

Unboundedness of some higher Euler classes

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We study Euler classes in groups of homeomorphisms of Seifert-fibered 3–manifolds. In contrast to the familiar Euler class for $\text{Homeo}_0(S^1)$ as a discrete group, we show that these Euler classes for $\text{Homeo}_0(M^3)$ as a discrete group are *unbounded* classes. In fact, we give examples of flat topological M –bundles over a genus 3 surface whose Euler class takes arbitrary values.

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1 Introduction

For a topological group G , let G^δ denote G with the discrete topology, and $H^*(G; R) = H^*(BG^\delta; R)$ the group cohomology of G with $R = \mathbb{Z}$ or \mathbb{R} coefficients. When G is the group of homeomorphisms or diffeomorphisms of a manifold M , elements of $H^*(G; R)$ are characteristic classes of flat or foliated M –bundles with structure group G^δ . One says that a class is *bounded* if it has a cocycle representative taking a bounded set of values on all k –chains of the form $(g_1, \dots, g_k) \in G^k$. Determining which classes are bounded is an interesting and often difficult question in its own right (see Monod [20] for an introduction to this and related problems in bounded cohomology) but particularly motivated in the case where G is a subgroup of $\text{Homeo}(M)$. In this case, bounds on characteristic classes give obstructions for topological M –bundles to be flat. On the flipside, showing that a class has *no* bounded representative often amounts to constructing new examples of flat bundles.

The best known and perhaps earliest example of a bounded class comes from Milnor [18], who gave a bound on the Euler number of $\text{SL}(2, \mathbb{R})$ –bundles over surfaces with discrete structure group. Wood [23] generalized this argument to topological circle bundles ($\text{Homeo}_0(S^1)$ naturally contains $\text{SL}(2, \mathbb{R})$ as a subgroup), to obtain a complete characterization of the oriented, topological circle bundles over surfaces that admit a foliation transverse to the fibers.¹ In modern language, their results can be reframed as follows:

¹In the smooth setting, this is equivalent to admitting a flat connection; hence, even in the topological case such bundles are called “flat”. This is equivalent to the condition that the structure group reduces to a discrete group.

Milnor–Wood inequality [18; 23] *The real Euler class in $H^2(\text{Homeo}_0(S^1); \mathbb{R})$ is bounded, and has (Gromov) norm equal to $\frac{1}{2}$.*

More generally, when G is a real algebraic subgroup of $\text{GL}(n, \mathbb{R})$, it follows from Gromov [9] that the elements of $H^*(G; \mathbb{R})$ obtained by the map $BG \rightarrow BG^\delta$ have bounded representatives, and explicit bounds on their norms have been computed in several cases. See eg Bucher and Gelander [3], Clerc and Ørsted [5] and Domic and Toledo [6]. However, much less is known for large, nonlinear groups, in particular homeomorphism groups of manifolds.

The first natural case to consider is that of any manifold M such that $\pi_1(\text{Homeo}_0(M))$ is either isomorphic to \mathbb{Z} or has a \mathbb{Z} summand. (Here $\text{Homeo}_0(M)$ denotes the identity component of $\text{Homeo}(M)$.) In this case $H^2(B\text{Homeo}_0(M); \mathbb{Z})$ has a \mathbb{Z} summand, generated by an *Euler class* for topological M –bundles. This pulls back to a *discrete Euler class* in $H^2(\text{Homeo}_0(M); \mathbb{Z})$, and we may ask which such classes are bounded. The Milnor–Wood inequality is a positive answer to this question in the case $M = S^1$. The only other known results are in dimension 2: For $M = \mathbb{R}^2$, we also have that $\pi_1(\text{Homeo}_0(\mathbb{R}^2)) = \mathbb{Z}$, and Calegari [4] showed that the discrete Euler class of topological \mathbb{R}^2 –bundles is unbounded. (In fact, he also showed unboundedness of its pullback to $H^2(\text{Diff}_0(\mathbb{R}^2); \mathbb{R})$.) In the case of the 2–torus, $\pi_1(\text{Homeo}_0(T^2)) = \mathbb{Z} \times \mathbb{Z}$, and an argument in Mann and Rosendal [15] shows that discrete Euler classes are unbounded.

Here we address the same question for 3–manifolds. Following work of Hatcher [10], Ivanov [13], McCullough and Soma [17] and Bamler and Kleiner [1] on the generalized Smale conjecture, the inclusion $\text{Isom}_0(M) \rightarrow \text{Homeo}_0(M)$ is known to be a homotopy equivalence on almost all geometric manifolds M (the one open case is that where M is non-Haken infranil). In particular, this implies that for many closed, prime Seifert-fibered 3–manifolds, rotation of the fibers gives either a homotopy equivalence $\text{SO}(2) \rightarrow \text{Homeo}_0(M)$, or at least a \mathbb{Z} factor in $\pi_1(\text{Homeo}_0(M))$, hence an Euler class for M –bundles. Our main result is that *all* of these discrete Euler classes are unbounded. Precisely, we show:

Theorem 1.1 *Let M be a closed Seifert-fibered 3–manifold such that the inclusion $\text{SO}(2) \hookrightarrow \text{Homeo}_0(M)$ induces an inclusion of $\pi_1(\text{SO}(2))$ as a direct factor in $\pi_1(\text{Homeo}_0(M))$. Then any class $\alpha \in H^2(\text{Homeo}_0(M); \mathbb{R})$ with nonzero image in $H^2(\text{SO}(2); \mathbb{R})$ is unbounded.*

This is a direct consequence of the following stronger result:

Theorem 1.2 *Let M be as in Theorem 1.1 and let $e \in H^2(\text{Homeo}_0(M); \mathbb{Z})$ have nonzero image in $H^2(\text{SO}(2); \mathbb{Z})$. Then, for any k , there exists a homomorphism ρ from the fundamental group of a genus 3 surface Σ to $\text{Homeo}_0(M)$ such that $\langle \rho^*(e), [\Sigma] \rangle = k$.*

Our proof is fundamentally different than Calegari's proof of unboundedness of the Euler class for $\text{Homeo}_0(\mathbb{R}^2)$ -bundles with discrete structure group, which uses non-compactness of \mathbb{R}^2 in an essential way. It also differs considerably from the existing argument for unboundedness of cohomology classes in $\text{Homeo}_0(T^2)$, which used the fact that $H^2(\text{Homeo}_0(T^2); \mathbb{Z}) \cong \mathbb{Z}^2$ has a $\text{GL}(2, \mathbb{Z})$ -action of the mapping class group of T^2 .

Section 2 contains some brief background on bounded cohomology, Gromov norm and cohomology of homeomorphism groups, giving the tools to derive Theorem 1.1 from Theorem 1.2. The proof of Theorem 1.2 is an explicit construction described in Section 3.

Measure-preserving homeomorphisms We contrast our results with the measure-preserving case. Let M be as in Theorem 1.1, and let G be a subgroup of $\text{Homeo}_0(M)$ that preserves a probability measure, or more generally a *content* on M . In contrast to Theorem 1.1, work of Hirsch and Thurston implies that Euler classes pull back trivially to $H^2(G; \mathbb{Z})$. Their main theorem is the following:

Theorem 1.3 (Hirsch and Thurston [11]) *Suppose $E \rightarrow B$ is a foliated bundle with structure group consisting of homeomorphisms that preserve a content on the fiber. Then the induced map $H^*(B, \mathbb{R}) \rightarrow H^*(E, \mathbb{R})$ is injective.*

To derive the vanishing result stated above, take any foliated M -bundle $p: E \rightarrow B$. The pullback bundle $p^*(E) \rightarrow E$ has a section, so $p^* \rho^*(e) \in H^2(p^* E; \mathbb{Z})$ is zero. But if E has content-preserving holonomy (ie its holonomy ρ factors through a group G as above), then Hirsch–Thurston implies that p^* is injective on cohomology, so $\rho^*(e) = 0$.

Note that, by averaging any content over the $\text{SO}(2)$ -action on a Seifert-fibered manifold M , one may assume that it is invariant under rotation of fibers, and $\text{SO}(2)$ includes in the group of content-preserving homeomorphisms. This gives analogs of the Euler class in the group of content-preserving homeomorphisms as in Theorem 1.1; the

remark above states that these are zero. In particular, for the special case of the 2–dimensional torus, since $\pi_1(T^2) = \mathbb{Z}^2$ is amenable (so any action on a manifold M has an invariant probability measure), this gives:

Corollary 1.4 *For M as in Theorem 1.1, any M –bundle over T^2 with structure group $\text{Homeo}_0(M)^\delta$ has zero Euler class.*

Note that this statement would be *implied* by boundedness of $e \in H^2(\text{Homeo}_0(M)^\delta; \mathbb{Z})$.

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2 Preliminaries

We quickly review the standard theory of bounded cohomology, as in Gromov [9], and set up notation. A reader who is well acquainted with the subject can skip to Section 2.1, where we discuss cohomology of homeomorphism groups.

For M a manifold and $a \in H_*(M; \mathbb{R})$ an element of singular homology, there is a pseudonorm

$$\|a\| := \inf \left\{ \sum |c_i| : \left[\sum c_i \sigma_i \right] = a \right\},$$

where the infimum is taken over all real singular chains representing a in homology. The L_1 norm on singular chains used in this definition gives a dual L_∞ norm on singular cochains; and the set of bounded cochains forms a subcomplex of $C^*(M)$. The cohomology of this complex is the *bounded cohomology* $H_b^*(M; \mathbb{R})$ of M . The (pseudo)norm, $\|\alpha\|$, of a cohomology class α is the infimum of the L_∞ norms of representative cocycles; and if $\|\alpha\|$ is finite, we say that it is a *bounded class*.

One can extend these definitions quite naturally to the Eilenberg–Mac Lane group cohomology. Recall that, for a discrete group G , the set of *inhomogeneous k –chains*, $C_k(G)$, is the free abelian group generated by k –tuples $(g_1, \dots, g_k) \in G^k$ with an appropriate boundary operator. The homology of this complex is the (integral) group homology $H_k(G; \mathbb{Z})$; and $H_k(G; \mathbb{R})$ is the homology of the complex $C_*(G) \otimes \mathbb{R}$. The homology

of the dual complexes $\text{Hom}(C_k, \mathbb{Z})$ and $\text{Hom}(C_k, \mathbb{R})$ give the *group cohomology* $H^k(G; \mathbb{Z})$ and $H^k(G; \mathbb{R})$, respectively. As in the singular homology case above, there is a natural L_1 norm on k -chains given by $\|\sum s_i(g_{i,1}, \dots, g_{i,k})\| = \sum |s_i|$, which descends to a pseudonorm on homology by taking the infimum over representative cycles. We also have a dual L_∞ norm on $C^k(G)$, and for $\alpha \in H^*(G; \mathbb{R})$ we define

$$\|\alpha\| := \inf\{\|c\|_\infty : [c] = \alpha\}.$$

Again, bounded (co)cycles are those with finite norm. Note that $\|\alpha\|$ is finite if and only if there exists D such that $|\alpha(g_1, g_2, \dots, g_k)| < D$ holds for all $(g_1, g_2, \dots, g_k) \in G^k$.

A remarkable theorem of Gromov allows one to pass between groups and spaces:

Theorem 2.1 [9] *There is a natural isometric isomorphism $H_b^*(\pi_1(M); \mathbb{R}) \rightarrow H_b^*(M; \mathbb{R})$.*

Computing norms In degree two, there is an effective means of estimating the norm of a cohomology class through representations of surface groups. For any space X , a class $c \in H_2(X; \mathbb{Z})$ can always be represented as the image of a map from an orientable (possibly disconnected) surface Σ into X . If X is a $K(G, 1)$, then we may assume Σ has no S^2 components. Supposing additionally that Σ is connected, such a map induces a homomorphism $\rho: \pi_1(\Sigma) \rightarrow G$. Thus, on the level of group cohomology we have $c = \rho_*([\Sigma])$ and

$$\langle \alpha, c \rangle = \langle \rho^*(\alpha), [\Sigma] \rangle.$$

It is easy to verify that $[\Sigma]$ has norm $-2\chi(\Sigma)$ (See [9, Section 2] for the computation.) Hence, we have $\|c\| \leq -2\chi(\Sigma)$. Thus, to show a cohomology class α is *unbounded*, it suffices to show that

$$\sup_{\rho: \pi_1(\Sigma) \rightarrow G} \frac{\langle \rho^*(\alpha), [\Sigma] \rangle}{2\chi(\Sigma)} = \infty,$$

where the supremum is taken over all homomorphisms from surface groups into G .

Although our goal here only requires us to show unboundedness of some classes, the above can actually be used to compute the norm of a class α in second bounded cohomology. Matsumoto and Morita [16] and Ivanov [14] showed (independently) that, for any topological space X , Gromov's seminorm on $H_b^2(X; \mathbb{R})$ is in fact a *norm*. Hence $H_b^2(X; \mathbb{R})$ is a Banach space, with the quotient of $H_2(X; \mathbb{R})$ by the zero-norm subspace as its dual; and in integral cohomology, the zero-norm subspace is precisely the chains representable by maps of surfaces consisting of S^2 and T^2 components.

Returning to our situation, if Σ is a connected surface of genus $g \geq 1$, the quantity $\langle \rho^*(\alpha), [\Sigma] \rangle$ of interest can be easily read off from a central extension. Recall that, for any abelian group A , there is correspondence between $H^2(G; A)$ and central extensions of G by A . If $\alpha \in H^2(G; \mathbb{Z})$ is represented by the extension $0 \rightarrow \mathbb{Z} \rightarrow \hat{G} \rightarrow G \rightarrow 1$, then $\rho^*(\alpha)$ is represented by the pullback $0 \rightarrow \mathbb{Z} \rightarrow \rho^*(\hat{G}) \rightarrow \pi_1(\Sigma) \rightarrow 1$. The fundamental group of Σ has a standard presentation

$$\pi_1(\Sigma) = \left\langle a_1, b_1, \dots, a_g, b_g \mid \prod_{i=1}^g [a_i, b_i] \right\rangle$$

and the integer $\langle \rho^*(\alpha), [\Sigma] \rangle$ can be computed as follows. Take lifts \tilde{a}_i and \tilde{b}_i of the generators a_i and b_i to elements of $\rho^*(\hat{G})$. Since this is a central extension, the value of any commutator $[\tilde{a}_i, \tilde{b}_i]$ is independent of the choice of lifts \tilde{a}_i and \tilde{b}_i . The product of commutators $\prod_{i=1}^g [\tilde{a}_i, \tilde{b}_i]$ projects to the identity in $\pi_1(\Sigma)$, so can be identified with an element $n \in \mathbb{Z}$. One checks easily from the definition that $n = \langle \rho^*(\alpha), [\Sigma] \rangle$.

We note that, although not framed in the language of bounded cohomology, this strategy for computation is already present in Milnor and Wood's work in [18] and [23], respectively.

2.1 Euler classes of homeomorphism groups

This section describes the known analogs of the Euler class in $\text{Homeo}_0(M)$ for various manifolds M , explaining and justifying some of the remarks made in the introduction. For simplicity, we always assume manifolds are closed.

As mentioned in the introduction, whenever M is a manifold with a circle action $\text{SO}(2) \rightarrow \text{Homeo}_0(M)$ such that the induced map on π_1 is inclusion of a direct factor, $B\text{Homeo}_0(M)$ has a $B\text{SO}(2) = \mathbb{C}P^\infty$ factor, giving an Euler class in second cohomology. While we are primarily concerned with the cohomology of discrete groups, a remarkable theorem of Thurston says that, in the very special case of homeomorphism groups of manifolds, this agrees with the cohomology of $B\text{Homeo}$.

Theorem 2.2 (Thurston [21]) *Let M be a differentiable manifold. Then the map*

$$B\text{Homeo}(M)^\delta \rightarrow B\text{Homeo}(M)$$

induced by the identity map $\text{Homeo}(M)^\delta \rightarrow \text{Homeo}(M)$ is an isomorphism on homology.

It follows from the theorem that the same statement holds for the identity components $\text{Homeo}_0(M)^\delta \rightarrow \text{Homeo}_0(M)$. Note that Thurston's theorem implies, in particular, the Euler class and its powers are the only characteristic classes of flat, oriented topological circle bundles.

Unfortunately, there are not very many other manifolds where the homotopy type of (or at least the cohomology of) the identity component of their homeomorphism group is known. In dimension 2, we know that $\text{Homeo}_0(\Sigma)$ is contractible for any compact surface of negative Euler characteristic by [7]. As mentioned in the introduction, $\text{SO}(2) \rightarrow \text{Homeo}_0(\mathbb{R}^2)$ is a homotopy equivalence, but unlike the $M = S^1$ case, the Euler class of $\text{Homeo}_0(\mathbb{R}^2)^\delta$ is unbounded by [4]. For $M = T^2 = S^1 \times S^1$, the inclusion $\text{SO}(2) \times \text{SO}(2) \rightarrow \text{Homeo}_0(T^2)$ is a homotopy equivalence. Thus $\mathbb{Z}^2 \cong H^2(B\text{Homeo}(T^2); \mathbb{Z}) \cong H^2(\text{Homeo}(T^2); \mathbb{Z})$. A direct computation, given in [15, Section 4.2], shows that both generators of $H^2(\text{Homeo}(T^2); \mathbb{Z})$ are unbounded.

The Seifert-fibered 3–manifold case, of interest to us, provides essentially the only other examples where the homotopy type of $\text{Homeo}_0(M)$ is both known and known to have a homotopically nontrivial $\text{SO}(2)$ subgroup. For Haken manifolds, this is due to the following theorem of Hatcher and Ivanov:

Theorem 2.3 [10; 13] *Suppose M is a closed, orientable, Haken, Seifert-fibered 3–manifold. Then the inclusion $S^1 \rightarrow \text{Homeo}_0(M)$ by rotations of the fibers is a homotopy equivalence, except in the case $M = T^3$, where $\text{Homeo}_0(T^3) \cong T^3$.*

We remark that Hatcher's original proof was in the PL category, but (as noted by Hatcher) this is equivalent to the topological category by the triangulation theorems of Bing [2] and Moise [19]. Ivanov's proof of the theorem above is for groups of diffeomorphisms, but an argument due to Cerf, together with Hatcher's later proof of the Smale conjecture, implies that the inclusion of $\text{Diff}(M^3)$ into $\text{Homeo}(M^3)$ is a homotopy equivalence; this makes the smooth category equivalent as well.

McCullough and Soma [17] proved $\text{Homeo}_0(M) \cong S^1$ for the small Seifert-fibered non-Haken manifolds with $\mathbb{H}^2 \times \mathbb{R}$ and $\widetilde{\text{SL}}(2, \mathbb{R})$ geometries. For spherical manifolds, Bamler and Kleiner's recent proof of the Smale conjecture [1] shows that the inclusion $\text{Isom}(M) \rightarrow \text{Homeo}(M)$ is always a homotopy equivalence (and gives a new proof of contractibility of $\text{Homeo}_0(M)$ when M is hyperbolic). This gives many examples of manifolds satisfying the condition of Theorem 1.1, including various families of lens spaces and several manifolds with noncyclic fundamental group. See [12] for a

table of homotopy types of isometry groups for spherical manifolds, as well as a good exposition on the problem and a proof (independent of Bamler–Kleiner) applicable in many specific cases.

3 Proof of Theorems 1.1 and 1.2

Let M be a Seifert-fibered 3–manifold, and let $G = \text{Homeo}_0(M)$. Let $\iota: \text{SO}(2) \rightarrow G$ be the action of rotating the fibers, and suppose that ι induces an inclusion $\mathbb{Z} \cong \pi_1(\text{SO}(2)) \rightarrow \pi_1(G)$ as a factor in a splitting as a direct product. Let \tilde{G} be the covering group of G corresponding to the subgroup $\pi_1(G)/\iota(\mathbb{Z}) \subset \pi_1(G)$. (Recall that G is locally contractible by Cernavskii [22] or Edwards and Kirby [8], so standard covering space theory applies here.) If ι is also surjective on π_1 , for instance a homotopy equivalence, then \tilde{G} is the universal covering group of G . In general, it is a central extension $0 \rightarrow \mathbb{Z} \rightarrow \tilde{G} \rightarrow G \rightarrow 1$.

We will show that this central extension represents a class e in $H^2(\text{Homeo}_0(M)^\delta; \mathbb{Z}) \cong H^2(B\text{Homeo}_0(M); \mathbb{Z}) \cong \mathbb{Z}$ that is *unbounded*. This will prove Theorem 1.1. Following the framework discussed in Section 2, to show that e is unbounded, it suffices to construct representations of surface groups $\rho: \pi_1(\Sigma) \rightarrow \text{Homeo}_0(M)$ with $\langle \rho^*(e), [\Sigma] \rangle / \chi(\Sigma)$ arbitrarily large. Although, in using this strategy, a priori one may need to vary the genus of surface to construct representations with increasingly large values of $\langle \rho^*(e), [\Sigma] \rangle / \chi(\Sigma)$, in this case we need only to work with a surface of genus 3.

Put otherwise, we will show how to construct commutators $[a_i, b_i]$ with a_i and $b_i \in G$ (for $i = 1, 2, 3$) such that $\prod_{i=1}^3 [a_i, b_i] = \text{id}$, but where the product of lifts $\prod_{i=1}^3 [\tilde{a}_i, \tilde{b}_i]$ to \tilde{G} represent unbounded covering transformations. This will prove Theorem 1.2.

The first step is a local construction of bump functions.

Definition 3.1 A *standard bump function* on D^2 is a function $D^2 \rightarrow \mathbb{R}$, which, after conjugation by some $h \in \text{Homeo}_0(D^2)$ agrees with

$$f(re^{i\theta}) = \begin{cases} 1 & \text{if } r < \frac{1}{3}, \\ 2 - 3r & \text{if } \frac{1}{3} \leq r \leq \frac{2}{3}, \\ 0 & \text{if } r > \frac{2}{3}. \end{cases}$$

What we have in mind as particular examples are piecewise linear (or piecewise smooth) functions $f: D^2 \cong [-1, 1] \times [-1, 1] \rightarrow \mathbb{R}$ that are identically 0 on a neighborhood of

the boundary, identically 1 on a neighborhood of $(0, 0)$, and with the level sets $f^{-1}(p)$ for $p \in (0, 1)$ given by piecewise linear (or piecewise smooth) curves. Moreover, these should have the property that some line λ from 0 to $\partial([-1, 1] \times [-1, 1])$ is transverse to each level set of f , with f monotone along λ . In this case, one can easily construct the conjugacy h to the function above defined on the round disc as follows. For $p \in (0, 1)$, let ℓ_p be the total arc length of $f^{-1}(p)$ and, for $x \in f^{-1}(p)$, let $\ell_p(x)$ denote the arc length of the segment of $f^{-1}(p)$ (oriented as the boundary of $f^{-1}([p, 1])$) from $\lambda \cap f^{-1}(p)$ to x . Then, for $x \in f^{-1}(p)$, set $h(x) = \frac{2-p}{3} e^{i\ell_p(x)/\ell(p)}$. One may then extend h arbitrarily to a homeomorphism defined on $f^{-1}(0)$ and $f^{-1}(1)$.

Lemma 3.2 *Let $T = D^2 \times S^1$ be a (p, q) standard fibered torus, let f be a standard bump function and let $k \in \mathbb{R}$. There exist $a, b \in \text{Diff}(T)$ such that the commutator $b^{-1}a^{-1}ba$ preserves fibers and rotates the fiber $\{x\} \times S^1$ by $2\pi kf(x)$ if $x \neq 0$, and the exceptional fiber by $2\pi qk$.*

Proof We take local coordinates to identify D^2 with the rectangle $[-3, 3] \times [-3, 3] \subset \mathbb{R}^2$, so that the exceptional fiber passes through $(0, 0)$, and we work in the PL setting. First, define ϕ to be a standard bump function that is identically 1 on $[-1, 1]^2$, zero on the complement of $[-2, 2]^2$, and, in the topological annulus between these regions of definition, it is linear on each of the four sets cut out by the diagonals of $[-3, 3]^2$. Level sets of a are shown in Figure 1, left. For a point (x, s) in $[-3, 3]^2 \times S^1$, define $a(x, s) = (x, s + 2\pi qk\phi(x))$ if $x \neq (0, 0)$ (ie a rotation of the fiber over x by $2\pi qk\phi(x)$), and define a to be a rotation by $2\pi k$ on the exceptional fiber.

To construct b , first define $F: [-3, 3] \rightarrow [-3, 3]$ by

$$F(u) = \begin{cases} u & \text{if } u \geq 1, \\ \frac{u+2}{3} & \text{if } -2 < u < 1, \\ 3(u+2) & \text{if } -3 \leq u \leq -2, \end{cases}$$

and define b on $[-3, 3] \times [-3, 3] \times S^1$ by $b(u, v, e^{i\theta}) = (F(u), v, e^{i\theta})$.

Since both a and b preserve fibers, $ba^{-1}b^{-1}$ does as well. Moreover, $ba^{-1}b^{-1}$ rotates the fiber through a point $x \in [-3, 3]^2$ by $-2\pi qk\phi(b^{-1}(x))$ for $x \neq 0$, and by $-2\pi k\phi(b^{-1}(x))$ on the exceptional fiber. Composing $a \circ ba^{-1}b^{-1}$ gives a function which rotates a nonexceptional fiber over a point x by $2\pi qk(\phi(x) - \phi(b^{-1}(x)))$; this gives a standard bump function whose level sets are depicted in Figure 1, right; it is the result of adding the bump functions of the other figures. \square

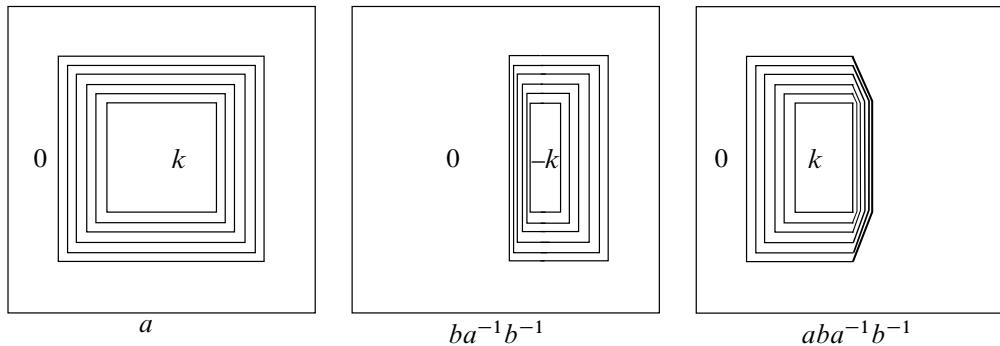


Figure 1: Level sets of PL bump functions.

The next step is to glue the bump functions given by Lemma 3.2 together into a nice partition of unity, subordinate to an open cover consisting of only three sets.

Lemma 3.3 *Let S be an orientable topological surface. There exists an open cover $\mathcal{O} = \{O_1, O_2, O_3\}$ of S , with each O_i a union of disjoint homeomorphic open balls, and a partition of unity λ_i subordinate to \mathcal{O} such that the restriction of λ_i to any connected component of O_i is a standard bump function.*

Proof Let $\Gamma = (V, E)$ be a degree three graph on S , with polygonal faces. For example, Γ may be constructed as the dual graph to a triangulation of S . First we define the sets in the cover $\mathcal{O} = \{O_1, O_2, O_3\}$. Let N_δ denote the union of the δ -neighborhoods of the edges in Γ . Fixing an appropriate metric and PL structure on S , we may assume that the boundary of N_δ , for any sufficiently small $\delta > 0$, consists of line segments parallel to the edges of Γ .

Fixing δ , let $O_1 = S \setminus N_{\delta/2}$. Choose δ small enough that connected components of O_1 are in one-to-one correspondence with faces of the graph, each the complement of a small $\frac{1}{2}\delta$ -neighborhood of the boundary of the face. For each edge e , let m_e denote its midpoint. In a neighborhood of m_e , N_δ has natural local coordinates as $(-\delta, \delta) \times (-1, 1)$ with the edge given by $0 \times (-1, 1)$, $m_e = (0, 0)$ and lines $\{p\} \times (-1, 1)$ parallel to the edge. We assume that δ is small enough that we may choose these neighborhoods of midpoints to be pairwise disjoint and let U_e denote the neighborhood containing m_e . Let O_2 be the union $\bigcup_{e \in E} U_e$. Finally, let X be the union of the subneighborhoods $(-\delta, \delta) \times [-\frac{1}{2}, \frac{1}{2}]$ and let O_3 be the complement of X in N_δ . See Figure 2 for a local picture.

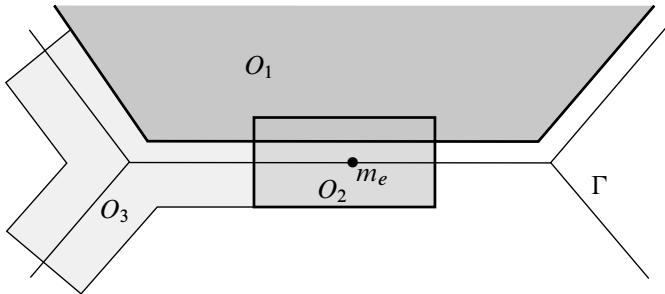


Figure 2: A cover supporting a good partition.

We now construct the desired partition of unity, with λ_i supported on O_i . Define λ_1 to be constant 1 on $S \setminus N_\delta$, constant 0 on $N_{\delta/2}$ and piecewise linear in the intermediate regions, with level sets consisting of polygons with edges parallel to the edges of Γ .

Let $g = 1 - \lambda_1$; this is a function supported on $O_2 \cup O_3$. Define λ_2 to agree with g on the complement of $\bigcup_{e \in E} U_e$. In the coordinates $U_e = (-\delta, \delta) \times (-1, 1)$ given above, define the restriction of λ_2 to U_e to agree with g on $(-\delta, \delta) \times (-\frac{1}{2}, \frac{1}{2})$, to be given by $\lambda_2(x, y) = 2(1 - |y|)g(x, y)$ on $(-\delta, \delta) \times (-1, -\frac{1}{2}) \cup (-\delta, \delta) \times (\frac{1}{2}, 1)$, and then extend λ_2 to be 0 elsewhere. This gives a continuous (in fact piecewise linear) bump function supported on O_2 . Finally, let $\lambda_3 = 1 - \lambda_1 - \lambda_2$, which is supported on O_3 . It is easily verified that this is a standard bump function, as in the example discussed after Definition 3.1. \square

To finish the proof of Theorem 1.2, let M be a Seifert-fibered 3–manifold and let S be the base orbifold. Take a cover $\mathcal{O} = \{O_1, O_2, O_3\}$ of S as given by Lemma 3.3. Using the construction from Lemma 3.3 starting with a graph on S , we may arrange for each exceptional fiber to be contained in only one set in \mathcal{O} , and also to have each connected component of each element of \mathcal{O} contain at most one exceptional fiber. Let $\{\lambda_i\}$ be the partition of unity subordinate to this cover consisting of standard bump functions.

Fix a connected component B of some set $O_i \in \mathcal{O}$ and let $B \times S^1$ be the union of fibers over B . By construction this is a (p, q) standard fibered torus for some p and q . Fix $K \in \mathbb{Z}$. Lemma 3.2 constructs homeomorphisms $a_B, b_B \in \text{Homeo}_0(M^3)$ supported on $B \times S^1$ such that the commutator $[a_B, b_B]$ rotates each (nonexceptional) fiber over $\{x\} \times S^1$ by $2\pi K \lambda_i(x)$. There is a natural path $a_B(t)$ from the identity in $\text{Homeo}_0(M)$ to $a_B(1) = a_B$ by applying the construction of Lemma 3.2 to give rotations of a (nonexceptional) fiber through x by $2\pi q t K \lambda_i(x)$ at time t .

Then $[a_B(t), b_B]$ gives a path from the identity to $[a_B, b_B]$ that rotates (nonexceptional) fibers by $2\pi q t K \lambda_i(x)$ at time t . Moreover, if $b_B(t)$ is any path from b_B to the identity supported on B , then $[a_B(t), b_B]$ is homotopic rel endpoints to $[a_B(t), b_B(t)]$. Let

$$a_i = \prod_B a_B \quad \text{and} \quad a_i(t) = \prod_B a_B(t),$$

where the product is taken over all connected components of O_i . Similarly, let

$$b_i = \prod_B b_B \quad \text{and} \quad b_i(t) = \prod_B b_B(t).$$

Let \tilde{G} be the covering group of $G = \text{Homeo}_0(M)$ as given at the beginning of this section, ie the central extension $0 \rightarrow \mathbb{Z} \rightarrow \tilde{G} \rightarrow G \rightarrow 1$. One definition of this covering group is as the set of equivalence classes of paths based at the identity in G , where two paths are equivalent if they have the same endpoint and their union is an element of $\pi_1(G)$ that belongs to the subgroup $\pi_1(G)/\iota(\mathbb{Z})$. The group operation is pointwise multiplication, or, equivalently, concatenation. In this interpretation, the inclusion of $n \in \mathbb{Z}$ into \tilde{G} is given by a path g_t in G for $t \in [0, 1]$ that rotates (nonexceptional) fibers by an angle of $2\pi nt$ at time t .

Now we return to the machinery of Section 2. Consider the map of a genus 3 surface group into G where the images of the standard generators are a_i and b_i as defined above. The paths $a_i(t)$ and $b_i(t)$ give lifts of a_i and b_i to \tilde{G} , with commutator $[a_i(t), b_i(t)]$ a path from the identity to a map that rotates fibers by $2\pi K \lambda_i(x)$. Hence, $\prod_{i=1}^3 [a_i(t), b_i(t)]$ represents $K \in \mathbb{Z}$. Thus, if ρ is the associated map of the surface group and e the Euler class in $H^2(G, \mathbb{Z})$, this means that $\langle \rho_*(\Sigma), e \rangle = K$. Since K can be chosen arbitrarily, this proves Theorem 1.2. \square

Remark 3.4 The constructions above can likely be realized in the smooth category (ie with a homomorphism $\pi_1(\Sigma_3) \rightarrow \text{Diff}_0(M)$); however, some more care is needed in the construction of the bump functions, as not all convex, smooth bump functions on a disc are smoothly conjugate.

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