes_{\mathbb{Q}_p} V)^{G_R}$ . We will say that  $V$  is crystalline if it is  $\mathcal{O}B_{\text{cris}}(\bar{R})$ -admissible. The  $R[1/p]$ -module  $\mathcal{O}D_{\text{cris}}(V)$  is equipped with a Frobenius-semilinear operator  $\varphi$  induced from the Frobenius on  $\mathcal{O}B_{\text{cris}}(\bar{R}) \otimes_{\mathbb{Q}_p} V$ , where we consider the  $G_R$ -equivariant Frobenius on  $\mathcal{O}B_{\text{cris}}(\bar{R})$ . Moreover, the inclusion  $\mathcal{O}B_{\text{cris}}(\bar{R}) \subset \mathcal{O}B_{\text{dR}}(\bar{R})$  induces an  $R[1/p]$ -linear inclusion  $\mathcal{O}D_{\text{cris}}(V) \subset \mathcal{O}D_{\text{dR}}(V)$  (see [Brinon 2008, §8.2 and §8.3]), and we equip  $\mathcal{O}D_{\text{cris}}(V)$  with (induced from  $\mathcal{O}D_{\text{dR}}(V)$ ) filtration and connection satisfying Griffiths transversality with respect to the filtration. Additionally, we have  $\partial\varphi = \varphi\partial$  over  $\mathcal{O}D_{\text{cris}}(V)$ . The module  $\mathcal{O}D_{\text{cris}}(V)$  is finite projective over  $R[1/p]$  of rank  $\leq \dim(V)$ . If  $V$  is crystalline, then the  $R[1/p]$ -linear homomorphism  $1 \otimes \varphi : R[1/p] \otimes_{\varphi, R[1/p]} \mathcal{O}D_{\text{cris}}(V) \rightarrow \mathcal{O}D_{\text{cris}}(V)$  is an isomorphism and  $\mathcal{O}D_{\text{cris}}(V)$  is called a filtered  $(\varphi, \partial)$ -module.

**2.4.  $(\varphi, \Gamma)$ -modules.** In this subsection, we will briefly recall some results from the theory of relative étale  $(\varphi, \Gamma)$ -modules; see [Andreatta 2006; Andreatta and Brinon 2008; Andreatta and Iovita 2008] for details.

**2.4.1. The Galois group  $\Gamma_R$ .** Let  $F_n = F(\mu_{p^n})$  for  $n \in \mathbb{N}$ , and let  $F_\infty = \bigcup_n F_n$ . We take  $R_n$  to be the integral closure of  $R \otimes_{O_F[X^{\pm 1}]} O_{F_n}[X_1^{p^{-n}}, \dots, X_d^{p^{-n}}]$  inside  $\bar{R}[1/p]$  and set  $R_\infty := \bigcup_{n \geq m} R_n$ , noting that  $F_\infty \subset R_\infty[1/p]$ . From Section 2.2 recall that  $\mathbb{C}(\bar{R}) = \mathbb{C}^+(\bar{R})[1/p]$  and  $\mathbb{C}(\bar{R})^b$  denotes its tilt. The ring  $\mathbb{C}(\bar{R})^b$  is perfect of characteristic  $p$ , and we set  $A_{\bar{R}} := W(\mathbb{C}(\bar{R})^b)$ , the ring of  $p$ -typical Witt vectors with coefficients in  $\mathbb{C}(\bar{R})^b$ , and endow it with the weak topology; see [Andreatta and Iovita 2008, §2.10]. The absolute Frobenius over  $\mathbb{C}(\bar{R})^b$  lifts to an endomorphism  $\varphi : A_{\bar{R}} \rightarrow A_{\bar{R}}$ , which we again call the Frobenius. The continuous action of  $G_R$  on  $\mathbb{C}(\bar{R})^b$  extends to a continuous action on  $A_{\bar{R}}$  commuting with Frobenius. The inclusion  $\bar{F} \subset \bar{R}[1/p]$  induces inclusions  $\mathbb{C}_p^b \subset \mathbb{C}(\bar{R})^b$  and  $A_{\bar{F}} \subset A_{\bar{R}}$ , and the inclusion  $O_{\bar{F}} \subset \bar{R}$  induces inclusions  $O_{\mathbb{C}_p^b}^b \subset \mathbb{C}^+(\bar{R})^b$  and  $A_{\text{inf}}(O_{\bar{F}}) \subset A_{\text{inf}}(\bar{R})$ .

The ring  $R_\infty[1/p]$  is Galois over  $R[1/p]$  with Galois group  $\Gamma_R := \text{Gal}(R_\infty[1/p]/R[1/p])$ . Consider the Galois groups

$$\Gamma_F := \text{Gal}(F_\infty/F) \xrightarrow{\sim} \mathbb{Z}_p^\times, \quad \Gamma'_R := \text{Gal}(R_\infty[1/p]/F_\infty R[1/p]) \xrightarrow{\sim} \mathbb{Z}_p(1)^d$$

(see [Andreatta 2006, §2.4; Brinon 2008, p. 9]), and note that we have an exact sequence

$$1 \rightarrow \Gamma'_R \rightarrow \Gamma_R \rightarrow \Gamma_F \rightarrow 1. \quad (2-3)$$

The group  $\Gamma_F$  can be viewed as a subgroup of  $\Gamma_R$ ; i.e., we can take a section of the projection map in (2-3) such that, for  $\gamma \in \Gamma_F$  and  $g \in \Gamma'_R$ , we have  $\gamma g \gamma^{-1} = g^{\chi(\gamma)}$ . So we can choose topological generators  $\{\gamma, \gamma_1, \dots, \gamma_d\}$  of  $\Gamma_R$  such that  $\gamma_0 = \gamma^e$ , with  $\chi(\gamma_0) = \exp(p^m)$ , is a topological generator of  $\Gamma_K = \text{Gal}(K_\infty/K)$ , where  $K_\infty = F_\infty$  and  $e = [K : F]$ . It follows that  $\{\gamma_1, \dots, \gamma_d\}$  are topological generators of  $\Gamma'_R$  and  $\gamma$  is a topological generator of  $\Gamma_F$ . In particular, we have the isomorphism

$$\chi : \Gamma_K = \text{Gal}(F_\infty/K) \xrightarrow{\sim} 1 + p^m \mathbb{Z}_p.$$

The action of these generators on the elements of  $\mathbb{C}(\bar{R})^b$ , fixed in Section 2.2, is given as  $\gamma(\varepsilon) = \varepsilon^{\chi(\gamma)}$  and  $\gamma_i(\varepsilon) = \varepsilon$  for  $1 \leq i \leq d$ , and  $\gamma_i(X_i^b) = \varepsilon X_i^b$  and  $\gamma_i(X_j^b) = X_j^b$  for  $i \neq j$  and  $1 \leq j \leq d$ .

**2.4.2. Étale  $(\varphi, \Gamma_R)$ -modules.** Andreatta [2006] introduced the theory of étale  $(\varphi, \Gamma_R)$ -modules for  $p$ -adic representations of  $G_R$ ; see [Abhinandan 2025, §3.1] for a quick recollection. From [loc. cit.], let us recall that we have characteristic  $p$  period rings  $E^+ \subset E \subset \mathbb{C}(\bar{R})^b$ . Let  $\bar{\pi}$  denote the reduction modulo  $p$  of  $\pi \in A_{\text{inf}}(O_{F_\infty})$ . Then, the characteristic  $p$  period rings above are  $\bar{\pi}$ -adically complete and equipped with a continuous  $G_R$ -action. Furthermore, we have rings  $E_R^+ \subset E_R \subset \hat{R}_\infty^b[1/p^b]$ , complete for the  $\bar{\pi}$ -adic topology and equipped with a continuous  $G_R$ -action. Moreover, we have

$$(\mathbb{C}^+(\bar{R}))^{H_R} = \hat{R}_\infty, \quad (\mathbb{C}^+(\bar{R})^b)^{H_R} = \hat{R}_\infty^b, \quad (\mathbb{C}(\bar{R})^b)^{H_R} = \hat{R}_\infty^b[1/p^b], \quad (E^+)^{H_R} = E_R^+ \quad \text{and} \quad E^{H_R} = E_R.$$

In mixed characteristic, we have period rings  $A^+ \subset A \subset W(\mathbb{C}(\bar{R})^b)$  equipped with an induced weak topology, an induced Frobenius endomorphism  $\varphi$  and a continuous  $G_R$ -action. Furthermore, we have

$A_R^+ = A_R \subset W(\hat{R}_\infty^b[1/p^b])$ , complete for the induced weak topology and equipped with an induced Frobenius and a continuous  $\Gamma_R$ -action. Additionally, from [Andreatta and Iovita 2008], we have that  $A^{H_R} = A_R$ ,  $(A^+)^{H_R} = A_R^+$  and  $A/pA = E$ , and, from [Abhinandan 2025, Remark 3.7], we have that  $A^+/pA^+ = E^+$ .

Let  $D$  be a finitely generated  $A_R$ -module equipped with a continuous (for the weak topology) and semilinear action of  $\Gamma_R$  and a Frobenius-semilinear and  $\Gamma_R$ -equivariant endomorphism  $\varphi$ .

**Definition 2.2.** The  $A_R$ -module  $D$  is said to be *étale* if the linearisation of Frobenius, i.e., the natural map  $1 \otimes \varphi : A_R \otimes_{\varphi, A_R} D \rightarrow D$ , is an isomorphism.

Denote by  $(\varphi, \Gamma_R)\text{-Mod}_{A_R}^{\text{ét}}$  the category of étale  $(\varphi, \Gamma_R)$ -modules over  $A_R$  with morphisms between objects being continuous and  $(\varphi, \Gamma_R)$ -equivariant morphisms of  $A_R$ -modules. Furthermore, denote by  $\text{Rep}_{\mathbb{Z}_p}(G_R)$  the category of finitely generated  $\mathbb{Z}_p$ -modules equipped with a linear and continuous  $G_R$ -action and morphisms between objects being continuous and  $G_R$ -equivariant morphisms of  $\mathbb{Z}_p$ -modules. Let  $T$  denote a  $\mathbb{Z}_p$ -representation of  $G_R$ ; then  $\mathbf{D}(T) := (A \otimes_{\mathbb{Z}_p} T)^{H_R}$  is an étale  $(\varphi, \Gamma_R)$ -module over  $A_R$ . Furthermore, if  $T$  is finite free over  $\mathbb{Z}_p$ , then  $\mathbf{D}(T)$  is finite projective over  $A_R$  of rank  $\text{rk}_{\mathbb{Z}_p} T$ ; see [Andreatta 2006, Theorem 7.11]. Finally, the functor

$$\mathbf{D} : \text{Rep}_{\mathbb{Z}_p}(G_R) \rightarrow (\varphi, \Gamma_R)\text{-Mod}_{A_R}^{\text{ét}}$$

induces an equivalence of categories; see [Andreatta 2006, Theorem 7.11].

**2.4.3. Overconvergent étale  $(\varphi, \Gamma_R)$ -modules.** In this subsection, we will quickly recall the theory of overconvergent relative étale  $(\varphi, \Gamma)$ -modules from [Andreatta and Brinon 2008], which generalises the classical results of [Cherbonnier and Colmez 1998]. Denote the natural valuation on  $O_{\mathbb{C}_p}^b$  by  $v^b$  and extend it to a map  $v^b : \mathbb{C}^+(\bar{R})^b \rightarrow \mathbb{R} \cup \{+\infty\}$  by setting

$$v^b(x) = \frac{P}{p-1} \max\{n \in \mathbb{Q} \mid x \in \bar{\pi}^{-n} \mathbb{C}^+(\bar{R})^b\}.$$

Let  $v > 0$ , and let  $\alpha \in O_{\mathbb{C}_p}^b$  such that  $v^b(\alpha) = 1/v$ . Set

$$A_{\bar{R}}^{(0,v)} := \left\{ \sum_{k \in \mathbb{N}} p^k [x_k] \in A_{\bar{R}} \mid v v^b(x_k) + k \rightarrow +\infty \text{ when } k \rightarrow +\infty \right\},$$

$$A_{\bar{R}}^{(0,v)+} := \left\{ \sum_{k \in \mathbb{N}} p^k [x_k] \in A_{\bar{R}}^{(0,v)} \mid v v^b(x_k) + k \geq 0 \right\} = p\text{-adic completion of } A_{\text{inf}}(\bar{R})[p/[\alpha]].$$

Note that we have  $A_{\bar{R}}^{(0,v)} = A_{\bar{R}}^{(0,v)+}[1/p^b]$ . The  $G_R$ -action on  $A_{\text{inf}}(\bar{R})$  extends to these rings and it commutes with the induced Frobenius  $\varphi$ , where

$$\varphi(A_{\bar{R}}^{(0,v)+}) = A_{\bar{R}}^{(0,v/p)+} \quad \text{and} \quad \varphi(A_{\bar{R}}^{(0,v)}) = A_{\bar{R}}^{(0,v/p)}.$$

Moreover, we have that  $A_{\bar{R}}^{(0,v)+} \subset B_{\text{dR}}^+(\bar{R})$  and  $A_{\bar{R}}^{(0,v)} \subset B_{\text{dR}}(\bar{R})$  for  $v \geq 1$ ; see [Colmez and Nizioł 2017, §2.4.2]. We use these embeddings to induce filtrations on  $A_{\bar{R}}^{(0,v)+}$  and  $A_{\bar{R}}^{(0,v)}$ .

**Definition 2.3.** Define the ring of *overconvergent coefficients* as  $A_{\bar{R}}^{\dagger} := \bigcup_{v \in \mathbb{Q}_{>0}} A_{\bar{R}}^{(0,v]}$ . Moreover, inside  $A_{\bar{R}}$ , we set  $A_R^{(0,v]} := A_R \cap A_{\bar{R}}^{(0,v]}$  and  $A^{(0,v]} := A \cap A_{\bar{R}}^{(0,v]}$ . Define

$$A_R^{\dagger} := A_R \cap A_{\bar{R}}^{\dagger} = \bigcup_{v \in \mathbb{Q}_{>0}} A_R^{(0,v]} \quad \text{and} \quad A^{\dagger} := A \cap A_{\bar{R}}^{\dagger} = \bigcup_{v \in \mathbb{Q}_{>0}} A^{(0,v]}.$$

The rings defined above are equipped with a topology described in [Andreatta and Brinon 2008, §4]. We have an embedding  $A_{\bar{R}}^{\dagger} \subset A_{\bar{R}}$  compatible with the weak topology on  $A_{\bar{R}}$ . Furthermore,  $A_{\bar{R}}^{\dagger}$  is stable under the induced Frobenius  $\varphi$  and the  $G_R$ -action which commutes with  $\varphi$ ; see [Andreatta 2006, Proposition 7.2]. Finally, all rings appearing above are equipped with a  $(\varphi, G_R)$ -action (induced from  $A_{\bar{R}}$ ) and from [Andreatta and Iovita 2008, Lemma 2.11] we have

$$(A^{(0,v]})^{H_R} = A^{(0,v]}, \quad (A^{\dagger})^{H_R} = A_{\bar{R}}^{\dagger} \quad \text{and} \quad A_R^{\dagger}/pA_R^{\dagger} = E_R.$$

Define  $(\varphi, \Gamma_R)\text{-Mod}_{A_{\bar{R}}^{\dagger}}^{\text{ét}}$  to be the category of étale  $(\varphi, \Gamma_R)$ -modules over  $A_{\bar{R}}^{\dagger}$ , similar to Definition 2.2. Let  $T \in \text{Rep}_{\mathbb{Z}_p}(G_R)$ ; then  $\mathbf{D}^{\dagger}(T) := (A^{\dagger} \otimes_{\mathbb{Z}_p} T)^{H_R}$  is an étale  $(\varphi, \Gamma_R)$ -module over  $A_{\bar{R}}^{\dagger}$ . Moreover, if  $T$  is finite free over  $\mathbb{Z}_p$ , then  $\mathbf{D}^{\dagger}(T)$  is finite projective over  $A_{\bar{R}}^{\dagger}$  of rank  $\text{rk}_{\mathbb{Z}_p} T$ . The functor

$$\mathbf{D}^{\dagger} : \text{Rep}_{\mathbb{Z}_p}(G_R) \rightarrow (\varphi, \Gamma_R)\text{-Mod}_{A_{\bar{R}}^{\dagger}}^{\text{ét}}$$

induces an equivalence of categories; see [Andreatta and Brinon 2008, Théorème 4.35]. Moreover, extension of scalars along  $A_{\bar{R}}^{\dagger} \rightarrow A_R$  gives the following isomorphism of étale  $(\varphi, \Gamma_R)$ -modules over  $A_R$

$$A_R \otimes_{A_{\bar{R}}^{\dagger}} \mathbf{D}^{\dagger}(T) \xrightarrow{\sim} \mathbf{D}(T).$$

Finally, we introduce the analytic rings to be used in Section 5. Let  $0 < u \leq v$  and  $\alpha, \beta \in \mathcal{O}_{\mathbb{C}_p}^{\flat}$  such that  $v^{\flat}(\alpha) = 1/v$  and  $v^{\flat}(\beta) = 1/u$ . We set  $A_{\bar{R}}^{[u]}$  to be the  $p$ -adic completion of  $A_{\text{inf}}(\bar{R})[[\beta]/p]$  and  $A_{\bar{R}}^{[u,v]}$  to be the  $p$ -adic completion of  $A_{\text{inf}}(\bar{R})[[p/[\alpha], [\beta]/p]]$ . The  $G_R$ -action on  $A_{\text{inf}}(\bar{R})$  extends to these rings and commutes with the extension of Frobenius to these rings, denoted again by  $\varphi$ . For the homomorphism  $\varphi$ , we have

$$\varphi(A_{\bar{R}}^{[u]}) = A_{\bar{R}}^{[u/p]} \quad \text{and} \quad \varphi(A_{\bar{R}}^{[u,v]}) = A_{\bar{R}}^{[u/p, v/p]}.$$

Moreover, we have inclusions  $A_{\bar{R}}^{[u]} \subset \mathbf{B}_{\text{dR}}^+(\bar{R})$  for  $u \leq 1$  and  $A_{\bar{R}}^{[u,v]} \subset \mathbf{B}_{\text{dR}}^+(\bar{R})$  for  $u \leq 1 \leq v$ ; see [Colmez and Nizioł 2017, §2.4.2]. We use these embeddings to induce filtrations on  $A_{\bar{R}}^{[u]}$  and  $A_{\bar{R}}^{[u,v]}$ .

**2.4.4. Fundamental exact sequences.** The Artin–Schreier exact sequence in (2-1) can be upgraded to the following exact sequences (see [Andreatta and Iovita 2008, §8.1; Colmez and Nizioł 2017, Lemma 2.23]):

$$\begin{aligned} 0 \rightarrow \mathbb{Z}_p \rightarrow A_{\bar{R}} \xrightarrow{1-\varphi} A_{\bar{R}} \rightarrow 0, \\ 0 \rightarrow \mathbb{Z}_p \rightarrow A_{\bar{R}}^{(0,v]+} \xrightarrow{1-\varphi} A_{\bar{R}}^{(0,v/p]+} \rightarrow 0 \quad \text{for } v > 0. \end{aligned} \tag{2-4}$$

Furthermore, for  $0 < u \leq 1 \leq v$ , the  $p^r$ -exact sequence in (2-2) can be upgraded to a  $p^{4r}$ -exact sequence (see [Colmez and Nizioł 2017, Lemma 2.23]):

$$0 \rightarrow \mathbb{Z}_p(r) \rightarrow \text{Fil}^r A_{\bar{R}}^{[u,v]} \xrightarrow{p^r - \varphi} A_{\bar{R}}^{[u,v/p]} \rightarrow 0. \tag{2-5}$$

**2.4.5. The operator  $\psi$ .** Let us define a left inverse  $\psi$  of the Frobenius operator  $\varphi$  on the ring  $\mathbf{A}$ . From [Andreatta and Brinon 2008, Corollaire 4.10], note that the  $\mathbf{A}$ -module  $\varphi^{-1}(\mathbf{A})$  is free with a basis given as  $u_{\alpha/p} = (1 + \pi)^{\alpha_0/p} [X_1^b]^{\alpha_1/p} \cdots [X_d^b]^{\alpha_d/p}$ , where  $\alpha = (\alpha_0, \dots, \alpha_d)$  is a  $(d+1)$ -tuple with  $\alpha_i \in \{0, 1, \dots, p-1\}$  for each  $0 \leq i \leq d$  (to get this statement from [loc. cit.], one should replace  $\varphi^{-1}(\mathbf{A})$  by  $\mathbf{A}$  there and take the  $p$ -th root of the basis elements). Define an operator (a left inverse of  $\varphi$ ) denoted by  $\psi : \mathbf{A} \rightarrow \mathbf{A}$  and given by the formula

$$x \mapsto \frac{1}{p^{d+1}} \circ \mathrm{Tr}_{\varphi^{-1}(\mathbf{A})/\mathbf{A}} \circ \varphi^{-1}(x).$$

**Proposition 2.4** [Andreatta and Brinon 2008, §4.8]. *Let  $x \in \mathbf{A}$ , and write  $\varphi^{-1}(x) = \sum_{\alpha} x_{\alpha} u_{\alpha/p}$ . Then we have  $\psi(x) = x_0$ . Moreover, for the operator  $\psi$ , we have  $\psi \circ \varphi = \mathrm{id}$ . Furthermore,  $\psi$  commutes with the action of  $G_R$ ,  $\psi(\mathbf{A}^+) \subset \mathbf{A}^+$  and  $\psi(\mathbf{A}^{\dagger}) \subset \mathbf{A}^{\dagger}$ .*

**2.5. Crystalline coordinates.** Here we introduce good ‘‘crystalline’’ coordinates; see [Abhinandan 2025, §3.2]. Let  $r_{\varpi}^+ = O_F[[X_0]]$  and  $r_{\varpi} = O_F[[X_0]][[X_0^{-1}]]$ . Sending  $X_0$  to  $\varpi = \zeta_{p^m} - 1$  induces a surjective ring homomorphism  $r_{\varpi}^+ \twoheadrightarrow O_K$ , whose kernel is generated by a degree  $e = [K : F] = p^{m-1}(p-1)$  Eisenstein polynomial  $P_{\varpi} = P_{\varpi}(X_0)$ . Let  $R_{\varpi, \square}^+$  denote the completion of  $O_F[X_0, X, X^{-1}]$  for the  $(p, X_0)$ -adic topology. Sending  $X_0$  to  $\varpi$  induces a surjective ring homomorphism  $R_{\varpi, \square}^+ \twoheadrightarrow O_K\{X, X^{-1}\}$ , whose kernel is again generated by  $P_{\varpi}$ . Recall that  $R$  is étale over  $O_F\{X, X^{-1}\}$  and we have multivariate polynomials  $Q_i(Z_1, \dots, Z_s) \in O_F\{X, X^{-1}\}[Z_1, \dots, Z_s]$  for  $1 \leq i \leq s$  such that  $\det(\partial Q_i / \partial Z_j)$  is invertible in  $R$ . Set  $R_{\varpi}^+$  to be the quotient of the  $(p, X_0)$ -adic completion of  $R_{\varpi, \square}^+[Z_1, \dots, Z_s]$  by the ideal  $(Q_1, \dots, Q_s)$ . Again, we have that  $\det(\partial Q_i / \partial Z_j)$  is invertible in  $R_{\varpi}^+$  (since  $R \hookrightarrow R_{\varpi}^+$ ). Hence  $R_{\varpi}^+$  is étale over  $R_{\varpi, \square}^+$  and smooth over  $O_F$ . Sending  $X_0$  to  $\varpi$  induces a surjective ring homomorphism  $R_{\varpi}^+ \twoheadrightarrow R[\varpi]$ , whose kernel is again generated by  $P_{\varpi}$ . Since  $P_{\varpi} \equiv X_0^e \pmod{p}$ , we have

$$R_{\varpi}^+[P_{\varpi}^k/k!]_{k \in \mathbb{N}} = R_{\varpi}^+[X_0^k/[k/e]!]_{k \in \mathbb{N}}.$$

Set  $R_{\varpi}^{\mathrm{PD}}$  to be the  $p$ -adic completion of  $R_{\varpi}^+[P_{\varpi}^k/k!]_{k \in \mathbb{N}}$ .

Recall that  $\Omega_R^1$  denotes the  $p$ -adic completion of the module of differentials of  $R$  relative to  $\mathbb{Z}$ , and we have

$$\Omega_R^1 = \bigoplus_{i=1}^d R d \log X_i \quad \text{and} \quad \Omega_R^k = \bigwedge_R^k \Omega_R^1.$$

Moreover, since  $R_{\varpi}^+$  is étale over  $R_{\varpi, \square}^+$ , for  $S = R_{\varpi, \square}^+$  or  $R_{\varpi}^+$ , we have

$$\Omega_S^1 = S \frac{dX_0}{1+X_0} \oplus \left( \bigoplus_{i=1}^d S d \log X_i \right).$$

**Definition 2.5.** For  $0 < u \leq v$ , define  $R_{\varpi}^{(0,v)+}$  to be the  $p$ -adic completion of  $R_{\varpi}^+[p^{\lceil vk/e \rceil}/X_0^k]_{k \in \mathbb{N}}$  and set  $R_{\varpi}^{(0,v)} := R_{\varpi}^{(0,v)+}[1/X_0]$ . Furthermore, define  $R_{\varpi}^{[u]}$  to be the  $p$ -adic completion of  $R_{\varpi}^+[X_0^k/p^{\lfloor uk/e \rfloor}]_{k \in \mathbb{N}}$ , define  $R_{\varpi}^{[u,v]}$  to be the  $p$ -adic completion of  $R_{\varpi}^+[X_0^k/p^{\lfloor uk/e \rfloor}, p^{\lceil vk/e \rceil}/X_0^k]_{k \in \mathbb{N}}$  and set  $R_{\varpi}$  as the  $p$ -adic

completion of  $R_{\varpi}^+[1/X_0]$ . We will write  $R_{\varpi}^{\star}$  for  $\star \in \{ \ , +, \text{PD}, [u], (0, v)^+, [u, v] \}$  and, for the arithmetic case, i.e.,  $R = O_F$ , we will write  $r_{\varpi}^{\star}$  instead. Going from  $R_{\varpi}^+$  to  $R_{\varpi}^{\star}$  only involves the arithmetic variable  $X_0$ , so we have  $R_{\varpi}^{\star} = r_{\varpi}^{\star} \widehat{\otimes}_{r_{\varpi}^+} R_{\varpi}^+$ , where  $\widehat{\otimes}$  denotes the  $p$ -adic completion of the usual tensor product.

**Remark 2.6.** Unless otherwise stated, we will assume  $(p-1)/p \leq u \leq v/p < 1 < v < p$ : for example, we can take  $u = (p-1)/p$  and  $v = p-1$ .

**Definition 2.7.** Define a filtration on the rings in [Definition 2.5](#) as follows:

- (i) Let  $S = R_{\varpi}^{(0,v)^+}$  ( $v < 1$ ),  $R_{\varpi}^{(0,v)}$  ( $v < 1$ ),  $R_{\varpi}^{[u,v]}$  ( $1 \notin [u, v]$ ) or  $R_{\varpi}$ . As  $P_{\varpi}$  is invertible in  $S[1/p]$ , we put the trivial filtration on  $S$ .
- (ii) Let  $S$  be the placeholder for all the remaining rings in [Definition 2.5](#); in particular, we have that  $P_{\varpi}$  is not invertible in  $S[1/p]$ . Then, there is a natural embedding

$$S \rightarrow R[\varpi, 1/p][[P_{\varpi}]] = R[\varpi, 1/p][[X_0 - \varpi]],$$

obtained by completing  $S[1/p]$  for the  $P_{\varpi}$ -adic topology and where we note that  $P_{\varpi}$  and  $X_0 - \varpi$  generate the same ideal in  $R[\varpi, 1/p][[P_{\varpi}]]$ . We use this embedding to endow  $S$  with a natural filtration  $\text{Fil}^k S := S \cap P_{\varpi}^k R[\varpi, 1/p][[P_{\varpi}]]$  for all  $k \in \mathbb{Z}$ .

**Remark 2.8.** Let us describe the filtration on the rings of [Definition 2.7](#) (ii) more concretely. Note that  $\text{Fil}^k S = S$  for  $k \leq 0$ . For any  $k \in \mathbb{N}$ , the ideal  $\text{Fil}^k R_{\varpi}^{\text{PD}} \subset R_{\varpi}^{\text{PD}}$  is topologically generated by the elements  $P_{\varpi}^n/n!$  for  $n \geq k$ ; i.e.,  $\text{Fil}^k R_{\varpi}^{\text{PD}}$  is the closure of the ideal generated by such elements. Similarly, the ideal  $\text{Fil}^k R_{\varpi}^{[u]} \subset R_{\varpi}^{[u]}$  is topologically generated by the elements  $P_{\varpi}^n/p^{[nu]}$  for  $n \geq k$ . Using this description, an easy computation shows that  $\text{Fil}^k R_{\varpi}^{[u]} \subset (P_{\varpi}/p)^k R_{\varpi}^{[u]}$ . On the other hand, we have that  $\text{Fil}^k R_{\varpi}^{(0,v)^+} = P_{\varpi}^k R_{\varpi}^{(0,v)^+}$ . By definition, note that  $R_{\varpi}^{[u,v]} = R_{\varpi}^{[u]} + R_{\varpi}^{(0,v)^+}$ , so we get that the ideal  $\text{Fil}^k R_{\varpi}^{[u,v]} \subset R_{\varpi}^{[u,v]}$  is topologically generated by  $(\text{Fil}^k R_{\varpi}^{[u]} + \text{Fil}^k R_{\varpi}^{(0,v)^+})R_{\varpi}^{[u,v]}$ .

The following claim easily follows from [Remark 2.8](#).

**Lemma 2.9** [[Colmez and Nizioł 2017](#), Lemma 2.6]. *For any  $k \in \mathbb{N}$  and  $f \in R_{\varpi}^{[u]}$ , we can write  $f = f_1 + f_2$  with  $f_1 \in \text{Fil}^k R_{\varpi}^{[u]}$  and  $f_2 \in (1/p^{[ku]})R_{\varpi}^+$ .*

**2.6. Cyclotomic Frobenius.** In this subsection, we will define a (cyclotomic) Frobenius endomorphism and its left inverse on the rings studied in the previous section; see [[Abhinandan 2025](#), §3.3].

**Definition 2.10.** Over  $R_{\varpi, \square}^+$ , define the (cyclotomic) Frobenius as a lift of the absolute Frobenius modulo  $p$ , denoted by  $\varphi : R_{\varpi, \square}^+ \rightarrow R_{\varpi, \square}^+$  and sending  $X_0 \mapsto (1 + X_0)^p - 1$  and  $X_i \mapsto X_i^p$  for  $1 \leq i \leq d$ . Clearly, we have that  $\varphi(x) - x^p$  is in  $pR_{\varpi, \square}^+$  for any  $x$  in  $R_{\varpi, \square}^+$ . Using [[Colmez and Nizioł 2017](#), Proposition 2.1], the Frobenius extends to an endomorphism  $\varphi : R_{\varpi}^+ \rightarrow R_{\varpi}^+$ . Finally, by continuity, the Frobenius admits unique extensions

$$R_{\varpi}^{\text{PD}} \rightarrow R_{\varpi}^{\text{PD}}, \quad R_{\varpi}^{[u]} \rightarrow R_{\varpi}^{[u]}, \quad R_{\varpi}^{(0,v)^+} \rightarrow R_{\varpi}^{(0,v/p)^+}, \quad R_{\varpi}^{[u,v]} \rightarrow R_{\varpi}^{[u,v/p]} \quad \text{and} \quad R_{\varpi} \rightarrow R_{\varpi}.$$

Recall that

$$r_{\varpi}^{[u]} = \left\{ \sum_{k \in \mathbb{N}} a_k p^{-[ku/e]} X_0^k \mid a_k \in \mathcal{O}_F \text{ goes to } 0 \text{ as } k \rightarrow +\infty \right\}.$$

Denote by  $v_{X_0} : r_{\varpi}^{[u]} \rightarrow \mathbb{N} \cup \{+\infty\}$  the valuation relative to  $X_0$ ; i.e., if  $f = \sum b_k X_0^k$ , then

$$v_{X_0}(f) = \inf\{k \in \mathbb{N} \mid b_k \neq 0\}.$$

For  $N \in \mathbb{N}$ , we set

$$r_{\varpi, N}^{[u]} := \{f \in r_{\varpi}^{[u]} \mid v_{X_0}(f) \geq N\}$$

and define  $R_{\varpi, N}^{[u]}$  to be the topological closure of  $r_{\varpi, N}^{[u]} \otimes_{r_{\varpi}^+} R_{\varpi}^+ \subset R_{\varpi}^{[u]}$ .

**Lemma 2.11.** *Let  $s \in \mathbb{Z}$  and  $N \in \mathbb{N}_{\geq 1}$  such that  $N \geq se/u(p-1)$ ; then  $1 - p^{-s}\varphi$  is bijective on  $R_{\varpi, N}^{[u]}$ .*

*Proof.* The claim follows from [Colmez and Nizioł 2017, Lemma 3.1], where, by explicit computations, one shows that  $p^{-ks}\varphi^k(R_{\varpi, N}^{[u]}) \subset p^{n(k)}R_{\varpi, N}^{[u]}$ , where  $n(k)$  depends on  $k$  and goes to  $+\infty$  as  $k \rightarrow +\infty$ . So it follows that the series of operators  $\sum_{k \in \mathbb{N}} p^{-ks}\varphi^k$  converge as an inverse to  $1 - p^{-s}\varphi$  on  $R_{\varpi, N}^{[u]}$ .  $\square$

**2.6.1. The operator  $\psi$ .** Set  $u_{\alpha} := (1 + X_0)^{\alpha_0} X_1^{\alpha_1} \cdots X_d^{\alpha_d}$ , where  $\alpha = (\alpha_0, \dots, \alpha_d)$  is a  $(d+1)$ -tuple with  $\alpha_i \in \{0, \dots, p-1\}$  for each  $0 \leq i \leq d$ . Over the ring  $R_{\varpi}$ , we have  $\mathcal{O}_F$ -linear differential operators  $\partial_0 = (1 + X_0)d/dX_0$  and  $\partial_i = X_i d/dX_i$  for  $1 \leq i \leq d$ . Therefore, for  $0 \leq i \leq d$ , we have that  $\partial_i u_{\alpha} = \alpha_i u_{\alpha}$  and  $\varphi(u_{\alpha}) = u_{\alpha}^p$ .

**Lemma 2.12** [Colmez and Nizioł 2017, Proposition 2.15]. *Any  $x$  in  $R_{\varpi}/p$  can be uniquely written as  $x = \sum_{\alpha} c_{\alpha}(x)$ , with  $\partial_i \circ c_{\alpha}(x) = \alpha_i c_{\alpha}(x)$  for  $0 \leq i \leq d$ . Moreover, there exists a unique  $x_{\alpha}$  in  $R_{\varpi}/p$  such that  $c_{\alpha}(x) = x_{\alpha}^p u_{\alpha}$ . Furthermore, if  $x$  is in  $R_{\varpi}^+/p$ , then  $c_{\alpha}(x)$  belongs to  $R_{\varpi}^+/p$ .*

**Proposition 2.13.** *Any  $x$  in  $R_{\varpi}$  can be uniquely written as  $x = \sum_{\alpha} c_{\alpha}(x)$ , with  $c_{\alpha}(x)$  in  $\varphi(R_{\varpi})u_{\alpha}$ . Moreover, if  $x$  is in  $R_{\varpi}^+$  with  $c_{\alpha}(x) = \varphi(x_{\alpha})u_{\alpha}$ , then  $c_{\alpha}(x)$  belongs to  $R_{\varpi}^+$  for all  $\alpha$ , and  $\partial_i c_{\alpha}(x) - \alpha_i c_{\alpha}(x)$  belongs to  $pR_{\varpi}^+$  for  $0 \leq i \leq d$ . Finally, if  $x$  is in  $R_{\varpi}^{(0, v]^+}$ , then  $c_{\alpha}(x)$  is in  $R_{\varpi}^{(0, v]^+}$  for all  $\alpha$ .*

*Proof.* The first two claims follow from Lemma 2.12 and the last from [Colmez and Nizioł 2017, Proposition 2.15].  $\square$

**Definition 2.14.** Define the left inverse  $\psi$  of the Frobenius  $\varphi$  on  $S = R_{\varpi}^+$  or  $S = R_{\varpi}$  by the formula  $\psi(x) = \varphi^{-1}(c_0(x))$ . Since  $R_{\varpi}$  is an extension of degree  $p^{d+1}$  of  $\varphi(R_{\varpi})$ , with basis the  $u_{\alpha}$ , and since  $\varphi(u_{\alpha}) = u_{\alpha}^p$  for all  $\alpha$ , we have that  $\text{Tr}_{R_{\varpi}/\varphi(R_{\varpi})}(u_{\alpha}) = 0$  if  $\alpha \neq 0$ , and we can define  $\psi$  intrinsically as

$$\psi(x) := \frac{1}{p^{d+1}} \varphi^{-1} \circ \text{Tr}_{R_{\varpi}/\varphi(R_{\varpi})}(x).$$

The operator  $\psi$  defined above is closely related to the operator defined in Proposition 2.4 (also denoted by  $\psi$ ; the relation will become clear in Section 2.7). Note that  $\psi$  is not a ring morphism; it is a left inverse to  $\varphi$  and, more generally, we have  $\psi(\varphi(x)y) = x\psi(y)$ . Also, we have  $\partial_i \circ \varphi = p\varphi \circ \partial_i$  and  $\partial_i \circ \psi = p^{-1}\psi \circ \partial_i$  for  $i = 0, 1, \dots, d$ . Indeed, the first equality can be obtained by checking on the basis elements  $u_{\alpha}$  and the second equality is obtained by an easy computation using Proposition 2.13.

For any  $k \in \mathbb{N}$ , we can write  $X_0^k = \sum_{j=0}^{p-1} \varphi(a_{j,k})(1+X_0)^j$  for some  $a_{j,k}$  in  $R_{\overline{\omega}}^+$ . Therefore, by continuity, we obtain the following.

**Lemma 2.15.** (i) *The definition of  $\psi$  extends to surjective maps*

$$R_{\overline{\omega}}^{(0,v)+} \rightarrow R_{\overline{\omega}}^{(0,pv)+}, \quad R_{\overline{\omega}}^{[u]} \rightarrow R_{\overline{\omega}}^{[pu]} \quad \text{and} \quad R_{\overline{\omega}}^{[u,v]} \rightarrow R_{\overline{\omega}}^{[pu,pv]}.$$

(ii) *For the same reasons, the maps  $x \mapsto c_{\alpha}(x)$  also extend and lead to decompositions  $S = \bigoplus_{\alpha} S_{\alpha}$ , where  $S_{\alpha} = S \cap \varphi(R_{\overline{\omega}})u_{\alpha}$  for  $S = R_{\overline{\omega}}^{\star}$ , with  $\star \in \{ \ , +, [u], (0, v)+, [u, v] \}$ . Since  $\psi(x) = \varphi^{-1}(c_0(x))$ , we have that  $S^{\psi=0} = \bigoplus_{\alpha \neq 0} S_{\alpha}$ .*

**Lemma 2.16.** *Let  $S = R_{\overline{\omega}}^{\star}$  for  $\star \in \{ \ , +, [u], (0, v)+, [u, v] \}$ . Then, for  $0 \leq i \leq d$ , the operator  $\partial_i$  on  $S_{\alpha}^{\star}/pS_{\alpha}^{\star}$  is given by multiplication by  $\alpha_i$ , where  $\alpha_i$  is the  $i$ -th entry in  $\alpha = (\alpha_0, \dots, \alpha_d)$ .*

*Proof.* If  $\star \in \{ \ , + \}$ , then the claim was already shown in [Proposition 2.13](#). For  $\star \in \{ [u], (0, v)+, [u, v] \}$ , the elements of  $S_{\alpha}^{\star}$  are those of the form  $\sum_{k \in \mathbb{Z}} p^{r_k} X_0^k x_k$ , where  $x_k \in S^+$  goes to 0 when  $k \rightarrow +\infty$  and  $r_k$  is determined by “ $\star$ ”. Let

$$x = \sum_{k \in \mathbb{Z}} p^{r_k} X_0^k x_k.$$

Then, note that, for  $1 \leq i \leq d$ , we have that  $\partial_i(X_0^k a_k) - \alpha_i X_0^k a_k = X_0^k(\partial_i(a_k) - \alpha_i a_k)$  belongs to  $pS^+$  by [Proposition 2.13](#). Therefore, the claim follows for all  $1 \leq i \leq d$  and  $\star \in \{ \ , +, [u], (0, v)+, [u, v] \}$ . Next, we will look at the case of  $i = 0$ . We first assume that  $x$  is in  $S^{[u]}$  and write

$$x = \sum_{k \in \mathbb{N}} p^{r_k} x_k \sum_{j=0}^{p-1} \varphi(a_{j,k})(1+X_0)^j \quad \text{for some } a_{j,k} \in S^+.$$

Then,

$$c_{\alpha}(x) = \sum_{j=0}^{p-1} \sum_{k \in \mathbb{N}} p^{r_k} \varphi(a_{j,k}) c_{(\alpha_0-j, \alpha_1, \dots, \alpha_d)}(x_k)(1+X_0)^j,$$

where  $\alpha_0 - j$  denotes its value modulo  $p$ . Since  $\partial_0(c_{(\alpha_0-j, \alpha_1, \dots, \alpha_d)}(x_k)) - (\alpha_0 - j)c_{(\alpha_0-j, \alpha_1, \dots, \alpha_d)}(x_k)$  belongs to  $pS^+$  and  $\partial_0 \circ \varphi = p\varphi \circ \partial_0$ , we get the desired conclusion for  $i = 0$  and  $x$  in  $S^{[u]}$ . Next, assume that  $x$  is in  $S^{(0,v)+}$  and, using the result for  $S$ , we get that  $\partial_0(x) - \alpha_0 x$  belongs to  $pS \cap S^{(0,v)+} = pS^{(0,v)+}$ . Finally, by combining the results for  $S^{[u]}$  and  $S^{(0,v)+}$ , we get the conclusion for any  $x$  in  $S^{[u,v]}$ . This allows us to conclude.  $\square$

**Proposition 2.17.** *Assume that  $v < p$ .*

- (i) *Let  $x \in R_{\overline{\omega}}^{\psi=0}$ , then  $X_0^k \psi(x) = \psi(\varphi(X_0)^k x)$  for all  $k \in \mathbb{Z}$ .*
- (ii)  *$\psi(X_0^{-pN} R_{\overline{\omega}}^{(0,v/p^+)+}) \subset X_0^{-N} R_{\overline{\omega}}^{(0,v^+)+}$  for all  $N \in \mathbb{N}$ .*
- (iii) *The natural map  $\bigoplus_{\alpha \neq 0} \varphi(R_{\overline{\omega}}^{(0,v^+)+})u_{\alpha} \rightarrow (R_{\overline{\omega}}^{(0,v/p^+)+})^{\psi=0}$  is an isomorphism.*

*Proof.* The claim in (i) follows from an elementary computation. Claims (ii) and (iii) follow from [\[Colmez and Nizioł 2017, Proposition 2.16\]](#).  $\square$

**2.7. Cyclotomic embedding.** In this subsection, we will describe the relationships between period rings discussed in Sections 2.2 and 2.4 as well as the ring  $R_{\varpi}^{\star}$ , where  $\star \in \{, +, \text{PD}\}$ . Define a morphism of rings  $\iota_{\text{cycl}} : R_{\varpi, \square}^+ \rightarrow \mathbf{A}_{\text{inf}}(\bar{R})$  by sending  $X_0 \mapsto \pi_m = \varphi^{-m}(\pi)$  and  $X_i \mapsto [X_i^p]$  for  $1 \leq i \leq d$ . The map  $\iota_{\text{cycl}}$  admits a unique extension to an embedding  $R_{\varpi}^+ \rightarrow \mathbf{A}_{\text{inf}}(\bar{R})$  such that  $\theta \circ \iota_{\text{cycl}}$  is the projection  $R_{\varpi}^+ \twoheadrightarrow R[\varpi]$ ; see [Abhinandan 2025, Lemma 3.12]. This embedding commutes with the respective Frobenii; i.e.,  $\iota_{\text{cycl}} \circ \varphi = \varphi \circ \iota_{\text{cycl}}$ . By continuity, the morphism  $\iota_{\text{cycl}}$  extends to embeddings

$$R_{\varpi}^{\text{PD}} \subset \mathbf{A}_{\text{cris}}(\bar{R}), \quad R_{\varpi}^{[u]} \subset \mathbf{A}_{\bar{R}}^{[u]}, \quad R_{\varpi}^{(0, v)+} \subset \mathbf{A}_{\bar{R}}^{(0, v)+}, \quad R_{\varpi}^{[u, v]} \subset \mathbf{A}_{\bar{R}}^{[u, v]} \quad \text{and} \quad R_{\varpi} \subset \mathbf{A}_{\bar{R}}.$$

Denote by  $\mathbf{A}_{R, \varpi}^{\star}$  the image of  $R_{\varpi}^{\star}$  under  $\iota_{\text{cycl}}$ . These rings are stable under the action of  $G_R$  and the action factors through  $\Gamma_R$ ; we equip these rings with the induced action of  $\Gamma_R$ . Moreover, for  $\star \in \{+, \text{PD}, [u], [u, v], (0, v)+\}$ , we equip  $\mathbf{A}_{R, \varpi}^{\star}$  with a filtration using Definition 2.7 and  $\iota_{\text{cycl}}$ . It is easy to see that, for  $u \leq 1 \leq v$ , the filtration on  $\mathbf{A}_{R, \varpi}^{\star}$  coincides with the filtration induced via the embedding  $\mathbf{A}_{R, \varpi}^{\star} \subset \mathbf{B}_{\text{dR}}^+(\bar{R})$ , where we consider the natural filtration on  $\mathbf{B}_{\text{dR}}^+(\bar{R})$ ; see Section 2.2. From [Colmez and Nizioł 2017, §2.4.2], note that we have  $(\varphi, \Gamma_R)$ -equivariant inclusions  $\mathbf{A}_{R, \varpi}^{[u']} \subset \mathbf{A}_{R, \varpi}^{\text{PD}} \subset \mathbf{A}_{R, \varpi}^{[u]}$  for  $u \geq 1/(p-1)$  and  $u' \leq 1/p$ .

Note that the preceding discussion works well for  $R[\varpi]$ , where  $\varpi = \zeta_{p^m} - 1$  with  $m \geq 1$ . For  $R$ , one can repeat the constructions above to obtain the period ring  $\mathbf{A}_R^+ \subset \mathbf{A}_{R, \varpi}^+$  (see [Abhinandan 2025, §3.3.2]) equipped with an induced filtration  $\text{Fil}^k \mathbf{A}_R^+ = \mathbf{A}_R^+ \cap \text{Fil}^k \mathbf{A}_{R, \varpi}^+ = \pi^k \mathbf{A}_R^+$  (see [Abhinandan 2025, Lemma 3.17]). We recall the following.

**Lemma 2.18** [Abhinandan 2025, Lemma 3.14]. *The element  $t/\pi$  is a unit in*

$$\mathbf{A}_{F, \varpi}^{\text{PD}} \subset \mathbf{A}_{R, \varpi}^{\text{PD}} \subset \mathbf{A}_{R, \varpi}^{[u]} \subset \mathbf{A}_{R, \varpi}^{[u, v]}.$$

**Lemma 2.19.** *For  $k \in \mathbb{Z}$  and  $\star \in \{+, \text{PD}, [u], [u, v]\}$ , we have*

$$\text{Fil}^k \mathbf{A}_{R, \varpi}^{\star} \cap \pi \mathbf{A}_{R, \varpi}^{\star} = \pi \text{Fil}^{k-1} \mathbf{A}_{R, \varpi}^{\star}$$

*as submodules of  $\mathbf{A}_{R, \varpi}^{\star}$ .*

*Proof.* Let

$$A = \mathbf{A}_{R, \varpi}^{\star} \quad \text{and} \quad B = R[\varpi, 1/p][[P_{\varpi}]] = R[\varpi, 1/p][[X_0 - \varpi]]$$

(see Definition 2.7 for the latter ring), where  $\varpi = \zeta_{p^m} - 1$ . Using the inverse of the isomorphism  $\iota_{\text{cycl}} : R_{\varpi}^{\star} \xrightarrow{\sim} \mathbf{A}_{R, \varpi}^{\star} = A$ , we may regard  $A$  as a subring of  $B$ . Now, we will prove the claim by induction on  $k$ . Note that the claim is trivial for  $k \leq 0$  and, for  $k = 1$ , we have that  $\text{Fil}^k A \cap \pi A = \pi A$ . So, let  $k \in \mathbb{N}_{\geq 2}$ , and assume that the claim is true for  $k-1$ ; i.e.,  $\text{Fil}^{k-1} A \cap \pi A = \pi \text{Fil}^{k-2} A$ . Now, note that

$$\text{Fil}^k A \cap \pi A = \text{Fil}^k A \cap \text{Fil}^{k-1} A \cap \pi A = \text{Fil}^k A \cap \pi \text{Fil}^{k-2} A.$$

In particular, to get the claim, it is enough to show that  $\text{Fil}^k A \cap \pi \text{Fil}^{k-2} A = \pi \text{Fil}^{k-1} A$ . Let  $x$  be an element of  $\text{Fil}^k A \cap \pi \text{Fil}^{k-2} A$ , and write  $x = \pi y$  for some  $y$  in  $\text{Fil}^{k-2} A$ . From the description of the filtration on  $A$  in Definition 2.7, it follows that we can write  $x = \xi^k x'$  and  $y = \xi^{k-2} y'$  for some  $x'$  and  $y'$

in  $B$  (note that  $\iota_{\text{cycl}}(P_{\varpi}) = \xi$ ). Since  $B$  is  $\xi$ -torsion free and  $\pi = \xi\pi_1$ , we get that  $\xi x' = \pi_1 y'$  in  $B$ . But we have

$$\pi_1 = (1 + \pi_m)^{p^{m-1}} - 1 = (\pi_m - \varpi + \zeta_{p^m})^{p^{m-1}} - 1 = (\pi_m - \varpi)z + \zeta_p - 1$$

for some  $z$  in  $B$  and  $\zeta_p = \zeta_{p^m}^{p^{m-1}}$  (note that  $\pi_m = \iota_{\text{cycl}}(X_0)$ ). Moreover, from [Definition 2.7](#), recall that  $\xi$  and  $\pi_m - \varpi$  generate the same ideal in  $B$ . Therefore, we obtain that  $(\zeta_p - 1)y' = \xi x' - (\pi_m - \varpi)zy'$  is an element of  $\xi B$ . As  $(\zeta_p - 1)$  is a unit in  $B$ , it follows that we have  $y' = \xi y''$  for some  $y''$  in  $B$ . So, we can write  $y = \xi^{k-2}y' = \xi^{k-1}y''$  and see that it belongs to  $\xi^{k-1}B \cap A = \text{Fil}^{k-1}A$ . Hence  $x = \pi y$  is an element of  $\pi \text{Fil}^{k-1}A$ ; in particular,  $\text{Fil}^k A \cap \pi \text{Fil}^{k-2}A \subset \pi \text{Fil}^{k-1}A$ . The other inclusion, i.e.,  $\pi \text{Fil}^{k-1}A \subset \text{Fil}^k A \cap \pi \text{Fil}^{k-2}A$ , is obvious.  $\square$

**Lemma 2.20** [[Colmez and Nizioł 2017](#), Lemma 2.35]. *If  $v < p$ , then the following hold:*

- (i) *The element  $\pi_m^{-p^{m-1}}\pi_1$  is a unit in  $\mathbf{A}_{R,\varpi}^{(0,v] +}$ .*
- (ii) *In  $\mathbf{A}_{R,\varpi}^{(0,v] +}$ , the element  $p$  is divisible by  $\pi_m^{\lfloor (p-1)p^{m-1}/v \rfloor}$  and hence also by  $\pi_m^{(p-1)p^{m-2}}$ .*
- (iii) *Let  $v = p - 1$ . Then  $\pi_m^{-p^m}\pi$  is a unit in  $\mathbf{A}_{R,\varpi}^{(0,v/p] +}$  and  $p/\pi \in \mathbf{A}_{R,\varpi}^{(0,v/p] +}$ .*

Next, we prove some claims for the action of  $\Gamma_R$ .

**Lemma 2.21.** *Let  $k \in \mathbb{N}$ , and note that, for  $\star \in \{+, \text{PD}, [u]\}$  and  $i \in \{0, 1, \dots, d\}$ , we have*

$$(\gamma_i - 1)(p^m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{\star} \subset (p^m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^{\star}.$$

*Proof.* Let  $i = 0$ , and note that we have  $(\gamma_0 - 1)\pi_m = \pi x$  for some  $x \in \mathbf{A}_{R,\varpi}^+$ . Since  $\pi = (1 + \pi_m)^{p^m} - 1$ , we get that  $(\gamma_0 - 1)\pi_m$  belongs to  $(p^m\pi_m, \pi_m^{p^m})\mathbf{A}_{R,\varpi}^+$ . Moreover,  $(\gamma_0 - 1)\pi_m^{p^m} = (\pi x + \pi_m)^{p^m} - \pi_m^{p^m}$  belongs to  $(p^m\pi_m, \pi_m^{p^m})^2\mathbf{A}_{R,\varpi}^+$ . Proceeding by induction on  $k \geq 1$  and using the fact that  $\gamma_0 - 1$  acts as a twisted derivation (i.e., for all  $x, y$  in  $\mathbf{A}_{R,\varpi}^+$ , we have  $(\gamma_0 - 1)xy = (\gamma_0 - 1)x \cdot y + \gamma_0(x)(\gamma_0 - 1)y$ ), we conclude that

$$(\gamma_0 - 1)(p^m\pi_m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^+ \subset (p^m\pi_m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^+.$$

Furthermore, any  $f$  in  $\mathbf{A}_{R,\varpi}^{\text{PD}}$  can be written as  $f = \sum_{n \in \mathbb{N}} f_n \pi_m^n / (|n/e|!)$  such that  $f_n$  is in  $\mathbf{A}_{R,\varpi}^+$  and goes to 0  $p$ -adically as  $n \rightarrow +\infty$ . For notational convenience, we take  $n = je$  for some  $j$  in  $\mathbb{N}$ , and see that  $(\gamma_0 - 1)\pi_m^{je}/j!$  is in  $(p^m, \pi_m^{p^m})\mathbf{A}_{R,\varpi}^{\text{PD}}$ . Proceeding by induction on  $k \geq 1$  and using that  $\gamma_0 - 1$  acts as a twisted derivation, we conclude that

$$(\gamma_0 - 1)(p^m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{\text{PD}} \subset (p^m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^{\text{PD}}.$$

Next, for  $1 \leq i \leq d$ , note that we have that  $(\gamma_i - 1)[X_i^{\flat}] = \pi[X_i^{\flat}]$  belongs to  $(p^m, \pi_m^{p^m})\mathbf{A}_{R,\varpi}^+$  and  $(\gamma_i - 1)([X_i^{\flat}]^{-1}) = -\pi(1 + \pi)^{-1}[X_i^{\flat}]^{-1}$  belongs to  $(p^m\pi_m, \pi_m^{p^m})\mathbf{A}_{R,\varpi}^+$ . Proceeding by induction on  $k \geq 0$  and using the fact that  $\gamma_i - 1$  also acts as a twisted derivation, we conclude that

$$(\gamma_i - 1)(p^m\pi_m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^+ \subset (p^m\pi_m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^+.$$

Again, by the description of elements of  $\mathbf{A}_{R,\varpi}^{\text{PD}}$ , using the discussion for  $\mathbf{A}_{R,\varpi}^+$  and the fact that  $\gamma_i - 1$  acts as a twisted derivation, we conclude that

$$(\gamma_i - 1)(p^m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{\text{PD}} \subset (p^m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^{\text{PD}}.$$

Finally, the claim for  $\mathbf{A}_{R,\varpi}^{[u]}$  follows in a similar manner.  $\square$

**Lemma 2.22.** *We have*

$$(\gamma_0 - 1)\mathbf{A}_{R,\varpi}^{(0,v] +} \subset (p^m \pi_m, \pi_m^{p^m}) \mathbf{A}_{R,\varpi}^{(0,v] +} \quad \text{and} \quad (\gamma_i - 1)\mathbf{A}_{R,\varpi}^{(0,v] +} \subset \pi \mathbf{A}_{R,\varpi}^{(0,v] +}$$

for  $i \in \{1, \dots, d\}$ . Moreover, for  $i \in \{0, 1, \dots, d\}$  and  $k \in \mathbb{N}$ , we have

$$(\gamma_i - 1)(p^m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{[u,v]} \subset (p^m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^{[u,v]}.$$

*Proof.* Let  $i = 0$ . From the proof of [Lemma 2.21](#), we have that  $(\gamma_0 - 1)\pi_m$  is in  $(p^m \pi_m, \pi_m^{p^m}) \mathbf{A}_{R,\varpi}^+$ . So we conclude that  $(\gamma_0 - 1)\mathbf{A}_{R,\varpi}^+$  belongs to  $(p^m \pi_m, \pi_m^{p^m}) \mathbf{A}_{R,\varpi}^+$ . Observe that  $\gamma_0(\pi_m) = \chi(\gamma_0)\pi_m a$ , where  $\chi(\gamma_0) = \exp(p^m)$  is in  $\mathbb{Z}_p^\times$  and  $a$  is a unit in  $\mathbf{A}_{R,\varpi}^+$ . So, we can write

$$(\gamma_0 - 1)\pi_m^{-1} = p^m z / (\chi(\gamma_0) a \pi_m),$$

and, therefore,  $(\gamma_0 - 1)(p/\pi_m)$  belongs to  $(p^m \pi_m, \pi_m^{p^m}) \mathbf{A}_{R,\varpi}^{(0,v] +}$ . Proceeding by induction on  $k \geq 1$  and using the fact that  $\gamma_0 - 1$  acts as a twisted derivation, we conclude that

$$(\gamma_0 - 1)(p^m \pi_m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{(0,v] +} \subset (p^m \pi_m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^{(0,v] +}.$$

Next, for  $1 \leq i \leq d$ , from the analysis for  $\mathbf{A}_{R,\varpi}^+$  in [Lemma 2.21](#), we already have  $(\gamma_i - 1)\mathbf{A}_{R,\varpi}^+ \subset \pi \mathbf{A}_{R,\varpi}^+$ . Since passing from  $\mathbf{A}_{R,\varpi}^+$  to  $\mathbf{A}_{R,\varpi}^{(0,v] +}$  involves only the arithmetic variable  $\pi_m$ , on which  $\gamma_i$  acts trivially, we therefore conclude that  $(\gamma_i - 1)\mathbf{A}_{R,\varpi}^{(0,v] +} \subset \pi \mathbf{A}_{R,\varpi}^{(0,v] +}$ . Proceeding by induction on  $k \geq 1$  and using that  $\gamma_i - 1$  acts as a twisted derivation, we get that

$$(\gamma_i - 1)(p^m \pi_m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{(0,v] +} \subset (p^m \pi_m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^{(0,v] +}.$$

This shows the first claim. Finally, the claim for  $\mathbf{A}_{R,\varpi}^{[u,v]}$  follows by combining the discussion above with [Lemma 2.21](#) for  $\mathbf{A}_{R,\varpi}^{[u]}$ .  $\square$

**2.8. Filtered Poincaré lemma.** Here we state and prove a filtered version of the PD-Poincaré lemma which will be useful for [Section 5](#).

**2.8.1. Fat period rings.** We recall the definition from [[Colmez and Nizioł 2017](#), §2.6] and [[Abhinandan 2025](#), §3.4]. Let  $A$  and  $B$  be two  $p$ -adically complete filtered  $O_F$ -algebras. Let  $\iota : B \rightarrow A$  be a continuous injective homomorphism of filtered  $O_F$ -algebras, and let  $f : B \otimes_{O_F} A \rightarrow A$  denote the ring homomorphism sending  $x \otimes y \mapsto \iota(x)y$ .

**Definition 2.23.** Define  $E$  to be the  $p$ -adic completion of the divided power envelope of  $B \otimes_{O_F} A$  with respect to  $\text{Ker } f$ .

For consistency in notation, in the following definition, we write  $\mathbf{A}_{\text{cris}}(\bar{R})$  as  $\mathbf{A}_{\bar{R}}^{\text{PD}}$ .

**Definition 2.24.** In the notation of [Definition 2.23](#), we record the following:

- (i) Let  $\star \in \{\text{PD}, [u], [u, v]\}$  and define  $E_{R, \varpi}^{\star} = E$  for  $B = R_{\varpi}^{\star}$ ,  $A = A_{R, \varpi}^{\star}$  and  $\iota = \iota_{\text{cycl}}$  (see [Section 2.7](#)).
- (ii) Let  $\star \in \{\text{PD}, [u], [u, v]\}$  and define  $E_{\bar{R}}^{\star} = E$  for  $B = R_{\bar{R}}^{\star}$ ,  $A = A_{\bar{R}}^{\star}$  and  $\iota = \iota_{\text{cycl}}$  (see [Section 2.7](#)).

**Remark 2.25.** Let us note some properties of the ring  $E$  in [Definition 2.24](#):

- (i) The ring  $E$  is the  $p$ -adic completion of  $B \otimes_{O_F} A$  adjoin  $(x \otimes 1 - 1 \otimes \iota(x))^{[k]}$  for all  $x$  in  $B$  and  $n \in \mathbb{N}$ , and  $(V_i - 1)^{[k]}$  for  $0 \leq i \leq d$  and  $k \in \mathbb{N}$ , where

$$V_i = \frac{X_i \otimes 1}{1 \otimes \iota(X_i)} \text{ for } 1 \leq i \leq d \quad \text{and} \quad V_0 = \frac{1 + (X_0 \otimes 1)}{1 + (1 \otimes \iota(X_0))}.$$

The morphism  $f : B \otimes_{O_F} A \rightarrow A$  extends uniquely to a continuous morphism  $f : E \rightarrow A$ .

- (ii) The ring  $E$  is equipped with a  $\mathbb{Z}$ -indexed decreasing filtration, which we define to be  $\text{Fil}^r E := E$  for  $r \leq 0$ , and, for  $r \geq 0$ , define  $\text{Fil}^r E$  to be the topological closure of the ideal generated by elements of the form  $x_1 x_2 \prod_{i=0}^d (V_i - 1)^{[k_i]}$ , with  $x_1$  in  $\text{Fil}^{r_1} B$ ,  $x_2$  in  $\text{Fil}^{r_2} A$  and  $r_1 + r_2 + \sum_{i=0}^d k_i \geq r$ .
- (iii) From [\[Colmez and Nizioł 2017, Lemma 2.36\]](#), we have that any element  $x$  in  $E$  can be uniquely written as

$$x = \sum_{\mathbf{k} \in \mathbb{N}^{d+1}} x_{\mathbf{k}} (1 - V_0)^{[k_0]} \cdots (1 - V_d)^{[k_d]},$$

with  $x_{\mathbf{k}}$  in  $A$  for all  $\mathbf{k} = (k_0, k_1, \dots, k_d) \in \mathbb{N}^{d+1}$  and  $x_{\mathbf{k}} \rightarrow 0$  as  $|\mathbf{k}| = \sum_{i=0}^d k_i \rightarrow +\infty$ . Moreover,  $x$  is in  $\text{Fil}^r E$  if and only if  $x_{\mathbf{k}}$  is in  $\text{Fil}^{r-|\mathbf{k}|} A$  for all  $\mathbf{k} \in \mathbb{N}^{d+1}$ .

- (iv) The ring  $E$  is equipped with a natural  $A$ -linear continuous de Rham differential operator  $d : E \rightarrow \Omega_{E/A}^1$ . Moreover, by the description of the filtration on  $E$  in (iii), it is easy to see that the differential operator satisfies Griffiths transversality with respect to the filtration; i.e., we have

$$d : \text{Fil}^r E \rightarrow \text{Fil}^{r-1} E \otimes_E \Omega_{E/A}^1.$$

In the special case that  $\iota : B \xrightarrow{\sim} A$  is an isomorphism, we see that  $E$  is further equipped with a natural  $B$ -linear continuous de Rham differential operator  $d : E \rightarrow \Omega_{E/B}^1$  satisfying Griffiths transversality with respect to the filtration.

**Lemma 2.26.** *Rings in [Definition 2.24](#) have desirable properties:*

- (i) In [Definition 2.24](#) (i), the tensor product Frobenii  $\varphi \otimes \varphi$  on  $R_{\varpi}^{\star} \otimes_{O_F} A_{R, \varpi}^{\star}$  for  $\star \in \{\text{PD}, [u], [u, v]\}$  extend uniquely to the respective continuous morphisms

$$E_{R, \varpi}^{\text{PD}} \rightarrow E_{R, \varpi}^{\text{PD}}, \quad E_{R, \varpi}^{[u]} \rightarrow E_{R, \varpi}^{[u]} \quad \text{and} \quad E_{R, \varpi}^{[u, v]} \rightarrow E_{R, \varpi}^{[u, v/p]}.$$

Moreover, the actions of  $G_R$  on  $A_{R, \varpi}^{\star}$  extend uniquely to the respective continuous actions of  $G_R$  on  $E_{R, \varpi}^{\text{PD}}$ ,  $E_{R, \varpi}^{[u]}$  and  $E_{R, \varpi}^{[u, v]}$ , which commute with the respective Frobenii. Furthermore, we have  $(\varphi, G_R)$ -equivariant inclusions  $E_{R, \varpi}^{\text{PD}} \subset E_{R, \varpi}^{[u]} \subset E_{R, \varpi}^{[u, v]}$ .

- (ii) In [Definition 2.24](#) (ii), the tensor product Frobenii  $\varphi \otimes \varphi$  on  $R_{\varpi}^{\star} \otimes_{O_F} A_{\bar{R}}^{\star}$  for  $\star \in \{\text{PD}, [u], [u, v]\}$  extend uniquely to the respective continuous morphisms

$$E_{\bar{R}}^{\text{PD}} \rightarrow E_{\bar{R}}^{\text{PD}}, \quad E_{\bar{R}}^{[u]} \rightarrow E_{\bar{R}}^{[u]} \quad \text{and} \quad E_{\bar{R}}^{[u,v]} \rightarrow E_{\bar{R}}^{[u,v/p]}.$$

Moreover, the actions of  $G_R$  on  $A_{\bar{R}}^{\star}$  extend uniquely to the respective continuous actions of  $G_R$  on  $E_{\bar{R}}^{\text{PD}}$ ,  $E_{\bar{R}}^{[u]}$  and  $E_{\bar{R}}^{[u,v]}$ , which commute with the respective Frobenii. Furthermore, we have the  $(\varphi, G_R)$ -equivariant inclusions  $E_{\bar{R}}^{\text{PD}} \subset E_{\bar{R}}^{[u]} \subset E_{\bar{R}}^{[u,v]}$ .

- (iii) The natural  $(\varphi, \Gamma_R)$ -equivariant inclusion of rings  $A_{R,\varpi}^{\star} \subset A_{\bar{R}}^{\star}$  induces a natural  $(\varphi, \Gamma_R)$ -equivariant injective homomorphism of rings  $E_{R,\varpi}^{\star} \subset E_{\bar{R}}^{\star}$ . Moreover, the filtration and the  $A_{R,\varpi}^{\star}$ -linear connection on  $E_{R,\varpi}^{\star}$  are induced from the filtration and  $A_{\bar{R}}^{\star}$ -linear connection on  $E_{\bar{R}}^{\star}$ , respectively; in particular,

$$\text{Fil}^r E_{R,\varpi}^{\star} = E_{R,\varpi}^{\star} \cap \text{Fil}^r E_{\bar{R}}^{\star} \subset E_{\bar{R}}^{\star}$$

for all  $r \in \mathbb{Z}$ .

*Proof.* The first two claims follow from [\[Colmez and Nizioł 2017, Lemma 2.38\]](#). The last claim follows from the description of  $E_{R,\varpi}^{\star}$  and  $E_{\bar{R}}^{\star}$  in [Remark 2.25](#) and the fact that

$$A_{R,\varpi}^{\star} \cap \text{Fil}^r A_{\bar{R}}^{\star} = A_{R,\varpi}^{\star} \cap \text{Fil}^r \mathbf{B}_{\text{dR}}(\bar{R}) = \text{Fil}^r A_{R,\varpi}^{\star}. \quad \square$$

**Remark 2.27.** From [Definition 2.24](#) and [Lemma 2.26](#), we have a natural embedding  $\mathcal{O}A_{\text{cris}}(\bar{R}) \subset E_{\bar{R}}^{\text{PD}}$  compatible with the respective Frobenii,  $A_{\text{cris}}(\bar{R})$ -linear connections and actions of  $G_R$ , and the natural filtration on the former is induced from the filtration on the latter. Furthermore, from [Section 3.2](#), recall that we have the ring  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \subset \mathcal{O}A_{\text{cris}}(\bar{R})$  and from [\[Abhinandan 2025, Remark 4.20\]](#) we have an alternative construction of  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$  using the embedding  $R \subset R_{\varpi}^{\text{PD}} \xrightarrow{\sim} A_{R,\varpi}^{\text{PD}}$  (the last morphism is  $\iota_{\text{cycl}}$  in [Section 2.7](#)). This induces an embedding  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \subset E_{R,\varpi}^{\text{PD}}$  compatible with the respective Frobenii and actions of  $\Gamma_R$ , and the natural filtration on the former is induced from the filtration on the latter. Denote the  $O_F$ -linear differential operator over  $A_{R,\varpi}^{\text{PD}}$  by  $\partial_A$  and the  $O_F$ -linear differential operator over  $R_{\varpi}^{\text{PD}}$  (as well as over  $R$ ) by  $\partial_R$ . Then, the induced differential operators  $\partial_R \otimes 1 + 1 \otimes \partial_A$  over  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$  and  $E_{R,\varpi}^{\text{PD}}$  are compatible.

**Lemma 2.28.** For  $r \in \mathbb{Z}$  and  $\star \in \{+, \text{PD}, [u], [u, v]\}$ , we have

$$\text{Fil}^r E_{R,\varpi}^{\star} \cap \pi E_{R,\varpi}^{\star} = \pi \text{Fil}^{r-1} E_{R,\varpi}^{\star}$$

as submodules of  $E_{R,\varpi}^{\star}$ .

*Proof.* Let  $E := E_{R,\varpi}^{\star}$  and  $A := A_{R,\varpi}^{\star}$  for  $\star \in \{+, \text{PD}, [u], [u, v]\}$ . The claim is trivial for  $r \leq 0$ , so assume that  $r \geq 1$ . Note that we have  $\pi \text{Fil}^{r-1} E \subset \text{Fil}^r E \cap \pi E$ , so we need to show the reverse inclusion. Let  $x$  be any element of  $\text{Fil}^r E \cap \pi E$ , and write  $x = \pi y$  for some  $y$  in  $E$ . From the description of the filtration on  $E$  in [Remark 2.25](#) (iii), we have a unique presentation of  $x$  as

$$\sum_{k \in \mathbb{N}^{d+1}} x_k (1 - V_0)^{[k_0]} \cdots (1 - V_d)^{[k_d]},$$

with  $x_k$  in  $\mathrm{Fil}^{r-|k|} A$  for all  $k \in \mathbb{N}^{d+1}$ . Moreover, we have a unique presentation of  $y$  as

$$\sum_{k \in \mathbb{N}^{d+1}} y_k (1 - V_0)^{|k_0|} \dots (1 - V_d)^{|k_d|},$$

with  $y_k$  in  $A$  for all  $k \in \mathbb{N}^{d+1}$ . Then, using the equality  $x = \pi y$ , we get that  $x_k = \pi y_k$  for all  $k \in \mathbb{N}^{d+1}$ . Now, from [Lemma 2.19](#) and the fact that  $A$  is  $\pi$ -torsion free, it follows that  $x_k$  is an element of  $\pi \mathrm{Fil}^{r-|k|-1} A$ , and hence  $x$  is an element of  $\pi \mathrm{Fil}^{r-1} E$ .  $\square$

Finally, to work with various filtered modules later, we define a filtered ring (analogous to  $\mathcal{O}\mathbf{B}_{\mathrm{dR}}(\bar{R})$ ) containing all the rings described so far and inducing the same filtrations as described above. From [\[Brinon 2008, Proposition 5.2.2\]](#), recall that the natural inclusion  $\mathbf{B}_{\mathrm{dR}}^+ \subset \mathcal{O}\mathbf{B}_{\mathrm{dR}}^+(\bar{R})$  extends to a  $\mathbf{B}_{\mathrm{dR}}^+$ -linear isomorphism of rings  $\mathbf{B}_{\mathrm{dR}}^+[[T_1, \dots, T_d]] \xrightarrow{\sim} \mathcal{O}\mathbf{B}_{\mathrm{dR}}(\bar{R})$  by sending the indeterminate  $T_i$  to  $X_i - [X_i^b]$  for each  $1 \leq i \leq d$ . We enlarge  $\mathcal{O}\mathbf{B}_{\mathrm{dR}}(\bar{R})$  by setting

$$\mathcal{B}^+ := \mathbf{B}_{\mathrm{dR}}^+[[T_0, T_1, \dots, T_d]] \quad \text{and} \quad \mathcal{B} := \mathcal{B}^+[1/t];$$

in particular, we have natural inclusions of rings  $\mathcal{O}\mathbf{B}_{\mathrm{dR}}^+(\bar{R}) \subset \mathcal{B}^+$  and  $\mathcal{O}\mathbf{B}_{\mathrm{dR}}(\bar{R}) \subset \mathcal{B}$ . We equip the latter rings with filtrations similar to [\[Brinon 2008, p. 52\]](#). Set  $\mathrm{Fil}^r \mathcal{B}^+ := (t, T_0, \dots, T_d)^r \mathcal{B}^+$  for all  $r \in \mathbb{N}$ , and  $\mathrm{Fil}^r \mathcal{B}^+ = \mathcal{B}^+$  for  $r < 0$ . Moreover, set  $\mathrm{Fil}^0 \mathcal{B} := \sum_{n \in \mathbb{N}} t^{-n} \mathrm{Fil}^n \mathcal{B}^+$  and  $\mathrm{Fil}^r \mathcal{B} := t^r \mathrm{Fil}^0 \mathcal{B}$  for all  $r \in \mathbb{Z}$ . Similar rings were studied in [\[Andreatta and Iovita 2012, §3.2.1\]](#) in the more general setting of semistable schemes. Now, employing arguments similar to [\[Brinon 2008, Propositions 5.2.5, 5.2.6 & 5.2.8\]](#), the following is clear.

**Lemma 2.29.** *Let  $x_i$  denote the image of  $T_i$  in  $\mathrm{gr}^1 \mathcal{B}^+$  and  $y_i$  denote the image of  $T_i/t$  in  $\mathrm{gr}^0 \mathcal{B}$  for  $0 \leq i \leq d$ . Then, we have the isomorphisms  $\mathrm{gr}^\bullet \mathcal{B}^+ \xrightarrow{\sim} \mathbb{C}(\bar{R})[t, x_0, \dots, x_d]$ , where the grading is given by the degree of the polynomial in  $t, x_0, \dots, x_d$ , and  $\mathrm{gr}^\bullet \mathcal{B} \xrightarrow{\sim} \mathbb{C}(\bar{R})[t, t^{-1}, y_0, \dots, y_d]$ , where the grading is given by the degree of  $t$ ; in particular, we have the isomorphism  $\mathrm{gr}^0 \mathcal{B}^+ \xrightarrow{\sim} \mathbb{C}(\bar{R})[y_0, \dots, y_d]$ . Moreover, the filtration on  $\mathcal{B}^+$  is the same as the induced filtration from  $\mathcal{B}$ , i.e.,  $\mathrm{Fil}^r \mathcal{B}^+ = \mathrm{Fil}^r \mathcal{B} \cap \mathcal{B}^+ \subset \mathcal{B}$  for all  $r \in \mathbb{Z}$ .*

**Remark 2.30.** From [Lemma 2.29](#) and the description of the filtration on  $\mathcal{O}\mathbf{B}_{\mathrm{dR}}^+(\bar{R})$  in [\[Brinon 2008, p. 52\]](#), we see that the filtration on  $\mathcal{O}\mathbf{B}_{\mathrm{dR}}^+(\bar{R})$  is induced from the filtration on  $\mathcal{B}^+$ ; i.e.,

$$\mathrm{Fil}^r \mathcal{O}\mathbf{B}_{\mathrm{dR}}^+(\bar{R}) = \mathcal{O}\mathbf{B}_{\mathrm{dR}}^+(\bar{R}) \cap \mathrm{Fil}^r \mathcal{B}^+ \subset \mathcal{B}^+ \quad \text{for } r \in \mathbb{Z}.$$

Then it also follows that

$$\mathrm{Fil}^r \mathcal{O}\mathbf{B}_{\mathrm{dR}}(\bar{R}) = \mathcal{O}\mathbf{B}_{\mathrm{dR}}(\bar{R}) \cap \mathrm{Fil}^r \mathcal{B} \subset \mathcal{B} \quad \text{for } r \in \mathbb{Z}.$$

Now, recall that we have an inclusion of rings  $A_{\bar{R}}^{[u,v]} \subset \mathbf{B}_{\mathrm{dR}}^+(\bar{R})$  (since  $u \leq 1 \leq v$ ) and the former is equipped with a filtration induced from the latter; see [Section 2.4.3](#). Then, upon using the description of  $E_{R,\varpi}^{[u,v]}$  from [Remark 2.25 \(i\)](#), we see that the preceding embedding naturally extends to an injective ring homomorphism  $E_{\bar{R}}^{[u,v]} \rightarrow \mathcal{B}^+$  via  $V_i - 1 \mapsto T_i/[X_i^b]$  for  $1 \leq i \leq d$ , and  $V_0 - 1 \mapsto T_0/(1 + \pi_m)$ . Using the description of the filtration on  $E_{R,\varpi}^{[u,v]}$  from [Remark 2.25](#) and the filtration on  $\mathcal{B}^+$  from above, we have the following.

**Lemma 2.31.** *The filtration on  $E_{\bar{R}}^{[u,v]}$  is induced from the filtration on  $\mathcal{B}^+$ ; i.e.,*

$$\mathrm{Fil}^r E_{\bar{R}}^{[u,v]} = E_{\bar{R}}^{[u,v]} \cap \mathrm{Fil}^r \mathcal{B}^+ \subset \mathcal{B}^+ \quad \text{for all } r \in \mathbb{Z}.$$

**Remark 2.32.** Let  $S$  be any ring out of

$$\mathcal{A}_{\mathrm{cris}}(\bar{R}), \quad \mathcal{O}\mathcal{A}_{\mathrm{cris}}(\bar{R}), \quad \mathcal{O}\mathcal{A}_{R,\varpi}^{\mathrm{PD}}, \quad R_{\varpi}^{\star}, \quad E_{R,\varpi}^{\star}, \quad E_{\bar{R}}^{\star}$$

for  $\star \in \{\mathrm{PD}, [u], [u, v]\}$ . Then, by Remarks 2.27 and 2.30 and Lemma 2.31, it is easy to see that

$$\mathrm{Fil}^r S = S[1/p] \cap \mathrm{Fil}^r \mathcal{B} \subset \mathcal{B} \quad \text{and} \quad \mathrm{Fil}^r(S[1/p]) := S[1/p] \cap \mathrm{Fil}^r \mathcal{B} = (\mathrm{Fil}^r S)[1/p] \subset \mathcal{B}$$

for all  $r \in \mathbb{Z}$ .

**2.8.2. Filtered modules.** Let  $V$  be a de Rham representation of  $G_R$ , and set  $D := \mathcal{O}\mathcal{D}_{\mathrm{dR}}(V)$  as a finite projective  $R[1/p]$ -module. From Section 2.3, recall that  $D$  is equipped with a decreasing, separated and exhaustive filtration by  $R[1/p]$ -submodules  $\{\mathrm{Fil}^r D\}_{r \in \mathbb{Z}}$  such that  $\mathrm{Fil}^a D = D$  and  $\mathrm{Fil}^b D = 0$  for some  $a, b \in \mathbb{Z}$  and, for each  $r \in \mathbb{Z}$ , the  $R[1/p]$ -modules  $\mathrm{Fil}^r D$  and  $\mathrm{gr}^r D$  are finite projective. Recall that, by the definition of de Rham representations, we have a natural  $\mathcal{O}\mathcal{B}_{\mathrm{dR}}(\bar{R})$ -linear isomorphism

$$\alpha_{\mathrm{dR}} : \mathcal{O}\mathcal{B}_{\mathrm{dR}}(\bar{R}) \otimes_{R[1/p]} D \xrightarrow{\sim} \mathcal{O}\mathcal{B}_{\mathrm{dR}}(\bar{R}) \otimes_{\mathbb{Q}_p} V.$$

Extending scalars of the isomorphism  $\alpha_{\mathrm{dR}}$  along the natural map  $\mathcal{O}\mathcal{B}_{\mathrm{dR}}(\bar{R}) \rightarrow \mathcal{B}$  from Section 2.8.1, we obtain the  $\mathcal{B}$ -linear isomorphism

$$\alpha_{\mathcal{B}} : \mathcal{B} \otimes_{R[1/p]} D \xrightarrow{\sim} \mathcal{B} \otimes_{\mathbb{Q}_p} V. \quad (2-6)$$

Next, let  $S \subset \mathcal{B}$  be an  $R$ -subalgebra equipped with a filtration induced from the filtration on  $\mathcal{B}$  (see after Lemma 2.29), such that the natural map  $R \rightarrow S$  is injective and  $p$  is not invertible in  $S$ . Now, consider the  $S[1/p]$ -module  $D_S := S \otimes_R D$ . We equip  $D_S$  with the induced filtration, for each  $r \in \mathbb{Z}$ ,

$$\mathrm{Fil}^r D_S := D_S \cap \alpha_{\mathcal{B}}^{-1}(\mathrm{Fil}^r \mathcal{B} \otimes_{\mathbb{Q}_p} V). \quad (2-7)$$

**Proposition 2.33.** *The filtration on  $D_S$  in (2-7) coincides with the tensor product filtration; i.e., for each  $r \in \mathbb{Z}$ , we have the isomorphism*

$$F^r D_S := \sum_{i+j=r}^r \mathrm{Fil}^i S \otimes_R \mathrm{Fil}^j D \xrightarrow{\sim} \mathrm{Fil}^r D_S. \quad (2-8)$$

*Proof.* From Lemma 2.38, we have the isomorphism

$$F^r(\mathcal{B} \otimes_{R[1/p]} D) \xrightarrow{\sim} \mathrm{Fil}^r(\mathcal{B} \otimes_{R[1/p]} D) \quad \text{for each } r \in \mathbb{Z}.$$

Then, by using Lemmas 2.35 and 2.37 below with  $S' = \mathcal{B}$ , we obtain the isomorphism in (2-8).  $\square$

**Remark 2.34.** If  $p$  is invertible in  $S$ , then, by using  $R[1/p]$  in place of  $R$  in Proposition 2.33, we get the isomorphism

$$\sum_{i+j=r}^r \mathrm{Fil}^i S \otimes_{R[1/p]} \mathrm{Fil}^j D \xrightarrow{\sim} \mathrm{Fil}^r D_S$$

for each  $r \in \mathbb{Z}$ .

The following observations were used above.

**Lemma 2.35.** *For each  $i, j, r \in \mathbb{Z}$  such that  $i + j = r$ , the natural map  $\mathrm{Fil}^i S \otimes_R \mathrm{Fil}^j D \rightarrow D_S$  is injective. In particular, the filtration  $\{F^r D_S\}_{r \in \mathbb{Z}}$  is a well-defined  $\mathbb{Z}$ -indexed decreasing filtration on  $D_S$  by  $S[1/p]$ -submodules. Moreover, we have that*

$$\mathrm{gr}_F^r D_S = \bigoplus_{i+j=r} \mathrm{gr}^i S \otimes_R \mathrm{gr}^j D.$$

*Proof.* For each  $j \in \mathbb{Z}$ , let us consider the following exact sequence of finite projective  $R[1/p]$ -modules, in particular flat  $R$ -modules:

$$0 \rightarrow \mathrm{Fil}^{j+1} D \rightarrow \mathrm{Fil}^j D \rightarrow \mathrm{gr}^j D \rightarrow 0. \quad (2-9)$$

Extending scalars in (2-9) along the natural map  $R \rightarrow S$  and by decreasing induction on  $j \geq a$ , it is easy to see that the natural map

$$S \otimes_R \mathrm{Fil}^j D \rightarrow S \otimes_R \mathrm{Fil}^a D = S \otimes_R D$$

is injective. Therefore, for any  $i + j = r$ , it follows that the natural map

$$\mathrm{Fil}^i S \otimes_R \mathrm{Fil}^j D \hookrightarrow S \otimes_R \mathrm{Fil}^j D \rightarrow D_S$$

is injective, where the first arrow is obtained by tensoring the  $R$ -linear inclusion  $\mathrm{Fil}^i S \subset S$  with the flat  $R$ -module  $\mathrm{Fil}^j D$  and the second arrow is as above. Hence, for each  $r \in \mathbb{Z}$ , we get that

$$F^r D_S := \sum_{i+j=r} \mathrm{Fil}^i S \otimes_R \mathrm{Fil}^j D$$

is an  $S[1/p]$ -submodule of  $D_S$ . It is clear that the filtration is decreasing. Next, let us note that, upon tensoring (2-9) with  $\mathrm{Fil}^i S$  and  $\mathrm{gr}^i S$ , we obtain the following  $R$ -linear commutative diagram:

$$\begin{array}{ccccccc} & & 0 & & 0 & & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathrm{Fil}^{i+1} S \otimes_R \mathrm{Fil}^{j+1} D & \longrightarrow & \mathrm{Fil}^{i+1} S \otimes_R \mathrm{Fil}^j D & \longrightarrow & \mathrm{Fil}^{i+1} S \otimes_R \mathrm{gr}^j D \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathrm{Fil}^i S \otimes_R \mathrm{Fil}^{j+1} D & \longrightarrow & \mathrm{Fil}^i S \otimes_R \mathrm{Fil}^j D & \longrightarrow & \mathrm{Fil}^i S \otimes_R \mathrm{gr}^j D \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathrm{gr}^i S \otimes_R \mathrm{Fil}^{j+1} D & \longrightarrow & \mathrm{gr}^i S \otimes_R \mathrm{Fil}^j D & \longrightarrow & \mathrm{gr}^i S \otimes_R \mathrm{gr}^j D \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & 0 & & 0 & & 0 \end{array} \quad (2-10)$$

Since  $\mathrm{Fil}^j D$  and  $\mathrm{gr}^j D$  are finite projective modules over  $R[1/p]$ , in particular flat modules over  $R$ , we get that all rows and columns of (2-10) are exact. From the diagram, it easily follows that

$$\mathrm{gr}_F^r D_S = \bigoplus_{i+j=r} \mathrm{gr}^i S \otimes_R \mathrm{gr}^j D \quad \text{for each } r \in \mathbb{Z}. \quad \square$$

**Remark 2.36.** If  $p$  is invertible in  $S$ , then, by employing arguments similar to the proof of [Lemma 2.35](#) (using  $R[1/p]$  in place of  $R$ ), we see that the  $S$ -module  $D_S := S \otimes_{R[1/p]} D$  is equipped with a well-defined  $\mathbb{Z}$ -indexed decreasing tensor product filtration by  $S$ -submodules given as

$$F^r D_S := \sum_{i+j=r} \text{Fil}^i S \otimes_{R[1/p]} \text{Fil}^j D.$$

Moreover, for each  $r \in \mathbb{Z}$ , we have that

$$\text{gr}_F^r D_S = \bigoplus_{i+j=r} \text{gr}^i S \otimes_{R[1/p]} \text{gr}^j D[1/p].$$

Next, let  $S \subset S' \subset \mathcal{B}$  be two  $R$ -subalgebras equipped with the respective induced filtrations such that the natural map  $R \rightarrow S$  is injective. Set  $D_S := S \otimes_R D$  and  $D_{S'} := S' \otimes_R D$ , equipped with the tensor product filtration as in [Lemma 2.35](#). Then, we claim the following.

**Lemma 2.37.** *For each  $r \in \mathbb{Z}$ , we have that  $F^r D_{S'} \cap D_S = F^r D_S$  as submodules of  $D_{S'}$ .*

*Proof.* We will prove the claim by assuming that  $p$  is not invertible in  $S'$ ; in the case that  $p$  is invertible in either  $S$  or  $S'$ , the same argument works by using [Remark 2.36](#) and replacing  $R$  with  $R[1/p]$ . Now, let us first note that an easy induction on  $r$  shows that proving the equality  $F^{r+1} D_{S'} \cap D_S = F^{r+1} D_S$  is equivalent to proving the equality  $F^{r+1} D_{S'} \cap F^r D_S = F^{r+1} D_S$ . Next, consider the following diagram with  $R$ -linear exact rows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & F^{r+1} D_S & \longrightarrow & F^r D_S & \longrightarrow & \text{gr}_F^r D_S & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & F^{r+1} D_{S'} & \longrightarrow & F^r D_{S'} & \longrightarrow & \text{gr}_F^r D_{S'} & \longrightarrow & 0 \end{array} \quad (2-11)$$

Note that proving the equality  $F^{r+1} D_{S'} \cap F^r D_S = F^{r+1} D_S$  is equivalent to showing that the right vertical arrow in diagram (2-11) is injective. Now, by [Lemma 2.35](#), we have that

$$\text{gr}_F^r D_S = \bigoplus_{i+j=r} \text{gr}^i S \otimes_R \text{gr}^j D$$

for each  $r \in \mathbb{Z}$ . Similarly, we also have that  $\text{gr}_F^r D_{S'} = \bigoplus_{i+j=r} \text{gr}^i S' \otimes_R \text{gr}^j D$  for each  $r \in \mathbb{Z}$ . Since  $\text{Fil}^i S' \cap S = \text{Fil}^i S$ , by using a diagram similar to (2-11), it follows that the natural  $R$ -linear map  $\text{gr}^i S \rightarrow \text{gr}^i S'$  is injective for all  $i \in \mathbb{Z}$ . Furthermore, as  $\text{gr}^i D$  is flat over  $R$ , it follows that the natural map  $\text{gr}^i S \otimes_R \text{gr}^j D \rightarrow \text{gr}^i S' \otimes_R \text{gr}^j D$  is also injective. Hence we get that the right vertical arrow in (2-11) is injective, allowing us to conclude.  $\square$

Now, by using [\[Brinon 2008, Proposition 8.4.3\]](#), note that the isomorphism  $\alpha_{\text{dR}}$  is compatible with the tensor product filtration of [Remark 2.36](#) on the source and the filtration on the target is induced by the natural filtration on  $\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R})$ . As the natural filtration on  $\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R})$  coincides with the induced filtration via the inclusion  $\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R}) \subset \mathcal{B}$  (see [Remark 2.30](#)), it follows that we have the isomorphism

$$F^r(\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R}) \otimes_{R[1/p]} D) \xrightarrow{\sim} \text{Fil}^r(\mathcal{O}\mathbf{B}_{\text{dR}}(\bar{R}) \otimes_{R[1/p]} D) \quad \text{for all } r \in \mathbb{Z}.$$

Using [Lemma 2.29](#) and an argument similar to the proof of [\[Brinon 2008, Proposition 8.3.2\]](#), we obtain the following.

**Lemma 2.38.** *The isomorphism in (2-6) induces an isomorphism*

$$\alpha_{\mathcal{B}}(F^r(\mathcal{B} \otimes_{R[1/p]} D)) \xrightarrow{\sim} \text{Fil}^r \mathcal{B} \otimes_{\mathbb{Q}_p} V \quad \text{for all } r \in \mathbb{Z}.$$

*In particular, we get the isomorphism  $F^r(\mathcal{B} \otimes_{R[1/p]} D) \xrightarrow{\sim} \text{Fil}^r(\mathcal{B} \otimes_{R[1/p]} D)$ .*

*Proof.* Note that (2-6) is an isomorphism and the filtration on  $D$  is exhaustive, so it is enough to show that the maps on the associated graded pieces, induced by (2-6), are bijective. For each  $r \in \mathbb{Z}$ , consider the diagram

$$\begin{array}{ccc} \bigoplus_{i+j=r} \text{gr}^i \mathcal{O}_{\mathbf{B}_{\text{dR}}(\bar{R})} \otimes_{R[1/p]} \text{gr}^j M[1/p] & \xrightarrow{\sim} & \text{gr}^r \mathcal{O}_{\mathbf{B}_{\text{dR}}(\bar{R})} \otimes_{\mathbb{Q}_p} V \\ \downarrow & & \downarrow \\ \bigoplus_{i+j=r} \text{gr}^i \mathcal{B} \otimes_{R[1/p]} \text{gr}^j M[1/p] & \longrightarrow & \text{gr}^r \mathcal{B} \otimes_{\mathbb{Q}_p} V \end{array}$$

where the top horizontal arrow is the isomorphism induced by the filtration-compatible  $\mathcal{O}_{\mathbf{B}_{\text{dR}}(\bar{R})}$ -linear isomorphism  $\alpha_{\text{dR}}$ , the left vertical arrow is induced by the compatibility of filtrations on the source of  $\alpha_{\text{dR}}$  and  $\alpha_{\mathcal{B}}$  (see Lemma 2.37) and the right vertical arrow is induced by the compatibility of filtrations on the target of  $\alpha_{\text{dR}}$  and  $\alpha_{\mathcal{B}}$  (see Lemma 2.29 and Remark 2.30). Now, recall that from Lemma 2.29 we have the isomorphism  $\text{gr}^i \mathcal{B} \xrightarrow{\sim} t^i \mathbb{C}(\bar{R})[y_0, \dots, y_d]$  and from [Brinon 2008, Proposition 5.2.6] we have the isomorphism  $\text{gr}^i \mathcal{O}_{\mathbf{B}_{\text{dR}}(\bar{R})} \xrightarrow{\sim} t^i \mathbb{C}(\bar{R})[y_1, \dots, y_d]$ . In particular, we see that  $\text{gr}^i \mathcal{B} \xrightarrow{\sim} \mathbb{Z}[y_0] \otimes_{\mathbb{Z}} \text{gr}^i \mathcal{O}_{\mathbf{B}_{\text{dR}}(\bar{R})}$  is an isomorphism. Therefore, it follows that the bottom horizontal arrow of the diagram above is given as the extension of scalars along  $\mathbb{Z} \rightarrow \mathbb{Z}[y_0]$  of the top horizontal arrow, and hence it is also an isomorphism.  $\square$

Next, let us note some applications of Proposition 2.33, which will be used in Section 5.

**Lemma 2.39.** *Let  $S = E_{R, \varpi}^{[u, v]}$ , and set  $D_S := E_{R, \varpi}^{[u, v]} \otimes_R D$ , equipped with the tensor product filtration as in Lemma 2.35. Assume that  $\text{Fil}^0 D = D$ . Then, for any  $r \in \mathbb{N}$ , we have that  $\text{Fil}^r D_S \cap \pi D_S = \pi \text{Fil}^{r-1} D_S$  as submodules of  $D_S$ .*

*Proof.* The claim is trivial for  $r = 0$ , so assume that  $r \geq 1$ . We will prove the claim by induction on  $r$ . Note that, for  $r = 1$ , we have  $\text{Fil}^1 D_S \cap \pi D_S = \pi D_S$ . So, let  $r \in \mathbb{N}_{\geq 2}$ , and assume the claim is true for  $r - 1$ ; i.e.,  $\text{Fil}^{r-1} D_S \cap \pi D_S = \pi \text{Fil}^{r-2} D_S$ . Then, we see that

$$\text{Fil}^r D_S \cap \pi D_S = \text{Fil}^r D_S \cap \text{Fil}^{r-1} D_S \cap \pi D_S = \text{Fil}^r D_S \cap \pi \text{Fil}^{r-2} D_S.$$

In particular, to get the claim, it is enough to show that  $\text{Fil}^r D_S \cap \pi \text{Fil}^{r-2} D_S = \pi \text{Fil}^{r-1} D_S$ . Now, consider the following diagram with exact rows

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Fil}^{r-1} D_S & \longrightarrow & \text{Fil}^{r-2} D_S & \longrightarrow & \text{gr}^{r-2} D_S \longrightarrow 0 \\ & & \downarrow \pi & & \downarrow \pi & & \downarrow \pi \\ 0 & \longrightarrow & \text{Fil}^r D_S & \longrightarrow & \text{Fil}^{r-1} D_S & \longrightarrow & \text{gr}^{r-1} D_S \longrightarrow 0 \end{array} \quad (2-12)$$

where the left and middle vertical arrows are multiplication-by- $\pi$  and the right vertical arrow is the induced map, which we again denote as multiplication-by- $\pi$ . Note that all the vertical arrows in (2-12) are  $R$ -linear.

Moreover, from diagram (2-12), we see that showing the equality  $\text{Fil}^r D_S \cap \pi \text{Fil}^{r-2} D_S = \pi \text{Fil}^{r-1} D_S$  is equivalent to showing that the right vertical arrow in (2-12) is injective. Note that, by using Lemma 2.35 and Remark 2.36, we have that  $\text{gr}^{r-2} D_S = \bigoplus_{i+j=r-2} \text{gr}^i S \otimes_R \text{gr}^j D$  and similarly for  $\text{gr}^{r-1} D_S$ . Therefore, the right vertical arrow in (2-12) induces  $R$ -linear maps  $\text{gr}^i S \otimes_R \text{gr}^j D \xrightarrow{\pi} \text{gr}^{i-1} S \otimes_R \text{gr}^j D$  for  $i+j=r-2$ . As  $\text{gr}^j D$  is a flat  $R$ -module and the preceding map is  $R$ -linear, it is enough to show that the map  $\text{gr}^i S \xrightarrow{\pi} \text{gr}^{i+1} S$ , induced from the multiplication-by- $\pi$  map  $\text{Fil}^i S \xrightarrow{\pi} \text{Fil}^{i+1} S$ , is injective. This follows from Lemma 2.28. Hence we obtain that the right vertical arrow in (2-12) is injective; in particular,  $\text{Fil}^r D_S \cap \pi D_S = \pi \text{Fil}^{r-1} D_S$  for each  $r \in \mathbb{N}$ .  $\square$

Now, let us assume that  $D$  is finite free over  $R[1/p]$ , we have  $\text{Fil}^0 D = D$  and there exists a finite free  $R$ -submodule  $M \subset D$  such that  $M[1/p] = D$ . Let  $S$  and  $S'$  be as in Lemma 2.37 and equip  $M_S$  and  $M_{S'}$  with induced filtrations; i.e.,  $\text{Fil}^r M_S := M_S \cap \text{Fil}^r D_S \subset D_S$  and  $\text{Fil}^r M_{S'} := M_{S'} \cap \text{Fil}^r D_{S'} \subset D_{S'}$ . As  $M$  is free over  $R$ , the natural map  $M_S \rightarrow M_{S'}$  is injective and we note the following.

**Lemma 2.40.** *For each  $r \in \mathbb{N}$ , we have  $\text{Fil}^r M_S = \text{Fil}^r M_{S'} \cap M_S$  as submodules of  $M_{S'}$ . Moreover, if  $S = E_{R, \varpi}^{[u, v]}$ , then we have  $\text{Fil}^r M_S \cap \pi M_S = \pi \text{Fil}^{r-1} M_S$  as submodules of  $M_S$ .*

*Proof.* The first claim follows from the definition of filtration on  $M_S$  and  $M_{S'}$  and Lemma 2.37. For the second claim, by Lemma 2.39, we have  $\text{Fil}^r M_S \cap \pi M_S = \pi \text{Fil}^{r-1} D_S \cap \pi M_S = \pi \text{Fil}^{r-1} M_S$ .  $\square$

**2.8.3. Poincaré lemma.** In the notation of Definition 2.23, let us set  $A = A_{R, \varpi}^*$ ,  $B = R_{\varpi}^*$  and  $E = E_{R, \varpi}^*$  for  $\star \in \{\text{PD}, [u], [u, v]\}$ . Let

$$\omega_0 := \frac{d[X_0^b]}{1 + [X_0^b]} \quad \text{and} \quad \omega_i := \frac{d[X_i^b]}{[X_i^b]} \quad \text{for } 1 \leq i \leq d.$$

Set

$$\Omega^1 := \bigoplus_{i=1}^d \mathbb{Z} \omega_i \quad \text{and} \quad \Omega^k := \bigwedge^k \Omega^1 \quad \text{for all } k \in \mathbb{N}.$$

Then, we have  $\Omega_{E/B}^k = E \otimes_{\mathbb{Z}} \Omega^k$  and, from Remark 2.25 (iv), note that, for  $r \in \mathbb{Z}$ , we have the filtered de Rham complex of  $E$  relative to  $B$ :

$$\text{Fil}^r \Omega_{E/B}^{\bullet} := \text{Fil}^r E \rightarrow \text{Fil}^{r-1} E \otimes_{\mathbb{Z}} \Omega^1 \rightarrow \text{Fil}^{r-2} E \otimes_{\mathbb{Z}} \Omega^2 \rightarrow \dots$$

From the discussion before Lemma 2.40, let  $M$  be a finite free  $R$ -module such that  $M[1/p] = \mathcal{O}_{\mathbf{D}_{\text{cris}}}(V)$ , where  $V$  is a positive crystalline representation of  $G_R$ . Moreover, we set  $M_B := B \otimes_R M$ , equipped with a filtration induced from the tensor product filtration on  $M_B[1/p]$ , and we similarly set  $M_E := E \otimes_R M$ , equipped with a filtration induced from the tensor product filtration on  $M_E[1/p]$ . Furthermore, the  $B$ -linear differential operator on  $E$  induces a quasinilpotent integrable connection  $\partial : M_E \rightarrow M_E \otimes_E \Omega_{E/B}^1$  satisfying Griffiths transversality with respect to the filtration (since  $\partial(\text{Fil}^r E) \subset \text{Fil}^{r-1} E$ ). In particular, for each  $r \in \mathbb{Z}$ , we have the following filtered de Rham complex:

$$\begin{aligned} \text{Fil}^r M_E \otimes \Omega_{E/B}^{\bullet} &:= \text{Fil}^r M_E \rightarrow \text{Fil}^{r-1} M_E \otimes_E \Omega_{E/B}^1 \rightarrow \text{Fil}^{r-2} M_E \otimes_E \Omega_{E/B}^2 \rightarrow \dots \\ &= \text{Fil}^r M_E \rightarrow \text{Fil}^{r-1} M_E \otimes_{\mathbb{Z}} \Omega^1 \rightarrow \text{Fil}^{r-2} M_E \otimes_{\mathbb{Z}} \Omega^2 \rightarrow \dots \end{aligned}$$

Using the equality  $M_B = M_E^{\partial=0}$  and [Lemma 2.40](#), let us note that

$$\mathrm{Fil}^r M_B = \mathrm{Fil}^r M_E \cap M_E^{\partial=0} = (\mathrm{Fil}^r M_E)^{\partial=0},$$

and we obtain the following filtered Poincaré lemma.

**Lemma 2.41.** *The natural map  $\mathrm{Fil}^r M_B \rightarrow \mathrm{Fil}^r M_E \otimes \Omega_{E/B}^*$  is a quasi-isomorphism.*

*Proof.* We have a natural injection  $\epsilon : \mathrm{Fil}^r M_B \rightarrow \mathrm{Fil}^r M_E$ , so we give a contracting ( $B$ -linear) homotopy. Note that  $M$  is a finite free  $R$ -module, so we may choose  $\{f_1, \dots, f_h\}$  as an  $R$ -basis of  $M$ . Now define a  $B$ -linear map  $h^0 : M_E \rightarrow M_B$  by  $\sum_{j=1}^h a_j f_j \mapsto \sum_{j=1}^h a_{j,0} f_j$ , where  $a_j$  is in  $E$  and  $a_{j,0}$  is the projection to the 0-th coordinate (see [Remark 2.25](#) (iii), where 0 corresponds to the coordinate  $(0, \dots, 0)$ ). Moreover, note that, after inverting  $p$  and using the tensor product filtration on  $M_E[1/p]$ , we get that  $h^0$  induces a  $B[1/p]$ -linear map

$$h^0 : \mathrm{Fil}^r M_E[1/p] \rightarrow \mathrm{Fil}^r M_B[1/p].$$

In particular, we obtain an induced  $B$ -linear map

$$h^0 : \mathrm{Fil}^r M_E \rightarrow M_B \cap \mathrm{Fil}^r M_B[1/p] = \mathrm{Fil}^r M_B.$$

It is clear that we have  $h^0 \epsilon = \mathrm{id}$ .

Next, for  $q > 0$ , define a  $B$ -linear map

$$h^q : M_E \otimes_{\mathbb{Z}} \Omega^q \rightarrow M_E \otimes_{\mathbb{Z}} \Omega^{q-1}$$

given by the formula

$$h^q \left( f_j a_j \prod_{i=0}^d (V_i - 1)^{[k_i]} V_{i_1} \omega_{i_1} \wedge \dots \wedge V_{i_q} \omega_{i_q} \right) = f_j a_j \prod_{i=0}^d (V_i - 1)^{[k_i + \delta_{j i_1}]} V_{i_2} \omega_{i_2} \wedge \dots \wedge V_{i_q} \omega_{i_q} \quad \text{if } k_j = 0$$

and 0 otherwise (here  $\delta$  denotes the Kronecker delta function). Moreover, note that, after inverting  $p$  and using the tensor product filtration on  $M_E[1/p]$ , we get that  $h^q$  induces a  $B[1/p]$ -linear map

$$h^q : \mathrm{Fil}^{r-q} M_E[1/p] \otimes_{\mathbb{Z}} \Omega^q \rightarrow \mathrm{Fil}^{r-q+1} M_E[1/p] \otimes_{\mathbb{Z}} \Omega^{q-1}.$$

In particular, we obtain an induced  $B$ -linear map

$$h^q : \mathrm{Fil}^{r-q} M_E \otimes_{\mathbb{Z}} \Omega^q \rightarrow \mathrm{Fil}^{r-q+1} M_E \otimes_{\mathbb{Z}} \Omega^{q-1}.$$

It is easy to see  $\epsilon h^0 + h^1 d = \mathrm{id}$  and  $dh^q + h^{q+1} d = \mathrm{id}$ . Hence we obtain the desired  $B$ -linear homotopy, proving the claim.  $\square$

### 3. Finite-height $p$ -adic representations

In this section, we will recall the notion of relative Wach modules from [\[Abhinandan 2025\]](#) and prove some lemmas that will be used later. We will use the setup and notation of [Section 2.1](#); in particular, we fix some  $m \in \mathbb{N}_{\geq 1}$ .

**Notation.** For an algebra  $S$  admitting a Frobenius endomorphism  $\varphi$  and an  $S$ -module  $M$  admitting a Frobenius-semilinear endomorphism  $\varphi : M \rightarrow M$ , we will denote by  $\varphi^*(M) \subset M$  the  $S$ -submodule generated by the image of  $\varphi$ .

**3.1. Relative Wach modules.** Set  $\bar{q} := \varphi(\pi)/\pi$  in  $A_R^+$ , and let  $T$  be a free  $\mathbb{Z}_p$ -representation of  $G_R$ . Then, note that we have an  $A_R^+$ -submodule  $\mathbf{D}^+(T) := (A^+ \otimes_{\mathbb{Q}_p} T)^{H_R} \subset \mathbf{D}(T)$ , equipped with induced commuting actions of  $(\varphi, \Gamma_R)$ .

**Definition 3.1** [Abhinandan 2025, Definition 4.8]. A  $\mathbb{Z}_p$ -representation  $T$  is said to be *positive* and of *finite  $q$ -height* if there exists a finite projective  $A_R^+$ -submodule  $N(T) \subset \mathbf{D}^+(T)$  of rank  $\mathrm{rk}_{\mathbb{Z}_p} T$ , stable under the action of  $\varphi$  and  $\Gamma_R$  and satisfying the following conditions:

- (i) The natural  $A_R$ -linear map  $A_R \otimes_{A_R^+} N(T) \xrightarrow{\sim} \mathbf{D}(T)$  is a  $(\varphi, \Gamma_R)$ -equivariant isomorphism, where  $N(T)$  is equipped with the induced action of  $(\varphi, \Gamma_R)$ .
- (ii) The  $A_R^+$ -module  $N(T)/\varphi^*(N(T))$  is killed by  $q^s$  for some  $s \in \mathbb{N}$ .
- (iii) The induced action of  $\Gamma_R$  on  $N(T)/\pi N(T)$  is trivial.
- (iv) There exists  $R' \subset \bar{R}$  finite étale over  $R$  such that  $A_{R'}^+ \otimes_{A_R^+} N(T)$  is free over  $A_{R'}^+$ .

The *height* of  $T$  is defined to be the smallest  $s \in \mathbb{N}$  satisfying (ii) above. Furthermore, a positive finite  $q$ -height  $p$ -adic representation  $V$  of  $G_R$  is a representation admitting a positive finite  $q$ -height  $\mathbb{Z}_p$ -lattice  $T \subset V$ , and we set  $N(V) := N(T)[1/p]$ , satisfying properties analogous to (i)–(iv) above. The height of  $V$  is defined to be the height of  $T$ . For  $k \in \mathbb{Z}$ , let

$$T(k) := T \otimes_{\mathbb{Z}_p} \mathbb{Z}_p(k) \quad \text{and} \quad V(k) := T(k)[1/p],$$

define

$$N(T(k)) := \frac{1}{\pi^k} N(T)(k) \quad \text{and} \quad N(V(k)) := \frac{1}{\pi^k} N(V)(k)$$

and define the height of  $T(k)$  to be  $(\text{height of } T) - k$ . We call  $T(k)$  and  $V(k)$  representations of *finite  $q$ -height*.

For general properties of Wach modules, we refer the reader to [Abhinandan 2025, §4.2]. Let us note that there is a natural filtration on Wach modules attached to finite  $q$ -height representations.

**Definition 3.2.** Let  $V$  be a finite  $q$ -height representation of  $G_R$ . For each  $r \in \mathbb{Z}$ , set

$$\mathrm{Fil}^r N(V) := \{x \in N(V) \mid \varphi(x) \in q^r N(V)\} \quad \text{and} \quad \mathrm{Fil}^r N(T) := \mathrm{Fil}^r N(V) \cap N(T) \subset N(V).$$

**Lemma 3.3.** We have  $\mathrm{Fil}^r N(T) = \{x \in N(T) \mid \varphi(x) \in q^r N(T)\}$ . Moreover, we have

$$\mathrm{Fil}^r N(T(k)) = \pi^{-k} \mathrm{Fil}^{r+k} N(T)(k) \quad \text{and} \quad \mathrm{Fil}^r N(V(k)) = \pi^{-k} \mathrm{Fil}^{r+k} N(V)(k).$$

*Proof.* The first claim is true because  $q^r N(V) \cap N(T) = (q^r \mathbf{B}_R^+ \cap A_R^+) \otimes_{A_R^+} N(T) = q^r N(T)$ . To show the second claim, let  $\pi^{-k} x \otimes \epsilon^{\otimes k}$  be an element of  $\mathrm{Fil}^r \pi^{-k} N(T)(k)$ , with  $x \in N(T)$  and  $\epsilon^{\otimes k}$  a  $\mathbb{Z}_p$ -basis of  $\mathbb{Z}_p(k)$ . By assumption,  $\varphi(\pi^{-k} x \otimes \epsilon^{\otimes k}) = (q\pi)^{-k} \varphi(x) \otimes \epsilon^{\otimes k}$  belongs to  $q^r \pi^{-k} N(T)(k)$ . Therefore, we see that  $\varphi(x)$  belongs to  $q^{r+k} N(T)$ ; i.e.,  $x$  is in  $\mathrm{Fil}^{r+k} N(T)$ . The converse is obvious.  $\square$

**Remark 3.4.** Set  $\text{Fil}^r A_{\text{inf}}(\bar{R}) := \xi^r A_{\text{inf}}(\bar{R})$  and  $\text{Fil}^r A := A \cap \text{Fil}^r A_{\text{inf}}(\bar{R}) \subset A_{\text{inf}}(\bar{R})$  for each  $r \in \mathbb{N}$ . If  $T$  is a positive finite  $q$ -height  $\mathbb{Z}_p$ -representation of  $G_R$ , then, from [Abhinandan 2025, Lemma 4.53], for the filtration on Wach modules as in Definition 3.2, we have

$$\text{Fil}^r N(T) = N(T) \cap \text{Fil}^r A_{\text{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T = N(T) \cap \text{Fil}^r A \otimes_{\mathbb{Z}_p} T \subset A_{\text{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T \quad \text{for each } r \in \mathbb{N}.$$

The operator  $\psi$  defined in Section 2.4 commutes with the action of  $G_R$ , so, by linearity, it extends to a map  $\psi : \mathbf{D}(T) \rightarrow \mathbf{D}(T)$  and from Proposition 2.4 we get that  $\psi(\mathbf{D}^+(T)) \subset \mathbf{D}^+(T)$ .

**Lemma 3.5.** *Let  $T$  be positive finite  $q$ -height  $\mathbb{Z}_p$ -representation of  $G_R$  of height  $s$ . Then, for  $k \geq s$ , we have  $\psi(N(T(k))) \subset N(T(k))$ .*

*Proof.* Note that we have  $q^s N(T) \subset \varphi^*(N(T))$ . So, for  $k \geq s$  and  $x$  in  $N(T(k))$ , we must have that  $\varphi(\pi^k)x = (q\pi)^k x$  is in  $\varphi^*(N(T)(k))$ . Therefore,  $\psi(x)$  belongs to  $(1/\pi^k)N(T)(k) = N(T(k))$ .  $\square$

**3.2. Wach modules and crystalline representations.** From [Abhinandan 2025, §4.3.1], we have an  $R$ -algebra  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \subset \mathcal{O}A_{\text{cris}}(\bar{R})$  equipped with a Frobenius endomorphism  $\varphi$ , a continuous action of  $\Gamma_R$ , a  $\Gamma_R$ -stable filtration and an  $A_{R,\varpi}^{\text{PD}}$ -linear integrable connection satisfying Griffiths transversality with respect to the filtration and commuting with the action of  $\varphi$  and  $\Gamma_R$ .

**Theorem 3.6** [Abhinandan 2025, Theorem 4.24, Proposition 4.27, and Corollary 4.26]. *Let  $V$  be a finite  $q$ -height representation of  $G_R$ ; then  $V$  is crystalline. Moreover, if  $V$  is positive, then we have an isomorphism of  $R[1/p]$ -modules*

$$M[1/p] := (\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(V))^{\Gamma_R} \xrightarrow{\sim} \mathcal{O}D_{\text{cris}}(V),$$

*compatible with respective Frobenii, filtrations and connections. Furthermore, we have the natural  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$ -linear isomorphisms*

$$\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(V) \xleftarrow{\sim} \mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M[1/p] \xrightarrow{\sim} \mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R \mathcal{O}D_{\text{cris}}(V), \quad (3-1)$$

*compatible with the respective Frobenii, filtrations, connections and the actions of  $\Gamma_R$ .*

**Remark 3.7.** In Theorem 3.6, the  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$ -module  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(V)$  is equipped with the following structures: a Frobenius endomorphism, given as  $\varphi \otimes \varphi$ ; an  $A_{R,\varpi}^{\text{PD}}$ -linear connection, given by the natural  $A_{R,\varpi}^{\text{PD}}$ -linear differential operator  $\partial_R \otimes 1$  (see Remark 2.27 for notation); an action of  $\Gamma_R$ , where any  $g$  in  $\Gamma_R$  acts as  $g \otimes g$ ; and an  $\mathbb{N}$ -indexed decreasing filtration given as the tensor product filtration, i.e.,

$$\text{Fil}^r (\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(V)) = \sum_{i+j=r} \text{Fil}^i \mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} \text{Fil}^j N(V),$$

which is well defined because each term of the summation is an  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$ -submodule of  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(V)$  (using that, as  $A_R^+$ -modules,  $N(V)$  and  $\text{Fil}^j N(V)$  are finite projective, see [Abhinandan 2023, Section 5.2]). The module  $M[1/p]$  is equipped with induced structures; in particular, the filtration on  $M[1/p]$  is given as  $\text{Fil}^r M[1/p] = (\text{Fil}^r (\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(V)))^{\Gamma_R}$  and its compatibility with the Hodge filtration on  $\mathcal{O}D_{\text{cris}}(V)$

follows from [Abhinandan 2025, §4.5.1]. Then, in (3-1), the middle and right-hand terms are equipped with the following structures: a Frobenius endomorphism, given as  $\varphi \otimes \varphi$ ; an  $A_{R,\varpi}^{\text{PD}}$ -linear connection, given as  $\partial_R \otimes 1 + 1 \otimes \partial_D$ , where  $\partial_D$  is the connection on  $\mathcal{O}\mathbf{D}_{\text{cris}}(V)$  (see Section 2.3); an action of  $\Gamma_R$ , where any  $g$  in  $\Gamma_R$  acts as  $g \otimes 1$ ; and an  $\mathbb{N}$ -indexed decreasing filtration given as the tensor product filtration (see Lemma 2.35), where we use the filtration on  $M[1/p]$  as above and the Hodge filtration on  $\mathcal{O}\mathbf{D}_{\text{cris}}(V)$ . As the respective connections on  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$  and  $\mathcal{O}\mathbf{D}_{\text{cris}}(V)$  satisfy Griffiths transversality with respect to their respective filtrations, therefore, it follows that the connection on  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R \mathcal{O}\mathbf{D}_{\text{cris}}(V)$  also satisfies Griffiths transversality with respect to the tensor product filtration. Then, by the compatibility of the isomorphisms in (3-1) with connections and filtrations, we see that the respective connection on each term of (3-1) satisfies Griffiths transversality with respect to the filtration. Finally, note that the left-hand isomorphism in (3-1) is given as  $ab \otimes x \leftarrow a \otimes b \otimes x$ .

The proof of Theorem 3.6 depends on the following important observation.

**Lemma 3.8** [Abhinandan 2025, Proposition 4.27]. *Let  $V$  be a positive finite  $q$ -height representation of  $G_R$  such that the  $A_R^+$ -module  $N(T)$  is finite free of rank  $\dim_{\mathbb{Q}_p} V$ . Then there exists a finite free  $R$ -module*

$$M_0 \subset M := (\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T))^{\Gamma_R},$$

*stable under the Frobenius and such that  $M_0[1/p] = M[1/p] \xrightarrow{\sim} \mathcal{O}\mathbf{D}_{\text{cris}}(V)$  are free  $R[1/p]$ -modules of rank  $\dim_{\mathbb{Q}_p} V$ .*

**Proposition 3.9.** *Let  $V$  be a positive finite  $q$ -height representation of  $G_R$  of height  $s$  such that  $N(T)$  is free over  $A_R^+$ . Let*

$$M_0 \subset M := (\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T))^{\Gamma_R}$$

*be the free  $R$ -module obtained in Lemma 3.8. Then the  $R$ -module  $M_0/\varphi^*(M_0)$  is killed by  $p^{ms}$ .*

*Proof.* In order to prove the claim, we will use — without recalling constructions and notation — the proof of [Abhinandan 2025, Proposition 4.28]. Let  $\mathbf{f} = \{f_1, \dots, f_h\}$  be an  $A_R^+$ -basis of  $N(T)$ . Then, from Lemma 3.8 and the proof of [Abhinandan 2025, Proposition 4.28], we have that  $M_0$  is a free  $R$ -module with basis  $\mathbf{g} = \{g_1, \dots, g_h\}$ , where  $\mathbf{g} = \varphi^m(\mathbf{f})\varphi^m(A)$  for some  $A$  in  $\text{GL}(h, \mathcal{O}\hat{S}_m^{\text{PD}})$ . It is easy to see that  $M_0$  is independent of the choice of the  $A_R^+$ -basis of  $N(T)$ . Note that we have  $q = \varphi(\pi)/\pi = p\varphi(\pi/t)(t/\pi)$ , and since  $\pi/t$  is a unit in  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$  (see Lemma 2.18), we therefore obtain that  $q$  and  $p$  are associates in  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$ . Furthermore,  $N(T)/\varphi^*(N(T))$  is killed by  $q^s$ , where  $s$  is the height of  $V$ . So

$$(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T))/\varphi^{m,*}(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T))$$

is killed by  $p^{ms}$ , where we write

$$\varphi^{m,*}(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T)) = \bigoplus_{i=1}^h \mathcal{O}A_{R,\varpi}^{\text{PD}} \varphi^m(f_i).$$

Now, recall that  $\det A$  is a unit in  $\mathcal{O}\hat{S}_m^{\text{PD}}$  [Abhinandan 2025, Lemma 4.43]; therefore,  $\varphi^m(\det A)$  is a unit in  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$  and  $\varphi^m(A)$  is invertible over  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$ ; in particular, we have the isomorphism

$$\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M_0 \xrightarrow{\sim} \varphi^{m,*}(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T)).$$

So, we get that the cokernel of the natural inclusion  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M_0 \subset \mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T)$  is killed by  $p^{ms}$ . Moreover, the observation above also implies that the cokernel of the composition

$$\varphi^{m,*}(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M_0) \subset \mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M_0 \xrightarrow{\sim} \varphi^{m,*}(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T))$$

is killed by  $p^{ms}$ . In other words, we get that

$$p^{ms}(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M_0) \subset \varphi^{m,*}(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M_0) \subset \varphi^*(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M_0).$$

Finally, as the action of the Frobenius is  $\Gamma_R$ -equivariant, by taking  $\Gamma_R$ -invariants, we therefore get that  $p^{ms}M_0 \subset \varphi^*(M_0)$ ; i.e.,  $M_0/\varphi^*(M_0)$  is killed by  $p^{ms}$ .  $\square$

**Remark 3.10.** From the proof of Proposition 3.9, note that we have an inclusion

$$p^s(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T)) \subset \varphi^*(\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T)).$$

Since the action of Frobenius is  $\Gamma_R$ -equivariant, by taking  $\Gamma_R$ -invariants of the preceding inclusion, we therefore get that  $p^sM \subset \varphi^*(M)$ . Moreover, from Lemma 3.8 and Proposition 3.9, as  $M_0 \subset M$ , it also follows that the cokernel of the composition

$$\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M \rightarrow \mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T)$$

is killed by  $p^{ms}$  (in fact, the cokernel is killed by  $p^s$ , see Remark 3.12).

**Remark 3.11.** Using Theorem 3.6, we equip  $M \subset M[1/p]$  with a  $p$ -adically quasiniptent integrable connection  $\partial : M \rightarrow M \otimes_R \Omega_R^1$  and an induced filtration compatible with the tensor product filtration on  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(V)$  (see [Abhinandan 2025, §4.5.1]); the connection satisfies Griffiths transversality with respect to the filtration. Furthermore, using the explicit description of  $M_0$  in Proposition 3.9, we obtain an induced filtration on  $M_0$  and an induced  $p$ -adically quasiniptent integrable connection  $\partial : M_0 \rightarrow M_0 \otimes_R \Omega_R^1$  satisfying Griffiths transversality with respect to the filtration.

**Remark 3.12.** Note that we fixed  $m \in \mathbb{N}_{\geq 1}$  in the beginning and the  $R$ -modules obtained above depend on this choice. In particular, let  $1 \leq m \leq m'$ , with  $\varpi = \zeta_{p^m} - 1$  and  $\varpi' = \zeta_{p^{m'}} - 1$ . Then, we have an inclusion  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \subset \mathcal{O}A_{R,\varpi'}^{\text{PD}}$ , and we obtain

$$M = (\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T))^{\Gamma_R} \subset (\mathcal{O}A_{R,\varpi'}^{\text{PD}} \otimes_{A_R^+} N(T))^{\Gamma_R} = M'.$$

As the cokernel of  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M \rightarrow \mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T)$  is killed by  $p^{ms}$  (see Remark 3.10) and

$$\mathcal{O}A_{R,\varpi'}^{\text{PD}} \otimes_R M \subset \mathcal{O}A_{R,\varpi'}^{\text{PD}} \otimes_R M',$$

the cokernel of  $\mathcal{O}A_{R,\varpi'}^{\text{PD}} \otimes_R M' \rightarrow \mathcal{O}A_{R,\varpi'}^{\text{PD}} \otimes_{A_R^+} N(T)$  is also killed by  $p^{ms}$ . In particular, taking  $m = 1$ , we see that the cokernel of

$$\mathcal{O}A_{R,\varpi'}^{\text{PD}} \otimes_R M' \rightarrow \mathcal{O}A_{R,\varpi'}^{\text{PD}} \otimes_{A_R^+} N(T)$$

is always killed by  $p^s$ . Finally, let  $M_0$  and  $M'_0$  be  $R$ -modules obtained for  $m$  and  $m'$ , respectively, in [Lemma 3.8](#). Then we have that  $\varphi^{m'-m}(M'_0) \subset M_0$ .

**3.3. Filtrations and a Poincaré lemma.** Let  $T$  be a positive finite  $q$ -height  $\mathbb{Z}_p$ -representation of  $G_R$ , and set  $V = T[1/p]$ . Let  $N(T)$  denote the associated Wach module over  $A_R^+$ , and set

$$M := (\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T))^{\Gamma_R}$$

as a finitely generated  $p$ -torsion free  $R$ -module. Now consider the diagram

$$\begin{array}{ccc} \mathcal{B} \otimes_{R[1/p]} M[1/p] & \xrightarrow[\sim]{\alpha} & \mathcal{B} \otimes_{B_R^+} N(V) \\ \wr \downarrow & & \wr \downarrow \beta \\ \mathcal{B} \otimes_{R[1/p]} \mathcal{O}D_{\text{cris}}(V) & \xrightarrow[\sim]{\alpha_{\mathcal{B}}} & \mathcal{B} \otimes_{\mathbb{Q}_p} V \end{array} \quad (3-2)$$

where  $B_R^+ = A_R^+[1/p]$  and the maps are as follows: the right vertical arrow is the  $\mathcal{B}$ -linear extension of the natural inclusion

$$N(V) \subset A_{\text{inf}}(\bar{R}) \otimes_{\mathbb{Q}_p} V \subset \mathcal{B} \otimes_{\mathbb{Q}_p} V;$$

the top horizontal arrow is the extension along  $\mathcal{O}A_{R,\varpi}^{\text{PD}} \rightarrow \mathcal{B}$  of the  $\mathcal{O}A_{R,\varpi}^{\text{PD}}$ -linear isomorphism

$$\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M[1/p] \xrightarrow{\sim} \mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(V)$$

(see the first isomorphism in (3-1) of [Theorem 3.6](#)); the left vertical arrow is the extension along  $R[1/p] \rightarrow \mathcal{B}$  of the  $R[1/p]$ -linear isomorphism

$$M[1/p] \xrightarrow{\sim} \mathcal{O}D_{\text{cris}}(V)$$

(see the second isomorphism in (3-1) of [Theorem 3.6](#)), and it is compatible with the respective filtrations; and the bottom horizontal arrow is the filtration-compatible  $\mathcal{B}$ -linear isomorphism from (2-6) (see [Lemma 2.38](#)). The diagram commutes by definition, and the right vertical arrow is an isomorphism because the other three arrows are isomorphisms; see [\[Abhinandan 2025, §4.5\]](#) for a similar diagram over  $\mathcal{O}B_{\text{cris}}(\bar{R})$ . Using the right vertical arrow of diagram (3-2), for each  $r \in \mathbb{Z}$ , we set

$$\text{Fil}^r(\mathcal{B} \otimes_{B_R^+} N(V)) := \beta^{-1}(\text{Fil}^r \mathcal{B} \otimes_{\mathbb{Q}_p} V). \quad (3-3)$$

In (3-2), by the compatibility of the left vertical arrow and the bottom horizontal arrow with the respective filtrations, an easy diagram chase shows that, for each  $r \in \mathbb{Z}$ , the top horizontal arrow induces the isomorphism

$$\alpha : \text{Fil}^r(\mathcal{B} \otimes_{R[1/p]} M[1/p]) \xrightarrow{\sim} \text{Fil}^r(\mathcal{B} \otimes_{B_R^+} N(V)). \quad (3-4)$$

**3.3.1. Filtration on scalar extensions of Wach modules.** Let  $S$  be a ring such that  $A_R^+ \subset S \subset \mathcal{B}$  and  $p$  is not invertible in  $S$ . Set  $N_S := S \otimes_{A_R^+} N(T)$  and note that we have a natural embedding  $N_S \rightarrow \mathcal{B} \otimes_{B_R^+} N(V)$ . We equip  $N_S$  with the induced filtration; i.e., for each  $r \in \mathbb{Z}$ , using (3-3), set

$$\mathrm{Fil}^r N_S := N_S \cap \mathrm{Fil}^r (\mathcal{B} \otimes_{B_R^+} N(V)) \subset \mathcal{B} \otimes_{B_R^+} N(V). \quad (3-5)$$

Similarly, we set

$$\mathrm{Fil}^r N_S[1/p] := N_S[1/p] \cap \mathrm{Fil}^r (\mathcal{B} \otimes_{B_R^+} N(V))$$

for each  $r \in \mathbb{Z}$ , and it is clear that

$$\mathrm{Fil}^r N_S = N_S \cap \mathrm{Fil}^r N_S[1/p].$$

**Remark 3.13.** Let  $S$  and  $S'$  be such that  $S \subset S' \subset \mathcal{B}$  and  $p$  is not invertible in  $S'$ . Then, from the definition of the respective filtrations on  $N_S$  and  $N_{S'}$  in (3-5), it is clear that

$$\mathrm{Fil}^r N_S = N_S \cap \mathrm{Fil}^r N_{S'} \subset N_{S'}.$$

**Lemma 3.14.** Let  $S \subset E_{\bar{R}}^{[u,v]}$  be a  $G_R$ -stable  $A_R^+$ -subalgebra. Then, the filtration on  $N_S$  in (3-5) is stable under the natural action of  $G_R$  on  $N_S$ .

*Proof.* Let us consider the commutative diagram

$$\begin{array}{ccc} E_{\bar{R}}^{[u,v]} \otimes_R M[1/p] & \xrightarrow[\sim]{\alpha} & E_{\bar{R}}^{[u,v]} \otimes_{A_R^+} N(V) \\ \downarrow & & \downarrow \\ \mathcal{B} \otimes_{R[1/p]} M[1/p] & \xrightarrow[\sim]{\alpha} & \mathcal{B} \otimes_{B_R^+} N(V) \end{array} \quad (3-6)$$

where the bottom horizontal arrow is the top horizontal isomorphism of (3-2); the top horizontal arrow is the extension of the  $\mathcal{O}A_{R,\varpi}^{\mathrm{PD}}$ -linear isomorphism

$$\mathcal{O}A_{R,\varpi}^{\mathrm{PD}} \otimes_R M[1/p] \xrightarrow{\sim} \mathcal{O}A_{R,\varpi}^{\mathrm{PD}} \otimes_{A_R^+} N(V)$$

(see the first isomorphism in (3-1) of Theorem 3.6) along the  $G_R$ -equivariant map  $\mathcal{O}A_{R,\varpi}^{\mathrm{PD}} \rightarrow E_{\bar{R}}^{[u,v]}$  (see Remark 2.27) and compatible with the respective Frobenii,  $A_{\bar{R}}^{[u,v]}$ -linear connections and the actions of  $G_R$ ; and the vertical maps are extensions of scalars along the map  $E_{\bar{R}}^{[u,v]} \rightarrow \mathcal{B}$  (see Lemma 2.31). Now, by using the definition of filtrations on each term (see (2-7) and (3-5)) and the isomorphism in (3-4), the top horizontal arrow induces the following  $E_{\bar{R}}^{[u,v]}$ -linear isomorphism for each  $r \in \mathbb{Z}$ :

$$\alpha : \mathrm{Fil}^r (E_{\bar{R}}^{[u,v]} \otimes_R M[1/p]) \xrightarrow{\sim} \mathrm{Fil}^r (E_{\bar{R}}^{[u,v]} \otimes_{A_R^+} N(V)). \quad (3-7)$$

As the source of (3-7) is stable under the natural action of  $G_R$  on  $E_{\bar{R}}^{[u,v]} \otimes_R M[1/p]$  and the top horizontal arrow of (3-6) is  $G_R$ -equivariant, it therefore follows that the target of (3-7) is stable under the natural action of  $G_R$  on  $E_{\bar{R}}^{[u,v]} \otimes_{A_R^+} N(V)$ . Finally, note that we have the  $G_R$ -equivariant inclusion  $S \subset E_{\bar{R}}^{[u,v]}$ , so, by using Remark 3.13, we obtain that  $\mathrm{Fil}^r N_S$  is stable under the natural action of  $G_R$  on  $N_S$ .  $\square$

**Remark 3.15.** Let  $S$  be any ring out of

$$\mathcal{O}A_{\text{cris}}(\bar{R}), \quad E_{R,\varpi}^{\star} \text{ for } \star \in \{\text{PD}, [u], [u, v]\}, \quad \text{or} \quad E_{\bar{R}}^{\star} \text{ for } \star \in \{\text{PD}, [u], [u, v]\}.$$

Then, by [Lemma 3.14](#), we get that, for each  $r \in \mathbb{Z}$ , the isomorphism in (3-7) induces a  $G_R$ -equivariant  $S$ -linear isomorphism

$$\alpha : \text{Fil}^r(S \otimes_R M[1/p]) \xrightarrow{\sim} \text{Fil}^r(S \otimes_{A_R^+} N(V)). \quad (3-8)$$

In particular, as the connection on  $S \otimes_R M[1/p]$  satisfies Griffiths transversality with respect to the filtration, similar to [Remark 3.7](#), it therefore follows that the connection on  $S \otimes_{A_R^+} N(V)$  satisfies Griffiths transversality with respect to the filtration in (3-5).

**Remark 3.16.** Let  $E = E_{R,\varpi}^{\star}$  or  $E_{\bar{R}}^{\star}$  for  $\star \in \{\text{PD}, [u], [u, v]\}$ . We claim that

$$\text{Fil}^r(E \otimes_{A_R^+} N(V)) = \sum_{i+j=r} \text{Fil}^i E \cdot \text{Fil}^j N(V),$$

where  $\text{Fil}^i E \cdot \text{Fil}^j N(V)$  denotes the image of  $\text{Fil}^i E \otimes_{A_R^+} \text{Fil}^j N(V) \rightarrow E \otimes_{A_R^+} N(V)$ . Indeed, using [Lemma 2.31](#), [Remark 3.4](#) and (3-4), it easily follows that

$$\text{Fil}^i E \cdot \text{Fil}^j N(V) \subset \text{Fil}^r(\mathcal{B} \otimes_{A_R^+} N(V));$$

in particular, from (3-5), we deduce that

$$\sum_{i+j=r} \text{Fil}^i E \cdot \text{Fil}^j N(V) \subset \text{Fil}^r(E \otimes_{A_R^+} N(V)).$$

To show the reverse inclusion, recall that the isomorphism  $\text{Fil}^r M[1/p] \xrightarrow{\sim} \text{Fil}^r \mathcal{O}D_{\text{cris}}(V)$  is a finite projective  $R[1/p]$ -module (see [Theorem 3.6](#) and [[Brinon 2008](#), Proposition 8.3.2]), in particular flat as an  $R$ -module, and the natural map  $\text{Fil}^i E \otimes_R \text{Fil}^j M[1/p] \rightarrow E \otimes_R M[1/p]$  is injective by [Lemma 2.35](#) for each  $i, j \in \mathbb{N}$ ; we denote the image as  $\text{Fil}^i E \cdot \text{Fil}^j M[1/p]$  and note that

$$\text{Fil}^r(E \otimes_R M[1/p]) = \sum_{i+j=r} \text{Fil}^i E \otimes_R \text{Fil}^j M[1/p] = \sum_{i+j=k} \text{Fil}^i E \cdot \text{Fil}^j M[1/p].$$

Now, since the isomorphism  $E \otimes_R M[1/p] \xrightarrow{\sim} E \otimes_{A_R^+} N(V)$  is given by the natural multiplication map and the filtration on  $M[1/p]$  is given as the tensor product filtration (see [Remark 3.7](#)), we therefore obtain that the natural map

$$\sum_{i+j=k} \text{Fil}^i E \cdot \text{Fil}^j M[1/p] \rightarrow \sum_{i+j=r} \text{Fil}^i E \cdot \text{Fil}^j N(V)$$

is injective. But, from (3-8), we have the isomorphism  $\text{Fil}^r(E \otimes_R M[1/p]) \xrightarrow{\sim} \text{Fil}^r(E \otimes_{A_R^+} N(V))$ . Hence it follows that  $\text{Fil}^r(E \otimes_{A_R^+} N(V)) = \sum_{i+j=r} \text{Fil}^i E \cdot \text{Fil}^j N(V)$ .

Next, let  $S = A_{R,\varpi}^{\star}$  for  $\star \in \{+, \text{PD}, [u], [u, v], (0, v) +\}$  or  $E_{R,\varpi}^{\star}$  for  $\star \in \{\text{PD}, [u], [u, v]\}$ , and set  $N_S := S \otimes_{A_R^+} N(V)$ . Then, we have the following.

**Lemma 3.17.** *For each  $r \in \mathbb{Z}$ , we have that  $\text{Fil}^r N_S \cap \pi N_S = \pi \text{Fil}^{r-1} N_S$ .*

*Proof.* Note that the claim is clear for  $r \leq 0$ , so let  $r \geq 1$ . Let  $S' = E_{R,\varpi}^{[u,v]}$  and, using the definition of the filtration on  $N_{S'}[1/p]$  in (3-5), the  $S'$ -linear isomorphism in (3-7) and Lemma 2.39, note that

$$\begin{aligned} \text{Fil}^r N_{S'}[1/p] \cap \pi N_{S'}[1/p] &= \alpha(\text{Fil}^r(S' \otimes_R M[1/p])) \cap \alpha(\pi S' \otimes_R M[1/p]) \\ &= \alpha(\text{Fil}^r(S' \otimes_R M[1/p]) \cap \pi(S' \otimes_R M[1/p])) \\ &= \alpha(\pi \text{Fil}^{r-1}(S' \otimes_R M[1/p])) = \pi \text{Fil}^{r-1} N_{S'}[1/p]. \end{aligned}$$

In particular, we get that

$$\text{Fil}^r N_{S'} \cap \pi N_{S'} = \pi \text{Fil}^{r-1} N_{S'}[1/p] \cap \pi N_{S'} = \pi \text{Fil}^{r-1} N_{S'}.$$

Now, by using the definition of the filtration on  $N_S$  in (3-5), Remark 3.13 and the equality above, we get

$$\text{Fil}^r N_S \cap \pi N_S \subset \pi \text{Fil}^{r-1} N_{S'} \cap \pi N_S = \pi \text{Fil}^{r-1} N_S.$$

The other inclusion, i.e.,  $\pi \text{Fil}^{r-1} N_S \subset \text{Fil}^r N_S \cap \pi N_S$ , is obvious.  $\square$

**Lemma 3.18.** *For each  $r \in \mathbb{Z}$ , we have*

$$\text{Fil}^r N_S[1/p] = \sum_{i+j=r} \text{Fil}^i S \cdot \text{Fil}^j N(V),$$

where  $\text{Fil}^i S \cdot \text{Fil}^j N(V)$  denotes the image of  $\text{Fil}^i S \otimes_{A_R^+} \text{Fil}^j N(V) \rightarrow N_S[1/p]$ .

*Proof.* The claim for  $E_{R,\varpi}^*$  was shown in Remark 3.16. For  $A_{R,\varpi}^*$ , the claim for  $\star \in \{\text{PD}, [u], [u, v]\}$  follows from the proof of Lemma 3.21 (see Remark 3.22), and, for  $A_{R,\varpi}^+$ , the claim follows from Lemma 3.19. So, it remains to show the claim for  $A_{R,\varpi}^{(0,v)^+}$ . Let

$$S = A_{R,\varpi}^{(0,v)^+}, \quad A = A_{R,\varpi}^+, \quad B = A_{R,\varpi}^{[u]}, \quad C = A_{R,\varpi}^{[u,v]} \quad \text{and} \quad N[1/p] = N(V).$$

By definition, we have  $C = S + B$ , and the ideal  $\text{Fil}^i C$  is topologically generated by  $(\text{Fil}^i S + \text{Fil}^i B)C$  for all  $i \in \mathbb{N}$  (see Remark 2.8). Moreover, from Remark 3.22, we have

$$\text{Fil}^r N_B[1/p] = \sum_{i+j=r} \text{Fil}^i B \cdot \text{Fil}^j N[1/p] \quad \text{and} \quad \text{Fil}^r N_C[1/p] = \sum_{i+j=r} \text{Fil}^i C \cdot \text{Fil}^j N[1/p].$$

So, by setting  $M := \sum_{i+j=r} \text{Fil}^i S \cdot \text{Fil}^j N[1/p]$ , we see that

$$\text{Fil}^r N_C[1/p] = \sum_{i+j=r} \text{Fil}^i C \cdot \text{Fil}^j N[1/p] = M + \text{Fil}^r N_B[1/p] = \text{Fil}^r N_S[1/p] + \text{Fil}^r N_B[1/p].$$

Now, consider the following diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & M & \longrightarrow & M + \text{Fil}^r N_B[1/p] & \longrightarrow & (\text{Fil}^r N_B[1/p]) / (M \cap \text{Fil}^r N_B[1/p]) \longrightarrow 0 \\ & & \downarrow & & \parallel & & \downarrow \\ 0 & \longrightarrow & \text{Fil}^r N_S[1/p] & \longrightarrow & \text{Fil}^r N_C[1/p] & \longrightarrow & (\text{Fil}^r N_C[1/p]) / (\text{Fil}^r N_S[1/p]) \longrightarrow 0 \end{array}$$

where the left vertical arrow is injective (by an argument similar to the first part of [Remark 3.16](#)). To get the claim, it is enough to show that the right vertical arrow is bijective. Note that

$$\begin{aligned} (\mathrm{Fil}^r N_C[1/p]) / (\mathrm{Fil}^r N_S[1/p]) &= (\mathrm{Fil}^r N_S[1/p] + \mathrm{Fil}^r N_B[1/p]) / (\mathrm{Fil}^r N_S[1/p]) \\ &= (\mathrm{Fil}^r N_B[1/p]) / (\mathrm{Fil}^r N_S[1/p] \cap \mathrm{Fil}^r N_B[1/p]). \end{aligned}$$

It is clear that  $M \cap \mathrm{Fil}^r N_B[1/p] \subset \mathrm{Fil}^r N_S[1/p] \cap \mathrm{Fil}^r N_B[1/p]$ , and we claim that the reverse inclusion also holds. Indeed, as  $N[1/p]$  is a finite projective  $A_R^+[1/p]$ -module and  $A = S \cap B \subset C$ , we therefore get that  $N_A[1/p] = N_S[1/p] \cap N_B[1/p] \subset N_C[1/p]$ . Then, it follows that

$$\mathrm{Fil}^r N_S[1/p] \cap \mathrm{Fil}^r N_B[1/p] \subset N_S[1/p] \cap N_B[1/p] = N_A[1/p];$$

in particular, we see that

$$\mathrm{Fil}^r N_S[1/p] \cap \mathrm{Fil}^r N_B[1/p] = \mathrm{Fil}^r N_A[1/p] \cap \mathrm{Fil}^r N_B[1/p] \subset M \cap \mathrm{Fil}^r N_B[1/p],$$

where the equality follows from [Remark 3.13](#) and the inclusion follows by using the description of  $\mathrm{Fil}^r N_A[1/p]$  from [Lemma 3.19](#). So, we obtain that the right vertical arrow in the diagram is bijective, and hence the left vertical arrow is bijective as well; i.e.,

$$\mathrm{Fil}^r N_S[1/p] = \sum_{i+j=r} \mathrm{Fil}^i S \cdot \mathrm{Fil}^j N(V). \quad \square$$

Set

$$\mathrm{Fil}^i \mathbf{A}_{\mathrm{inf}}(\bar{R}) := \mathbf{A}_{\mathrm{inf}}(\bar{R}) \cap \mathrm{Fil}^i \mathbf{A}_{\mathrm{cris}}(\bar{R}) = \xi^i \mathbf{A}_{\mathrm{inf}}(\bar{R}) \subset \mathbf{A}_{\mathrm{cris}}(\bar{R}) \quad \text{for } i \in \mathbb{Z}.$$

**Lemma 3.19.** *For  $S = A_{R,\varpi}^+$  and any  $r \in \mathbb{Z}$ , we have*

$$\mathrm{Fil}^r N_S[1/p] = (\mathrm{Fil}^r \mathbf{A}_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} V) \cap N_S[1/p] = \sum_{i+j=r} \mathrm{Fil}^i \mathbf{A}_{R,\varpi}^+ \cdot \mathrm{Fil}^j N(V).$$

*Proof.* The first equality is obvious from the definition of the filtration on  $N_S[1/p]$  in (3-5) and [Remark 3.13](#). For the second equality, we will show a stronger claim:  $\mathrm{Fil}^r N_S = \sum_{i+j=r} \mathrm{Fil}^i \mathbf{A}_{R,\varpi}^+ \cdot \mathrm{Fil}^j N(T)$ . From the first equality, note that

$$\mathrm{Fil}^r N_S = (\mathrm{Fil}^r \mathbf{A}_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} V) \cap N_S = (\mathrm{Fil}^r \mathbf{A}_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T) \cap N_S.$$

Let us set  $F^r N_S := \sum_{i+j=r} \mathrm{Fil}^i \mathbf{A}_{R,\varpi}^+ \cdot \mathrm{Fil}^j N(T)$  for each  $r \in \mathbb{N}$ ; note that the inclusion  $F^r N_S \subset \mathrm{Fil}^r N_S$  is obvious. To prove the reverse inclusion, we will simplify the claim a bit. Note that the natural map  $\mathbf{A}_{R,\varpi}^+ \otimes_{A_R^+} \mathrm{Fil}^r N(T) \rightarrow N_S$  is injective because the morphism  $A_R^+ \rightarrow \mathbf{A}_{R,\varpi}^+$  is flat. It follows that

$$F^r N_S = \sum_{i+j=r} \mathrm{Fil}^i \mathbf{A}_{R,\varpi}^+ \otimes_{A_R^+} \mathrm{Fil}^j N(T) = \xi F^{r-1} N_S + \mathbf{A}_{R,\varpi}^+ \otimes_{A_R^+} \mathrm{Fil}^r N(T).$$

Now, to show the inclusion  $\mathrm{Fil}^r N_S \subset F^r N_S$ , we will proceed by induction on  $r \in \mathbb{N}$ . The case  $r = 0$  is trivial, so assume that  $r \geq 1$  and the claim holds for all  $k \leq r - 1$ . Let us note that, inside  $\mathbf{A}_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T$ , we have

$$\mathrm{Fil}^r N_S \cap \xi \mathrm{Fil}^{r-2} N_S = (\xi^r \mathbf{A}_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T) \cap N_S \cap (\xi^{r-1} \mathbf{A}_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T) \cap \xi N_S = \xi \mathrm{Fil}^{r-1} N_S.$$

Therefore, it follows that the natural inclusion  $\mathrm{Fil}^r N_S \subset \mathrm{Fil}^{r-1} N_S$  induces an injective map

$$(\mathrm{Fil}^r N_S)/(\xi \mathrm{Fil}^{r-1} N_S) \rightarrow (\mathrm{Fil}^{r-1} N_S)/(\xi \mathrm{Fil}^{r-2} N_S),$$

where we have

$$(\mathrm{Fil}^{r-1} N_S)/(\xi \mathrm{Fil}^{r-2} N_S) = (A_{R,\varpi}^+ \otimes_{A_R^+} \mathrm{Fil}^{r-1} N(T))/((A_{R,\varpi}^+ \otimes_{A_R^+} \mathrm{Fil}^{r-1} N(T)) \cap (\xi \mathrm{Fil}^{r-2} N_S)).$$

In particular, given any element  $x$  in  $\mathrm{Fil}^r N_S$ , we can write

$$x = \xi y + z \quad \text{for some } y \in \mathrm{Fil}^{r-1} N_S = F^{r-1} N_S \text{ and } z \in A_{R,\varpi}^+ \otimes_{A_R^+} \mathrm{Fil}^{r-1} N(T).$$

To obtain the claim, it is enough to show that  $z$  is an element of  $F^r N_S$ .

Note that we have

$$\mathrm{Fil}^r N_S = (\xi^r A_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T) \cap N_S,$$

so we see that  $z = x - \xi y = \xi^r z'$ , for some  $z' \in A_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T$ . Recall that we have  $A_{R,\varpi}^+ = A_R^+[\pi_m]$ , where  $\pi_m = \varphi^{-m}(\pi)$ . It follows that any element  $a \in A_{R,\varpi}^+$  has a unique presentation as

$$a = \sum_{i=0}^e a_i (1 + \pi_m)^{i/p},$$

with  $a_i \in A_R^+$  and  $e = p^{m-1}(p-1)$ . Let us write  $z = \sum_j f_j n_j$  for some  $f_j \in A_{R,\varpi}^+$  and  $n_j \in \mathrm{Fil}^{r-1} N(T)$ . Then, expressing each  $f_j$  as above, i.e., in terms of the powers of  $1 + \pi_m$ , and rearranging the sum for  $z$  in terms of the powers of  $1 + \pi_m$ , we get that  $z = \sum_{i=0}^e z_i (1 + \pi_m)^{i/p}$  for some  $z_i \in \mathrm{Fil}^{r-1} N(T)$  (obtained from elements  $n_j$  above). Now, by using [Remark 3.4](#), we can write each  $z_i$  as  $\xi^{r-1} w_i$  for some  $w_i \in A_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T$ . Plugging the values of  $z$  and  $z_i$  into the equality  $z = \sum_{i=0}^e z_i (1 + \pi_m)^{i/p}$  and noting that  $A_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T$  is  $\xi$ -torsion free, we get that  $\xi z' = \sum_{i=0}^e w_i (1 + \pi_m)^{i/p}$ . Reducing the latter equality modulo  $\xi A_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T$ , we obtain the equality  $\sum_{i=0}^e w_i \zeta_p^{i/p} = 0 \pmod{\xi}$  in  $\mathbb{C}^+(\bar{R}) \otimes_{\mathbb{Z}_p} T$ , which is possible only if  $w_0 = w_1 = \dots = w_e \pmod{\xi A_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T}$ . So we write

$$\xi z' = \xi w_0 + \sum_{i=1}^e (w_i - w_0) (1 + \pi_m)^{i/p},$$

with  $w_i - w_0 \in \xi A_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T$  for each  $1 \leq i \leq e$ . In particular, we get that

$$z = \xi^r z' = \xi^r w_0 + \sum_{i=1}^e \xi^{r-1} (w_i - w_0) (1 + \pi_m)^{i/p} = \xi z_0 + \sum_{i=1}^e (z_i - z_0) (1 + \pi_m)^{i/p}.$$

Note that  $z_0 \in \mathrm{Fil}^{r-1} N(T)$  and

$$z_i - z_0 = \xi^{r-1} (w_i - w_0) \in (\xi^r A_{\mathrm{inf}}(\bar{R}) \otimes_{\mathbb{Z}_p} T) \cap \mathrm{Fil}^{r-1} N(T) = \mathrm{Fil}^r N(T)$$

(see [Remark 3.4](#)) for each  $1 \leq i \leq e$ . Therefore, it follows that

$$z \in \xi \mathrm{Fil}^{r-1} N_S + A_{R,\varpi}^+ \otimes_{A_R^+} \mathrm{Fil}^r N(T) = F^r N_S.$$

This allows us to conclude.  $\square$

Next, let  $k \in \mathbb{Z}$  and consider the  $p$ -adic representation  $V(k)$  of  $G_R$ . Using (3-5) and Lemma 3.14, we define a  $\Gamma_R$ -stable filtration on  $E_{R,\varpi}^{[u,v]} \otimes_{A_R^+} N(V(k))$  as follows:

$$\mathrm{Fil}^r (E_{R,\varpi}^{[u,v]} \otimes_{A_R^+} N(V(k))) := \pi^{-k} \mathrm{Fil}^{r+k} (E_{R,\varpi}^{[u,v]} \otimes_{A_R^+} N(V))(k). \quad (3-9)$$

From the explicit description of the filtration in Remark 3.16 and by using Lemma 3.3, it follows that

$$\mathrm{Fil}^r (E_{R,\varpi}^{[u,v]} \otimes_{A_R^+} N(V(k))) = \sum_{i+j=r} \mathrm{Fil}^i E_{R,\varpi}^{[u,v]} \cdot \mathrm{Fil}^j N(V(k)).$$

Furthermore, let  $S \subset E_{R,\varpi}^{[u,v]}$  be as above (see before Lemma 3.17). Then, we note that we have a natural embedding  $S \otimes_{A_R^+} N(T(k)) \rightarrow E_{R,\varpi}^{[u,v]} \otimes_{A_R^+} N(V(k))$ , and we equip the former with an induced  $\Gamma_R$ -stable filtration; i.e., for each  $r \in \mathbb{Z}$ , set

$$\mathrm{Fil}^r (S \otimes_{A_R^+} N(T(k))) := (S \otimes_{A_R^+} N(T(k))) \cap \mathrm{Fil}^r (E_{R,\varpi}^{[u,v]} \otimes_{A_R^+} N(V(k))) \subset E_{R,\varpi}^{[u,v]} \otimes_{A_R^+} N(V(k)). \quad (3-10)$$

Using (3-9) and Remark 3.13, it is easy to see the following.

**Lemma 3.20.** *For each  $r \in \mathbb{Z}$ , we have*

$$\mathrm{Fil}^r (S \otimes_{A_R^+} N(T(k))) = \pi^{-k} \mathrm{Fil}^{r+k} (S \otimes_{A_R^+} N(T))(k).$$

**3.3.2. Filtered Poincaré lemma.** In the notation of Section 2.8.3, let us set  $A = A_{R,\varpi}^\star$  (resp.  $A_R^\star$ ),  $B = R_\varpi^\star$  and  $E = E_{R,\varpi}^\star$  (resp.  $E_R^\star$ ) for  $\star \in \{\mathrm{PD}, [u], [u, v]\}$ . Let

$$\omega_0 := \frac{dX_0}{1 + X_0} \quad \text{and} \quad \omega_i := \frac{dX_i}{X_i} \quad \text{for } 1 \leq i \leq d.$$

Set

$$\Omega^1 := \bigoplus_{i=1}^d \mathbb{Z}\omega_i \quad \text{and} \quad \Omega^k := \bigwedge^k \Omega^1.$$

Then, we have  $\Omega_{E/A}^k = E \otimes_{\mathbb{Z}} \Omega^k$  and, from Remark 2.25 (iv), for  $r \in \mathbb{Z}$ , we have the filtered de Rham complex of  $E$  relative to  $A$ :

$$\mathrm{Fil}^r \Omega_{E/A}^\bullet := \mathrm{Fil}^r E \rightarrow \mathrm{Fil}^{r-1} E \otimes_{\mathbb{Z}} \Omega^1 \rightarrow \mathrm{Fil}^{r-2} E \otimes_{\mathbb{Z}} \Omega^2 \rightarrow \dots$$

Let  $T$  be a positive finite  $q$ -height  $\mathbb{Z}_p$ -representation of  $G_R$  as above and assume that  $N(T)$  is finite free over  $A_R^+$ . Let us set  $N_A := A \otimes_{A_R^+} N(T)$ , equipped with a filtration as in (3-5), and similarly set  $N_E := E \otimes_{A_R^+} N(T)$ , equipped with a filtration as in (3-5). Note that the  $A$ -linear differential operator on  $E$  induces a quasiniptent integrable connection  $\partial : N_E \rightarrow N_E \otimes_E \Omega_{E/A}^1$  satisfying Griffiths transversality with respect to the filtration (since the same is true after inverting  $p$ , see Remark 3.15). In particular, for each  $r \in \mathbb{Z}$ , we have the filtered de Rham complex

$$\begin{aligned} \mathrm{Fil}^r N_E \otimes \Omega_{E/A}^\bullet &:= \mathrm{Fil}^r N_E \rightarrow \mathrm{Fil}^{r-1} N_E \otimes_E \Omega_{E/A}^1 \rightarrow \mathrm{Fil}^{r-2} N_E \otimes_E \Omega_{E/A}^2 \rightarrow \dots \\ &= \mathrm{Fil}^r N_E \rightarrow \mathrm{Fil}^{r-1} N_E \otimes_{\mathbb{Z}} \Omega^1 \rightarrow \mathrm{Fil}^{r-2} N_E \otimes_{\mathbb{Z}} \Omega^2 \rightarrow \dots \end{aligned}$$

Using the equality  $N_A = N_E^{\partial=0}$  and (3-5), we note that  $\mathrm{Fil}^r N_A = \mathrm{Fil}^r N_E \cap N_E^{\partial=0} = (\mathrm{Fil}^r N_E)^{\partial=0}$ . Then we have the following filtered Poincaré lemma.

**Lemma 3.21.** *The natural map  $\mathrm{Fil}^r N_A \rightarrow \mathrm{Fil}^r N_E \otimes \Omega_{E/A}^\bullet$  is a quasi-isomorphism.*

*Proof.* The claim follows by employing an argument similar to the proof of [Lemma 2.41](#), where we use the description of filtration on  $N_E[1/p]$  from [Remark 3.16](#). We omit the details.  $\square$

**Remark 3.22.** From the proof of [Lemma 3.21](#), using the map  $h^0 : \mathrm{Fil}^r N_E[1/p] \rightarrow \mathrm{Fil}^r N_A[1/p]$ , it follows that, for any  $r \in \mathbb{Z}$ , we have

$$\mathrm{Fil}^r N_A[1/p] = \sum_{i+j=r} \mathrm{Fil}^i A \cdot \mathrm{Fil}^j N(V),$$

where  $\mathrm{Fil}^i A \cdot \mathrm{Fil}^j N(V)$  denotes the image of  $\mathrm{Fil}^i A \otimes_{A^+} \mathrm{Fil}^j N(V) \rightarrow A \otimes_{A^+} N(V)$ .

**3.4. Relative Fontaine–Laffaille modules.** In this subsection we will consider the category of relative Fontaine–Laffaille modules  $\mathrm{MF}_{[0,s],\mathrm{free}}(R, \Phi, \partial)$  defined in [\[Tsuji 2020, §4\]](#) as a full subcategory of the abelian category  $\mathfrak{MF}_{[0,s]}^\nabla(R)$  introduced in [\[Faltings 1989, §II\]](#). Let  $s \in \mathbb{N}$  such that  $s \leq p - 2$ .

**Definition 3.23.** Define the category of *free relative Fontaine–Laffaille* modules of level  $[0, s]$ , denoted by  $\mathrm{MF}_{[0,s],\mathrm{free}}(R, \Phi, \partial)$ , as follows:

An object with weights/level in the interval  $[0, s]$  is a quadruple  $(M, \mathrm{Fil}^\bullet M, \partial, \Phi)$  such that:

- (i)  $M$  is a free  $R$ -module of finite rank. It is equipped with a decreasing filtration  $\{\mathrm{Fil}^k M\}_{k \in \mathbb{Z}}$  by finite  $R$ -submodules, with  $\mathrm{Fil}^0 M = M$  and  $\mathrm{Fil}^{s+1} M = 0$  and such that  $\mathrm{gr}_{\mathrm{Fil}}^k M$  is a finite free  $R$ -module for all  $k \in \mathbb{Z}$ .
- (ii) The connection  $\partial : M \rightarrow M \otimes_R \Omega_R^1$  is quasiniptotent and integrable and satisfies Griffiths transversality with respect to the filtration; i.e.,  $\partial(\mathrm{Fil}^k M) \subset \mathrm{Fil}^{k-1} M \otimes_R \Omega_R^1$  for all  $k \in \mathbb{Z}$ .
- (iii) Let  $(\varphi^*(M), \varphi^*(\partial))$  denote the pullback of  $(M, \partial)$  by  $\varphi : R \rightarrow R$  and equip it with a decreasing filtration

$$\mathrm{Fil}_p^k(\varphi^*(M)) = \sum_{i \in \mathbb{N}} (p^i / i!) \varphi^*(\mathrm{Fil}^{k-i} M) \quad \text{for } k \in \mathbb{Z}.$$

Suppose that there is an  $R$ -linear morphism  $\Phi : \varphi^*(M) \rightarrow M$  such that  $\Phi$  is compatible with connections,  $\Phi(\mathrm{Fil}_p^k(\varphi^*(M))) \subset p^k M$  for  $0 \leq k \leq s$  and

$$\sum_{k=0}^s p^{-k} \Phi(\mathrm{Fil}_p^k(\varphi^*(M))) = M.$$

Denote the composition  $M \rightarrow \varphi^*(M) \xrightarrow{\Phi} M$  by  $\varphi$ .

A morphism between two objects of the category  $\mathrm{MF}_{[0,s],\mathrm{free}}(R, \Phi, \partial)$  is a continuous  $R$ -linear map compatible with the homomorphism  $\Phi$  and the connection  $\partial$  on each side.

**Remark 3.24.** In [Definition 3.23](#) (iii), note that  $\varphi^*(M)$  denotes the  $R$ -module  $R \otimes_{\varphi, R} M$  on which the  $O_F$ -linear connection is given by the formula  $\varphi^*(\partial)(a \otimes x) = da \otimes x + a \otimes \partial(x)$  for any  $a$  in  $R$  and  $x$  in  $M$ . Furthermore, compatibility of the  $R$ -linear morphism  $\Phi : \varphi^*(M) \rightarrow M$  with connections means that, for any  $a$  in  $R$  and  $x$  in  $M$ , we must have  $\partial \circ \Phi(a \otimes x) = \Phi \circ \varphi^*(\partial)(a \otimes x)$ .

To an object  $M$  in  $\mathrm{MF}_{[0,s],\mathrm{free}}(R, \varphi, \mathrm{Fil})$ , we can functorially associate a  $\mathbb{Z}_p$ -module as

$$T_{\mathrm{cris}}^*(M) := \mathrm{Hom}_{R, \mathrm{Fil}, \varphi, \partial}(M, \mathcal{O}\mathbf{A}_{\mathrm{cris}}(\bar{R})),$$

i.e.,  $R$ -linear maps from  $M$  to  $\mathcal{O}\mathbf{A}_{\mathrm{cris}}(\bar{R})$ , compatible with the respective Frobenii, filtrations and connections. Set

$$T_{\mathrm{cris}}(M) := \mathrm{Hom}_{\mathbb{Z}_p}(T_{\mathrm{cris}}^*(M), \mathbb{Z}_p),$$

and note that it is a finite free  $\mathbb{Z}_p$ -module of rank  $\mathrm{rk}_R M$ , admitting a continuous action of  $G_R$ . By [Faltings 1989; Tsuji 2020], the  $p$ -adic representation  $V_{\mathrm{cris}}(M) := \mathbb{Q}_p \otimes_{\mathbb{Z}_p} T_{\mathrm{cris}}(M)$  is crystalline with Hodge–Tate weights in the interval  $[-s, 0]$ .

**Theorem 3.25** [Abhinandan 2025, Theorem 5.4]. *For a free relative Fontaine–Laffaille module  $M$  over  $R$  of level  $[0, s]$ , the associated  $p$ -adic representation*

$$V_{\mathrm{cris}}(M) := \mathbb{Q}_p \otimes_{\mathbb{Z}_p} T_{\mathrm{cris}}(M)$$

*of  $G_R$  is a positive finite  $q$ -height representation (in the sense of Definition 3.1).*

**Remark 3.26.** (i) The results of [Abhinandan 2025] are shown for  $s = p - 2$ . However, all the arguments can be adapted almost verbatim (by replacing  $p - 2$  everywhere by any  $0 \leq s \leq p - 2$ ).

(ii) Let  $M$  be a free relative Fontaine–Laffaille module over  $R$  of level  $[0, s]$ , and let  $T = T_{\mathrm{cris}}(M)$  be its associated  $\mathbb{Z}_p$ -representation of  $G_R$ . Then, from Theorem 3.25, we have a free relative Wach module  $N(T)$  over  $A_R^+$  associated to  $T$ . Moreover, by combining [Abhinandan 2025, Propositions 5.23 & 5.27] and the proof of [Abhinandan 2025, Theorem 5.4], we have a natural isomorphism

$$\mathcal{O}\mathbf{A}_{R, \varpi}^{\mathrm{PD}} \otimes_R M \xrightarrow{\sim} \mathcal{O}\mathbf{A}_{R, \varpi}^{\mathrm{PD}} \otimes_{A_R^+} N(T),$$

compatible with the respective Frobenii, filtrations, connections and the actions of  $\Gamma_R$ .

(iii) From the proof of [Abhinandan 2025, Theorem 5.4], one can observe that  $M/\Phi(\varphi^*(M))$  is  $p^s$ -torsion and  $s$  equals the maximum among the absolute values of Hodge–Tate weights of  $V_{\mathrm{cris}}(M)$ .

**Remark 3.27.** In Definition 3.23, we considered finite free  $R$ -modules. For the  $R/p^n$ -module  $M/p^n$ , the associated  $\mathbb{Z}/p^n$ -representation of  $G_R$  is given as

$$T_{\mathrm{cris}}(M/p^n) = T_{\mathrm{cris}}(M)/p^n.$$

Moreover, we associate a Wach module to  $T/p^n = T_{\mathrm{cris}}(M)/p^n$  as  $N(T/p^n) := N(T)/p^n$  and we have a natural isomorphism

$$\mathcal{O}\mathbf{A}_{R, \varpi}^{\mathrm{PD}}/p^n \otimes_{A_R^+/p^n} N(T/p^n) \xrightarrow{\sim} \mathcal{O}\mathbf{A}_{R, \varpi}^{\mathrm{PD}}/p^n \otimes_{R/p^n} M/p^n$$

compatible with the respective Frobenii, filtrations, connections and the actions of  $\Gamma_R$ ; see [Abhinandan 2025, §5.3].

#### 4. Galois cohomology complexes

In this section, we will describe Koszul complexes computing the cohomology for the action of  $\Gamma_R$  and  $\text{Lie } \Gamma_R$  on certain modules.

**4.1. Relative Fontaine–Herr complex.** From Section 2.4, recall that we have an equivalence between  $\mathbb{Z}_p$ -representations of  $G_R$  and étale  $(\varphi, \Gamma_R)$ -modules over  $A_R$ , so it is natural to expect that the continuous  $G_R$ -cohomology groups of a  $\mathbb{Z}_p$ -representation  $T$  could be computed using its associated étale  $(\varphi, \Gamma_R)$ -module  $\mathbf{D}(T)$ . Below, we will consider the continuous cohomology (for the weak topology) of étale  $(\varphi, \Gamma_R)$ -modules over  $A_R$  and  $A_R^\dagger$  (see Section 2.4).

**Definition 4.1.** Let  $D$  be an étale  $(\varphi, \Gamma_R)$ -module over  $A_R$  or  $A_R^\dagger$ . In the derived category of abelian groups, let  $\mathbf{R}\Gamma_{\text{cont}}(\Gamma_R, D)$  denote the complex of continuous cochains with values in  $D$ .

**Theorem 4.2** [Andreatta and Iovita 2008, Theorems 3.3 and 7.10.6; Herr 1998]. *Let  $T \in \text{Rep}_{\mathbb{Z}_p}(G_R)$ , and let  $\mathbf{D}(T)$  and  $\mathbf{D}^\dagger(T)$  be the associated étale  $(\varphi, \Gamma_R)$ -module over  $A_R$  and  $A_R^\dagger$ , respectively. Then we have natural quasi-isomorphisms*

$$\begin{aligned} [\mathbf{R}\Gamma_{\text{cont}}(\Gamma_R, \mathbf{D}(T)) \xrightarrow{1-\varphi} \mathbf{R}\Gamma_{\text{cont}}(\Gamma_R, \mathbf{D}(T))] &\simeq \mathbf{R}\Gamma_{\text{cont}}(G_R, T), \\ [\mathbf{R}\Gamma_{\text{cont}}(\Gamma_R, \mathbf{D}^\dagger(T)) \xrightarrow{1-\varphi} \mathbf{R}\Gamma_{\text{cont}}(\Gamma_R, \mathbf{D}^\dagger(T))] &\simeq \mathbf{R}\Gamma_{\text{cont}}(G_R, T). \end{aligned}$$

**Remark 4.3.** Theorem 4.2 is also valid for  $S = R[\varpi]$ , where  $\varpi = \zeta_{p^m} - 1$ , and we replace  $G_R$  by  $G_S \triangleleft G_R$ ,  $\Gamma_R$  by  $\Gamma_S = \Gamma'_R \rtimes \Gamma_K \triangleleft \Gamma_R$  and consider complexes in terms of étale  $(\varphi, \Gamma_S)$ -modules over respective period rings  $A_{R, \varpi}$  and  $A_{R, \varpi}^\dagger$  (defined in an obvious way).

**4.2. Koszul complexes.** Recall that  $K = F(\zeta_{p^m})$  for  $m \in \mathbb{N}_{\geq 1}$ . Let  $S = R[\varpi]$  for  $\varpi = \zeta_{p^m} - 1$ . From Section 2.4, recall that  $S_\infty[1/p] = R_\infty[1/p]$  is a Galois extension of  $S[1/p]$ , with Galois group

$$\Gamma_S = \Gamma'_R \rtimes \Gamma_K \triangleleft \Gamma_R.$$

Also recall that we fixed topological generators  $\{\gamma_0, \gamma_1, \dots, \gamma_d\}$  of  $\Gamma_S$  such that  $\{\gamma_1, \dots, \gamma_d\}$  are topological generators of  $\Gamma'_S := \Gamma'_R$  and  $\gamma_0$  is a lift (to  $\Gamma_S$ ) of a topological generator of  $\Gamma_K$ . Furthermore,  $\chi$  denotes the  $p$ -adic cyclotomic character, and recall that  $c = \chi(\gamma_0) = \exp(p^m)$ .

In this subsection, we will recall the definition of Koszul complexes from [Colmez and Nizioł 2017, §4.2] computing continuous  $\Gamma_S$ -cohomology of topological modules admitting a continuous action of  $\Gamma_S$ , in particular, étale  $(\varphi, \Gamma_S)$ -modules (see Remark 4.3). Let  $\tau_i = \gamma_i - 1$  for  $1 \leq i \leq d$ , and set

$$K(\tau_i) : 0 \rightarrow \mathbb{Z}_p \llbracket \tau_i \rrbracket \xrightarrow{\tau_i} \mathbb{Z}_p \llbracket \tau_i \rrbracket \rightarrow 0,$$

where the middle map is multiplication by  $\tau_i$  and the right-hand term is placed in degree 0.

**Definition 4.4.** Define

$$K(\tau_1, \dots, \tau_d) := K(\tau_1) \widehat{\otimes}_{\mathbb{Z}_p} K(\tau_2) \widehat{\otimes}_{\mathbb{Z}_p} \cdots \widehat{\otimes}_{\mathbb{Z}_p} K(\tau_d)$$

to be the *Koszul complex* associated to  $(\tau_1, \dots, \tau_d)$ .

**Remark 4.5.** The degree  $q$  term in the complex  $K(\tau_1, \dots, \tau_d)$  (Definition 4.4) equals the exterior power  $\bigwedge_A^q A^d$ , where  $A = \mathbb{Z}_p[[\tau_1, \dots, \tau_d]] \xrightarrow{\sim} \mathbb{Z}_p[[\Gamma'_S]]$  is an isomorphism; the last term denotes the Iwasawa algebra of  $\Gamma'_S$ . The differential  $d_{q-1}^1 : \bigwedge_A^q A^d \rightarrow \bigwedge_A^{q-1} A^d$  is given as

$$d_{q-1}^1(e_{i_1 \dots i_q}) = \sum_{k=1}^q (-1)^{k+1} e_{i_1 \dots \hat{i}_k \dots i_q} \tau_{i_k}$$

in the standard basis  $\{e_{i_1 \dots i_q} \mid 1 \leq i_1 < \dots < i_q \leq d\}$  of  $\bigwedge_A^q A^d$ . In the category of topological  $A$ -modules, the augmentation map  $A \rightarrow \mathbb{Z}_p$  makes  $K(\tau_1, \dots, \tau_d)$  into a resolution of  $\mathbb{Z}_p$ . Explicitly, we have that

$$K(\tau_1, \dots, \tau_d) = 0 \rightarrow A^{I'_d} \xrightarrow{d_{d-1}^1} \dots \xrightarrow{d_1^1} A^{I'_1} \xrightarrow{d_0^1} A \rightarrow 0,$$

where  $A^{I'_q} = \bigoplus_{I'_q} A$  for  $I'_q = \{(i_1, \dots, i_q) \mid 1 \leq i_1 < \dots < i_q \leq d\}$  and the differentials are as described above. Similarly, for  $c = \chi(\gamma_0)$ , we can define the Koszul complex  $K(\tau_1^c, \dots, \tau_d^c)$ , where  $\tau_i^c := \gamma_i^c - 1$ .

**Definition 4.6.** Let  $\Lambda := \mathbb{Z}_p[[\Gamma_S]]$ , and define the complex

$$K(\Lambda) := 0 \rightarrow \Lambda^{I'_d} \xrightarrow{d_{d-1}^1} \dots \xrightarrow{d_1^1} \Lambda^{I'_1} \xrightarrow{d_0^1} \Lambda \rightarrow 0,$$

where we have  $\Lambda^{I'_q} = \bigoplus_{I'_q} \Lambda$  and the indexing sets  $I'_q$  were described in Remark 4.5. From [Morita 2008, Lemma 4.3], we have an isomorphism of complexes

$$\lim_m \mathbb{Z}_p[\Gamma_K / (\Gamma_K)^{p^m}] \otimes_{\mathbb{Z}_p} K(\tau_1, \dots, \tau_d) \xrightarrow{\sim} K(\Lambda).$$

Similarly, one can obtain  $K^c(\Lambda)$  from  $K(\tau_1^c, \dots, \tau_d^c)$ . Both  $K(\Lambda)$  and  $K^c(\Lambda)$  are resolutions of  $\mathbb{Z}_p[[\Gamma_K]]$  in the category of topological left  $\Lambda$ -modules.

**Example 4.7.** For  $d = 2$ , the complex  $K(\Lambda)$  in Definition 4.6 is given as

$$0 \rightarrow \Lambda \xrightarrow{d_1^1} \Lambda \oplus \Lambda \xrightarrow{d_0^1} \Lambda \rightarrow 0,$$

where  $d_1^1(x) = (-x\tau_2, x\tau_1)$  and  $d_0^1(y, z) = y\tau_1 + z\tau_2$ .

**Definition 4.8.** Define a map  $\tau_0 : K^c(\Lambda) \rightarrow K(\Lambda)$  by setting, in each degree,

$$\tau_0^0 = \gamma_0 - 1 \quad \text{and} \quad \tau_0^q : (a_{i_1 \dots i_q}) \mapsto (a_{i_1 \dots i_q}(\gamma_0 - \delta_{i_1 \dots i_q}))$$

for  $1 \leq q \leq d$ ,  $1 \leq i_1 < \dots < i_q \leq d$  and  $\delta_{i_1 \dots i_q} = \delta_{i_q} \cdots \delta_{i_1}$ , with  $\delta_{i_j} = (\gamma_{i_j}^c - 1)(\gamma_{i_j} - 1)^{-1}$ .

Let  $M$  be a topological  $\mathbb{Z}_p$ -module admitting a continuous action of  $\Gamma_S$ .

**Definition 4.9.** Define the  $\Gamma'_S$ -Koszul complexes of  $M$  by setting  $\text{Kos}(\Gamma'_S, M) := \text{Hom}_{\Lambda, \text{cont}}(K(\Lambda), M)$  and  $\text{Kos}^c(\Gamma'_S, M) := \text{Hom}_{\Lambda, \text{cont}}(K^c(\Lambda), M)$ . Moreover, define the  $\Gamma_S$ -Koszul complex of  $M$  as

$$\text{Kos}(\Gamma_S, M) := [\text{Kos}(\Gamma'_S, M) \xrightarrow{\tau_0} \text{Kos}^c(\Gamma'_S, M)].$$

**Proposition 4.10** [Colmez and Nizioł 2017, §4.2; Lazard 1965]. *There exists a natural quasi-isomorphism of complexes  $\text{Kos}(\Gamma_S, M) \simeq \text{R}\Gamma_{\text{cont}}(\Gamma_S, M)$ .*

**Definition 4.11.** Let  $D$  be an étale  $(\varphi, \Gamma_S)$ -module over  $\mathbf{A}_{R,\varpi}$ , and set

$$\mathrm{Kos}(\varphi, \Gamma_S, D) := \begin{bmatrix} \mathrm{Kos}(\Gamma'_S, D) \xrightarrow{1-\varphi} \mathrm{Kos}(\Gamma'_S, D) \\ \downarrow \tau_0 \qquad \qquad \downarrow \tau_0 \\ \mathrm{Kos}^c(\Gamma'_S, D) \xrightarrow{1-\varphi} \mathrm{Kos}^c(\Gamma'_S, D) \end{bmatrix}.$$

Note that, from [Proposition 4.10](#) and [Definition 4.11](#), we have a natural quasi-isomorphism of complexes  $\mathrm{Kos}(\varphi, \Gamma_S, D) \simeq [\mathrm{R}\Gamma_{\mathrm{cont}}(\Gamma_S, D) \xrightarrow{1-\varphi} \mathrm{R}\Gamma_{\mathrm{cont}}(\Gamma_S, D)]$ , so we conclude the following.

**Proposition 4.12.** Let  $T$  be in  $\mathrm{Rep}_{\mathbb{Z}_p}(G_S)$  and  $D_{\varpi}(T)$  be the associated étale  $(\varphi, \Gamma_S)$ -module over  $\mathbf{A}_{R,\varpi}$ . Then we have a natural quasi-isomorphism of complexes  $\mathrm{Kos}(\varphi, \Gamma_S, D_{\varpi}(T)) \simeq \mathrm{R}\Gamma_{\mathrm{cont}}(G_S, T)$ .

**4.3. Lie algebra cohomology.** In this subsection we will fix constants  $u, v \in \mathbb{R}$  such that

$$\frac{p-1}{p} \leq u \leq \frac{v}{p} < 1 < v;$$

for example, one can take  $u = (p-1)/p$  and  $v = p-1$ .

**4.3.1. Convergence of operators.** From [Section 2.7](#), recall that we have rings  $\mathbf{A}_{R,\varpi}^{\mathrm{PD}}$ ,  $\mathbf{A}_{R,\varpi}^{[u]}$  and  $\mathbf{A}_{R,\varpi}^{[u,v]}$  equipped with a continuous action of  $\Gamma_S \triangleleft \Gamma_R$ .

**Lemma 4.13.** For  $i \in \{0, 1, \dots, d\}$ , the operators

$$\nabla_i := \log \gamma_i = \sum_{k \in \mathbb{N}} \frac{(-1)^k (\gamma_i - 1)^{k+1}}{k+1}$$

converge as a series of operators on  $\mathbf{A}_{R,\varpi}^{\mathrm{PD}}$ ,  $\mathbf{A}_{R,\varpi}^{[u]}$  and  $\mathbf{A}_{R,\varpi}^{[u,v]}$ .

*Proof.* From [Lemma 2.21](#), note that

$$(\gamma_0 - 1)(p^m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{\mathrm{PD}} \subset (p^m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^{\mathrm{PD}}$$

for all  $k \geq 0$ . Using the fact that  $\gamma_0 - 1$  acts as a twisted derivation, we see that, for any  $x$  in  $\mathbf{A}_{R,\varpi}^{\mathrm{PD}}$ , the expression  $(\gamma_0 - 1)^k x$  belongs to  $(p^m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{\mathrm{PD}}$ . Therefore, to check that the series

$$\nabla_0(x) = \sum_{k \in \mathbb{N}} (-1)^k \frac{(\gamma_0 - 1)^{k+1}(x)}{k+1}$$

converges in  $\mathbf{A}_{R,\varpi}^{\mathrm{PD}}$ , it is enough to show that, for a fixed  $0 \leq j \leq k$ , the  $p$ -adic valuation of

$$\left[ \frac{p^m j}{e} \right]! \frac{p^{m(k-j)}}{k}$$

goes to  $+\infty$  as  $k \rightarrow +\infty$ , which follows from an elementary computation. In particular, we have that  $\nabla_0(x)$  converges in  $\mathbf{A}_{R,\varpi}^{\mathrm{PD}}$ .

Now, let us consider  $\gamma_i$  for  $i \in \{1, \dots, d\}$ . Again, from [Lemma 2.21](#), note that

$$(\gamma_i - 1)(p^m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{\mathrm{PD}} \subset (p^m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^{\mathrm{PD}}$$

for all  $k \geq 0$ . Using the fact that  $\gamma_i - 1$  acts as a twisted derivation, we conclude that, for any  $x$  in  $\mathbf{A}_{R,\varpi}^{\text{PD}}$ , the expression  $(\gamma_i - 1)^k x$  belongs to  $(p^m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{\text{PD}}$ . Therefore, using an estimate similar the case of  $\gamma_0$ , we conclude that the series

$$\nabla_i(x) = \sum_{k \in \mathbb{N}} (-1)^k \frac{(\gamma_i - 1)^{k+1}(x)}{k+1}$$

converges in  $\mathbf{A}_{R,\varpi}^{\text{PD}}$ . The case of  $\mathbf{A}_{R,\varpi}^{[u]}$  and  $\mathbf{A}_{R,\varpi}^{[u,v]}$  follow from similar arguments (using [Lemma 2.22](#) for  $\mathbf{A}_{R,\varpi}^{[u,v]}$ ). This allows us to conclude.  $\square$

Next, note that formally we can write

$$\frac{\log(1+X)}{X} = 1 + a_1 X + a_2 X^2 + a_3 X^3 + \dots, \quad \frac{X}{\log(1+X)} = 1 + b_1 X + b_2 X^2 + b_3 X^3 + \dots,$$

where  $v_p(a_k) \geq -k/(p-1)$  for all  $k \geq 1$ , and therefore  $v_p(b_k) \geq -k/(p-1)$  for all  $k \geq 1$ . Setting  $X = \gamma_i - 1$  for  $i \in \{0, 1, \dots, d\}$ , we make the following claim.

**Lemma 4.14.** *For  $i \in \{0, 1, \dots, d\}$ , the operators*

$$\frac{\nabla_i}{\gamma_i - 1} = \frac{\log \gamma_i}{\gamma_i - 1} \quad \text{and} \quad \frac{\gamma_i - 1}{\nabla_i} = \frac{\gamma_i - 1}{\log \gamma_i}$$

converge as series of operators on  $\mathbf{A}_{R,\varpi}^{\text{PD}}$ ,  $\mathbf{A}_{R,\varpi}^{[u]}$  and  $\mathbf{A}_{R,\varpi}^{[u,v]}$ .

*Proof.* We will only show that these series converge on  $\mathbf{A}_{R,\varpi}^{\text{PD}}$ ; the case of  $\mathbf{A}_{R,\varpi}^{[u]}$  and  $\mathbf{A}_{R,\varpi}^{[u,v]}$  follow similarly (using [Lemma 2.22](#) for  $\mathbf{A}_{R,\varpi}^{[u,v]}$ ). Note that we have

$$v_p(a_k) \geq \frac{-k}{p-1} \quad \text{and} \quad v_p(b_k) \geq \frac{-k}{p-1} \quad \text{for all } k \geq 1,$$

so it is enough to show the convergence of  $(\gamma_i - 1)/\log \gamma_i$ . Now, from [Lemma 2.21](#), we have, for  $k \geq 1$ ,

$$(\gamma_i - 1)(p^m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{\text{PD}} \subset (p^m, \pi_m^{p^m})^{k+1} \mathbf{A}_{R,\varpi}^{\text{PD}}.$$

Since  $\gamma_i - 1$  acts as a twisted derivation, for any  $x$  in  $\mathbf{A}_{R,\varpi}^{\text{PD}}$ , from the proof of [Lemma 4.13](#), we have that  $(\gamma_i - 1)^k x$  belongs to  $(p^m, \pi_m^{p^m})^k \mathbf{A}_{R,\varpi}^{\text{PD}}$ . Therefore, to check that the series

$$\sum_{k \in \mathbb{N}} (-1)^k b_k (\gamma_i - 1)^k x$$

converges in  $\mathbf{A}_{R,\varpi}^{\text{PD}}$ , it is enough to show that, for a fixed  $0 \leq j \leq k$ , the  $p$ -adic valuation of

$$b_k p^{m(k-j)} \left[ \frac{p^m j}{e} \right]!$$

goes to  $+\infty$  as  $k \rightarrow +\infty$ , which follows from an elementary computation. So, we get that the series  $(\gamma_i - 1)/\log \gamma_i$  converges on  $\mathbf{A}_{R,\varpi}^{\text{PD}}$ . This concludes our proof.  $\square$

**4.3.2. Koszul Complexes for  $\mathrm{Lie} \Gamma_S$ .** For  $0 \leq i \leq d$ , let  $\nabla_i$  denote the operators defined as above. The Lie algebra  $\mathrm{Lie} \Gamma'_S$  of the  $p$ -adic Lie group  $\Gamma'_S$  is a finite free  $\mathbb{Z}_p$ -module of rank  $d$ ; i.e.,  $\mathrm{Lie} \Gamma'_S = \mathbb{Z}_p[\nabla_i]_{1 \leq i \leq d}$  and the Lie algebra  $\mathrm{Lie} \Gamma_S$  of the  $p$ -adic Lie group  $\Gamma_S$  is a finite free  $\mathbb{Z}_p$ -module of rank  $d + 1$ ; i.e.,  $\mathrm{Lie} \Gamma_S = \mathbb{Z}_p[\nabla_i]_{0 \leq i \leq d}$ . Moreover, we have

$$\begin{aligned} [\nabla_i, \nabla_j] &= \nabla_i \circ \nabla_j - \nabla_j \circ \nabla_i = 0 && \text{for } 1 \leq i, j \leq d, \\ [\nabla_0, \nabla_i] &= \nabla_0 \circ \nabla_i - \nabla_i \circ \nabla_0 = p^m \nabla_i && \text{for } 1 \leq i \leq d. \end{aligned}$$

In particular,  $\mathrm{Lie} \Gamma'_S$  is commutative as a  $\mathbb{Z}_p$ -algebra, however  $\mathrm{Lie} \Gamma_S$  is noncommutative. Let  $M$  be a topological  $\mathbb{Z}_p$ -module admitting a continuous action of  $\mathrm{Lie} \Gamma_S$ .

**Definition 4.15.** Define the complex  $\mathrm{Kos}(\mathrm{Lie} \Gamma'_S, M) := M \rightarrow M^{I_1} \rightarrow \cdots \rightarrow M^{I_d}$  with differentials dual to those in [Remark 4.5](#) (with  $\tau_i$  replaced by  $\nabla_i$ ).

Consider a morphism of complexes  $\nabla_0 : \mathrm{Kos}(\mathrm{Lie} \Gamma'_S, M) \rightarrow \mathrm{Kos}(\mathrm{Lie} \Gamma'_S, M)$  defined on the  $q$ -th term as  $\nabla_0 - qp^m : M^{I_q} \rightarrow M^{I_q}$ .

**Definition 4.16.** Define the  $\mathrm{Lie} \Gamma_S$ -Koszul complex with values in  $M$  as

$$\mathrm{Kos}(\mathrm{Lie} \Gamma_S, M) := [\mathrm{Kos}(\mathrm{Lie} \Gamma'_S, M) \xrightarrow{\nabla_0} \mathrm{Kos}(\mathrm{Lie} \Gamma'_S, M)].$$

**Proposition 4.17** [[Colmez and Nizioł 2017](#), §4.3; [Lazard 1965](#)]. *There exist natural quasi-isomorphisms of complexes*

$$\begin{aligned} \mathrm{R}\Gamma_{\mathrm{cont}}(\mathrm{Lie} \Gamma'_S, M) &\simeq \mathrm{Kos}(\mathrm{Lie} \Gamma'_S, M), \\ \mathrm{R}\Gamma_{\mathrm{cont}}(\mathrm{Lie} \Gamma_S, M) &\simeq \mathrm{Kos}(\mathrm{Lie} \Gamma_S, M). \end{aligned}$$

## 5. Syntomic complexes and finite-height representations

We will assume the setup of [Section 2](#). Recall that we fixed some  $m \in \mathbb{N}_{\geq 1}$  and, from [Section 2.5](#), we have rings  $R_{\star}^*$  for  $\star \in \{ , +, \mathrm{PD}, [u], (0, v) +, [u, v] \}$ . Unless otherwise stated, we will assume  $u = (p-1)/p$  and  $v = p-1$ . Note that the  $p$ -adic completion of the module of differentials of  $R$  relative to  $\mathbb{Z}$  is given as  $\Omega_R^1 = \bigoplus_{i=1}^d R d \log X_i$ . Also, for  $\star \in \{ +, \mathrm{PD}, [u], [u, v] \}$ , we have

$$\Omega_{R_{\star}^*}^1 = R_{\star}^* \frac{dX_0}{1+X_0} \oplus \left( \bigoplus_{i=1}^d R_{\star}^* d \log X_i \right).$$

**5.1. Formulation of the main result.** In [Sections 5](#) and [6](#) we will work with the following class of representations.

**Assumption 5.1.** Let  $T$  be a positive finite  $q$ -height  $\mathbb{Z}_p$ -representation of  $G_R$  of height  $s$ , and set  $V = T[1/p]$  (see [Definition 3.1](#)). Assume that the Wach module  $N(T)$  is free of rank  $\mathrm{rk}_{\mathbb{Z}_p} T$  over  $\mathbf{A}_R^+$  and  $M \subset \mathcal{O}_{\mathrm{Dcris}}(V)$  is a free  $R$ -submodule of rank  $\mathrm{rk}_{\mathbb{Z}_p} T$  such that  $M$  is stable under the induced Frobenius,  $M[1/p] = \mathcal{O}_{\mathrm{Dcris}}(V)$  and the induced connection over  $M$  is  $p$ -adically quasinilpotent, integrable and

satisfies Griffiths transversality with respect to the induced filtration. Furthermore, assume that  $p^s M \subset \varphi^*(M)$  and there is a natural map

$$\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_R M \rightarrow \mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T)$$

compatible with the respective Frobenii, filtrations, connections and actions of  $\Gamma_R$ , and such that it is a  $p^N$ -isomorphism with  $N = n(T, e) \in \mathbb{N}$  for  $e = [K : F] = p^{m-1}(p-1)$ .

**Example 5.2.** Following are some cases in which [Assumption 5.1](#) is satisfied:

- (i) Assuming that  $N(T)$  is a free  $A_R^+$ -module, from [Proposition 3.9](#) and [Remark 3.11](#), we have that the  $R$ -module  $M := M_0$  (in the notation of the proposition) satisfies [Assumption 5.1](#) with  $m = 1$  and  $n(T, e) = s$ .
- (ii) Let  $M = (\mathcal{O}A_{R,\varpi}^{\text{PD}} \otimes_{A_R^+} N(T))^{\Gamma_R}$  with an additional assumption that it is free over  $R$  of rank  $\text{rk}_{\mathbb{Z}_p} T$ . Then, the module  $M$  depends on  $T$  and  $m \in \mathbb{N}_{\geq 1}$  (see [Remark 3.12](#)), and it satisfies [Assumption 5.1](#) with  $n(T, e) = s$  (see [Remarks 3.10–3.12](#)).
- (iii) For our intended global applications to relative Fontaine–Laffaille modules, we note that, for representations arising from finite free relative Fontaine–Laffaille modules of level  $[0, s]$  with  $s \leq p-2$  as in [Section 3.4](#), the conditions of [Assumption 5.1](#) are automatically satisfied, with  $M$  being the relative Fontaine–Laffaille module and  $n(T, e) = 0$  (see [Remark 3.26](#)).

Let us first consider the case of  $S = R[\varpi]$ . From [Section 2.5](#) we have the divided power ring  $R_{\varpi}^{\text{PD}} \rightarrow S$ , and we have a finite free  $R_{\varpi}^{\text{PD}}$ -module  $M_{\varpi}^{\text{PD}} := R_{\varpi}^{\text{PD}} \otimes_R M$  equipped with a Frobenius-semilinear endomorphism  $\varphi$  given by the diagonal action on each component of the tensor product, and a filtration  $\{\text{Fil}^k M_{\varpi}^{\text{PD}}\}_{k \in \mathbb{N}}$  induced from the tensor product filtration on  $M_{\varpi}^{\text{PD}}[1/p]$  (see the discussion before [Lemma 2.40](#)). Moreover, the  $\mathcal{O}_F$ -linear integrable connection on  $M$  and the continuous  $\mathcal{O}_F$ -linear de Rham differential operator on  $R_{\varpi}^{\text{PD}}$  induce an  $\mathcal{O}_F$ -linear integrable connection  $\partial : M_{\varpi}^{\text{PD}} \rightarrow M_{\varpi}^{\text{PD}} \otimes_{R_{\varpi}^{\text{PD}}} \Omega_{R_{\varpi}^{\text{PD}}}^1$  defined by sending  $a \otimes x \mapsto a \otimes \partial_M(x) + x da$ . It is easy to see that the connection  $\partial$  on  $M_{\varpi}^{\text{PD}}$  satisfies Griffiths transversality with respect to the filtration since the same is true for the connection on  $M$  and the differential operator on  $R_{\varpi}^{\text{PD}}$ . In particular, we have the filtered de Rham complex

$$\text{Fil}^r \mathcal{D}_{S,M}^{\bullet} := \text{Fil}^r M_{\varpi}^{\text{PD}} \rightarrow \text{Fil}^{r-1} M_{\varpi}^{\text{PD}} \otimes_{R_{\varpi}^{\text{PD}}} \Omega_{R_{\varpi}^{\text{PD}}}^1 \rightarrow \cdots \quad (5-1)$$

Fix a basis of  $\Omega_{R_{\varpi}^{\text{PD}}}^1$  as  $\{dX_0/(1+X_0), dX_1/X_1, \dots, dX_d/X_d\}$ . We will next equip  $\Omega_{R_{\varpi}^{\text{PD}}}^1$  with an action of Frobenius. Let  $j \in \mathbb{N}$  and  $I_j = \{0 \leq i_1 < \dots < i_j \leq d\}$ . For  $\mathbf{i} = (i_1, \dots, i_j) \in I_j$ , set

$$\omega_{\mathbf{i}} := \frac{dX_0}{1+X_0} \wedge \frac{dX_{i_2}}{X_{i_2}} \wedge \cdots \wedge \frac{dX_{i_j}}{X_{i_j}} \quad \text{if } i_1 = 0 \quad \text{and} \quad \omega_{\mathbf{i}} := \frac{dX_{i_1}}{X_{i_1}} \wedge \cdots \wedge \frac{dX_{i_j}}{X_{i_j}} \quad \text{otherwise.}$$

Define the operators  $\varphi$  and  $\psi$  on  $\Omega_{R_{\varpi}^{\text{PD}}}^j$  by the formulas

$$\varphi\left(\sum_{\mathbf{i} \in I_j} x_{\mathbf{i}} \omega_{\mathbf{i}}\right) = \sum_{\mathbf{i} \in I_j} \varphi(x_{\mathbf{i}}) \omega_{\mathbf{i}} \quad \text{and} \quad \psi\left(\sum_{\mathbf{i} \in I_j} x_{\mathbf{i}} \omega_{\mathbf{i}}\right) = \sum_{\mathbf{i} \in I_j} \psi(x_{\mathbf{i}}) \omega_{\mathbf{i}}. \quad (5-2)$$

**Remark 5.3.** Note that (5-2) is not the natural definition of Frobenius, since we have  $d(\varphi(x)) = p\varphi(dx)$  in (5-2). But in order to define  $\psi$  integrally, we need to divide the usual Frobenius on  $\Omega_{R^*}^1$  by powers of  $p$ . Recall that, with the usual definition of Frobenius, we have  $\varphi\partial = \partial\varphi$  over  $M \subset \mathcal{O}_{\mathbf{D}_{\text{cris}}}(V)$ ; see Section 2.3. However, using (5-2) for  $\Omega_R^1$  as well, we see that, for any  $f \in M$ , we now have

$$\partial_M(\varphi(f)) = \sum_{i=1}^d \partial_i(\varphi(f))\omega_i = \sum p\varphi(\partial_i(f))\omega_i = p\varphi(\partial_M(f)).$$

**Definition 5.4.** Let  $r \in \mathbb{N}$ , and consider the complex  $\text{Fil}^r \mathcal{D}_{S,M}^*$  as above. For  $n \in \mathbb{N}$ , let  $S_n = S \otimes \mathbb{Z}/p^n$  and  $M_n = M \otimes \mathbb{Z}/p^n$ . Define the *syntomic complex* and the *syntomic cohomology* of  $S$  with coefficients in  $M$  as

$$\begin{aligned} \text{Syn}(S, M, r) &:= [\text{Fil}^r \mathcal{D}_{S,M}^* \xrightarrow{p^r - p^* \varphi} \mathcal{D}_{S,M}^*], & H_{\text{syn}}^*(S, M, r) &:= H^*(\text{Syn}(S, M, r)), \\ \text{Syn}(S, M, r)_n &:= \text{Syn}(S, M, r) \otimes \mathbb{Z}/p^n, & H_{\text{syn}}^*(S_n, M_n, r) &:= H^*(\text{Syn}(S, M, r)_n). \end{aligned}$$

Our main local result is as follows.

**Theorem 5.5.** *Consider the setting of Assumption 5.1, and let  $r \in \mathbb{Z}$  such that  $r \geq s + 1$ . Then there exist  $p^N$ -quasi-isomorphisms*

$$\begin{aligned} \alpha_r^{\mathcal{L}^{\text{az}}} &: \tau_{\leq r-s-1} \text{Syn}(S, M, r) \simeq \tau_{\leq r-s-1} \mathbf{R}\Gamma_{\text{cont}}(G_S, T(r)), \\ \alpha_{r,n}^{\mathcal{L}^{\text{az}}} &: \tau_{\leq r-s-1} \text{Syn}(S, M, r)_n \simeq \tau_{\leq r-s-1} \mathbf{R}\Gamma_{\text{cont}}(G_S, T/p^n(r)), \end{aligned}$$

where  $N = N(T, e, r) \in \mathbb{N}$  depends on the representation  $T$ ,  $e = [K : F]$  and the twist  $r$ .

**Remark 5.6.** For  $M$  as in Example 5.2 (ii), note that, in Theorem 5.5, the constant  $N$  can precisely be given as  $N = 14r + 9s + 2$ ; see Section 6.1.

**Remark 5.7.** Almost all statements and proofs in Sections 5 and 6 are true for  $m \geq 1$ . However, for some lemmas in Sections 6.5 and 6.6, we need to assume that  $m \geq 2$ . So from now on, the reader may safely assume that  $m \geq 2$  in Sections 5 and 6 and obtain Theorem 5.5 for  $m = 1$  using the Galois descent of Lemma 6.21.

Using Theorem 5.5, we can obtain a similar statement over  $R$ . Recall that  $R$  is smooth over  $O_F$  and, for  $r \in \mathbb{Z}$ , we have the filtered de Rham complex

$$\text{Fil}^r \mathcal{D}_{R,M}^* := \text{Fil}^r M \rightarrow \text{Fil}^{r-1} M \otimes_R \Omega_R^1 \rightarrow \text{Fil}^{r-2} M \otimes_R \Omega_R^2 \rightarrow \cdots \quad (5-3)$$

**Remark 5.8.** One can also consider the formulation of a filtered de Rham complex for  $M$  as in (5-1). In that case one considers a surjection  $R_{\varpi}^+ \twoheadrightarrow R$  via the map  $X_0 \mapsto 0$ . By writing down the corresponding de Rham complex one readily sees that it is quasi-isomorphic to  $\mathcal{D}_{R,M}^*$ .

Using (5-3), similar to Definition 5.4, one can define the syntomic complex of  $R$  with coefficients in  $M$ . Then using Theorem 5.5 for  $\varpi = \zeta_{p^2} - 1$  (in particular, Example 5.2 (ii) for  $m = 2$ ), Corollary 6.20 and Galois descent in Lemma 6.21 for  $e = p(p - 1)$ ), we obtain the following.

**Corollary 5.9.** Consider the setting of [Assumption 5.1](#), and let  $r \in \mathbb{Z}$  such that  $r \geq s + 1$ . Then there exist  $p^N$ -quasi-isomorphisms

$$\begin{aligned}\tau_{\leq r-s-1} \operatorname{Syn}(R, M, r) &\simeq \tau_{\leq r-s-1} \mathbf{R}\Gamma_{\text{cont}}(G_R, T(r)), \\ \tau_{\leq r-s-1} \operatorname{Syn}(R, M, r)_n &\simeq \tau_{\leq r-s-1} \mathbf{R}\Gamma_{\text{cont}}(G_R, T/p^n(r)),\end{aligned}$$

where  $N = N(p, r, s) \in \mathbb{N}$  depends on the prime  $p$ , twist  $r$  and height  $s$  of  $T$ .

**Remark 5.10.** For  $M$  as in [Example 5.2](#) (ii), note that, in [Corollary 5.9](#), the constant  $N$  can precisely be given as  $N = 18r + 9s + 3p(p - 1) + 2$ ; see [Section 6.1](#).

In [Theorem 5.5](#) we only prove the  $p$ -adic case. The modulo  $p^n$  case follows in a similar manner. The complete proof is divided in two main steps: First, we will modify the syntomic complexes with coefficients in  $M$  to relate it to a “differential” Koszul complex with coefficients in  $N(T)$ ; see [Proposition 5.28](#). Next, we will modify the Koszul complex from the first step to obtain a Koszul complex computing the continuous  $G_S$ -cohomology of  $T(r)$ ; see [Theorem 5.5](#) and [Proposition 6.1](#). The key to the connection between these two steps will be provided by the comparison isomorphism in [Theorem 3.6](#) and a filtered Poincaré lemma. In the rest of [Section 5](#), we will show the first step. The second step will be worked out in [Section 6](#).

**5.2. Syntomic complexes with coefficients.** For  $\star \in \{[u], [u, v], [u, v/p]\}$ , define a finite free  $R_{\varpi}^{\star}$ -module  $M_{\varpi}^{\star} := R_{\varpi}^{\star} \otimes_R M$ . Via the diagonal action of Frobenius on each component, define Frobenius-semilinear operators  $\varphi : M_{\varpi}^{[u]} \rightarrow M_{\varpi}^{[u]}$  and  $\varphi : M_{\varpi}^{[u, v]} \rightarrow M_{\varpi}^{[u, v/p]}$ . Equip  $M_{\varpi}^{\star}$  with a filtration  $\{\operatorname{Fil}^k M_{\varpi}^{\star}\}_{k \in \mathbb{N}}$  induced from the tensor product filtration on  $M_{\varpi}^{\star}[1/p]$ ; see the discussion before [Lemma 2.40](#). Furthermore, the  $O_F$ -linear integrable connection on  $M$  and the continuous  $O_F$ -linear de Rham differential operator on  $R_{\varpi}^{\star}$  induce an  $O_F$ -linear integrable connection on  $M_{\varpi}$  which satisfies Griffiths transversality with respect to the filtration since the same is true for the connection on  $M$  and the differential operator on  $R_{\varpi}^{\star}$ . In particular, we have the filtered de Rham complex

$$\operatorname{Fil}^r \mathcal{D}_{R_{\varpi}^{\star}, M}^{\bullet} := \operatorname{Fil}^r M_{\varpi}^{\star} \rightarrow \operatorname{Fil}^{r-1} M_{\varpi}^{\star} \otimes \Omega_{R_{\varpi}^{\star}}^1 \rightarrow \operatorname{Fil}^{r-2} M_{\varpi}^{\star} \otimes \Omega_{R_{\varpi}^{\star}}^2 \rightarrow \cdots \quad (5-4)$$

Moreover, for  $\star \in \{[u], [u, v], [u, v/p]\}$ , we define operators  $\varphi$  and  $\psi$  on  $\Omega_{R_{\varpi}^{\star}}^j$  as in (5-2). From (5-4), for  $\star \in \{[u], [u, v]\}$ , denote by  $\mathcal{D}_{R_{\varpi}^{\star}, M}^{\bullet}$  the source de Rham complex and, for  $\star \in \{[u], [u, v/p]\}$ , denote by  $\mathcal{E}_{R_{\varpi}^{\star}, M}^{\bullet}$  the target de Rham complex.

**Definition 5.11.** Define  $\operatorname{Syn}(M_{\varpi}^{\star}, r) := [\operatorname{Fil}^r \mathcal{D}_{R_{\varpi}^{\star}, M}^{\bullet} \xrightarrow{p^r - p^{\star}\varphi} \mathcal{E}_{R_{\varpi}^{\star}, M}^{\bullet}]$ .

**5.3. Change of the disk of convergence.** In this section, we denote the syntomic complex  $\operatorname{Syn}(S, M, r)$  in [Definition 5.4](#) by  $\operatorname{Syn}(M_{\varpi}^{\text{PD}}, r)$ .

**Proposition 5.12.** For  $1/(p-1) \leq u \leq 1$ , the natural morphism between syntomic complexes

$$\operatorname{Syn}(M_{\varpi}^{\text{PD}}, r) \rightarrow \operatorname{Syn}(M_{\varpi}^{[u]}, r),$$

induced by the inclusion  $M_{\varpi}^{\text{PD}} \subset M_{\varpi}^{[u]}$ , is a  $p^{2r}$ -isomorphism.

The proposition follows from the next lemma by setting  $k = r$ .

**Lemma 5.13.** *Let  $j, k \in \mathbb{N}$ . If  $1/(p-1) \leq u \leq 1$ , the following map is a  $p^{k+r}$ -isomorphism:*

$$p^k - p^j \varphi : \text{Fil}^r M_{\overline{\omega}}^{[u]} \otimes \Omega_{R_{\overline{\omega}}^{[u]}}^j / \text{Fil}^r M_{\overline{\omega}}^{\text{PD}} \otimes \Omega_{R_{\overline{\omega}}^{\text{PD}}}^j \rightarrow M_{\overline{\omega}}^{[u]} \otimes \Omega_{R_{\overline{\omega}}^{[u]}}^j / M_{\overline{\omega}}^{\text{PD}} \otimes \Omega_{R_{\overline{\omega}}^{\text{PD}}}^j.$$

*Proof.* The proof is motivated by [Colmez and Nizioł 2017, Lemma 3.2]. Note that we can decompose everything in the basis of the  $\omega_i$ , where  $\mathbf{i} \in I_j = \{0 \leq i_1 < \dots < i_j \leq d\}$ . Then by the definition of Frobenius on  $\omega_i$ , we are reduced to showing that

$$p^k - p^j \varphi : \text{Fil}^r M_{\overline{\omega}}^{[u]} / \text{Fil}^r M_{\overline{\omega}}^{\text{PD}} \rightarrow M_{\overline{\omega}}^{[u]} / M_{\overline{\omega}}^{\text{PD}}$$

is a  $p^{k+r}$ -isomorphism. Since  $\varphi(R_{\overline{\omega}}^{[u]}) \subset R_{\overline{\omega}}^{[u/p]} \subset R_{\overline{\omega}}^{\text{PD}}$  for  $1/(p-1) \leq u \leq 1$ , we therefore have  $M_{\overline{\omega}}^{\text{PD}} \subset M_{\overline{\omega}}^{[u]}$  and  $\varphi(M_{\overline{\omega}}^{[u]}) \subset M_{\overline{\omega}}^{\text{PD}}$ .

For  $p^k$ -injectivity, recall that  $\text{Fil}^r M_{\overline{\omega}}^{[u]} = M_{\overline{\omega}}^{[u]} \cap \text{Fil}^r M_{\overline{\omega}}^{\text{PD}}$  (see Lemma 2.40), so, for any  $x$  in  $\text{Fil}^r M_{\overline{\omega}}^{[u]}$ , it suffices to show that if  $(p^k - p^j \varphi)x \in M_{\overline{\omega}}^{\text{PD}}$  then  $p^k x \in M_{\overline{\omega}}^{\text{PD}}$ . Since we can write

$$p^k x = (p^k - p^j \varphi)x + p^j \varphi(x) \quad \text{and} \quad \varphi(M_{\overline{\omega}}^{[u]}) \subset M_{\overline{\omega}}^{\text{PD}},$$

we therefore get  $p^k x \in M_{\overline{\omega}}^{\text{PD}}$ . Next, let us show the  $p^{k+r}$ -surjectivity. Let  $\{f_1, \dots, f_h\}$  be an  $R$ -basis of  $M$  and take  $x = \sum_{i=1}^h a_i \otimes f_i \in M_{\overline{\omega}}^{[u]}$ . Let  $N = ke/(u(p-1))$ ; then from the definition of  $R_{\overline{\omega}}^{[u]}$  we can write

$$a_i = a_{i1} + a_{i2}, \quad \text{with } a_{i2} \in R_{\overline{\omega}, N}^{[u]} \text{ and } a_{i1} \in p^{-\lfloor Nu/e \rfloor} R_{\overline{\omega}}^+ \subset p^{-k} R_{\overline{\omega}}^{\text{PD}},$$

where we write  $R_{\overline{\omega}, N}^{[u]}$  as in the notation of Lemma 2.11 (it consists of power series in  $X_0$  involving terms  $X_0^s$  for  $s \geq N$ ). Now let

$$x_1 = \sum_{i=1}^h a_{i1} \otimes f_i \quad \text{and} \quad x_2 = \sum_{i=1}^h a_{i2} \otimes f_i,$$

so that  $x = x_1 + x_2$ . By Lemma 2.11 and the fact that  $M$  is stable under  $\varphi$ , it follows that  $(1 - p^{j-k} \varphi)$  is bijective on  $R_{\overline{\omega}, N}^{[u]} \otimes_R M$  (note that the series of operators  $\sum_{i \in \mathbb{N}} p^{(j-k)i} \varphi^i$  converge as an inverse to  $1 - p^{j-k} \varphi$  on  $R_{\overline{\omega}, N}^{[u]} \otimes_R M$ ). In particular, we can write  $x_2 = (1 - p^{j-k} \varphi)z$  for some  $z = \sum_{i=1}^h b_i \otimes f_i \in M_{\overline{\omega}}^{[u]}$ . Also, by Lemma 2.9 we can write

$$b_i = b_{i1} + b_{i2}, \quad \text{with } b_{i1} \in \text{Fil}^r R_{\overline{\omega}}^{[u]} \text{ and } b_{i2} \in p^{-\lfloor ru \rfloor} R_{\overline{\omega}}^+.$$

By setting

$$z_1 = \sum_{i=1}^h b_{i1} \otimes f_i \in \text{Fil}^r M_{\overline{\omega}}^{[u]} \quad \text{and} \quad z_2 = \sum_{i=1}^h b_{i2} \otimes f_i \in p^{-r} M_{\overline{\omega}}^{\text{PD}},$$

we obtain  $(1 - p^{j-k} \varphi)z_2 = p^{-k} (p^k - p^j \varphi)z_2 \in p^{-k-r} M_{\overline{\omega}}^{\text{PD}}$ . Using the preceding observation in the expression for  $x$ , we get

$$x - (1 - p^{j-k} \varphi)z_1 = x_1 + (1 - p^{j-k} \varphi)z_2 \in p^{-k} M_{\overline{\omega}}^{\text{PD}} + p^{-k-r} M_{\overline{\omega}}^{\text{PD}} \subset p^{-k-r} M_{\overline{\omega}}^{\text{PD}}.$$

Therefore, we obtain  $x \in p^{-k-r} M_{\overline{\omega}}^{\text{PD}} + p^{-k} (p^k - p^j \varphi) \text{Fil}^r M_{\overline{\omega}}^{[u]}$ , allowing us to conclude.  $\square$

**5.4. Change of the annulus of convergence.** We will consider the base change of the syntomic complex from  $R_{\overline{w}}^{\text{PD}}$  to  $R_{\overline{w}}^{[u,v]}$ .

**Proposition 5.14.** *For  $pu \leq v$ , there exists a  $p^{2r+4s}$ -quasi-isomorphism*

$$\tau_{\leq r-s-1} \text{Syn}(M_{\overline{w}}^{[u]}, r) \simeq \tau_{\leq r-s-1} \text{Syn}(M_{\overline{w}}^{[u,v]}, r);$$

i.e., we have  $p^{2r+4s}$ -isomorphisms  $H_{\text{syn}}^k(M_{\overline{w}}^{[u]}, r) \simeq H_{\text{syn}}^k(M_{\overline{w}}^{[u,v]}, r)$  for  $0 \leq k \leq r-s-1$ .

*Proof.* The claim follows by combining the results from Lemmas 5.15, 5.16 and 5.18.  $\square$

To prove the claim in Proposition 5.14, we will pass to the corresponding (quasi-isomorphic)  $\psi$ -complex. Recall that we have the isomorphism  $\varphi^*(\mathcal{O}\mathbf{D}_{\text{cris}}(V)) \xrightarrow{\sim} \mathcal{O}\mathbf{D}_{\text{cris}}(V)$ . Let  $\mathbf{f} = \{f_1, \dots, f_h\}$  denote an  $R$ -basis of  $M$ . Then  $\mathbf{f}$  and  $\varphi(\mathbf{f})$  form two different basis of  $\mathcal{O}\mathbf{D}_{\text{cris}}(V)$  over  $R[1/p]$ . So, we can write  $\mathbf{f} = \varphi(\mathbf{f})X$ , where  $X = (x_{ij}) \in (h, R[1/p])$ . For our choice of  $M$  (see Assumption 5.1) and using Theorem 3.6 and Proposition 3.9, we have  $x_{ij} \in p^{-s}R$ , where  $1 \leq i, j \leq h$  and  $s$  is the height of  $V$ . Define

$$\psi : M^{[u]} = R_{\overline{w}}^{[u]} \otimes_R M \rightarrow p^{-s} R_{\overline{w}}^{[pu]} \otimes_R M, \quad \mathbf{f} \mathbf{y}^\top \mapsto \mathbf{f} \psi(X \mathbf{y}^\top),$$

where we consider the operator  $\psi$  on  $R_{\overline{w}}^{[u]}$  defined in Section 2.6. It is easy to show that this map is well defined, i.e., independent of the choice of a basis for  $M$ . Using the operator  $\psi$  on  $M_{\overline{w}}^{[u]}$  as above and on  $\Omega_{R_{\overline{w}}^{[u]}}^\bullet$  as in (5-2), define the complex

$$\text{Syn}^\psi(M_{\overline{w}}^{[u]}, r) := [\text{Fil}^r M_{\overline{w}}^{[u]} \otimes \Omega_{R_{\overline{w}}^{[u]}}^\bullet \xrightarrow{p^{r+s}\psi - p^{\bullet+s}} M_{\overline{w}}^{[pu]} \otimes \Omega_{R_{\overline{w}}^{[pu]}}^\bullet].$$

**Lemma 5.15.** *The commutative diagram*

$$\begin{array}{ccc} \text{Fil}^r M_{\overline{w}}^{[u]} \otimes \Omega_{R_{\overline{w}}^{[u]}}^\bullet & \xrightarrow{p^r - p^{\bullet}\varphi} & M_{\overline{w}}^{[u]} \otimes \Omega_{R_{\overline{w}}^{[u]}}^\bullet \\ \downarrow \text{id} & & \downarrow p^s \psi \\ \text{Fil}^r M_{\overline{w}}^{[u]} \otimes \Omega_{R_{\overline{w}}^{[u]}}^\bullet & \xrightarrow{p^{r+s}\psi - p^{\bullet+s}} & M_{\overline{w}}^{[pu]} \otimes \Omega_{R_{\overline{w}}^{[pu]}}^\bullet \end{array}$$

defines a  $p^{2s}$ -quasi-isomorphism from  $\text{Syn}(M_{\overline{w}}^{[u]}, r)$  to  $\text{Syn}^\psi(M_{\overline{w}}^{[u]}, r)$ .

*Proof.* First, we will look at the cokernel complex which is the cokernel of the right vertical arrow. By definition, we have that  $\psi(M_{\overline{w}}^{[u]}) \subset p^{-s} M_{\overline{w}}^{[pu]}$ ; in particular,  $p^s \psi(M_{\overline{w}}^{[u]}) \subset M_{\overline{w}}^{[pu]}$ . Moreover, note that the operator  $\psi : R_{\overline{w}}^{[u]} \rightarrow R_{\overline{w}}^{[pu]}$  is surjective and  $p^s M \subset \varphi^*(M)$ ; see Assumption 5.1. Therefore,

$$M_{\overline{w}}^{[pu]} = R_{\overline{w}}^{[pu]} \otimes_R M \subset \psi(R_{\overline{w}}^{[u]} \otimes_R \varphi^*(M)) \subset \psi(M_{\overline{w}}^{[u]}).$$

Hence  $p^s \psi(M_{\overline{w}}^{[u]})$  is  $p^s$ -isomorphic to  $M_{\overline{w}}^{[pu]}$  and the cokernel complex is killed by  $p^s$ .

Next, for the kernel complex, we proceed as follows: let  $M = \bigoplus_{j=1}^h R f_j$ ; therefore  $M_{\overline{w}}^{[u]} = \bigoplus_{j=1}^h R_{\overline{w}}^{[u]} f_j$ . Recall that  $M/\varphi^*(M)$  is killed by  $p^s$ , so we have a  $p^s$ -isomorphism

$$\bigoplus_{j=1}^h R_{\overline{w}}^{[u]} \varphi(f_j) \xrightarrow{\sim} M_{\overline{w}}^{[u]}.$$

Note that an element  $y = \sum_{j=1}^h y_j \varphi(f_j)$  is in  $(\bigoplus_{j=1}^h R_{\overline{\omega}}^{[u]} \varphi(f_j))^{\psi=0}$  if and only if  $y_j$  is in  $(R_{\overline{\omega}}^{[u]})^{\psi=0}$ . Indeed,  $\psi(y) = 0$  if and only if  $\sum_{j=1}^h \psi(y_j) f_j = 0$ , and since the  $f_j$  are linearly independent over  $R[1/p]$ , we see that  $\psi(y) = 0$  if and only if  $\psi(y_j) = 0$  for all  $1 \leq j \leq h$ . In particular, we obtain a  $p^s$ -isomorphism

$$(M_{\overline{\omega}}^{[u]})^{\psi=0} \xleftarrow{\sim} \left( \bigoplus_{j=1}^h R_{\overline{\omega}}^{[u]} \varphi(f_j) \right)^{\psi=0} = \bigoplus_{j=1}^h (R_{\overline{\omega}}^{[u]})^{\psi=0} \varphi(f_j).$$

Using the definition of  $\psi$  on  $\Omega_{R_{\overline{\omega}}^{[u]}}^k$  in the chosen basis of (5-2), it easily follows that

$$(M \otimes_R \Omega_{R_{\overline{\omega}}^{[u]}}^k)^{\psi=0} = (M_{\overline{\omega}}^{[u]})^{\psi=0} \otimes_{\mathbb{Z}} \Omega^k.$$

Recall that, from Lemma 2.15 (ii), we have a decomposition

$$(R_{\overline{\omega}}^{[u]})^{\psi=0} = \bigoplus_{\alpha \neq 0} R_{\overline{\omega}, \alpha}^{[u]} = \bigoplus_{\alpha \neq 0} R_{\overline{\omega}}^{[u]} u_{\alpha},$$

where  $u_{\alpha} = (1 + X_0)^{\alpha_0} X_1^{\alpha_1} \cdots X_d^{\alpha_d}$ , where  $\alpha = (\alpha_0, \dots, \alpha_d)$  is a  $(d+1)$ -tuple with  $\alpha_i \in \{0, \dots, p-1\}$  for each  $0 \leq i \leq d$ . Moreover, we have  $\partial_i(u_{\alpha}) = \alpha_i u_{\alpha}$  for each  $0 \leq i \leq d$ . In particular,  $\partial_i(R_{\overline{\omega}, \alpha}^{[u]}) \subset R_{\overline{\omega}, \alpha}^{[u]}$ . Now, using the decomposition of  $(R_{\overline{\omega}}^{[u]})^{\psi=0}$ , we set  $M_{\alpha} = \bigoplus_{j=1}^h R_{\overline{\omega}, \alpha}^{[u]} \varphi(f_j)$  and obtain that  $(M_{\overline{\omega}}^{[u]})^{\psi=0}$  is  $p^s$ -isomorphic to  $\bigoplus_{\alpha \neq 0} M_{\alpha}$ . From the  $O_F$ -linear continuous de Rham differential operator on  $R_{\overline{\omega}, \alpha}^{[u]}$  and the  $O_F$ -linear integrable connection on  $M_{\overline{\omega}}^{[u]}$ , we obtain an induced  $O_F$ -linear integrable connection

$$\partial : M_{\alpha} \rightarrow M_{\alpha} \otimes \Omega_{R_{\overline{\omega}, \alpha}^{[u]}}^1 = M_{\alpha} \otimes_{\mathbb{Z}} \Omega^1.$$

Then the decomposition of  $(M_{\overline{\omega}}^{[u]})^{\psi=0}$  shows that the kernel complex in the claim is  $p^s$ -isomorphic to the direct sum of the complexes

$$0 \rightarrow M_{\alpha} \rightarrow M_{\alpha} \otimes \Omega^1 \rightarrow M_{\alpha} \otimes \Omega^2 \rightarrow \cdots, \quad (5-5)$$

where  $\alpha \neq 0$ . We will show that (5-5) is exact for each  $\alpha$ ; the idea of the proof is based on [Colmez and Nizioł 2017, Lemma 3.4]. Since everything is  $p$ -adically complete and  $p$ -torsion free, we only need to show the exactness of (5-5) modulo  $p$ . Note that, for  $y = \sum_{j=1}^h y_j \varphi(f_j) \in M_{\alpha}$ , we have

$$\partial \left( \sum_{j=1}^h y_j \varphi(f_j) \right) = \sum_{j=1}^h y_j \partial_M(\varphi(f_j)) + \varphi(f_j) \partial(y),$$

where  $\partial_M$  denotes the connection on  $M$ . Recall that from Remark 5.3 we have  $\varphi \partial_M = p \partial_M \varphi$ . So  $\partial(y) - \sum_{i=1}^h \varphi(f_j) \partial(y_j) \in p M_{\alpha}$ . Moreover, using Lemma 2.16, we have  $\partial_i(y_j) - \alpha_i y_j \in p R_{\overline{\omega}, \alpha}^{[u]}$ . So we get that the complex (5-5) has a very simple shape modulo  $p$ : if  $d = 0$ , it is just  $M_{\alpha} \xrightarrow{\alpha_0} M_{\alpha}$ ; if  $d = 1$ , it is the complex  $M_{\alpha} \xrightarrow{(\alpha_0, \alpha_1)} M_{\alpha} \oplus M_{\alpha} \xrightarrow{-\alpha_1 + \alpha_0} M_{\alpha}$ ; for general  $d$ , it is the total complex attached to a  $(d+1)$ -dimensional cube with all vertices equal to  $M_{\alpha}$  and arrows in the  $i$ -th direction equal to  $\alpha_i$ . As one of the  $\alpha_i$  is invertible by assumption, this implies that the cohomology of the total complex is 0 and (5-5) is exact for each  $\alpha$ . This allows us to conclude.  $\square$

Following the definition of  $\psi$  over  $M^{[u]}$  (see the discussion before [Lemma 5.15](#)), one can define an operator

$$\psi : R_{\overline{\omega}}^{[u,v]} \otimes_R M \rightarrow p^{-s} R_{\overline{\omega}}^{[pu,pv]} \otimes_R M$$

as a left inverse to  $\varphi$  and set

$$\mathrm{Syn}^\psi(M_{\overline{\omega}}^{[u,v]}, r) := [\mathrm{Fil}^r M_{\overline{\omega}}^{[u,v]} \otimes \Omega_{R_{\overline{\omega}}^{[u,v]}}^\bullet \xrightarrow{p^{r+s}\psi - p^{\bullet+s}} M_{\overline{\omega}}^{[pu,v]} \otimes \Omega_{R_{\overline{\omega}}^{[pu,v]}}^\bullet].$$

**Lemma 5.16.** *For  $u \leq 1 \leq v$ , the natural morphism of complexes  $\mathrm{Syn}^\psi(M_{\overline{\omega}}^{[u]}, r) \rightarrow \mathrm{Syn}^\psi(M_{\overline{\omega}}^{[u,v]}, r)$  is a  $p^{2r}$ -quasi-isomorphism in degrees  $k \leq r - s - 1$ .*

*Proof.* The map between the complexes is induced by the diagram

$$\begin{array}{ccc} \mathrm{Fil}^r M_{\overline{\omega}}^{[u]} \otimes \Omega_{R_{\overline{\omega}}^{[u]}}^\bullet & \xrightarrow{p^{r+s}\psi - p^{\bullet+s}} & M_{\overline{\omega}}^{[pu]} \otimes \Omega_{R_{\overline{\omega}}^{[pu]}}^\bullet \\ \downarrow & & \downarrow \\ \mathrm{Fil}^r M_{\overline{\omega}}^{[u,v]} \otimes \Omega_{R_{\overline{\omega}}^{[u,v]}}^\bullet & \xrightarrow{p^{r+s}\psi - p^{\bullet+s}} & M_{\overline{\omega}}^{[pu,v]} \otimes \Omega_{R_{\overline{\omega}}^{[pu,v]}}^\bullet \end{array}$$

where the vertical arrows are natural maps induced by the inclusion  $R_{\overline{\omega}}^{[u]} \subset R_{\overline{\omega}}^{[u,v]}$ . Therefore, it suffices to show that the mapping fibre

$$[\mathrm{Fil}^r M_{\overline{\omega}}^{[u,v]} \otimes \Omega_{R_{\overline{\omega}}^{[u,v]}}^\bullet / \mathrm{Fil}^r M_{\overline{\omega}}^{[u]} \otimes \Omega_{R_{\overline{\omega}}^{[u]}}^\bullet \xrightarrow{p^{r+s}\psi - p^{\bullet+s}} M_{\overline{\omega}}^{[pu,v]} \otimes \Omega_{R_{\overline{\omega}}^{[pu,v]}}^\bullet / M_{\overline{\omega}}^{[pu]} \otimes \Omega_{R_{\overline{\omega}}^{[pu]}}^\bullet]$$

is  $p^{2r}$ -acyclic. By [Lemma 5.17](#), we can ignore the filtration, and by working in the basis  $\{\omega_i, i \in I_k\}$  of  $\Omega^k$ , it is enough to show that

$$p^{r+s}\psi - p^{k+s} : M_{\overline{\omega}}^{[u,v]} / M_{\overline{\omega}}^{[u]} \rightarrow M_{\overline{\omega}}^{[pu,v]} / M_{\overline{\omega}}^{[pu]}$$

is a  $p^r$ -isomorphism for  $k \leq r - s - 1$ . But note that  $M_{\overline{\omega}}^{[u,v]} / M_{\overline{\omega}}^{[u]} \xrightarrow{\sim} M_{\overline{\omega}}^{[pu,v]} / M_{\overline{\omega}}^{[pu]}$  is an isomorphism; therefore, we see that  $1 - p^i\psi$  is an endomorphism of this quotient for  $i = r - k$ . Moreover, for  $i \geq s + 1$ , we get that  $1 - p^i\psi$  is invertible on  $M_{\overline{\omega}}^{[u,v]} / M_{\overline{\omega}}^{[u]}$  with the inverse given as  $1 + p^{i-s}(p^s\psi) + p^{2(i-s)}(p^s\psi)^2 + \dots$ . Therefore, it follows that  $p^{r+s}\psi - p^{k+s} = p^{k+s}(p^{r-k}\psi - 1)$  is a  $p^{k+s}$ -isomorphism. Since  $k + s \leq r - 1$ , we obtain that the complex in the claim is  $p^{2r}$ -acyclic.  $\square$

**Lemma 5.17.** *The natural map*

$$\mathrm{Fil}^r M_{\overline{\omega}}^{[u,v]} / \mathrm{Fil}^r M_{\overline{\omega}}^{[u]} \rightarrow M_{\overline{\omega}}^{[u,v]} / M_{\overline{\omega}}^{[u]}$$

*is a  $p^r$ -isomorphism for  $u \leq 1 \leq v$ .*

*Proof.* The map in the claim is injective by [Lemma 2.40](#). For  $p^r$ -surjectivity, let  $\{f_1, \dots, f_h\}$  be an  $R$ -basis of  $M$ , and let  $x = \sum_{i=1}^h b_i \otimes f_i \in R_{\overline{\omega}}^{[u,v]} \otimes_R M$ . By [\[Colmez and Nizioł 2017, Lemma 3.5\]](#), we have a  $p^r$ -isomorphism

$$\mathrm{Fil}^r R_{\overline{\omega}}^{[u,v]} / \mathrm{Fil}^r R_{\overline{\omega}}^{[u]} \xrightarrow{\sim} R_{\overline{\omega}}^{[u,v]} / R_{\overline{\omega}}^{[u]},$$

so we can write  $p^r b_i = b_{i1} + b_{i2}$ , with  $b_{i1} \in \mathrm{Fil}^r R_{\overline{\omega}}^{[u,v]}$  and  $b_{i2} \in R_{\overline{\omega}}^{[u]}$ . Since  $\sum_{i=1}^h b_{i1} \otimes f_i \in \mathrm{Fil}^r M_{\overline{\omega}}^{[u,v]}$ , we get the desired conclusion.  $\square$

**Lemma 5.18.** *The commutative diagram*

$$\begin{array}{ccc} \mathrm{Fil}^r M_{\overline{\omega}}^{[u,v]} \otimes \Omega_{R_{\overline{\omega}}^{[u,v]}}^{\bullet} & \xrightarrow{p^r - p^{\bullet}\varphi} & M_{\overline{\omega}}^{[u,v/p]} \otimes \Omega_{R_{\overline{\omega}}^{[u,v/p]}}^{\bullet} \\ \downarrow \mathrm{id} & & \downarrow p^s \psi \\ \mathrm{Fil}^r M_{\overline{\omega}}^{[u,v]} \otimes \Omega_{R_{\overline{\omega}}^{[u,v]}}^{\bullet} & \xrightarrow{p^{r+s}\psi - p^{\bullet+s}} & M_{\overline{\omega}}^{[pu,v]} \otimes \Omega_{R_{\overline{\omega}}^{[pu,v]}}^{\bullet} \end{array}$$

defines a  $p^{2s}$ -quasi-isomorphism from  $\mathrm{Syn}(M_{\overline{\omega}}^{[u,v]}, r)$  to  $\mathrm{Syn}^{\psi}(M_{\overline{\omega}}^{[u,v]}, r)$ .

*Proof.* The claim follows in manner similar to the proof of [Lemma 5.15](#) by replacing  $M_{\overline{\omega}}^{[u]}$  with  $M_{\overline{\omega}}^{[u,v]}$  and  $R_{\overline{\omega}}^{[u]}$  with  $R_{\overline{\omega}}^{[u,v]}$ . One only needs to note that [Lemmas 2.15](#) (ii) and [2.16](#) are true for the ring  $R_{\overline{\omega}}^{[u,v]}$  as well. We omit the proof.  $\square$

**5.5. Differential Koszul Complex.** Our next goal is to relate the syntomic complex  $\mathrm{Syn}(M_{\overline{\omega}}^{[u,v]}, r)$  in [Section 5.4](#) to a complex with coefficients in the Wach module  $N(T)$  from [Assumption 5.1](#); see [Proposition 5.28](#). Before stating the result we will verify some results in order to define the latter complex.

Let  $\Omega_{A_{R,\overline{\omega}}^{[u,v]}}^1$  denote the  $p$ -adic completion of the module of differentials of  $A_{R,\overline{\omega}}^{[u,v]}$  relative to  $\mathbb{Z}$ . Via the isomorphism  $\iota_{\mathrm{cycl}} : R_{\overline{\omega}}^{[u,v]} \xrightarrow{\sim} A_{R,\overline{\omega}}^{[u,v]}$ , we choose a basis  $\{\omega_0, \omega_1, \dots, \omega_d\}$  of  $\Omega_{A_{R,\overline{\omega}}^{[u,v]}}^1$  obtained as the image of  $\{dX_0/(1+X_0), dX_1/X_1, \dots, dX_d/X_d\}$  under  $\iota_{\mathrm{cycl}}$  (see [Section 2.5](#)); in particular, we have the differential operators  $\partial_i$  over  $A_{R,\overline{\omega}}^{[u,v]}$  for  $0 \leq i \leq d$ . Moreover, from [Definition 2.7](#),  $A_{R,\overline{\omega}}^{[u,v]}$  is endowed with a filtration and we have the filtered de Rham complex  $\mathrm{Fil}^r \Omega_{A_{R,\overline{\omega}}^{[u,v]}}^{\bullet}$ . The differential operators  $\partial_i$  are related to the infinitesimal action of  $\Gamma_R$  by the relation  $\nabla_i := \log \gamma_i = t \partial_i$  for  $0 \leq i \leq d$ , where

$$\log \gamma_i = \sum_{k \in \mathbb{N}} \frac{(-1)^k (\gamma_i - 1)^{k+1}}{k+1}.$$

Let us set

$$N_{\overline{\omega}}^{[u,v]}(T) := A_{R,\overline{\omega}}^{[u,v]} \otimes_{A_R^+} N(T)$$

and equip it with a  $\Gamma_R$ -stable filtration as in [\(3-5\)](#). Recall that for an indeterminate  $X$  we have formal expressions

$$\frac{\log(1+X)}{X} \quad \text{and} \quad \frac{X}{\log(1+X)}$$

(see before [Lemma 4.14](#)).

**Lemma 5.19.** *For  $i \in \{0, 1, \dots, d\}$ , the operators*

$$\nabla_i = \log \gamma_i, \quad \frac{\nabla_i}{\gamma_i - 1} = \frac{\log \gamma_i}{\gamma_i - 1} \quad \text{and} \quad \frac{\gamma_i - 1}{\nabla_i} = \frac{\gamma_i - 1}{\log \gamma_i}$$

converge as a series of operators on  $N_{\overline{\omega}}^{[u,v]}(T)$ . The same is true for  $A_{R,\overline{\omega}}^{[u,v]} \otimes_{A_R^+} N(T(r))$  for any  $r \in \mathbb{Z}$ , and  $\mathrm{Fil}^k N_{\overline{\omega}}^{[u,v]}(T(r))$  for any  $k \in \mathbb{Z}$ .

*Proof.* We will only show the claim for the operator  $\nabla_i$ ; the claim for the convergence of operators  $\nabla_i/(\gamma_i - 1)$  and  $(\gamma_i - 1)/\nabla_i$  follows in a manner similar to [Lemma 4.14](#). For  $0 \leq i \leq d$ , we have that

$\gamma_i - 1$  acts as a twisted derivation; i.e., for any  $a \in \mathbf{A}_{R,\varpi}^{[u,v]}$  and  $x \in N(T)$ , we have

$$(\gamma_i - 1)(ax) = (\gamma_i - 1)a \cdot x + \gamma_i(a)(\gamma_i - 1)x.$$

Note that the action of  $\Gamma_R$  is trivial on  $N(T)/\pi N(T)$ . So, using [Lemma 2.22](#) and the preceding discussion, we have

$$(\gamma_i - 1)(p^m, \pi_m^{p^m})^k N_{\varpi}^{[u,v]}(T) \subset (p^m, \pi_m^{p^m})^{k+1} N_{\varpi}^{[u,v]}(T).$$

Now, similar to the proof of [Lemma 4.13](#), for  $k \geq 0$ , it follows that

$$(\gamma_i - 1)^k N_{\varpi}^{[u,v]}(T) \subset (p^m, \pi_m^{p^m})^k N_{\varpi}^{[u,v]}(T).$$

The same estimation of the  $p$ -adic valuation of the coefficients as in the proof [Lemma 4.13](#) helps us conclude that  $\log \gamma_i$  converges as a series of operators on  $N_{\varpi}^{[u,v]}(T)$ .

Next, from [Lemma 3.20](#), recall that

$$\mathrm{Fil}^k N_{\varpi}^{[u,v]}(T(r)) = \pi^{-r} \mathrm{Fil}^{r+k} N_{\varpi}^{[u,v]}(T)(r).$$

As  $t/\pi$  is a unit in  $\mathbf{A}_{R,\varpi}^{[u,v]}$  (see [Lemma 2.18](#)) and the action of  $\Gamma_S$  is trivial on  $t^{-r} \otimes \epsilon^{\otimes r}$ , where  $\epsilon^{\otimes r}$  denotes a  $\mathbb{Z}_p$ -basis of  $\mathbb{Z}_p(r)$ , it is therefore enough to show that  $\nabla_i$  converges on  $\mathrm{Fil}^k N_{\varpi}^{[u,v]}(T)$  for all  $k \in \mathbb{N}$ . Now, recall that from [Remark 3.15](#) we have a  $\Gamma_R$ -equivariant isomorphism of  $E_{R,\varpi}^{[u,v]}$ -modules

$$\alpha : \mathrm{Fil}^r (E_{R,\varpi}^{[u,v]} \otimes_R M[1/p]) \xrightarrow{\sim} \mathrm{Fil}^k (E_{R,\varpi}^{[u,v]} \otimes_{A_R^+} N(V))$$

(see (3-8)). Moreover, note that  $\nabla_i$  converges on  $E_{R,\varpi}^{[u,v]}$  since it converges on  $\mathbf{A}_{R,\varpi}^{[u,v]}$  (see [Lemma 4.13](#)) and  $\Gamma_R$  acts trivially on  $R_{\varpi}^{[u,v]}$ . So, using that the filtration on  $E_{R,\varpi}^{[u,v]} \otimes_R M[1/p]$  is given as the tensor product filtration (see [Lemma 2.35](#)), the action of  $\Gamma_S$  is trivial on  $M[1/p]$  and the ideal  $\mathrm{Fil}^j E_{R,\varpi}^{[u,v]}$  is closed in  $E_{R,\varpi}^{[u,v]}$  for all  $j \in \mathbb{N}$  (see [Remark 2.25](#) (ii)), it follows that  $\nabla_i$  converges on  $\mathrm{Fil}^r (E_{R,\varpi}^{[u,v]} \otimes_R M[1/p])$  and, since  $\alpha$  is  $\Gamma_R$ -equivariant,  $\nabla_i$  also converges on  $\mathrm{Fil}^k (E_{R,\varpi}^{[u,v]} \otimes_{A_R^+} N(V))$ . Combining the two discussions above, it follows that

$$\nabla_i (\mathrm{Fil}^k N_{\varpi}^{[u,v]}(T)) \subset \mathrm{Fil}^k (E_{R,\varpi}^{[u,v]} \otimes_{A_R^+} N(V)) \cap N_{\varpi}^{[u,v]}(T) = \mathrm{Fil}^k N_{\varpi}^{[u,v]}(T)$$

(see [Remark 3.13](#)). A similar argument shows that the operators  $\nabla_i/(\gamma_i - 1)$  and  $(\gamma_i - 1)/\nabla_i$  also converge on  $\mathrm{Fil}^k N_{\varpi}^{[u,v]}(T)$ . This allows us to conclude.  $\square$

**Lemma 5.20.** *For the filtered modules and operators  $\nabla_i$  defined above and  $0 \leq i \leq d$ , we have*

$$\nabla_i (\mathrm{Fil}^k N_{\varpi}^{[u,v]}(T)) \subset \pi \mathrm{Fil}^{k-1} N_{\varpi}^{[u,v]}(T) = t \mathrm{Fil}^{k-1} N_{\varpi}^{[u,v]}(T).$$

*Proof.* Note that the action of  $\Gamma_R$  is trivial on  $N_{\varpi}^{[u,v]}(T)/\pi N_{\varpi}^{[u,v]}(T)$ . So, using [Lemma 5.19](#), we infer that

$$\nabla_i (\mathrm{Fil}^k N_{\varpi}^{[u,v]}(T)) \subset \mathrm{Fil}^k N_{\varpi}^{[u,v]}(T) \cap \pi N_{\varpi}^{[u,v]}(T) = \pi \mathrm{Fil}^{k-1} N_{\varpi}^{[u,v]}(T),$$

where the last equality follows from [Lemma 3.17](#). As  $t/\pi$  is a unit in  $E_{R,\varpi}^{[u,v]}$  (see [Lemma 2.18](#)), we can also write  $\nabla_i (\mathrm{Fil}^k N_{\varpi}^{[u,v]}(T)) \subset t \mathrm{Fil}^{k-1} N_{\varpi}^{[u,v]}(T)$ .  $\square$

For  $0 \leq i \leq d$ , it is easy to see that we have  $\nabla_i = \log \gamma_i = \lim_{n \rightarrow +\infty} (\gamma_i^{p^n} - 1)/p^n$ , from which one can easily show that  $\nabla_i$  satisfies a Leibniz rule (see the proof of [Morrow and Tsuji 2020, Theorem 4.2] for a similar argument). Now using Lemma 5.19 we define differential operators  $\partial_i$  over  $N_{\overline{\omega}}^{[u,v]}(T)$  as  $\partial_i := \nabla_i/t = (\log \gamma_i)/t$ . In the basis  $\{\omega_0, \dots, \omega_d\}$  of  $\Omega_{A_{R,\overline{\omega}}}^1$ , we set  $\partial = (\partial_0, \dots, \partial_d)$  and obtain a connection  $\partial : N_{\overline{\omega}}^{[u,v]}(T) \rightarrow N_{\overline{\omega}}^{[u,v]}(T) \otimes \Omega_{A_{R,\overline{\omega}}}^1$  by sending  $ax \mapsto a\partial(x) + x \otimes da$ .

**Lemma 5.21.** *The connection  $\partial$  on  $N_{\overline{\omega}}^{[u,v]}(T)$  is integrable, and satisfies a Leibniz rule and Griffiths transversality with respect to the filtration; i.e.,  $\partial_i(\text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T)) \subset \text{Fil}^{k-1} N_{\overline{\omega}}^{[u,v]}(T)$  for  $0 \leq i \leq d$ .*

*Proof.* From Section 4.3.2, recall that  $[\nabla_i, \nabla_j] = 0$  for  $1 \leq i, j \leq d$  and  $[\nabla_0, \nabla_i] = p^m \nabla_i$  for  $1 \leq i \leq d$ . It follows that, over  $N_{\overline{\omega}}^{[u,v]}(T)$ , we have a composition of operators

$$t^2(\partial_i \circ \partial_j - \partial_j \circ \partial_i) = t\partial_i(t\partial_j) - t\partial_j(t\partial_i) = \nabla_i \circ \nabla_j - \nabla_j \circ \nabla_i = 0 \quad \text{for } 1 \leq i, j \leq d.$$

Next, for  $1 \leq i \leq d$ , we have

$$\begin{aligned} \nabla_0 \circ \nabla_i - \nabla_i \circ \nabla_0 &= t\partial_0 \circ (t\partial_i) - t\partial_i \circ (t\partial_0) = tp^m \partial_i + t^2 \partial_0 \circ \partial_i - t^2 \partial_i \circ \partial_0 \\ &= p^m \nabla_i + t^2(\partial_0 \circ \partial_i - \partial_i \circ \partial_0). \end{aligned}$$

In particular,  $\partial_0 \circ \partial_i - \partial_i \circ \partial_0 = 0$ . Since  $\partial \circ \partial = (\partial_i \circ \partial_j)_{i,j}$  for  $0 \leq i \leq j \leq d$  and  $N_{\overline{\omega}}^{[u,v]}(T)$  is  $t$ -torsion free, we conclude that the connection  $\partial$  is integrable. Moreover, it is clear that  $\partial$  satisfies a Leibniz rule, and it satisfies Griffiths transversality because we have

$$\partial_i(\text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T)) = t^{-1} \nabla_i(\text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T)) \subset \text{Fil}^{k-1} N_{\overline{\omega}}^{[u,v]}(T)$$

using Lemma 5.20. □

Let  $S = A_{R,\overline{\omega}}^{[u,v]}$ . Then, from Lemma 5.21, we have the filtered de Rham complex  $\text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T) \otimes \Omega_S^\bullet$ . In the chosen basis  $\{\omega_1, \dots, \omega_d\}$  of  $\Omega_S^1$ , an element of  $\Omega_S^q = \bigwedge^q \Omega_S^1$  can be expressed as  $\sum_i x_i \omega_i$  in a unique manner, where  $x_i \in S$  and  $\omega_i = \omega_{i_1} \wedge \dots \wedge \omega_{i_q}$  for  $\mathbf{i} = (i_1, \dots, i_q) \in I_q = \{0 \leq i_1 < \dots < i_q \leq d\}$ . In this case, the map involving differential operators becomes

$$(\partial_i) : (\text{Fil}^{k-q} N_{\overline{\omega}}^{[u,v]}(T))^{I_q} \rightarrow (\text{Fil}^{k-q-1} N_{\overline{\omega}}^{[u,v]}(T))^{I_{q+1}} \quad \text{for } 0 \leq i \leq d.$$

**Definition 5.22.** Define the  $\partial$ -Koszul complex for  $\text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T)$  as

$$\text{Kos}(\partial_A, \text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T)) : \text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T) \xrightarrow{(\partial_i)} (\text{Fil}^{k-1} N_{\overline{\omega}}^{[u,v]}(T))^{I_1} \rightarrow \dots$$

**Remark 5.23.** (i) By definition, it follows that we have a natural isomorphism between complexes

$$\text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T) \otimes \Omega_{A_{R,\overline{\omega}}}^\bullet \xrightarrow{\sim} \text{Kos}(\partial_A, \text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T)).$$

(ii) Let  $I'_q = \{(i_1, \dots, i_q) \text{ such that } 1 \leq i_1 < \dots < i_q \leq d\}$  and  $\partial' = (\partial_1, \dots, \partial_d)$ . Set

$$\text{Kos}(\partial'_A, \text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T)) : \text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T) \xrightarrow{(\partial_i)} (\text{Fil}^{k-1} N_{\overline{\omega}}^{[u,v]}(T))^{I'_1} \rightarrow \dots,$$

and note that  $\text{Kos}(\partial_A, \text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T)) = [\text{Kos}(\partial'_A, \text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T)) \xrightarrow{\partial_0} \text{Kos}(\partial'_A, \text{Fil}^{k-1} N_{\overline{\omega}}^{[u,v]}(T))]$ .

(iii) Computations carried out in this section are true over the ring  $A_{R,\overline{\omega}}^{[u,v/p]}$  as well.

**5.6. Poincaré lemma.** For  $\star \in \{\text{PD}, [u], [u, v]\}$ , from [Definition 2.24](#), [Remark 2.25](#) and [Lemma 2.26](#), recall that we have rings  $E_{R, \varpi}^\star$  equipped with a filtration, Frobenius  $\varphi$  sending

$$E_{R, \varpi}^{\text{PD}} \rightarrow E_{R, \varpi}^{\text{PD}}, \quad E_{R, \varpi}^{[u]} \rightarrow E_{R, \varpi}^{[u]} \quad \text{and} \quad E_{R, \varpi}^{[u, v]} \rightarrow E_{R, \varpi}^{[u, v/p]},$$

and an action of  $G_R$  which commutes with the Frobenius. Moreover, from [Remark 2.27](#), we have a subring  $\mathcal{O}A_{R, \varpi}^{\text{PD}} \subset \mathcal{O}A_{\text{cris}}(\bar{R})$  equipped with induced structures, and we have a natural embedding  $\mathcal{O}A_{R, \varpi}^{\text{PD}} \subset E_{R, \varpi}^{\text{PD}}$  compatible with the respective Frobenii, filtrations,  $A_{R, \varpi}^{\text{PD}}$ -linear connections and actions of  $\Gamma_R$ .

From [Assumption 5.1](#), we have a natural map

$$\mathcal{O}A_{R, \varpi}^{\text{PD}} \otimes_R M \rightarrow \mathcal{O}A_{R, \varpi}^{\text{PD}} \otimes_R N(T),$$

which is a  $p^{n(T, e)}$ -isomorphism compatible with the respective Frobenii, filtrations, connections and the actions of  $\Gamma_R$ . Recall that  $M_{\varpi}^{[u, v]} = R_{\varpi}^{[u, v]} \otimes_R M$  and  $N_{\varpi}^{[u, v]}(T) = A_{R, \varpi}^{[u, v]} \otimes_{A_R^+} N(T)$ , and after extension of scalars we have a map

$$E_{R, \varpi}^{[u, v]} \otimes_{R_{\varpi}^{[u, v]}} M_{\varpi}^{[u, v]} \rightarrow E_{R, \varpi}^{[u, v]} \otimes_{A_{R, \varpi}^{[u, v]}} N_{\varpi}^{[u, v]}(T),$$

which is a  $p^{n(T, e)}$ -isomorphism compatible with the respective Frobenii, connections and the actions of  $\Gamma_R$ . Moreover, in the  $p^{n(T, e)}$ -isomorphism above, the left-hand term is equipped with a filtration as described in the discussion before [Lemma 2.40](#), and the right-hand term is equipped with a filtration as in (3-5), which is compatible with the filtration on the left-hand term by definition.

Let  $R_1 := A_{R, \varpi}^{[u, v]}$ ,  $R_2 := R_{\varpi}^{[u, v]}$  and  $R_3 := E_{R, \varpi}^{[u, v]}$ . Set  $X_{0,1} := \pi_m$  and  $X_{0,2} := X_0$ , and set  $X_{i,1} := [X_i^b]$  and  $X_{i,2} := X_i$  for  $1 \leq i \leq d$ . For  $j = 1, 2$ , set

$$\Omega_j^1 := \mathbb{Z} \frac{dX_{0,j}}{1 + X_{0,j}} \bigoplus_{i=1}^d \mathbb{Z} \frac{dX_{i,j}}{X_{i,j}} \quad \text{and} \quad \Omega_3^1 := \Omega_1^1 \oplus \Omega_2^1.$$

For  $j = 1, 2, 3$ , let  $\Omega_j^k = \bigwedge^k \Omega_j$ . Therefore, we see that  $\Omega_{R_j}^k = R_j \otimes \Omega_j^k$ . Recall that from (5-4) we have the filtered de Rham complex  $\text{Fil}^r M_{\varpi}^{[u, v]} \otimes \Omega_1^1$ . Set  $\Delta_2 := E_{R, \varpi}^{[u, v]} \otimes_{R_{\varpi}^{[u, v]}} M_{\varpi}^{[u, v]}$  equipped with a filtration as described in the discussion before [Lemma 2.40](#). Using the  $\mathcal{O}_F$ -linear de Rham differential operator

$$\partial_{R_3} : \text{Fil}^r E_{R, \varpi}^{[u, v]} \rightarrow \text{Fil}^{r-1} E_{R, \varpi}^{[u, v]} \otimes_{\mathbb{Z}} \Omega_3^1$$

and the  $\mathcal{O}_F$ -linear integrable connection

$$\partial_{R_2} : \text{Fil}^r M_{\varpi}^{[u, v]} \rightarrow \text{Fil}^{r-1} M_{\varpi}^{[u, v]} \otimes_{\mathbb{Z}} \Omega_2^1,$$

we obtain an  $\mathcal{O}_F$ -linear integrable connection on  $\Delta_2$  as

$$\partial_{R_3} : \Delta_2 \rightarrow \Delta_2 \otimes_{\mathbb{Z}} \Omega_3^1, \quad ax \mapsto a \partial_{R_2}(x) + \partial_{R_3}(a)x.$$

Moreover, the connection  $\partial_{R_3}$  on  $\Delta_2$  satisfies Griffiths transversality with respect to the filtration, i.e.,  $\partial_{R_3} : \text{Fil}^r \Delta_2 \rightarrow \text{Fil}^{r-1} \Delta_2 \otimes_{\mathbb{Z}} \Omega_3^1$ , since the same is true for the differential operator on  $E_{R, \varpi}^{[u, v]}$  and the connection on  $M_{\varpi}^{[u, v]}$ . In particular, we have the filtered de Rham complex  $\text{Fil}^r \Delta_2 \otimes \Omega_3^1$ .

**Lemma 5.24.** *The natural map  $\mathrm{Fil}^r M_{\overline{\omega}}^{[u,v]} \otimes \Omega_2^* \rightarrow \mathrm{Fil}^r \Delta_2 \otimes \Omega_3^*$  is a quasi-isomorphism.*

*Proof.* In the notation of Section 2.8.3, note that  $A = R_1$ ,  $B = R_2$  and  $E = R_3$ . Moreover, by definition, it is clear that  $\mathrm{Fil}^r M_{\overline{\omega}}^{[u,v]} = (\mathrm{Fil}^r \Delta_2)^{\partial_{R_1}=0}$ . Therefore, using Lemma 2.41, we obtain the claim.  $\square$

Similar to above and using the discussion of Section 5.5, it is easy to see that, for  $R_1 = A_{R,\overline{\omega}}^{[u,v]}$ , we have a filtered de Rham complex  $\mathrm{Fil}^r N_{\overline{\omega}}^{[u,v]}(T) \otimes \Omega_1^*$ . Let  $\Delta_1 := E_{R,\overline{\omega}}^{[u,v]} \otimes_{A_{R,\overline{\omega}}^{[u,v]}} N_{\overline{\omega}}^{[u,v]}(T)$  be equipped with the filtration described in (3-5). Then, similar to the case of  $\Delta_2$ , we have a filtered de Rham complex  $\mathrm{Fil}^r \Delta_1 \otimes \Omega_3^*$  and, similar to Lemma 5.24, we obtain the following.

**Lemma 5.25.** *The natural map  $\mathrm{Fil}^r N_{\overline{\omega}}^{[u,v]}(T) \otimes \Omega_1^* \rightarrow \mathrm{Fil}^r \Delta_1 \otimes \Omega_3^*$  is a quasi-isomorphism.*

*Proof.* In the notation of Section 3.3.2, note that  $A = R_1$ ,  $B = R_2$  and  $E = R_3$ . Using the equality  $N_{\overline{\omega}}^{[u,v]}(T) = \Delta_1^{\partial=0}$  and (3-5), we note that

$$\mathrm{Fil}^r N_{\overline{\omega}}^{[u,v]}(T) = \mathrm{Fil}^r \Delta_1 \cap \Delta_1^{\partial=0} = (\mathrm{Fil}^r N_{\overline{\omega}}^{[u,v]}(T))^{\partial=0}.$$

Therefore, using Lemma 3.21, we obtain the claim.  $\square$

**Remark 5.26.** Statements analogous to Lemmas 5.24 and 5.25 for  $R_{\overline{\omega}}^{[u,v/p]}$  and  $A_{R,\overline{\omega}}^{[u,v/p]}$  (instead of  $R_{\overline{\omega}}^{[u,v]}$  and  $A_{R,\overline{\omega}}^{[u,v]}$ ), respectively, are also true.

**Definition 5.27.** Consider  $N_{\overline{\omega}}^{[u,v]}(T)$  as above, and note that it is equipped with a Frobenius-semilinear morphism

$$\varphi : N_{\overline{\omega}}^{[u,v]}(T) \rightarrow N_{\overline{\omega}}^{[u,v/p]}(T).$$

Using Definition 5.22 and Remark 5.23, set

$$\mathrm{Kos}(\varphi, \partial_A, \mathrm{Fil}^r N_{\overline{\omega}}^{[u,v]}(T)) := \left[ \begin{array}{ccc} \mathrm{Kos}(\partial'_A, \mathrm{Fil}^r N_{\overline{\omega}}^{[u,v]}(T)) & \xrightarrow{p^r - p^* \varphi} & \mathrm{Kos}(\partial'_A, N_{\overline{\omega}}^{[u,v/p]}(T)) \\ \downarrow \partial_0 & & \downarrow \partial_0 \\ \mathrm{Kos}(\partial'_A, \mathrm{Fil}^{r-1} N_{\overline{\omega}}^{[u,v]}(T)) & \xrightarrow{p^r - p^{*+1} \varphi} & \mathrm{Kos}(\partial'_A, N_{\overline{\omega}}^{[u,v/p]}(T)) \end{array} \right].$$

**Proposition 5.28.** *There exists a natural  $p^{2n(T,e)}$ -quasi-isomorphism between complexes  $\mathrm{Syn}(M_{\overline{\omega}}^{[u,v]}, r)$  and  $\mathrm{Kos}(\varphi, \partial_A, \mathrm{Fil}^r N_{\overline{\omega}}^{[u,v]}(T))$ , where  $n(T, e) \in \mathbb{N}$  as in Assumption 5.1.*

*Proof.* Note that, using Lemma 5.24 with

$$R_1 = R_{\overline{\omega}}^{[u,v]}, \quad R_3 = E_{R,\overline{\omega}}^{[u,v]}, \quad \Delta_1 = E_{R,\overline{\omega}}^{[u,v]} \otimes_{R_{\overline{\omega}}^{[u,v]}} M_{\overline{\omega}}^{[u,v]} \quad \text{and} \quad \Delta'_1 = E_{R,\overline{\omega}}^{[u,v/p]} \otimes_{R_{\overline{\omega}}^{[u,v/p]}} M_{\overline{\omega}}^{[u,v/p]},$$

we have natural quasi-isomorphisms of complexes

$$\mathrm{Syn}(M_{\overline{\omega}}^{[u,v]}, r) \simeq [\mathrm{Fil}^r M_{\overline{\omega}}^{[u,v]} \otimes \Omega_1^* \xrightarrow{p^r - p^* \varphi} M_{\overline{\omega}}^{[u,v/p]} \otimes \Omega_1^*] \simeq [\mathrm{Fil}^r \Delta_1 \otimes \Omega_3^* \xrightarrow{p^r - p^* \varphi} \Delta'_1 \otimes \Omega_3^*].$$

Next, using Lemma 5.24 with

$$R_2 = A_{R,\overline{\omega}}^{[u,v]}, \quad R_3 = E_{R,\overline{\omega}}^{[u,v]}, \quad \Delta_2 = E_{R,\overline{\omega}}^{[u,v]} \otimes_{A_{R,\overline{\omega}}^{[u,v]}} N_{\overline{\omega}}^{[u,v]}(T) \quad \text{and} \quad \Delta'_2 = E_{R,\overline{\omega}}^{[u,v/p]} \otimes_{A_{R,\overline{\omega}}^{[u,v/p]}} N_{\overline{\omega}}^{[u,v/p]},$$

together with [Remark 5.23](#), note that we have natural quasi-isomorphisms of complexes

$$\begin{aligned} \text{Kos}(\varphi, \partial_A, \text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T)) &\simeq [\text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T) \otimes \Omega_2^{\bullet} \xrightarrow{p^r - p^{\bullet}\varphi} \text{Fil}^r N_{\overline{\omega}}^{[u,v/p]} \otimes \Omega_2^{\bullet}] \\ &\simeq [\text{Fil}^r \Delta_2 \otimes \Omega_3^{\bullet} \xrightarrow{p^r - p^{\bullet}\varphi} \Delta'_2 \otimes \Omega_3^{\bullet}]. \end{aligned}$$

Finally, using the  $p^{n(T,e)}$ -isomorphism

$$E_{R,\overline{\omega}}^{[u,v]} \otimes_{R_{\overline{\omega}}^{[u,v]}} M_{\overline{\omega}}^{[u,v]} \xrightarrow{\sim} E_{R,\overline{\omega}}^{[u,v]} \otimes_{A_{R,\overline{\omega}}^{[u,v]}} N_{\overline{\omega}}^{[u,v]}(T)$$

from [Assumption 5.1](#), we have  $p^{n(T,e)}$ -isomorphisms  $\text{Fil}^r \Delta_1 \simeq \text{Fil}^r \Delta_2$  and  $\Delta'_1 \simeq \Delta'_2$ . Hence, from the discussion above, we obtain a natural  $p^{2n(T,e)}$ -quasi-isomorphism of complexes

$$\text{Syn}(M_{\overline{\omega}}^{[u,v]}, r) \simeq \text{Kos}(\varphi, \partial_A, \text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T)). \quad \square$$

## 6. Syntomic complexes and $(\varphi, \Gamma)$ -modules

In this section, we will work under the setup of [Assumption 5.1](#) and carry out the second step of the proof of [Theorem 5.5](#). Recall that we have a finite free  $A_{R,\overline{\omega}}^{[u,v]}$ -module

$$N_{\overline{\omega}}^{[u,v]}(T) = A_{R,\overline{\omega}}^{[u,v]} \otimes_{A_R^+} N(T)$$

equipped with a  $\Gamma_R$ -stable filtration as in (3-5) and, from [Definition 5.27](#), we have the complex

$$\text{Kos}(\varphi, \partial_A, \text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T)).$$

Let  $S = R[\overline{\omega}]$ . From the theory of étale  $(\varphi, \Gamma_S)$ -modules in [Section 2.4](#), we have

$$D_{\overline{\omega}}(T(r)) = A_{R,\overline{\omega}} \otimes_{A_R} \mathbf{D}(T(r)),$$

and from [Definition 4.11](#) we have the complex  $\text{Kos}(\varphi, \Gamma_S, D_{\overline{\omega}}(T(r)))$ . In this section, our goal is to show the following.

**Proposition 6.1.** *There exist natural  $p^N$ -quasi-isomorphisms of complexes*

$$\tau_{\leq r} \text{Kos}(\varphi, \partial_A, \text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T)) \simeq \tau_{\leq r} \text{Kos}(\varphi, \Gamma_S, D_{\overline{\omega}}(T(r))),$$

where  $N = N(r, s) \in \mathbb{N}$  depends only on the height  $s$  of the representation  $T$  and twist  $r$ .

**6.1. Proof of [Theorem 5.5](#).** Note that, by combining [Propositions 5.12](#) and [5.14](#), we have a natural  $p^{4r+4s}$ -quasi-isomorphism of complexes

$$\tau_{\leq r-s-1} \text{Syn}(M_{\overline{\omega}}^{\text{PD}}, r) \simeq \tau_{\leq r-s-1} \text{Syn}(M_{\overline{\omega}}^{[u,v]}, r).$$

Next, from [Proposition 5.28](#), we have a natural  $p^{2n(T,e)}$ -quasi-isomorphism of complexes

$$\text{Syn}(M_{\overline{\omega}}^{[u,v]}, r) \simeq \text{Kos}(\varphi, \partial_A, \text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T)).$$

Furthermore, by [Proposition 6.1](#), we have a natural  $p^{10r+3s+2}$ -quasi-isomorphism of complexes

$$\tau_{\leq r} \text{Kos}(\varphi, \partial_A, \text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T)) \simeq \tau_{\leq r} \text{Kos}(\varphi, \Gamma_S, D_{\overline{\omega}}(T(r))),$$

where  $\tau_{\leq}$  denotes the canonical truncation (for the explicit constant, see the proof of [Proposition 6.1](#) at the end of [Section 6.6](#)). Finally, by [Proposition 4.10](#) and [Theorem 4.2](#), we have a natural quasi-isomorphism of complexes

$$\mathrm{Kos}(\varphi, \Gamma_S, D_{\varpi}(T(r))) \simeq \mathrm{R}\Gamma_{\mathrm{cont}}(G_S, T(r)).$$

Combining all these statements gives us the desired conclusion with  $N = 2n(T, e) + 14r + 7s + 2$ .  $\square$

In the rest of this section, we will prove [Proposition 6.1](#).

**6.2. From differential forms to the infinitesimal action of  $\Gamma_S$ .** Note that [Lemma 5.19](#) describes the action of  $\mathrm{Lie} \Gamma_S$  on  $\mathrm{Fil}^r N_{\varpi}^{[u,v]}(T)$ . Then, for the Lie subgroup  $\Gamma'_S \subset \Gamma_S$  (see [Section 2.4](#) for notation), using [Definition 4.15](#), we have the complex  $\mathrm{Kos}(\mathrm{Lie} \Gamma'_S, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T))$  and we consider its subcomplex, i.e., a complex made of submodules in each degree stable under the differentials of the complex, as follows:

$$\begin{aligned} & \mathcal{K}(\mathrm{Lie} \Gamma'_S, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T)) \\ & := \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T) \xrightarrow{(\nabla_i)} (t \mathrm{Fil}^{r-1} N_{\varpi}^{[u,v]}(T))^{I'_1} \rightarrow \dots \rightarrow (t^k \mathrm{Fil}^{r-k} N_{\varpi}^{[u,v]}(T))^{I'_k} \rightarrow \dots \end{aligned}$$

Using the same differentials, we can define a complex  $\mathcal{K}(\mathrm{Lie} \Gamma'_S, t \mathrm{Fil}^{r-1} N_{\varpi}^{[u,v]}(T))$  as a subcomplex of  $\mathrm{Kos}(\mathrm{Lie} \Gamma'_S, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T))$ . Now consider a morphism of complexes

$$\nabla_0 : \mathcal{K}(\mathrm{Lie} \Gamma'_S, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T)) \rightarrow \mathcal{K}(\mathrm{Lie} \Gamma'_S, t \mathrm{Fil}^{r-1} N_{\varpi}^{[u,v]}(T))$$

given as  $\nabla_0 = \log \gamma_0$  in degree 0 and as

$$\nabla_0 - kp^m : (t^k \mathrm{Fil}^{r-k} N_{\varpi}^{[u,v]}(T(r)))^{I'_k} \rightarrow (t^{k+1} \mathrm{Fil}^{r-k-1} N_{\varpi}^{[u,v]}(T(r)))^{I'_k}$$

on the  $k$ -th term of the definition above for  $1 \leq k \leq d$ . The morphism of complexes is well defined because we have  $\nabla_0 \nabla_i - \nabla_i \nabla_0 = p^m \nabla_i$  for  $1 \leq i \leq d$  (see [Section 4.3.2](#) and the discussion after [Definition 4.15](#)). Write the total complex of the diagram thus obtained as  $\mathcal{K}(\mathrm{Lie} \Gamma_S, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T))$ , which is a subcomplex of  $\mathrm{Kos}(\mathrm{Lie} \Gamma_S, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T))$  by definition. Similarly, we can define complexes  $\mathcal{K}(\mathrm{Lie} \Gamma'_S, N_{\varpi}^{[u,v/p]}(T))$  and  $\mathcal{K}(\mathrm{Lie} \Gamma'_S, t N_{\varpi}^{[u,v/p]}(T))$  and a map  $\nabla_0$  from the former to the latter complex.

Recall that, from [Definition 5.27](#), we have the Koszul complex  $\mathrm{Kos}(\varphi, \partial_A, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T))$ . Note that  $\nabla_i = t \partial_i$  for all  $0 \leq i \leq d$  (see [Section 5.5](#)). So we consider a morphism of complexes

$$\mathrm{Kos}(\partial'_A, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T)) \rightarrow \mathcal{K}(\mathrm{Lie} \Gamma'_S, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T))$$

given by the identity map in degree 0 and multiplication by  $t^k$  on the  $k$ -th term of the definition above; i.e.,

$$(\mathrm{Fil}^{r-k} N_{\varpi}^{[u,v]}(T(r)))^{I'_k} \xrightarrow{\times t^k} (t^k \mathrm{Fil}^{r-k} N_{\varpi}^{[u,v]}(T(r)))^{I'_k} \quad \text{for } 1 \leq k \leq d.$$

It is clear that the map thus defined is bijective, i.e., we obtain an isomorphism of complexes. Similarly, multiplying by powers of  $t$  as above, we obtain an isomorphism of complexes

$$\mathrm{Kos}(\partial'_A, \mathrm{Fil}^{r-1} N_{\varpi}^{[u,v]}(T)) \xrightarrow{\sim} \mathcal{K}(\mathrm{Lie} \Gamma'_S, t \mathrm{Fil}^{r-1} N_{\varpi}^{[u,v]}(T)).$$

Furthermore, one can do a similar construction for  $N_{\overline{\omega}}^{[u,v/p]}(T)$  to obtain isomorphism of complexes

$$\begin{aligned} \text{Kos}(\partial'_A, N_{\overline{\omega}}^{[u,v/p]}(T)) &\xrightarrow{\sim} \mathcal{K}(\text{Lie } \Gamma'_S, N_{\overline{\omega}}^{[u,v/p]}(T)), \\ \text{Kos}(\partial'_A, N_{\overline{\omega}}^{[u,v/p]}(T)) &\xrightarrow{\sim} \mathcal{K}(\text{Lie } \Gamma'_S, tN_{\overline{\omega}}^{[u,v/p]}(T)). \end{aligned}$$

As each term of these complexes admits a Frobenius-semilinear morphism

$$\varphi : t^j \text{Fil}^{r-j} N_{\overline{\omega}}^{[u,v]}(T) \rightarrow t^j N_{\overline{\omega}}^{[u,v/p]}(T),$$

we obtain the following morphism of complexes (see [Definition 5.27](#) for the source complex):

$$\text{Kos}(\varphi, \partial_A, \text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T)) \rightarrow \left[ \begin{array}{ccc} \mathcal{K}(\text{Lie } \Gamma'_S, \text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T)) & \xrightarrow{p^r - \varphi} & \mathcal{K}(\text{Lie } \Gamma'_S, N_{\overline{\omega}}^{[u,v/p]}(T)) \\ \downarrow \nabla_0 & & \downarrow \nabla_0 \\ \mathcal{K}(\text{Lie } \Gamma'_S, t \text{Fil}^{r-1} N_{\overline{\omega}}^{[u,v]}(T)) & \xrightarrow{p^r - \varphi} & \mathcal{K}(\text{Lie } \Gamma'_S, tN_{\overline{\omega}}^{[u,v/p]}(T)) \end{array} \right].$$

From the discussion above, we have the following.

**Lemma 6.2.** *The morphism of complexes described above is an isomorphism.*

Recall that  $s$  is the height of  $T$  and we fixed some  $r \geq s + 1$ . Set  $N_{\overline{\omega}}^{[u,v]}(T(r)) := A_{R,\overline{\omega}}^{[u,v]} \otimes_{A_R^+} N(T(r))$  and equip it with the natural action of  $\Gamma_R$  and a  $\Gamma_R$ -stable filtration as in (3-10). Then, from [Lemma 5.19](#), recall that the operators  $\nabla_i$  are well defined over  $\text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T(r))$  for  $0 \leq i \leq d$ . Using these operators, we consider a subcomplex of the Koszul complex  $\text{Kos}(\text{Lie } \Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r)))$  ([Definition 4.15](#)) as follows:

$$\begin{aligned} &\mathcal{K}(\text{Lie } \Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r))) \\ &:= \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r)) \xrightarrow{(\nabla_i)} (t \text{Fil}^{-1} N_{\overline{\omega}}^{[u,v]}(T(r)))^{I'_1} \rightarrow \dots \rightarrow (t^k \text{Fil}^{-k} N_{\overline{\omega}}^{[u,v]}(T(r)))^{I'_k} \rightarrow \dots \end{aligned}$$

Similarly, we can define a complex  $\mathcal{K}(\text{Lie } \Gamma'_S, t \text{Fil}^{-1} N_{\overline{\omega}}^{[u,v]}(T(r)))$  as a subcomplex of the Koszul complex  $\text{Kos}(\text{Lie } \Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r)))$ . Moreover, similar to the discussion before [Lemma 6.2](#), we can define a morphism of complexes

$$\nabla_0 : \mathcal{K}(\text{Lie } \Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r))) \rightarrow \mathcal{K}(\text{Lie } \Gamma'_S, t \text{Fil}^{-1} N_{\overline{\omega}}^{[u,v]}(T(r))).$$

The associated total complex, written as  $\mathcal{K}(\text{Lie } \Gamma_S, \text{Fil}^r N_{\overline{\omega}}^{[u,v]}(T))$ , is a subcomplex of the Koszul complex  $\text{Kos}(\text{Lie } \Gamma_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r)))$ . Furthermore, by a similar construction, we can define the complexes  $\mathcal{K}(\text{Lie } \Gamma'_S, N_{\overline{\omega}}^{[u,v/p]}(T(r)))$  and  $\mathcal{K}(\text{Lie } \Gamma'_S, tN_{\overline{\omega}}^{[u,v/p]}(T(r)))$  and a morphism  $\nabla_0$  from the former to the latter.

Next, from [Lemma 3.20](#), recall that  $\text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T(r)) = \pi^{-r} \text{Fil}^{k+r} N_{\overline{\omega}}^{[u,v]}(T(r))$  for each  $k \in \mathbb{Z}$ . Let  $\epsilon^{-r}$  denote a  $\mathbb{Z}_p$ -basis of  $\mathbb{Z}_p(-r)$ ; then we see that

$$(t^r \otimes \epsilon^{-r}) \text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T(r)) = (t/\pi)^r \text{Fil}^{r+k} N_{\overline{\omega}}^{[u,v]}(T) = \text{Fil}^{r+k} N_{\overline{\omega}}^{[u,v]}(T),$$

where the last equality follows since  $t/\pi$  is a unit in  $A_{R,\varpi}^{[u,v]}$  (see [Lemma 2.18](#)). Now, consider a morphism of complexes

$$\mathcal{K}(\mathrm{Lie} \Gamma'_S, \mathrm{Fil}^0 N_{\varpi}^{[u,v]}(T(r))) \rightarrow \mathcal{K}(\mathrm{Lie} \Gamma'_S, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T))$$

given as multiplication by  $t^r \otimes \epsilon^{-r}$  in each degree; in particular, it is given as

$$(t^k \mathrm{Fil}^{-k} N_{\varpi}^{[u,v]}(T(r)))'_k \xrightarrow{\times(t^r \otimes \epsilon^{-r})} (t^k \mathrm{Fil}^{r-k} N_{\varpi}^{[u,v]}(T))'_k$$

on the  $k$ -th term of the definition above for  $1 \leq k \leq d$ . Note that the map thus defined is bijective on each term by the preceding discussion. Similarly, we have

$$(t^r \otimes \epsilon^{-r}) N_{\varpi}^{[u,v/p]}(T(r)) = (t/\pi)^r N_{\varpi}^{[u,v/p]}(T) = N_{\varpi}^{[u,v/p]}(T),$$

which yields an isomorphism of complexes

$$\mathcal{K}(\mathrm{Lie} \Gamma'_S, N_{\varpi}^{[u,v/p]}(T(r))) \xrightarrow{\sim} \mathcal{K}(\mathrm{Lie} \Gamma'_S, t N_{\varpi}^{[u,v/p]}(T(r))).$$

Putting these together, we obtain the following.

**Lemma 6.3.** *The morphism of complexes below, given as multiplication by  $t^r \otimes \epsilon^{-r}$  on each term, is an isomorphism:*

$$\left[ \begin{array}{ccc} \mathcal{K}(\mathrm{Lie} \Gamma'_S, \mathrm{Fil}^0 N_{\varpi}^{[u,v]}(T(r))) & \xrightarrow{p^r(1-\varphi)} & \mathcal{K}(\mathrm{Lie} \Gamma'_S, N_{\varpi}^{[u,v/p]}(T(r))) \\ \downarrow \nabla_0 & & \downarrow \nabla_0 \\ \mathcal{K}(\mathrm{Lie} \Gamma'_S, t \mathrm{Fil}^{-1} N_{\varpi}^{[u,v]}(T(r))) & \xrightarrow{p^r(1-\varphi)} & \mathcal{K}(\mathrm{Lie} \Gamma'_S, t N_{\varpi}^{[u,v/p]}(T(r))) \end{array} \right] \\ \xrightarrow{\sim} \left[ \begin{array}{ccc} \mathcal{K}(\mathrm{Lie} \Gamma'_S, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T)) & \xrightarrow{p^r-\varphi} & \mathcal{K}(\mathrm{Lie} \Gamma'_S, N_{\varpi}^{[u,v/p]}(T)) \\ \downarrow \nabla_0 & & \downarrow \nabla_0 \\ \mathcal{K}(\mathrm{Lie} \Gamma'_S, t \mathrm{Fil}^{r-1} N_{\varpi}^{[u,v]}(T)) & \xrightarrow{p^r-\varphi} & \mathcal{K}(\mathrm{Lie} \Gamma'_S, t N_{\varpi}^{[u,v/p]}(T)) \end{array} \right].$$

In order to change from “Lie  $\Gamma_S$ -Koszul complexes” to “ $\Gamma_S$ -Koszul complexes”, we modify the source complex in [Lemma 6.3](#) to define  $\mathcal{K}(\varphi, \mathrm{Lie} \Gamma_S, N_{\varpi}^{[u,v]}(T(r)))$  as follows:

$$\left[ \begin{array}{ccc} \mathcal{K}(\mathrm{Lie} \Gamma'_S, \mathrm{Fil}^0 N_{\varpi}^{[u,v]}(T(r))) & \xrightarrow{1-\varphi} & \mathcal{K}(\mathrm{Lie} \Gamma'_S, N_{\varpi}^{[u,v/p]}(T(r))) \\ \downarrow \nabla_0 & & \downarrow \nabla_0 \\ \mathcal{K}(\mathrm{Lie} \Gamma'_S, t \mathrm{Fil}^{-1} N_{\varpi}^{[u,v]}(T(r))) & \xrightarrow{1-\varphi} & \mathcal{K}(\mathrm{Lie} \Gamma'_S, t N_{\varpi}^{[u,v/p]}(T(r))) \end{array} \right]$$

By definition, the complex  $\mathcal{K}(\varphi, \mathrm{Lie} \Gamma_S, N_{\varpi}^{[u,v]}(T(r)))$  is  $p^{4r}$ -isomorphic to the source complex in [Lemma 6.3](#). Combining this with [Lemmas 6.2](#) and [6.3](#), we get the following.

**Proposition 6.4.** *There exists a natural  $p^{4r}$ -quasi-isomorphism of complexes*

$$\mathrm{Kos}(\varphi, \partial_A, \mathrm{Fil}^r N_{\varpi}^{[u,v]}(T)) \simeq \mathcal{K}(\varphi, \mathrm{Lie} \Gamma_S, N_{\varpi}^{[u,v]}(T(r))).$$

**6.3. From the infinitesimal action of  $\Gamma_S$  to the continuous action of  $\Gamma_S$ .** In this subsection, we will study Koszul complexes involving operators  $\gamma_i - 1$  over  $N_{\overline{\omega}}^{[u,v]}(T(r))$ . Note that

$$(\gamma_i - 1) \text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T(r)) \subset \text{Fil}^k N_{\overline{\omega}}^{[u,v]}(T(r)) \cap \pi N_{\overline{\omega}}^{[u,v]}(T(r)) = \pi \text{Fil}^{k-1} N_{\overline{\omega}}^{[u,v]}(T(r)),$$

where the last equality follows from Lemmas 3.17 and 3.20. Define a subcomplex of the Koszul complex  $\text{Kos}(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r)))$  (see Definition 4.9) as follows:

$$\begin{aligned} \mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r))) \\ := \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r)) \xrightarrow{(\tau_i)} (\pi \text{Fil}^{-1} N_{\overline{\omega}}^{[u,v]}(T(r)))^{I'_1} \rightarrow (\pi^2 \text{Fil}^{-2} N_{\overline{\omega}}^{[u,v]}(T(r)))^{I'_2} \rightarrow \dots \end{aligned}$$

Similarly, we can define a complex  $\mathcal{K}^c(\Gamma'_S, \pi \text{Fil}^{-1} N_{\overline{\omega}}^{[u,v]}(T(r)))$  as a subcomplex of the Koszul complex  $\text{Kos}^c(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r)))$  (see Definition 4.9), where  $c = \chi(\gamma_0) = \exp(p^m)$ . Consider a morphism of complexes

$$\tau_0 : \mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r))) \rightarrow \mathcal{K}^c(\Gamma'_S, \pi \text{Fil}^{-1} N_{\overline{\omega}}^{[u,v]}(T(r))),$$

which is given as  $\gamma_0 - 1$  in degree 0 and as

$$\tau_0^k : (\pi^k \text{Fil}^{-k} N_{\overline{\omega}}^{[u,v]}(T(r)))^{I'_k} \rightarrow (\pi^{k+1} \text{Fil}^{-k-1} N_{\overline{\omega}}^{[u,v]}(T(r)))^{I'_k}$$

on the  $k$ -th term of the definition above for  $1 \leq k \leq d$  (see Definitions 4.8 and 4.9). Denote the total complex of the diagram thus obtained by  $\mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r)))$ , which is a subcomplex of the Koszul complex  $\text{Kos}(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r)))$ . In a similar manner, we can define complexes  $\mathcal{K}(\Gamma'_S, N_{\overline{\omega}}^{[u,v/p]}(T(r)))$  and  $\mathcal{K}^c(\Gamma'_S, \pi N_{\overline{\omega}}^{[u,v/p]}(T(r)))$  and a map  $\tau_0$  from the former to the latter complex.

Recall that  $t/\pi$  is a unit in  $A_{R,\overline{\omega}}^{[u,v]}$  (see Lemma 2.18); therefore, we see that

$$t^k \text{Fil}^{-k} N_{\overline{\omega}}^{[u,v]}(T(r)) = \pi^k \text{Fil}^{-k} N_{\overline{\omega}}^{[u,v]}(T(r)) \quad \text{for all } k \in \mathbb{Z}.$$

Now, define a morphism of complexes

$$\beta : \mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r))) \rightarrow \mathcal{K}(\text{Lie } \Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{[u,v]}(T(r))),$$

which is the identity in degree 0 and given as

$$\begin{aligned} \beta_k : (t^k \text{Fil}^{-k} N_{\overline{\omega}}^{[u,v]}(T(r)))^{I'_k} &\rightarrow (t^k \text{Fil}^{-k} N_{\overline{\omega}}^{[u,v]}(T(r)))^{I'_k} \\ (a_{i_1 \dots i_k}) &\mapsto (\nabla_{i_k} \cdots \nabla_{i_1} \tau_{i_1}^{-1} \cdots \tau_{i_k}^{-1}(a_{i_1 \dots i_k})) \end{aligned}$$

on the  $k$ -th term of the definition above for  $1 \leq k \leq d$ . Similarly, define a morphism of complexes

$$\beta^c : \mathcal{K}^c(\Gamma'_S, t \text{Fil}^{-1} N_{\overline{\omega}}^{[u,v]}(T(r))) \rightarrow \mathcal{K}^c(\text{Lie } \Gamma'_S, t \text{Fil}^{-1} N_{\overline{\omega}}^{[u,v]}(T(r))),$$

which is given as  $\beta_0^c = \nabla_0 \tau_0^{-1}$  in degree 0 and as

$$\begin{aligned} \beta_k^c : (t^{k+1} \text{Fil}^{-k-1} N_{\overline{\omega}}^{[u,v]}(T(r)))^{I'_k} &\rightarrow (t^{k+1} \text{Fil}^{-k-1} N_{\overline{\omega}}^{[u,v]}(T(r)))^{I'_k} \\ (a_{i_1 \dots i_k}) &\mapsto (\nabla_{i_k} \cdots \nabla_{i_1} \nabla_0 \tau_0^{-1} \tau_{i_1}^{c,-1} \cdots \tau_{i_k}^{c,-1}(a_{i_1 \dots i_k})) \end{aligned}$$

on the  $k$ -th term of the definition above for  $1 \leq k \leq d$ . Similarly, one can define the maps  $\beta$  and  $\beta^c$  for the  $A_{R,\varpi}^{[u,v/p]}$ -module  $N_{\varpi}^{[u,v/p]}$ , giving morphisms of complexes

$$\begin{aligned}\beta &: \mathcal{K}(\Gamma'_S, N_{\varpi}^{[u,v/p]}(T(r))) \rightarrow \mathcal{K}(\text{Lie } \Gamma'_S, N_{\varpi}^{[u,v/p]}(T(r))), \\ \beta^c &: \mathcal{K}^c(\Gamma'_S, tN_{\varpi}^{[u,v/p]}(T(r))) \rightarrow \mathcal{K}^c(\text{Lie } \Gamma'_S, tN_{\varpi}^{[u,v/p]}(T(r))).\end{aligned}$$

For each  $j \in \mathbb{N}$ , we have that  $t^j \text{Fil}^{-j} N_{\varpi}^{[u,v]}(T(r)) \subset N_{\varpi}^{[u,v]}(T(r))$ , and the induced Frobenius gives

$$\varphi(t^j \text{Fil}^{-j} N_{\varpi}^{[u,v]}(T(r))) = \varphi(t^{j-r} \text{Fil}^{-j} N_{\varpi}^{[u,v]}(T(r))) \subset t^j N_{\varpi}^{[u,v/p]}(T(r)),$$

where we have used [Lemma 3.20](#) and the fact that  $t/\pi$  is a unit in  $A_{R,\varpi}^{[u,v]}$ ; see [Lemma 2.18](#). Using the Frobenius morphism and the morphism of complexes described above, we obtain an induced morphism of complexes

$$\left[ \begin{array}{ccc} \mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\varpi}^{[u,v]}(T(r))) & \xrightarrow{1-\varphi} & \mathcal{K}(\Gamma'_S, N_{\varpi}^{[u,v/p]}(T(r))) \\ \downarrow \tau_0 & & \downarrow \tau_0 \\ \mathcal{K}^c(\Gamma'_S, t \text{Fil}^{-1} N_{\varpi}^{[u,v]}(T(r))) & \xrightarrow{1-\varphi} & \mathcal{K}^c(\Gamma'_S, tN_{\varpi}^{[u,v/p]}(T(r))) \end{array} \right] \xrightarrow{(\beta, \beta^c)} \mathcal{K}(\varphi, \text{Lie } \Gamma_S, N_{\varpi}^{[u,v]}(T(r))).$$

We denote the complex on the left by  $\mathcal{K}(\varphi, \Gamma_S, N_{\varpi}^{[u,v]}(T(r)))$  and write the map as

$$\mathcal{L} = (\beta, \beta^c) : \mathcal{K}(\varphi, \Gamma_S, N_{\varpi}^{[u,v]}(T(r))) \rightarrow \mathcal{K}(\varphi, \text{Lie } \Gamma_S, N_{\varpi}^{[u,v]}(T(r))).$$

**Proposition 6.5.** *The morphism of complexes  $\mathcal{L}$  described above is an isomorphism.*

*Proof.* The proof follows in essentially the same manner as [[Colmez and Nizioł 2017](#), Lemma 4.6]. One needs to use Lemmas [2.22](#), [4.14](#) and [5.19](#) instead of [[Colmez and Nizioł 2017](#), Lemma 2.34] in the proof. We omit the details.  $\square$

**6.4. Change of the annulus of convergence: Part I.** In this subsection, we will pass from the analytic ring  $A_{R,\varpi}^{[u,v]}$  to the overconvergent ring  $A_{R,\varpi}^{(0,v]^+}$  and also twist our module by  $\mathbb{Z}_p(r)$ . Let us start by setting  $N_{\varpi}^{(0,v]^+}(T(r)) := A_{R,\varpi}^{(0,v]^+} \otimes_{A_R^+} N(T(r))$  and equipping it with the natural action of  $\Gamma_R$  and a  $\Gamma_R$ -stable filtration as in [\(3-10\)](#). Define a subcomplex of the Koszul complex  $\text{Kos}(\Gamma'_S, \text{Fil}^0 N_{\varpi}^{(0,v]^+}(T(r)))$  (see [Definition 4.9](#)) as follows:

$$\begin{aligned}\mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\varpi}^{(0,v]^+}(T(r))) \\ := \text{Fil}^0 N_{\varpi}^{(0,v]^+}(T(r)) \xrightarrow{(\tau_i)} (\pi \text{Fil}^{-1} N_{\varpi}^{(0,v]^+}(T(r)))^{I'_1} \rightarrow (\pi^2 \text{Fil}^{-2} N_{\varpi}^{(0,v]^+}(T(r)))^{I'_2} \rightarrow \dots\end{aligned}$$

Similarly, we can define a complex  $\mathcal{K}^c(\Gamma'_S, \pi \text{Fil}^{-1} N_{\varpi}^{(0,v]^+}(T(r)))$  as a subcomplex of the Koszul complex  $\text{Kos}^c(\Gamma'_S, \text{Fil}^0 N_{\varpi}^{(0,v]^+}(T(r)))$ ; see [Definition 4.9](#). Now, consider a morphism of complexes

$$\tau_0 : \mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\varpi}^{(0,v]^+}(T(r))) \rightarrow \mathcal{K}^c(\Gamma'_S, \pi \text{Fil}^{-1} N_{\varpi}^{(0,v]^+}(T(r))),$$

which is given as  $\gamma_0 - 1$  in degree 0 and as

$$\tau_0^k : (\pi^k \text{Fil}^{-k} N_{\varpi}^{(0,v]^+}(T(r)))^{I'_2} \rightarrow (\pi^k \text{Fil}^{-k-1} N_{\varpi}^{(0,v]^+}(T(r)))^{I'_2}$$

on the  $k$ -th term of the definition above for  $1 \leq k \leq d$  (see Definitions 4.8 and 4.9). Write the total complex of the diagram thus obtained as  $\mathcal{K}(\Gamma_S, \text{Fil}^0 N_{\overline{\omega}}^{(0,v]^{+}}(T(r)))$ , a subcomplex of the Koszul complex  $\text{Kos}(\Gamma_S, \text{Fil}^0 N_{\overline{\omega}}^{(0,v]^{+}}(T(r)))$ . In a similar manner, we can define the complexes  $\mathcal{K}(\Gamma'_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))$  and  $\mathcal{K}^c(\Gamma'_S, \pi N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))$  and a map  $\tau_0$  from the former to the latter complex.

For each  $j \in \mathbb{N}$ , we have that  $\pi^j \text{Fil}^{-j} N_{\overline{\omega}}^{(0,v]^{+}}(T(r)) \subset N_{\overline{\omega}}^{(0,v]^{+}}(T(r))$ , and the induced Frobenius gives

$$\varphi(\pi^j \text{Fil}^{-j} N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) = \varphi(\pi^{j-r} \text{Fil}^{r-j} N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) \subset \pi^j N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)),$$

where the equality follows from Lemma 3.20. So we define the complex

$$\mathcal{K}(\varphi, \Gamma_S, N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) := \left[ \begin{array}{ccc} \mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) & \xrightarrow{1-\varphi} & \mathcal{K}(\Gamma'_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) \\ \downarrow \tau_0 & & \downarrow \tau_0 \\ \mathcal{K}^c(\Gamma'_S, \pi \text{Fil}^{-1} N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) & \xrightarrow{1-\varphi} & \mathcal{K}^c(\Gamma'_S, \pi N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) \end{array} \right].$$

**Proposition 6.6.** *The natural morphism of complexes*

$$\mathcal{K}(\varphi, \Gamma_S, N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) \rightarrow \mathcal{K}(\varphi, \Gamma_S, N_{\overline{\omega}}^{[u,v]}(T(r))),$$

induced by the inclusion  $N_{\overline{\omega}}^{(0,v]^{+}}(T(r)) \subset N_{\overline{\omega}}^{[u,v]}(T(r))$ , is a  $p^{3r}$ -quasi-isomorphism.

*Proof.* The map in the claim is injective on each term, so we need to show that the cokernel complex is killed by  $p^{3r}$ . In the cokernel complex, for  $k \in \mathbb{N}$ , we have maps

$$1 - \varphi : \pi^k \text{Fil}^{-k} N_{\overline{\omega}}^{[u,v]}(T(r)) / \pi^k \text{Fil}^{-k} N_{\overline{\omega}}^{(0,v]^{+}}(T(r)) \rightarrow \pi^k N_{\overline{\omega}}^{[u,v/p]}(T(r)) / \pi^k N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)), \quad (6-1)$$

and it is enough to show that these are  $p^{3r}$ -bijective. Let us set

$$\begin{aligned} N_{\overline{\omega}}^{(0,v]^{+}}(T) &:= \mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}} \otimes_{A_R^+} \mathbf{N}(T), \\ N_{\overline{\omega}}^{(0,v]^{+}}(T)(r) &:= N_{\overline{\omega}}^{(0,v]^{+}}(T) \otimes_{\mathbb{Z}_p} \mathbb{Z}_p(r), \\ N_{\overline{\omega}}^{[u,v]}(T)(r) &:= N_{\overline{\omega}}^{[u,v]}(T) \otimes_{\mathbb{Z}_p} \mathbb{Z}_p(r), \end{aligned}$$

equipped with the filtration as in (3-5) (up to twisting the filtered pieces by  $\mathbb{Z}_p(r)$  in the latter cases). Moreover, for any  $k \in \mathbb{N}$ , by Lemma 3.20, we have that

$$\begin{aligned} \pi^k \text{Fil}^{-k} N_{\overline{\omega}}^{(0,v]^{+}}(T(r)) &= \pi^{k-r} \text{Fil}^{r-k} N_{\overline{\omega}}^{(0,v]^{+}}(T)(r), \\ \pi^k \text{Fil}^{-k} N_{\overline{\omega}}^{[u,v]}(T(r)) &= \pi^{k-r} \text{Fil}^{r-k} N_{\overline{\omega}}^{[u,v]}(T)(r). \end{aligned}$$

So, for  $n = r - k$ , we can rewrite (6-1) as

$$1 - \varphi : \pi^{-n} \text{Fil}^n N_{\overline{\omega}}^{[u,v]}(T) / \pi^{-n} \text{Fil}^n N_{\overline{\omega}}^{(0,v]^{+}}(T) \rightarrow \pi^{-n} N_{\overline{\omega}}^{[u,v/p]}(T) / \pi^{-n} N_{\overline{\omega}}^{(0,v/p]^{+}}(T). \quad (6-2)$$

Note that the twist has disappeared since  $\varphi$  acts trivially on it. For  $n \leq 0$ , the claim follows from Lemma 6.7. For  $n > 0$ , we first claim that the following natural map is  $p^n$ -bijective:

$$\pi_1^{-n} N_{\overline{\omega}}^{[u,v]}(T) / \pi_1^{-n} N_{\overline{\omega}}^{(0,v]^{+}}(T) \rightarrow \pi^{-n} \text{Fil}^n N_{\overline{\omega}}^{[u,v]}(T) / \pi^{-n} \text{Fil}^n N_{\overline{\omega}}^{(0,v]^{+}}(T). \quad (6-3)$$

Indeed, recall that  $\xi = \pi/\pi_1$  and, from (3-5) and Lemma 3.18, it is clear that

$$\xi^n N_{\overline{\omega}}^{(0,v]^{+}}(T) \subset \text{Fil}^n N_{\overline{\omega}}^{(0,v]^{+}}(T);$$

in particular, we have

$$N_{\overline{\omega}}^{(0,v]^{+}}(T) \subset N_{\overline{\omega}}^{[u,v]}(T) \cap \xi^{-n} \text{Fil}^n N_{\overline{\omega}}^{(0,v]^{+}}(T) = (\mathbf{A}_{R,\overline{\omega}}^{[u,v]} \cap \xi^{-n} \mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}}) \otimes_{\mathbf{A}_R^+} N(T) = N_{\overline{\omega}}^{(0,v]^{+}}(T),$$

where the first equality follows because  $N(T)$  is free over  $\mathbf{A}_R^+$  and the second equality follows because

$$\xi^n \mathbf{A}_{R,\overline{\omega}}^{[u,v]} \cap \mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}} \subset \text{Fil}^n \mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}} = \xi^n \mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}}$$

(see Definition 2.7 and Remark 2.8). In particular, we see that

$$\pi_1^{-n} N_{\overline{\omega}}^{[u,v]}(T) \cap \pi^{-n} \text{Fil}^n N_{\overline{\omega}}^{(0,v]^{+}}(T) = \pi_1^{-n} N_{\overline{\omega}}^{(0,v]^{+}}(T);$$

i.e., (6-3) is injective. Next, to show the  $p^n$ -surjectivity of (6-3), write  $\mathbf{A}_{R,\overline{\omega}}^{[u,v]} = \mathbf{A}_{R,\overline{\omega}}^{[u]} + \mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}}$  and set

$$N_{\overline{\omega}}^{[u]}(T) := \mathbf{A}_{R,\overline{\omega}}^{[u]} \otimes_{\mathbf{A}_R^+} N(T) \quad \text{and} \quad N_{\overline{\omega}}^+(T) := \mathbf{A}_{R,\overline{\omega}}^+ \otimes_{\mathbf{A}_R^+} N(T)$$

equipped with the induced filtration as in (3-5). Then, to obtain the  $p^n$ -surjectivity of (6-3), it is enough to show that the natural map

$$\pi_1^{-n} N_{\overline{\omega}}^{[u]}(T) + \pi^{-n} \text{Fil}^n N_{\overline{\omega}}^+(T) \rightarrow \pi^{-n} \text{Fil}^n N_{\overline{\omega}}^{[u]}(T)$$

is  $p^n$ -surjective, or equivalently, that the natural map

$$\xi^n N_{\overline{\omega}}^{[u]}(T) + \text{Fil}^n N_{\overline{\omega}}^+(T) \rightarrow \text{Fil}^n N_{\overline{\omega}}^{[u]}(T)$$

is  $p^n$ -surjective. To show the latter claim, let  $\{e_1, \dots, e_h\}$  be an  $\mathbf{A}_R^+$ -basis of  $N(T)$ , take  $x \in \text{Fil}^n N_{\overline{\omega}}^{[u]}(T)$  and write  $x = \sum_{i=1}^h a_i e_i$ , with  $a_i \in \mathbf{A}_{R,\overline{\omega}}^{[u]}$ . Note that from Lemma 2.9 we can write  $a_i = a_{i1} + a_{i2}$ , with  $a_{i1} \in \text{Fil}^n \mathbf{A}_{R,\overline{\omega}}^{[u]} \subset p^{-n} \xi^n \mathbf{A}_{R,\overline{\omega}}^{[u]}$  (see Remark 2.8) and  $a_{i2} \in p^{-\lfloor nu \rfloor} \mathbf{A}_{R,\overline{\omega}}^+$ . So we see that

$$x_1 = \sum_{i=1}^h a_{i1} e_i \in p^{-n} \xi^n N_{\overline{\omega}}^{[u]}(T),$$

$$x_2 = \sum_{i=1}^h a_{i2} e_i = x - x_1 \in p^{-\lfloor nu \rfloor} N_{\overline{\omega}}^+(T) \cap \text{Fil}^n N_{\overline{\omega}}^{[u]}(T) \subset N_{\overline{\omega}}^{[u]}(T)[1/p].$$

Now, as we have  $u = (p-1)/p < 1$ , it follows that  $p^n x_2$  is in  $N_{\overline{\omega}}^+(T) \cap \text{Fil}^n N_{\overline{\omega}}^{[u]}(T) = \text{Fil}^n N_{\overline{\omega}}^+(T)$  (see Lemma 3.17); i.e.,  $p^n x = p^n x_1 + p^n x_2$  is in  $\xi^n N_{\overline{\omega}}^{[u]}(T) + \text{Fil}^n N_{\overline{\omega}}^+(T)$ . In particular, we get that (6-3) is  $p^n$ -bijective, and therefore (6-2) is  $p^n$ -isomorphic to

$$1 - \varphi : \pi_1^{-n} N_{\overline{\omega}}^{[u,v]}(T) / \pi_1^{-n} N_{\overline{\omega}}^{(0,v]^{+}}(T) \rightarrow \pi^{-n} N_{\overline{\omega}}^{[u,v/p]}(T) / \pi^{-n} N_{\overline{\omega}}^{(0,v/p]^{+}}(T).$$

Recall that we have  $v = p-1$ , so by Lemma 2.20 (iii) it follows that  $\pi$  divides  $p$  in  $\mathbf{A}_{R,\overline{\omega}}^{(0,v/p]^{+}}$  and  $\pi_1$  divides  $p$  in  $\mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}}$ ; therefore, (6-2) is  $p^{2n}$ -isomorphic to the map

$$1 - \varphi : N_{\overline{\omega}}^{[u,v]}(T) / N_{\overline{\omega}}^{(0,v]^{+}}(T) \rightarrow N_{\overline{\omega}}^{[u,v/p]}(T) / N_{\overline{\omega}}^{(0,v/p]^{+}}(T).$$

Now, from [Lemma 6.7](#), the map above is bijective (note that Frobenius has no effect on twist). Therefore, we conclude that (6-1) is  $p^{3n}$ -bijective. As  $n = r - k \leq r$ , it follows that the cokernel complex of the map in the claim of the lemma is killed by  $p^{3r}$ . This allows us to conclude.  $\square$

**Lemma 6.7.** *For each  $k \in \mathbb{N}$ , the following natural map is bijective:*

$$1 - \varphi : \pi^k N_{\overline{\omega}}^{[u,v]}(T) / \pi^k N_{\overline{\omega}}^{(0,v]^{+}}(T) \xrightarrow{\sim} \pi^k N_{\overline{\omega}}^{[u,v/p]}(T) / \pi^k N_{\overline{\omega}}^{(0,v/p]^{+}}(T),$$

*Proof.* For  $k = 0$ , using a basis of  $N(T)$ , one first shows that the natural map

$$N_{\overline{\omega}}^{[u,v]}(T) / N_{\overline{\omega}}^{(0,v]^{+}}(T) \rightarrow N_{\overline{\omega}}^{[u,v/p]}(T) / N_{\overline{\omega}}^{(0,v/p]^{+}}(T)$$

is bijective; in particular,  $1 - \varphi$  is an endomorphism of  $N_{\overline{\omega}}^{[u,v]}(T) / N_{\overline{\omega}}^{(0,v]^{+}}(T)$ . Then, following the strategy of [[Colmez and Nizioł 2017](#), Lemma 4.8], one shows that, on the preceding quotient,  $1 + \varphi + \varphi^2 + \dots$  converges as an inverse to  $1 - \varphi$ . We omit the details. For  $k > 0$ , note that  $\varphi$  preserves the quotient  $\pi^k N_{\overline{\omega}}^{[u,v]}(T) / \pi^k N_{\overline{\omega}}^{(0,v]^{+}}(T)$ . So, from the case  $k = 0$ , it follows that  $1 + \varphi + \varphi^2 + \dots$  converges on the preceding quotient as well.  $\square$

**6.5. Change of the annulus of convergence: Part II.** In this subsection, we will change the ring of coefficients from  $\mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}}$  to  $\mathbf{A}_{R,\overline{\omega}}^{(0,v/p]^{+}}$  by replacing  $\varphi$  with its left inverse  $\psi$  (under the assumption that  $m \geq 2$ ).

**6.5.1. From  $(\varphi, \Gamma_S)$ -complexes to  $(\psi, \Gamma_S)$ -complexes.** From [Proposition 2.4](#), recall that we have the left inverse  $\psi$  of the Frobenius endomorphism on  $\mathbf{A}$ , satisfying  $\psi(\mathbf{A}) \subset \mathbf{A}$ . This induces an operator

$$\psi : \mathbf{A}_{R,\overline{\omega}}^{(0,v/p]^{+}} \rightarrow \mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}},$$

which commutes with the action of  $\Gamma_R$ ; in particular, we have  $\psi(\mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}}) \subset \mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}}$ . Equivalently, one can also define the operator  $\psi$  by first identifying

$$\iota_{\text{cycl}} : R_{\overline{\omega}}^{(0,v/p]^{+}} \xrightarrow{\sim} \mathbf{A}_{R,\overline{\omega}}^{(0,v/p]^{+}}$$

and then considering the left inverse of the cyclotomic Frobenius over  $R_{\overline{\omega}}^{(0,v/p]^{+}}$ ; see [Sections 2.6 and 2.7](#).

Next, from [Lemma 3.5](#), recall that the operator  $\psi$  extends to  $N(T(r))$  and we have  $\psi(N(T(r))) \subset N(T(r))$ . By extending scalars to  $\mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}}$  and from the discussion above, we see that

$$\psi(N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) \subset \psi(N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) \subset N_{\overline{\omega}}^{(0,v]^{+}}(T(r)).$$

Moreover, using the description of the filtration on  $N_{\overline{\omega}}^{(0,v]^{+}}(T)$  from [Lemma 3.18](#), it follows that, for  $0 \leq k \leq r$ , we have

$$\varphi(\text{Fil}^{r-k} N_{\overline{\omega}}^{(0,v]^{+}}(T)) \subset q^{r-k} N_{\overline{\omega}}^{(0,v/p]^{+}}(T).$$

Upon multiplying the terms of the preceding inclusion by  $\varphi(\pi^{k-r})$  and twisting by  $\mathbb{Z}_p(r)$ , we get

$$\varphi(\pi^{k-r} \text{Fil}^{r-k} N_{\overline{\omega}}^{(0,v]^{+}}(T)(r)) \subset \pi^{k-r} N_{\overline{\omega}}^{(0,v/p]^{+}}(T)(r).$$

In particular, by using [Lemma 3.20](#), we note that  $\pi^k \text{Fil}^{-k} N_{\overline{\omega}}^{(0,v]^{+}}(T(r)) \subset \psi(\pi^k N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))$ , and since  $\text{Fil}^{-k} N_{\overline{\omega}}^{(0,v]^{+}}(T(r)) \subset N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))$ , it follows that

$$(\psi - 1)(\pi^k \text{Fil}^{-k} N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) \subset \psi(\pi^k N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))).$$

Set

$$\begin{aligned} \mathcal{K}(\Gamma'_S, N_{\psi}) &:= \psi(\mathcal{K}(\Gamma'_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))) , \\ \mathcal{K}^c(\Gamma'_S, N_{\psi}) &:= \psi(\mathcal{K}^c(\Gamma'_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))) . \end{aligned}$$

From [Section 6.4](#), recall that we defined maps

$$\begin{aligned} \tau_0 &: \mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) \rightarrow \mathcal{K}^c(\Gamma'_S, \pi \text{Fil}^{-1} N_{\overline{\omega}}^{(0,v]^{+}}(T(r))), \\ \tau_0 &: \psi(\mathcal{K}(\Gamma'_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))) \rightarrow \psi(\mathcal{K}^c(\Gamma'_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))) . \end{aligned}$$

As  $\psi$  commutes with the action of  $\Gamma_S$ , from the latter map, we therefore obtain an induced morphism  $\tau_0 : \mathcal{K}(\Gamma'_S, N_{\psi}) \rightarrow \mathcal{K}^c(\Gamma'_S, N_{\psi})$ . Now, using the discussion above, note that we have a well-defined map between source complexes of the maps  $\tau_0$  above, given as  $\psi - 1 : \mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) \rightarrow \mathcal{K}(\Gamma'_S, N_{\psi})$ , and similarly for the target complexes of  $\tau_0$ . Therefore, similar to the complex  $\mathcal{K}(\varphi, \Gamma_S, N_{\overline{\omega}}^{(0,v]^{+}}(T(r)))$  in [Section 6.4](#), we define the following complex:

$$\mathcal{K}(\psi, \Gamma_S, N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) := \begin{bmatrix} \mathcal{K}(\Gamma'_S, \text{Fil}^0 N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) & \xrightarrow{\psi-1} & \mathcal{K}(\Gamma'_S, N_{\psi}) \\ \downarrow \tau_0 & & \downarrow \tau_0 \\ \mathcal{K}^c(\Gamma'_S, \pi \text{Fil}^{-1} N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) & \xrightarrow{\psi-1} & \mathcal{K}^c(\Gamma'_S, N_{\psi}) \end{bmatrix} .$$

**Proposition 6.8.** *The morphism*

$$\tau_{\leq r} \mathcal{K}(\varphi, \Gamma_S, N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) \rightarrow \tau_{\leq r} \mathcal{K}(\psi, \Gamma_S, N_{\overline{\omega}}^{(0,v]^{+}}(T(r))),$$

*induced by the identity in the first column and  $\psi$  in the second column, is a  $p^{r+2}$ -quasi-isomorphism.*

*Proof.* By definition, note that the map is surjective on each term, so we need to show that the kernel complex is  $p^{r+2}$ -acyclic. Since the map in the claim is the identity on the first column, the kernel complex can be written as

$$\tau_{\leq r} [\mathcal{K}(\Gamma'_S, (N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))^{\psi=0}) \xrightarrow{\tau_0} \mathcal{K}^c(\Gamma'_S, (\pi N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))^{\psi=0})].$$

Clearly the terms of the complex above are  $\varphi(\mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}})$ -modules. We recall that  $p/\pi \in \varphi(\mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}})$  (since  $\pi_1$  divides  $p$  in  $\mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}}$ , see also [Lemma 2.20](#) (ii) for  $v = p - 1$ ), so we obtain that  $(\pi^k N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))^{\psi=0}$  is  $p^{r-k}$ -isomorphic to  $(N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))^{\psi=0}$  for  $k \leq r$ . In particular, the complex above is  $p^r$ -quasi-isomorphic to the complex

$$\tau_{\leq r} [\mathbf{Kos}(\Gamma'_S, (N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))^{\psi=0}) \xrightarrow{\tau_0} \mathbf{Kos}^c(\Gamma'_S, (N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))^{\psi=0})]. \quad (6-4)$$

We will show that the complex in (6-4) is  $p^2$ -acyclic, but to prove our claim we will need a simpler description of the  $\varphi(\mathbf{A}_{R,\overline{\omega}}^{(0,v]^{+}})$ -module  $(N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))^{\psi=0}$ .

Let  $\{e_1, \dots, e_h\}$  denote an  $A_R^+$ -basis of  $N(T)$ . Since the attached  $(\varphi, \Gamma_S)$ -module

$$D_{\varpi}(T) = A_{R, \varpi} \otimes_{A_R} D(T)$$

over  $A_{R, \varpi}$  is étale, we see that  $\{\varphi(e_1), \dots, \varphi(e_h)\}$  is an  $A_{R, \varpi}$ -basis of  $D_{\varpi}(T)$ . Now, let us note that  $z = \sum_{j=1}^h z_j \varphi(e_j)$  is in  $D_{\varpi}(T)^{\psi=0}$  if and only if  $z_j \in (A_{R, \varpi})^{\psi=0}$  for each  $1 \leq j \leq h$ . Indeed,  $\psi(z) = 0$  if and only if  $\sum_{j=1}^h \psi(z_j) e_j = 0$ , and since the  $e_j$  are linearly independent over  $A_{R, \varpi}$ , we see that  $\psi(z) = 0$  if and only if  $\psi(z_j) = 0$  for all  $1 \leq j \leq h$ . Next, using [Lemma 2.15](#) (ii), note that we have a decomposition  $A_{R, \varpi}^{\psi=0} = \bigoplus_{\alpha \neq 0} \varphi(A_{R, \varpi})[X^b]^\alpha$ , where  $[X^b]^\alpha = (1 + \pi_m)^{\alpha_0} [X_1^b]^{\alpha_1} \dots [X_d^b]^{\alpha_d}$  and  $\alpha = (\alpha_0, \dots, \alpha_d)$  is a  $(d+1)$ -tuple with  $\alpha_i \in \{0, \dots, p-1\}$ . Therefore, we see that

$$D_{\varpi}(T)^{\psi=0} = \left( \sum_{j=1}^h A_{R, \varpi} \varphi(e_j) \right)^{\psi=0} = \bigoplus_{\alpha \neq 0} \sum_{j=1}^h \varphi(A_{R, \varpi} e_j) [X^b]^\alpha = \bigoplus_{\alpha \neq 0} \varphi(D_{\varpi}(T)) [X^b]^\alpha.$$

Note that inside  $D_{\varpi}(T)$  we have  $(N_{\varpi}^{(0, v/p]^+}(T))^{\psi=0} = D_{\varpi}(T)^{\psi=0} \cap N_{\varpi}^{(0, v/p]^+}(T)$ . Using the decomposition above, we set  $N[X^b]^\alpha := \varphi(D_{\varpi}(T)) [X^b]^\alpha \cap N_{\varpi}^{(0, v/p]^+}(T)$  for  $\alpha \neq 0$ , where the intersection is taken inside  $D_{\varpi}(T)^{\psi=0}$ . Note that  $\varphi(A_{R, \varpi}^{(0, v]^+}) \subset \varphi(A_{R, \varpi}) \cap A_{R, \varpi}^{(0, v/p]^+}$ . Therefore, it follows that  $N := N[X^b]^\alpha [X^b]^{-\alpha}$  is a  $\varphi(A_{R, \varpi}^{(0, v]^+})$ -module contained in  $N_{\varpi}^{(0, v/p]^+}(T)$ , stable under the action of  $\Gamma_S$  and independent of  $\alpha$ . Indeed, for the last part note that, for  $\alpha \neq \alpha'$ , we have  $\sum_{i=1}^h \varphi(x_i e_i) [X^b]^\alpha \in N[X^b]^\alpha$  if and only if  $\sum_{i=1}^h \varphi(x_i e_i) [X^b]^{\alpha'} \in N[X^b]^{\alpha'}$ . In conclusion, we get the equalities

$$(N_{\varpi}^{(0, v/p]^+}(T))^{\psi=0} = \bigoplus_{\alpha \neq 0} N[X^b]^\alpha = \bigoplus_{\alpha \neq 0} \varphi(N_{\varpi}^{(0, v]^+}) [X^b]^\alpha,$$

where the last equality is a result of the following.

**Lemma 6.9.** *For  $v = p - 1$ , let  $x \in D_{\varpi}(T)$  such that  $\varphi(x) \in N_{\varpi}^{(0, v/p]^+}(T)$ . Then  $x \in N_{\varpi}^{(0, v]^+}(T)$ . In particular, we have  $N = \varphi(N_{\varpi}^{(0, v]^+}(T))$ .*

*Proof.* Let  $N_{\varpi}^+(T) = A_{R, \varpi}^+ \otimes_{A_R^+} N(T)$ , and note that

$$D_{\varpi}(T)/p = (N_{\varpi}^+(T)/p)[1/\pi_m] \quad \text{and} \quad N_{\varpi}^{(0, v]^+}(T) = \sum_{n \in \mathbb{N}} p^n \pi_m^{-[ne/v]} N_{\varpi}^+(T)$$

(since  $N(T)$  is finite free over  $A_R^+$ ). Then the proof of [[Colmez and Nizioł 2017](#), Lemma 2.14] can easily be adapted to obtain the claim. We omit the details.  $\square$

**Remark 6.10.** From [Lemma 6.9](#), we have  $N = \varphi(N_{\varpi}^{(0, v]^+}(T))$ . Then, for any  $i \in \{0, \dots, d\}$ , using [Lemma 2.22](#) (i), note that  $(\gamma_i - 1)A_{R, \varpi}^{(0, v]^+} \subset \pi A_{R, \varpi}^{(0, v]^+}$  and, from [Definition 3.1](#), note that  $(\gamma_i - 1)N(T) \subset \pi N(T)$ . Since  $\varphi$  commutes with the action of  $\Gamma_S$ , we conclude that  $(\gamma_i - 1)N \subset \varphi(\pi)N$ .

From the discussion above, it follows that the complex in (6-4) is isomorphic to the complex

$$\tau_{\leq r} \bigoplus_{\alpha \neq 0} [\text{Kos}(\Gamma'_S, N(r)[X^b]^\alpha)] \xrightarrow{-\tau_0} \text{Kos}^c(\Gamma'_S, N(r)[X^b]^\alpha). \quad (6-5)$$

**Lemma 6.11.** *The complex described in (6-5) is  $p^2$ -acyclic.*

*Proof.* Our proof is motivated by the proof of [Colmez and Nizioł 2017, Lemma 4.10]. One can treat the terms of (6-5) corresponding to each  $\alpha$  separately. The case of  $\alpha_k \neq 0$ , for some  $k \neq 0$ , follows similar to the proof of [Colmez and Nizioł 2017, Lemma 4.10], where one shows that both the complexes  $\text{Kos}(\Gamma'_S, N(r)[X^b]^\alpha)$  and  $\text{Kos}^c(\Gamma'_S, N(r)[X^b]^\alpha)$  are  $p$ -acyclic by using the facts that  $(\gamma_k - 1)N \subset \varphi(\pi)N$  (see Remark 6.10) and  $\pi$  divides  $p$  in  $\varphi(A_{R,\varpi}^{(0,v]^+})$  (since  $\pi_1$  divides  $p$  in  $A_{R,\varpi}^{(0,v]^+}$ , see Lemma 2.20 (ii) for  $v = p - 1$ ). We omit the details.

Now, let  $\alpha_k = 0$  for all  $k \neq 0$  and  $\alpha_0 \neq 0$ . To prove that the complex in (6-5) is  $p$ -acyclic, we will show that  $\tau_0 : \text{Kos} \rightarrow \text{Kos}^c$  is injective and the cokernel complex is killed by  $p$ . This amounts to showing the same statement for the map

$$\gamma_0 - \delta_{i_1} \cdots \delta_{i_q} : N[X^b]^\alpha(r) \rightarrow N[X^b]^\alpha(r), \quad \delta_{i_j} = \frac{\gamma_{i_j}^c - 1}{\gamma_{i_j} - 1}. \quad (6-6)$$

Let  $n = p^{-m}(c - 1)\alpha_0 \in \mathbb{Z}_p^\times$ ,  $F = c^r(1 + \pi)^n \gamma_0 - \delta_{i_1} \cdots \delta_{i_q}$  and  $\epsilon^{\otimes r}$  be a  $\mathbb{Z}_p$ -basis of  $\mathbb{Z}_p(r)$ . Then

$$(\gamma_0 - \delta_{i_1} \cdots \delta_{i_q})(x[X^b]^\alpha \otimes \epsilon^{\otimes r}) = F(x) \cdot [X^b]^\alpha \otimes \epsilon^{\otimes r}$$

for any  $x \in N$ . Moreover, we have that  $c^r - 1$  is divisible by  $p^m$ ,  $(1 + \pi)^n = 1 + n\pi \pmod{\pi^2}$  and  $\delta_{i_j} - 1 \in (\gamma_{i_j} - 1)\mathbb{Z}_p[[\gamma_{i_j} - 1]]$ . Therefore, we can write  $\pi^{-1}F$  in the form  $\pi^{-1}F = n + \pi^{-1}F'$ , with  $F' \in (p^m, \pi^2, \gamma_0 - 1, \dots, \gamma_d - 1)\mathbb{Z}_p[[\pi, \Gamma_S]]$ . Now, let  $f = p/\pi \in \varphi(A_{R,\varpi}^{(0,v]^+})$  and note that  $\pi^{-1}p^m x = \pi^{m-1}f^m x$  is in  $\pi^{m-1}N$ . Moreover, we have  $(\gamma_j - 1)N \subset \varphi(\pi)N$  for  $0 \leq j \leq d$  (see Remark 6.10) and  $\varphi(\pi)/\pi^2 \in \varphi(A_{R,\varpi}^{(0,v]^+})$  (since  $\pi_1$  divides  $p$  in  $A_{R,\varpi}^{(0,v]^+}$ , see Lemma 2.20 (ii) for  $v = p - 1$ ). Furthermore,  $\pi_m^{p^m}$  divides  $\pi$  and  $p$  in  $\varphi(A_{R,\varpi}^{(0,v]^+})$  (see Lemma 2.20 (ii) for  $v = p - 1$ ). So we get that  $\pi^{-1}F'(x) \in \pi_m^{p^m}N$  (since we assumed  $m \geq 2$ ). In particular, we see that  $\pi^{-1}F' = 0$  on  $\pi_m^a N / \pi_m^{a+b} N$  for all  $a \in \mathbb{N}$  and  $b = p^m$ . Hence  $\pi^{-1}F$  induces multiplication by  $n$  on  $\pi_m^a N / \pi_m^{a+b} N$  for all  $a \in \mathbb{N}$ , which implies that it is an isomorphism on  $N$ . From the preceding discussion, we conclude that the map in (6-6) is injective and its image is contained in  $\pi N[X^b]^\alpha(r)$ . But, as  $\pi$  divides  $p$  in  $\varphi(A_{R,\varpi}^{(0,v]^+})$ , we therefore get that the cokernel of (6-6) is killed by  $p$ , as claimed.  $\square$

Using Lemma 6.11, we conclude that the natural morphism of complexes in the claim of Proposition 6.8 is a  $p^{r+2}$ -quasi-isomorphism.  $\square$

**6.5.2. Changing the overconvergence radius.** Recall that  $m \geq 2$ , and let  $\ell = p^{m-1}$ . Then, we have the inclusions

$$\psi(\pi_m^{-\ell} A_{R,\varpi}^{(0,v]^+}) \subset \psi(\pi_m^{-\ell} A_{R,\varpi}^{(0,v/p]^+}) \subset \pi_m^{-p^{m-2}} A_{R,\varpi}^{(0,v]^+} \subset \pi_m^{-\ell} A_{R,\varpi}^{(0,v/p]^+}$$

from Proposition 2.17 (i). In other words,  $\pi_m^{-\ell} A_{R,\varpi}^{(0,v]^+}$  is stable under  $\psi$ . Set

$$D_{\varpi}^{(0,v]^+}(T(r)) := A_{R,\varpi}^{(0,v]^+} \otimes_{A_{\mathfrak{k}}^+} D^+(T(r)),$$

and note that it is stable under the action of  $\Gamma_S$ . Next, from Lemma 2.15, we have  $\psi(A_{R,\varpi}^{(0,v/p]^+}) = A_{R,\varpi}^{(0,v]^+}$ , and, for  $v = p - 1$ , using Lemma 2.20 (iii), we have that  $\pi_m^{-p^\ell} \pi$  is a unit in  $A_{R,\varpi}^{(0,v/p]^+}$ . Hence, utilising Proposition 2.17, it follows that  $\psi(\pi^{-r} A_{R,\varpi}^{(0,v/p]^+}) = \pi_1^{-r} A_{R,\varpi}^{(0,v]^+}$ , and therefore

$$\psi(\pi^{-r} D_{\varpi}^{(0,v/p]^+}(T(r))) \subset \pi_1^{-r} D_{\varpi}^{(0,v]^+}(T(r)).$$

Moreover, since  $\psi(N(T)) \subset \mathbf{D}^+(T)$ , from the discussion above, we see that

$$\psi(N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) \subset \psi(\pi^{-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) \subset \pi_1^{-r} D_{\overline{\omega}}^{(0,v]^{+}}(T(r)).$$

Furthermore, for  $k \in \mathbb{N}$  and  $k \leq r$ , it follows that we have  $\pi^k N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)) \subset \pi^{k-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))$  and

$$\psi(\pi^k N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) \subset \pi_1^{k-r} D_{\overline{\omega}}^{(0,v]^{+}}(T(r)) \subset \pi^{k-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)).$$

By replacing  $v$  by  $v/p$  in [Section 6.4](#), we define a complex  $\mathcal{K}(\Gamma'_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))$  as follows:

$$N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)) \xrightarrow{(\tau_i)} (\pi N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))^{I'_1} \rightarrow (\pi^2 N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))^{I'_2} \rightarrow \dots$$

Similarly, we define a complex  $\mathcal{K}^c(\Gamma'_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)))$  and a map  $\tau_0$  from the former to the latter complex. Note that, from the discussion above and the inclusion  $N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)) \subset \pi^{-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))$ , we have  $(\psi - 1)(\pi^k N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) \subset \pi^{-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))$ . So we define the complex

$$\mathcal{K}(\psi, \Gamma_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) := \left[ \begin{array}{ccc} \mathcal{K}(\Gamma'_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) & \xrightarrow{\psi-1} & \text{Kos}(\Gamma'_S, \pi^{-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) \\ \downarrow \tau_0 & & \downarrow \tau_0 \\ \mathcal{K}^c(\Gamma'_S, \pi N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) & \xrightarrow{\psi-1} & \text{Kos}^c(\Gamma'_S, \pi^{-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) \end{array} \right].$$

**Lemma 6.12.** *The morphism of complexes*

$$\tau_{\leq r} \mathcal{K}(\psi, \Gamma_S, N_{\overline{\omega}}^{(0,v]^{+}}(T(r))) \rightarrow \tau_{\leq r} \mathcal{K}(\psi, \Gamma_S, N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))),$$

*induced by the inclusions*

$$N_{\overline{\omega}}^{(0,v]^{+}}(T(r)) \subset N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)) \quad \text{and} \quad \psi(N_{\overline{\omega}}^{(0,v/p]^{+}}(T(r))) \subset \pi^{-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T(r)),$$

*is a  $p^{r+2s}$ -quasi-isomorphism.*

*Proof.* As the map in the claim is injective on each term, we need to show that the cokernel complex is killed by  $p^{r+2s}$ . For  $k \in \mathbb{N}$  and  $k \leq r$ , in the cokernel complex, we have maps

$$\psi - 1 : \pi^{k-r} N_{\overline{\omega}}^{(0,v/p]^{+}}(T) / \pi^{k-r} \text{Fil}^{r-k} N_{\overline{\omega}}^{(0,v]^{+}}(T) \rightarrow \pi^{-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T) / \psi(\pi^{k-r} N_{\overline{\omega}}^{(0,v/p]^{+}}(T)), \quad (6-7)$$

and to prove the claim it is enough to show that (6-7) is  $p^{r+2s}$ -bijective (the twist  $(r)$  has disappeared because  $\psi$  acts trivially on it). First, we will show the  $p^{r+s}$ -surjectivity. Recall that we have  $\pi^s \mathbf{D}^+(T) \subset N(T) \subset \mathbf{D}^+(T)$  (see [\[Abhinandan 2025, Corollary 4.11\]](#)), and, by extending scalars to  $\mathbf{A}_{R,\overline{\omega}}^{(0,v/p]^{+}}$  and dividing out by  $\pi^r$ , we see that  $\pi^{s-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T) \subset \pi^{-r} N_{\overline{\omega}}^{(0,v/p]^{+}}(T)$ . So, it follows that  $\pi^{-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T) / \pi^{k-r} N_{\overline{\omega}}^{(0,v/p]^{+}}(T)$  is killed by  $\pi^{k+s}$ , and, since  $\pi$  divides  $p$  in  $\mathbf{A}_{R,\overline{\omega}}^{(0,v/p]^{+}}$  (see [Lemma 2.20](#) for  $v = p - 1$ ), we get that the preceding quotient is killed by  $p^{k+s}$ . Note that the quotient  $\pi^{-r} D_{\overline{\omega}}^{(0,v/p]^{+}}(T) / \pi^{k-r} N_{\overline{\omega}}^{(0,v/p]^{+}}(T)$  surjects onto the cokernel of (6-7). Hence, for  $k \leq r$ , we see that the cokernel of (6-7) is killed by  $p^{r+s}$  (this also shows that the truncation in degree  $\leq r$  is necessary in order to bound the power of  $p$ ).

Next, to show the  $p^s$ -injectivity of (6-7), let  $x \in N_{\overline{\omega}}^{(0, v/p] +}(T)$  such that there is a  $y \in N_{\overline{\omega}}^{(0, v/p] +}(T)$  satisfying  $(\psi - 1)(\pi^{k-r}x) = \psi(\pi^{k-r}y)$  or, equivalently, we have that  $x = \xi^{r-k}\psi(x - y)$  belongs to  $\xi^{r-k}\psi(N_{\overline{\omega}}^{(0, v/p] +}(T))$ . Note that

$$\psi(N_{\overline{\omega}}^{(0, v/p] +}(T)) \subset \psi(D_{\overline{\omega}}^{(0, v/p] +}(T)) \subset D_{\overline{\omega}}^{(0, v] +},$$

so we see that  $\varphi(x) \in D_{\overline{\omega}}^{(0, v/p] +}$ . Moreover, from the discussion above, we know that the natural inclusion  $N_{\overline{\omega}}^{(0, v/p] +}(T) \subset D_{\overline{\omega}}^{(0, v/p] +}(T)$  is  $p^s$ -surjective. Therefore, it follows that  $\varphi(p^s x) = p^s \varphi(x)$  is in  $N_{\overline{\omega}}^{(0, v/p] +}(T)$ ; in particular, we see that

$$\psi(\varphi(p^s x)) = \psi(p^s q^{r-k}(x - y));$$

i.e.,  $\varphi(p^s x) - q^{r-k} p^s(x - y)$  is in  $(N_{\overline{\omega}}^{(0, v/p] +}(T))^{\psi=0}$ . From the description of  $(N_{\overline{\omega}}^{(0, v/p] +}(T))^{\psi=0}$  before Lemma 6.9, we can write

$$\varphi(p^s x) = p^s q^{r-k}(x - y) + \sum_{\alpha \neq 0} \varphi(x_{\alpha})[X^{\flat}]^{\alpha} \quad \text{for some } x_{\alpha} \in N_{\overline{\omega}}^{(0, v] +}(T).$$

In particular, we see that  $\varphi(p^s x)$  is in  $N_{\overline{\omega}}^{(0, v/p] +}(T)$  and, from Lemma 6.9, we get that  $p^s x$  is in  $N_{\overline{\omega}}^{(0, v] +}(T)$ . Furthermore, as we have  $\psi(N_{\overline{\omega}}^{(0, v/p] +}(T)) \subset D_{\overline{\omega}}^{(0, v] +}(T)$ , we see that  $p^s x$  is in

$$N_{\overline{\omega}}^{(0, v] +}(T) \cap \xi^{r-k} D_{\overline{\omega}}^{(0, v] +}(T) \subset N_{\overline{\omega}}^{(0, v] +}(T) \cap (\text{Fil}^{r-k} A_{\overline{R}}^{(0, v] +} \otimes_{\mathbb{Z}_p} V) \subset \text{Fil}^{r-k} N_{\overline{\omega}}^{(0, v] +}(T),$$

where the last inclusion follows from the definition of the filtration on  $N_{\overline{\omega}}^{(0, v] +}(T)$  in (3-5). In particular, we have shown that  $p^s \pi^{k-r} x$  belongs to  $\pi^{k-r} \text{Fil}^{k-r} N_{\overline{\omega}}^{(0, v] +}(T)$ , and hence (6-7) is  $p^s$ -injective. This allows us to conclude.  $\square$

From the discussion before Lemma 6.12, recall that we have inclusions

$$\psi(\pi^{-r} D_{\overline{\omega}}^{(0, v/p] +}(T(r))) \subset \pi_1^{-r} D_{\overline{\omega}}^{(0, v] +}(T(r)) \subset \pi^{-r} D_{\overline{\omega}}^{(0, v/p] +}(T(r)).$$

Using the constructions in Section 4, we define the complex

$$\text{Kos}(\psi, \Gamma_S, D_{\overline{\omega}}^{(0, v/p] +}(T(r))) := \begin{bmatrix} \text{Kos}(\Gamma'_S, \pi^{-r} D_{\overline{\omega}}^{(0, v/p] +}(T(r))) & \xrightarrow{\psi-1} & \text{Kos}(\Gamma'_S, \pi^{-r} D_{\overline{\omega}}^{(0, v/p] +}(T(r))) \\ \downarrow \tau_0 & & \downarrow \tau_0 \\ \text{Kos}^c(\Gamma'_S, \pi^{-r} D_{\overline{\omega}}^{(0, v/p] +}(T(r))) & \xrightarrow{\psi-1} & \text{Kos}^c(\Gamma'_S, \pi^{-r} D_{\overline{\omega}}^{(0, v/p] +}(T(r))) \end{bmatrix}.$$

**Lemma 6.13.** *The morphism of complexes*

$$\tau_{\leq r} \mathcal{K}(\psi, \Gamma_S, N_{\overline{\omega}}^{(0, v/p] +}(T(r))) \rightarrow \tau_{\leq r} \text{Kos}(\psi, \Gamma_S, D_{\overline{\omega}}^{(0, v/p] +}(T(r))),$$

induced by the inclusion

$$N_{\overline{\omega}}^{(0, v/p] +}(T(r)) \subset \pi^{-r} D_{\overline{\omega}}^{(0, v/p] +}(T(r)),$$

is a  $p^{r+s}$ -quasi-isomorphism.

*Proof.* Note that, for the map of truncated complexes, the cokernel complex consists of  $\mathbf{A}_{R,\varpi}^{(0,v/p]^{+}}$ -modules, given as

$$\pi^{-r} D_{\varpi}^{(0,v/p]^{+}}(T(r)) / \pi^k N_{\varpi}^{(0,v/p]^{+}}(T(r)) \quad \text{for } k \leq r.$$

Recall that  $\pi^s \mathbf{D}^{+}(T) \subset N(T) \subset \mathbf{D}^{+}(T)$  (see [Abhinandan 2025, Corollary 4.11]), and, by extending scalars to  $\mathbf{A}_{R,\varpi}^{(0,v/p]^{+}}$ , dividing out by  $\pi^r$  and twisting by  $\mathbb{Z}_p(r)$ , we see that

$$\pi^{s-r} D_{\varpi}^{(0,v/p]^{+}}(T(r)) \subset N_{\varpi}^{(0,v/p]^{+}}(T(r)).$$

It follows that the quotient  $\pi^{-r} D_{\varpi}^{(0,v/p]^{+}}(T(r)) / \pi^k N_{\varpi}^{(0,v/p]^{+}}(T(r))$  is killed by  $\pi^{k+s}$ , and, since  $\pi$  divides  $p$  in  $\mathbf{A}_{R,\varpi}^{(0,v/p]^{+}}$  (see Lemma 2.20 for  $v = p - 1$ ), we get that the preceding quotient is killed by  $p^{k+s}$ . Since  $k \leq r$ , we hence conclude that the cokernel complex is  $p^{r+s}$ -acyclic.  $\square$

**6.6. Change of the disk of convergence.** In this subsection, we will relate complexes in previous subsections to the Koszul complex computing continuous  $G_S$ -cohomology of  $T(r)$ . Recall that, in Section 2.4.5, we defined an operator  $\psi : D_{\varpi}(T(r)) \rightarrow D_{\varpi}(T(r))$  as a left inverse of  $\varphi$ . Using this operator, we define the complex

$$\text{Kos}(\psi, \Gamma_S, D_{\varpi}(T(r))) := \begin{bmatrix} \text{Kos}(\Gamma'_S, D_{\varpi}(T(r))) & \xrightarrow{\psi-1} & \text{Kos}(\Gamma'_S, D_{\varpi}(T(r))) \\ \downarrow \tau_0 & & \downarrow \tau_0 \\ \text{Kos}^c(\Gamma'_S, D_{\varpi}(T(r))) & \xrightarrow{\psi-1} & \text{Kos}^c(\Gamma'_S, D_{\varpi}(T(r))) \end{bmatrix}.$$

**Lemma 6.14.** *The natural morphism of complexes*

$$\text{Kos}(\psi, \Gamma_S, D_{\varpi}^{(0,v/p]^{+}}(T(r))) \rightarrow \text{Kos}(\psi, \Gamma_S, D_{\varpi}(T(r))),$$

induced by the inclusion  $\pi^{-r} D_{\varpi}^{(0,v/p]^{+}}(T(r)) \subset D_{\varpi}(T(r))$ , is a quasi-isomorphism.

*Proof.* The map in the claim is injective on each term, so we examine the cokernel complex. Write

$$D_{\varpi}(T(r)) = D_{\varpi}^{(0,v/p]^{+}}(T(r))[1/\pi_m]^{\wedge},$$

where  $^{\wedge}$  denotes the  $p$ -adic completion. By Lemma 2.15, we have

$$\psi(\mathbf{A}_{R,\varpi}^{(0,v/p]^{+}}) = \mathbf{A}_{R,\varpi}^{(0,v]^{+}} \subset \mathbf{A}_{R,\varpi}^{(0,v/p]^{+}},$$

and, for  $\ell = p^{m-1}$ , by Lemma 2.20 (iii), we have that  $\pi_m^{-p\ell} \pi$  is a unit in  $\mathbf{A}_{R,\varpi}^{(0,v/p]^{+}}$ . So, for  $k \geq 1$ , we get that

$$\psi(\pi_m^{-p^k \ell r} \mathbf{A}_{R,\varpi}^{(0,v/p]^{+}}) \subset \pi_m^{-p^{k-1} \ell r} \mathbf{A}_{R,\varpi}^{(0,v/p]^{+}}$$

(see Proposition 2.17). Moreover, recall that

$$\psi(D_{\varpi}^{(0,v/p]^{+}}(T(r))) \subset D_{\varpi}^{(0,v/p]^{+}}(T(r)).$$

Coupling this with the observation above, we get that

$$\psi(\pi_m^{-p^k \ell r} D_{\varpi}^{(0,v/p]^{+}}(T(r))) \subset \pi_m^{-p^{k-1} \ell r} D_{\varpi}^{(0,v/p]^{+}}(T(r)).$$

Therefore, it follows that the natural map

$$\psi : D_{\overline{w}}(T(r))/\pi^{-r} D_{\overline{w}}^{(0,v/p]^{+}}(T(r)) \rightarrow D_{\overline{w}}(T(r))/\pi^{-r} D_{\overline{w}}^{(0,v/p]^{+}}(T(r))$$

is (pointwise) topologically nilpotent and  $1 - \psi$  is bijective over this quotient. Therefore, we obtain that the following complexes are acyclic:

$$\begin{aligned} & [\text{Kos}(\Gamma'_S, D_{\overline{w}}(T(r))/\pi^{-r} D_{\overline{w}}^{(0,v/p]^{+}}(T(r))) \xrightarrow{\psi-1} \text{Kos}(\Gamma'_S, D_{\overline{w}}(T(r))/\pi^{-r} D_{\overline{w}}^{(0,v/p]^{+}}(T(r)))], \\ & [\text{Kos}^c(\Gamma'_S, D_{\overline{w}}(T(r))/\pi^{-r} D_{\overline{w}}^{(0,v/p]^{+}}(T(r))) \xrightarrow{\psi-1} \text{Kos}^c(\Gamma'_S, D_{\overline{w}}(T(r))/\pi^{-r} D_{\overline{w}}^{(0,v/p]^{+}}(T(r)))]. \end{aligned}$$

Hence we conclude that the cokernel complex of the map in the claim is acyclic.  $\square$

Recall that we have the complex  $\text{Kos}(\varphi, \Gamma_S, D_{\overline{w}}(T(r)))$  from [Definition 4.11](#), and we make the following claim.

**Proposition 6.15.** *The natural morphism of complexes*

$$\text{Kos}(\varphi, \Gamma_S, D_{\overline{w}}(T(r))) \rightarrow \text{Kos}(\psi, \Gamma_S, D_{\overline{w}}(T(r))),$$

*induced by the identity on the first column and  $\psi$  on the second column, is a quasi-isomorphism.*

*Proof.* Notice that the map  $\psi$  is surjective on  $D_{\overline{w}}(T(r))$ , so the cokernel complex is 0. To obtain the acyclicity of the kernel complex, we need to show that the complex

$$[\text{Kos}(\Gamma'_S, D_{\overline{w}}(T(r))^{\psi=0}) \xrightarrow{\tau_0} \text{Kos}(\Gamma'_S, D_{\overline{w}}(T(r))^{\psi=0})]$$

is acyclic. To show our claim, we will analyse the module  $D_{\overline{w}}(T(r))^{\psi=0}$ . Let  $\{e_1, \dots, e_h\}$  denote an  $A_R^+$ -basis  $N(T)$  and set  $f_i = e_i \otimes \epsilon^{\otimes r}$  for each  $1 \leq i \leq h$ , where  $\epsilon^{\otimes r}$  is a  $\mathbb{Z}_p$ -basis of  $\mathbb{Z}_p(r)$ . Since we have the isomorphism

$$A_R \otimes_{A_R^+} N(T)(r) \xrightarrow{\sim} \mathbf{D}(T)(r) = \mathbf{D}(T(r)),$$

it therefore follows that  $\{f_1, \dots, f_h\}$  is an  $A_R$ -basis of  $\mathbf{D}(T(r))$ . Furthermore, as

$$D_{\overline{w}}(T(r)) = A_{R,\overline{w}} \otimes_{A_R} \mathbf{D}(T(r))$$

is an étale  $(\varphi, \Gamma_R)$ -module over  $A_{R,\overline{w}}$ , we see that  $\{\varphi(f_1), \dots, \varphi(f_h)\}$  is an  $A_{R,\overline{w}}$ -basis of  $D_{\overline{w}}(T(r))$ . In this basis, we have that  $z = \sum_{j=1}^h z_j \varphi(f_j)$  is in  $D_{\overline{w}}(T(r))^{\psi=0}$  if and only if  $z_j$  is in  $A_{R,\overline{w}}^{\psi=0}$  for each  $1 \leq j \leq h$ . Indeed,  $\psi(z) = 0$  if and only if

$$\sum_{j=1}^h \psi(z_j \varphi(f_j)) = \sum_{j=1}^h \psi(z_j) \varphi(f_j) = 0,$$

and, since the  $f_j$  are linearly independent over  $A_{R,\overline{w}}$ , we see that  $\psi(z) = 0$  if and only if  $\psi(z_j) = 0$  for all  $1 \leq j \leq h$ .

Next, from [Proposition 2.17](#), we have a decomposition

$$A_{R,\overline{w}}^{\psi=0} = \bigoplus_{\alpha} \varphi(A_{R,\overline{w}})[X^b]^{\alpha},$$

where  $[X^b]^\alpha = (1 + \pi_m)^{\alpha_0} [X_1^b]^{\alpha_1} \cdots [X_d^b]^{\alpha_d}$  and  $\alpha = (\alpha_0, \dots, \alpha_d)$  is a  $(d+1)$ -tuple with  $\alpha_i \in \{0, \dots, p-1\}$ . Therefore, we get

$$(D_\varpi(T(r)))^{\psi=0} = \left( \sum_{i=1}^h A_{R,\varpi} f_j \right)^{\psi=0} = \bigoplus_{\alpha \neq 0} \sum_{i=1}^h \varphi(A_{R,\varpi} f_j) [X^b]^\alpha.$$

Note that the last term identifies with

$$\bigoplus_{\alpha \neq 0} \sum_{i=1}^h \varphi(D_\varpi(T))(r) [X^b]^\alpha.$$

So, we obtain that the kernel complex of the map in the claim is isomorphic to the complex

$$\bigoplus_{\alpha \neq 0} [\text{Kos}(\Gamma'_S, \varphi(D_\varpi(T))(r) [X^b]^\alpha) \xrightarrow{\tau_0} \text{Kos}^c(\Gamma'_S, \varphi(D_\varpi(T))(r) [X^b]^\alpha)]. \quad (6-8)$$

**Lemma 6.16.** *The complex described in (6-8) is acyclic.*

*Proof.* The proof follows in a manner similar to [Lemma 6.11](#), where one notes that it is enough to show the claim modulo  $p$ , and, for the latter, one uses the fact that  $D_\varpi(T)/p = (N_\varpi^+(T)/p)[1/\pi_m]$  for  $N_\varpi^+(T) = A_{R,\varpi}^+ \otimes_{A_R^+} N(T)$ . We omit the details to avoid repetition.  $\square$

Using [Lemma 6.16](#), we conclude that the natural morphism of complexes in the claim of [Proposition 6.15](#) is a quasi-isomorphism.  $\square$

*Proof of Proposition 6.1.* Recall that  $s$  is the height of the representation  $T$  and  $r$  is the twist (see [Assumption 5.1](#)). Note that, from [Proposition 6.4](#), we have a natural  $p^{4r}$ -quasi-isomorphism of complexes  $\text{Kos}(\varphi, \partial_A, \text{Fil}^r N_\varpi^{[u,v]}(T)) \simeq \mathcal{K}(\varphi, \text{Lie } \Gamma_S, N_\varpi^{[u,v]}(T(r)))$ . Then, in [Proposition 6.5](#), we replace the infinitesimal action of  $\Gamma_S$  with the continuous action of  $\Gamma_S$  and obtain a natural isomorphism of complexes  $\mathcal{K}(\varphi, \text{Lie } \Gamma_S, N_\varpi^{[u,v]}(T(r))) \simeq \mathcal{K}(\varphi, \Gamma_S, N_\varpi^{[u,v]}(T(r)))$ . Furthermore, in [Proposition 6.6](#), we switch from analytic coefficient rings to overconvergent coefficient rings to obtain a natural  $p^{3r}$ -quasi-isomorphism of complexes  $\mathcal{K}(\varphi, \Gamma_S, N_\varpi^{[u,v]}(T(r))) \simeq \mathcal{K}(\varphi, \Gamma_S, N_\varpi^{(0,v]^+}(T(r)))$ . Next, in [Proposition 6.8](#) and [Lemmas 6.12](#) and [6.13](#), we change the overconvergence radius to obtain a  $p^{3r+3s+2}$ -quasi-isomorphism of complexes  $\tau_{\leq r} \mathcal{K}(\varphi, \Gamma_S, N_\varpi^{(0,v]^+}(T(r))) \simeq \tau_{\leq r} \text{Kos}(\psi, \Gamma_S, D_\varpi^{(0,v/p]^+}(T(r)))$ , where  $\tau_{\leq r}$  denotes the canonical truncation. Finally, in [Lemma 6.14](#) and [Proposition 6.15](#), we change the disk of convergence to obtain natural quasi-isomorphisms of complexes

$$\text{Kos}(\psi, \Gamma_S, D_\varpi^{(0,v/p]^+}(T(r))) \simeq \text{Kos}(\psi, \Gamma_S, D_\varpi(T(r))) \simeq \text{Kos}(\varphi, \Gamma_S, D_\varpi(T(r))).$$

Combining these statements, we get the claim of [Proposition 6.1](#) with  $N = 10r + 3s + 2$ .  $\square$

**6.7. Comparison with the Fontaine–Messing period map.** The aim of this subsection is to show that the comparison map from  $\text{Syn}(S, M, r)$  to  $\text{R}\Gamma_{\text{cont}}(G_S, (T(r)))$  in [Theorem 5.5](#) coincides with the Fontaine–Messing period map. We will follow the strategy in [\[Colmez and Nizioł 2017, §4.7\]](#). Recall that we have  $S = R[\varpi]$ ,  $\bar{S} = \bar{R} \subset \overline{\text{Fr}(R)}$  and  $S_\infty = R_\infty \subset \overline{\text{Fr}(R)}$ . Note that, by [Definition 2.24](#), we have rings  $E_{\bar{S}}^\star := E_{\bar{R}}^\star$  for  $\star \in \{\text{PD}, [u], [u, v]\}$  equipped with a Frobenius, a filtration and an action of  $G_S \triangleleft G_R$ .

Let us recall that  $T$  is a positive finite  $q$ -height  $\mathbb{Z}_p$ -representation of  $G_R$  as in [Assumption 5.1](#) and  $V = T[1/p]$ . Note that, by tensoring the fundamental exact sequence in (2-2) with  $T$ , we get the following  $p^r$ -exact sequence:

$$0 \rightarrow T(r)' \rightarrow \mathrm{Fil}^r \mathbf{A}_{\mathrm{cris}}(\bar{S}) \otimes_{\mathbb{Z}_p} T \xrightarrow{p^r - \varphi} \mathbf{A}_{\mathrm{cris}}(\bar{S}) \otimes_{\mathbb{Z}_p} T \rightarrow 0. \quad (6-9)$$

Next, from [Assumption 5.1](#), we have a finite free  $R$ -module  $M \subset \mathcal{O}\mathbf{D}_{\mathrm{cris}}(V)$  such that  $M[1/p] = \mathcal{O}\mathbf{D}_{\mathrm{cris}}(V)$ . Moreover, we have a natural injective map

$$\mathcal{O}\mathbf{A}_{R,\varpi}^{\mathrm{PD}} \otimes_R M \rightarrow \mathcal{O}\mathbf{A}_{R,\varpi}^{\mathrm{PD}} \otimes_{\mathbf{A}_R^+} \mathbf{N}(T)$$

compatible with the respective Frobenii, filtrations,  $\mathbf{A}_{R,\varpi}^{\mathrm{PD}}$ -linear connections and actions of  $\Gamma_R$ . Additionally, by definition, we have a natural inclusion  $\mathbf{A}^+ \otimes_{\mathbf{A}_R^+} \mathbf{N}(T) \subset \mathbf{A}^+ \otimes_{\mathbb{Z}_p} T$  compatible with the respective Frobenii and actions of  $G_R$ . Extending scalars to  $\mathcal{O}\mathbf{A}_{\mathrm{cris}}(\bar{S})$  in both the maps and composing them, we obtain the top horizontal arrow in the following diagram:

$$\begin{array}{ccc} \mathcal{O}\mathbf{A}_{\mathrm{cris}}(\bar{S}) \otimes_R M & \longrightarrow & \mathcal{O}\mathbf{A}_{\mathrm{cris}}(\bar{S}) \otimes_{\mathbb{Z}_p} T \\ \downarrow & & \downarrow \\ \mathcal{O}\mathbf{B}_{\mathrm{cris}}(\bar{S}) \otimes_R \mathcal{O}\mathbf{D}_{\mathrm{cris}}(V) & \xrightarrow{\sim} & \mathcal{O}\mathbf{B}_{\mathrm{cris}}(\bar{S}) \otimes_{\mathbb{Q}_p} V \end{array} \quad (6-10)$$

where the vertical arrows are natural inclusions and the lower horizontal arrow is a natural isomorphism (since  $V$  is crystalline) compatible with the respective Frobenii, filtrations, actions of  $G_R$  and  $\mathbf{B}_{\mathrm{cris}}(\bar{S})$ -linear connections satisfying Griffiths transversality with respect to the filtrations; see [\[Brinon 2008, Proposition 8.4.3\]](#). The diagram commutes by definition (see [\[Abhinandan 2025, §4.5\]](#) for a similar diagram), and it follows that the top horizontal arrow is injective. Now, recall that the filtration on the bottom left object is given by the tensor product filtration (see [Lemma 2.35](#) and [Remark 2.36](#)) and the filtration on the bottom right object is induced by the natural filtration on  $\mathcal{O}\mathbf{B}_{\mathrm{cris}}(\bar{S})$ . As the filtration on the objects in the top row are induced from the filtration on the objects in the bottom row of their respective columns (see the discussion before [Lemma 2.40](#) for the top left corner), it therefore follows that the filtration on  $\mathcal{O}\mathbf{A}_{\mathrm{cris}}(\bar{S}) \otimes_R M$  matches with the induced filtration from  $\mathcal{O}\mathbf{A}_{\mathrm{cris}}(\bar{S}) \otimes_{\mathbb{Z}_p} T$ .

Now, we consider the commutative diagram

$$\begin{array}{ccccc} & & & E_{\bar{S},n}^{\mathrm{PD}} & \\ & & & \uparrow & \\ \mathbf{A}_{\mathrm{cris}}(\bar{S})_n \otimes_{\mathcal{O}_{F,n}} R_{\varpi,n}^+ & \longrightarrow & & \longrightarrow & \bar{S}_n \\ & & & \uparrow & \\ & & & R_{\varpi,n}^{\mathrm{PD}} & \\ & & & \downarrow & \\ R_{\varpi,n}^+ & \longrightarrow & & \longrightarrow & S_n \end{array}$$

where the subscript  $n$  denotes the reduction modulo  $p^n$ , the bottom horizontal arrow is induced by  $X_0 \mapsto \varpi$  and the top horizontal arrow is the extension of the  $\theta$ -map by the bottom horizontal arrow.

Using the rings discussed above, we will define the local Fontaine–Messing period map. Set

$$\Omega_{E_{\bar{S},n}^{\text{PD}}} := E_{\bar{S},n}^{\text{PD}} \otimes_{R_{\varpi,n}^+} \Omega_{R_{\varpi,n}^+}, \quad \Delta^{\text{PD}} := E_{\bar{S}}^{\text{PD}} \otimes_R M \quad \text{and} \quad \Delta_n^{\text{PD}} = \Delta^{\text{PD}} / p^n,$$

and equip them with the induced filtration, Frobenius,  $G_S$ -action and  $\mathbf{A}_{\text{cris}}(\bar{S})_n$ -linear integrable connection  $\partial$  satisfying Griffiths transversality with respect to the filtration. In particular, for  $r \in \mathbb{Z}$ , we have the filtered de Rham complex

$$\text{Fil}^r \mathcal{D}_{\bar{S},M,n}^\bullet : \text{Fil}^r \Delta_n^{\text{PD}} \rightarrow \text{Fil}^{r-1} \Delta_n^{\text{PD}} \otimes_{R_{\varpi,n}^+} \Omega_{R_{\varpi,n}^+}^1 \rightarrow \text{Fil}^{r-2} \Delta_n^{\text{PD}} \otimes_{R_{\varpi,n}^+} \Omega_{R_{\varpi,n}^+}^2 \rightarrow \cdots$$

Let us note that, by extending the diagram (6-10) along the natural inclusion  $\mathcal{O}\mathbf{A}_{\text{cris}}(\bar{S}) \subset E_{\bar{S}}^{\text{PD}}$  (see Remark 2.27), we obtain an  $E_{\bar{S}}^{\text{PD}}$ -linear injective map  $E_{\bar{S}}^{\text{PD}} \otimes_R M \rightarrow E_{\bar{S}}^{\text{PD}} \otimes_{\mathbb{Z}_p} T$  compatible with the respective Frobenii, filtrations,  $\mathbf{A}_{\text{cris}}(\bar{S})$ -linear connections and actions of  $G_R$ . Then, for each  $r \in \mathbb{Z}$ , by reducing the induced map on the  $r$ -th filtered part modulo  $p^n$  and taking horizontal sections for the  $\mathbf{A}_{\text{cris}}(\bar{S})_n$ -linear connections, we obtain a natural map

$$(\text{Fil}^r \Delta_n^{\text{PD}})^{\partial=0} = (\text{Fil}^r (E_{\bar{S},n}^{\text{PD}} \otimes_R M))^{\partial=0} \rightarrow (\text{Fil}^r E_{\bar{S},n}^{\text{PD}} \otimes_{\mathbb{Z}_p} T)^{\partial=0} = \text{Fil}^r \mathbf{A}_{\text{cris}}(\bar{S})_n \otimes_{\mathbb{Z}_p} T. \quad (6-11)$$

In particular, from the discussion above and the filtered Poincaré Lemma 3.21, we get a natural map

$$\text{Fil}^r \mathcal{D}_{\bar{S},M,n}^\bullet \xleftarrow{\sim} (\text{Fil}^r \Delta_n^{\text{PD}})^{\partial=0} \rightarrow \text{Fil}^r \mathbf{A}_{\text{cris}}(\bar{S})_n \otimes_{\mathbb{Z}_p} T. \quad (6-12)$$

**Notation.** For a  $G_S$ -module  $D$ , let  $C(G_S, D)$  denote the complex of continuous cochains of  $G_S$  with values in  $D$ .

**Definition 6.17.** Define the syntomic complex with coefficients in  $M$  as

$$\text{Syn}(\bar{S}, M, r)_n := [\text{Fil}^r \mathcal{D}_{\bar{S},M,n}^\bullet \xrightarrow{p^r - p^\bullet \varphi} \mathcal{D}_{\bar{S},M,n}^\bullet]. \quad (6-13)$$

Define the Fontaine–Messing period map

$$\tilde{\alpha}_{r,n,S}^{\text{FM}} : \text{Syn}(S, M, r)_n \rightarrow C(G_S, T/p^n(r')) \quad (6-14)$$

as the composition

$$\begin{aligned} \text{Syn}(S, M, r)_n &= [\text{Fil}^r \mathcal{D}_{\bar{S},M,n}^\bullet \xrightarrow{p^r - p^\bullet \varphi} \mathcal{D}_{\bar{S},M,n}^\bullet] \rightarrow C(G_S, [\text{Fil}^r \mathcal{D}_{\bar{S},M,n}^\bullet \xrightarrow{p^r - p^\bullet \varphi} \mathcal{D}_{\bar{S},M,n}^\bullet]) \\ &\rightarrow C(G_S, [\text{Fil}^r \mathbf{A}_{\text{cris}}(\bar{S})_n \otimes T \xrightarrow{p^r - \varphi} \mathbf{A}_{\text{cris}}(\bar{S})_n \otimes T]) \xleftarrow{\sim} C(G_S, T/p^n(r')), \end{aligned}$$

where the second right arrow is induced by (6-12) and the only left arrow is a  $p^r$ -quasi-isomorphism as noted in (6-9).

**Remark 6.18.** The definition of the Fontaine–Messing period map in (6-14) can also be given for  $R$ : we use the ring  $\mathcal{O}\mathbf{A}_{\text{cris}}(\bar{R})$  instead of  $E_{\bar{S}}^{\text{PD}}$  and set  $\Delta^{\text{PD}} = \mathcal{O}\mathbf{A}_{\text{cris}}(\bar{R}) \otimes_R M$ . Then the map in (6-12) gets replaced by the map

$$\text{Fil}^r \mathcal{D}_{\bar{R},M,n}^\bullet \xrightarrow{\sim} \text{Fil}^r \mathbf{A}_{\text{cris}}(\bar{R})_n \otimes T$$

(where the filtered de Rham complex is obtained similar to the modulo  $p^n$  version of the complex  $\text{Fil}^r \mathcal{D}_{R,M}^\bullet$  in (5-3)). The definition of  $\text{Syn}(\bar{R}, M, r)_n$  follows naturally, and, since the fundamental exact sequence is  $G_R$ -equivariant, we obtain the Fontaine–Messing period map

$$\tilde{\alpha}_{r,n,R}^{\text{FM}} : \text{Syn}(R, M, r)_n \rightarrow C(G_R, T/p^n(r)').$$

**Theorem 6.19.** *The map  $\tilde{\alpha}_{r,n,S}^{\text{FM}}$  in (6-14) is  $p^{N(T,e,r)}$ -equal to  $\alpha_{r,n}^{\mathcal{L}\text{az}}$  from Theorem 5.5.*

*Proof.* The  $p$ -power equality of the two maps follows from the diagram below (where we only show the  $p$ -adic version to simplify notation). The objects and morphisms are described after the diagram. Note that we have  $\mathbf{K}_{\partial,\varphi}(\mathbf{F}^r M_{\bar{\omega}}^{\text{PD}}) = \text{Syn}(S, M, r)$ , and the map  $\tilde{\alpha}_{r,S}^{\text{FM}}$  is obtained by composing the arrows in the top row (note that  $C_G(T(r))$  is  $p^r$ -isomorphic to  $C_G(T(r)')$ ). Furthermore, the map  $\alpha_r^{\mathcal{L}\text{az}}$  is obtained by composing the maps in the outer left vertical, bottom horizontal and right vertical boundary. The isomorphisms in the diagram indicate a  $p$ -power quasi-isomorphism between complexes. Finally, a simple diagram chase gives us the claim.  $\square$

$$\begin{array}{ccccccc}
 \mathbf{K}_{\partial,\varphi}(\mathbf{F}^r M_{\bar{\omega}}^{\text{PD}}) & \longrightarrow & C_G(\mathbf{K}_{\partial,\varphi}(\mathbf{F}^r \Delta^{\text{PD}})) & \xleftarrow{\sim \text{PL}} & C_G(\mathbf{K}_\varphi(\mathbf{F}^r \Delta^{\text{PD},\partial})) & \longrightarrow & C_G(\mathbf{K}_\varphi(\mathbf{F}^r T A_{\text{cris}})) \\
 \downarrow \wr \tau_{\leq r} & & \downarrow & & \downarrow & & \downarrow \wr \text{FES} \\
 \mathbf{K}_{\partial,\varphi}(\mathbf{F}^r M_{\bar{\omega}}^{[u,v]}) & \longrightarrow & C_G(\mathbf{K}_{\partial,\varphi}(\mathbf{F}^r \Delta^{[u,v]})) & \xleftarrow{\sim \text{PL}} & C_G(\mathbf{K}_\varphi(\mathbf{F}^r \Delta^{[u,v],\partial})) & & C_G(T(r)) \\
 \downarrow \wr \text{PL} & & \downarrow & & \downarrow & \swarrow \wr \text{FES} & \downarrow \wr \text{AS} \\
 \mathbf{K}_{\partial,\varphi,\partial_A}(\mathbf{F}^r \Delta_{\bar{\omega}}^{[u,v]}) & & & & C_G(\mathbf{K}_\varphi(\mathbf{F}^r T A^{[u,v]})) & & C_G(\mathbf{K}_\varphi(T A_{\bar{S}}(r))) \\
 \uparrow \wr \text{PL} & & & & \uparrow & & \uparrow \\
 \mathbf{K}_{\varphi,\partial_A}(\mathbf{F}^r N_{\bar{\omega}}^{[u,v]}) & & & & & & C_\Gamma(\mathbf{K}_\varphi(D_{R_\infty}(r))) \\
 \downarrow \wr \tau_{\leq r} \wr t^\bullet & & & & & & \downarrow \\
 \mathcal{K}_{\varphi,\text{Lie } \Gamma}(\mathbf{F}^r N_{\bar{\omega}}^{[u,v]}) & \xleftarrow{\sim \mathcal{L}\text{az}} & \mathcal{K}_{\varphi,\Gamma}(\mathbf{F}^r N_{\bar{\omega}}^{[u,v]}) & & & & C_\Gamma(\mathbf{K}_\varphi(D_{\bar{\omega}}(r))) \\
 \uparrow \wr t^r & & \uparrow \wr t^r & & & & \uparrow \\
 \mathcal{K}_{\varphi,\text{Lie } \Gamma}(N_{\bar{\omega}}^{[u,v]}(r)) & \xleftarrow{\sim \mathcal{L}\text{az}} & \mathcal{K}_{\varphi,\Gamma}(N_{\bar{\omega}}^{[u,v]}(r)) & \xleftarrow{\sim \text{can}} & \mathcal{K}_{\varphi,\Gamma}(N_{\bar{\omega}}^{(0,v]^+}(r)) & \xrightarrow{\sim} & \mathbf{K}_{\varphi,\Gamma}(D_{\bar{\omega}}(r)).
 \end{array}$$

In the diagram, we take

$$\begin{aligned}
 \Delta^{\text{PD}} &= E_{\bar{S}}^{\text{PD}} \otimes_R M, & \Delta^{\text{PD},\partial} &= (\Delta^{\text{PD}})^{\partial=0}, & T A_{\text{cris}} &= A_{\text{cris}}(\bar{S}) \otimes_{\mathbb{Z}_p} T, \\
 \Delta^{[u,v]} &= E_{\bar{S}}^{[u,v]} \otimes_R M, & \Delta^{[u,v],\partial} &= (\Delta^{[u,v]})^{\partial=0}, & T A^{[u,v]} &= A_{\bar{S}}^{[u,v]} \otimes_{\mathbb{Z}_p} T, & \Delta_{\bar{\omega}}^{[u,v]} &= E_{R,\bar{\omega}}^{[u,v]} \otimes_R M
 \end{aligned}$$

(see Definition 2.24) and also

$$\begin{aligned}
 T A_{\bar{S}}(r) &= A_{\bar{S}} \otimes_{\mathbb{Z}_p} T(r), & D_{\bar{\omega}}(r) &= D_{\bar{\omega}}(T(r)), \\
 N_{\bar{\omega}}^*(r) &= N_{\bar{\omega}}^*(T(r)), & D_{R_\infty}(r) &= A_{S_\infty} \otimes_{A_{R,\bar{\omega}}} D_{\bar{\omega}}(r).
 \end{aligned}$$

Moreover,  $G = G_S$  and  $\Gamma = \Gamma_S$  with  $C_G$  and  $C_\Gamma$  denoting the complex of continuous cochains for  $G$  and  $\Gamma$ , respectively. The letter “ $\mathcal{K}$ ” denotes the Koszul complex with subscripts:  $\partial$  denotes the operators  $((1 + X_0)\partial/\partial X_0, \dots, X_d\partial/\partial X_d)$ ; the subscript  $\Gamma$  denotes the operators  $(\gamma_0 - 1, \dots, \gamma_d - 1)$  for our choice of topological generators of  $\Gamma$ ; the subscript  $\text{Lie } \Gamma$  denotes the operators  $(\nabla_0, \dots, \nabla_d)$ , with  $\nabla_i = \log \gamma_i$ ; and the subscript  $\partial_A$  denotes  $((1 + X_0)\partial/\partial X_0, X_1\partial/\partial X_1, \dots, X_d\partial/\partial X_d)$  as operators on  $A_R^{[u,v]}$  and  $E_R^{[u,v]}$  via the isomorphism  $\iota_{\text{cycl}} : R_{\overline{\omega}}^{[u,v]} \xrightarrow{\sim} A_{R,\overline{\omega}}^{[u,v]}$ . The letter “ $\mathcal{K}$ ” denotes a certain subcomplex of the Koszul complex (see Sections 6.2–6.5).

Next, let us describe the maps between the rows. FES denotes a map coming from the fundamental exact sequences in (2-2) and (2-5). AS denotes a map originating from the Artin–Schreier theory in (2-4). PL denotes maps coming from the filtered Poincaré lemma of Section 2.8. In the first column, going from the first row to the second row is induced by the inclusion  $R_{\overline{\omega}}^{\text{PD}} \subset R_{\overline{\omega}}^{[u,v]}$ . The leftmost slanted vertical map from the third to the second row is induced by the inclusion  $E_{R,\overline{\omega}}^{[u,v]} \subset E_{\overline{S}}^{[u,v]}$ . From the second to the third row, the map in the third column is induced similar to (6-11). The leftmost vertical map from the second to the third row is the content of Lemma 5.24, and the leftmost vertical map from the fourth to the third row is the content of Lemma 5.25; the composition being the content of Proposition 5.28. The rightmost vertical map from the fourth to the third row is the inflation map from  $\Gamma_S$  to  $G_S$  using the inclusion  $A_{S_\infty} \subset A_{\overline{S}}$  (one could use almost étale descent to obtain the quasi-isomorphism), and the rightmost vertical map from the fifth to the fourth row uses the inclusion  $A_{R,\overline{\omega}} \subset A_{S_\infty}$  (the quasi-isomorphism is obtained by decompletion techniques). The leftmost vertical arrow from the fourth to the fifth row is given by multiplication by suitable powers of  $t$  as in Lemma 6.2, and the rightmost vertical arrow from the sixth to the fifth row is the comparison between the complex computing the continuous cohomology of  $\Gamma_S$  and the Koszul complex as in Section 4.2. The inclusions

$$A_{R,\overline{\omega}}^+ \subset A_{\text{inf}}(\overline{S}) \subset A_{\overline{S}}^{[u,v]} \quad \text{and} \quad A_{\text{inf}}(\overline{S}) \otimes_{A_R^+} N(T) \subset A_{\text{inf}}(\overline{S}) \otimes_{\mathbb{Z}_p} T$$

induce the slanted vertical arrow from the fifth to the third row.

Finally, let us describe the maps between the columns. The top two maps from the first to the second column are induced by the respective inclusions  $R_{\overline{\omega}}^{\text{PD}} \subset E_{\overline{S}}^{\text{PD}}$  and  $R_{\overline{\omega}}^{[u,v]} \subset E_{\overline{S}}^{[u,v]}$ . The bottom two maps  $\mathcal{Laz}$  between the first and the second column are Lazard isomorphisms discussed in Section 6.2. The bottom map from the third to the second column is induced canonically from the inclusion  $A_{R,\overline{\omega}}^{(0,v)+} \subset A_{R,\overline{\omega}}^{[u,v]}$ . From the third to the fourth column, the top horizontal map is induced similar to (6-11) and the bottom horizontal map is induced by the inclusion  $A_{R,\overline{\omega}}^{(0,v)+} \subset A_{R,\overline{\omega}}$  (see Sections 6.5 and 6.6).

**Corollary 6.20.** *The morphism of complexes  $\tilde{\alpha}_{r,n,R}^{\text{FM}}$  in Remark 6.18 is a  $p^{N(p,r,s)}$ -quasi-isomorphism.*

*Proof.* Let  $m = 2$ , i.e.,  $K = F(\zeta_{p^2} - 1)$  and  $e = p(p - 1)$ . Then, over  $S = O_K \otimes_{O_F} R$ , we know that the local Fontaine–Messing period map  $\tilde{\alpha}_{r,n,S}^{\text{FM}}$  is  $p^N$ -isomorphic to the Lazard map  $\alpha_{r,n}^{\mathcal{Laz}}$  from Theorem 6.19. Moreover, the Lazard map  $\alpha_{r,n}^{\mathcal{Laz}}$  is a  $p^N$ -quasi-isomorphism by Theorem 5.5. Since we fixed  $m$ , it follows that  $N = 2n(T, e) + 14r + 7s + 2$  only depends on  $p$ ,  $r$  and  $s$  (see Section 6.1 for the explicit constant). Next, to descend to  $R$ , we note that the Fontaine–Messing period map is  $G = \text{Gal}(F(\zeta_{p^2})/F)$ -equivariant;

i.e., the following diagram commutes:

$$\begin{array}{ccc} \mathrm{Syn}(R, M, r)_n & \xrightarrow{\tilde{\alpha}_{r,n,R}^{\mathrm{FM}}} & C(G_R, T/p^n(r)') \\ \downarrow & & \downarrow \wr \\ \mathrm{R}\Gamma(G, \mathrm{Syn}(S, M, r)_n) & \xrightarrow{\tilde{\alpha}_{r,n,S}^{\mathrm{FM}}} & \mathrm{R}\Gamma(G, C(G_S, T/p^n(r)')) \end{array}$$

where the right vertical map is a quasi-isomorphism. So, from the Galois descent argument in [Lemma 6.21](#) (for  $e = p(p-1)$ ), it follows that the left vertical arrow is a  $p^{4r+3p(p-1)}$ -quasi-isomorphism. Hence we obtain that the morphism of complexes  $\tilde{\alpha}_{r,n,R}^{\mathrm{FM}}$  in [Remark 6.18](#) is a  $p^{N(p,r,s)}$ -quasi-isomorphism for  $N(p, r, s) = 2N + 4r + 3p(p-1)$ .  $\square$

**6.8. Galois descent.** Let  $e = [K : F] = p^{m-1}(p-1)$ ,  $G = \mathrm{Gal}(K/F)$  and  $S = O_K \otimes_{O_F} R$ . For notational convenience, we will use crystalline and syntomic complexes as in [Section 7.2](#). We view the  $R$ -module  $M$  in [Assumption 5.1](#) as an object in  $\mathrm{CR}(R/O_F, \mathrm{Fil}, \varphi)$ , i.e., a filtered crystal equipped with Frobenius (see [Remark 7.3](#) and [Definition 7.4](#)).

**Lemma 6.21.** *The following natural map is a  $p^{4r+3e}$ -quasi-isomorphism:*

$$\mathrm{R}\Gamma_{\mathrm{syn}}(R, M, r) \rightarrow \mathrm{R}\Gamma(G, \mathrm{R}\Gamma_{\mathrm{syn}}(S, M, r)).$$

*Proof.* The claim may be shown by adapting the arguments provided in the proof of [[Colmez and Niziol 2017](#), Lemma 5.9] to the current setting.  $\square$

## 7. Crystals and syntomic cohomology

**7.1. Filtered Frobenius crystals.** Let  $\kappa$  be a perfect field of characteristic  $p$ , and set  $O_F = W(\kappa)$  and  $F = \mathrm{Fr} O_F$ . Furthermore, let  $K$  be a finite extension of  $F$  such that  $K \cap F^{\mathrm{ur}} = F$ , and let  $O_K$  denote its ring of integers.

**Notation.** Hereafter, we use letters  $\mathfrak{X}$ ,  $\mathfrak{Y}$ ,  $\mathfrak{Z}$ , etc. to denote schemes as well as  $p$ -adic formal schemes.

Let  $\mathfrak{X}$  be a ( $p$ -adic formal) scheme over  $O_K$  with  $X$  its (rigid) generic fibre and  $\mathfrak{X}_\kappa$  its special fibre. Set  $\Sigma = \mathrm{Spec} O_F$  ( $\Sigma = \mathrm{Spf} O_F$ ), and, for  $n \in \mathbb{N}$ , let  $\mathfrak{X}_n = \mathfrak{X} \otimes_{\mathbb{Z}_p} \mathbb{Z}/p^n$  and  $\Sigma_n = \mathrm{Spec}(O_F/p^n)$ . Consider the big (étale) crystalline site  $\mathrm{CRIS}(\mathfrak{X}_n/\Sigma_n)$  with the PD-ideal  $(p(O_F/p^n), [\ ])$  and the category of crystals of  $\mathcal{O}_{\mathfrak{X}_n/\Sigma_n}$ -modules; see [[Bauer 1992](#), Corollary 1.15 and Proposition 1.17; [Berthelot 1974](#), §III.4.2; [Berthelot et al. 1982](#), §1.1.18, §1.1.19]. Set  $\mathrm{CR}(\mathfrak{X}_n/\Sigma_n)$  to be the full subcategory of finite locally free crystals. The homomorphisms  $\mathfrak{X}_n \rightarrow \mathfrak{X}_{n+1}$  and  $\Sigma_n \rightarrow \Sigma_{n+1}$  induce a pullback functor

$$i_{n,n+1}^* : \mathrm{CR}(\mathfrak{X}_{n+1}/\Sigma_{n+1}) \rightarrow \mathrm{CR}(\mathfrak{X}_n/\Sigma_n).$$

Similarly, define the big crystalline site  $\mathrm{CRIS}(\mathfrak{X}_1/\Sigma_n)$  and the category of finite locally free crystals  $\mathrm{CR}(\mathfrak{X}_1/\Sigma_n)$ . Note that the natural pullback functor

$$i_n^* : \mathrm{CR}(\mathfrak{X}_n/\Sigma_n) \rightarrow \mathrm{CR}(\mathfrak{X}_1/\Sigma_n)$$

induces an equivalence of categories by [[Berthelot 1974](#), Chapitre IV, Théorème 1.4.1].

**Definition 7.1.** A finite locally free crystal on  $\text{CRIS}(\mathfrak{X}/\Sigma)$  is the data  $\mathcal{F} = (\mathcal{F}_n)_{n \geq 1}$ , where  $\mathcal{F}_n$  is an object of  $\text{CR}(\mathfrak{X}_n/\Sigma_n)$  and we have isomorphisms  $i_{n,n+1}^*(\mathcal{F}_{n+1}) \xrightarrow{\sim} \mathcal{F}_n$ . A morphism between two crystals  $\mathcal{F}$  and  $\mathcal{G}$  on  $\text{CRIS}(\mathfrak{X}/\Sigma)$  is a collection of morphisms  $\mathcal{F}_n \rightarrow \mathcal{G}_n$  for each  $n \geq 1$  compatible with the pullback isomorphisms. Denote the category of such objects by  $\text{CR}(\mathfrak{X}/\Sigma)$ . A finite locally free crystal on  $\text{CRIS}(\mathfrak{X}_1/\Sigma)$  is defined similarly and the pullback functor

$$i^* : \text{CR}(\mathfrak{X}/\Sigma) \rightarrow \text{CR}(\mathfrak{X}_1/\Sigma)$$

induces an equivalence of categories.

Consider the category of filtered crystals on  $\text{CRIS}(\mathfrak{X}/\Sigma)$  in the sense of [Tsuji 2020, Definition 16] (for the relation between this category and Ogus' book [1994], see [Tsuji 2020, Remark 19]). Take  $\text{CR}(\mathfrak{X}_n/\Sigma_n, \text{Fil})$  to be the full subcategory of finite locally free filtered crystals on  $\text{CRIS}(\mathfrak{X}_n/\Sigma_n)$ . We have the natural pullback functor

$$i_{n,n+1}^* : \text{CR}(\mathfrak{X}_{n+1}/\Sigma_{n+1}, \text{Fil}) \rightarrow \text{CR}(\mathfrak{X}_n/\Sigma_n, \text{Fil}).$$

**Definition 7.2.** A finite locally free filtered crystal on  $\text{CRIS}(\mathfrak{X}/\Sigma)$  is the data  $(\mathcal{F}_n)_{n \geq 1}$  in  $\text{CR}(\mathfrak{X}/\Sigma, \text{Fil})$  such that the isomorphisms  $i_{n,n+1}^*(\mathcal{F}_{n+1}) \xrightarrow{\sim} \mathcal{F}_n$  are compatible with filtration. A morphism between two filtered crystals is defined in an obvious way, and we denote this category by  $\text{CR}(\mathfrak{X}/\Sigma, \text{Fil})$ .

**Remark 7.3.** Let  $R$  denote the  $p$ -adic completion of an étale algebra over  $O_F[X_1^{\pm 1}, \dots, X_d^{\pm 1}]$ , let  $\text{MIC}(R)$  be the category of finite projective  $R$ -modules equipped with an integrable connection and let

$$\text{MIC}_{\text{conv}}(R) \subset \text{MIC}(R)$$

denote the full subcategory of modules whose connection is  $p$ -adically quasiniptent. Let  $\mathfrak{X} = \text{Spf } R$ . Then from [Berthelot 1974, Chapitre IV, Théorème 1.6.5] and [Morrow and Tsuji 2020, Lemma 1.9] we obtain an equivalence of categories  $\text{CR}(\mathfrak{X}/\Sigma) \xrightarrow{\sim} \text{MIC}_{\text{conv}}(R)$ . This equivalence restricts to an equivalence  $\text{CR}(\mathfrak{X}/\Sigma, \text{Fil}) \xrightarrow{\sim} \text{MIC}_{\text{conv}}(R, \text{Fil})$ .

Finally, we will consider crystals equipped with a Frobenius structure. The Frobenius endomorphism of  $O_F$  and the absolute Frobenius on  $\mathfrak{X}_1$  induce Frobenius pullbacks

$$\begin{aligned} F_{\mathfrak{X}_1}^* &: \text{CR}(\mathfrak{X}_1/\Sigma_n) \rightarrow \text{CR}(\mathfrak{X}_1/\Sigma_n), \\ F_{\mathfrak{X}_1}^* &: \text{CR}(\mathfrak{X}_1/\Sigma) \rightarrow \text{CR}(\mathfrak{X}_1/\Sigma). \end{aligned}$$

Recall that we have the natural pullback functor  $i^* : \text{CR}(\mathfrak{X}/\Sigma) \rightarrow \text{CR}(\mathfrak{X}_1/\Sigma)$ .

**Definition 7.4.** A Frobenius structure on a finite locally free crystal  $\mathcal{F}$  on  $\text{CRIS}(\mathfrak{X}/\Sigma)$  is a morphism  $\varphi_{\mathcal{F}} : F_{\mathfrak{X}_1}^* i^* \mathcal{F} \rightarrow i^* \mathcal{F}$  such that it becomes an isomorphism in the isogeny category  $\text{CR}(\mathfrak{X}/\Sigma)_{\mathbb{Q}}$ . A morphism between two crystals with Frobenius structure is taken to be a morphism in  $\text{CR}(\mathfrak{X}/\Sigma)$  compatible with respective Frobenius structures. Denote the category of finite locally free crystals (resp. filtered crystals) equipped with a Frobenius structure by  $\text{CR}(\mathfrak{X}/\Sigma, \varphi)$  (resp.  $\text{CR}(\mathfrak{X}/\Sigma, \text{Fil}, \varphi)$ ).

**7.2. Syntomic complex.** We will let  $\mathfrak{X}$  be a smooth ( $p$ -adic formal) scheme over  $O_K$ , let  $\Sigma = \text{Spec } O_F$  ( $\Sigma = \text{Spf } O_F$ ), and let  $\mathcal{F}$  be an object of  $\text{CR}(\mathfrak{X}/\Sigma, \text{Fil}, \varphi)$ , i.e., a finite locally free filtered crystal on  $\text{CRIS}(\mathfrak{X}/\Sigma)$  equipped with a Frobenius structure. In this subsection, we will define the syntomic cohomology of  $\mathfrak{X}$  with coefficients in  $\mathcal{F}$ .

Let  $u_{\mathfrak{X}_n/\Sigma_n} : (\mathfrak{X}_n/\Sigma_n)_{\text{cris}} \rightarrow \mathfrak{X}_{n,\text{ét}}$  denote the projection from the crystalline topos to the étale topos. In the following, we regard sheaves on  $\mathfrak{X}_{n,\text{ét}}$  as sheaves on  $\mathfrak{X}_{\kappa,\text{ét}}$ . For  $r \geq 0$ , we have filtered crystalline cohomology complexes of  $\mathcal{F}$

$$\begin{aligned} \text{R}\Gamma_{\text{cris}}(\mathfrak{X}, \text{Fil}^r \mathcal{F})_n &:= \text{R}\Gamma(\mathfrak{X}_{n,\text{ét}}, \text{R}u_{\mathfrak{X}_n/\Sigma_n*} \text{Fil}^r \mathcal{F}_n), \\ \text{R}\Gamma_{\text{cris}}(\mathfrak{X}, \text{Fil}^r \mathcal{F}) &:= \text{holim}_n \text{R}\Gamma_{\text{cris}}(\mathfrak{X}, \text{Fil}^r \mathcal{F})_n. \end{aligned}$$

**Definition 7.5.** Define the modulo  $p^n$  and the completed syntomic complex with coefficients as

$$\begin{aligned} \text{R}\Gamma_{\text{syn}}(\mathfrak{X}, \mathcal{F}, r)_n &:= [\text{R}\Gamma_{\text{cris}}(\mathfrak{X}, \text{Fil}^r \mathcal{F})_n \xrightarrow{p^r - \varphi} \text{R}\Gamma_{\text{cris}}(\mathfrak{X}, \mathcal{F})_n], \\ \text{R}\Gamma_{\text{syn}}(\mathfrak{X}, \mathcal{F}, r) &:= \text{holim}_n \text{R}\Gamma_{\text{syn}}(\mathfrak{X}, \mathcal{F}, r)_n. \end{aligned}$$

The mapping fibres are taken in the derived  $\infty$ -category of abelian groups.

**Remark 7.6.** In the derived category  $D^+(\mathfrak{X}_{\kappa,\text{ét}}, \mathbb{Z}/p^n)$ , we have quasi-isomorphisms

$$\begin{aligned} \text{R}\Gamma_{\text{syn}}(\mathfrak{X}, \mathcal{F}, r)_n &\simeq \text{R}\Gamma_{\text{syn}}(\mathfrak{X}, \mathcal{F}, r) \otimes_{\mathbb{Z}/p}^L \mathbb{Z}/p^n, \\ \text{R}\Gamma_{\text{syn}}(\mathfrak{X}, \mathcal{F}, r)_n &\simeq [\text{R}\Gamma_{\text{cris}}(\mathfrak{X}, \mathcal{F})_n \xrightarrow{(p^r - \varphi, \text{can})} \text{R}\Gamma_{\text{cris}}(\mathfrak{X}, \mathcal{F})_n \oplus \text{R}\Gamma_{\text{cris}}(\mathfrak{X}, \mathcal{F}/\text{Fil}^r \mathcal{F})_n]. \end{aligned}$$

**Definition 7.7.** Define  $\mathcal{F}_{n,\text{ét},\mathfrak{X}}$  to be the étale sheafification of  $(\mathfrak{U} \rightarrow \mathfrak{X}) \mapsto \text{R}\Gamma_{\text{cris}}(\mathfrak{U}, \mathcal{F})_n$  and  $\text{Fil}^r \mathcal{F}_{n,\text{ét},\mathfrak{X}}$  to be the étale sheafification of  $(\mathfrak{U} \rightarrow \mathfrak{X}) \mapsto \text{R}\Gamma_{\text{cris}}(\mathfrak{U}, \text{Fil}^r \mathcal{F})_n$  for  $\mathfrak{U} \rightarrow \mathfrak{X}$  any étale map. Similarly, define  $\mathcal{S}_{n,\text{ét}}(\mathcal{F}, r)_{\mathfrak{X}}$  to be the étale sheafification of  $(\mathfrak{U} \rightarrow \mathfrak{X}) \mapsto \text{R}\Gamma_{\text{syn}}(\mathfrak{U}, \mathcal{F}, r)_n$ .

**Lemma 7.8.** *In the setting above, we have*

$$\mathcal{S}_{n,\text{ét}}(\mathcal{F}, r)_{\mathfrak{X}} = [\text{Fil}^r \mathcal{F}_{n,\text{ét},\mathfrak{X}} \xrightarrow{p^r - \varphi} \mathcal{F}_{n,\text{ét},\mathfrak{X}}] \quad \text{and} \quad \text{R}\Gamma_{\text{syn}}(\mathfrak{X}, \mathcal{F}, r)_n = \text{R}\Gamma(\mathfrak{X}_{\kappa,\text{ét}}, \mathcal{S}_{n,\text{ét}}(\mathcal{F}, r)_{\mathfrak{X}}).$$

**Remark 7.9.** The syntomic cohomology with coefficients can also be described using hypercoverings; for example, see [Tsuji 1996, §2.6; 1999, §2.1].

**Notation.** In the rest of this article, we will denote the modulo  $p^n$  (resp. completed) syntomic complex with coefficients in  $\mathcal{F}$  by  $\mathcal{S}_n(\mathcal{F}, r)_{\mathfrak{X}}$  (resp.  $\mathcal{S}(\mathcal{F}, r)_{\mathfrak{X}}$ ).

## 8. $p$ -adic nearby cycles

In this section, we give some global applications of the computations done in previous sections.

**8.1. Fontaine–Laffaille modules.** Let  $R$  denote the  $p$ -adic completion of an étale algebra over

$$O_F[X_1^{\pm 1}, \dots, X_d^{\pm 1}]$$

for some  $d \in \mathbb{N}$  satisfying [Assumption 2.1](#), and let  $s \in \mathbb{N}$  such that  $s \leq p - 2$ . In [Section 3.4](#), we defined the category  $\text{MF}_{[0,s],\text{free}}(R, \Phi, \partial)$  of *free relative Fontaine–Laffaille* modules of level  $[0, s]$ .

Let us now globalise the definition above. Let  $\mathfrak{X}$  be a smooth ( $p$ -adic formal) scheme defined over  $O_F$ . Consider a covering  $\{\mathfrak{U}_i\}_{i \in I}$  of  $\mathfrak{X}$  with  $\mathfrak{U}_i = \text{Spec } A_i$  ( $\mathfrak{U}_i = \text{Spf } A_i$ ) such that the  $p$ -adic completions  $\hat{A}_i$  satisfy [Assumption 2.1](#) for each  $i \in I$ . We fix lifts of Frobenius modulo  $p$  as  $\varphi_i : \hat{A}_i \rightarrow \hat{A}_i$ .

**Remark 8.1.** In [Section 3.4](#), we fixed a lifting  $\varphi$  of the absolute Frobenius on  $R/p$ . However, for another lift  $\varphi'$ , the categories

$$\text{MF}_{[0,s],\text{free}}(R, \Phi, \partial) \quad \text{and} \quad \text{MF}_{[0,s],\text{free}}(R, \Phi', \partial)$$

are naturally equivalent; see [[Faltings 1989](#), Theorem 2.3; [Tsuji 2020](#), Remark 33]. In particular, there is a well-defined isomorphism  $\alpha_{\varphi, \varphi'} : \varphi^* M \xrightarrow{\sim} \varphi'^* M$  compatible with connections.

**Definition 8.2.** Define  $\text{MF}_{[0,s],\text{free}}(\mathfrak{X}, \Phi, \partial)$  to be the category of finite locally free filtered  $\mathcal{O}_{\mathfrak{X}}$ -modules  $\mathcal{M}$  equipped with a  $p$ -adically quasiniptent integrable connection satisfying Griffiths transversality with respect to filtration, and such that there exists a covering  $\{\mathfrak{U}_i\}_{i \in I}$  of  $\mathfrak{X}$  as above with  $\mathcal{M}_{\mathfrak{U}_i} \in \text{MF}_{[0,s],\text{free}}(\hat{A}_i, \Phi, \partial)$  for all  $i \in I$ , and on  $\mathfrak{U}_{ij}$  the two structures glue well under  $\alpha_{\varphi_i, \varphi_j}$ .

**Remark 8.3.** Let  $\Sigma = \text{Spec } O_F$  or  $\Sigma = \text{Spf } O_F$ ; then the category  $\text{MF}_{[0,s],\text{free}}(\mathfrak{X}, \Phi, \partial)$  is a full subcategory of  $\text{CR}(\mathfrak{X}/\Sigma, \text{Fil}, \varphi)$  described in [Definition 7.4](#).

**Remark 8.4.** To any object of  $\text{MF}_{[0,s],\text{free}}(\mathfrak{X}, \Phi, \partial)$ , [[Faltings 1989](#), Theorem 2.6\*] associated a compatible system of étale sheaves on  $\text{Sp}(\hat{A}_i[1/p])$  (see the functor  $T_{\text{cris}}$  in [Section 3.4](#)). These sheaves can be expressed in terms of certain finite étale coverings of  $\text{Sp}(\hat{A}_i[1/p])$ . Extending these by normalisation to  $\text{Spec}(\hat{A}_i)$ , the resulting coverings glue to give a covering of the smooth formal  $O_F$ -scheme  $\mathfrak{X}'$  associated to  $\mathfrak{X}$ . For  $\mathfrak{X}$  a smooth  $p$ -adic formal scheme, note that  $\mathfrak{X} = \mathfrak{X}'$ , and this gives us an étale  $\mathbb{Z}_p$ -local system on the rigid generic fibre  $X$  of  $\mathfrak{X}$ , which we denote by  $\mathbb{L}$ . On the other hand, for  $\mathfrak{X}$  a smooth scheme, if  $\mathfrak{X}$  is proper then, this covering is finite and algebraic and we obtain an étale  $\mathbb{Z}_p$ -local system  $\mathbb{L}$  on  $X = \mathfrak{X} \otimes_{O_F} F$ , or if  $\mathfrak{X}$  is an open subscheme of a proper semistable scheme  $\mathfrak{Y}$  over  $O_F$  to which  $\mathcal{M}$  extends, i.e., there exists a (log) Fontaine–Laffaille module  $\mathcal{N}$  over  $\mathfrak{Y}$  (in the sense of [[Tsuji 1996](#), Definition 2.3.6 & Remark 2.3.13]) such that  $\mathcal{M} = \mathcal{N}|_{\mathfrak{X}}$ , then the étale local system  $\mathbb{L}$  on  $X = \mathfrak{X} \otimes_{O_F} F$  is again well defined; see [[Tsuji 1996](#), p. 63 & Appendix]. By [[loc. cit.](#)], note that, in the case of schemes, the preceding assumptions are necessary to obtain the étale local system  $\mathbb{L}$  on  $X$ .

**8.2. Fontaine–Messing period map.** Let  $\Sigma = \text{Spec } O_F$  or  $\Sigma = \text{Spf } O_F$ , and let  $K$  be a finite extension of  $F$  such that  $K \cap F^{\text{ur}} = F$ . Take  $0 \leq s \leq p-2$  and  $r \geq s+1$ .

**8.2.1. The case of schemes.** Let  $\mathfrak{X}$  be a smooth scheme over  $O_F$  with  $i : \mathfrak{X}_{\kappa, \text{ét}} \rightarrow \mathfrak{X}_{\text{ét}}$  and  $j : X_{\text{ét}} \rightarrow \mathfrak{X}_{\text{ét}}$  the natural morphism of sites. Take  $\mathcal{M}$  in  $\text{MF}_{[0,s],\text{free}}(\mathfrak{X}, \Phi, \partial)$ , and let  $\mathbb{L}$  denote the associated  $\mathbb{Z}_p$ -local system on the generic fibre  $X$  (note that, from [Remark 8.4](#), we need to additionally assume that  $\mathfrak{X}$  is a proper scheme or an open subscheme of a proper scheme to which  $\mathcal{M}$  extends, however, constructions in this subsection are independent of these assumptions). From [[Abhinandan 2025](#), §5.3], the  $\mathcal{O}_{\mathfrak{X}}$ -module  $\mathcal{M}$  corresponds to a finite locally free filtered crystal in  $\text{CR}(\mathfrak{X}/\Sigma, \text{Fil}, \varphi)$  equipped with a Frobenius structure, and (by abuse of notation) we denote this crystal again by  $\mathcal{M}$ .

To describe the Fontaine–Messing period map one can almost verbatim adapt the methods from [Tsuji 1996, §5; 1999, §3.1]. One first constructs a local version of the map and then uses hypercoverings to globalise. Below we will describe the technical inputs needed for the construction of the Fontaine–Messing map; for the actual construction the reader should refer to [loc. cit.]. We focus on the local setup first; i.e., let  $\mathfrak{X}$  be an affine smooth scheme over  $O_F$ . Let  $\mathfrak{Y} = \mathfrak{X} \otimes_{O_F} O_K$ , and choose an embedding  $\mathfrak{Y} \hookrightarrow \mathfrak{Z}$  such that  $\mathfrak{Z}$  is an affine smooth scheme over  $O_F$ . Then  $\mathfrak{Y}$  can be covered by affine étale  $\mathfrak{Y}$ -schemes  $\mathfrak{U} = \text{Spec } A$ , with  $A = O_K \otimes_{O_F} B$  and  $B$  an étale algebra over  $O_F[X_1^{\pm 1}, \dots, X_d^{\pm 1}]$  such that its  $p$ -adic completion  $\hat{B}$  satisfies [Assumption 2.1](#). Let  $Y$  (resp.  $U$ ) denote the generic fibre of  $\mathfrak{Y}$  (resp.  $\mathfrak{U}$ ), i.e.,  $Y = \mathfrak{Y} \otimes_{O_K} K$  (resp.  $U = \mathfrak{U} \otimes_{O_K} K$ ).

**Remark 8.5.** Take  $A$  as above, let  $A^h$  denote the  $p$ -adic henselisation of  $A$ , let  $\overline{A^h}$  denote the union of finite  $A^h$ -subalgebras  $S \subset \overline{\text{Fr } A^h}$  such that  $S[1/p]$  is étale over  $A^h[1/p]$  and set

$$G_{A^h} = \text{Gal}(\overline{A^h}[1/p]/A^h[1/p]).$$

Then, by Elkik’s approximation theorem [1973, Corollary p. 579], we have a natural isomorphism of Galois groups  $G_{A^h} \simeq G_{\hat{A}}$ . Therefore, any discrete  $G_{\hat{A}}$ -module can be regarded as a locally constant sheaf on the étale site of the generic fibre  $U^h = \mathfrak{U}^h \otimes_{O_K} K$ , where  $\mathfrak{U}^h = \text{Spec } A^h$ .

**Remark 8.6.** Note that we have henselian versions of the fundamental exact sequences in (2-2) and (6-9), where one replaces  $\overline{A}$  by  $\overline{A^h}$  and  $G_{\hat{A}}$  with  $G_{A^h}$ . In particular, similar to (6-13), one obtains a syntomic complex  $\text{Syn}(\overline{A^h}, \mathcal{M}_{\mathfrak{U}}, r)_n$  of discrete  $G_{A^h}$ -modules which we denote by  $\overline{\mathcal{F}}_n(\mathcal{M}, r)_{\mathfrak{U}}$ . Note that from [Remark 8.5](#) the complex of  $G_{A^h}$ -modules  $\overline{\mathcal{F}}_n(\mathcal{M}, r)_{\mathfrak{U}}$  can be regarded as a complex of locally constant sheaves on  $U_{\text{ét}}^h$ , and we obtain a morphism

$$\Gamma(\mathfrak{U}, i_* \mathcal{S}_n(\mathcal{M}, r)_{\mathfrak{Y}}) \rightarrow \Gamma(U^h, \overline{\mathcal{F}}_n(\mathcal{M}, r)_{\mathfrak{U}})$$

and a natural map

$$\text{R}\Gamma(G_{\hat{A}}, T_{\text{cris}}(\mathcal{M}_{\mathfrak{U}})/p^n(r)) \rightarrow \text{R}\Gamma_{\text{ét}}(U^h, \mathbb{L}/p^n(r)_U). \quad (8-1)$$

Using [Remarks 8.5](#) and [8.6](#) together with the Poincaré [Lemma 3.21](#), the fundamental exact sequence (see (2-2), (6-9) and (6-12)) and (8-1), note that, from the construction in [Tsuji 1996, §5; 1999, §3.1], one obtains a natural morphism in  $D^+(\mathfrak{Y}_{\text{ét}}, \mathbb{Z}/p^n)$ :

$$\mathcal{S}_n(\mathcal{M}, r)_{\mathfrak{Y}} \rightarrow i^* \text{R}j_* \mathbb{L}/p^n(r)'_Y. \quad (8-2)$$

Next, let  $\mathfrak{X}$  be a smooth scheme over  $O_F$ , set  $\mathfrak{Y} = \mathfrak{X} \otimes_{O_F} O_K$  and let  $Y$  denote its generic fibre. To globalise the construction above, one considers an étale hypercovering  $\mathfrak{U}^\bullet$  of  $\mathfrak{X}$  and chooses a morphism of simplicial schemes  $i^\bullet : \mathfrak{U}^\bullet \rightarrow \mathfrak{Z}^\bullet$  such that, for each  $s \in \mathbb{N}$ , the morphism  $i^s$  is an immersion of schemes,  $\mathfrak{Z}^s$  is smooth over  $O_F$  and there exist compatible liftings of Frobenius  $F_{\mathfrak{Z}^\bullet} := \{F_{\mathfrak{Z}_n^\bullet} : \mathfrak{Z}_n^\bullet \rightarrow \mathfrak{Z}_n^\bullet\}$ . Then, using the local description above and the theory of hypercoverings, from the construction in [Tsuji 1996, §5; 1999, §3.1], we obtain a natural morphism in  $D^+(\mathfrak{Y}_{\text{ét}}, \mathbb{Z}/p^n\mathbb{Z})$  (independent of choices by [loc. cit.]):

$$\alpha_{r,n,\mathfrak{Y}}^{\text{FM}} : \mathcal{S}_n(\mathcal{M}, r)_{\mathfrak{Y}} \rightarrow i^* \text{R}j_* \mathbb{L}/p^n(r)'_Y.$$

**8.2.2. The case of formal schemes.** The definition of the Fontaine–Messing period map for  $p$ -adic formal schemes follows in a manner similar to that of schemes, with certain key differences which we point out below. Let  $\mathfrak{X}$  be a smooth  $p$ -adic formal scheme over  $O_F$ , and set  $\mathfrak{Y} = \mathfrak{X} \otimes_{O_F} O_K$ . In this case, an affine étale formal scheme over  $\mathfrak{Y}$  can be covered by affine formal schemes  $\mathfrak{U} = \mathrm{Spf} S$ , with  $S = O_K \otimes_{O_F} R$  and  $R$  as in [Assumption 2.1](#). For such local models, we consider the  $p$ -adically completed version of the Fontaine–Messing period map described in (8-2). Finally, to obtain the global version, one proceeds in exactly the same manner as in the case of schemes (with a hypercovering  $(\mathfrak{U}^\bullet, \mathfrak{Z}^\bullet, F_{\mathfrak{Z}^\bullet})$ , where each  $\mathfrak{U}^s$  is of the form described above).

**Remark 8.7.** Note that, in the cyclotomic case, i.e.,  $K = F(\zeta_{p^m})$  for  $m \in \mathbb{N}$ , the map described in (8-2) coincides with the composition of the map  $\tilde{\alpha}_{r,n,S}^{\mathrm{FM}}$  described in [Section 6.7](#) with the quasi-isomorphism

$$C(G_S, T/p^n(r)') \xrightarrow{\sim} \mathrm{R}\Gamma_{\acute{\mathrm{e}}\mathrm{t}}(U, \mathbb{L}/p^n(r)')$$

obtained by applying the  $K(\pi, 1)$ -lemma for  $p$ -coefficients; see [[Colmez and Niziol 2017](#), §5.4.1; [Scholze 2013](#), Theorem 4.9].

**8.3. A global result.** To state the main global result, let  $\mathfrak{X}$  be a smooth ( $p$ -adic formal) scheme defined over  $O_F$  (for  $\mathfrak{X}$  a scheme, assume that it is proper or an open subscheme of a proper semistable scheme defined over  $O_F$ ). Let  $\mathcal{M}$  be an object of the category  $\mathrm{MF}_{[0,s],\mathrm{free}}(\mathfrak{X}, \Phi, \partial)$ , i.e., a relative Fontaine–Laffaille module of level  $[0, s]$  for  $0 \leq s \leq p-2$  (for  $\mathfrak{X}$  an open scheme, further assume that  $\mathcal{M}$  extends to the compactification of  $\mathfrak{X}$ , see [Remark 8.4](#)). Let  $\mathbb{L}$  denote the associated  $\mathbb{Z}_p$ -local system on the (rigid) generic fibre  $X$  of  $\mathfrak{X}$ . Then, we show the following.

**Theorem 8.8.** *For  $r \geq s+1$  and  $0 \leq k \leq r-s-1$ , the Fontaine–Messing period map*

$$\alpha_{r,n,\mathfrak{X}}^{\mathrm{FM}} : \mathcal{H}^k(\mathcal{G}_n(\mathcal{M}, r)_{\mathfrak{X}}) \rightarrow i^* \mathrm{R}^k j_* \mathbb{L}/p^n(r)'_X \quad (8-3)$$

is a  $p^N$ -isomorphism, where  $N = N(p, r, s) \in \mathbb{N}$  depends on  $p$ ,  $r$  and  $s$  but not on  $\mathfrak{X}$  or  $n$ .

*Proof for schemes.* By the definition of the Fontaine–Messing period map in [Section 8.2](#), we see that it is enough to show the  $p$ -power quasi-isomorphism locally (provided the power of  $p$  does not depend on the local model). Let  $A$  be an  $O_F$ -algebra such that its  $p$ -adic completion  $\hat{A}$  satisfies [Assumption 2.1](#),  $\mathfrak{U} = \mathrm{Spec} A$  and  $M := \mathcal{M}_{\mathfrak{U}}$ . Note that we have

$$\mathrm{R}\Gamma_{\mathrm{syn}}(\mathfrak{U}, \mathcal{M}_{\mathfrak{U}}, r)_n = \mathrm{Syn}(\hat{A}, M, r)_n \quad \text{and} \quad \mathrm{R}\Gamma_{\mathrm{syn}}(\mathfrak{U}, \mathcal{M}_{\mathfrak{U}}, r) = \mathrm{Syn}(\hat{A}, M, r).$$

The Fontaine–Messing period map

$$\alpha_{r,n,\mathfrak{U}}^{\mathrm{FM}} : \mathrm{R}\Gamma_{\mathrm{syn}}(\mathfrak{U}, \mathcal{M}_{\mathfrak{U}}, r)_n \rightarrow \mathrm{R}\Gamma_{\acute{\mathrm{e}}\mathrm{t}}(U^h, \mathbb{L}/p^n(r)'_{U^h})$$

is the same as the composition of the henselian version of the map  $\tilde{\alpha}_{r,n}^{\mathrm{FM}}$  with the natural map in (8-1),

$$C(G_{A^h}, T/p^n(r)') \rightarrow \mathrm{R}\Gamma_{\acute{\mathrm{e}}\mathrm{t}}(U^h, \mathbb{L}/p^n(r)'_{U^h})$$

(see Remarks 6.18 and 8.7 for the  $p$ -adically completed version). Note that the henselian version of the map  $\tilde{\alpha}_{r,n}^{\text{FM}}$  is obtained by replacing  $\hat{A}$  by  $\overline{A^h}$  and  $G_{\hat{A}}$  with  $G_{A^h}$ . We set

$$\text{Syn}(A, M, r) := \text{R}\Gamma_{\text{syn}}(\mathfrak{U}, \mathcal{M}_{\mathfrak{U}}, r).$$

Let  $k \leq r - s - 1$ . Our claim is that the map

$$\alpha_{r,n,A}^{\text{FM}} : H^k(\text{Syn}(A, M, r)_n) \xrightarrow{\tilde{\alpha}_{r,n}^{\text{FM}}} H^k(G_{A^h}, T/p^n(r)') \rightarrow H^k(U_{\text{ét}}^h, \mathbb{L}/p^n(r)'_{U^h})$$

is an isomorphism (up to some power of  $p$ ). To show the claim, we will pass to the  $p$ -adic completion of  $A$ . Let  $\mathfrak{U} := \text{Sp}(\hat{A}[1/p])$ , and consider the following commutative diagram:

$$\begin{array}{ccccc} H^k(\text{Syn}(A, M, r)_n) & \xrightarrow{\tilde{\alpha}_{r,n,A}^{\text{FM}}} & H^k(G_{A^h}, T/p^n(r)') & \longrightarrow & H^k(U_{\text{ét}}^h, \mathbb{L}/p^n(r)'_{U^h}) \\ \parallel & & \downarrow \wr & & \downarrow \wr \\ H^k(\text{Syn}(\hat{A}, M, r)_n) & \xrightarrow[\sim]{\tilde{\alpha}_{r,n,\hat{A}}^{\text{FM}}} & H^k(G_{\hat{A}}, T/p^n(r)') & \xrightarrow{\sim} & H^k(\mathfrak{U}_{\text{ét}}, \mathbb{L}/p^n(r)'_{\mathfrak{U}}) \end{array}$$

The middle vertical arrow is an isomorphism because the two Galois groups are equal by Elkik's approximation theorem [1973, Corollary p. 579] (see Remark 8.5). The right vertical arrow is an isomorphism due to Gabber [1994, Theorem 1]. The bottom left horizontal arrow is a  $p^N$ -isomorphism for  $N = N(p, r, s) \in \mathbb{N}$  as shown in the case of formal schemes below (for  $R = \hat{A}$ ); in particular, the top left horizontal arrow is also a  $p^N$ -isomorphism. The bottom right horizontal arrow is an isomorphism by a  $K(\pi, 1)$ -lemma due to Scholze [2013, Theorem 4.9], and therefore the top right horizontal arrow is also an isomorphism. Hence it follows that the composition of the top two horizontal arrows, i.e.,  $\alpha_{r,n,A}^{\text{FM}}$  is a  $p^N$ -isomorphism.  $\square$

*Proof for formal schemes.* By the definition of the Fontaine–Messing period map in Section 8.2, we see that it is enough to show the  $p$ -power quasi-isomorphism locally (provided the power of  $p$  does not depend on the local model). Let  $R$  be an  $O_F$ -algebra satisfying Assumption 2.1,  $\mathfrak{U} = \text{Spf } R$  and  $M := \mathcal{M}_{\mathfrak{U}}$ . We have that the Fontaine–Messing period map

$$\alpha_{r,n,R}^{\text{FM}} : H^k(\text{Syn}(R, M, r)_n) \rightarrow H^k(G_R, T/p^n(r)') \xrightarrow{\sim} H^k(U_{\text{ét}}, \mathbb{L}/p^n(r)'_U)$$

is the same as the composition of the map  $\tilde{\alpha}_{r,n,R}^{\text{FM}}$  (see Remarks 6.18 and 8.7) with the natural isomorphism

$$H^k(G_R, T/p^n(r)') \xrightarrow{\sim} H^k(U_{\text{ét}}, \mathbb{L}/p^n(r)'_U);$$

see the  $K(\pi, 1)$ -lemma of [Scholze 2013, Theorem 4.9].

Finally, to show the isomorphism in degrees  $0 \leq k \leq r - s - 1$ , we use Corollary 6.20 with Example 5.2 (iii) for Fontaine–Laffaille modules. To compute  $N = N(p, r, s) \in \mathbb{N}$ , we combine the constants obtained in the proof of Theorem 5.5, Corollary 6.20 (i.e., Lemma 6.21 for  $e = p(p - 1)$ ) and Example 5.2 (iii) to obtain that  $N = 32r + 14s + 3p(p - 1) + 4$ . In particular,  $N$  does not depend on  $n$  or the local model  $\mathfrak{U}$ . This allows us to conclude.  $\square$

## Acknowledgements

Local results of the paper were part of my PhD thesis at Université de Bordeaux. I would like to thank my advisor Denis Benois for several discussions related to the project as well as guidance and motivation during my time in Bordeaux. I would also like to thank Nicola Mazzari for helpful discussions related to crystalline cohomology and syntomic coefficients. Ideas employed in this paper have been heavily influenced by the article [Colmez and Nizioł 2017], and I would like to thank the authors for their work. I would also like to thank Takeshi Tsuji for discussions concerning relative Fontaine–Laffaille modules. Finally, I would like to thank the referee for their feedback and many valuable remarks and suggestions for improvements. The last part of the project was carried out at Université de Lille, where I was supported by ANR project GALF (ANR-18-CE40-0029) and I-SITE ULNE project PAFAGEO (ANR-16-IDEX-0004).

## References

- [Abhinandan 2023] Abhinandan, “Crystalline representations and Wach modules in the relative case, II”, preprint, 2023. [arXiv 2309.16446](#)
- [Abhinandan 2025] Abhinandan, “Crystalline representations and Wach modules in the relative case”, *Ann. Inst. Fourier (Grenoble)* **75**:1 (2025), 379–474. [MR](#)
- [Andreatta 2006] F. Andreatta, “Generalized ring of norms and generalized  $(\phi, \Gamma)$ -modules”, *Ann. Sci. École Norm. Sup. (4)* **39**:4 (2006), 599–647. [MR](#)
- [Andreatta and Brinon 2008] F. Andreatta and O. Brinon, “Surconvergence des représentations  $p$ -adiques: le cas relatif”, pp. 39–116 in *Représentations  $p$ -adiques de groupes  $p$ -adiques, I: Représentations galoisiennes et  $(\phi, \Gamma)$ -modules*, edited by L. Berger et al., Astérisque **319**, Soc. Math. France, Paris, 2008. [MR](#)
- [Andreatta and Iovita 2008] F. Andreatta and A. Iovita, “Global applications of relative  $(\phi, \Gamma)$ -modules, I”, pp. 339–420 in *Représentations  $p$ -adiques de groupes  $p$ -adiques, I: Représentations galoisiennes et  $(\phi, \Gamma)$ -modules*, edited by L. Berger et al., Astérisque **319**, Soc. Math. France, Paris, 2008. [MR](#)
- [Andreatta and Iovita 2012] F. Andreatta and A. Iovita, “Semistable sheaves and comparison isomorphisms in the semistable case”, *Rend. Semin. Mat. Univ. Padova* **128** (2012), 131–285. [MR](#)
- [Andreatta and Iovita 2013] F. Andreatta and A. Iovita, “Comparison isomorphisms for smooth formal schemes”, *J. Inst. Math. Jussieu* **12**:1 (2013), 77–151. [MR](#)
- [Bauer 1992] W. Bauer, “On the conjecture of Birch and Swinnerton-Dyer for abelian varieties over function fields in characteristic  $p > 0$ ”, *Invent. Math.* **108**:2 (1992), 263–287. [MR](#)
- [Beilinson 2012] A. Beilinson, “ $p$ -adic periods and derived de Rham cohomology”, *J. Amer. Math. Soc.* **25**:3 (2012), 715–738. [MR](#)
- [Berger 2004] L. Berger, “Limites de représentations cristallines”, *Compos. Math.* **140**:6 (2004), 1473–1498. [MR](#)
- [Berthelot 1974] P. Berthelot, *Cohomologie cristalline des schémas de caractéristique  $p > 0$* , Lecture Notes in Math. **407**, Springer, 1974. [MR](#)
- [Berthelot et al. 1982] P. Berthelot, L. Breen, and W. Messing, *Théorie de Dieudonné cristalline, II*, Lecture Notes in Math. **930**, Springer, 1982. [MR](#)
- [Bhatt et al. 2018] B. Bhatt, M. Morrow, and P. Scholze, “Integral  $p$ -adic Hodge theory”, *Publ. Math. Inst. Hautes Études Sci.* **128** (2018), 219–397. [MR](#)
- [Bhatt et al. 2019] B. Bhatt, M. Morrow, and P. Scholze, “Topological Hochschild homology and integral  $p$ -adic Hodge theory”, *Publ. Math. Inst. Hautes Études Sci.* **129** (2019), 199–310. [MR](#)
- [Brinon 2008] O. Brinon, *Représentations  $p$ -adiques cristallines et de de Rham dans le cas relatif*, Mém. Soc. Math. Fr. (N.S.) **112**, Soc. Math. France, Paris, 2008. [MR](#)

- [Cherbonnier and Colmez 1998] F. Cherbonnier and P. Colmez, “Représentations  $p$ -adiques surconvergentes”, *Invent. Math.* **133**:3 (1998), 581–611. [MR](#)
- [Colmez 1999] P. Colmez, “Représentations cristallines et représentations de hauteur finie”, *J. Reine Angew. Math.* **514** (1999), 119–143. [MR](#)
- [Colmez and Nizioł 2017] P. Colmez and W. Nizioł, “Syntomic complexes and  $p$ -adic nearby cycles”, *Invent. Math.* **208**:1 (2017), 1–108. [MR](#)
- [Diao et al. 2023] H. Diao, K.-W. Lan, R. Liu, and X. Zhu, “Logarithmic Riemann–Hilbert correspondences for rigid varieties”, *J. Amer. Math. Soc.* **36**:2 (2023), 483–562. [MR](#)
- [Du et al. 2024] H. Du, T. Liu, Y. S. Moon, and K. Shimizu, “Completed prismatic  $F$ -crystals and crystalline  $Z_p$ -local systems”, *Compos. Math.* **160**:5 (2024), 1101–1166. [MR](#)
- [Elkik 1973] R. Elkik, “Solutions d’équations à coefficients dans un anneau hensélien”, *Ann. Sci. École Norm. Sup. (4)* **6** (1973), 553–603. [MR](#)
- [Faltings 1988] G. Faltings, “ $p$ -adic Hodge theory”, *J. Amer. Math. Soc.* **1**:1 (1988), 255–299. [MR](#)
- [Faltings 1989] G. Faltings, “Crystalline cohomology and  $p$ -adic Galois-representations”, pp. 25–80 in *Algebraic analysis, geometry, and number theory* (Baltimore, MD, 1988), edited by J.-I. Igusa, Johns Hopkins Univ. Press, Baltimore, MD, 1989. [MR](#)
- [Faltings 2002] G. Faltings, “Almost étale extensions”, pp. 185–270 in *Cohomologies  $p$ -adiques et applications arithmétiques, II*, edited by P. Berthelot et al., Astérisque **279**, Soc. Math. France, Paris, 2002. [MR](#)
- [Fontaine 1982] J.-M. Fontaine, “Sur certains types de représentations  $p$ -adiques du groupe de Galois d’un corps local; construction d’un anneau de Barsotti–Tate”, *Ann. of Math. (2)* **115**:3 (1982), 529–577. [MR](#)
- [Fontaine 1990] J.-M. Fontaine, “Représentations  $p$ -adiques des corps locaux, I”, pp. 249–309 in *The Grothendieck Festschrift, II*, edited by P. Cartier et al., Progr. Math. **87**, Birkhäuser, Boston, MA, 1990. [MR](#)
- [Fontaine 1994a] J.-M. Fontaine, “Le corps des périodes  $p$ -adiques”, pp. 59–111 in *Périodes  $p$ -adiques* (Bures-sur-Yvette, France, 1988), edited by J.-M. Fontaine, Astérisque **223**, Soc. Math. France, Paris, 1994. [MR](#)
- [Fontaine 1994b] J.-M. Fontaine, “Représentations  $p$ -adiques semi-stables”, pp. 113–184 in *Périodes  $p$ -adiques* (Bures-sur-Yvette, France, 1988), edited by J.-M. Fontaine, Astérisque **223**, Soc. Math. France, Paris, 1994. [MR](#)
- [Fontaine and Laffaille 1982] J.-M. Fontaine and G. Laffaille, “Construction de représentations  $p$ -adiques”, *Ann. Sci. École Norm. Sup. (4)* **15**:4 (1982), 547–608. [MR](#)
- [Fontaine and Messing 1987] J.-M. Fontaine and W. Messing, “ $p$ -adic periods and  $p$ -adic étale cohomology”, pp. 179–207 in *Current trends in arithmetical algebraic geometry* (Arcata, CA, 1985), edited by K. A. Ribet, Contemp. Math. **67**, Amer. Math. Soc., Providence, RI, 1987. [MR](#)
- [Gabber 1994] O. Gabber, “Affine analog of the proper base change theorem”, *Israel J. Math.* **87**:1-3 (1994), 325–335. [MR](#)
- [Gilles 2023] S. Gilles, “Morphismes de périodes et cohomologie syntomique”, *Algebra Number Theory* **17**:3 (2023), 603–666. [MR](#)
- [Guo and Reinecke 2024] H. Guo and E. Reinecke, “A prismatic approach to crystalline local systems”, *Invent. Math.* **236**:1 (2024), 17–164. [MR](#)
- [Herr 1998] L. Herr, “Sur la cohomologie galoisienne des corps  $p$ -adiques”, *Bull. Soc. Math. France* **126**:4 (1998), 563–600. [MR](#)
- [Kato 1987] K. Kato, “On  $p$ -adic vanishing cycles (application of ideas of Fontaine–Messing)”, pp. 207–251 in *Algebraic geometry* (Sendai, Japan, 1985), edited by T. Oda, Adv. Stud. Pure Math. **10**, North-Holland, Amsterdam, 1987. [MR](#)
- [Kato 1994] K. Kato, “Semi-stable reduction and  $p$ -adic étale cohomology”, pp. 269–293 in *Périodes  $p$ -adiques* (Bures-sur-Yvette, France, 1988), edited by J.-M. Fontaine, Astérisque **223**, Soc. Math. France, Paris, 1994. [MR](#)
- [Kato and Messing 1992] K. Kato and W. Messing, “Syntomic cohomology and  $p$ -adic étale cohomology”, *Tohoku Math. J. (2)* **44**:1 (1992), 1–9. [MR](#)
- [Kurihara 1987] M. Kurihara, “A note on  $p$ -adic étale cohomology”, *Proc. Japan Acad. Ser. A Math. Sci.* **63**:7 (1987), 275–278. [MR](#)

- [Lazard 1965] M. Lazard, “Groupes analytiques  $p$ -adiques”, *Inst. Hautes Études Sci. Publ. Math.* **26** (1965), 389–603. [MR](#)
- [Morita 2008] K. Morita, “Galois cohomology of a  $p$ -adic field via  $(\Phi, \Gamma)$ -modules in the imperfect residue field case”, *J. Math. Sci. Univ. Tokyo* **15**:2 (2008), 219–241. [MR](#)
- [Morrow and Tsuji 2020] M. Morrow and T. Tsuji, “Generalised representations as  $q$ -connections in integral  $p$ -adic Hodge theory”, preprint, 2020. [arXiv 2010.04059](#)
- [Niziol 1998] W. Niziol, “Crystalline conjecture via  $K$ -theory”, *Ann. Sci. École Norm. Sup. (4)* **31**:5 (1998), 659–681. [MR](#)
- [Ogus 1994] A. Ogus, *F-crystals, Griffiths transversality, and the Hodge decomposition*, Astérisque **221**, Soc. Math. France, Paris, 1994. [MR](#)
- [Scholze 2013] P. Scholze, “ $p$ -adic Hodge theory for rigid-analytic varieties”, *Forum Math. Pi* **1** (2013), art. id. e1. [MR](#)
- [Tsuji 1996] T. Tsuji, “Syntomic complexes and  $p$ -adic vanishing cycles”, *J. Reine Angew. Math.* **472** (1996), 69–138. [MR](#)
- [Tsuji 1999] T. Tsuji, “ $p$ -adic étale cohomology and crystalline cohomology in the semi-stable reduction case”, *Invent. Math.* **137**:2 (1999), 233–411. [MR](#)
- [Tsuji 2020] T. Tsuji, “Crystalline  $\mathbb{Z}_p$ -representations and  $A_{\text{inf}}$ -representations with Frobenius”, pp. 161–319 in  *$p$ -adic Hodge theory*, edited by B. Bhatt and M. Olsson, Springer, 2020. [MR](#)
- [Wach 1996] N. Wach, “Représentations  $p$ -adiques potentiellement cristallines”, *Bull. Soc. Math. France* **124**:3 (1996), 375–400. [MR](#)
- [Wach 1997] N. Wach, “Représentations cristallines de torsion”, *Compos. Math.* **108**:2 (1997), 185–240. [MR](#)
- [Yamashita and Yasuda 2014] G. Yamashita and S. Yasuda, “ $p$ -adic étale cohomology and crystalline cohomology for open varieties with semistable reduction”, unpublished manuscript, 2014.

Communicated by Bhargav Bhatt

Received 2023-01-28

Revised 2024-10-09

Accepted 2024-12-23

[abhinandan@imj-prg.fr](mailto:abhinandan@imj-prg.fr)

*Institut de Mathématiques de Jussieu-Paris Rive Gauche, Sorbonne Université,  
Paris, France*

# Algebra & Number Theory

[msp.org/ant](http://msp.org/ant)

## EDITORS

### MANAGING EDITOR

Antoine Chambert-Loir  
Université Paris-Diderot  
France

### EDITORIAL BOARD CHAIR

David Eisenbud  
University of California  
Berkeley, USA

## BOARD OF EDITORS

Jason P. Bell	University of Waterloo, Canada	Philippe Michel	École Polytechnique Fédérale de Lausanne
Bhargav Bhatt	University of Michigan, USA	Martin Olsson	University of California, Berkeley, USA
Frank Calegari	University of Chicago, USA	Irena Peeva	Cornell University, USA
J-L. Colliot-Thélène	CNRS, Université Paris-Saclay, France	Jonathan Pila	University of Oxford, UK
Brian D. Conrad	Stanford University, USA	Anand Pillay	University of Notre Dame, USA
Samit Dasgupta	Duke University, USA	Bjorn Poonen	Massachusetts Institute of Technology, USA
Hélène Esnault	Freie Universität Berlin, Germany	Victor Reiner	University of Minnesota, USA
Gavril Farkas	Humboldt Universität zu Berlin, Germany	Peter Sarnak	Princeton University, USA
Sergey Fomin	University of Michigan, USA	Michael Singer	North Carolina State University, USA
Edward Frenkel	University of California, Berkeley, USA	Vasudevan Srinivas	SUNY Buffalo, USA
Wee Teck Gan	National University of Singapore	Shunsuke Takagi	University of Tokyo, Japan
Andrew Granville	Université de Montréal, Canada	Pham Huu Tiep	Rutgers University, USA
Ben J. Green	University of Oxford, UK	Ravi Vakil	Stanford University, USA
Christopher Hacon	University of Utah, USA	Akshay Venkatesh	Institute for Advanced Study, USA
Roger Heath-Brown	Oxford University, UK	Melanie Matchett Wood	Harvard University, USA
János Kollár	Princeton University, USA	Shou-Wu Zhang	Princeton University, USA
Michael J. Larsen	Indiana University Bloomington, USA		

## PRODUCTION

[production@msp.org](mailto:production@msp.org)

Silvio Levy, Scientific Editor

---

See inside back cover or [msp.org/ant](http://msp.org/ant) for submission instructions.

---

The subscription price for 2026 is US \$590/year for the electronic version, and \$865/year (+\$75, if shipping outside the US) for print and electronic. Subscriptions, requests for back issues and changes of subscriber address should be sent to MSP.


---

Algebra & Number Theory (ISSN 1944-7833 electronic, 1937-0652 printed) at Mathematical Sciences Publishers, 2000 Allston Way # 59, Berkeley, CA 94701-4004, is published continuously online.

---

ANT peer review and production are managed by EditFLOW® from MSP.

PUBLISHED BY

 **mathematical sciences publishers**  
nonprofit scientific publishing

<http://msp.org/>

© 2026 Mathematical Sciences Publishers

# Algebra & Number Theory

Volume 20    No. 1    2026

---

The average Mordell–Weil rank of elliptic surfaces over number fields	1
REMKÉ KLOOSTERMAN	
Syntomic complex and $p$ -adic nearby cycles	17
ABHINANDAN	
The Brauer–Manin obstruction for nonisotrivial curves over global function fields	109
BRENDAN CREUTZ and JOSÉ FELIPE VOLOCH	
On the failure of the integral Tate conjecture for products with projective hypersurfaces	119
KEES KOK	
Malcev completions, Hodge theory, and motives	147
EMIL JACOBSEN	
Reduction theory for stably graded Lie algebras	195
JACK A. THORNE	
Remarks on Landau–Siegel zeros	209
DEBMALYA BASAK, JESSE THORNER and ALEXANDRU ZAHARESCU	